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1	Comparing specialised crop and integrated crop-livestock systems in
2	China with a multi-criteria approach using the emergy method
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23 Abstract

24	The dominant specialised cropping system (SCS) has supported the increasing population in China,
25	although this agricultural production paradigm could lead to environmental problems. The modern
26	integrated crop-livestock system (ICLS) in China, designed as a recycling paradigm, can alleviate
27	the negative environmental impacts of SCS. However, it must be better investigated, especially due
28	to the trade-off between increased production and environmental harm. In this study, we set up a
29	multi-criteria evaluation with eight indicators based on emergy analysis to quantify and compare
30	the performance of ICLS and SCS and to evaluate the performance of the indicators along a gradient
31	when a proportion of chemical nitrogen is substituted with manure fertiliser nitrogen (PCSM). We
32	examined one experimental modern ICLS and an average SCS by conducting a household survey
33	in Shandong province. The results showed that the ICLS puts less pressure on the environment (ELR
34	= 1.04), has higher emergy sustainability ($ESI = 1.09$), and generates higher economic benefits per
35	unit area of land (LPO = 3789.94 \$·ha ⁻¹). However, the productivity of the ICLS is lower (T_r =
36	1.66E+05 sej·J ⁻¹ , $EOD = 1.07E+11$ J·ha ⁻¹ ·yr ⁻¹ , $EYR = 1.13$) than that of the SCS. With an
37	increasing gradient of PCSM, for both systems, the productivity and environmental pressure
38	decreased sharply; this trade-off was less marked for the ICLS. Considering sustainable resource
39	utilisation, environmentally friendly production, and system stability, the ICLS could be an option
40	for Chinese agricultural production in regions with serious issues of manure pollution and cultivated
41	land degradation. However, the ICLS needs to optimise the crop-livestock structure, strengthen
42	scientific management, and improve productivity.
43	Keywords: Crop-livestock integration; Manure fertiliser; Trade-off; Specialised agriculture;

44 Environmental impacts

45 **1. Introduction**

46	Specialised agricultural systems (SAS), the agricultural production paradigm focusing on one
47	or a few main products at a farm or regional level, has supported rapid population growth in China.
48	Grain production in China has increased 1.7 times from 1980 to 2010 using specialised cropping
49	systems (SCS) (CSY, 2011). In addition, with the increase in specialised livestock system (SLS),
50	the livestock in China tripled between 1980 and 2010 (Bai et al., 2018). However, many studies
51	have proven that specialised crop and livestock systems can lead to soil erosion, biodiversity loss,
52	climate change, and water and air pollution (Peyraud et al., 2014; Ryschawy et al., 2017). SCS is
53	unsustainable in the long run (Sneessens et al., 2016; Steinfeld et al., 2006) because of the high use
54	of non-renewable resources (e.g., chemical fertiliser) and the high dependency on marketed inputs.
55	For example, not only is the chemical fertiliser input to the SCS non-recyclable, but it also poses
56	environmental risks (Spångberg et al., 2011; Wang et al., 1996). SLS induces the accumulation of
57	concentrated livestock manure, which could be a resource for crop production, but poor
58	management can lead to environmental issues (Bai et al., 2018; Chadwick et al., 2015). Nowadays,
59	feed grains can be transported to livestock systems regularly, while livestock manure cannot be
60	returned to the crop system in time, which causes the separation of crops and livestock. Chadwick
61	et al. (2015) reported that the Chinese government needs to ensure that livestock manure is
62	integrated into nutrient planning of the cropping system to reduce the need for chemical fertiliser
63	(Guo et al., 2020).

64 The Chinese government has implemented policies to develop an integrated crop-livestock 65 system (ICLS) (MARAPRC, 2015). Within this system, crops (e.g., corn) would serve as forage for 66 livestock, and manure from livestock would be returned to cropland as fertiliser (Herrero et al., 67 2010). This paradigm can alleviate the negative impacts of SAS on the environment and decrease 68 inputs by utilising organic fertiliser from livestock manure (Ryschawy et al., 2017). ICLS can 69 increase economic efficiency by reducing production costs and the risk of market fluctuations 70 (Ryschawy et al., 2017). Modern ICLS is designed based on available technology to obtain high 71 socio-economic outputs and multiple environmental benefits in different agroecological regions 72 worldwide (Lemaire et al., 2014).

However, replacing SAS with ICLS may require some trade-offs. As modern Chinese ICLS is still under development, it is essential to assess its performance on multiple criteria (i.e., resource utilisation, productivity, environmental pressure, and sustainability) against SAS, which is dominant in China. In addition, quantitative analysis of the performance of both ICLS and SAS, calibrated with data from a Chinese region, can shed light on their advantages and disadvantages. This will allow farmers and the government to make an educated decision according to local environmental conditions and different requirements.

80 Increasing the proportion of chemical fertiliser substituted with manure fertiliser (PCSM) is a 81 direct approach to increase livestock manure consumption. In the future, increasing PCSM would 82 not only reduce the risk of livestock manure pollution to the environment but would also reduce the 83 reliance on non-renewable chemical fertiliser. Many studies have analysed the effects of different 84 PCSMs on crops and soil. Regarding crop yield, Lv et al. (2020) found that a 25% PCSM 85 substitution can simultaneously ensure crop productivity and environmental protection under a 86 wheat-maize cropping system. For the aspect of the crop field soil, Ji et al. (2018) found that the 87 diversity of soil microbes increased with an increase in the PCSM. However, these studies mainly 88 focus on the field level, and the analysis of the system performance for an ICLS farm with a change

in PCSM is less reported. It can be argued that increased use of manure fertiliser over synthetic
fertiliser, ICLS can result in better performance. However, to the best of our knowledge, no studies
have quantified these performances and showed the involved trade-offs.

