Reconstruction of the 3D hydrodynamics in a baffled stirred tank using Proper Orthogonal Decomposition

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12 In this work, the unsteady turbulent flow in a four-baffled stirred tank equipped with a Rushton

13 turbine is computed with ANSYS FLUENT® using the sliding mesh technique. The POD algorithm is

14 applied first to each mesh zone (fixed and rotating) and, in a novel and original way, to the full 3D

15 CFD data without distinguishing between the stationary and rotating zones. The resulting modes

16 cannot be regarded as spatial modes revealing the underlying flow structure. The POD results

17 showed that 99.9% of the system variance is recovered with the first three modes. The

18 reconstruction of the velocity field from these modes reveals the trailing vortices plus the mean flow.

19 The accuracy of the POD reconstructed flow fields is quantified. The present work is the first

20 evidence of a POD reconstruction of the unsteady turbulent flow performed over the entire 3D

21 domain in a baffled stirred tank.

22

23 Keywords:

24 Proper Orthogonal Decomposition; full 3D velocity reconstruction; Computational Fluid Dynamics;

25 RANS turbulence model; baffled mixing tank; Rushton turbine.

26 Highlights:

27 • 3D POD global reconstruction of mean and organised components of a turbulent flow in a

28   baffled stirred tank.

29 • 3D POD application on fixed and rotating reference frames.

30   • Implementation of 3D POD on a mesh with a stationary zone and a rotating zone without

31   zone separation.

32   • Accuracy computation through instantaneous and localized error for 3D POD reconstruction.

1. Introduction

34   Stirred tank reactors are widely used in chemical and biochemical industrial applications. The

35   hydrodynamics of such systems has been the subject of numerous experimental (Ng & Yianneskis,

36   2000; Bugay et al., 2002; Baldi et al., 2004; Escudié & Liné, 2004; Ducci & M, 2007) and

37   Computational Fluid Dynamic (CFD) studies that revealed its complexity (Hartmann et al., 2004;

38   Delafosse et al., 2008, 2009). Besides the hydrodynamic aspects, additional models describing the

39   chemical or biochemical reactions have to be implemented in the CFD software in order to couple

40   mixing and reaction and perform predictive simulations. In some applications, it is important to

41   consider the time varying flow field but the computational cost for solving simultaneously

42   momentum and chemical equations is often prohibitive. In such situations, it is advantageous to find

43   a way to access the spatio temporal variation for the velocity field without solving the Reynolds-

44   Averaged Navier-Stokes equations throughout the process duration. Since the flow in a stirred tank is

45   periodic and organized, the reduced order model approaches, such as Proper Orthogonal

46   Decomposition (POD), can be used to achieve this objective.

47   Due to its elegance and practicality, this mathematical procedure has been used to identify, study

48   and model the dynamics of large-scale average spatial structures of the fluctuating velocity field for

49   turbulent flow (Sirovich, 1987a, 1987c, 1987b; Berkooz et al., 1993; Borée, 2003; Joshi et al., 2009;

50   Tirunagari et al., 2012; Du et al., 2013; El-Adawy et al., 2018). In terms of average kinetic energy,

51   these large structures (named coherent structures) dominate in the fluctuating flow, it follows that

52   their identification is crucial in the study of fluid dynamics. This fact evidences the relevance and

53   convenience of the POD to identify such organized structures.

54 Specifically, POD allows the most energetic structures or modes (on average) of an ensemble of

55 functions or experimental or numerical data to be extracted. Each of these modes will have a spatial

56 part or field (topos, eigenvectors) and a temporal part (chronos). In this way, it is possible to identify

57 and study the spatial organization and temporal variations of each POD mode. Such a decomposition

58 is very convenient because it allows understanding the role of each component individually or in

59 combination with other modes. Indeed, a linear superposition of selected POD modes can be used to

60 represent all the members of the ensemble. The selection of modes to be used depends on the

61 temporal and spatial scale of interest. It can also be shown that such a reduced order method is

62 optimal in terms of minimizing the mean-square error between the input data and its modal

63 decomposition. This fact represents an extremely attractive numerical advantage (Holmes et al.,

64 1996).

65 The POD methodology has also been shown to be effective for studying instabilities (Hasal, 2000;

66 Hasal et al., 2004) and the transition to the turbulent regime in fluids (Knight & Sirovich, 1990;

67 Holmes et al., 1996). In Arányi et al., (2013) the technique was also used to study complex flows. This

68 technique has also proved to be very useful for the analysis of turbulent flow fields in mixing tanks

69 (Raju et al., 2005; Gabelle et al., 2013; de Lamotte et al., 2018b; Janiga, 2019; Fernandes del Pozo et

70 al., 2020; Mikhaylov et al., 2021). For example Raju et al., (2005) implemented the POD algorithm to

71 study the structures associated to the fluctuations about the mean flow induced by the impeller in a

72 stirred tank. In this work, experimental PIV (particle image velocimetry) data were used as input to

73 the method for different diameters of a Rushton-type turbine, and Reynolds numbers between 4,000

74 and 80,000. Moreover (Liné et al., 2013) used experimental PIV data from a mixing tank to study the

75 presence of coherent structures and the turbulent phenomena associated. In addition, the kinetic

76 energy and its viscous dissipation were successfully obtained from the POD methodology. Likewise

77 (Gabelle et al., 2013, 2017; Fernandes del Pozo et al., 2020) used the decomposition method in 2D

78 PIV data to reconstruct organized motion induced by impeller blades without performing angle-

79 averaged sampling. The manner in which energy was transferred between the POD modes was also

80 studied. Also it is reported in the literature the application of the POD on CFD data for the study of

81 turbulent swirling flow in an axisymmetric sudden pipe expansion (Howard et al., 2017). The order

82 reduction technique used proved to be quite robust in reducing the transient database, showing its

83 potential to provide valuable insights into the flow structure. By using a large array of pressure and

84 velocity data, POD was able to pick out several key flow features, including the movement of

85 vortices, and the structure and period of the precessing flow. This was followed by the

86 reconstruction of the flow field de Lamotte et al., 2018a, 2018b assessed the dynamics for a stirred

87 tank by applying POD to a 2D domain using CFD and PIV data. As reported in this work, the reduced

88 order method was able to decompose the fluid flow into different structures: mean flow, coherent

89 structures, and turbulent flow. Also (Janiga, 2019) applied the POD algorithm for the analysis of

90 coherent structures and macro-stability present in a 3D (3-dimensional), 3C (3-component velocity)

91 LES (Large Eddy Simulation) simulation for an unbaffled stirred tank. In this numerical experiment the

92 sliding meshing technique was implemented, thus the simulation domain was divided into a fixed

93 part and a rotating part. A similar work was recently published by (Mikhaylov et al., 2021b). The data

94 was created through a Direct Numerical Simulation (DNS) with a "frozen rotor" approach and

95 Reynolds numbers of 500, 600 and 700. In this research, POD was useful to reconstruct the temporal

96 evolution of large-scale organized vortical structures behind the blades of a Rushton impeller in a

97 non-baffled stirred tank. It was found that the first two modes dominate the energy spectrum by

98 carrying 90% of the total mean kinetic energy. It also is relevant to mention that some higher modes

99 (3rd, 4th and 5th, 6th) came in pairs in the energy ranking.

100 These two latest remarkable works show the feasibility of the numerical recipe for model order

101 reduction in very complex flow fields. However, some important points were not addressed. For

102 example in (Janiga, 2019), the POD decomposition was applied to each part of the mesh separately

103 but a reconstruction of the 3D, 3C velocity field for the bulk was not performed. In general, it is

104 neither simple nor straightforward to determine the relationship and similarity between the POD

105 method for separate parts of the domain and the POD method corresponding to taking the domain

106   as a whole. This is due to the essentially statistical nature of the method. Additionally an analysis of

107   the efficiency of the POD method in terms of calculation time, global and localized error was not

108   provided. (Mikhaylov et al., 2021b) performed the reconstruction of coherent structures just in the

109   zone around the impeller blades at low Reynolds number through the POD treatment of the velocity

110   field expressed in a rotating frame. Finally, in both investigations the presence of baffles in the

111   simulated stirred tanks was not considered. The incorporation of these elements is of great

112   importance due to their contribution to the mean flow (circulation loops) and their decisive role in

113   mixing phenomena. To sum up, running time-resolved CFD (Large Eddy Simulation or U-RANS) and

114   applying Reduced Order Modeling such as POD (Proper Orthogonal Decomposition or Karhunen-

115   Loève decomposition) is appealing because it generates a time-varying velocity fields at a moderate

116   expense while preserving the spatial resolution (Du et al., 2013; de Lamotte et al., 2018b). This opens

117   the route to both refined and cost-effective description of the unsteady velocity fields. From this

118   brief review of the existing literature on the subject, it appears that the POD analysis of the flow field

119   in a baffled stirred tank, in the fully turbulent regime (at a Reynolds number > 10^4), using CFD data

120   computed with a sliding mesh approach has not been considered yet.

121   The main objective of the present work is to perform a numerical reconstruction of a three-

122   dimensional velocity field in a four-baffled stirred tank, operated in a turbulent regime, making use of

123   the Proper Orthogonal Decomposition. In that regime, DNS and LES are time consuming and POD

124   post-processing relies on a huge set of instantaneous 3D velocity fields. In contrast, the unsteady

125   RANS method addresses the calculation of the transient mean flow and, despite the filtering of

126   turbulence scales; it was preferred here since our prior objective is to establish the methodology for

127   the velocity field reconstruction in the entire domain. Whatever the approach used to produce CFD

128   results, a major difficulty resides in the presence of a fixed and a rotating mesh zone. It is shown that

129   the velocity field reconstruction using a per-zone treatment of the CFD data is complex and

130   cumbersome whilst a global treatment of the entire volume offers significant advantages. Originally,

131   it appears that the main flow features of the 3D velocity fields are correctly recovered with the global

132   treatment but the eigenvectors do not correspond to spatial modes, as is typically the case in POD

133   treatment. In order to assess the relevancy of our reconstruction method in terms of efficiency and

134   accuracy both the average error and the instantaneous and localized errors were calculated. Finally,

135   an analysis of the numerical cost is presented. There is no record that such a scenario has been

136   addressed before.

137   2. Theoretical background

138   As indicated by (Kosambi, 1943; Loève, 1945; Karhunen, 1946; Berkooz et al., 1993; Holmes et al.,

139   1996) the proper orthogonal decomposition (POD) or Kosambi-Karhunen–Loève decomposition

140   consists of a practical procedure that extracts the most energetic components (on average) from an

141   ensemble of functions (in the continuous case) or experimental (physical or numerical) data (in the

142   discrete case). The key idea of the technique is to provide a vector space basis with the special

143   condition that the average square projection of the data ensemble in this vector space is maximum.

144   In this scenario it is possible to reduce a large number of interdependent variables to a much smaller

145   set of uncorrelated variables while maintaining the spatial and temporal resolution of the original

146   ensemble of variables (Liang et al., 2002; Kerschen et al., 2005). When applied to fluid flow analysis,

147   the data ensemble can be obtained from a series of experimental or simulated velocity fields.

