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OPALE: OPerational Assessment of Landscape water Eco-functionalities

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2 Highlights

3 - We develop OPALE, a suite of programs for the representation and analysis of landscapes in

4 arable crops and grasslands conditions ;

OPALE allows for efficient evaluation of water and matter outflows (nutrient, suspended matter
and fecal bacteria) and leads to usable computed indicators for the assessment of landscape
functioning and water-related eco-functionality in various geographical situations.

8

9 Abstract

10 The present article proposes a suite of programs aimed at (i) representing landscape 11 organization in relation with the functioning of annual crops or breeding systems, (ii) evaluating 12 water movements from biomass and hydrological exchanges, and (iii) analyzing the transfer 13 dynamics of nutrients, suspended matter or fecal bacteria based on particle tracking methods. 14 Simulations provided indications about the underlying processes that drive exchanges and sink-15 source effects operating at the landscape scale. OPALE was tested in three agricultural contexts 16 and biophysical situations. Water flows and flows of associated matters were compared to data 17 recorded at the catchment outlet, and showed the efficiency of the algorithms developed in the 18 generic OPALE libraries. This article describes the underlying hypotheses and the full 19 mathematical framework and procedures used to assess landscape eco-functionality. Several 20 examples are given to illustrate the use of OPALE in landscape reconfiguration prospects (e.g.

influence of landscape composition and structure on plant transpiration, stream flow, erosion,
 nutrient and organism fluxes in water) for the agroecological transition.

23

Keywords: farming systems, decision rules, agricultural landscapes, crop management,
 hydrological processes, agroecology.

26

27 **1. Introduction**

28 Agroecology is aimed at developing several approaches to solve current agricultural 29 production challenges (Wezel et al., 2009 ; Hatt et al., 2018). It develops field- and territory-level innovations to increase interactions among plant, animal and microorganism communities. As 30 31 mentioned by Gascuel-Odoux and Magda (2015), a major challenge is not only to promote designs 32 of production systems and crop management methods on an ecological basis, but also to consider 33 innovations at the territorial level. A main challenge is to find ways of defining new farmland 34 organizations to enhance ecological landscape functionalities while considering economic 35 activities, bio-geochemical cycles and biological processes (Poggi et al., 2021). Stakeholders are 36 increasingly concerned by soil management and natural resource protection, as well as by the 37 closure of the water and nutrient cycles, the control of contaminants, etc., as all of them are highly 38 dependent on land use and land cover at the catchment scale.

Agricultural landscapes can be considered as macro-ecosystems resulting from interactions between the human society and biophysical processes. Landscapes constitute *'the mirror of past and present relationships between human beings and their surrounding nature'*, 42 Vanier (1995). We considered landscapes as spatial arrangements of features (agricultural fields, 43 urban / forested / semi natural elements like hedges, grass strips, ditches) and flows operating at 44 different time and spatial scales. Some of them are controlled by human activities (flows of 45 energy, fertilizers, farm effluents, flows caused by herd displacements, etc.), others are inherent 46 in the functioning of the eco-biophysical context. Considering the importance of water issues in 47 the context of global change, the ambitions of the agroecological transition (Gascuel-Odoux and 48 Magda, 2015), and landscapes-water quality relationships (Chaplin-Kramer et al., 2016), the 49 present article is focused on landscape eco-functionalities related to water flows (water 50 displacement within landscapes and associated movements of solutes and living or inert suspended matter). 51

52 The operational assessment of landscape eco-functionalities is aimed at evaluating the 53 links between landscape composition (land uses), structure (spatial arrangement of different land 54 uses), and water flows and nutrient/pollutant flows associated with water movements. It requires 55 the implementation of interdisciplinary approaches. Modeling can offer an adapted framework 56 to analyze and interpret the complexity of landscape macro-ecosystems (Poggi et al., 2021), 57 especially when considering numerous links between decisions, climate, soils and organisms 58 within the critical zone. Landscapes have been the object of several modeling developments, 59 focusing on the design of agricultural territories -see for example MAELIA (Rizzo et al., 2019)- or 60 the interpretation of landscape organizations in terms of water budget and flows -MYDHAS 61 (Moussa et al., 2002)-. To the best of our knowledge, links between these two approaches are 62 sparse. As mentioned by Langhammer et al. (2019) and Zellner et al. (2020), developing generic

modeling of the links between human decisions, water needs and water ecosystem functions is
 central to achieve evaluations of landscapes and elaborate territory prospects in a context of
 global change.

The present article describes OPALE, a tool developed to address the issue of the agroecological transition at the landscape level. The model considers a large set of agricultural production systems and hydrological conditions. It is focused on (*i*) landscape design resulting from farming system parameters and decisions; (*ii*) surface hydrology and biophysical processes. More precisely, the tool includes a set of libraries about the following items:

• the design of the landscape occupied by farmland, providing the distribution of
 crops across farmed fields based on from farming practices and schedules (land use and land
 cover change model (LULCC));

• the modeling of water movements within previous simulated landscapes,
considering surface, hypodermical and deep trajectories (water movements within landscapes
model (WMWL));

the evaluation of -inert and living- solute and suspended matter transfers
associated with water displacements, including N, P, *Escherischia Coli* (EC) and suspended

79 matter (solute and suspended matter transfer (SSMT));

• the construction of normalized indicators of landscape functions in order to

81 assess their regulation services.

82

83 A theoretical frame will be first presented to introduce our working hypotheses and our

methods. Then, OPALE software architecture will be presented with three different application
cases differing in terms of agricultural and hydrological context. They were implemented to assess
several issues related to soil conservation, nutrient cycling and surface water eutrophication or
the degradation of the bacteriological quality of water resources.

88

89 2. Method and Theory

90 **2.1. Land use and land cover change (LULCC)**

91 The unutilised agricultural area (NUAA) of landscapes (non productive infrastructures, 92 urban areas, forests) is documented by geographical databases (Corine Land Cover, shapes of 93 forested areas)

94 Simulating LULCC in utilised agricultural areas a is somewhat complex because of the 95 diversity of farming systems and associated practices (Thenail et al., 2009; Benoit et al., 2012). 96 Several models working at the plot level (a plot corresponds here to an agricultural parcel: a 97 continuous area of land declared by a farmer, on which a single group of crops is cultivated) 98 concern the organization of annual crop rotations (Dury et al., 2012), but those that enable 99 dealing with livestock or both livestock and crop farms are scarce. One of the difficulties lies in 100 their ability to manage the distribution of technical rules among plots, and their respective 101 contribution to the overall fodder balance for livestock and to commercial benefits for annual 102 crop production.

103 For annual crops, landscapes change every year in relation with crop successions and 104 rotation rules. Taillandier et al. (2012) formulated the choice of crop rotation as a multi-criteria

105 decision problem: for each plot, the farmer chooses a crop rotation, following evaluations at the 106 farm level based on several criteria (financial risk, expected income, workload, farmer's habits). 107 Other works only focus on the crop allocation problem. For example, Martel et al. (2017) 108 formalized it as a constraint satisfaction problem integrating agronomic and crop distribution 109 constraints. Another work using optimization proposes to solve the crop allocation problem by 110 computing the maximum flow of a transition graph of all possible multi-year crop successions 111 (itineraries) permitted by rotation rules (Houet et al., 2014). Then, an optimization process is 112 applied to select the itineraries allowing for the objectives of annual cropping plans (expected 113 surfaces of crop production) to be achieved at the farm level.

114 For livestock and mixed crop-livestock farms, constraints are determined by livestock feed 115 demands. Landscapes are quite stable from one year to the next, as they are not subject to 116 rotation and succession rules. However, the distribution of biomasses varies intra-annually in 117 relation to the grazing and hay-cutting schedule. Landscapes show spatial structures with blocks 118 (assemblages of several plots) of specific uses. We considered three blocks (fig.1): block 1 grouped 119 priority plots for pastures of productive animals (practices P1, HP1, cf. supplementary material 120 S1), permanent or temporary meadows for hay production and late pasture (H3CP1 and H2CP1 121 and HP2), as well as distant pastures for non-productive animals (P2). The area of these blocks 122 depends on the farming system (for example the first block had to provide around 50% of unit 123 forage needs/ha in a milk-round bale system, versus 90% for traditionnal systems, cf. 124 supplementary material S1). Several constraints determine the extension and location of these 125 blocks, such as plant growth rates and palatability, distance between livestock sheds and fields,

126 accessibility, slope and soil conditions (Marie et al., 2016). For example, in case of dairy 127 production, a permanent meadow, according to its slope, its agronomic potential and its distance 128 from the milking parlor, may not be equally assigned to the grazing of dairy cows, hay crops or 129 the grazing of young cattle. Giving that livestock headcount cannot be easily changed in livestock 130 systems, landscape construction was modeled with reference to cascade rules and supply-131 demand constraints, where pasture for productive animal are the priority, followed by crops and 132 hay crops productions and finally pasture for heifers or lots of non productive animals for the 133 remaining surfaces (Josselin et al., 1999). As the drivers of the distribution of activities of a crop 134 farm and a livestock farm differ greatly, we did not use the same approach to represent the 135 landscape design.