92 Emergy analysis is a useful tool for comparing the performance of ICLS and SAS. Emergy 93 analysis creatively combines energetics and system ecology (Zhang et al., 2016). It is an effective 94 and robust method for evaluating system performance (Zhang et al., 2012). Since the 1990s, emergy 95 analysis has been widely used to evaluate agricultural resource use, productivity, environmental 96 impact, and sustainable development at various scales, including national, regional, and local 97 agricultural systems (Cavalett and Ortega, 2009; Chen et al., 2006; Giannetti et al., 2011; Zhang et 98 al., 2012; Zhang et al., 2016). As a universal measure of performance and sustainability for 99 agricultural systems, emergy analysis consists of building a model through standardization of the 100 various inputs and outputs (Odum, 1996; Zhang et al., 2016), expressing all of them in solar equivalent joules. Previous studies have used emergy analysis to assess the performance of ICLS. 101 102 Some studies have focused on the biogas-linked agricultural paradigm, which mainly considers 103 obtaining clean fuels using livestock manure. For example, Yang and Chen (2014) found that it 104 made a positive contribution to carbon mitigation. Wu et al. (2015a) found that it has a lower 105 economic input-output ratio in the short run. These studies suggest that emergy analysis is an 106 efficient methodology for assessing the performance of ICLS. However, to the best of our 107 knowledge, no existing study has used emergy analysis to compare the ICLS and SAS, and how they perform under an increasing use of manure fertiliser over synthetic fertiliser. 108

In this study, we used emergy analysis to compare one modern ICLS with one typical SAS,
used in the Shandong province, for two purposes: (1) assessing the advantages and disadvantages

111 of the ICLS with respect to the SAS using a multi-criteria approach; and (2) discuss how ICLS and 112 SAS perform under scenarios of increasing the use of manure fertiliser over synthetic fertiliser. For 113 several reasons, we decided to focus on SCS for comparison with ICLS. First, SCS, as the primary mode of production, not only supplies grain (e.g., wheat and rice) for human use but also provides 114 115 feed to SLS, which is the basis for the operation of other artificial ecosystems. Second, grain selfsufficiency, which needs to be guaranteed by SCS, has always been at the heart of the national food 116 117 security agenda in China (Ghose et al., 2013). Third, there is the possibility of introducing livestock 118 to SCS in China, while the opposite is not necessarily true. Industrial livestock systems are often in 119 peri-urban areas and do not have available land for crop integration (Li et al., 2008), such as in the 120 capital city of Beijing (Wei et al. 2016). Therefore, bringing livestock from peri-urban areas to crops 121 is a more feasible option. 122 For purpose (1), we developed a set of indicators to assess system performance along multiple 123 dimensions. For purpose (2), we calculated the performance of some of these indicators over a 124 gradient of an increased PCSM. Nitrogen is the main nutrient in manure fertiliser, and the main 125 nutrient for crop production. Therefore, chemical nitrogen substitution with manure fertiliser 126 nitrogen was considered in this study, while phosphate and potash were not considered. The results 127 indicate the current performance of an ICLS and a typical SCS in China and provide theoretical 128 support for the adjustment of crop-livestock structure in ICLS farms.

129 **2. Methods**

130 2.1 Case study

We considered Shandong Province as a case study to conduct emergy analysis of ICLS and
 SCS. Shandong Province is one of the most important regions of China, located on the eastern coast

133 of China, with a latitude range of 34°25–38°23 N and a longitude range of 114°36–122°42 E (Fig. 1). The region is characterised by optimised agricultural structures and the vigorous development 134 135 of economic commodities, with Shandong Province leading agricultural production in China since the end of the 1970s. In 2017, the grain yield of Shandong Province was 5.37E+07 ton, ranking 136 137 third in China (CSY, 2018). Both meat (8.66E+06 ton) and egg (4.45E+06 ton) production in 138 Shandong Province ranked first in China in 2017 (CSY, 2018). A temperate monsoon climate dominates this region, with an annual average temperature range of 11–14 °C. The average annual 139 precipitation is generally 550-950 mm, with 60%-70% of the annual precipitation observed in 140 141 summer. Sunlight is an abundant resource in this region, with an average annual insolation duration 142 of 2290-2890 hour.



Fig. 1 The location of Shandong Province in China. The three circled sites (Ji'nan, Weifang, and
Dezhou) indicate where field surveys of specialised cropping systems (SCS) were undertaken, and
the pentagon site represents the location of the experimental agricultural paradigm of ICLS (Beiqiu
Farm).

148 **2.2 Data source**

143

149 Data were collected for the comparison of two systems: an average crop-only system and a real

150 farm consisting of crop and livestock integration.

151 **2.2.1 Specialised agriculture**

152 In this study, an SCS was chosen as a typical specialised agricultural system. The cropping 153 system involves the annual rotation of winter wheat and summer maize, which are the dominant 154 crops in Shandong Province. In 2017, we conducted a household survey to gather data on the 155 cropping activities of 271 rural smallholder farming families during 2016/2017 through face-to-face interviews in Shandong Province (including the municipalities of Ji'nan, Weifang, and Dezhou, 156 157 Fig.1). The average cultivated area per family was 0.53 ha. The survey data comprised cultivated 158 land area, cropping system, material inputs, crop yield, and other cultivation-related information. 159 The climate data of the SCS case study were obtained from the Shandong Statistical Yearbook (SSY,

160 2017). The inputs and outputs were the average values of all farming families included in the study.

161 **2.2.2 Integrated crop-livestock agriculture**

The ICLS was studied with the data of the Beiqiu Farm (37°00' N, 116°34' E), which originated 162 163 from the project of Science and Technology Service Network Initiative of the Chinese Academy of 164 Sciences, "Research and application demonstration of new paradigm farm in the Yellow River Delta 165 (May 2014-May 2016)" and "Industry demonstration of family farm around Bohai sea (January 166 2017–June 2018)". This farm is still in good working order. Currently, the farm undertakes not only 167 the functions of application demonstration but also serves as an experimental site. The farm covers 168 an area of 15.33 ha, including an advanced semi-organic cropping subsystem (field crop region), a high-value farming subsystem (vegetable and livestock), and a non-production subsystem 169 170 (processing conversion region and office region). The advanced semi-organic cropping subsystem 171 (7.33 ha) specialises in the winter wheat to summer maize rotation. The vegetable subsystem is

composed of tomatoes, cucumbers, cabbage, celery, eggplant, and green onions. Livestock mainly 172 173 consists of pigs, sheep, geese, laying hens, and broilers. All livestock manure is collected using an 174 automatic manure scraper and then converted to organic fertiliser by aerobic composting 175 fermentation technology. This technology uses microbial activity to degrade and decompose 176 livestock manure, with low energy consumption, a short fermentation period, and higher manure 177 fertiliser efficiency (Ma and Wang, 2018). Organic fertiliser is supplied to crops and vegetables, while maize is crushed by machinery and converted into forage for livestock. Manure and maize are 178 179 also purchased as external supplements. The data on climate and farm input and output of the ICLS 180 case study were mainly obtained through interviews with farm managers in 2018.