148   Once the spatial structure of the ensemble is extracted and represented by a set of orthogonal

149   functions named eigenvectors (or modes), the members of the original ensemble can be represented

150   as linear combination of these modes. The most striking property of the POD is that the p-modes

151   reconstruction (finite truncations in the modal expansion) is optimal as it minimizes the mean square

152   of error in comparison with any other possible orthogonal basis having the same dimension p. Should

153   the data ensemble be made of a collection of instantaneous three-dimensional velocity fields, the

154   original field $\vec{U}(x, t)$ is approximated as a linear combination of p modes, $\vec{\phi}_k(x)$ weighted by time

155   varying coefficients, $a_k(t)$.

$$\vec{U}_{POD}(\boldsymbol{x}, t, p) = \sum_{k=1}^{p} a_k(t)\vec{\phi}_k(\boldsymbol{x}) \qquad (1)$$

156 The Direct and the Snapshots methods are the most popular POD approaches. The choice of the

157 method depends on the spatial and temporal resolution contained in the data ensemble. For

158 example, when the spatial resolution is very high compared with the time resolution, the Snapshots

159 Method is less demanding in terms of computational cost (Sirovich, 1987a, 1987b, 1987c; Smith et

160 al., 2005).

161 The POD equations

162 Let us consider N arbitrary 3D velocity fields, $\vec{U}_n$, sampled in L points at time t_n with $n \in \{1, \dots, N\}$.

163 Using the POD terminology, these instantaneous 3D velocity fields are named snapshots. Then, the

164 idea is to find the most "typical" or "characteristic" structures $\vec{\phi}_k(\boldsymbol{x})$ in the set of $\vec{U}_n$.

165 The data ensemble is first arranged in a matrix $\underline{M}_{3L \times N}$ of the form:

$$\underline{M} = \begin{pmatrix} u_1^{(1)} & \dots & u_1^{(N)} \\ \vdots & \dots & \vdots \\ u_L^{(1)} & \dots & u_L^{(N)} \\ v_1^{(1)} & \dots & v_1^{(N)} \\ \vdots & \dots & \vdots \\ v_L^{(1)} & \dots & v_L^{(N)} \\ w_1^{(1)} & \dots & w_1^{(N)} \\ \vdots & \dots & \vdots \\ w_L^{(1)} & \dots & w_L^{(N)} \end{pmatrix} = \begin{pmatrix} \boldsymbol{U}^{(1)} & \dots & \boldsymbol{U}^{(N)} \end{pmatrix} \qquad (2)$$

166 Such a matrix is known as the *snapshots matrix* $\underline{M}$. From (2) the covariance or correlation tensor $\underline{R}$

167 can be constructed following the Direct or Snapshot method as follows:

$$\underline{R} = \begin{cases} \dfrac{1}{N}\, \underline{M}\left(\underline{M}\right)^T & \text{Direct method} \\[2ex] \dfrac{1}{N}\left(\underline{M}\right)^T \underline{M} & \text{Snapshot method} \end{cases} \qquad (3)$$

168   The correlation tensor contains the degree to which and the manner in which, the velocities at

169   different points are correlated. Consider the velocity vector **U**$_1$ at a point 1 and the velocity **U**$_2$ at a

170   point 2 of the fluid domain. If the velocities **U**$_1$ and **U**$_2$ are statistically dependent their correlation will

171   be different from zero, otherwise such correlation will be zero. Using the Direct method, the

172   covariance tensor is represented by a $3L \times 3L$ matrix, where L represents the number of data

173   points. This array represents a time-averaged two-point spatial correlation. Such a process makes the

174   computational cost immense when the number of points in the studied domain is large (the size of

175   the tensor $\underline{R}$ is $(3L)^2$).

176   Alternatively, it is possible to apply the Snapshot method proposed by (Sirovich, 1987a; Smith et al.,

177   2005) which is always valid when the ergodic hypothesis is fulfilled (all accessible microstates in the

178   phase space have the same probability to occur over a long period). In this case, the covariance

179   tensor is represented by a *N x N* matrix and a correlation is sought between the different realizations

180   of the velocity. Such a process makes the computational cost less prohibitive compared with the

181   Direct method (the size of the tensor $\underline{R}$ is only *N²*). Due to this advantage, the Snapshot method is

182   used in this work.

183   This Snapshot approach consists in solving an eigenvalue problem to find the eigenvalues λ_k and

184   eigenvectors $\vec{Y}_k$ through a diagonalization of the correlation tensor $\underline{R}$ (snapshot case from (3)):

$$\underline{R}\vec{Y}_k = \lambda_k \vec{Y}_k \qquad\qquad (4)$$

185   The eigenvalues λ_k are the same for the Direct method and the Snapshot method because (3) is a

186   Hermitian matrix. However, the eigenvectors are different for both approaches.

187   In order to recover the eigen-vectors in the spatial space, eigen-vectors $\vec{Y}_k$ are transformed into

188     $\vec{\phi}_k$ using equation (5):

$$\vec{\phi}_k(\boldsymbol{x}) = \underline{M}\vec{Y}_k \frac{1}{\lambda_k}, \qquad k = 1, \dots, N \qquad\qquad (5)$$

189

190 Since the eigenvectors constitute an orthonormal basis, they obey the following relationship.

$$\left(\vec{\phi_i}\right)^T \vec{\phi_j} = \delta_{ij}, \; i,j = 1, \dots, N, \delta_{ij}: \text{delta de Kronecker} \qquad (6)$$

191 After the calculation of the eigenvector, $\vec{\phi_k}(\boldsymbol{x})$ the modal components $a_k(t)$ are obtained as:

$$a_k(t) = \underline{M}^T \vec{\phi_k}, \qquad k = 1, \dots, N \qquad (7)$$

192 This completes all the elements necessary to reconstruct the velocity field according to (1).

3. Material and methods

194   3.1 Stirred tank and simulation set up.

195   Unsteady RANS CFD simulation in a 70 L baffled stirred tank is performed to produce our numerical

196 data. The geometry of the flat-bottom stirred tank equipped with a Rushton turbine and four baffles

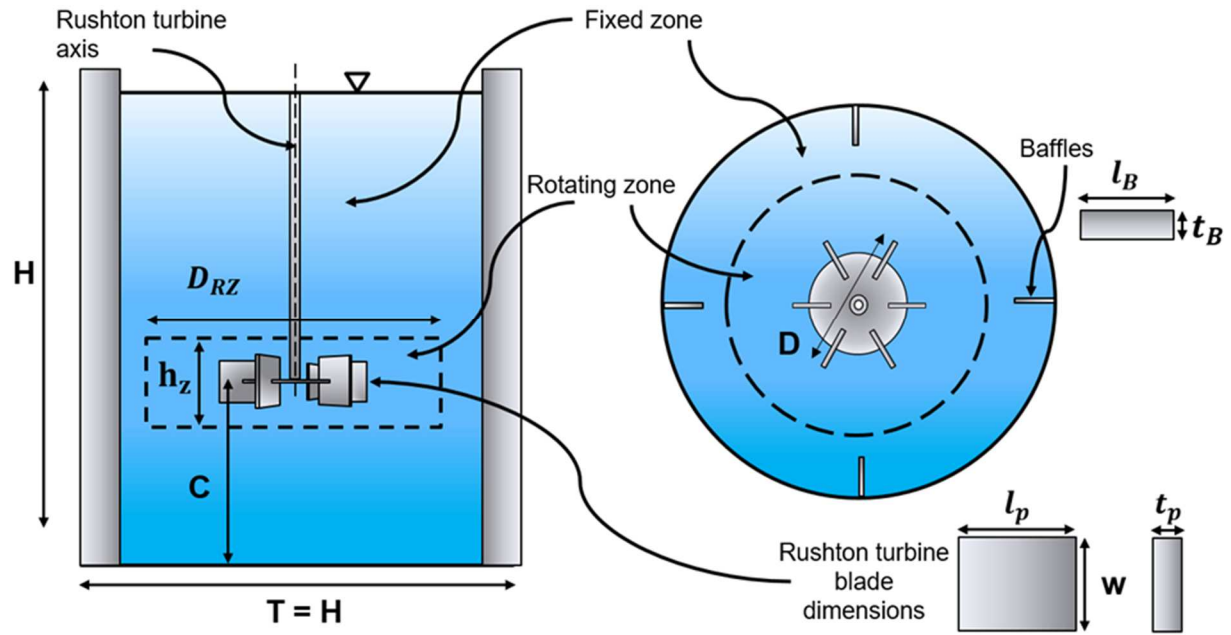197 is presented in Figure 1. Likewise, Table 1 provides the dimensions of the simulated tank.

198

199 *Figure 1 Configuration of the simulated stirred tank.*

200 *Table 1 Dimensions (in meters) of the simulated stirred tank*

C	D	D_{RZ}	H	h_z	l_B	t_B	l_p	t_p	w
0.1500	0.1500	0.3000	0.4500	0.0600	0.0450	0.0050	0.0375	0.0020	0.0300

201

202 Water at room temperature and atmospheric pressure (density ρ = 998.2 $\mathrm{kg. m^{-3}}$, dynamic viscosity

203 μ = 1.003 x $10^{-3}\mathrm{kg. m^{-1}. s^{-1}}$) is used as working medium. The stirring speed is 150 RPM (revolutions

204 per minute) what corresponds to an angular velocity $\omega = 5\pi\ rad. s^{-1}$ or a frequency f = 2.5 Hz,

205 according to (8) the Reynolds number is 56250.

$$Re = \frac{\rho\ f\ D^2}{\mu} \tag{8}$$

206 The power number N_p for the Rushton turbine is deduced from the torque on the impeller (P =

207 C ω = 6.5 Watts) according to equation (9)

$$\boldsymbol{N}_{\mathrm{p}} = \frac{\mathrm{P}}{\rho f^3 D^5} \tag{9}$$

208 The calculated value $\boldsymbol{N}_{\mathrm{p}} = 5.4$ allows determining the volume averaged viscous dissipation of kinetic

209 energy $\bar{\varepsilon}$ computed from equation (10), we obtain a value of 0.090 $\mathrm{m^2. s^{-3}}$ or 90 $\mathrm{W. m^{-3}}$.

$$\bar{\varepsilon} = \frac{N_p\ f^3\ D^5}{V} = \frac{4}{27\ \pi}\ N_p\ f^3\ D^2 \tag{10}$$

210 The mesh grid (1,129,140 cells and 1,184,282 nodes), models, settings and the numerical simulation

211 procedure are identical to those used in a previous work (Delafosse et al., 2008). The standard $k - \varepsilon$

212 turbulence model is used with a standard wall function. A symmetry boundary condition is adopted

213 on the free surface. The domain is divided into two zones: the fixed zone contains the walls, baffles,

214 the major part of the shaft, and the volume outside the rotating zone, the latter is a cylindrical

215 domain, which contains the impeller and a small portion of the shaft (see Figure 1). It must be

216 noticed that a structured mesh made of hexahedrons was built in that zone (Figure 2). The starting

217 point was a projection of all necessary edges on a horizontal plane followed by the creation of as

