

1 **Long-term high densities of African elephants clear the understory**
2 **and promote a new stable savanna woodland community**

3 **African elephant long-term effects on savanna**

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39 **Abstract:**

40 *Questions:* Species defined as ecosystem engineers (e.g. elephant) are able to strongly shape
41 their habitat. In African savannas, elephants have often been shown to reduce woody plant
42 abundance and diversity. However, recent studies highlight more complex elephant-induced
43 effects on vegetation. Here, we assessed if long-term high elephant densities ($>2.\text{km}^{-2}$) in a
44 large open landscape resulted in the depletion of savanna woodland woody communities or if
45 it led to a new alternative equilibrium.

46 *Location:* Woodland savanna of Hwange National Park, Zimbabwe. Elephant densities at the
47 study site have remained high for the past two decades ($>2.\text{km}^{-2}$).

48 *Methods:* We measured long-term (>15 years) elephant utilization of woody plant
49 communities and their effects on vegetation structure, species composition and functional
50 traits (e.g. N leaf concentration, specific leaf area) in twelve vegetation plots.

51 *Results:* We observed opportunistic foraging behaviour by elephants with only a slight
52 temporal shift in species composition, mainly explained by changes in rare species. Further,
53 we did not observe any modification in mean functional trait values, overall height and stem
54 diameters of the woody plant communities. However, we found differential changes in woody
55 plant abundance according to the height layer (decrease in the number of tall plants (>200 cm)
56 and increase in the number of short plants (<50 cm)) and a strong reduction in crown diameter
57 for plants in the 50-200 cm height class.

58 *Conclusion:* Our study strongly suggests that long-term high elephant densities have led to a
59 stable state in savanna woodland vegetation in terms of plant community composition and
60 their functional traits. However, high elephant densities did affect vegetation structure, which
61 would have several important indirect effects on this ecosystem (e.g., predator-prey

62 interactions). We hope that this study stimulates more work on the long-term effects of
63 ecosystem engineers in large and open ecosystems.

64 **Keywords:**

65 Ecosystem engineer, herbivore-plant interactions, vegetation dynamics, woody plant
66 functional traits, plant diversity and structure, megaherbivore, *Loxodonta africana*, semi-arid
67 woodland savanna, alternative stable state.

68 **Introduction**

69 Savanna ecosystems are complex and heterogeneous landscapes with a high diversity of
70 habitats and plant species shaped by different interacting factors such as soil, rainfall, frost,
71 fire and herbivory (Sankaran et al., 2005, Hoffman et al. 2019). Large herbivores play a major
72 role in the shaping and functioning of African savanna ecosystems (Danell et al., 2006;
73 Sankaran et al., 2008; Wigley et al., 2014). Among them, African elephants *Loxodonta*
74 *africana* consume large quantities of vegetation and a wide range of food items including
75 grass, leaves, twigs, roots and bark (Barnes, 1982; O'Connor et al., 2007). Further, by
76 breaking or uprooting trees and shrubs, elephants can change the physical environment and
77 ultimately the quality of the habitat of other species (e.g. availability of resources or risk of
78 predation), and are thus considered as ecosystem engineers (*sensu* Jones et al., 1994; e.g.
79 Valeix et al. 2011). They are known to exert strong top-down controls on the savanna
80 vegetation (Kerley and Landman, 2006; Guldemond and van Aarde, 2008).

81 Because of their body size, wide ranging requirements, and the growing demand for
82 ivory, elephants are particularly vulnerable to human threats such as habitat fragmentation,
83 human-wildlife conflict, hunting and poaching (Macdonald et al., 2013), and many elephant
84 populations have collapsed due to negative anthropogenic effects (Chase et al., 2016).

85 However, in many African areas that are well protected, elephant populations have grown to

86 very high densities (Blanc et al., 2005; Hempson et al., 2015). These changes have raised
87 concerns about elephant-induced vegetation changes as they can influence a wide range of
88 processes, such as grass-tree coexistence (Sankaran et al., 2005), fire dynamics (van
89 Langevelde et al., 2003), habitat selection by other species (Valeix et al., 2011), animal
90 biodiversity (Pringle 2008), and predator-prey relationships (Tambling et al., 2013; Ferry et
91 al. 2020).

92 Elephants can exert a strong filtering on woody plant species (O'Connor et al., 2007)
93 by selecting species with specific traits (e.g., low leaf dry matter content, high specific leaf
94 area) or leaf chemistry (e.g., high leaf nitrogen content, low carbon-base secondary
95 metabolites concentration) (Jachman & Bell, 1985; Viljoen, 1989; Holdo, 2003). This filtering
96 pressure can lead to plant diversity losses (Owen-Smith et al., 2006, O'Connor & Page, 2014;
97 Coetsee & Wigley, 2016) and to a shift in woody plant species over time under high elephant
98 density; a shift also explained by plant species differential vulnerabilities to elephant
99 herbivory (O'Connor et al., 2007). It is still not clear how modifications of woody plant
100 community compositions by elephants affects functional diversity (Rutina & Moe, 2014) and
101 ecosystem functioning in these communities (e.g., resource dynamics or stability, Diaz &
102 Cabido, 2001). Additionally, because of their large body size, elephants have the physical
103 potential to affect woody plants by pollarding, breaking trunks and uprooting trees (O'Connor
104 et al., 2007). Early studies revealed that woodlands declined in many parks of Eastern Africa
105 in the 1960s-70s and suggested that the conversion from woodland to grassland savannas was
106 driven by high elephant densities - the so-called "elephant problem" (Laws, 1970; Caughley,
107 1976). While the classic view is that high elephant densities tend to have negative effects on
108 woody vegetation, several studies have demonstrated no or positive effects (review in
109 Guldmond & van Aarde, 2008). For example, elephants have been shown to increase woody
110 plant species richness and beta diversity (Coverdale et al., 2016; Pringle et al., 2016). The

111 complexity of elephant-induced vegetation changes is due to (i) the influence of local
112 environmental conditions (Guldmond & van Aarde, 2008), and (ii) the different time scales
113 at which studies are conducted. Indeed, African savannas are characterized by a tree cover
114 that does not respond smoothly to climatic conditions, disturbances (fire, herbivory) and their
115 interaction, but exhibit abrupt transitions between alternative stable states, from a savanna
116 dominated by trees to a treeless savanna and *vice versa* (Dublin et al., 1990; van Langevelde
117 et al., 2003; Staver et al., 2011). The shift from a stable state to another stable state does not
118 depend solely on the actual conditions (climate, fire and herbivory) but on the historical
119 factors that shaped these ecosystems (e.g. drought frequency, frost frequency, fire frequency,
120 herbivore disease outbreaks). Consequently, a system, when disturbed from one state to
121 another, may not return to its original state once the cause of the disturbance disappears (i.e.,
122 hysteresis, Beisner et al. 2003). Herbivory can greatly contribute to such shifts but often in
123 interaction with fire (Holdo, 2007). For example, browsers may enhance the effect of fire on
124 trees because they reduce woody biomass, thus indirectly stimulating grass growth (i.e. fuel
125 load), which results in more intense fire and may ultimately lead the system to switch from a
126 savanna dominated by trees to a treeless savanna (van Langevelde et al., 2003). In the
127 Serengeti-Mara ecosystem in Eastern Africa, fire was necessary to change the vegetation from
128 woodland to grassland, and once the grassland was formed, elephants held it in that state
129 (Dublin et al. 1990). Hence, the length and timing (during a stable state, during a shift) of
130 studies on elephant impacts on the vegetation clearly determine their conclusions.