181 **2.3 Emergy analysis**

Ecological energy research underwent a major transformation from energy analysis to emergy analysis (Chen, 2011). The flows of energy, materials, and labour in an agricultural system can be transformed into solar equivalent joules (sej) (Li and Brown, 2017; Zhang et al., 2012). The inputs in agricultural systems were converted using solar transformity coefficients, which represent the amount of solar emergy per unit of energy or substance (units of sej·J⁻¹ or sej·g⁻¹) (Liu and Chen, 2007; Odum, 1996).

Both systems were conceptualized in the framework of emergy analysis. Fig. 2a and Fig. 2b illustrate the components and interactions for SCS and ICLS, respectively, as well as the boundaries and driving sources, using the energy system language presented by Odum (1996). For the SCS, the main external emergy resource inputs in the Chinese province are groundwater, nitrogen, and phosphate, accounting for 26.02%, 23.41%, and 12.16% of the total emergy input, respectively (Supplementary Table S2). For ICLS, the main external emergy resource inputs are manure, soybean

194	meal, electricity, plastics, and groundwater, accounting for 32.36%, 15.09%, 9.85%, 7.39%, and
195	6.48% of the total emergy input, respectively (Supplementary Table S3). The input of resources can
196	be categorized as follows: local renewable resources (R) , such as sun, rain, and earth cycles; local
197	non-renewable resources (N) that are not replaced within an annual cycle, such as local soil and
198	groundwater; purchased (renewable and non-renewable) resources (F) , such as machinery, chemical
199	fertiliser, pesticides, seeds, labour, and other external resources (David et al., 2018). The
200	renewability coefficient was introduced to divide each purchased resource into renewable and non-
201	renewable fractions. The renewability coefficient in this study was based on previous studies, or the
202	authors' estimations (Agostinho et al., 2008; Cavalett et al., 2006; Wu et al., 2015a; Wu et al., 2015b).
203	The purchased resources were divided into two types: materials (M) and labour (L) . As an input
204	resource, livestock manure consists of organic matter and nutrients, which are beneficial to crops.
205	The agricultural production system would reduce external resource input (e.g., nitrogen) if livestock
206	manure could be supplied within the system (i.e., ICLS). By contrast, the production system will
207	utilise chemical nutrients (i.e., SCS) or increase external resource input if the agricultural production
208	system requires manure fertiliser than other systems. All inputs of energy, materials, and labour
209	were processed by converting mass quantities (g or kg) and energy (J) into emergy units by applying
210	transformity coefficients (Zhang et al., 2012). The yield (Y) of agricultural products consists mainly
211	of wheat, maize, meat, egg, and vegetables; therefore, all the products were converted into energy
212	(J) to allow comparability. According to the theory of emergy accounting, the total emergy input (U)
213	needed to support a production system is equal to the total emergy output (Hu et al., 2010).



214

Fig. 2 The energy flows of (a) SCS and (b) ICLS paradigms.

In this study, the following assumptions were important in emergy calculation. (1) All the transformity coefficients in this study refer to the 12.00E+24 sej·yr⁻¹ emergy baseline, which is the main driving emergy flow in the geobiosphere (Brown and Ulgiati, 2016). (2) Groundwater is mainly used for agricultural production in the SCS and ICLS, and it is considered a non-renewable resource due to its scarcity and slow turnover (Zhang et al., 2012). (3) To make the agricultural systems comparable, each type of input is converted into solar equivalent joules per unit area per year (sej·ha⁻¹·yr⁻¹), and all the outputs are converted to energy (J·ha⁻¹·yr⁻¹); detailed parameters or

formulae can be found in Supplementary Table S1. (4) Seed inputs for the SCS and ICLS were different, each having a specific transformity; the main types of seeds were wheat, maize, and vegetables. However, we used the same transformity to calculate the emergy for different seeds. The main reasons are as follows: the difference in transformity among the seeds is negligible, and the emergy input of seeds accounts for a small proportion of the entire agricultural system. For example, the emergy input from seeds accounted for only 2% and 3% of the total emergy flows in wheat and maize production systems, respectively (Wang, X.L. et al., 2014).

230 2.4 Performance indicators

231 For assessing the advantages and disadvantages of the ICLS with respect to the SAS using a 232 multi-criteria approach, a set of eight basic emergy indicators was defined (Table 1). These 233 indicators can be calculated from emergy input terms and energy output terms and can be organized 234 into different categories: resource utilisation, productivity, environmental pressure, and emergy 235 sustainability. Some indicators could be considered benefits (higher values indicated better 236 situations), while other indicators could be considered costs (higher values indicated worse 237 situations). The indicators, which were calculated by the input and output resources and per unit 238 area of land, can be comparable even for farms of different sizes and compositions. The farms 239 representing the SCS paradigm in the region hardly used manure fertiliser (PCSM = 0%), as almost 240 all nitrogen quantities come from chemical fertilisers, whereas ICLS was designed to decrease 241 manure pollution and utilise more manure fertiliser. According to the ratio of total nitrogen supply 242 from manure fertiliser and total nitrogen demand for crops in the experimental farm, the quantity of 243 manure fertiliser application can support approximately 70% of PCSM in ICLS.

244 2.4.1 Resource utilisation

Resource utilisation, which describes both the resource input of intensity and the resource input 245 246 of dependence on external economic systems, consists of total emergy input (U) and purchased 247 emergy resource input (F). The total emergy input is defined as the sum of all the emergy inputs, 248 including local renewable resources, local non-renewable resources, and purchased (renewable and 249 non-renewable) resources, which support the entire production system. This indicator describes the 250 resource input intensity of an agricultural production system. Purchased emergy resources are the 251 input emergy resources related to human social economics, which are outside the production system. 252 The higher the purchased emergy resources, the more economic cost input outside the agricultural 253 production system, and the system will less likely be self-sufficient.