218 many surfaces as needed to further build the impeller shape. Each face was meshed and the volume

219 mesh obtained using the sweeping method. Owing to this strategy, the mesh is made of prismatic

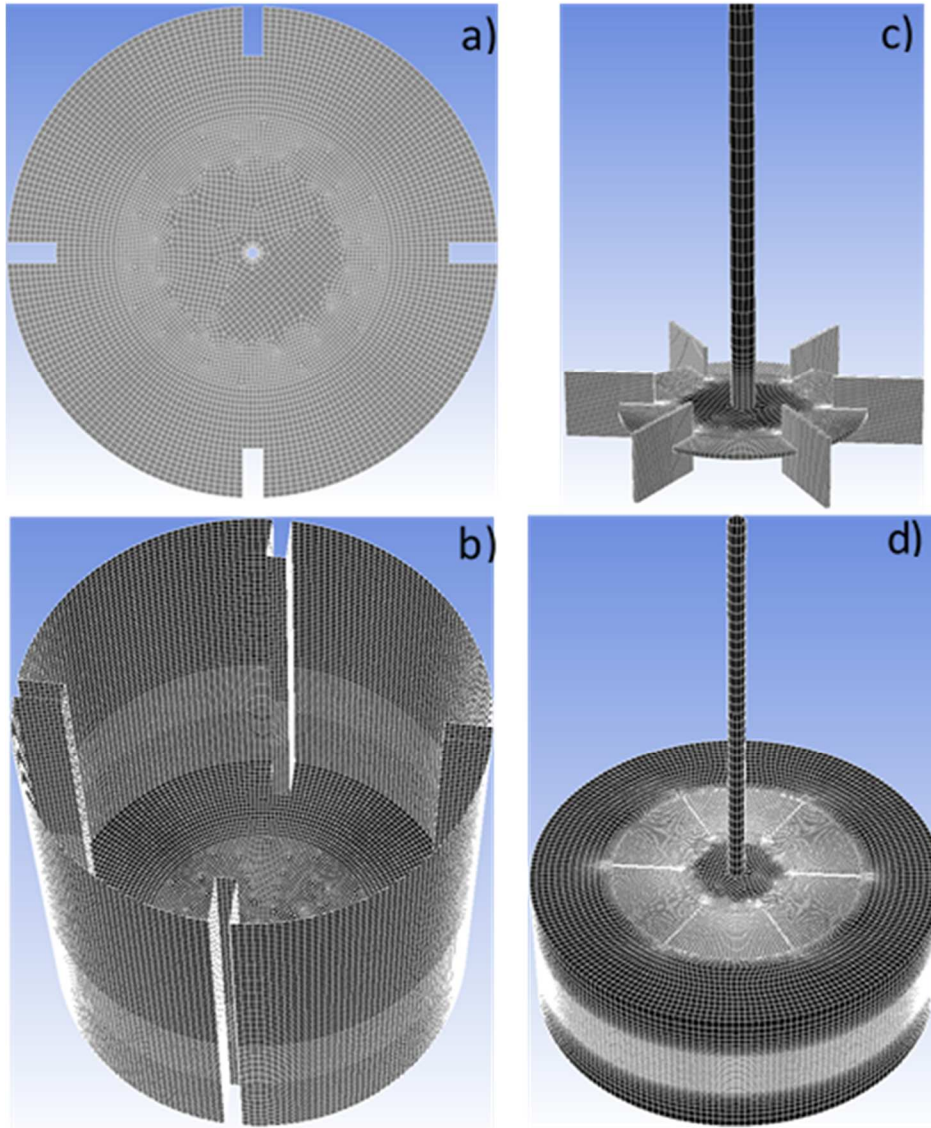220 cells and it is almost invariant by rotation around the vertical axis.

221

Figure 2.Mesh FLUENT views of the most important parts of the simulated tank: a) Top surface of the tank, b) External walls of the tank, c) Shaft and Rushton turbine, d) Shaft and rotating zone of the simulation domain.

224 The CFD calculations and data treatment were performed with ANSYS-FLUENT R20 on a parallel

225 computer equipped with 40 processors Intel Xeon(R) E5-2660, 2.60 GHz. The time step used in the

226 simulation is $\Delta t_{CFD} \approx 5.10^{-4}\ s$ corresponding to an angular rotation of 0.5 degrees per time step

227 and a Courant number less than 1 in the whole domain to ensure numerical stability. Third order

228 discretization scheme are used. Within each time step, 30 iterations of the non-linear solver were

229 necessary to reach a plateau at 10^{-4} for the velocity and continuity residuals.

230 The convergence toward a stationary flow was assessed using two criteria: the equality between the

231 torque on the turbine and the torque on the external walls of the tank, and the equality between the

232 volume integral of the turbulent dissipation rate and the power input. Regarding the first criterion, it

233 is found that the torque on the turbine and the turbine shaft is practically identical to the torque

234 generated on the external walls of the tank. Regarding the second criterion, the ratio of energy

235 dissipation to the power input is 98%. The stationary flow field was established after 139 turbine

236 revolutions (4 days of simulation) (see "Physical convergence of the numerical results" in

237 Supplementary Information)

238 3.2 Sampling and data processing

239 The sampling of CFD data consists of a set of 3D velocity fields or snapshots collected in the entire

240 simulated domain (1,129,140 cells). A total physical time of 11 s, representing 28 complete rounds of

241 the turbine and requiring 26 days of computations, was spanned. During this time lapse, 386

242 snapshots were taken every 53 computational time steps. The time interval between two samples is

243 $\Delta t_{st} = 0.03$ s ($\Delta t_{st} = 53 \, \Delta t_{CFD}$). A sensitivity of the results to the number of snapshots is presented

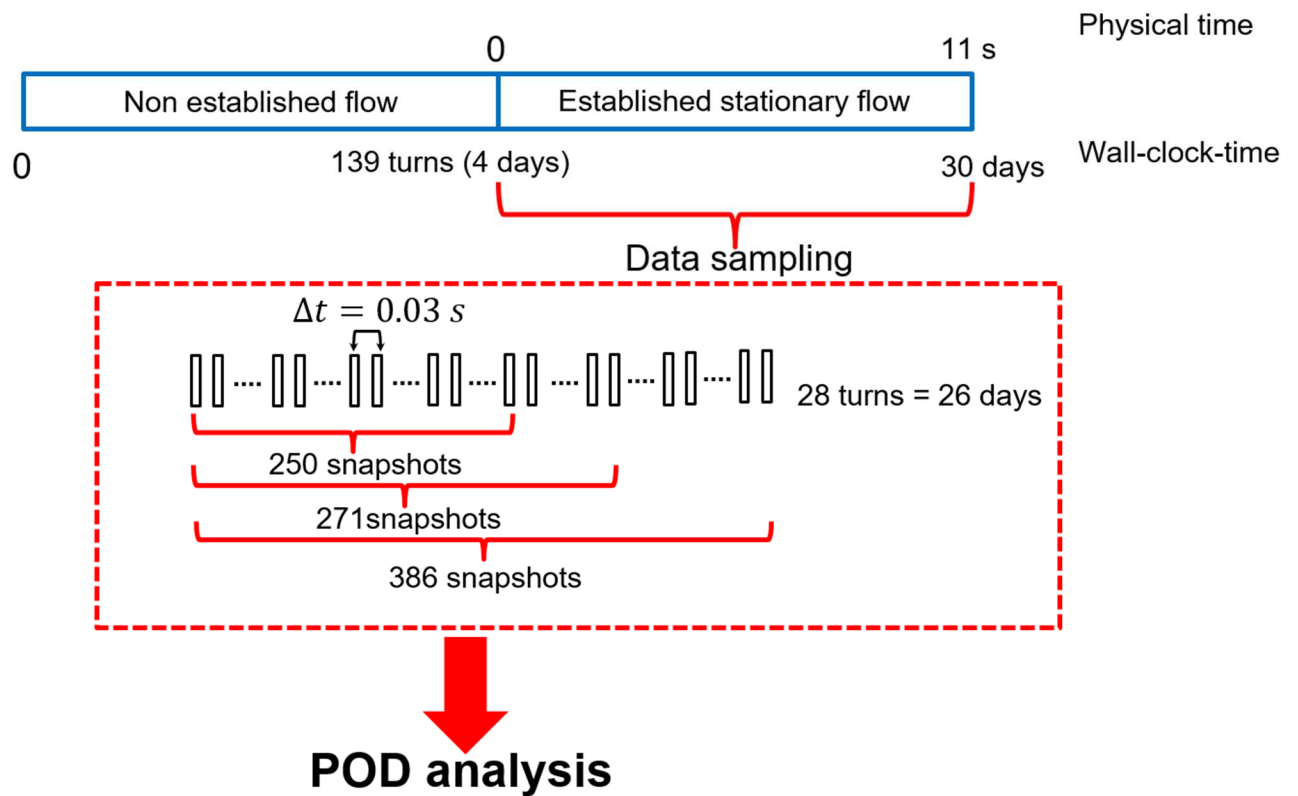244 in the result section. Figure 3 provides an overview of the sampling data chosen in the present study.

245

246 *Figure 3. Sampling data sets collected for apply POD. Each rectangle represents a snapshot of registered velocity.*

247 Finally, because the POD is a statistical method it is necessary to check that the number of snapshots

248 as well as the time window of data acquisition are sufficient to capture the structures carrying the

249 highest variance in the flow. The total simulation time must be long enough to record sufficient

250 information of the rotational motion while the sampling frequency must be high enough to prevent

251 the filtering of small-scale fluid motion. In addition, attention must be paid regarding the sampling

252 frequency to avoid the collection of snapshots "in phase" with the impeller rotation (see "Impeller

253 rotation and data sampling" in Supplementary Information).

254 A typical POD decomposition requires that velocity vectors and cell location be expressed in the

255 same frame. This is the case when POD is performed on experimental PIV data, since velocities are

256 generally measured in a fixed plane (Liné et al., 2013; Rodriguez et al., 2013). Some particular

257 attention must be paid when dealing with CFD data. In a previous work, Mikhaylov and co-workers

258 used CFD to compute the velocity field in an unbaffled stirred tank. The problem was solved in a

259 rotating reference frame using a single mesh domain (Mikhaylov et al., 2021a). Here also, velocities

260 are expressed in the rotating frame and calculated at some fixed position in that frame. In the

261 present work dealing with a stirred tank, the presence of baffles necessitates to split the fluid domain

262 into two mesh zones, a fixed one and a rotating one. In order to satisfy the above-mentioned

263 condition for a typical POD decomposition, velocities must be expressed in the inertial frame for the

264 fixed zone and in the rotating frame for the moving zone. The export of data, in FLUENT, extracts

265 velocities expressed in the inertial frame. Thus, prior to the POD treatment, the data collected in the

266 rotating zone have to be converted into velocities in the moving frame (see Figure 4). Then, the POD

267 treatment was performed separately for each set of velocities. This "per zone approach" corresponds

268 to the procedure adopted by Janiga when two mesh zones are present in the CFD model (Janiga,

269 2019).