131 Many existing studies were conducted in small fenced reserves that poorly relate to
132 what is observed in open systems where elephants naturally use wide areas to roam (Loarie et
133 al., 2009; Tshipa et al., 2017). Furthermore, many studies were once-off or short-term (review
134 in Guldmond & van Aarde, 2008), and do not allow for the assessment of long-term
135 vegetation dynamics. Finally, the few long-term studies that did assess long-term effects of

136 elephants on vegetation in large open landscapes (Conybeare, 1991; Mosugelo et al., 2002;
137 Skarpe et al., 2004) typically focused on periods of drastic changes in elephant densities.
138 These studies are useful to depict vegetation changes during a transition period but are not
139 able to predict future changes of vegetation dynamics when elephant densities remain high in
140 the long-term.

141 In this paper, we investigate long-term changes in woody vegetation structure, species
142 composition and plant functional traits in relation to elephant impacts and preferences in
143 Hwange National Park (HNP), Zimbabwe. HNP is one of the largest African protected areas
144 where elephant browsing pressure has been high for decades. Hence, HNP provides a unique
145 opportunity to assess the long-term effects of high elephant densities on savanna woodland
146 vegetation. We first assessed the dynamics of elephant impacts and if elephant preferences are
147 still observable after a long period of high elephant impacts. We then analysed which plant
148 traits could drive potential preferences. Six functional traits were assessed: three physiological
149 traits (leaf nitrogen, carbon and phosphorus concentrations) and three morphological traits
150 (leaf dry matter content, stem density and type of spinescence (no spine, spines or thorns)).
151 We tested if continuous heavy browsing pressure by elephants led to changes in species
152 composition and declines in woody plant species and functional diversity or if the vegetation
153 has attained a new equilibrium state. Finally, we assessed if changes in vegetation structure
154 (woody plant abundance, height, crown diameter and stem diameter) occurred during the
155 study period. We predicted a decrease in woody plant abundance, height, crown diameter and
156 stem diameter due to high elephant impact.

157

158 **Material and methods**

159 **Study site**

160 Hwange National Park covers an area of 14 600km², extending from 18°30' to 19°50'N and
161 from 25°45' to 27°30'E. HNP is part of a large and open protected area embedded in the
162 world's largest trans-frontier conservation area (Kavango-Zambezi [KAZA] landscape) where
163 elephants roam freely (Tshipa et al., 2017). The elephant population density has been high for
164 a very long time in this ecosystem where in the early 1980s the Zimbabwe Parks and Wildlife
165 Management Authority implemented culling operations to control the elephant population,
166 which was at *ca.* 1 elephant.km² (Chamaillé-Jammes et al., 2008). Since elephant culling
167 stopped in 1986, the elephant population density steadily increased, and has stabilised since
168 the early 1990s at an estimated average density > 2 individuals per km², which corresponds to
169 a mean population of 30 000 individuals, with large fluctuations around this mean and peaks
170 around 40 000 individuals in some years (Chamaillé-Jammes et al., 2008, Zimbabwe National
171 Elephant Management Plan, 2015-2020). During some dry years, local densities can increase
172 to *ca.* nine individuals per km² during the dry season (Chamaillé-Jammes et al., 2009).
173 Rainfall is markedly seasonal, from November to March, with an annual mean of ~ 600 mm
174 and a range of 324 to 1160 mm. The mean annual temperature is 20.3°C. October is the
175 hottest month with a mean daily maximum of 33.2°C, and July is the coldest with a mean
176 daily minimum of 4.1°C. Historical records from the 1999-2004 management plan show a
177 low rate of fires in the study area. The study took place in the Main Camp area, in the north-
178 eastern part of the park in 2001, 2008 and 2015. The Main Camp area is dominated by open
179 woodland savannas on Kalahari sandy soils. We monitored vegetation in the four dominant
180 vegetation types: *Baikiaea plurijuga* woodland, *Combretum* bushed-woodland,
181 *Colophospermum mopane* bushland and *Acacia/Terminalia* bushed-woodland (Rogers, 1993).
182

183 **Data**

184 In each of the four vegetation types, three plots of 25x50 m were monitored between May and
185 August in 2001 and 2015. In 2008, only plots in the *Baikiaea plurijuga* woodland and
186 *Combretum* bushed-woodland were monitored (political instability in the country due to the
187 presidential elections in 2008 resulted in the team leaving the country prematurely). Each plot
188 was delimited and marked by two concrete blocks: one block was placed in the middle of the
189 plot (GPS coordinates are provided in S1), the other block marked the north-western corner.
190 The location of plots was chosen in areas close to permanent waterholes (mean distance to the
191 closest waterhole = 1271 m, S1) and hence intensively used by elephants in the dry season.

192 *Vegetation structure, composition and elephant impacts*

193 We performed an exhaustive inventory of all the woody plants (≥ 5 cm height) in each plot to
194 determine the vegetation species composition and structure. For each woody plant, we
195 recorded the height and the crown diameter (mean of two perpendicular measurements). We
196 recorded the diameter at breast height (DBH hereafter) only for woody plants with a DBH
197 higher than 6 cm. For individuals shorter than 1.5m, heavily damaged and/or with several
198 stems, stem diameter was measured on the largest stem, at the height of the first twig. In
199 addition, for each plant, the degree of utilization by elephants was recorded through several
200 binary variables: trunk broken, twigs browsed, uprooted, root used, bark removed, dead tree.
201 These variables (where applicable) were recorded in the reach of elephant: between 0.5m and
202 5m in height (Höft & Höft, 1995). Observed damages are very specific to elephants: beyond
203 plant uprooting, breaking (not cutting with teeth) of large stems and branches with their trunk
204 leaves scars that can be typically associated to elephants. These include shredded broken
205 trunks and branches of large diameter, with characteristic scars on the sides and ends of
206 damaged stems. These typical scars have also been identified by other studies (e.g. Nelleman
207 et al. 2002; Holdo, 2003; Staub et al., 2013; Kimuyu et al, 2021). We are therefore confident

208 that most elephant impacts were not confounded with other herbivores' impacts. We also
209 performed a visual estimation of the percentage of twigs and bark removed. We finally
210 summarized this information of whether an elephant impact of any type was present on a plant
211 at the time of observation with a binary variable "used" vs. "not used". The different impacts
212 were qualified as old or young (less than one-year-old) following Coetzee et al. (1979) and
213 Ben-Shahar (1998) for the age classification method. Structural information was not recorded
214 for plants that were shorter than 50 cm, but these were included in abundance analyses.