254 2.4.2 Productivity

255 Four indices were selected to evaluate different aspects of productivity: transformity (Tr), 256 energy output density (EOD), land profit output (LPO), and emergy yield ratio (EYR). These indicators describe productivity from different viewpoints: the transformity of the production 257 258 system is one of the basic indices of emergy analysis and is calculated from the viewpoint of emergy 259 and energy (Odum, 1996). The energy output density mainly considers energy, the land profit output 260 mainly considers economic benefits, and the emergy yield ratio mainly considers emergy return on 261 emergy investment. For the system production efficiency, transformity (sej·J⁻¹) is defined as the total 262 emergy input (sej) divided by the available energy of products (J), which can measure the amount 263 of resources required to produce one unit of product and corresponds to the inverse of energy 264 production efficiency. The higher the transformity of products, the lower the efficiency of system production. The energy output density $(J \cdot ha^{-1} \cdot yr^{-1})$ is defined as the available energy of products 265 266 (J) in the unit area per year, which is a land productivity indicator that measures the quantity of energy production of one system per unit area of land per year. The land profit output measures the economic benefit of one system per land area per year (Supplementary Table 4). The emergy yield ratio is defined as the total emergy input divided by purchased emergy, which measures the emergy return on the emergy investment and indicates the ability of a process to exploit local resources (i.e., local renewable resources and local non-renewable resources) by investing outside economic resources. The higher the emergy yield ratio, the higher the emergy return on the emergy investment, indicating a stronger possibility of exploiting local resources.

274 **2.4.3 Environmental pressure**

The environmental loading ratio (*ELR*) is the ratio of non-renewable emergy resources to renewable emergy resources, indicating the stress to the environment. The higher the ratio, the greater the pressure on the environment.

278 2.4.4 Sustainability

The emergy sustainability index (*ESI*) is defined as the emergy yield ratio divided by the environmental load ratio, showing the contribution of a process to the economy per unit of the environmental impact that it generates (David et al., 2018; Brown and Ulgiati, 1997).

282

Table 1 Emergy terms and performance indicators calculated for comparing the SCS and ICLS paradigms.

Name	Category	Unit	Formula	Description
Emergy terms				
	,	· 1 .1 .1	D	Local free renewable flows directly available to
Local renewable resources	1	sej na 'vr'	K	the system, such as sun, rain, and earth cycle.
				The environmental resources that are not replaced
Local non-renewable resources	/	sej·ha ⁻¹ ·yr ⁻¹	Ν	within an annual cycle, such as local soil and
				groundwater.
Deathers days areas	,	sej·ha ⁻¹ ·yr ⁻¹	F = M + L	Imported resources from the external economic
Purchased resources	7			systems, including materials (M) and labors (L) .
	/		$M = M_R + M_N$	Imported materials from the external economic
Purchased materials resources		sej·ha ⁻¹ ·yr ⁻¹		systems, which are divided into a renewable

				fraction (M_R) and a non-renewable fraction (M_N)
				according to their renewability factor.
				Imported labours from the economy, which are
	1	11 J J		divided into a renewable fraction (L_R) and a non-
Labour	1	sej·ha ⁻¹ ·yr ⁻¹	$L = L_R + L_N$	renewable fraction (L_N) according to their
				renewability factor.
Performance indicators				
				Total emergy flows needed to support a
T-4-1	Resources		U = R + N + F	production system (i.e., total emergy input
i otal emergy input	utilisation	sej·na ··yr		resources). The total emergy input is equal to the
				total emergy output.

				^a The system production efficiency that the total
				emergy input divided by the available energy of
				the products. The higher the transformity of a
Transformity	Productivity	sej·J ⁻¹	$T_r = \frac{U}{E_p}$	system, the lower its production efficiency. E_p (J
				\cdot yr^1) represents the available energy of the
				products for a system. The higher the E_p , the
				more production of energy for a system.
				The available energy outputs in the unit area per
Energy output density	Productivity	J·ha ⁻¹ ·yr ⁻¹	$EOD = \frac{E_p}{area}$	year, indicating the land energy output efficiency
				for the land per year.
				The profit output in a unit area of land per year,
Land profit output	Productivity	\$·ha⁻¹·yr⁻¹	$LPO = \frac{TEO - TEI}{area \times year}$	indicating the land economic output efficiency for
				the unit area per year. TEO is the total economic

				output value and <i>TEI</i> is the total economic input
				value.
				The total emergy input is divided by the purchased
	Productivity			emergy imported, indicating the emergy return on
				emergy investment. Also, $R + N$ is the total
Emergy yield ratio		/	$EYR = \frac{U}{F} = 1 + \frac{R + N}{F}$	local emergy resources that don't need cost, so
				EYR also can indicate the system's ability to
				utilise local resources (do not need cost) by
				investing in purchased resources from the outside.
				The ratio of the total non-renewable emergy
Environmental loading ratio	pressure	/	$ELR = \frac{N + M_N + L_N}{R + M_R + L_R}$	resources to the total renewable emergy resources,
				indicating the stress to the environment. The

				higher the ratio, the larger the pressure on the
				environment.
				^b The ratio of the emergy yield ratio <i>EYR</i> to the
				environmental load ratio ELR, showing the
Tenerer autoinskility inder	0 (111)	/	EYR	contribution of a process to the economy per unit
Emergy sustainability index	Sustainability		$ESI = \frac{1}{ELR}$	of the environmental impact that it generates. The
				larger the ESI, the higher emergy sustainability of
				the production system.

^a The definition refers to Odum (1996).

^b The definition of ESI refers to David et al. (2018) and Brown and Ulgiati (1997).

286 **2.5 Influence of the manure-synthetic fertiliser substitutability**

We calculated the effect of substituting synthetic fertilisers with manure to different degrees. We defined the proportion of chemical fertiliser substituted with manure fertiliser PCSM = NM/TN as the nitrogen supplied by manure fertiliser (NM) divided by the total nitrogen demand (TN). Once the total nitrogen demand was calculated for the assigned value of PCSM, it was possible to calculate the demand for chemical fertiliser nitrogen $(NC = TN \times (1 - PCSM))$ and manure fertiliser nitrogen $(NM = TN \times PCSM)$ for the two systems.

293 The total nitrogen demand was calculated with:

$$TN = \sum_{i} P_i \times ND_i \tag{1}$$

In this equation, which follows MARAPRC (2018), P_i (kg) is the production of crop *i*, ND_i (kg · kg⁻¹) is a constant coefficient representing the quantity of nitrogen demand per unit production of crop *i*. We considered three main categories of cultivated crops (wheat, maize, and vegetables), whose nitrogen demand coefficients are listed in Supplementary Table S5. Fresh livestock manure cannot be directly utilised by crops and vegetables. Fresh livestock

299 manure needs to be fermented and converted into manure fertiliser (MF). The MF can be 300 calculated as follows:

$$MF = \frac{NM}{NCO}$$
(2)

where NCO (%) is the nitrogen content of manure fertiliser. In this study, NCO was 1.75%, which
is the surveyed data.