270 Alternatively, a global POD treatment considering the two zones altogether (with the entire velocity

271 field expressed in the inertial frame) is attempted with a view of performing the 3D reconstruction of

272   the flow field in the entire fluid domain. This global approach has not been yet proposed in the

273   literature for baffled stirred tanks and its feasibility remains to be demonstrated. We will show that

274   the global treatment is feasible bearing in mind that its essential purpose is not to perform a

275   hydrodynamic study of the fluid flow structure but a reconstruction of the velocity field by means of

276   a linear combination of POD modes. Evidence of that will be provided in the section of 5.3. Assessing
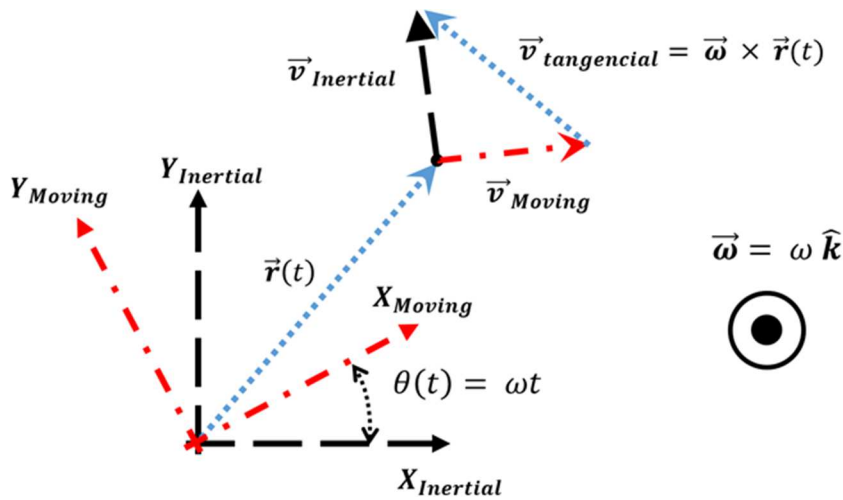
277   the accuracy of the flow field reconstruction.

278

279   *Figure 4. 2D schematic representation for the velocity vector expressed in the inertial and moving frames. The inertial frame*
280   *is equivalent to the laboratory frame of reference. The moving and rotating frame share the same origin. The rotating frame*
281   *rotates around the Z-axis with angular velocity ω. In that frame, the coordinates of the rotating cells are time independent.*

282   Before going into the details, it is of utmost importance to observe that the data extracted from the

283   CFD code are the components of the velocity vectors expressed in the inertial frame (laboratory

284   reference frame). In addition, this information is referenced with respect to a cell index. Thus, each

285   3D snapshot results in an array containing the cell index in the first column followed by the three

286   components of the velocity vector in the inertial reference frame ($\vec{U}_F(CI)$). The first step of the POD

287   treatment consists in rewriting the three components of the velocity in a column vector

288   corresponding to one snapshot. The rows of the matrix $\underline{M}$ represent the grid cells and the columns

289   represent the time instants at which the data were registered. When moving along a row, the time

290   changes but a given line always corresponds to the same cell index CI as illustrated in Figure 5.

As time passes the position of each cell changes due to mesh rotation. The velocity is always recorded in the same cell over time.

Cell index	$\vec{r}(t = t_0)$	$\vec{r}(t = t_1)$	....	$\vec{r}(t = t_N)$
1	$\vec{U}_{Cell\,1}$	$\vec{U}_{Cell\,1}$	....	$\vec{U}_{Cell\,1}$
2	$\vec{U}_{Cell\,2}$	$\vec{U}_{Cell\,2}$	....	$\vec{U}_{Cell\,2}$
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
k^{th}	$\vec{U}_{Cell\,k}$	$\vec{U}_{Cell\,k}$	....	$\vec{U}_{Cell\,K}$

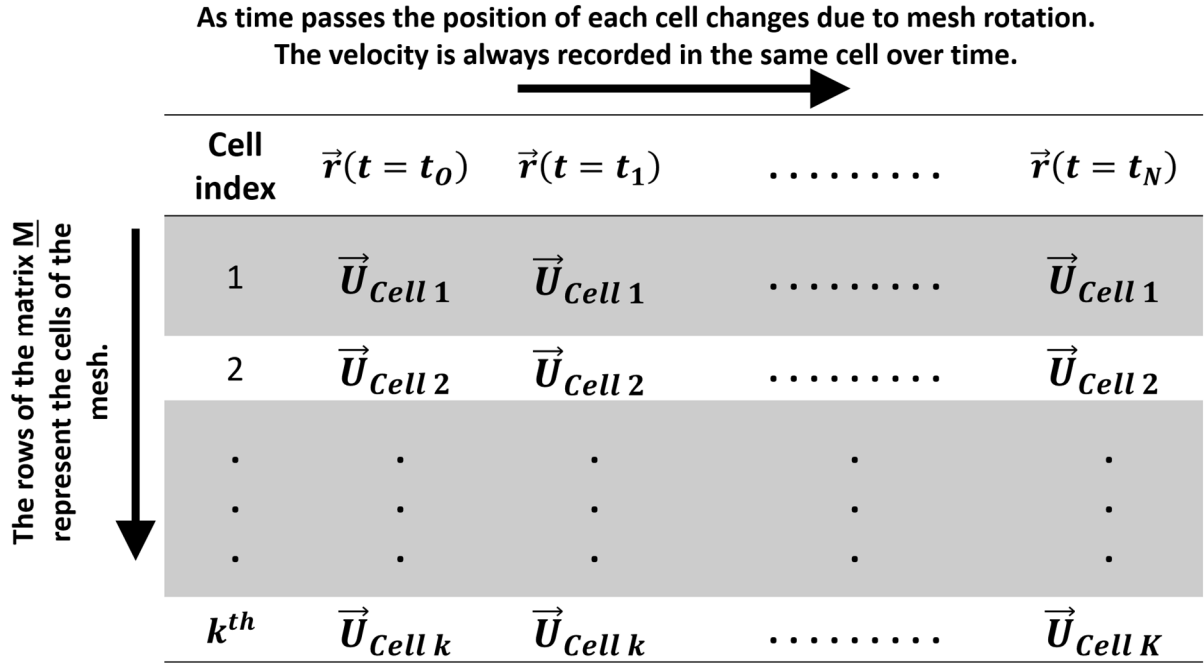The rows of the matrix $\underline{M}$ represent the cells of the mesh.

Figure 5. Schematic representation of the data recording used to build the snapshot matrix $\underline{M}$ for N snapshots and k cells. The velocity is always registered for a given cell although, for those cells belonging to the rotating zone, the spatial coordinates change over time due to the mesh rotation.

4. POD modal analysis

4.1 POD analysis in the fixed zone

First, the POD analysis is performed using 386 snapshots containing the velocity vector belonging to the fixed zone of the mesh, $\vec{U}_{IF} = \left(U_x, U_y, U_z\right)_{IF}$. Velocity components are here expressed in the inertial frame (IF). In that case, the relationship between the cell index and the cell location in the inertial reference frame is time independent. The mode spectrum is presented in Figure 6a, as well as the corresponding modal component and the vector field associated to the first mode. As expected, this first mode accounts for 90% of the total variance, its modal component is positive, almost time independent (Figure 6a,b) and thus this first mode reveals the structure of the mean flow. It is also remarkable the presence of two additional modes which carry about 10% of the total variance. These modes reveal a periodic fluid motion in the region outside the rotating zone of the grid, induced by the impeller rotation. As shown in Figure 6b, the corresponding modal components oscillate around zero with a period of 0.4 s corresponding to the impeller frequency of 2.5 Hz. The possibility of

308　identifying these oscillatory modes is an outstanding advantage that justifies the use of the POD

309　methodology. In addition, Figure 6c and Figure 6d, provide different views of the spatial

310　configuration contained in the first mode. Note that in Figure 6c an angular sector of 3.5 degrees is

311　visualized in a vertical XZ-plane. Well-known organized structures are present: jet flow, recirculation

312　loops, and vortices behind the baffles. Additionally, a predominantly axial fluid flow can be observed

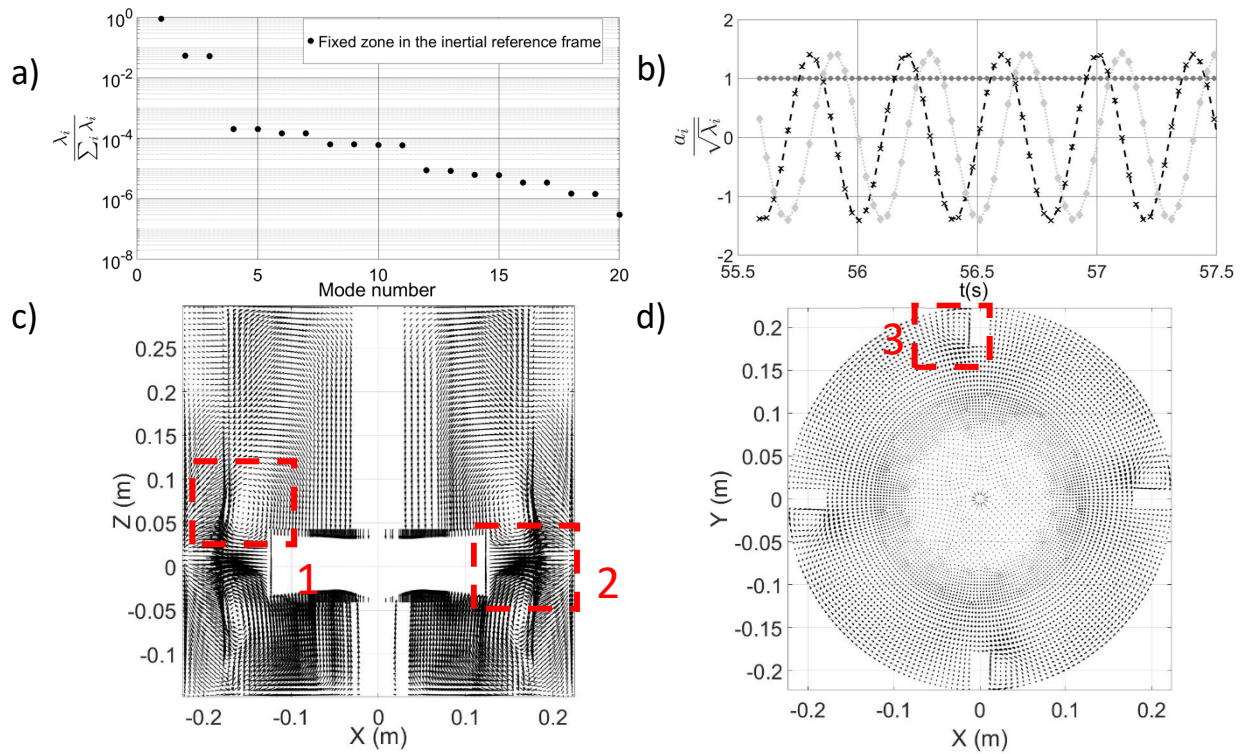313　near the shaft and in the regions above and below the rotating zone.