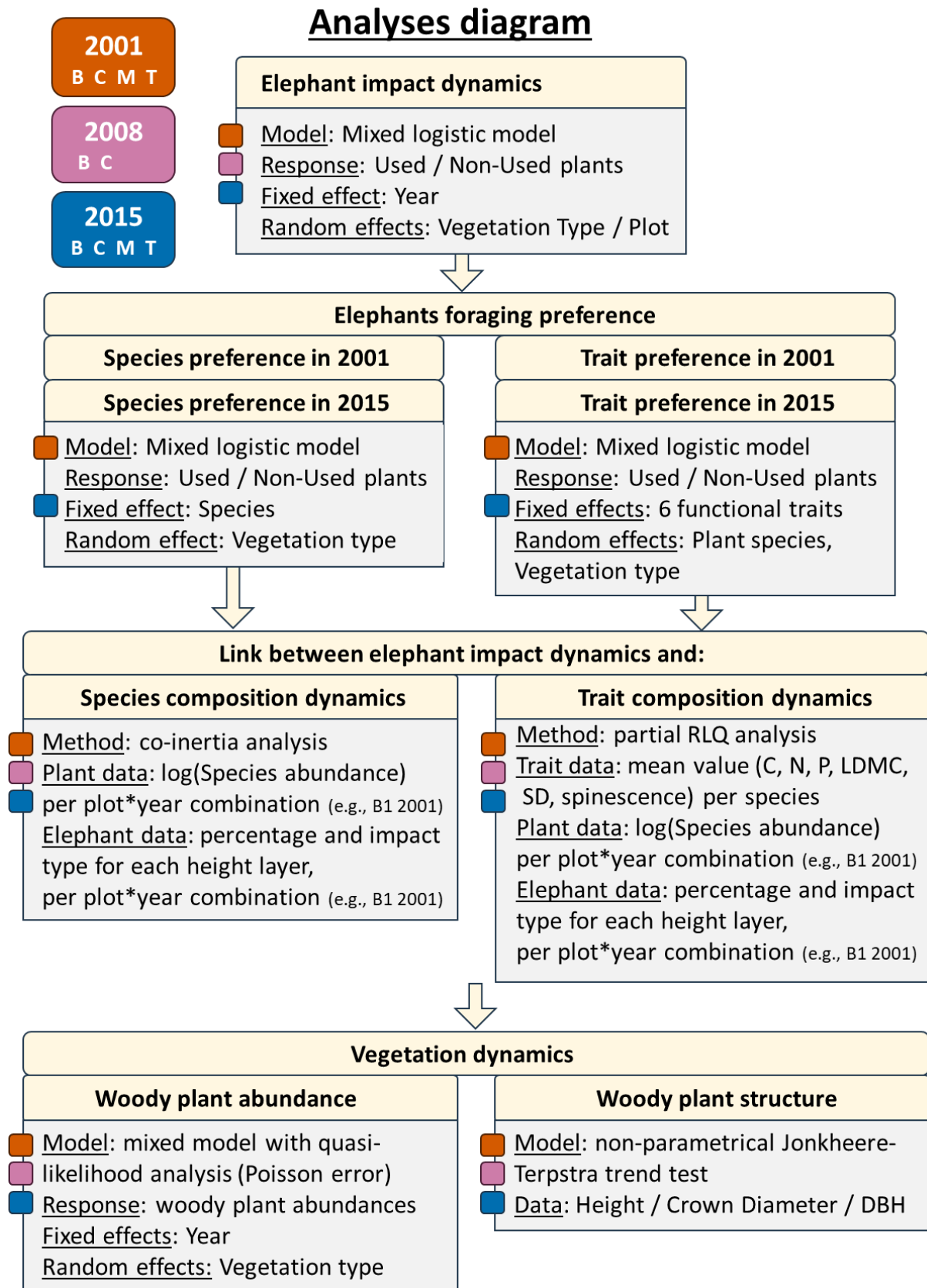
215 *Functional traits*

216 Data on six functional traits were gathered: three physiological traits (leaf nitrogen [N],
217 carbon [C] and phosphorus [P] concentrations) and three morphological traits (leaf dry matter
218 content (LDMC), stem density (SD) and type of spinescence (no spine, spines or thorns)).
219 Functional traits data were gathered from a combination of sources which included the TRY
220 database (Kattge et al. 2011), the Global ¹⁵N Database (Craine et al. 2009), the Seed
221 Information Database, TROBIT West Africa (Domingues et al. 2010), The Americans N&P
222 Database (Kerkhoff et al. 2006), Global Wood Density Database (Chave et al. 2009), William
223 Bond's database. Species for which trait data were missing from the different databases were
224 sampled during a fieldwork session in May 2016. Overall, functional trait data were gathered
225 for 26 species which accounted for 90% of the standing abundance in the plots (see list in S2).

226

227 **Analyses**

228 All analyses were performed using R Software (R Development Core Team, 2017). For all
229 binomial and Poisson error models, we performed a test for overdispersion and computed
230 quasi-likelihood analysis proposed by Bolker (2021) if overdispersion was detected.
231 Significant covariates were selected according to their p-value against $\alpha = 0.05$. All the
232 analytical steps detailed below are summarized in Figure 1.



233

234 Figure 1: Diagram depicting the framework of the different analyses. Coloured boxes

235 represent the part of the data was used for each analysis (i.e., data from 2001, 2008 or 2015).

236 White letter B, C, M and T represents the vegetation type recorded for each year (respectively
237 *Baikiaea plurijuga* woodland, *Combretum* bushed-woodland, *Colophospermum mopane*
238 bushland and *Acacia/Terminalia* bushed-woodland).

239 *Elephant impact dynamics and elephant foraging preference*

240 *Impact dynamics* – We evaluated the accumulation of elephant impacts on the woody
241 vegetation over the study period by performing a mixed logistic model (using the “lme4”
242 package, Bates et al., 2015). The response variable was the presence (i.e., “used”, noted as
243 “1”) and absence (i.e., “not used”, noted as “0”) of elephant impact on woody plants; the
244 vegetation type and the plot identity were used as random effects, with the plot nested within
245 vegetation type, and the year of observation as a fixed effect.

246 *Species preference* – We used a mixed logistic model (using the “lme4” package, Bates et al.,
247 2015) to evaluate the species preference by elephants. The response variable was the presence
248 (i.e., “used” noted as “1”) and absence (i.e., “not used” noted as “0”) of elephant impact on
249 woody plants; the vegetation type was used as a random effect and the plant species as a fixed
250 effect. For each plant species, we thus obtained the log-odd ratio estimation of “success” (i.e.,
251 utilisation by elephants) with its statistical test associated. The availability here is the total
252 number of individuals for each species. To assess temporal difference in species preference
253 and reduce model complexity, we did not include interaction terms but computed one model
254 per period (i.e., one for 2001 and one for 2015) and compared the outputs of the two models.
255 Only species occurring both in 2001 and 2015 with at least 10 individuals in each year were
256 considered in the models. We adjusted all the p-values obtained (i.e., number of species
257 multiplied by two as we ran two models) with the “fdr” method (Benjamini & Hochberg,
258 1995), which controls the false discovery rate, the expected proportion of false discoveries
259 amongst the rejected null hypotheses.

260 *Functional trait preference* – We evaluated whether elephant preference was driven by plant
261 functional traits using a mixed logistic model (using the “lme4” package, Bates et al., 2015).
262 The response variable was the presence (i.e., “used” noted as “1”) and absence (i.e., “not
263 used” noted as “0”) of elephant impact on woody plants; the vegetation type and the plant
264 species were used as random effects and the six functional traits as fixed effects. To assess
265 temporal difference in the functional trait preference, we fitted two models for 2001 and 2015
266 separately.

267 *Species and functional trait composition dynamics*

268 *Species composition dynamics* - We performed a co-inertia analysis (Dolédec & Chessel,
269 1994) to study if and how the variations of species composition in plant communities are
270 related to the number and type of elephant impacts for each combination ‘plot-year’. This
271 two-table ordination technique aims to find a linear combination of plant species and a linear
272 combination of elephant impacts with maximal covariance. It thus provides a graphical
273 summary of the main effects of the different impacts on plant communities. Prior to the co-
274 inertia analysis, species abundances were log-transformed and we used a within-class analysis
275 to partial out the differences between vegetation types and ensure that the analysis focused
276 only on the temporal effect. Analyses were performed with the ade4 package for R (Dray and
277 Dufour, 2007).

278 *Functional trait composition dynamics* - We applied a partial-RLQ analysis (Wesuls et al.,
279 2012), using the “ade4” package (Dray & Dufour, 2007) to determine if and how the
280 functional trait composition of plant communities varied with elephant impacts after
281 controlling for differences in vegetation types. RLQ analysis is an ordination technique that
282 extends co-inertia analysis to study the relationships between three tables (instead of a pair of
283 tables). The method finds a linear combination of plant traits and a linear combination of
284 elephant impacts with a maximal covariance taking into account abundances of plant species

285 in plots. This allowed us to identify if and which types of elephant impacts mainly drive
286 differences in functional traits among communities.