303 The demand for total fresh livestock manure (*TM*) can be calculated by:

$$TM = MF \times MCC \tag{3}$$

304 where MCC is the conversion coefficient from fresh manure to manure fertiliser. In this study,

MCC = 3, which is the survey data from the experimental farm.

To calculate the emergy terms, *TM* cannot be used directly. In this study, we assumed that if the fresh manure from the internal agricultural system (*ML*) is not sufficient at a certain level of *PCSM*, unmet demand for fresh manure would be addressed by an external agricultural system (*ME*) by default, as a purchased resource, $ME = \max(0, TM - ML)$. The *ML* was calculated as follows:

$$ML = \sum_{i} EC_{i} \times T_{i} \times Q_{i} \tag{4}$$

310 where EC_i (kg · head⁻¹ · day⁻¹) is the excretive coefficient of livestock species *i*, T_i (day) is the 311 time period of the growth cycle of livestock species *i*, Q_i (head) is the quantity of livestock species 312 *i*. The detailed coefficients are listed in Supplementary Table S6.

When $ME \ge 0$, fresh manure resource input was purchased. For emergy accounting of agricultural systems, we mainly considered the organic matter and nitrogen in the *ME*. The amount of organic matter input (*OMI*, kg) for crops was calculated as follows:

$$OMI = \frac{ME}{MCC} \times OMC \tag{5}$$

where *OMC* (%) is the organic matter content of manure fertiliser. In this study, the *OMC* was 37.5%, from the surveyed data. *OMI* and *NM* correspond to renewable resources; their variation would impact the terms of M_R and M_N (Table 1) and then change other indicators affected directly or indirectly by the terms M_R and M_N . Livestock manure is a locally available resource (therefore accounted for in internal resources), while the manure would be imported (accounted for in the purchased resources) if the demand exceeds locally available supply.

As an assumption, we did not treat the surplus manure as a pollutant or a product because we did not have enough information on manure management to accurately represent it. For example, livestock manure would be the product (i.e., organic fertiliser) if the farm converted the surplus

325	manure into fertiliser; inversely, livestock manure would be the pollutant, as emergy accounting
326	would be difficult if the farm discards the manure. Therefore, our analysis focuses on the effect of
327	the PCSM on resource use for the two systems. In addition, crop production was assumed to be
328	constant. Previous studies have shown the effects of different substitutions of chemical fertiliser
329	with manure fertiliser on crop yield (Geng et al., 2019; Lv et al., 2020). However, the difference
330	was less than 12% between the highest and lowest yield treatment, which adopted different
331	substitutions of chemical fertiliser with manure (Geng et al. 2019). Therefore, the change in crop
332	yield from different proportions of chemical fertiliser substitution with manure was not considered
333	in this study.
334	For the two systems, we explored the effect of values ranging from a 0–100% PCSM on four
335	indicators: transformity, emergy yield ratio, environmental load ratio, and emergy sustainability
336	index, which are sufficient to simulate the performance of system production efficiency, productivity

- 337 environmental pressure, and emergy sustainability, respectively. For the other indicators, the energy
- 338 output density and land profit output did not change.

339 **3. Results**

340 We compared the performance of the two systems on all the indicators chosen for the multi-

- 341 criteria assessments, and then explored the performance of the two systems for a range of PCSM.
- 342 **3.1 The emergy input structure**

The relative rankings of the two systems are different according to the indicators considered (Fig 3). The total emergy input (*U*) to support the SCS and ICLS is 9.36E+15 sej·ha⁻¹·yr⁻¹ and 1.79E+16 sej·ha⁻¹·yr⁻¹, respectively (Fig. 3a). The purchased resources input of the ICLS is 1.58E +16 sej·ha⁻¹·yr⁻¹, which is 2.70 higher than that of SCS (Fig. 3b). These results show that ICLS

348 requires more emergy to operate the whole system.





Fig. 3 The indicators of emergy resources utilisation (a \sim b), productivity (c \sim f), environmental pressure (g), and sustainability (h) of an average SCS and an ICLS. In detail, the panels represent the following: (a) total emergy input (U), (b) purchased resources (F), (c) transformity (Tr), (d) energy output density (EOD), (e) land profit output (LPO), (f) emergy yield ratio (EYR), (g) environmental loading ratio (ELR), and (h) emergy sustainability index (ESI). The red bars represent costs (the lower the indicator, the better for the paradigm, i.e., Fig. a, b, c, and g). The

356 green bars represent benefits (the higher the indicator, the better for the paradigm, i.e., Fig. d, e, f,357 and h).

358 **3.2 The productivity**

The productivity of the SCS was higher than that of the ICLS in terms of system efficiency, 359 land energy production, and emergy return on emergy investing, while the productivity of the ICLS 360 361 was higher from the viewpoint of land economic benefit output. Fig. 3c shows that the transformity of the ICLS is $(Tr_{ICLS} = 1.66E+05 \text{ sej} \cdot J^{-1})$ greater than that of the SCS $(Tr_{SCS} = 3.71E+04 \text{ sej} \cdot J^{-1})$, 362 363 which indicates that the ICLS requires more emergy input than the SCS when producing the same 364 amount of energy. Fig. 3d shows that the energy output density of ICLS ($EOD_{ICLS} = 1.07E+11 \text{ J}\cdot\text{ha}^{-1}$ ¹·yr⁻¹) is lower than that of the SCS ($EOD_{SCS} = 2.52E+11$ J·ha⁻¹·yr⁻¹), which indicates that ICLS 365 requires more land than the SCS when producing the same quantity of energy. Fig. 3e shows that 366 367 the land profit output of the ICLS ($LPO_{ICLS} = 3789.94 \text{ }^{-1}\text{ }\text{yr}^{-1}$) is higher than that of the SCS $(LPO_{SCS} = 2339.61 \text{ s}\cdot\text{ha}^{-1}\cdot\text{yr}^{-1})$, which indicates that ICLS offers higher economic benefits than SCS 368 in the same area of land. Fig. 3f shows that the emergy yield ratio of the SCS and the ICLS are 1.60 369 370 and 1.13, respectively, which indicates that the ICLS has a lower emergy return on emergy investing 371 and a lower ability to utilise local resources by investing externally procured resources.