314

315　*Figure 6. POD analysis of the flow in the fixed zone of the mesh: a) mode spectrum, b) mode component associated with the*
316　*top three ranking modes, circles: first mode, x's second mode and rhomboids third mode (each data set is normalized by the*
317　*variance corresponding to each mode.), c) First eigenvector visualized in a vertical XZ plane, d) Top view of the first eigen-*
318　*vector. The main feature of the mean flow, i.e. radial jet flow, recirculation loops and coherent vortices behind the baffles*
319　*are clearly visible (red boxes 1, 2 and 3).*

320　The seemingly absence of velocity vectors in the central zone around the shaft (Figure 6c) is an

321　artefact due to the small number of grid points used to describe the shaft surface itself. Since there is

322　only one grid point every 30° around the shaft, the probability to find a grid node within the angular

323　sector of only 3.5 °decreases as one approaches the shaft. Thus, the side view of the velocity field in

324　the sector may not contain enough information close to the shaft resulting in an apparent absence of

325   data. However, it can be seen, from the top view (Figure 6d) that the flow field reconstruction at

326   every grid point of the computational mesh is actually obtained close to the shaft.

327   4.2 POD in the rotating zone

328   Then, the POD analysis is performed using the velocity vectors belonging to the rotating zone of the

329   mesh using again 386 snapshots. In this zone, the mesh rotates with respect to the inertial reference

330   frame and the velocity fields $\vec{U}_{IF}$ are obtained in different locations for each time instant.

331   Consequently, the velocity fields must be expressed in a reference frame in which the velocity

332   measurement coordinates do not change in time. We recall here that the CFD code export procedure

333   gives access to the Cartesian velocity components in the inertial reference frame. The velocity

334   components in the moving (or rotating) frame, $\vec{U}_{MF}$, were deduced performing the next steps:

i. $\vec{U}'_{IF} = \vec{U}_{IF} + \vec{r}(t) \times \vec{\omega}$

                                                                            (11)

ii. $\vec{U}_{MF} = \underline{M_{Rotation}}\vec{U}'_{IF}$

335    $\vec{r}$ is the position vector of any cell expressed in the inertial reference frame. Importantly, the vector

336   position of a cell differs from one snapshot to the other due to the rotation of the mesh. This rotation

337   takes place around the vertical axis (Z-axis) aligned with the shaft and the position vector is known

338   from the current cell coordinates $\vec{r}(t) = \vec{r}(x_{IF}(t), y_{IF}(t), z_{IF}(t))$. $\underline{M_{Rotation}}$ is the time dependent

339   rotation matrix that is used to express the velocity $\vec{U}'_{IF}$ in a frame attached to the turbine (the

340   position of the mesh in the first snapshot is used as a reference). Once the entire data set is treated,

341   the snapshot database now contains a time series of the velocity components in the moving

342   reference frame. Since the mesh is subjected to a solid rotation, the location of the cells, in the

343   moving (rotating) frame, is now also time-independent. Once the transformations of (11) have been

344   performed, the POD algorithm can be used. The POD results obtained are displayed in Figure 7.
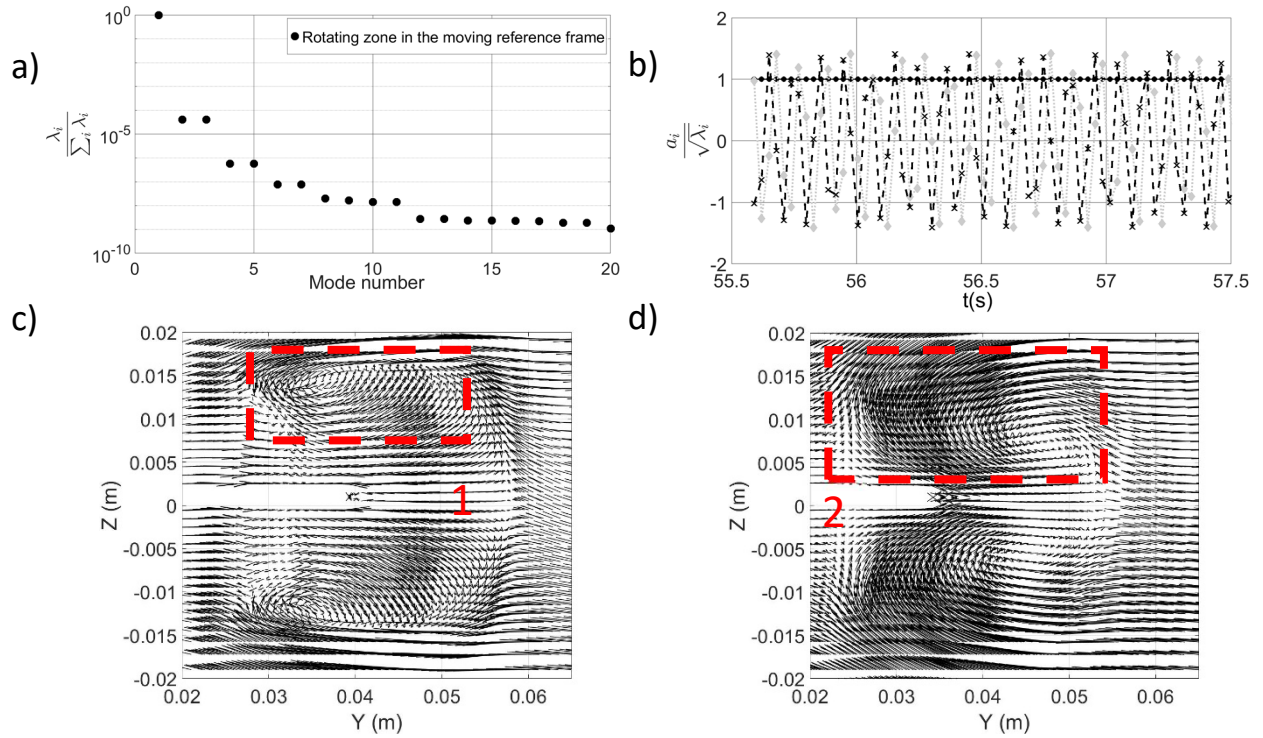
Figure 7. POD results for the velocity fields in rotating zone measured in the moving frame. a) Mode spectrum: one mode dominates the variance ranking; b) mode components associated to the three modes, circles: first mode, x's second mode and rhomboids third mode (each data set is normalized by the variance corresponding to each mode). The modal component of the first ranking mode is time independent and the second and third modes both oscillate with a frequency of 10 Hz. These oscillations are related to the passage of the baffles observed from the moving frame. c) The first eigenvector in the plane YZ three degrees behind one of the turbine blades reveal the presence of the trailing vortices (red box 1), d) The first eigenvector in the plane YZ six degrees behind one of the turbine blades reveals the radial displacement of the trailing vortices (red box 2).

As shown in Figure 7 the variance ranking is dominated by a single mode. In addition, this mode

component is strictly time constant, as shown in Figure 7b. The second and third modes both

oscillate with a frequency of 10 Hz or a period of 0.1 s (see the table "Fitting for the second and third

modes for the moving frame" in Supplementary information). The impeller period is 0.4 s; since there

are four baffles, the period associated with each baffle is 0.1 s. The first eigenvector contains the

typical trailing vortices. They appear here as coherent structures attached to the turbine blades. The

presence of such structures is shown in Figure 7c and Figure 7d for different positions of one of the

turbine blades. The above results agree with the description of the fluid flow in a reference frame

moving with the turbine blades. However, transformation (11) modifies the energy content of the

363 initial data and there is no mathematical link between the kinetic energy in the rotating frame and

364 the inertial frame.

365 4.3 Reconstruction of the velocity field from the POD results per zone.

366 Once the procedure of reconstruction of the velocity field in the fixed and rotating zones has been

367 carried out, the velocity field was constructed (expressed in the inertial reference frame) in the

368 whole domain of the stirred tank. Since the two zones mentioned above were processed

369 independently, the reconstruction of the velocity field was done by placing the reconstructed vector

370 fields in each region. Special attention must be paid to two important details. First, the entire velocity

371 field was built as a sum of the velocities reconstructed in each zone because the POD components

372 involved are synchronized (the same snapshots were used). Second, all the data (fixed and moving

373 zone) must be expressed in the inertial reference frame.

374 In the case of the fixed zone, no additional operation is necessary because the POD technique was

375 applied on a fixed grid. Therefore, for this area, the reconstruction of the velocity field is already

376 expressed in the inertial reference frame. The foregoing case is not valid for the moving zone

377 because the CFD data were processed through the transformations (11). Consequently, the

378 reconstructed velocity field in this region must be manipulated to express it back in the inertial

379 reference frame. Concretely, inverse transformations of (11) must be applied to the reconstructed

380 vector fields showed in Figure 7.

381 To sum up, in order to produce a 3D velocity reconstruction in the entire fluid domain, the recorded

382 3D fields are split into two zones, the subset of data corresponding to the rotating or moving zone

383 are transformed (through equation (11)), then typical POD is applied in each subset of data, velocity

384 fields for both are reconstructed, velocities in the moving zone are transformed back to the inertial

385 frame and finally, the two velocity fields are gathered in a single file. The procedure described is

386 feasible but it is long, complex and cumbersome.

387   4.4 Global POD treatment

388   In the previous treatments, velocities are expressed either in the fixed or in the moving or rotating

389   frame. Such velocity fields are also referenced by a cell index, which does not change in time, i.e.

390    $\vec{U}_{IF}(CI, t), CI = h(CI)$. In addition, as indicated in the mathematical presentation of the technique,

391   POD is a statistical method in which a correlation is sought between the different realizations and the

392   procedure in itself does not require that all data are recorded at the same location. In the present

393   case, the cell index is time independent while the relationship between the cell index and the

394   location of that cell at any time is readily accessible. Therefore, it was postulated that a global POD

395   treatment considering the entire mesh, with all the velocities expressed in the inertial frame of

396   reference could make sense. The collected snapshots were analyzed using the numerical procedure

397   described in the previous sections, producing a decomposition of the time varying 3D flow field on an

398   orthogonal basis of 3D vector fields called modes. It is crucial to observe that such a POD

399   decomposition is made in the space of cell indices which means that the associated POD modes are

400   not spatial modes and their physical interpretation (as flow structure descriptors) has to be

401   questioned. From a vector field reconstruction point of view the above methodology means that the

402   velocity is reconstructed for the whole domain in the geometrical conditions (angle of rotation of the

403   moving zone) corresponding to a given snapshot. Once the reconstruction is carried out in such

404   conditions, the velocity vectors belonging to the rotating zone have to be placed at the appropriate

405   location, considering mesh rotation. Presumably, this will not affect the velocity reconstruction

406   derived from the POD method; this assumption will be evaluated according to the error values

407   calculated in the results section.