287 Woody plant abundance and structure dynamics

288 *Woody plant abundance dynamics* – We evaluated the dynamics of woody plant abundance
289 between 2001 and 2015 using a mixed Poisson model. The response variable was the
290 abundance of woody plants, the vegetation type was used as a random effect, and the year, the
291 height and their interaction as fixed effects. Three classes of height were used: (i) below 50
292 cm height, as elephants were assumed to not substantially affect this layer (feeding height of
293 elephant ranging from 50 cm to 5.5 m, Shannon et al. 2006) and this layer represents the
294 dynamics of recruitment, (ii) between 50 cm and 200 cm and (iii) above 200 cm height (i.e.,
295 tall plants supposed to be more impacted by elephants, Cumming et al., 1997; Asner &
296 Levick, 2012). Overdispersion being detected, we computed quasi-likelihood analysis
297 proposed by Bolker (2021) - i.e., we adjusted the coefficient table by multiplying the standard
298 error by the square root of the dispersion factor and recomputing the Z- and p- value
299 accordingly.

300 *Woody plant structure dynamics* - For these analyses, woody plants below 50 cm height
301 were removed, as we assumed this layer to have a low importance on global vegetation
302 structure. For each plot, we performed a non-parametric Jonckheere-Terpstra trend test of
303 global trend (Jonckheere, 1954) on the height. We also performed the same test on DBH and
304 crown diameter considering the two height classes: 50-200 cm and >200 cm.

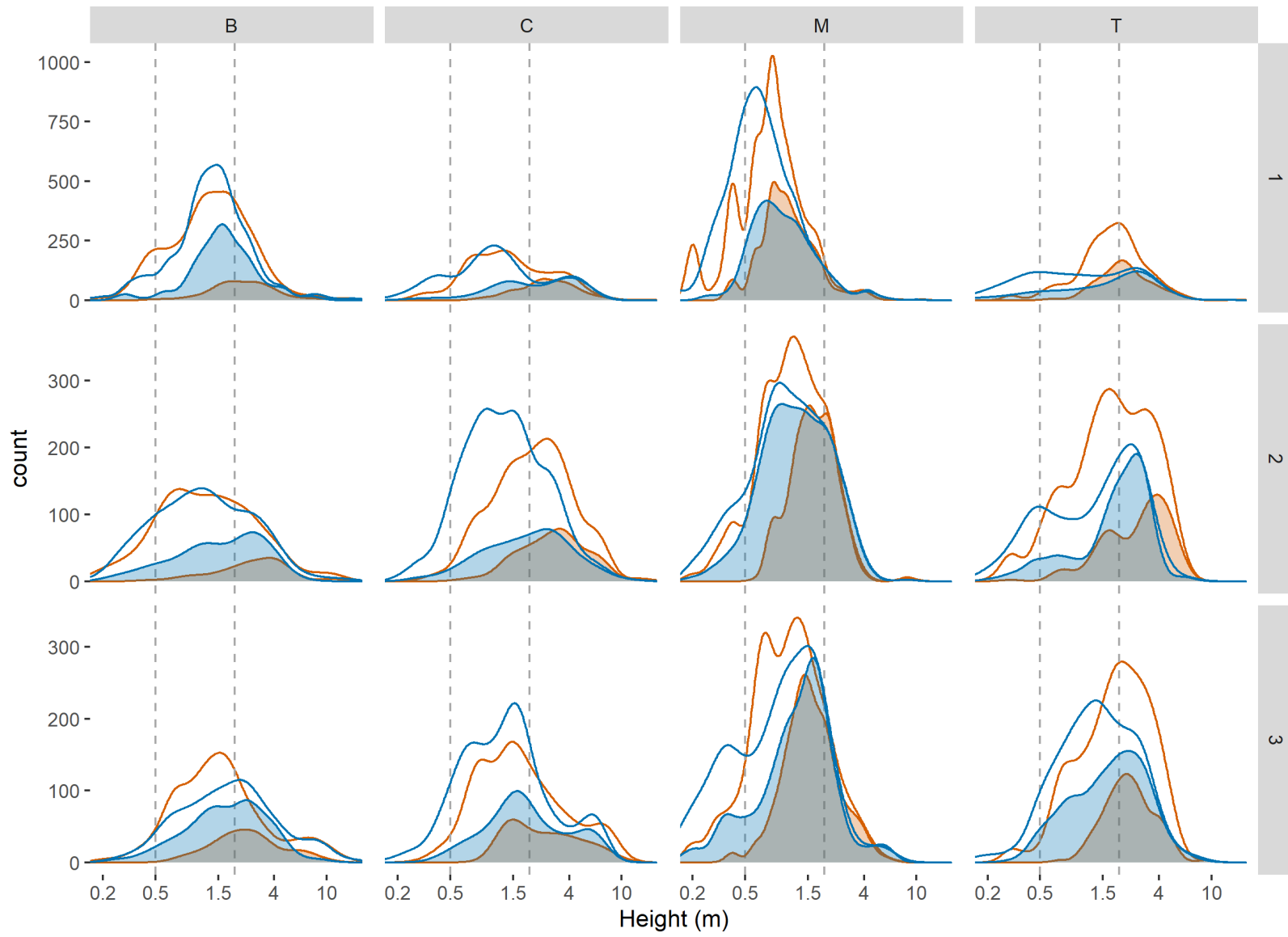
305 **Results**

306 Elephant impact dynamics and elephant foraging preference

307 *Impact dynamics* - The probability (mean \pm SD) that a woody plant was used by elephants
308 increased over the study period, from 0.34 ± 0.11 in 2001 to 0.45 ± 0.12 in 2008 and $0.52 \pm$

309 0.12 in 2015 ($\beta_{2001-2008} = 0.53$, $z_{2001-2008} = 9.2$, $p < 0.001$, $\beta_{2008-2015} = 0.29$, $z_{2008-2015} = 5.28$, $p <$
310 0.001 ; see also Fig. 2). However, only 10% of the impacts were recorded as fresh (i.e. from
311 the year) so the increase detected mainly reveals accumulation of the impacts over the years.
312 Among used plants, broken trunks consistently represented the main impact by elephants
313 (87% of all elephant impacts, see details in S3), followed by browsing (39%). Uprooted trees
314 only represented 19 individuals.