372 **3.3 The environmental pressure and emergy sustainability**

Fig. 3g shows that the environmental loading ratio of the SCS is 3.96, which is approximately 3.8 times that of the ICLS ($ELR_{ICLS} = 1.04$), indicating that the agricultural production of SCS puts higher pressure on the environment than that of ICLS. Fig. 3h shows that the emergy sustainability indexes of ICLS and SCS are 1.09 and 0.40, respectively, indicating that ICLS has higher emergy sustainability than SCS. 378

3.4 Performance of ICLS and SCS under variation of manure fertiliser application

We analysed the performance of productivity (T_r , EYR), environmental pressure (*ELR*), and emergy sustainability (*ESI*) with the change in PCSM for the ICLS and the SCS (Fig. 4). For all these indicators, the SCS showed continuous changes with the PCSM, as manure was considered an imported resource for PCSM > 0; on the contrary, the ICLS showed a different trend below and above 52% PCSM, as below that value, locally available manure was used, whereas, above that value, imported manure was used. The behaviour of the two systems was different, and their relative performances were different along the PCSM gradient.

Concerning transformity, Fig. 4a shows that for ICLS, the indicator decreases slightly and 386 387 reaches its lowest value for 52% PCSM and then increases linearly with the PCSM, showing that 388 the system production efficiency increases and then decreases. In the SCS, the transformity 389 increases linearly with an increasing PCSM, indicating that the system production efficiency 390 decreases linearly with increasing amounts of manure fertiliser and is highest when utilizing chemical fertiliser. For the same PCSM, and when the PCSM was greater than $\sim 35\%$, the 391 392 transformity of the ICLS is lower than that of the SCS, indicating that, starting from that point, using 393 local manure is more efficient than importing it.

Concerning the emergy yield ratio, Fig. 4b shows that in both systems, the indicator starts to decrease when manure is a purchased resource. For the SCS, the indicator decreases with the PCSM, whereas for the ICLS, the indicator increases slightly until ~52% PCSM and then decreases. When the PCSM \geq 19%, the emergy yield ratio of the ICLS is greater than that of the SCS, indicating that for those values of the PCSM, the emergy return on emergy investment is higher using local manure. Concerning the environmental loading ratio, for the ICLS, the indicator decreases linearly until 400 ~52% PCSM, and then decreases curvilinearly with the PCSM; for the SCS, the indicator decreases 401 drastically with increasing PCSM. For PCSM values starting from ~9%, the imported manure for 402 SCS has a lower environmental loading ratio than that for ICLS. Indeed, ICLS has other non-403 renewable resource inputs (especially for the greenhouse and livestock sectors) that keep the 404 environmental loading ratio higher than the crop-only system with organic manure (Supplementary 405 Table S3).

406 Concerning emergy sustainability, for the ICLS, the indicator increases linearly first and then 407 curvilinearly for PCSM values greater than \sim 52%. For the SCS, the emergy sustainability increases 408 curvilinearly with the PCSM. For PCSM values greater than \sim 7%, the emergy sustainability of the 409 ICLS is lower than that of the SCS due to the lower environmental loading ratio of SCS.

410 Fig. 4 also shows that trade-offs, i.e., the increased use of organic manure over organic fertiliser, 411 lead to a lower environmental loading ratio and increased emergy sustainability and a lower 412 efficiency and lower emergy yield ratio. Trade-offs are also present in the preferability of the ICLS over the SCS: for low values of manure use (close to 0%), the SCS is preferable to the ICLS for 413 414 transformity and emergy yield ratio, but not for environmental loading ratio and emergy 415 sustainability. Thus, a system that relies on synthetic fertiliser is more efficient but impacts the environment more than a system relying on its manure. For high values of manure use (close to 416 417 100%), the SCS performs better than the ICLS on environmental loading ratio and emergy 418 sustainability but less than the SCS on transformity and emergy yield ratio. In the range of 0-100%, the trade-off changed because the relative performance of the two systems switched at different 419 420 thresholds for the different indicators.



Fig. 4 The performance of productivity, environmental pressure, and emergy sustainability for average SCS and ICLS with the change of chemical nitrogen substituted with manure fertiliser. The green line represents the performance of ICLS and the red line shows the performance of SCS. In detail, panels represent the following indicators: (a) transformity (Tr), (b) emergy yield ratio (EYR), (c) environmental loading ratio (ELR), and (d) emergy sustainability index (ESI). The PCSM values in practical SCS and ICLS are 0% and 70%, respectively.

428 **4. Discussion**

421

In this study, we assessed the system performance of one modern ICLS and one typical SCS using eight indicators and simulated the performance of some of these indicators under a gradient of increasing manure-use fraction in total nitrogen need. We found that the performance in terms of resource utilisation, productivity, environmental pressure, and sustainability was different between the ICLS and the SCS. In addition, under the conditions of constant total nitrogen need, the performance differed between the ICLS and the SCS with increasing manure use.

435 4.1 Comparing SCS and ICLS

436	Analysing the performances of the two systems on multiple dimensions helped to highlight the
437	strengths and weaknesses of each system. The SCS has considerable productivity ($T_r = 3.71\text{E}+04$
438	sej·J ⁻¹ ; $EOD = 2.52E+11$ J·ha ⁻¹ · yr ⁻¹ ; $EYR = 1.60$). This is very common for these types of
439	systems, which usually depend on cultivation techniques and the use of machinery, chemical
440	fertilisers, and pesticides (Moraine et al., 2017). Almost all farming procedures in SCS, including
441	soil preparation, sowing, and harvesting, can be done by machinery, which sharply improves
442	agricultural labour productivity (Baležentis et al., 2020; Liu, S. et al., 2018). The use of abundant
443	nutrients, such as chemical nitrogen, phosphorus, and potassium fertilisers, allows for more direct
444	control and precision in the delivery of nutrients that would maintain soil fertility levels for
445	supporting higher yields (Cabrera and Solis-Perez, 2017). However, this comes at the expense of
446	environmental resources, as the long-term application of chemical fertiliser would destroy soil
447	structure, and may also cause soil acidification and water pollution (Wallace, 1994). In addition,
448	according to Yan et al. (2014), China has a low nitrogen use efficiency of 30–35%, compared to 52%
449	in America and 68% in Europe, due to widespread overuse of chemical fertilisers (Meng et al., 2016)
450	More industrial and non-renewable resource inputs (mostly groundwater, synthetic nitrogen, and
451	phosphate) of the SCS led to greater pressure on the environment ($ELR = 3.96$), and the non-
452	renewable resource input caused weak sustainability in terms of resource utilisation. This leads to a
453	weak emergy sustainability ($ESI = 0.40$).

