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1 **Combination of Multivariate Curve Resolution with Factorial Discriminant Analysis for**  
2 **the detection of grapevine diseases using Hyperspectral imaging. A case study:**  
3 **Flavescence Dorée.**

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23 **Abstract**

24 Hyperspectral imaging is an emergent technique in viticulture that can potentially detect  
25 bacterial diseases in a non-destructive manner. However, the main problem is to handle the  
26 substantial amount of information obtained from this type of data, for which reliable data  
27 analysis tools are necessary. In this work, combination of multivariate curve resolution-  
28 alternating least squares (MCR-ALS) and factorial discriminant analysis (FDA) is proposed to  
29 detect the Flavescence dorée grapevine disease from hyperspectral imaging.

30 The main purpose of MCR-ALS in this work was providing chemically meaningful basic  
31 spectral signatures and distribution maps of the constituents needed to describe both healthy  
32 and infected images by Flavescence dorée. MCR scores (distribution maps) were used as  
33 starting information for FDA to distinguish between healthy and infected pixels/images. Such  
34 an approach is presumably more powerful than the direct use of FDA on the raw imaging data,  
35 since MCR scores are compressed and noise-filtered information on pixel properties, which  
36 makes them more suitable for discrimination analysis. High levels of correct pixels  
37 discrimination rates (CR=85,1%) for the MCR-ALS/FDA discrimination model were obtained.  
38 The model present a lesser ability to determine infected leaves than healthy leaves.  
39 Nevertheless, only two images were misclassified. Therefore, proposed strategy constitutes a  
40 good approach for the detection of the Flavescence dorée that could be potentially used to detect  
41 other phytopathologies

42

43 **Keywords:** Multivariate Curve Resolution-Alternating Least Squares (MCR-ALS), Factorial  
44 Discriminant Analysis (FDA), Hyperspectral imaging, vineyard diseases, Flavescence dorée

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## 47 **1. Introduction**

48 Epidemiological surveillance is a crucial issue in agriculture and especially in viticulture. As a  
49 matter of fact, the grapevine (*Vitis vinifera*) is sensitive to a wide range of biopests. To cope  
50 with these threats, preventive chemical control is required. To reduce the use of chemical inputs  
51 while ensuring the protection of the vineyard, it is necessary to implement more parsimonious  
52 spraying practices. The development of sustainable crop protection systems is closely related  
53 to the knowledge regarding the physiological state and the health status of the vineyard <sup>1</sup>.

54 To date, the evaluation of sanitary risks is conducted by visual and tactile inspection, which is  
55 time consuming and labour intensive. The analysis of light-matter interaction can provide  
56 information related to physiological properties such as hydric status, nitrogen content,  
57 pigmentation or even cellular structure <sup>2</sup>. Therefore, optical instruments and especially  
58 multispectral (MSI) and hyperspectral imaging (HSI) are relevant tools for the automated and  
59 non-invasive detection of phytopathology <sup>3-6</sup>. In this context, conventional analysis of  
60 hyperspectral and multispectral images, such as determination of spectral vegetation indices  
61 (SVIs) <sup>7</sup>, performs only a limited use of the substantial amount of information available with  
62 this type of data. Therefore, in order to successfully interpret these images, the application of  
63 advanced data processing tools is necessary. In this work, we will focus on the application of  
64 HSI to discriminate an important vine disease: the Flavescence dorée” (FD, also known as  
65 “yellowing”).

66 FD is a phytopathology caused by the bacteria *Candidatus phytoplasma vitis* that can spread  
67 fast through a leafhopper (*Scaphoideus titanus*). It represents a very serious threat, since  
68 without proper management; it can lead to the complete loss of the harvest or even the death of  
69 the vine stocks. Recently, spectral imaging have been used to detect FD. Albetis et al. <sup>6</sup>  
70 evaluated the potentiality of Unmanned Aerial Vehicle (UAV) multispectral imagery for the  
71 airborne detection of FD symptoms under field conditions. For this purpose, they analysed

72 several spectral bands, vegetation indices, and biophysical parameters. However, the specific  
73 detection of FD appears to be limited. Al-Saddik et al. <sup>5</sup> used a portable spectroradiometer (350–  
74 2500 nm) to collect hyperspectral reflectance data of healthy and symptomatic leaves. The aim  
75 of this study was to develop specific spectral disease indices (SDIs) for the detection of FD  
76 disease in grapevines, thereby, reaching discrimination accuracies of more than 90%. However,  
77 the SDIs were dependent on the disease infestation state and the grapevine variety considered;  
78 the best wavelengths selected were different from one case to another, and hence no single best  
79 index for FD in all situations was identified. To deal with these limitations, this work aims to  
80 propose a new general methodology (i.e. not depending of the variety) to discriminate between  
81 healthy and infected leaves based on HSI measurements and data analysis methods.