315

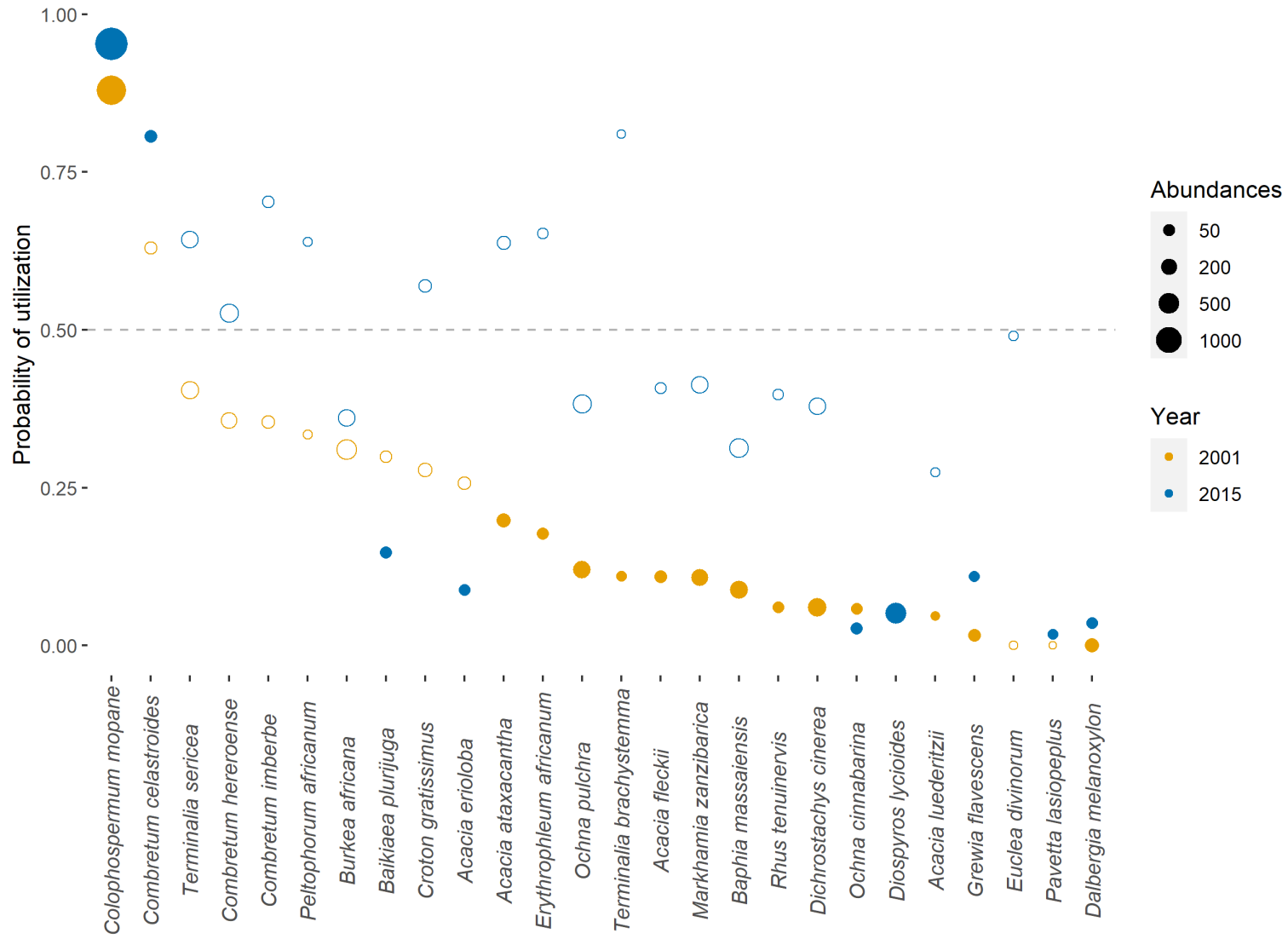


316

317 Figure 2: Density distribution of the log-transformed height of woody plants for the three plots monitored (1, 2 and 3) for each of the four
318 vegetation types (B = *Baikiaea plurijuga* woodland, C = *Combretum* bushed-woodland, M = *Colophospermum mopane* bushland and T =
319 *Acacia/Terminalia* bushed-woodland). Orange density distributions represent 2001 and blue density distributions represent 2015, for visual
320 convenience 2008 was not represented here. Simple lines represent density distributions for all woody plants whereas full-coloured density
321 distributions represent plants used by elephants. Vertical lines indicate the heights of 50 cm and 200 cm respectively. Note that y-axis scales
322 differ but have been scaled to similar height for visual convenience.

323 *Species preference* - Of the 39 plant species considered, *Colophospermum mopane* was the
324 only species selected for by elephants for the two study years (2001 and 2015, Fig. 3). Among
325 all the other species, none was selected for in 2001 and only *Combretum celastroides* was
326 selected for in 2015 (Fig. 3). Several species were avoided by elephants in 2001, but were
327 used in accordance to their availability in 2015 (i.e. not avoided and not selected), leading to
328 14 plant species avoided in 2001 against 7 in 2015 (Fig. 3). For more intuitive overview of the
329 results, we back-transformed the log-odd ratio into probability of utilisation for each species
330 (see Fig. 3).

331 *Functional trait preference* - In 2001, elephants avoided species with higher leaf N
332 concentrations ($\beta \pm SE = -0.75 \pm 0.23$, $z = -3.05$, $p < 0.01$), and selected species with higher
333 leaf P concentrations ($\beta \pm SE = 0.79 \pm 0.40$, $z = 2.05$, $p = 0.04$). Other traits had no impact. In
334 2015, no significant selection or avoidance of traits by elephants was detected.



337 Figure 3: Parameter estimates resulting from the mixed logistic model of woody plant species selection by elephants. Red and blue circles
338 represent estimates for the year 2001 and 2015 respectively. Circle sizes are proportional to the abundance of the species during the year
339 considered. Filled circles are estimates significantly different from 0 whereas empty circles are not significantly different from 0. A high positive
340 Beta estimate value indicates a high selection towards the species regarding its availability (and the opposite for negative value).

341 Species and functional trait composition dynamics

342 *Species composition dynamics* - After removing the variability between vegetation types
343 (which accounted for 70% in the species composition table and 48% in the elephant impacts
344 table), a slight temporal shift in the composition of the vegetation was identified (see S4a).
345 The first axis of the co-inertia analysis captured 70% of the remaining variability, which
346 represented 25.7% of the initial variability (S4b). The slight temporal shift in the composition
347 of the vegetation corresponded mainly to a shift in the rare species of the communities (S4c,
348 d). More than 20 species (e.g. *Tricalysia allenii*, *Combretum Zeyheri*, *Commiphora edulis* and
349 *Pterocarpus rotundifolius*) disappeared from the plots while a few new species (e.g.
350 *Mundulea sericea*, *Gardenia volkensii*, *Philenoptera violacea* and *Erythroxylum*
351 *zambesiicum*) appeared in the plots (S4d). Common species such as *Diospyros lycioides*,
352 *Burkea africana*, *Baphia massaiensis*, *Combretum hereoense* were almost unaffected (S4d).
353 The first axis of the co-inertia analysis revealed that this temporal trend was related to an
354 increase in elephant impacts (percentage of broken, of browsed woody plants; S4e,
355 permutation test, $p < 0.001$).
356 *Functional trait composition dynamics* – No link was identified between elephant impacts
357 and the mean value of functional trait by the RLQ analysis (permutation test, $p = 0.78$).