408   Figure 8 depicts the POD mode spectrum obtained from the global treatment. The first three modes

409   carry 99.99% of the total system variance. The first and second modes share the same variance

410   content while the third mode has a slightly smaller variance. In addition, from mode 4 to mode 11,

411   pairs of modes of equal variance are observed. In general, a pair of modes with the same variance

412   content is typical of coherent structures propagating in space (the phase difference between the

413   time coefficients and the shifted distribution in space of the corresponding modes lead to the motion

414   of these structures). Thus, this decomposition reveals a strong spatial organization of the flow in the

415   form of multiple coherent structures with decreasing variance content. Interestingly, the third mode

416   is a single mode, which suggests that it will be associated to the reconstruction of the mean flow, the

417   time-independent component of the 3D velocity field. Similar results were obtained using either 250,

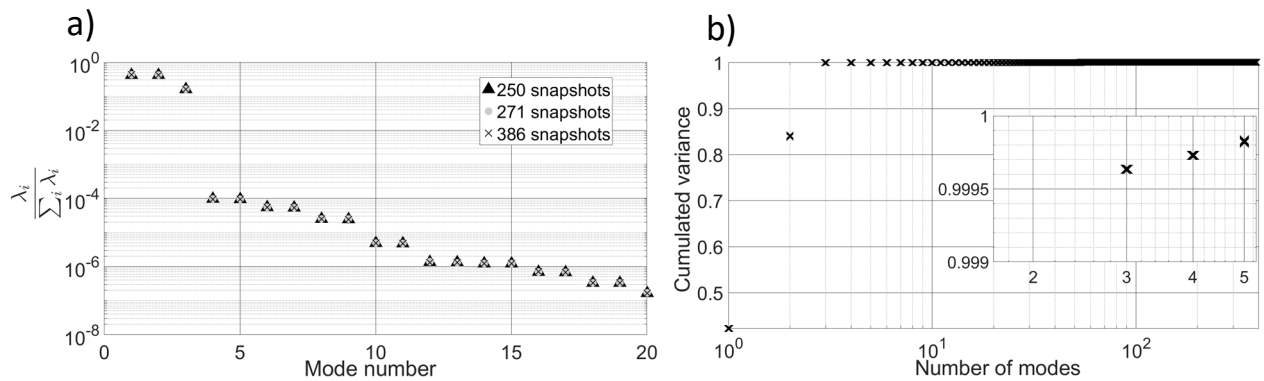418   286, and 386 snapshots, which suggests a statistical convergence of the POD methodology.

419

420   *Figure 8. a) POD eigenvalue spectrum for the first twenty modes and three different time spans: the first three modes*
421   *represents almost the 100% of the total system variance. It is also evident a correlation between pairs in the upper modes*
422   *until the eleventh one. b) Cumulated variance POD spectrum for the time span of 386 snapshots. The cumulated variance*
423   *until the third mode already represents the 99.9% of the total system variance.*

424   Since the first three modes contain practically the totality of system variance, the subsequent

425   discussion will first focus on them.

426   Figure 9a illustrates the time variation of the normalized amplitude factors associated to the first and

427   second modes over 28 impeller turns (time span 386 snapshots). The temporal organization of these

428   modes is clear; their behavior is oscillatory, with an identical period (0.4 s equal to the rotation

429   period of the turbine) and amplitude. In addition, the phase shift between these modes is $\pi/2$ as can

430   be seen from the circular configuration of the Figure 9b. Likewise, the amplitude factor of the third

431   mode, presented in Figure 9c, shows a periodic time variation (again with a period of 0.4 s) but its

432   mean value is different from zero. This fact together with its variance content suggests that this third

433   mode will be associated to the reconstruction of the mean flow. The relatively small oscillations

434   presented in that POD component is related to the interaction between the periodic flow in the

435   turbine region and the rest of the domain of the tank.

436   In order to verify statistically the periodic behavior of the coefficients a_1, a_2, and a_3 the pdf functions

437   associated to each modal component are also provided (see "Examination of a_k statistical

438   properties" in Supplementary information). It is evident that the functions are centered with respect

439   to the origin (except a_3) , proving their periodical character, which is in agreement with what is

440   reported by (Liné et al., 2013). With such an idea in mind, a fitting of the first eleven modes

441   components, using as reference the function $A \sin(\omega t + \varphi)$ was performed with the Matlab curve

442   fitting toolbox®. The results provided in Table 2 show that the considered modes exhibit a very

443   organized time pattern as all the squared correlation coefficient R^2 are equal to 1. The first three

444   modes oscillate with a period of 0.4 s (2.5 Hz) corresponding to the impeller rotation speed while the

445   remaining modes turn out to be associated with harmonic frequencies of the main frequency.

446   Moreover, the presence of paired modes with the same frequency and amplitude is evidenced. This

447   modal configuration implies a strong correlation between duets and is usually an indicator of

448   coherent flow structure being present. Additionally, Figure 10 presents the relationship of the first

449   mode with modes fourth to tenth. In agreement with the results of Table 2, each pair of modes is

450   correlated with the first one in terms of Lissajous patterns. For each pair, represented by one

451   member of each pair, the number of loops is related to the corresponding harmonic mode. Thus, for

452   example, the fifth mode presents three loops since this is the third harmonic of the main frequency

453   associated with the first mode. The same reasoning is applicable to the sixth, eighth and tenth mode.
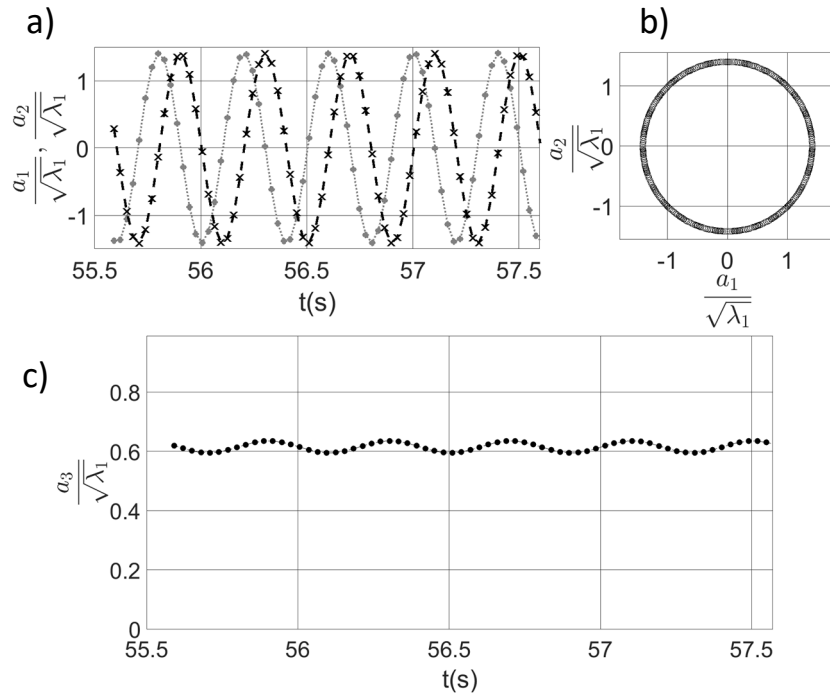
454

Figure 9. Graphical representation of the first three modes identified with the global treatment. For clarity, results are illustrated during 2 seconds only. a) Normalized time variation of the first and second mode. The circles and the crosses correspond to the modal component a_1 and a_2 respectively. The dotted and continuous lines correspond to their fitting by continuous sinusoidal functions. b) The circular configuration reveals a phase shift of $\pi/2$ between the first and second modes. c) Normalized time variation of the third mode. The solid circle corresponds to the modal component a_3. The dotted line corresponds to the sinusoidal fitting of the third mode.

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471   *Table 2. Sinusoidal fitting of the mode components, $a_k(t)$ associated to the first eleven POD modes.*

| Modal coefficient	A_k: Amplitude (m/s)	ω_k: Angular frequency (rad/s)	f_k: Frequency (Hz)	φ_k Phase (rad)	R^2	$\dfrac{f}{(2.50\ Hz)}$
a_1	454.50	15.71	2.50	17.21	1.00	1.00
a_2	454.60	15.71	2.50	15.65	1.00	1.00
a_3	6.51	15.71	2.50	15.64	1.00	1.00
a_4	6.84	47.12	7.50	7.84	1.00	3.00
a_5	6.84	47.12	7.50	12.55	1.00	3.00
a_6	5.15	62.83	10.00	21.91	1.00	4.00
a_7	5.15	62.83	10.00	26.62	1.00	4.00
a_8	3.47	78.54	12.50	5.97	1.00	5.00
a_9	3.47	78.54	12.50	4.39	1.00	5.00
a_{10}	1.53	94.25	15.00	21.61	1.00	6.00
a_{11}	1.53	94.25	15.00	23.17	1.00	6.00

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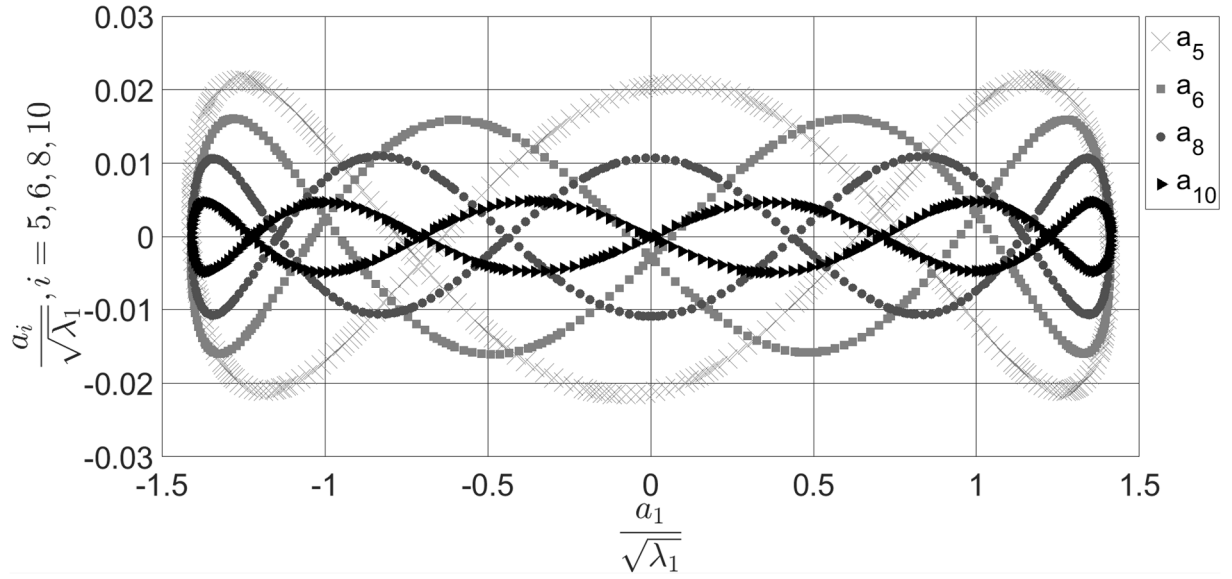
Figure 10.Lissajous patterns obtained from the temporal variation of the first to tenth modes.

475   The information provided above concerning the mode components a_k, clearly shows that the global

476   POD approach produces an unexpected pattern regarding the temporal behavior of the

477   corresponding modes. Indeed, the strong correlation between all frequencies and the impeller

478   rotation speed suggests that the mode components actually reflect some information related to the

479   mesh rotation rather than to the flow structure.