136 For crops farms (including vegetable production), we chose an algorithm similar to the 137 one proposed by Houet et al. (2014) where the spatial distribution of crops depends on an 138 optimization process based on maximal flow computations. In this representation, activities are 139 constraint by cropping plans and rules related to permitted of forbidden crop rotations (Figure 1), 140 with the following hypothesis: (i) in the case of vegetable production with crops requiring 141 regular/daily intervention (seeding/planting, harvesting/packaging), priority blocks nearby 142 working sheds must be first allocated before implementing maximum flow computations; (ii) crop 143 system landscapes do not change according to seasonal climate changes as farmers can adjust 144 irrigation schedules or change the final commercialization of the harvested products depending 145 on the way meteorological conditions evolve; (iii) all the plots concerned by the maximum flow 146 computation are considered to be unconstrained by edaphic (humidity, stoniness, etc.),

147 topographical (slope) or cadastral conditions. In the event that one or several plots cannot be 148 used for annual crops, their dominant use must be indicated in the geographical database 149 describing the NUAA.



150

Figure 1: Diagram of the LULCC model for crop systems. For non-priority crops possibly remote 151 152 from working sheds, a maximum flow computation was performed, extracted from Houet et al. 153 (2014). W-Wheat; B-Barley; R-Rapeseed; S-Sunflower; G-Grassland; S-Salad; Sp-Spinach; Br-154 Beetroot; O: Onion. For the sake of simplicity, this diagram represents an example of a two-year 155 succession of crops (in practice, the number of crop successions can be defined by the user). 156 Arrows between Set1-Set2 and Set2-Set3 represent graph edges with a capacity equal to field 157 surfaces; between set3-Set4, edges with a capacity equal to the total surface of a given farm. An 9 optimization process selected an itinerary between Set1 and Set4 across a total area similar tothe farm's cropping objectives.

160

161 The maximum flow was computed using the classic Edmonds-Karp max flow algorithm 162 (Edmonds and Karp, 1972). As this algorithm is stochastic, different solutions of spatial crop 163 allocation can be obtained between two executions depending on random choices for the 164 construction of graph-edges. Unlike Taillandier et al. (2012), labor tasks were not explicitly 165 evaluated, but plowing, sowing and hoeing dates were stochastically distributed in the computed 166 crop successions to generate "noise" in calendars.

167 For livestock farms (Figure 2), we implemented stepwise computations controlling the 168 adequacy between plot supply and the priorities for pasture and forage demands, as proposed 169 by Marie et al. (2016). Considering farming systems and livestock heads, we determined the 170 forage needs and allocated them to farmlands, following priorities and surface ratios of forage 171 practices (intensive or extensive meadows and pastures), cf. supplementary material S1. We 172 considered that the extent of spatial blocks could change under unfavorable climatic situations 173 because livestock feeding is priority over the constitution of forage stocks. Landscapes and the 174 dynamics of grass biomass were therefore modified following seasonal climatic evolution in 175 accordance with priority rules for livestock needs.



Figure 2: Diagram of the LULCC model for livestock systems. nF-non forage crops; F-forage crops; 177 178 P1-first-priority pastures for productive animals; pM-permanent meadows; tM-temporary 179 meadows; P2-second-priority meadows for non productive animals. Three blocks of fields composed the farm landscape, in relation with distance to livestock sheds and environmental 180 181 constraints (slope and soil moisture). A step computation scheme selected the available fields for the crop requirements of the farm systems and several climatic conditions. Pasture requirements 182 183 for productive animals were computed iteratively by adding P1 surfaces and late pastures 184 following hay cuts.

The time step for the simulations of livestock or crop systems is one day, and the time span of the simulation is fixed by the user (one year is the minimum required to buid a landscape over the seasons, much longer in crop sytems to evaluate landscape evolution according to successions).

188 **2.2. Water movements within landscapes (WMWL)**

Modeling water movements requires implementing distributed approaches in which a computational domain is defined by the watershed boundaries (a watershed is a land area that channels rainfall and snowmelt to rivers and to an outflow point), generally represented by square grid meshes where each individual cell (defined as a sqare area of a dimension fixed by the pixel dimension of the digital elevation model) is the object of water balance. Computations may use simultaneous or sequential approaches.

In the simultaneous approach, implicit digital schemes can be performed to solve partial differential matrix equations, considering all flows and local processes of the computational domain, as in MODFLOW (Harbaugh, 2005). Such an implementation is no straightforward task. As an example, boundary conditions must be defined for domains where the deepest geological structures and their links with the hydrological network are generally unknown or poorly known. Also, the stability of the solution of the matrix equations is unsure because it depends on the configuration of the digital schemes.

202 To overcome these difficulties, the sequential approach considers cell balance solved 203 explicitly by cascade schemes, from up-slopes to the watershed outlet, Kiesel et al. (2013). In 204 addition, all the processes that control soil moisture (e.g., evapotranspiration, percolation) are 205 evaluated successively, in the same way as in the distributed SMDR model (Gérard-Marchant et 206 al., 2006), or in the semi distributed SWAT model (Arnold and Fohrer, 2005). We chose this 207 approach although it does not represent hydrodynamic processes simultaneously. First, errors 208 are restrained when time-step computations are shortened (Trevisan and Periáňez, 2016); 209 second, there are several operational gains: (i) the deepest domain can be represented by black-

box representations, (*ii*) equations are formal, giving an eprouved ability to provide evaluations
of time-varying land management practices (Me et al, 2018); (*iii*) no solver configuration is
required.



Figure 3: Computation algorithm and parameterization of the water flow model. Rectangles represent water stores, ellipses processes. Exponent values in parentheses refer to the following works: (1) *Gérard-Marchant et al.* (2006); (2) *Obled and Zin* (2004); (3) *Cerdan et al.* (2002); (4) *Arnold and Fohrer*(2005) ; (5) *Lellay* (2006); (6) *Gurnell* (1978); (7) *Tarboton* (1997). Refer to the text for comments.

219

Two soil volumes were considered in the WMWL model: (i) the vadose zone in the topsoil, delimited by root depth, overlying (ii) the saturated zone (figure 3). The general water balance for extended hydrodynamic situations was:

223
$$\frac{dW_{vz}}{dt} = R - E + L + H_{vsa} + H_{hor} + H_{imp} - D,$$
 (1)

where $W_{\nu z}$ is the water store in the vadose zone [mm], dt the time interval [d], and the 224 225 following all expressed in [mm.d⁻¹]: R rainfall, E evapotranspiration, D vertical drainage, L 226 the lateral movements of water (sub-surface flows), H_{vsa} overland flow generated by soil 227 saturation (variable source area (runoff), H_{hor} hortonian runoff, H_{imp} overland flow from 228 impermeabilized urban areas, and D vertical drainage. L, H_{vas} , H_{hor} and H_{imp} net values 229 can be positive or negative depending on whether water flows are coming from up-slope cells or 230 directed to down-slope cells, respectively. The processes controlling the water balance equation 231 are summarized in Figure 3.

We accounted for a large diversity of hydrodynamic processes to extend the applicabilityof the WMWL model to generic situations.

Biomass dynamics, root depth and evapotranspiration flows were computed for
vegetables, crops and pastures by the generalized plant growth model (Gérard-Marchant et al.,
2006), detailed in Appendix A, §7.1. The model is based on a computation of cumulative degreedays. Several thresholds were considered, accounting for plant growth rates, practices and
farming schedules (seeding, cuts, etc.), all of them tabulated from the LULCC model outputs;

• We introduced first-order kinetics to describe water table behavior (Arnold and Fohrer, 2005 ; Lellay, 2006). The seepage of the water table into the river network was evaluated from a transfer function applied during a restitution period (Hingray et al., 2009). In this representation, one of the major issues (Sarrazin, 2012) is the capacity of the model to reproduce the hydrologically active areas of watersheds (zones where groundwater tables can effectively seep into the river network). Following Gurnell (1978), we considered that the representation of
a river network dynamics accounted for temporary drying out of the river network, and calculated
the number of river segments where seepage was effective;

• Hypodermic water movement along slopes where topsoils are bounded by restricting layers or low-permeability layers can favor the rising of sub-surface water tables over the soil surface in thalweg and down-slope conditions (Obled and Zin, 2004; Gérard-Marchant et al., 2006). For this reason, the Variable Source Area hydrology concept (Dunne et al., 1975) was integrated in the WMWL computing scheme;

252 • Soil surface crusting is a main determinant of hortonian runoff. Process-based 253 models -e.g., see Jetten et al. (1998) - were developed to estimate hortonian runoff flows from 254 hydrodynamic properties of soil surface crusts. Their applicability to agricultural contexts where 255 the crusting dynamics is driven by farming practices and plant biomass development is 256 challenging (Takken et al., 1999). To avoid over-parameterization, we implemented expert-based 257 rules from the STREAM model (Cerdan et al., 2002) to focus on the dominant drivers of crusting; 258 • Following Kiesel et al., (2013), we implemented a cascading computational scheme 259 to evaluate water flows along slopes, in which water balance was calculated for successive cells 260 sorted by decreasing elevation and increasing index of topographic accumulation (Schwanghart 261 and Kuhn, 2010).

262 WMWL equations are fully described in Appendix A.

263

264 2.3. Solute and suspended matter transfers (SSMTs).

265 The SSMT model calculates transfer functions to provide standardized evaluations of 266 landscape functioning and help end-users in the comparison of landscape configurations and 267 structures. Transfer functions correspond to a density of mass-transfer rate of compounds 268 originating from transient stores, often described by exponential or power-law distributions 269 (Haggerty et al., 2002). We calculated surface and subsurface transfer functions (SSTFs) for 270 surface and subsurface flows by applying particle-tracking methods, considered as a key 271 technology for assessing the diversity of displaced matters (Chenouard et al., 2014). Here, 272 particles represent a solute mass of nutrients in solution, SM or EC numbers.