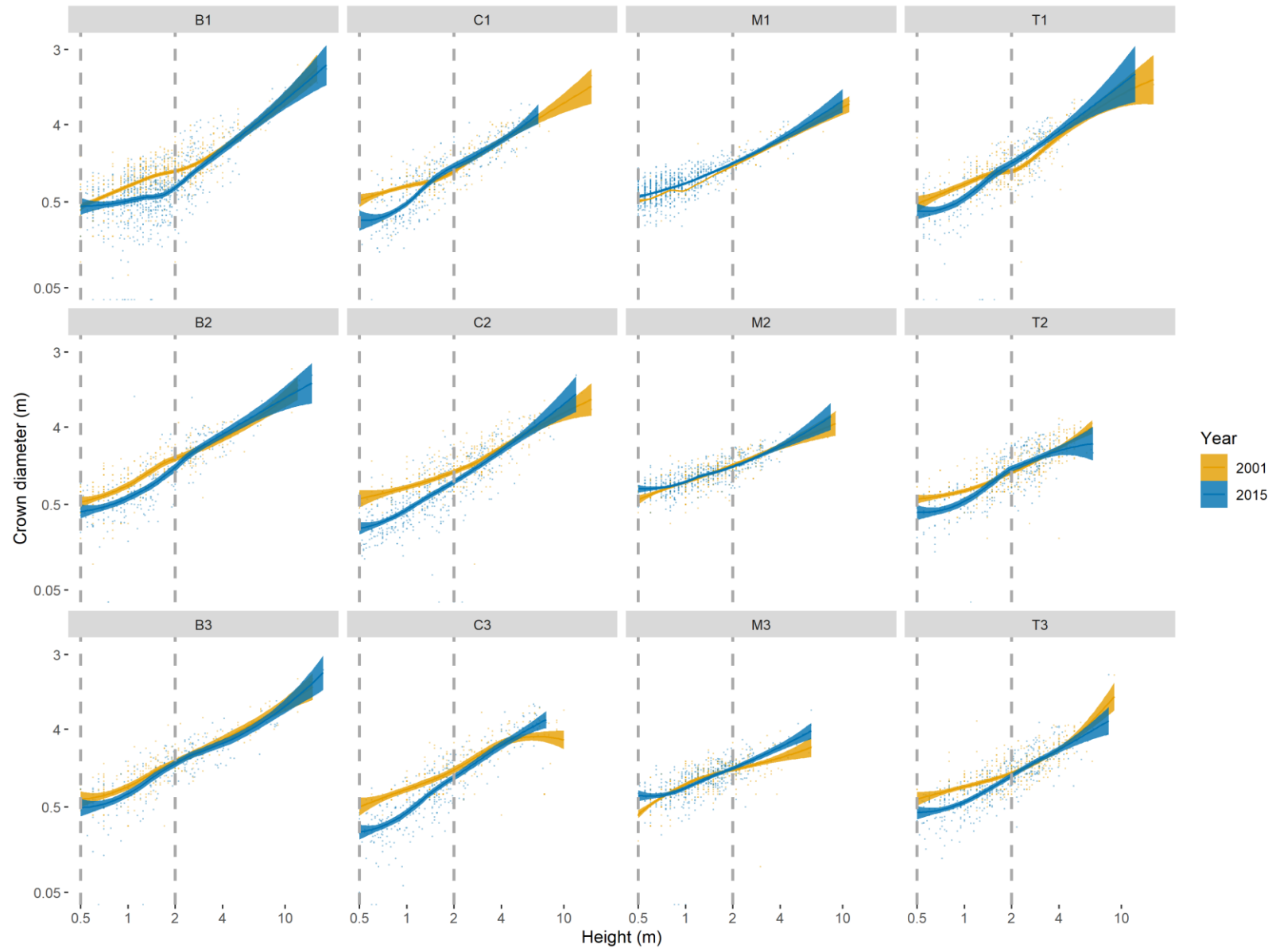
358 Woody plant abundance and structure dynamics

359 *Woody plant abundance dynamics* - The abundance of woody plants under 50 cm had a
360 tendency to increase between 2001 and 2015 ($\beta \pm SE = 0.68 \pm 0.36$, $z = 1.87$, $p\text{-value} = 0.06$,
361 see also Fig. 2 and S5 for detailed abundances). The abundance of woody plants between 50
362 cm and 200 cm had a tendency to decrease ($\beta \pm SE = -0.7 \pm 0.4$, $z = -1.75$, $p\text{-value} = 0.08$; see
363 also Fig. 2 and S5 for detailed abundances), and we observed a decrease in the abundance of
364 woody plants higher than 200 cm ($\beta \pm SE = -0.991 \pm 0.44$, $z = -8.2$, $p\text{-value} = 0.02$; see also
365 Fig. 2 and S5 for detailed abundances).

366 *Woody plant structure dynamics* – Overall, plant height did not change except in 4 plots
367 where there was a slight decrease of the median height (Table 1, Fig. 2). Almost no decrease
368 was observed when looking at the DBH (Table 1, S6). Regarding the crown diameter, we
369 observed differential change according to the height layer of woody plants (Fig. 4). No
370 change was observed for woody plants above 200 cm (Table 1, Fig. 4). However, a strong
371 decrease of the median crown diameter for plants between 50-200 cm was observed in almost
372 all plots, except in Mopane plots where an increase was observed (Table 1, Fig. 4).

373 Table 1: Results from analyses on vegetation structure. For each plot within each vegetation type, the dynamics of each structural variable was
 374 assessed with the non-parametrical Jonckheere's test, with the alternative hypothesis as a decrease of the structural characteristics over the study
 375 period except for the variable "Crown diameter (m²) 50-200 cm height", where the alternative hypothesis was a simple difference. Only
 376 significant results are represented ($\alpha = 0.05$) with the median value of the structural characteristics in 2001 and in 2015 (H is used for height, CD
 377 for crown diameter). * represents the particular case of the *Mopane* plots were an increase of the "Crown Diameter (m²) 50-200 cm height" was
 378 observed.

| | Plot | Baikiaea | Combretum | Mopane | Terminalia |
|--|------|--|--|---|--|
| Height (cm) | 1 | | | H ₂₀₀₁ =80, H ₂₀₁₅ =80 | |
| | 2 | | H ₂₀₀₁ =220, H ₂₀₁₅ =135 | | |
| | 3 | | H ₂₀₀₁ =150, H ₂₀₁₅ =145 | | H ₂₀₀₁ =200, H ₂₀₁₅ =145 |
| ----- | | | | | |
| DBH (cm) | 1 | | | | |
| | 2 | | DBH ₂₀₀₁ =2.86, DBH ₂₀₁₅ =1.67 | | |
| | 3 | | | | |
| ----- | | | | | |
| Crown diameter (m ²) > 200cm height | 1 | | | | |
| | 2 | | CD ₂₀₀₁ =3 .14, CD ₂₀₁₅ =2.17 | | |
| | 3 | | | | |
| Crown diameter (m ²) 50-200 cm height | 1 | CD ₂₀₀₁ =0.50, CD ₂₀₁₅ =0.24 | CD ₂₀₀₁ =0.38, CD ₂₀₁₅ =0.22 | *CD ₂₀₀₁ =0.38, CD ₂₀₁₅ =0.44 | CD ₂₀₀₁ = 0.75, CD ₂₀₁₅ = 0.29 |
| | 2 | CD ₂₀₀₁ =0.50, CD ₂₀₁₅ =0.27 | CD ₂₀₀₁ =0.50, CD ₂₀₁₅ =0.16 | *CD ₂₀₀₁ =0.50, CD ₂₀₁₅ =0.72 | CD ₂₀₀₁ = 0.50, CD ₂₀₁₅ = 0.30 |
| | 3 | CD ₂₀₀₁ =0.79, CD ₂₀₁₅ =0.60 | CD ₂₀₀₁ =0.38, CD ₂₀₁₅ =0.22 | | CD ₂₀₀₁ = 0.5, CD ₂₀₁₅ = 0.32 |



380 Figure 4: Plots showing the relationship between log-transformed mean crown diameters and log-transformed heights of all plants for the three
381 plots monitored for each of the four vegetation types (B = *Baikiaea plurijuga* woodland, C = *Combretum* bushed-woodland, M =
382 *Colophospermum mopane* bushland and T = *Acacia/Terminalia* bushed-woodland). Points represent raw data and predicted values with
383 confidence interval (using local polynomial regression fitting “loess” smoothing method) are represented in orange for 2001 and in blue for 2015.
384 Vertical lines indicate the heights of 50 cm and 200 cm respectively.

385 **Discussion**

386 In this study, we provide an overall assessment of the long-term effects of a sustained high
387 elephant population density on vegetation structure and composition in a savanna woodland,
388 which is a large open area.