480   Now that the amplitude factors associated with each mode are expressed as continuous sinusoidal

481   functions, it becomes possible to perform a continuous reconstruction of the 3D velocity field at any

482   instant and not only at the instants corresponding to the snapshots. However, it is crucial to note

483   that the mode produced by the global POD treatment are *cell index modes* and not spatial modes as

484   is typically the case in POD analysis:

$$\vec{U}_{POD}(\boldsymbol{CI}, t) = \Sigma_k A_k \sin(\omega_k t + \varphi_k)\, \vec{\phi}_k(\boldsymbol{CI}) \qquad (12)$$

485

486   The exact location of any cell at any instant can be easily recovered from its initial position knowing

487   the rotation speed of the moving zone. For those cells belonging to the fixed zone, the relationship

488   between the cell index and the spatial location is time independent. For those cells belonging to the

489   rotating zone, the relationship between the cell index and the location in the inertial reference frame

490   is a rotation around the z-axis. The angle of rotation is $\theta(t) = \omega\,(t - t_0)$ where t_0 is the instant

491   corresponding to the first snapshot. As a result, the global procedure to perform the velocity field

492   reconstruction contains only three steps:

493   i.   Perform the POD on the entire domain

494   ii.   Reconstruct the velocity field in the entire domain using *cell index POD modes*

495   iii.   Relocate the velocity vector of the rotating zone at their actual location.

496   Figure 11a provides a close up of such a reconstructed flow field in the region of the blade impeller.

497   Reconstruction is here performed at the instant when the blade is located in the middle of the

498   angular sector, the first, second and third POD modes are included. The three major features of a

499   flow field generated by a Rushton turbine are clearly visible. Firstly, there is an axial flow moving

500   towards the blade as consequence of the suction produced by the passage of the blade turbine (red

501   boxes n° 1). Secondly, a pair of symmetrical vortices develop behind the blade (red box n° 2). These

502   very organized structures represent a manifestation of the well-known trailing vortices, which play

503   an extremely important role in mixing and transport processes. Noteworthy, these organized flow

504   structures would not be present if a time averaging of instantaneous velocity field (snapshots) was

505   performed. This fact represents an important advantage in the use of POD for velocity field

506   reconstruction in comparison to a mean flow field description based on the time averaging of

507   velocity fields. Thirdly, an axial jet flow is produced at the periphery of the turbine (red boxes n° 3).

508   Although wiggling, the jet flow is, on average, slightly deflected upwards in concordance with

509   (Delafosse, 2008; Escudié & Liné, 2004). This flow feature is present in the third mode and can

510   therefore be related to the mean flow. Figure 11b shows the velocity field obtained from the CFD

511   simulation at the same instant of the POD reconstruction (Figure 11a). A simple inspection of both

512   figures shows a very close resemblance between the reconstructed field and the field generated by
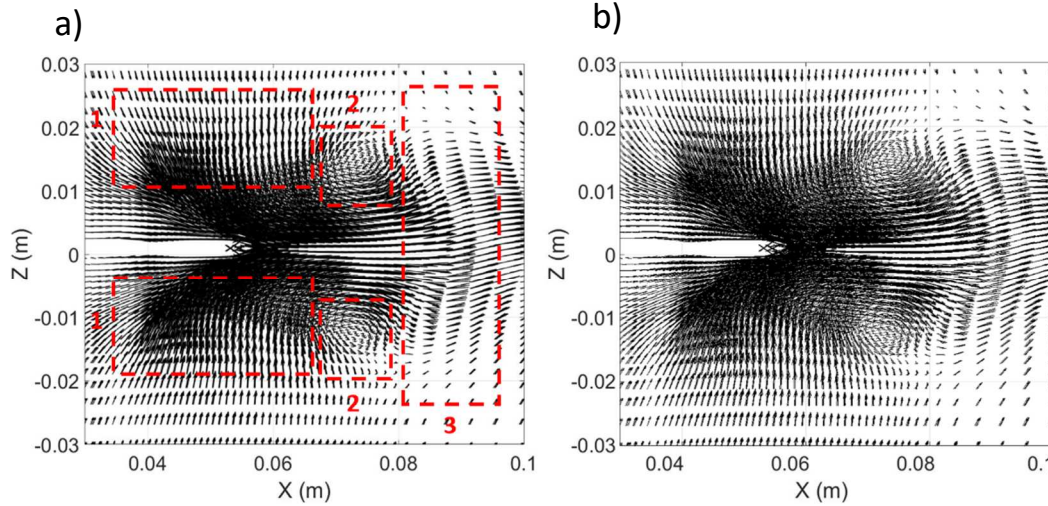
513   the simulator.

Figure 11. a) Reconstruction of the velocity using the first three modes. From the left to the right side the most important features of the field are marked by numbered dot rectangles: Upward and downward axial pumping flow (red boxes #1), vortices generated by the passage of the blade (red boxes #2), and radial flow out of the impeller-sweeping zone (red box #3), b) Velocity field from the CFD data in the same snapshot of the POD reconstruction.

5 Discussion

5.1. On the Global POD treatment eigenvectors

Having shown that the proposed treatment allows an accurate reconstruction of the fluid flow, we now comment on the mode decomposition. As already indicated, the global POD approach yields results that are not as intuitive as those usually provided by the typical POD approach are. In particular, the eigenvector obtained through the global treatment do not reveal the structure of the flow. Nevertheless, this "non-standard" treatment offers some striking features worth noting.

Firstly, the resulting modes preserve the variance content and allow a direct reconstruction without applying the per-zone method. This fact is an advantage in terms of computational resource savings by avoiding the use of equation (11).

Secondly, the rotational frequency of the mesh appears in the temporal behavior of all modes. In contrast with the typical treatment, this does not reveal a periodic fluid motion but rather reflects a correlation between velocity data when the mesh occupies the same location. In that sense, this observation is related to the statistical nature of POD. Although, the snapshot method is not aimed

534   at identifying temporal correlation, this type of correlation emerges here in the mode component

535   that are all scaled by the mesh rotation frequency: the velocity field in every cell is strongly

536   correlated with that obtained one turn later. Higher frequencies can be related to a strong angular

537   periodicity of the mesh.

538   Thirdly, the inspection of the local features of the cell index modes helps understanding the

539   outcomes of the global POD treatment. The first and second modes are significant in the rotating

540   region and almost negligible outside this zone (the same apply to high order modes also). It was

541   observed that both of them contain only radial and angular components and practically no axial

542   component. Since such modes do not come out from a typical POD procedure, and refer to a cell

543   index, it makes no sense to try to visualize them as such. However, as already emphasized, their

544   contributions to the reconstructed velocity field can be identified. It appears that these first two

545   modes are involved in the description of trailing vortices. The third mode is present throughout the

546   tank, including the rotating zone, and the three components (axial, radial and angular) are present in

547   this mode. However, the three components are not present everywhere. In the area swept by the

548   rotating mesh, the third mode contains essentially an axial component above and below the turbine

549   and a strong radial component away from the blades (see Figure 11a, Figure 3a from Supplementary

550   Information). Considering that the related mode component is almost constant, the third mode

551   seems to contain the axial flow corresponding to the pumping flowrate of the turbine and a radial

552   flow rate associated to the jet flow created by the radial impeller. Note that both of them are, on

553   average, independent of the actual mesh position. Accordingly, the reconstruction of the actual flow

554   field in the rotating zone requires using the first three modes altogether. In the fixed zone, the third

555   mode of the global treatment is very similar to the first mode of the POD treatment of the fixed zone

556   only (first mode of the per-zone treatment). In that zone, the contribution of the third eigenvector

557   can be physically interpreted, since the cell position is time independent. This result is sounded

558   because in a fixed domain, the per-zone and global approaches are equivalent. Indeed, the

559   configuration of this mode is very similar to the spatial mode presented in Figure 6d, Figure 6c. In the

560   upper part of the fixed zone, within a layer between 0.05 m and 0.1 m, this third eigenvector reveals

561   the presence of clockwise vortices behind each baffle as is marked with the red boxes n°1 and n° 2

562   (see "Flow structure analysis using cell index modes" in Supplementary information).

563   Thus, the global treatment of the entire volume leads to identical results in those regions where the

564   cell location is not changing in time. In the particular case studied here, it was observed that the

565   constant mode in the moving zone contains a contribution to the flow, which is independent of the

566   mesh rotation. Possibly, the structured mesh configuration played a role here since the Z location of

567   the cell in the rotating zone is truly time independent while the mesh is invariant by rotation outside

568   the zone swept by the impeller.

569   5.2. Dynamical representation of the reconstructed flow using the three first POD

570   modes

571   The sinusoidal fit of the amplitude factor (Table 2) associated to each mode allow reconstructing the

572   flow field at any instant. Therefore, a reconstruction was performed for a complete turn of the

573   turbine, using a temporal resolution of the CFD (Δt_{CFD} = 0.5 ms), which corresponds to 715

574   snapshots. These snapshots were then superposed to generate a dynamic visualization or video of

575   the plane reconstruction shown in Figure 11a. The video is provided in the complementary material

576   (1+2+3 POD_Reconst stp 0.03s_XZ_5.5degrees_FITTING_6.avi). Such dynamic representation makes

577   visible the dynamic or temporal evolution of the structures presented in Figure 11a. As can be seen in

578   the video, both the axial flow (box n° 1 in Figure 11a) and the jet flow (box n° 3 in Figure 11a) are

579   essentially constant. Finally, it is possible to identify the appearance of traveling vortices. These

580   appear behind the blades every time they pass. Once such vertical structures are generated, they

581   move radially away from their point of origin.

582   It is worth mentioning that all this dynamic information is contained in arrays whose size is much

583   smaller than the CFD analogous. More explicitly, each POD vector has a dimension of 3L x 1 and its

584   respective modal coefficient has a size of N x 1. In the present case study, L = 1.129.140 elements, N

585 = 386 snapshots, and only the first three POD eigenvectors with their respective modal components

586 are necessary to recover 99% of the total variance of the system. This represents a storage

587 requirement of (3L x 3) = 9L for the POD vectors plus the temporal components (Nx3) = 3N, the total

588 number of elements being 1 x 10^7 or 0.08 Gb in total. The CFD data size 3xLxN = 1.3 10^9 elements

589 which is equivalent to a data storage of 9.7 Gb. Thus, 121 times more storage capacity is required

590 when using CFD data.