273 To assess landscape functioning during critical periods and save computational time, SSTF calculations were restricted to "transfer periods" ($t - \tau_f, ..., t$), where τ_f is the transfer 274 duration (days), following a daily meteorological event of interest (DMEI) starting at date $t - \tau_f$. 275 276 DMEIs are events representative of typical soil moisture conditions and biomass development, e.g., low-water periods or fall high-flow recovery. During the transfer period, the SSMT module 277 278 counts the number of particles that reach the watershed outlet as a function of water trajectories 279 computed by the WMWL model and sink-source determinism. Water trajectories and particle 280 displacements can be interrupted on cells where the soil moisture balance does not exceed 281 saturation thresholds. During the transfer period, we restricted water exchanges with the 282 atmosphere to evapotranspiration flows (rainfall was set to 0) to only account for particle 283 displacements resulting from the landscape draining and water redistribution dynamics produced 284 by DMEIs.

285

Particle tracking was based on previous schemes accounting for pollutant build-up, wash-

off and transport processes (Jiang et al., 2019). During the transfer period, for each τ days of the interval $(0, ..., \tau_f)$, we considered the $s_k(t - \tau)$ build-up stocks located on the k cells of the computational domain, each of them affected by wash-off rates $w_k(t - \tau)$ transferred to the outlet with associated $p_k(\tau)$ transfer probabilities. Based on Trévisan et al. (2019), the amount of particles produced by a DMEI was given by:

291
$$\sum_{0}^{\tau_{f}} q(\tau) = \sum_{0}^{\tau_{f}} \sum_{1}^{k} s_{k}(t-\tau) w_{k}(t-\tau) p_{k}(\tau).$$
(2)

For surface and subsurface flows, transfer delays are generally short, in the range of several days or one/two weeks (Dorioz et al., 1989). Consequently, the following build-up stocks $s_k(t - \tau_f, ..., t)$ and wash-off 3D matrices $w_k(t - \tau_f, ..., t)$ were computed for $\tau_f = 15$ days. Two indicators of underlying processes driving exchanges and sink-source effects operating at the landscape scale were evaluated by SSMT outputs. First, the DMEI-*SSTF* distribution obtained by particle counting at the catchment outlet:

298
$$P(1), \dots, P(\tau_f) = \frac{q(\tau)}{\sum_{0}^{\tau_f} q(\tau)}.$$
 (3)

299 Second, $\eta(t - \tau_f)$, the landscape delivery ratio associated to the DMEI started at $(t - \tau_f)$:

300
$$\eta(t - \tau_f) = \frac{\sum_{0}^{\tau_f} q(\tau)}{\sum_{1}^{k} s_k(t - \tau_f) w_k(t - \tau_f)} , \qquad (4)$$

varying from 0 for systems in which no matter flows out of the landscape to 1 in opposite conditions. *SSTF* and $\eta(t - \tau_f)$ provided by particle counting were catchment-scaled and DMEIs single-event-dependent representations of landscape functioning. The SSMT equations of particle behavior driving $s_k(t - \tau)$, $w_k(t - \tau)$ and $q(\tau)$ values are given in Appendix B.

305 Deeper transfer delays were greater than previously, in the range of several months

(Hingray et al., 2009). As the groundwater table was represented by a black-box analysis, particle counting was not fitted. The corresponding groundwater transfer function (*GTF*) was given by $P(1), \ldots, P(\tau_s) = \tau_s^{-\lambda+R}$, with λ a WMWL power-law parameter for deep water transfer (Appendix A), τ_s the duration of the seepage period, and R a retardation factor accounting for sink effects (Holzbecher, 2012) equal to 0 in case of N transfer (no sinks outside the vadose zone). *GTF* were catchment-scaled and generic-event scaled representations of landscape functioning.

313

314 2.4. Model validation

315 2.4.1. LULCC and WMWL modeling

We evaluated the deviation between the farmers' cropping plans and the simulated plans through the absolute deviation $AD = \frac{\sum_{i=1}^{n} |\hat{x}_i - x_i|}{\sum_{i=1}^{n} \hat{x}_i}$, where 1...n is the set of possible land uses, \hat{X}_i the sum of areas of land use i expected by the cropping plan, and X_i the corresponding simulated sum. River outflows summing all water components computed by the WMWL model were confronted to observed values trough the Nash Sutcliffe coefficient (Wallach et al., 2013).

322 2.4.2. Particle tracking and SSMT modeling

The amount q(t) of particles reaching the outlet depends on delayed flows that affect the number of particles $m_k(t - \tau)$ produced in each cell of the computational domain and available for water transfer:

326
$$m_k(t-\tau) = s_k(t-\tau)w_k(t-\tau).$$
 (5)

327 We can write:

328

$$q(t) = \sum_{1}^{k} \int_{0}^{\tau_{f}} m_{k}(t-\tau) p_{k}(\tau) d\tau$$

$$= \sum_{1}^{k} m_{k}(t) * p_{k}(\tau), \qquad (6)$$

where the asterisk represents the convolution product and $p_k(\tau)$ the transfer probabilities generated by DEMIs representative of hydro-agrosystem conditions over the transfer period.

The individual particle behaviors and trajectories of surface and subsurface transfers cannot be measured directly. To compare SSMT results with observed values, we introduced delivery ratios (eq. 4), produced stocks m_k (eq.5) and *SSFT* $P(\tau)$ (eq. 3) values into a lumped formulation of particle flows $q_L(t)$ equivalent to $\sum_{1}^{\tau_f} q(\tau)$, the SSMT outflows (Appendix C):

335
$$q_L(t) = \eta(t - \tau_f) \sum_{1}^{k} m_k(t) * P(\tau).$$
(7)

In case of finite built-up stocks, the production function is lowered by previous outflows,with minimum values fixed to 0:

338
$$\sum_{1}^{k} m_{k}(t) = \sum_{1}^{k} s_{k}(t) w_{k}(t) - q_{L}(t-1)$$
(8)

339

For nutrient and EC stocks, $s_k(t)$ were obtained by updating the balance $s_k(t) = s_k(t-1) - \gamma s_k(t-1)$, where γ accounts for plant assimilation/mineralization/denitrification/mortality. At the beginning of the simulation (t_0) or for each period of fertilizer supply or manure disposal, initial $s_k(t_0)$ values were defined considering fertilization supplies or residual stocks of land uses (supplementary material S2). For SM, $s_k(t)$ depended on the crusting dynamics (Appendix B).

346

Solute matter outflows from deep water table seepage were calculated by eq. 7, where

347 the distribution $P(\tau)$ is given by the *GTF* (cf. paragraph 2.3) and $\eta(t - \tau_f) = 1$.

Lumped-computed N, total P and soluble P, EC and SM outflows were confronted in separate worksheets to observed values, following Trévisan (2019). A unit conversion factor uwas evaluated by minimizing the objective function $[q^{obs}(t) - uq_L(t)]^2$ between observed q^{obs} and calculated q_L values of matter outflows.

352

353 **3. Software architecture**

WMWL and OPALE GUIs (figure 4) were implemented in the MATLAB environment, while the LULCC and SSMT programs (figure 5) were implemented with the GAMA platform (Taillandier et al., 2019). The OPALE GUI allows users to select libraries, implement IOF writing/reading and parameterize commands in the two environments and SAGA geographical treatment (http://www.saga-gis.org). OPALE executable for the Windows-64 bit environment, source codes and documentation are available at github¹. All programs were run on a computer with a CPU IntelCore(R) i7 and 32 Go RAM.

¹ https://github.com/TipTop-PSDR/OPALE.git



362

Figure 4: OPALE GUI.

At the center of the OPALE GUI a workflow scheme organizes the workflow steps for landscape assessment. The workflow scheme differs depending on the selected production systems (from the listbox items - Livestocks / Crops & Livestock or Crop / vegetables-).

At step 1, the 'New project' button and a subsequent 'Exec'-clic allows reading input files. Then, it opens the GAMA GUI where users can launch an initialization program, linking together geographical information (soils, hydrological network, fields, farm systems, etc., as described in supplementary material S3). The computational mesh is also defined here, with the sizes of the square grids based on the resolution of the digital elevation model.

371 Then, the LULCC program is opened, and performs maximum flow or step computation

372 schemes depending on the selected production systems. Configuring decision rules for crop 373 successions and distance priorities between farms and practices is allowed. LULCC outputs are 374 agricultural landscape structures (i.e. an assemblage of different agricultural plots) and landscape 375 infrastructures (e.g. hedges, filter strips, non-productive areas), as referenced by grids where 376 their proportion area in the cells of the computational mesh are calculated to limit topological 377 representation biases for the following WMWL calculations.

The 'G=> M' button (Step 2) builds matrices for the Matlab environment and performs plant development and topography calculations to build the cascade scheme for sequential water flow balances along slopes.

The Step 3 top buttons open the WMWL model for optimization. WMWL optimization can be done contextually based on soil and hydro-system properties, or automatically with the *Isqcurvefit* tool in Matlab. All calculations up to this stage provide a baseline on the current landscape.