The ICLS was designed to solve environmental pressure and maintain productivity compared to SCS. The proportion of renewable resource input of the ICLS was higher than that of the SCS at the system level, leading to lower pressure on the environment (ELR = 1.04). The ICLS had a higher land profit output, mainly owing to the contribution of livestock and vegetables. The economic value

of livestock and vegetables is higher than that of crops, as reflected by their net economic benefits 458 459 (PNRC, 2018). According to the PNRC (2018), the average net profit per 50 kg of wheat, maize, 460 vegetables, eggs, and meat are 0.70 Yuan, -16.98 Yuan, 28.46 Yuan, 18.92 Yuan, and -13.85 Yuan, respectively. Livestock requires only a small land area and is not affected by soil quality (Ryschawy 461 462 et al., 2017). In addition, the emergy sustainability of the ICLS (ESI = 1.09) was higher than that of the SCS at the system level. However, EOD and EYR in the ICLS were lower than in the SCS, 463 and T_r in the ICLS was higher than in the SCS, indicating a lower productivity of land energy 464 output efficiency, emergy return on emergy investment, and production efficiency in ICLS, 465 466 respectively. This may be caused by the non-optimal design of the crop-livestock structure, which 467 is a critical factor for ICLS (Sneessens et al., 2016). The ICLS adopts the principles of a circular economy that reduce, reuse, and recycle materials, which is a sustainable development strategy 468 469 (Heshmati, 2017). These principles are important for achieving a better performance of ICLS when 470 designing each subsystem (e.g., crops and livestock).

471 **4.2 Increasing the usage of organic manure**

472 Increasing the use of manure in crop cultivation is recommended to improve sustainability. The 473 overuse of synthetic fertilisers may also threaten human health and cause serious environmental problems (Ahmed et al., 2017). The results showed that increasing the fraction of fertiliser coming 474 475 from organic manure brings a trade-off: while the environmental pressure decreases, productivity 476 decreases. The reason for decreased productivity is that the total nitrogen input was constant while PCSM increased; the organic matter from the manure was an additional input resource for the ICLS 477 478 and SCS, which caused an increase in the purchased resources input. In the scenario of an increasing 479 PCSM, we assumed that agricultural products did not change. Therefore, the system production 480 efficiency (T_r) and emergy return on emergy investment (EYR) decreased. The decrease in 481 environmental pressure was due to the increase in renewable resource input and decrease in non-482 renewable resource input when the chemical nitrogen was substituted with manure fertiliser. The two systems reacted differently to the increase in fertiliser input. For the SCS, manure was 483 considered an imported resource. Along with the range of the PCSM from 0-100%, there were 484 drastic changes in the indicators: if the environmental performance substantially improved (decrease 485 486 in environmental loading ratio and increase in emergy sustainability), the productivity performance substantially worsened (increase in transformity and decrease in emergy yield ratio). For the ICLS, 487 488 manure was, to some extent, an internally recycled resource and only partially an imported resource. 489 For such systems, the trend in the indicators was similar but with two important differences: the 490 indicators changed weakly until a threshold corresponding to the availability of manure in the 491 system was reached. When manure had to be imported (i.e., the need was greater than the internal 492 availability), the indicators changed (the environmental indicators improved and the productivity 493 indicators decreased). However, along the PCSM gradient, the trade-off between productivity and 494 environmental indicators was more gradual with respect to the ICLS, that is, the productivity 495 increased and environmental pressure decreased before the manure was imported, and the difference in indicator performance between PCSM = 0% and PCSM = 100% was lower than that of the SCS. 496 497 This highlights the buffering role of livestock (Ryschawy et al., 2012). 498 It should be noted that for very high values of PCSM, the environmental performance of the SCS is better than that of the ICLS, meaning that imported manure in a crop-only system seems 499

- 500 more sustainable than a crop-livestock system using the same quantity of manure, both local and
- 501 imported. This higher environmental pressure in the ICLS compared to the SCS for high PCSM is

due to the presence of livestock and greenhouses on the farm. For example, compared to crops (i.e., wheat and maize), livestock and greenhouses (i.e., vegetables) consume more non-renewable resources, such as electricity and plastics. These might create more adverse environmental impacts while guaranteeing a better level of manure availability and economic benefits of ICLS compared to SCS.

507 **4.3 The promising paradigm of integrating crops and livestock**

508 Lemaire et al. (2014) pointed out that the trade-off between the necessity to improve productivity for food security and to reduce environmental risk factors must be overcome in the 509 agricultural paradigm. Our analysis showed that trade-offs are present in the choice of ICLS over 510 511 SCS. Fig. 4 shows that the SCS performs better than the ICLS with a PCSM value of approximately 512 19% according to the emergy indices $(T_r, EYR, ELR, and ESI)$, which is also one of the potential 513 temporary solutions to solve manure pollution and maintain productivity. In addition, labour productivity, defined as the yield (Y) divided by the labour input (L) of the SCS (2.91E-04 J·sej⁻¹), 514 515 is higher than that of the ICLS (6.13E-05 J·sej⁻¹) (the data of L and Y refer to Supplementary 516 Tables S2 and S3). However, some arguments remain in favour of ICLS. As an experimental 517 paradigm, the ICLS was designed to achieve the recycling of resources, which reduces the 518 dependence on external resources to a certain extent and can reduce production costs and risks of 519 market fluctuations (Ryschawy et al., 2017). All the forage grains produced by the crop production 520 subsystem are used for the livestock subsystem, and all the manure produced by the livestock 521 subsystem is used for crop production and vegetable subsystems within the whole ICLS (Herrero et 522 al., 2010). As a renewable resource, manure fertiliser is the main nutrient for crops and vegetables 523 in the ICLS, which reduces the dependence on non-renewable resources and improves the sustainability of resource utilisation. In the future, agricultural production systems should perform well with lower chemical nitrogen input but higher manure nitrogen input. The SCS systems performed well at 20% and could be temporarily beneficial in a transition from the current systems to an organic system. However, 20% of manure nitrogen use means very high usage of chemical nitrogen, which should be avoided in the future. To scale up systems in which an increasing amount of manure fertiliser is used, it is important to change the system configuration (switching from SCS to ICLS) and further optimise the ICLS.