591 5.3. Assessing the accuracy of the flow field reconstruction using global POD approach

592 The accuracy of the reconstruction obtained from a global treatment is now examined. The equation

593 (13) estimates the quality of the approximation as a function of the number of modes p used for the

594 flow field reconstruction from global approach. The expression (13) estimates a maximum average

595 relative error E_{MNE}. First, the average of the maximum error between the reconstructed velocity

596 field and the CFD velocity field is calculated for every snapshot. Then by dividing this quantity by the

597 tip blade velocity $\left(U_{tip} = 1.18 \, m.s^{-1}\right)$, a normalized averaged error is obtained. This quantity is

598 relevant because the POD technique is designed to minimize the average error between the

599 reconstructed data and the experimental data (CFD data in this case).

$$E_{NAE} = \frac{\frac{\sum_{i=1}^{N} max\left(\left\|\vec{U}_{POD}^i(\pmb{x},p) - \vec{U}_{CFD}^i(\pmb{x})\right\|\right)}{N}}{U_{tip}} \qquad (13)$$

600

601 The relation (13) was calculated using 2, 3, 5 and 10 POD modes (time span of 386 snapshots). The

602 results are provided in the Table 3 and presented graphically in the supplementary information

603 ("Visual representation of the normalized error"):

604 *Table 3 : Normalized Averaged Error as a function of the number of modes used for the reconstruction.*

Number of modes	2	3	5	10
Normalized error (%)	62	6	5	3.5

605

606   The use of the first and second modes produces an average error of 62% with respect to the tip

607   velocity of the turbine. Such components are independent of the third mode, which is associated

608   with the reconstruction of the average flow. In this way the POD reconstruction associated with the

609   two first modes do not contain the mean flow information, implying a considerably high average

610   error. When the third mode is included in the reconstruction, the error decreases noticeably, which

611   is fully consistent with the previous analysis. As can be seen in the Table 3, the maximum error

612   becomes approximately 6.0 %. Adding more modes to the reconstruction only slightly reduces the

613   error down to 3.5 %. The above information shows that the global treatment allows the

614   reconstruction of the velocity field with a low average error.

615   Beside the normalized error, it seems interesting to quantify the error considering the number of

616   cells in which the error is significant, i.e. the error as a function of the cumulative percentage of cells.

617   Equation (14) estimates a local error between the global POD reconstruction of the velocity field and

618   the CFD velocity field for each cell in the domain. From this, the error distribution is built and

619   represented in Figure 12. Unlike expression (13), (14) provides the error due to the reconstruction in

620   a localized and instantaneous manner because the respective calculation is performed for each cell.

621   The definition of (14) allows a more adequate and accurate evaluation of the POD reconstruction for

622   the unsteady case studied in the present work.

$$\mathrm{E}(\boldsymbol{x}, \mathrm{t}, p) = \frac{\left\|\vec{U}_{POD}(\boldsymbol{x}, t, p) - \vec{U}_{CFD}(\boldsymbol{x}, t)\right\|}{\left\|\vec{U}_{CFD}(\boldsymbol{x}, t)\right\|}$$

(14)

623   Error distribution obtained through equation (14) for three different snapshots and using $p = 5$ and

624    $p = 10$ POD modes for the reconstruction is presented in Figure 12. For the case of 5 POD modes, it

625   was found that around 90.5% of the total number of cells exhibit an error smaller than 3%. The

626   relative error of the rest of the cells is distributed according to the following categories: 4.5% of the

627   cells have an error greater than 3% and less than 5%. The final 5% has an error greater than 5% and
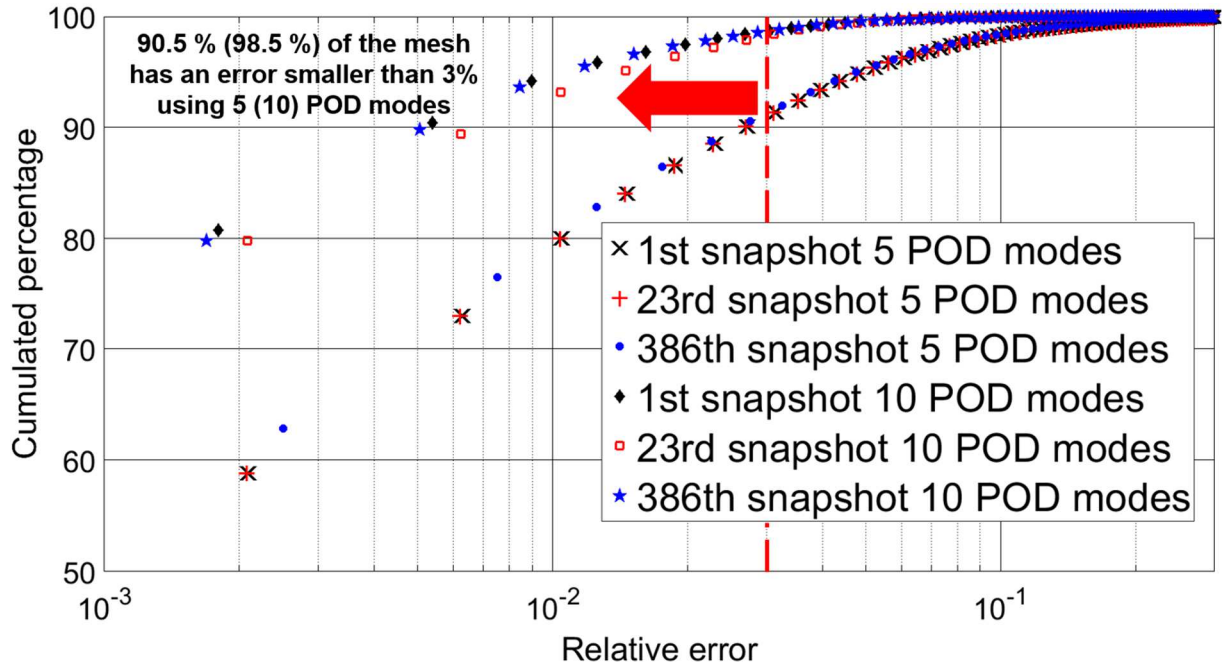
628   less than 27%.

629

Figure 12. Cumulative percentage of the number of cells as a function of the relative error calculated by equation (14),using either 5 and 10 POD modes An increase in the number of POD modes leads to an upward shift of the curve. The vertical red line indicates 3% relative error.

The previous results are improved when 10 POD modes are used to perform the flow reconstruction:

98.5% of the total number of cells have an error less than 3%, 1% of the cells have an error between

3% and 5%. The final 0.5% has an error greater than 5% and less than 27%. Table 4 proposes the

same results in terms of percentage of cells with an error comprised in a given range.

Table 4 : Percentage of cells with an error $E(x,t,p)$ comprised in a given range for p = 5 and 10 modes.

Snapshot #	$E(x,t,p) < 3\%$		$3\% < E(x,t,p) < 5\%$		$5\% < E(x,t,p)$	
	$p = 5$	$p = 10$	$p = 5$	$p = 10$	$p = 5$	$p = 10$
1	90.5	98.5	4.5	1	5	0.5
23	90.4	98.0	4.5	1.4	5.1	0.6
386	90.5	98.5	4.5	1	5	0.5

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It was observed that the cells in which the relative error is high are mainly located on the interface

between the fixed and rotating mesh grids (see 'locations of cell with significant error' in

Supplementary Information).

642   5.4. Numerical cost of a spatio-temporal reconstruction using the global POD

643   technique

644   The use of order reduction methodologies is not justified solely in terms of flow structure analysis.

645   Another relevant aspect is the efficiency in the implementation of the method compared with the

646   standard use of CFD tools. At this point of the present work, it is possible to propose a continuous

647   spatio-temporal reconstruction of the 3D unsteady flow field, i.e. at a higher temporal resolution

648   than the initial CFD data. Starting from the previous POD results and after identifying the continuous

649   function associated with the mode components, the 3D field reconstruction was performed 714

650   times over 0.4 s, the duration of one turn. The time necessary to perform these reconstructions is

651   compared with the time necessary to perform the CFD calculation with the same temporal

652   resolution. Table 5 presents a summary of the physical time required for the CFD simulation of a full

653   turn on the complete tank and the corresponding time necessary to perform the POD reconstruction

654   via a global treatment using 5 and 10 modes. The first two lines provide the results for five and ten

655   modes respectively. For both cases, the set-up time (time to read data and perform POD

656   decomposition) is equal because the input data and numerical operations are identical. The

657   reconstruction of a single 3D flow field is longer when ten modes are considered, 133.6 seconds for

658   10 modes against 64.5 seconds for 5 modes reconstruction. The corresponding time for the CFD

659   simulation is 2.7×10^4 seconds, which corresponds to 58 and 50 times the duration of the POD

660   reconstruction using 5 and 10 POD modes respectively.

661   *Table 5. Comparative summary of the time duration for the CFD and POD reconstruction procedure.*

Procedure	Number of POD modes	Set up time (s)	Reconstruction time (s)	Total time (s)	$\dfrac{t_{Recons\_POD}}{t_{CFD}}$
POD	5	400	64.5	464.5	1.7×10^{-2}
Reconstruction	10		133.6	533.6	2.0×10^{-2}
CFD				2.7×10^4	

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663　This saving of calculation time is important especially considering that the reconstruction process is

664　carried out only once. As indicated in the last column of the table it is also advantageous to use POD

665　reconstruction with respect to CFD data. The difference in processing time between the two

666　numerical methodologies evidences the advantage of using the POD technique for flow

667　reconstruction and its subsequent use as input to other physical and biochemical models.

668　In particular, a continuous 3D reconstruction of the velocity field at a minimal computational cost can

669　be particularly interesting to perform Lagrangian Particle Tracking and/or a compartmentalization of

670　the fluid domain.

Conclusions

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672　By using a per-zone and global POD approaches, it is possible to reconstruct the 3D mean and

673　organized unsteady flow of a four-baffled agitated tank equipped with a Rushton turbine.

674　The per-zone method turns out to be an option to reconstruct the velocity field but such a

675　methodology involves a larger number of steps compared with the global treatment of the entire

676　volume.

677　For the global POD approach, the fact that in an inertial reference frame, the spatial coordinates of

678　the cells belonging to the rotating zone are changing does not actually matter for the POD

679　reconstruction. Such findings show the feasibility of using the POD technique for velocity field

680　reconstruction using a CFD simulation on a mesh with a stationary zone and a rotating zone without

681　zone separation.

682　The global POD decomposition results provide a series of components with different variances and

683　frequencies. The top ranking modes present temporally periodic, these ones are associated with the

684　reconstruction of coherent structures. The frequency associated to the first three modes (carrying

685　99.9% of the total system variance) is identical to the rotation frequency of the turbine. The first and

686   second modes are localized in the rotating zone and their axial component is essentially zero. The

687   third mode carries the information related to the mean flow so its contribution is present in the

688   whole domain. The largest horizontal (circulation loops) and vertical vortices are contained in it.

689   The coherent structures found by means of global POD reconstruction do not depend on the number

690   of snapshots implying a statistical convergence of such organized movements. There exist modes of

691   very low variance associated with the reconstruction of very organized structures. Such modes

692   turned out to be harmonic frequencies of the main frequency associated the rotation of the turbine.

693   When three modes are considered for the reconstruction of the mean and organized velocity field,

694   the maximum average error is of the order of six percent with respect to the CFD data. The addition

695   of higher modes reveals an improvement in the maximum average error but it is not large unless

696   several modes are considered.

697   The POD technique proves to be accurate even when evaluating a localized and instantaneous error.

698   As expected, such accuracy is greatly improved when a larger number of POD modes are included.

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