385 The Step 3 bottom buttons open simulation purposes, and build new matrices that can be 386 compared to the current landscape. If the user needs to analyze production system changes, the 387 workflow differs depending on farming conditions. For crop/vegetable systems (crop distribution 388 determined by random selections in the LULCC model), forward planning of farming systems is 389 implemented by modifying the crop areas of the first year of the crop succession in order to follow 390 the initial patterns of crop distributions. The aim is to avoid repeated simulations to obtain 391 converging agent-based model simulations outputs that are commonly done to compare present 392 and future territories (Grillot et al, 2018). The price to pay is that only the first year of the crop

393 succession of current and future landscapes can be compared. We implemented such 394 modifications within the Matlab environment, where the first year of the current landscape 395 matrices of crop allocation are modified according to the expected proportions of crop groups 396 indicated by the user in the agricultural-system-landscape panel. To do this, we applied an 397 algorithm in which parcels are progressively assigned to new expected productions until the 398 user's constraints are met. As breeding landscapes are not constrained by random choices of 399 potential crop successions but by priority schemes, modifications of farming systems and climatic 400 conditions have to be calculated by re-launching the LULCC model on an annual or pluriannual 401 basis in which each new farming objective indicated in the agricultural system-landscape panel is 402 evaluated in terms of priority practices and field availability.

403 Step 4 allows opening an M=>G library to configure the s_k build-up matrices for Gama 404 SSMT modeling.

405 On the right side of the OPALE GUI, three panels are enabled/disabled depending on the 406 workflow selection step. The 'Landscape => Water' panel contains several dialog boxes for 407 WMWL parameters (k_m , c_1 , c_2 , c_3 , λ , b, i_{max} , see Appendix A) and check-box options to 408 configure WMWL output plots (when the 'obs, cal' check-box is selected, the Nash Sutcliffe 409 coefficient (Wallach et al., 2013) is computed to compare observed or calculated river flow 410 outputs) or to select parameters to be optimized by the *lsqcurvefit* program. Optimization 411 processes can be paused for plot-zooming and inspection (from the upper tool bar) or to analyze 412 the contributions of water components to river outflows or select DMEI dates. The 'Agricultural 413 system => Landscape panel' allows configuring the proportions of the farming systems, as well

as climatic conditions, farming practices or infrastructure distribution (several choices are possible, with filter strips or hedgerows of deciduous of perennial tree species). With the 'Interface' button, the program interacts with SAGA libraries to re-calculate the proportions of the infrastructures in the computational meshes. Finally, with step 4, the 'Landscapes => Ecofunctionality' panel allows evaluating the transfer functions *SSTF* and the nature of the particle matter to be treated in SSMT.

420

421 **4. Examples of applications**

422 **4.1. Catchment description and monitoring**

423 OPALE was tested in three different territories in terms of farming systems and 424 geographical conditions. Site properties are given in table 1.

Site	Area (ha)	Localization wgs84	Agricultural systems	Geography
Miribel	1132	lat.: 45°.853 lon.: 4°.943	Annual crops Vegetables	altitude: 300 m geology: loess main soils: haplic lixisols main use: agriculture
Autrans	1965	lat.: 45°.107 lon.: 5°.532	Livestock Annual crops	altitude: 1,000 m geology: lacustrine deposits main soil: cambisols main use: agriculture- tourism

Aiguebelette	2700	lat.: 45°.572	Livestock	altitude: 500 m
		lon.: 5°.799		geology: sandstones,
				glacial deposits
				main soils: gleysols
				main use: agriculture-
				periurban

426

Table 1: Study sites. Summary of agricultural and geographical conditions. The main soil types are
referenced from the World Reference Base for Soil Resources (2014).

429

430 Geographical inputs are detailed in supplementary materials S3. Miribel catchment was 431 mainly concerned by hortonian overland flows from annual crops, including fine silt texture 432 conditions and a high sensitivity to soil surface crusting (Lepilleur, 2017). Due to the low 433 permeability of lacustrine deposits preventing deep water percolation, Autran catchment was 434 subject to soil moisture saturation of variable source areas causing frequent surface runoff 435 (Petitqueux, 2017). On the contrary, Aiguebelette tertiary sandstone was favorable to high soil 436 permeability, and water percolation was predominant (Pezet, 2014). Poulenard et al. (2009) 437 studied a catchment nearby Aiguebelette sharing the same geographical context and showed that matter from riverbank erosion was predominant in suspended matter flows and that livestock 438 439 played a role too. These authors observed that the relative contributions of topsoils and river 440 bank sediments were seasonal, with an increase of the topsoil contribution during spring and summer pastures and a decrease in late fall and winter, in relation with the access of livestock to 441 442 the river network.

443

Stakeholders are concerned by erosion, soil protection and mud flows in Miribel, nutrient

444 losses and eutrophication of surface waters in Aiguebelette, and bacteriological water quality in445 Autrans.

446 Stream flows were monitored using weirs placed at the catchment outlets. Water samples 447 were collected in 2016 and 2017 by automatic samplers programmed for weekly flow-weighted composite sampling. In Miribel, the Nephelometric Turbidity Unit (NTU) was monitored with an 448 449 OBS300 Campbell Scientific probe for SM flow evaluation. In Aiguebelette, SM, soluble reactive 450 phosphorus (SRP), total phosphorus (TP) and nitrates were analyzed by standard colorimetric 451 methods (AFNOR, 1990). In Autrans, instantaneous water samples were collected manually and sent within 24h to the laboratory for EC enumeration, following standard protocols ISO 9308-2 452 453 for water bacteriological quality assessment. In addition, to differentiate between the 454 proportions of human and bovine contamination, water sub-samples were treated to quantify 455 HF183 and Rum2Bac bacteroidales markers, following Mauffret et al. (2012).

456

457 4.2. Model parameterization

Table 2 gives parameter values for the WMWL and SSMT models. We evaluated the parameters of the WMWL model (k_m , c_1 , c_2 , c_3 , λ , b, i_{max}), following the *lsqcurvefit* automatic optimization module or by referencing contextual values from expert estimation.

Parameter	Definition	Value	Source			
T_b , DD_{1max}	temperature thresholds (§	reference values	SMDR (2013)			
	7.1)					
k_m	bottom K_{sat} ratio (eq. 15)	M.: 1.0; Au.: 0.8; Ai.: 1.0	С. ор.			
	[-]					
<i>C</i> ₁	deep aquifer losses (eq.20)	M.: 0.88; Au.: 10; Ai.: 1.3	А. ор.			

	[d ⁻¹]		
<i>C</i> ₂	overland hortonian delay	M.: 5.0; Au.: 5.0; Ai.: 5.0	С. ор.
	(eq.21) [d ⁻¹]		
<i>C</i> ₃	overland saturation delay	M.: 1.0; Au.: 0.01; Ai.: 1.0	А. ор.
	(eq.21) [d ⁻¹]		
λ	groundwater delay (eq.22)	M.: 2.5; Au.: 1.5; Ai.: 1.4	А. ор.
	[d]		
b	river shape form (eq.23)	M.: 2.5; Au.: 2; Ai.: 2.5	А. ор.
	[-]		
i _{max}	maximum API bound (eq.24)	M.: 80; Au.: 100; Ai.: 80	С. ор.
	[mm]		
n and $lpha$	Van Genuchten's eq. (§ 7.5)	M.: $n = 1.25$, $\alpha = 0.08$	Wosten et al. (1999)
	[-]	Au.: $n = 1.52$, $\alpha = 0.02$	
		Ai.: $n = 1.25$, $\alpha = 0.05$	
Ι	mean rainfall intensity (eq.	20	Evrard et al. (2009)
	18)		
	[mm.h ⁻¹]		
C_{F2}	crust dynamics parameter	reference values S4	Lepilleur (2017)
	(eq.19)		
	[-]		
S _k	crop P and N requirements	reference values S2	COMIFER (2013)
	(§ 8.1)		
	[U of P or N.d ⁻¹]		
δ_P	particulate material wash-	4.10^{-5}	Lafforgues (1977)
	off (§ 8.2)		
	[m ³ .s ⁻¹]		
δ_s	solute material wash-off (§	0.3	Burns (1974)
	8.2)		
	[m ³ .s ⁻¹]		
n_a	daily assimilation rate (§ 8.3)	reference values S2	COMIFER (2013)
	[U of N.d ⁻¹]		
K _d	EC daily mortality coefficient	reference values S2	Trévisan et al. (2002)
	(§ 8.3) [d ⁻¹]		
d	daily denitrification (§ 8.3)	0.05	Nicolardot et al. (1996)
	[U of N.d ⁻¹]		
r	SM build-up source (§ 8.3)	1.5	Mamedov et al. (2016)
	[-]		
β	retention parameter (§ 8.3)	$\beta_{SM} = 0.25, \ \beta_{PT} = 0.35$	Trévisan et al. (2002)
	[m ⁻¹]		
θ	source parameter (§ 8.3) [-]	$\theta = 1.5$	Trévisan et al. (2002)

Table 2: Parameter values (M.: Miribel; Au.: Autrans; Ai.: Aiguebelette; C. op.: Contextual
optimization; A. op.: automatic optimization). S2 : supplementary material 2 ; S4 : supplementary
material 4.