531 Overuse of synthetic fertiliser leads to environmental pollution (Chen et al., 2014). In addition, 532 in the eastern regions of China, there is a distinct separation among intensive livestock systems 533 (often close to peri-urban areas), hotspots of nitrogen and phosphorous accumulation (Li et al., 534 2008). Increasing the fraction of organic manure in crop fertilization practices is an important 535 strategy for reducing the environmental impacts of China's agriculture. Our results showed that if 536 manure is directly available on the farm, it can contain the environmental impacts of agriculture and 537 maintain a high level of productivity. For ICLS (taking the Beiqiu Farm as an example), the 538 productivity (T_r and EYR) increases slightly and the environmental pressure decreases slightly 539 when the PCSM is less than 52%, which alleviates the trade-off between decreasing productivity 540 and decreasing environmental pressure. This is mainly because manure can be supplied by the 541 internal livestock subsystem—where manure fertiliser need not be imported when less than 52% 542 chemical nitrogen substitution. Further optimization of the ICLS can make the system more self-543 sufficient in terms of manure; in other words, ICLS can be optimised so that livestock would provide 544 manure for the crops, which would decrease GHG emissions by reducing transportation (Pradhan 545 et al., 2020).

546	As one of the promising future crop-livestock systems, there is a trade-off relationship between
547	productivity (e.g., T_r and EYR) and environmental cost (e.g., ELR) for agricultural production.
548	This is consistent with other findings in the literature, for example, Wu et al. (2015b) found that the
549	values of T_r EYR, and ELR of an ICLS were 7.13E+04 sej·J ⁻¹ , 1.54, and 3.19 in Yijun County of
550	Tongchuan City, Shaanxi Province, China, which indicate higher productivity and higher
551	environmental pressure compared to the experimental ICLS (i.e. Beiqiu Farm) ($T_r = 1.66\text{E}+05 \text{ sej}\cdot\text{J}^-$
552	¹ , $EYR = 1.13$, $ELR = 1.04$). The environmental pressure of agricultural production in the future
553	calls for softer trade-off related to ICLS, that is, increasing productivity without increasing
554	environmental pressure. The productivity of experimental ICLS must be improved compared to SCS
555	$(T_r = 3.71\text{E}+04 \text{ sej} \cdot \text{J}^{-1}, EYR = 1.60)$, while maintaining lower environmental pressure through
556	several policy measures (i.e., reducing external input and increasing production per unit area of
557	arable land). To reduce external input, optimization of crop-livestock balance can reduce the manure
558	fertiliser input and might also contribute to reducing feed-food competition. In addition, the labour
559	productivity of ICLS can be improved by adopting intelligent and automated agricultural machinery
560	in the future (Li et al., 2018), and there is a positive correlation between crop production and
561	machinery power input (Li et al., 2021). Furthermore, the scientific application of water and
562	fertiliser for crops can also reduce the input of external resources. To increase production per unit
563	area of arable land, the productivity of ICLS must be improved through engineering and cultivated
564	technologies, such as planting crop varieties with a high yield and strong resistance to adversity
565	(e.g., high wind, diseases, and insect pests). The development of scientific-release organic fertiliser
566	and research on the scientific application of manure fertiliser (Xiang et al., 2008), which can
567	improve nutrient absorption in crops, requires increased funding from the government. To improve

568	productivity effectively through the two main types of policy measures, farm managers need further
569	training on the agronomy of crops, vegetables, livestock, and other agricultural systems, and the
570	correlation between each subsystem due to the complexity of ICLS (Ryschawy et al., 2012). The
571	professional training of farmers (Zhao et al., 2019) should be promoted by the government.
572	Considering the advantages of ecological utilisation of manure, system stability, the sustainability
573	of resource utilisation, and higher economic benefit, the ICLS paradigm could be a choice for China
574	for future agricultural production in regions with serious manure pollution and cultivated land
575	degradation (Wu et al., 2013; Ryschawy et al., 2017).
576	5. Conclusion
577	This study compared two agricultural paradigms, the most widely used SCS and the more
578	innovative ICLS, to propose suggestions to improve the performance of the latter. Compared to the
579	SCS, the ICLS puts less pressure on the environment, has higher emergy sustainability, and higher
580	economic benefits per unit land area but consumes more emergy input and has lower productivity.
581	Facing huge livestock product demand with income growth, population growth, and
582	urbanization (Thornton, 2010), and greater livestock manure production in the future, China must
583	pay attention to livestock manure management by integrating livestock and cropping systems. If the
584	use of manure is encouraged over synthetic fertiliser, ICLS can alleviate the trade-off between
585	decreasing productivity and decreasing environmental pressure with internal resource recycling. On
586	the contrary, there was a sharp trade-off between system production efficiency and environmental
587	pressure in the SCS, in which the productivity and environmental pressure decreased sharply with
588	increasing PCSM.

589 Considering the several benefits of sustainable resource utilisation, environmentally friendly

production, and system stability, the ICLS could be an alternative future agricultural production system in regions with serious manure pollution and cultivated land degradation. However, further research and innovation are needed to improve ICLS. Within the ICLS, there is a need to optimise the crop-livestock structure, strengthen scientific management, and improve productivity. In addition, farmers must be trained in the agronomy of crop-livestock during ICLS promotion in China.

596 **Declaration of competing interest**

597 The authors declare that they have no known competing financial interests or personal 598 relationships that could have influenced the work reported in this paper.

599 CRediT authorship contribution statement

600 Yang Li: Methodology, Investigation, Formal analysis, Data curation, Writing - original draft,

601 Visualization. Zhigang Sun: Conceptualization, Supervision. Francesco Accatino: Writing-

- 602 Reviewing and Editing, Supervision. Sheng Hang: Methodology. Yun Lv: Methodology. Zhu
- 603 **Ouyang:** Supervision.

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611 Appendix A. Supplementary material

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- 612 Supplementary data related to this article can be found online.
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