389 *Elephants: generalist foragers in an ecosystem exposed to long-term high elephant*
390 *abundance*

391 Among the main plant species of the study plots, *Colophospermum mopane* was the only
392 species that was significantly selected for by elephants, which corroborates previous studies
393 that showed high rates of utilization of this plant species by elephants (Ben-Shahar, 1998;
394 Ben-Shahar & Macdonald, 2002). Interestingly, *Colophospermum mopane*, which was the
395 most heavily utilized species by elephants, also increased the most in abundance, mainly in
396 the lower class size (i.e., < 50cm). This higher abundance of *C. mopane* individuals can result
397 from true recruitment with (a) heavy elephant browsing pressure on adult plants limiting their
398 ability to monopolize resources and hence reducing intraspecific competition with the
399 saplings, or (b) the effect of faeces deposition by elephants with nutrient imports by elephants
400 in areas where they forage, the increased nutrient turn-over rate associated with high elephant
401 abundance, and the increased seed dispersal of the more palatable species, which will
402 ultimately favour the growth of fast growing and more palatable species (Skarpe et al., 2004).
403 The higher abundance of *C. mopane* plants could also be apparent and result from
404 resprouting, i.e., the production of secondary trunks as an induced response to injury or to
405 profound changes in growing conditions (Bond & Midgley, 2003, e.g., on other taxa Heiser et
406 al. 2004 for post-fire resprouting, Lewis 1991 and Lewick & Rogers 2008 in response to
407 herbivory). As *C. mopane* is a root sucker, i.e. new stems grow from existing roots
408 (particularly as a response to disturbance; Makhado et al., 2014), stem density would increase
409 but not population density. Identifying which one of these two persistence strategies,

410 recruitment or resprouting, was responsible of this increase of stem density was not the aim of
411 this study, but would be of interest for further studies at the population scale.

412 Of twenty-six plant species, fourteen were avoided in 2001, but half of these species
413 were no longer avoided by 2015. Most study species (70%) were therefore neither selected
414 for, nor avoided by elephants in 2015. This tendency of generalist feeding behaviour is also
415 observed when focusing on the plant functional traits: contrary to other studies on elephant
416 preferences (Jachman, 1989; Holdo, 2003), we did not detect any strong association between
417 elephant selection and the functional plant traits measured in this study. We only observed an
418 avoidance of species with high N leaf content in 2001 in complete opposition to expected
419 herbivore preferences (Crawley, 1983; Herms & Mattson, 1992; Wigley et al.; 2014). This
420 surprising result might be explained by the fact that species with high N concentrations may
421 also have high concentrations of compounds that reduce digestibility (e.g., condensed tannins
422 that were not recorded in this study, Herms & Mattson, 1992). The addition of missing
423 important functional traits linked to reduced palatability, such as condensed tannins, would
424 most likely improve our understanding of elephant impacts on species and functional trait
425 composition.

426 Altogether, our results suggest a foraging behaviour tending to be opportunistic by
427 elephants in HNP between 2001 and 2015 (contrarily to other studies, e.g. Owen-Smith &
428 Chafota, 2012). We cannot rule out a scenario whereby elephants had extirpated their
429 favourite plant species before 2001 and now remain in an ecosystem dominated by non-
430 preferred plant species (e.g. Augustine & McNaughton, 1998), which are therefore utilized in
431 proportion to their availability in the landscape.

432

433 *A new stable woody vegetation composition*

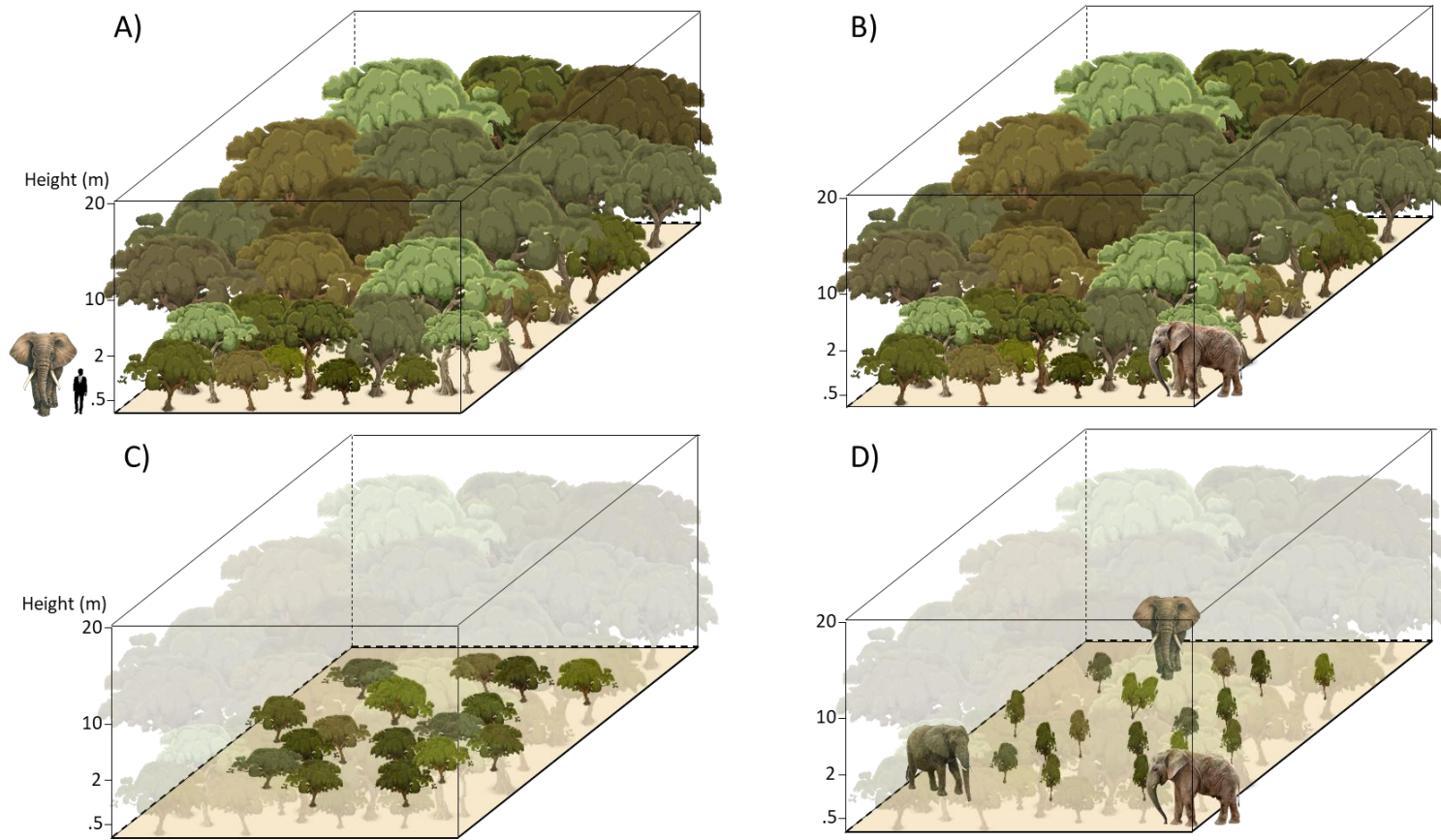
434 Previous modelling studies suggest that plant communities exposed to elephant herbivory can
435 shift over a 50-year period towards unpalatable species that are highly resistant to elephant
436 disturbances (Holdo, 2007). Our results revealed that elephant impacts did lead to shifts in the
437 abundances of rare plant species (e.g. *Mundulea sericea*, *Trycalia alleni*) but were not
438 associated with shifts in the relative abundance of the common plant species (e.g.
439 *Colophospermum mopane*, *Baphia massaiensis*, *Diospyros lycioides*) between 2001 and 2015
440 in HNP. In 2001, the elephant population abundance of HNP had been high for at least 20
441 years ($>1.\text{km}^{-2}$) and among the highest elephant population densities with $>2.\text{km}^{-2}$ for a
442 decade (Chamaillé-Jammes et al., 2008). It is therefore highly likely that plant communities
443 had already stabilized by 2001 under high elephant browsing pressure and provides support to
444 the existence of a dynamic equilibrium between elephants and woody plants, with different
445 stable states (Dublin et al., 1990). Further, the overall functional trait composition did not
446 experience significant changes correlated with increased elephant impact, most probably
447 because the dominant species remained more or less stable during the study period. Finally,
448 the rare plant species that disappeared from the plots were not the ones that were most
449 preferred by elephants. Our results suggest that elephant foraging preferences did not cause
450 the changes in species abundance observed between 2001 and 2015 (i.e. these species were
451 not targeted by elephants). These changes may therefore be linked to differences in how well
452 different woody plant species were able to tolerate browsing (O'Connor et al., 2007).
453 However, other explanations cannot be ruled out such as (i) other type of disturbance (e.g.,
454 phytophagous herbivory, pathogen), or, because of an initial low abundance, these species
455 could be particularly prone to a local extirpation (ii) “by chance” or (iii) by death due to
456 ageing / senescence of the few individuals occurring initially.