466

Doing so and considering the respective soil conditions, the bottom permeability ratio k_m 467 468 was high in Miribel and Aiguebelette but low in Autrans. Horton store emptying was considered rapid, and a maximum c_2 value was thus retained. The saturation overflow emptying value c_3 469 470 was lower, considering potential retardation effects due to variable extension of source areas. All SSMT parameters were predefined (δ , a_k , d_k , k_d , r_k , e_k), referenced from available data 471 472 given in table 2, as well as those proposed in the LULCC model (supplementary material S1 473 parameters), although a few decision variables can be redefined from the GAMA GUI to account 474 for local organization and decision rules (fig. 5).



476 Figure 5: GAMA GUI. A button and list-box panel allows the user to define parameter values and477 conditions for generating landscapes and controlling the output configuration.

478 **4.3 Landscape reconfiguration prospects**

Landscape reconfigurations were simulated to evaluate several agro-ecological transition schemes aimed at valorizing landscape ecofunctionnalities and controlling water cycle and quality. The values of the parameters were fixed following those indicated in table 2, giving reference states R to which the effects of landscape reconfigurations S were compared. We evaluated the efficiency E_f of the reconfigurations by $E_f = 1 - \frac{\sum_{i=1}^{k} \sum_{i=1}^{\tau_f} q_S(\tau)}{\sum_{i=1}^{k} \sum_{i=1}^{\tau_f} q_R(\tau)}$ (low values correspond to low efficiency) where k, q_S and q_R are the number of DMEI, and the counts of

particle outflow generated by the reconfigurated landscape and of the reference state,respectively.

487 4.3.1. Farming system evolutions and grassland landscape reshaping to control vole 488 overgrowths and bacteriological water quality degradation

489 Specialized livestock breeding territories can be associated with faecal contamination of water 490 but also with grassland vole overgrowths, in relation with low landscape heterogeneities, the 491 increasing number of permanent meadows, the lack of disturbing activities (plowing) or natural 492 barriers (paths, banks) for rodent populations, as well as the regression of natural refuges 493 (hedges, forested patches) for terrestrial and aerial predators (Halliez et al, 2015). The following 494 changes were tested on E. coli flows in the Autrans area: (i) modification of farming systems to 495 increase the area of plowed parcels (two cases were evaluated with introductions of 10ha and 496 25ha of spring barley in cereals-milk round-bale systems, corresponding to 1.5 and 3.8 % of 29

additionnal plowed surface in the watershed area, respectively); (ii) implementation of hedges
(5m wide, considered equivalent to a caduceous forested area) following 5 modalities: 10 km long
along a preferential east-west direction; 10 km along a north-south direction; 10 km EW and 10
km NS; 20 km NS; 20 km NS and 10 km EW).

4.3.2. Changes in farming systems and agricultural practices, and implementation of grass filter strips to control soil erosion and suspended matter flows

Industrial vegetable production is often associated with soil compaction and severe runoff and erosion events (Lepilleur, 2017). We evaluated the effects of changes in the distribution of farming systems on SM with a 10% increase of annual crop systems in the Miribel area. In addition, we tested the generalization of hoeing on row crops and the implementation of 0.2, 0.4, 3.4, 3.8, 22, 42 and 96 ha of grass filter strips (these latter filters were first positionned on critical source aeras selected by vizualizing displacements of SM particle clouds – cf. fig.11 – and thereafter generalized to the catchment along the drainage network).

510 **4.3.3. Water management**

As a consequence of periurbanization in the Aiguebelette area, stakeholders are confronted with the extension of the artificial hydrological network aimed at draining humid areas. We tested the effect of river network reshaping on SRP loads by adding 1296m of open drain length to the 4262 m of the present hydrological network in a sub-catchment (137 ha) of the studied area (2700 ha).

- 515 **4.4. Discussed results**
- 516 4.4.1. LULCC modeling
- 517

For crop/vegetable landscapes, the AD ratio between expected crop surfaces and

calculated crop surfaces differed depending on the farming systems (fig. 6). Mean values were centered on 1, showing the ability of the LULCC model to construct reliable landscapes according to the farm production objectives. For annual crops and mixed systems, the deviation from the mean was low. However, it was greater for specialized vegetable systems, as a great number of vegetable species can be introduced in crop successions depending on the evolution of the market demand.



524

525 Figure 6: Distribution of ratios between expected and calculated surfaces in Miribel catchment.

526 For grassland landscapes, expected/calculated ratios were also centered on the mean 527 value 1 (fig. 7), giving quite realistic landscapes regarding farms needs. Moreover, a few 528 differences were visible, noticeably in the area of first priority for productive animal pastures, 529 where fields were not subdivided like farmers can do to adjust seasonal livestock needs to 530 vegetation production. Deviations from true landscapes mainly resulted from uniform patterns of decision rules, not always adapted to account for local/seasonnal adaptations to farm plot configurations. In some instances, this reflected our choices for the implementations of the landscape models, mainly driven by the need to provide a sufficiently exhaustive but also simplified representation of agricultural landscapes allowing us to infer accessible input data.



535

Figure 7: Distribution of ratios between expected and calculated surfaces in Autrans catchment.
P1: first priority pasture ; H : hay one cut ; H2C : hay two cuts ; H3 : hay three cuts ; P2 : second
priority pasture.

539

540 4.4.2. WMWL modeling

The following figures confront calculated and observed data. For Miribel (fig. 8), the Nash-Sutcliffe efficiency (NSE) coefficient was 0.81. Model biases were not detected, as observedcalculated couples were regularly placed around the bisector line. For Autrans, similar patterns were observed, although NSE was lower (NSE=0.56, fig. 9), yet above the 0.5 threshold agreed upon for model accuracy (Moriasi et al., 2007). Calculated water flows for Aiguebelette also fitted rather well with observed data (NSE=0.72, fig. 10). The main difference between the three catchments came from their sensitivity to loose deep water (as expected, the c_1 value corresponded to high impermeable territory at Autrans, tab. 2). We also noted a higher drainage dynamics at Miribel (high groundwater table delay λ value), according to the high drainage density in this annual cropland zone.





552

Figure 8: Observed and calculated water flow outputs in Miribel catchment.





Figure 9: Observed and calculated water flow outputs in Autrans catchment.



556 Figure 10: Observed and calculated water flow outputs in Aiguebelette catchment.



559 hydrological patterns of the areas. Thus, hortonian runoff represented 12.5% of total outflows in 560 Miribel, and around 1% in the other two catchments. It was quite the same with saturation runoff 561 (9.6 % in Autrans, negligible elsewhere). In Autrans, peak flows came first from water table 562 drainage (89%) and secondly from urban runoff from impermeable surfaces (9%). In Aiguebelette, 563 rural overland flows were reduced (< 1%). Considering the low number of WWML model 564 parameters, the various soils and agricultural conditions in which it was applied, the diversity of 565 hydrological processes taken into account, the efficiency of acceptable flow representations, as 566 well as the good agreements with the characteristics of the hydrosystems, we considered that 567 the underlying hypotheses about water balance and movement were founded.

568

569 **4.4.3. SSMT modeling**

Figure 11 gives an example of SSMT outputs. These are maps of Miribel catchment showing the transfer of particles at different time steps τ following a winter DMEI, when the plant cover was reduced and soil surfaces subject to crust waterproofing. Produced surface SM decreased rapidly in one/two days.



578 4.4.3.1. Sub-surface transfer functions

579 For Miribel catchment, SSTFs were calculated for hortonian runoff for noteworthy DMEIs

580 at $t_i = t - \tau_f$ producing high flow peaks during the crop season (fig. 12).



581

Figure 12: Transfer functions of hortonian surface runoff for several DMEIs and corresponding
delivery ratios η.

584

585 During spring and early summer $t_i = 44, ..., 151$, *SSTFs* were rather similar, with delivery 586 ratios $\eta(t_i)$ around 0.075. Considering the similarity of the spring *SSTF* responses, we 587 considered that the *SSTF*($t_i = 44$) was a good representative of the spring transfer dynamics and 588 used it for the whole spring season to predict Miribel SM flows from hortonian runoff. Following 589 Boiffin et al (1988), hortonian runoff was modeled considering the extension of low-permeability 36 590 surface crusts (structures named F2) and the rearrangement of high-permeability soil surfaces 591 (structures named F3), cf Appendix A, §7.5. In summer, no particle was generated because the 592 soil surface permeability remained high (the mean extension area of F3 exceeded that of F2). The 593 lowering of the water table and drying out of the drainage network led to a strong decrease of 594 water flows (in wet conditions, the river length was nearly 40 Km; in summer, based on eq. 23, it 595 was 500 m), so that the turbidity probe at the catchment outlet was not permanently covered by 596 water flows. In early fall ($t_i = 311$), the drying out of the drainage network persisted but was 597 lower than in summer (the drainage network length was around 10 % of its initial length). Due to 598 the disconnection of active overland flow areas, the SSTF sharply decreased with reduced $\eta(t_i)$ 599 (=0.02).

600 For Autrans catchment, several DMEIs were evaluated (fig. 13). Two main SSTF response 601 patterns were described, with a first type in which distributions showed sharp decreases when 602 $P(\tau_1) > 0.5$, and a second type with lower decrease. They corresponded to rapid losses when 603 active areas were close to the river network, or differed transfer rates from more distant sources, 604 respectively. The first sharp type was generated when the H_{vsa} variable source area (VSA) flows 605 was greater than 1.5 m^3 . s^{-1} . The delivery ratios also differed throughout the season according 606 to hydrological conditions, with a general trend given by the statistical relationship $\eta(t_i) =$ $0.099H_{\nu sa}(t_i) + 0.051$ ($r^2 = 0.6^{**}$). Consequently, two SSTF types and the relationships 607 608 between the delivery ratio and the variable source flow were introduced in $q_L(t)$, the lumped 609 evaluation of EC flows.





Figure 13: Transfer functions for saturation excess runoff in Autrans catchment. Red lines: type 1;green lines: type 2.

613 For the Aiguebelette catchment, SSTFs studied for different seasons $(t_i =$ 614 31, 64, 113, 167, 212, 315) were rather equivalent, with a sharp decrease similar to the one 615 described in Miribel at $t_i = 311$ (fig.12), due to the fact that the water movements were 616 essentially vertical and limited volumes moved laterally in this filtering context.

617

618 4.4.3.2. Modeling of matter outflows

At Miribel, turbidity probes operated until late spring, and data were collected to evaluate SSMT outputs (figure 14, NSE=0.73). One event (obs=2.51 ntu. d^{-1} ; cal=1.40 ntu. d^{-1}) situated at the end of the modeled sequence reduced the NSE ratio. It corresponded to stochastic





623

624



626

At Autrans, observed values were filtered according to the proportions of bacteroidales markers of human and bovine origins (the bovine origin was dominant, ranging from 0.7 to 0.9%). Observed and calculated EC values were confronted in fig. 15 (NSE=0.61). Even though acceptable, efficiency was moderate, as often when comparing manual samples to daily computations; the spatial variability of fecal populations can be large in river systems, with diurnal variation (often by several orders of magnitude), as noted by EPA, 2010.





634 Figure 15: Observed and calculated EC flow outputs of Autrans catchment.

635

636 The solute transport in Aiguebelette was analyzed considering eq. 7 and 8 and the GTF 637 transfer distribution $P(\tau)$ associated to the λ parameter describing water table seepage. For SM and particulate matter, we developed the lumped modeling schemes described in previous 638 639 studies for similar bank erosion situations (Trévisan et al., 2019), considering that the production 640 function m(t) was obtained from stores s(t) related to the active river length and livestock 641 pressure, and wash-off w(t) was associated with drainage flow and urban runoff. Fig. 16 gives 642 results obtained at Aiguebelette for solutes or suspended compounds recorded at the outlet. 643 NSEs were equal to 0.91, 0.92 and 0.90 for nitrogen, total phosphorus and SM, respectively. 644 Efficiencies were high, and residuals were regularly dispersed around bisectors.

Despite the variety of transferred compounds and modes of matter displacement, outflows were quite well reproduced by SSMT modeling, and provided evidence of the efficiency of OPALE outputs ($\eta(t_i)$, s(t), w(t), *SSFT* and *GTF*) in assessing the links between practices and water-related functions in agricultural landscapes.







The values of efficiency coefficients	E_f	are given in table 3.
---------------------------------------	-------	-----------------------

Miribel (1) SM k=6	R+100 % Hoeing 0.05	R+10 annu crop 0.13)% ial os 3	R+0.2 ha GFS 0.14		R+0.4 ha GFS 0.09	R+3.4 ha GFS 0.24	R	R+3.8 ha GFS 0.20	R+ h G	22 a iFS 39	R+42 ha GFS 0.45		R+96 ha GFS 0.71	
Autrans (1) <i>E. Coli</i> k=6 0.1		ha als	R+2 cer	+25 ha H ereals 10 0.02		R+ Hedges 0km WE 0.10	R+ Hedges 10km NS 0.24	S	R+ Hedges 10km NS+10km WE 0.26		He 20k	R+ edges Ikm NS 0.29		R+ Hedges 20km NS+10km WE 0.33	
Aiguebelette (2) SRP k=1		R+1.	2kr dra 5 ma -0.0	m open ain arch 02	n R+1.2kr dra 23 a -1.		n open ain Ipril 18		R+1.2km open drain 16 June -1.29		R+1.2km open drain 11 november -0.27				

654

Table. 3. Efficiency coefficients for landscape reconfigurations in the Miribel, Autrans and
Aiguebelette catchments. (1), overland flows; (2), hypodermical flow; k, number of DMEIs; R,
reference landscape; GFS, grass filter strips; WE, west-east; NS, north-south.

658

For the Miribel catchment, hoeing of all row crops slightly reduced SM flows, insofar as the benefits are expected to be limited to the few weeks following hoeing in this context of high soil crusting sensitivity. Efficiency is greater when the numbers of farming systems with annual crops

increase (in such sytems soil surfaces are much more protected from erosivity because intercropping reduces the length of the periods when soils are non covered by aerial biomass, whereas unprotected, compacted and emissive harvesting sites can be prolonged in vegetable production depending on the market demand). The efficiency of landscape reconfiguration by GFS increased with the GFS area, and increased sharply when GFS implementations were located on areas where SM particles converged, in accordance with the need for better recognition and monitoring of critical source areas (Heathwaite et al, 2005).

669 In Autrans, the extension of plowed surfaces increased the landscape efficiency against E. 670 *Coli* loads. This was probably explained by the reduced pressure of manure spreads, as these are 671 buried by plowing and thereby not sources of bacterial emissions from overland runoff (Sistani et 672 al, 2009; Meals et Braun, 2006). However, efficiency was lower for R+25ha than for R+10ha of 673 spring cereals. This can be attributed to the fact that the closer proximmity of pastures to the river network when cereal production was enlarged increased the pressure and transfer dynamics 674 675 of *E. coli*. It is also conceivable that the extension of the cereal production area went together 676 with an increased risk of hortonian runoff. High-stemmed hedges increase landscape efficiency, 677 especially when hedges are planted in a NS direction (the direction perpendicular to the main 678 slope and the water flow direction). This suggests that the effects of hedges are mainly 679 attributable to the interception of surface runoff trajectories, firstly by increasing the re-680 infiltration of runoff due to the greater evapotranspiration potential of hedges compared to 681 grasslands (Granier, 2007; Merot et al, 1999), and secondly by a trapping effect, which is debated 682 for bacteria (Vansteelant, 2004). These examples show how several landscape ecofunctionnalities

can be associated in order to rationally fight against water contamination, the proliferation of
 grassland vole overgrowths, as well as better conditions for pasture, thanks to shading effects
 associated with hedge networks.

Drainage of humid areas in Aiguebelette is concomitant with decreasing efficiency of landscapes against the control of SRP flows from hypodermical transfers. The decrease is much sharper during the growing season (April and June), when plant root systems are fully active, compared to winter or autumn when evapotranspiration and nutrient uptake are low (Granier, 2007) and efficiency less deprecated.

691 **5. Conclusion**

692 Landscape eco-functionalities are related to agricultural uses and schedules (Wezel et al., 693 2009; Gascuel-Odoux and Magda, 2015), and stakeholders use operational tools to assess the 694 incidence of global change (climatic perturbations, urbanization) and evaluate the adaptations of 695 farming systems or new land-use practices. A number of ecological infrastructures can be implemented to control and protect water resources, such as filter strips (Dorioz et al., 2006), 696 697 interstitial hedgerows (Merot et al., 1999), riparian hedgerows (Zaimes et al., 2008), and 698 ecological infrastructures including meanders, marshes, etc. (Wang et al., 2004; Trévisan et 699 Periáňez, 2016). In practice, the efficiency of mitigation practices or landscape infrastructures 700 strongly depends on their position in the landscape, as well on cumulative effects (Wang et al., 701 2004). OPALE – the tool presented in this article – was developed as an assessment tool of 702 landscape organization to meet such demands by addressing several scientific and operational 703 issues.

704 Landscapes are built from production objectives of farming systems and related decision 705 rules; as such, they are the seat of water displacements commonly analyzed through the common 706 concept of transfer functions, representing the distribution over time of elementary water 707 volumes exported at catchment outlets (Haggerty et al., 2000; Hingray et al., 2009). This 708 representation is well developed in catchment hydrology to predict water flows and associated 709 signals (natural or artificial tracers) at the outlet of catchments, e.g., Nilo de Oliveira Nascimento 710 et al. (1999), but also in river hydrology to evaluate channel organization and responses (Gooseff 711 et al., 2003; Trévisan and Periáňez(2016). Despite available knowledge on the relationship 712 between topographic patterns of catchments and the variability of their residence times 713 (McGuire et al., 2005), eco-hydrology developments are expected to evaluate the relationships 714 between landscape composition-structure and transfer patterns (McGuire and McDonnell, 2006). 715 Our work is a contribution to such issues. It proposes several libraries aimed at (i) analyzing the 716 dynamics of the restitution of water and associated matter to the catchment outlet from the 717 explicit resolution of distributed water balances and particle tracking methods, and (ii) evaluating 718 the efficiency of developed methods by using lumped modeling techniques and confronting them 719 to a variety of real-world observations.

Operational issues mainly concern *(i)* the need for generic models adapted to a large geographical diversity of production systems, biophysical environments and landscape features, *(ii)* data accessibility, *(iii)* robustness of algorithms to avoid over-parameterization and over-fitting problems, and *(iv)* workable interfaces limiting I/O data manipulation as much as possible and allowing fast workflows from data configuration to diagnosis. OPALE allowed for efficient evaluations of water and matter outflows and led to transfer functions and delivery ratios usable as synthetic indicators of the assessment of landscape functioning and eco-functionality.We demonstrated the effectiveness of OPALE procedures regarding such criteria through three diverse true-life cases in terms of agriculture, soil and hydrology conditions.