457 *Elephants clear the understory*

458 Our study, which was conducted over a period of fifteen years of high elephant densities, did
459 not reveal an overall conversion from woodland to grassland as previously reported in the
460 literature from East African savannas (Laws, 1970; Caughley, 1976). We assumed that the
461 response of plants to elephant impacts may be different in Southern Africa, where woodland
462 savannas are often on poorer soils and more diversified (Childes & Walker, 1987; Ben-
463 Shahr, 1998; Asner & Levick 2012). Further, Holdo's (2007) model suggests that elephants
464 are not expected to convert semi-arid savanna woodland to complete grassland as often
465 suggested/observed in East African savannas. Elephants would more likely revert and
466 maintain savanna vegetation in a scrub phase, i.e., a phase dominated by coppiced shrubs and
467 grass, because savanna woody plants are typically able to resprout following disturbance
468 (Holdo, 2007). Our results are in line with previous observations of elephants affecting taller
469 plants (>2m, Cumming et al., 1997; Asner & Lewick, 2012; Morrison et al., 2016). Indeed,
470 during the study period, we observed a decrease of tall plants (>200 cm), which could have
471 been either killed by elephants, or reverted to the lower layer (50-200 cm; i.e. "tree broken
472 into shrub" *sensu* Valeix et al., 2011 or "top-killed" *sensu* Morrison et al., 2016).

473 Fire and frost are two important agents of tree mortality in African savannas (Holdo,
474 2007). However, no fire occurred in the study vegetation plots, except in 2015 when 3 plots
475 (T1, T2, T3) burnt in a low intensity fire that only affected the grass layer and woody
476 seedlings. The lack of knowledge on frost in this system limits our ability to disentangle the
477 relative importance of elephants and frost on these abundance modifications, but frost most
478 likely affects young plants (Holdo 2006). Further, elephants are assumed to independently
479 modify savanna vegetation into a scrub phase where frost and fire act, at best, only as a
480 secondary synergistic factor (Holdo, 2007; Morrison, 2016).

481 Surprisingly, we did not observe an alteration of vegetation structure (plant height,
482 plant crown diameter) of the taller size classes in HNP. The most striking result of our study
483 was the effect of long-term exposure to a high elephant population density on the structure of
484 the vegetation in the understorey (50-200 cm; Fig. 5). The crown diameter distribution in the
485 50-200 cm layer changed drastically in all plots except in *Colophospermum mopane* bushland.
486 In *Baikiaea plurijuga* woodland, *Combretum* bushed-woodland and *Acacia/Terminalia*
487 bushed-woodland, the crown diameter decreased strongly. Plant crown diameter and height
488 are strongly related to above-ground volume and biomass (Popescu et al., 2003). The
489 observed decrease in crown diameter is therefore linked to a diminution of the spatial volume
490 occupied by woody plants in the 50-200 cm layer (Fig. 5) and is thus expected to lead to a
491 change in available resources for other large herbivores, and a higher visibility in this height
492 layer. As visibility is a key environmental parameter for anti-predator behaviour of prey
493 species (FitzGibbon, 1994; Gorini et al., 2012) and the hunting behaviour of predator species
494 (Loarie et al., 2013), these changes in crown diameter could have consequences on predator-
495 prey relationships (e.g. Tambling et al., 2013; Ferry et al. 2020).



498 Figure 5: Schematic representation of elephant impact on vegetation structure in Hwange National Park. A) and B) depict the “external
 499 morphology” of the woodland (i.e. canopy view), and C) and D) the “internal morphology” of the woodland (i.e. understory). A) and C) are

500 habitat without elephant whereas B) and D) are habitats with high elephant density and where a decrease of woody plants' crown diameter in the
501 50-200 cm height layer is observed.

502

503 Conclusions

504 The vegetation changes observed were modest despite the long-term exposure to high
505 elephant browsing pressure. The relatively modest vegetation changes revealed here are
506 highly different from previous studies done in the Chobe National Park riverfront, Botswana,
507 where local elephant densities are extreme during the dry season because this is the only
508 source of water in the region during the dry season (Rutina & Moe, 2014; Teren et al., 2018),
509 but are in accordance with another study carried out further into the Chobe National Park
510 (>50 km from the river) where there are small effects of elephants on the woodlands (Kalwij
511 et al., 2010). These and our results, taken together, suggest that elephant might have a drastic
512 impact in large ecosystems that reached an equilibrium but only close to water sources. An
513 alternative explanation suggested by Skarpe et al. (2004), states that elephant abundances and
514 savanna woodlands might be in perpetual changes with multiple stable states suggesting that
515 conservation success should be evaluated by shifts in alternative stable states in addition to
516 elephant induced changes when they reach high density.

517 Overall, our study suggests that the species composition of the woody community in this
518 savanna woodland has reached a stable state. In terms of vegetation structure, the two main
519 effects revealed here are (i) a decrease in the number of tall plants and an increase in the
520 number of saplings, and (ii) a reduction in crown diameter in the 50-200 cm height stratum.

521 This latter result suggests that elephants may influence other herbivore foraging or predator-
522 prey interactions in ecosystems where they are present in high densities. This finding
523 encourages future studies to assess these potential environmentally-mediated trophic
524 interactions modifications (Wootton, 1993) by elephants.

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534 **Author's Contributions**

535 AI, HF, MB, LS and MV conceived the ideas and designed methodology;
536 NF, AI, TCD and BW collected the data;
537 NF, SD, and MV analyzed the data;
538 NF, SD, and MV led the writing of the manuscript.
539 All authors contributed critically to the drafts and gave final approval for publication.

540

541 **Data accessibility**

542 A list of data sources used in the study are provided in detailed data collection in the Material
543 and Method section.

544 The dataset and the R script for analysis has been deposited in Zenodo repository [doi:
545 10.5281/zenodo.5564836].

546

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804

805 **Appendices list**

806 **Appendix S1.** GPS location of the twelve vegetation plots monitored (Zone UTM 35S) with
807 distance to the closest waterhole.

808 **Appendix S2.** List of the 26 species contributing to the 90% standing abundance in the plots
809 for which functional traits were gathered.

810 **Appendix S3.** Distribution of the different elephant impact types.

811 **Appendix S4.** Outputs of co-inertia analysis for the first two axes.

812 **Appendix S5.** Total number of woody plants per plot per year a) lower than 50cm height, b)
813 between 50cm and 200cm height and c) higher than 200cm height.

814 **Appendix S6.** Graph of log-transformed DBH in function of log-transformed height of all
815 woody plants.