730

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734

735 7. Appendix A: WMWL model formulations

Hydraulic properties (field moisture capacity, saturated hydraulic conductivity) were
computed using pedotransfer functions (Bruand et al., 2002).

738

739 7.1. Plant development model

The depth of the root zone was updated daily, applying the plant growth model developed by Gérard-Marchant et al. (2006) and in SMDR (2013). The first step of the computation was the evaluation of degree-day units *DD*:

743
$$DD = \begin{cases} T - T_b = \frac{T_{max} - T_b}{2} + \frac{T_{min} - T_b}{2} & \text{for} & T >= T_b \\ 0 & \text{for} & T < T_b, \end{cases}$$
(9)

where T, T_{max} , T_{min} are the mean daily, maximum and minimum temperatures [°C], respectively, and T_b a plant development threshold. Tables for T_b are given in the SMDR manual. Every day, the new computed DD value was added to the previous day value, following rules for stopping DD accumulation in case of negative temperatures or after harvest. Several formulas were applied to compute αD , a plant development factor (varying from 0 to 1 for maximum plant development), between different DD -accumulation thresholds (slow development DD_1 ; rapid development DD_2 , maturity DD_3 and senescence DD_{max}):

751
$$G_1 = \frac{1}{DD_3};$$
 (10)

752

753
$$G_2 = \frac{1}{DD_3} \frac{DD_3 - DD_1}{DD_2 - DD_1};$$
(11)

- 754
- 755

$$G_3 = -0.6 \frac{1}{DD_{max} - DD_3}$$
(12)

The plant development factor was obtained from: $\alpha_D = G_1DD$ if $DD < DD_1$ (slow development); $\alpha_D = 1 + G_2(DD - DD_2)$ if $DD_2 > DD > DD_1$ (rapid development); $\alpha_D = 1$ if $DD_3 > DD > DD_2$ (maturity); $\alpha_D = 1 + G_3(DD - DD_3)$ if $DD_{max} > DD > DD_3$ (senescence) and; $\alpha_D = 0$ if $DD > DD_{max}$ (dormancy).

Root depth *ZR* was then computed between ZR_{min} and ZR_{max} , the minimum and maximum values, from:

$$ZR = ZR_{min} + \alpha_D (ZR_{max} - ZR_{min}).$$
(13)

763 The ratio K_c between potential E_{tp} and real evapotranspiration E ($E = K_c E_{tp}$) was

calculated from minimum to maximum values following $K_c = K_{cmin} + \alpha D(K_{cmax} - K_{cmin})$. *ZR* and K_c values are crop dependent and tabulated in SMDR (2013). A biomass indicator I_{bm} was computed as follows:

767
$$\begin{cases} IBM(t) = IBM(t-1) + \alpha_D * \beta & \text{if} DD(t) < DD_3 \\ = 0 & \text{if} DD(t) > DD_3 \\ = 0 & \text{if} t \ge t(\text{harvest}) \end{cases}$$
(14)

where β -varying from 0 to 1 and depending on soil moisture (Arnold and Forhrer, 2005)– reduces plant development in case of water stress. Water stress was considered as soon as the vadose zone moisture θ_{rz} dropped below θ_{eu} , the easily usable water reserve ($\theta_{eu} =$ $0.25(\theta_{cc} - \theta_{wp}) + \theta_{wp}$, where θ_{cc} and θ_{wp} are the field capacity and the wilting point, respectively). The water stress predictor was obtained from $\beta = exp(5(\theta_{rz}/\theta_{eu} - 1))$.

773

774 7.2. Drainage D

Excess water available for vertical or lateral movements W_e was obtained from $W_e = max(0, W_{vz} - W_{cc})$, with W_{vz} the soil moisture and W_{cc} the moisture at field capacity [m]. Drainage D was obtained from (Arnold and Fohrer, 2005) by $D = W_e(1 - e^{-\frac{1}{\omega}})$, where the time delay coefficient ω is deduced from $\omega = \frac{W_{sat} - W_{cc}}{K_{bot}}$, with W_{sat} the moisture at saturation [m], K_{bot} the saturated hydraulic conductivity [m.day $^{-1}$] at the bottom of the vadose zone, given by:

$$K_{bot} = k_m K_{sat}, \tag{15}$$

782 where k_m is a coefficient that accounts for the reduction of the vadose zone hydraulic

conductivity K_{sat} . At the end of each day iteration, the daily groundwater production D(t) was added to V_D , the deep water store: $V_D(t) = V_D(t-1) + D(t)$.

785

786 7.3. Lateral flow L

Lateral flow L(t) was given by $L(t) = \frac{2W_e K_{sat}}{(\theta_{sat} - \theta_{cc})d}$, where θ_{sat} , θ_{cc} are the soil moisture at saturation [m.m⁻¹], and d the distance between two adjacent cells, respectively. d was equal to l, the cell size of the computational square mesh in case of orthonormal flow directions, and to $\sqrt{2}l$ when flow directions were north east, south east, south west or north west. Lateral flows were routed to down-slope cells occupied by a river segment, following the $D\infty$ algorithm (Tarboton, 1997) and cascade schemes (Kiesel et al., 2013).

793

794 **7.4. Variable source area surface flows**

Following Obled and Zin (2004), effective rainfall was added daily to the vadose zone. When the resulting moisture W_{vz} exceeded $W_{vz_{sat}}$ (the saturated soil moisture), the overland flow H_{vsa} was computed by $H_{vsa} = W_{vz} - W_{vz_{sat}}$. At the end of each day iteration, the daily production $H_{vsa}(t)$ was added to V_{vsa} , the VSA surface store: $V_{vsa}(t) = V_{vsa}(t-1) +$ $H_{vsa}(t)$.

800

801 **7.5. Hortonian surface flows**

We considered that hortonian runoff mainly came from the crusted, low-permeability area (Cerdan et al., 2002). The flow q infiltrated into crusted soils was obtained from (Jetten et 49 804 al., 1998):

805

$$q = \frac{K_c}{z_c} (\psi_u + h_0 + z_c),$$
(16)

806 where K_c is crust conductivity, z_c crust thickness, h_0 surface runoff depth and ψ_u 807 root zone suction. ψ_u depends on soil moisture and was modeled by the Van Genuchten's 808 equation:

809
$$\psi_u = \frac{\left[\left(\frac{\theta - \theta_{res}}{\theta_{sat} - \theta_{res}}\right)^{\frac{-1}{m}} - 1\right]^{\frac{1}{m}}}{\alpha}$$
(17)

810 where θ , θ_{res} , θ_{sat} are the vadose zone soil moisture, the residual water content and 811 the corresponding moisture at saturation, respectively. n and α were parameters depending 812 on texture conditions, and $m = 1 - \frac{1}{n}$. With I rainfall intensity, r the proportion of crusted 813 soil $[m^2.m^{-2}]$ and R rainfall depth, if q < I, the overland hortonian runoff H_{hor} was given by:

814
$$H_{hor} = rR\frac{I-q}{I}.$$
 (18)

815 The degradation of the soil structure owing to the impact of raindrops at the soil surface produces a typical deposit structure named F2. Particles are rearranged by splash and 816 817 sedimentation at the soil surface, a very-low-permeability crust is formed, and surface roughness 818 and water retention decrease (Boiffin et al., 1988). However, as the plant cover increases along 819 the crop season, F2 structures are reshaped and progressively rearranged by alternating 820 moistening/desiccation phases or by biological activity, making way for high-permeability F3821 structures. In addition, rainfall is redirected by leaves and stems to the collars of plants, where 822 soil surface permeability is elevated. We therefore considered that soil crusting and plant 823 development had opposite effects and we calculated the extension of crust deposits r (the 50 proportion of cell surface covered by crust deposits) with r = max(0, F2 - F3).

825 We considered the extension of crust F2 by calculating:

$$F2 = \frac{R_{cum}}{c_{F2} + R_{cum}},\tag{19}$$

where R_{cum} is cumulative rainfall, daily updated from the seeding date of annual crops, and c_{F2} an agricultural parameter. c_{F2} values were calculated from experimental surveys of crusting dynamics (Vansteelant et al., 1997; Lepilleur, 2017), given in supplementary material S4. F3 (varying from 0 to 1) was obtained from $F3 = \frac{I_{bm}}{I_{bmM}}$, where I_{bmM} (eq.14) was the maximum value of the biomass indicator at the time of annual crop harvest. At the end of each day iteration, the daily production $H_{hor}(t)$ was added to V_{hor} , the hortonian surface store: $V_{hor}(t) =$ $V_{hor}(t-1) + H_{hor}(t)$.

834

835 **7.6. River outflow**

836 $V_D(t)$ and $V_{vsa}(t)$ and $V_{hor}(t)$ stores are subject to losses or transfer delays described 837 by first-order kinetics (Arnold and Fohrer,2005). Daily drainage losses $L_D(t)$ directed to the 838 external aquifer not included in the catchment balance were given by $L_D(t) = V_D(t-1)(1-$ 839 $e^{-c_1\Delta t}$), leading to:

840
$$L_D(t) = V_D(t-1)(1-e^{-c_1}).$$
 (20)

841 Outputs from hortonian $V_{hor}(t)$ or saturation $V_{vsa}(t)$ overflows directed to river segments 842 were obtained from :

843
$$V_{hor}(t) = V_{hor}(t-1)(1-e^{-c_2})$$

and $V_{vsa}(t) = V_{vsa}(t-1)(1-e^{-c_3}),$ (21)

respectively. Transfer delays linked to the internal aquifer seeping into river segments were modeled considering long restitution periods τ_s . Following Hingray et al.(2009), seepage V_D^{out} was given by calculating:

847
$$V_D(t) = (V_D(t) - L_D(t)) * \tau_s^{-\lambda}.$$
 (22)

Following Gurnell (1978), the number of river segments (cells connected to the outlet) *m*was given by the rounded value:

850
$$m = N_R \left(\frac{API}{API_{max}}\right)^b, \tag{23}$$

851 where N_R was the maximum number of river cells, b a shape parameter, API the previous 852 pluviometric index

853
$$API = \sum_{0}^{i=15} [R(t-i) - ETP(t-i)], \qquad (24)$$

and API_{max} the maximum pluviometric index ($i = i_{max}$).

855 The river outflow Q [m³.s⁻¹] transferred to the catchment outlet was obtained by 856 calculating:

57
$$Q(t) = \frac{l^2}{24 \times 3600} \left[\sum_{i=1}^{\xi} R_i(t) + \sum_{i=1}^{m} \left(V_{D_i}(t) + V_{vsa_i}(t) + V_{hor_i}(t) \right) \right],$$
(25)

858 where *l* was the dimension of a cell [m], ξ the number of impervious cells in urban 859 areas, and $R_i(t)$ rainfall.

860

861 8. Appendix B: SSMT model formulation

We will successively consider particle build-up, wash-off and sink-source effects, i.e., biophysical processes contributing to particle mobilization, extinction or withdrawal. To save computational time, particle build-up is evaluated under the Matlab environment during the period preceding a DMEI. We did not consider lateral exchanges between cells, as this is commonly done in the field of environemental studies for the evaluation of agricultural pressures (Massa et al, 2008). In the contrary, during the transfer period following a DMEI, wash-off and sink-source effects were evaluated in the Gama environement, considering cascade effects between upslope and downslope cells.

870

871 8.1. Particle build-up

Build-up stocks $s_k(t)$ are generated on computational cells k by agricultural pressure and depend on crop requirements and practices. We defined several proxies as substitute variables for the different kinds of build-up stocks.

875 We evaluated nutrient build-up $s_k(t)$, considering the net stock of nutrients from a rough 876 balance between fertilizer supply, mineralization, and assimilation, all of them documented by 877 tables provided by technical institutes for crop production and protection (COMIFER, 2013). N 878 leaching was evaluated from Burn's model (Burns, 1974). EC stocks were evaluated for grasslands, 879 taking proportional links between fecal populations and N inputs from farm effluent spreading 880 into account. EC inputs from deciduous and perennial forest wildlife were not excluded. Total 881 nutrient needs, daily rates of net assimilation and EC particle patterns are given in supplementary material S2. We hypothesized that SM stocks $s_k^m(t)$ were produced by impermeable soil surface 882 structures. They were evaluated by calculating $s_k^m(t) = max(0, F2_k(t) - F3_k(t))$, where 883 884 $F2_k(t)$ and $F3_k(t)$ are the extents of continuous and discontinuous crusts, respectively (see 885 Appendix A). Depending on their nature, particle stocks are not equally available for all water 886 components. Except for surface flows where N, P, EC and SM can potentially be displaced from 887 upstream to downstream cells, particle displacement by subsurface flows was restricted to N and 888 soluble P, and only to N particles in the deepest water tables. The aim was to account for trapping 889 and filtering effects acting on EC and SM (Muirhead et al., 2006; Dorioz et al., 2006) and for lower 890 soluble P adsorption onto organic matter-enriched environments such as topsoils (Jarvie et al., 2005). The stock distributions of the different hortonian runoff $h_k^{hor}(t)$, VSA runoff $h_k^{vsa}(t)$, 891 subsurface $l_k(t)$ and drainage $d_k(t)$ flows were calculated considering their respective 892 893 proportions. For example:

894
$$s_k^{hor}(t) = s_k(t) \frac{h_k^{hor}(t)}{h_k^{hor}(t) + h_k^{vsa}(t) + l_k(t) + d_k(t)}$$
(26)

gave the amount of particles available for hortonian runoff $s_k^{hor}(t)$.

896

897 8.2. Particle wash-off

By During the transfer period $\tau = (0, ..., \tau_f)$ and referring to salt redistribution (Burns, 1974) or soil-water relationships (Holzbecher, 2012), we considered a generalized formulation of the $w_k(t - \tau)$ wash-off:

901
$$w_k(t-\tau) = \left(\frac{h_k(t-\tau)}{h_k(t-\tau)+\delta}\right)^r,$$
 (27)

902 where δ was a mobility coefficient for particulate or solute matters, $h_k(t - \tau)$ a water flow 903 component, r the half of soil depth in case of solutes (equals to one otherwise).

905 8.3. Sinks-sources

906 The store of particles was updated throughout the transfer period. Sink effects were 907 considered:

$$s_k(t-\tau) = s_k(t-\tau-1) - a_k(\tau) - d_k(\tau) - k_d(\tau),$$
(28)

909 where, if suited to particle nature, $a_k(\tau)$ was the net assimilation rate (the balance between 910 daily mineralization and daily assimilation), $d_k(\tau)$ denitrification and $k_d(\tau)$ mortality.

911 The production function $m_k(t - \tau)$ of particles available to down-slope transfer was 912 obtained by calculating:

913
$$m_k(t-\tau) = s_k(t-\tau)w_k(t-\tau) - r_k(\tau) + e_k(\tau),$$
 (29)

giving the amount of particles originating from cell k and transferred during τ steps to downslope cells k + 1, where if suited to particle nature, $r_k(\tau)$ was retention and $e_k(\tau)$ an additional wash-off source.

917 Daily assimilation rates $a_k(\tau)$ of N and P were estimated considering the length of plant 918 development phases. De-nitrification losses $d_k(\tau)$ were accounted for when soil moisture 919 exceeded the field-capacity threshold (Nicolardot et al, 1996). Following COMIFER (2013), they were evaluated by calculating $d_k(\tau) = c_d s_k^N(t-\tau)$ where c_d is a de-nitrification constant and 920 $s_k^N(t- au)$ the initial N store, respectively. EC decay $k_d(au)$ was accounted for during the growth 921 922 and maturity phases of plant development from experimental counts of EC populations on meadow canopies following manure application (Trevisan et al., 2002). Particles from SM 923 production $m_k^{SM}(t-\tau)$ were retained when overland flows crossed filtering infrastructures. 924 925 Based on experimental data obtained by (Trévisan and Dorioz, 2001), we applied a first-order

kinetic to calculate the value of the retention function: $r_k(\tau) = m_k^{SM}(t-\tau)e^{-\beta L}$, where L is 926 927 the width of the crossed infrastructure and β a length parameter. Additional SM particles can 928 come from runoff concentrations (Cerdan et al., 2002). As linear relationships often occur 929 between erosion rates and runoff amounts (Mamedov et al., 2016; Pardini et al, 2016), we considered the source effects with additional production $e_k(\tau) = \theta \hat{h}_k(t-\tau)$, where θ is a 930 proportionality factor and $\hat{h}_k(t-\tau)$ the surface runoff volume accumulated up-slope of cell k. 931

932

9. Appendix C: SSMT validation 933

To simplify formulations, we considered outflows provided by a transfer period of 934 935 duration $\tau_f = 1$. Based on eq. 3, 4 and 7, we calculated:

936

$$\begin{cases}
q_{L}(t) = \eta(t - \tau_{f}) \sum_{1}^{k} m_{k}(t) * P(1) \\
= \frac{\sum_{1}^{\tau_{1}} q(\tau_{1})}{\sum_{1}^{k} s_{k}(t - \tau_{f}) w_{k}(t - \tau_{f})} \sum_{1}^{k} m_{k}(t - \tau_{f}) P(1) \\
= \frac{\sum_{1}^{\tau_{1}} q(\tau_{1})}{\sum_{1}^{k} s_{k}(t - \tau_{f}) w_{k}(t - \tau_{f})} \sum_{1}^{k} s_{k}(t - \tau_{f}) W_{k}(t - \tau_{f}) P(1) \\
= \sum_{1}^{\tau_{1}} q(\tau_{1}) \frac{q(\tau_{1})}{\sum_{1}^{\tau_{1}} q(\tau_{1})} \\
= q(\tau_{1}).
\end{cases}$$
(30)

937 We retained that the lumped modeling of particle outflows equaled the SSMT particle count.

938

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Transpiration draws water out of the ground.



Detailed Description

Transpiration and groundwater

In many places, the top layer of the soil where plant roots are located is above the water table and thus is often wet to some extent, but is not totally saturated, as is soil below the water table. The soil above the water table gets wet when it rains as water <u>infiltrates</u> into it from the surface, But, it will dry out without additional precipitation. Since the water table is usually below the depth of the plant roots, the plants are dependent on water supplied by precipitation. As this diagram shows, in places where the water table is near the land surface, such as next to lakes and oceans, plant roots can penetrate into the saturated zone below the water table, allowing the plants to transpire water directly from the groundwater system. Here, transpiration of groundwater commonly results in a drawdown of the water table much like the effect of a pumped well (cone of depression—the dotted line surrounding the plant roots in the diagram).

Details

Image Dimensions: 385 x 233 Location Taken: US

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