82 The data analysis workflow proposed in this work relies on two steps:

83 a) Multivariate curve resolution-Alternating Least Squares (MCR-ALS) <sup>8,9</sup> model to  
84 provide chemically meaningful spectral signatures and related distribution maps of the  
85 image constituents. This unmixing method allows a global differentiation between  
86 infected and healthy images. However, some components related to the two different  
87 class types (infected and healthy pixels) may overlap, and hence, a supervised  
88 discrimination method is necessary to achieve a harder separation between them.

89 b) Factorial discriminant analysis (FDA) <sup>10</sup> model on MCR scores (distribution maps).  
90 This supervised classifier will help to discriminate between infected and healthy images,  
91 using previous pixel labelling (classes infected or healthy).

92 Previous studies have already shown the capability of the application of MCR-ALS combined  
93 with supervised classification methods to the analysis of imaging data <sup>11,12</sup>. These works  
94 demonstrated that the use of MCR outputs as starting information for classification methods  
95 allows a compound-wise selection and preprocessing of the input information to be submitted  
96 to the classification algorithm. This is due to the fact that MCR results are chemically

97 meaningful and express concentrations or spectra of the pixel constituents in the images. Such  
98 a specificity of the method allows discarding components related to background signal  
99 contributions in the classification task. In this work, FDA is chosen as the supervised classifier  
100 because it is one of the simplest and fastest approach for discrimination that has proven its  
101 efficiency for various analytical chemistry applications <sup>13,14</sup>. However, to the best of our  
102 knowledge this is the first time that MCR-ALS combined with such a classification method is  
103 used as phytopathology detection model. This study demonstrates that the proposed  
104 methodology has the potential to improve disease detection in agriculture applications.

## 105 **2. Material and Methods**

### 106 **2.1 Samples**

107 Leaves were collected during September 2020 on previously identified plots with Flavescence  
108 Dorée. All cultivars were sampled with a similar proportion of red and white varieties. In total  
109 109 leaves were collected on the field. The number of leaves from the different varieties  
110 selected for this study are summarised in Table 1.

111

### 112 **TABLE 1**

113

114 Infected leaves were chosen in order to represent at best the variability of the available  
115 symptoms in terms of severity and stages of infections. Leaves were selected when foliar  
116 symptoms were undoubtedly caused by FD from vines exhibiting clear symptoms of FD on  
117 other organs. Each leaf and each vine from which they were extracted were diagnosed by a  
118 phytopathology expert. Leaves were extracted from the front face, in the middle of the canopy  
119 so that to avoid the younger and older organs which can present different physiological

120 behaviour. Regarding the healthy leaves, they were selected in the same regions and they were  
121 asserted absent of symptoms of FD or any other visible pathology. However, some of the  
122 healthy sample can exhibit light forms of mechanical or chemical wounds (due to protection,  
123 management operations) and some slight damage caused by insects.

## 124 **2.2 Image acquisition**

125 Acquisitions of leaf images were performed with a hyperspectral camera (IQ, Specim, Finland).  
126 Imaging of grapevine leaves was carried out in the spectral range of 400-900 nm, with a spectral  
127 resolution of 7 nm. Images in RGB were also registered. Illumination was provided by a  
128 halogen lamp (Arrilite 750 Plus ARRI, Munich, Germany). Constant angles of  $-50^\circ$  and  $50^\circ$   
129 were maintained between the halogen lamp and the hyperspectral camera. These angles were  
130 chosen to optimise the intensity of the reflected beam and to reduce specular reflection.

131 For each sample image, the intensity of the reflected light ( $I(\lambda)$ ) was measured. The Dark current  
132 ( $I_n(\lambda)$ ) *i.e.* signal without light, was recorded from all measured spectra and then subtracted. A  
133 white reference (SRS99, Spectralon®) ( $I_0(\lambda)$ ) was measured to standardise spectra and prevent  
134 nonlinearities of all the instrumentation components (light source, lens, fibbers and  
135 spectrometer). From these measurements, a reflectance image ( $R(\lambda)$ ) was calculated for each  
136 sample, as follows:

$$137 \quad R(\lambda) = \frac{I(\lambda) - I_n(\lambda)}{I_0(\lambda) - I_n(\lambda)} \quad \text{Equation 1}$$

## 138 **3. Data analysis**

139 The proposed workflow for data analysis follows the three following steps:

140 a) **Image preprocessing**

141 b) **MCR** to recover basic spectral signatures and distribution maps of pure compounds  
142 contributions, allowing differentiation between infected and healthy images.

143 c) **FDA model using the MCR scores (concentration profiles) resulting from the MCR**  
144 **results** to predict the class (infected or healthy) of the images.

145 These steps are described in detail in the following subsections

### 146 **3.1 Image preprocessing**

147 In HSI (Hyperspectral Imaging), the generated data can be arranged into a data cube in which  
148 the x-and y-axis correspond to the pixel coordinates and the z-axis corresponds to the  
149 wavelengths values registered in each pixel. Data preprocessing is required to improve the  
150 signal quality and to compress the acquired raw data for further analysis.

151 Firstly, the pixels in the images were binned by a factor of 4 in  $x$  and  $y$ . This spatial binning  
152 produced an image of 128x128 pixels from an original image of 512x512. Afterwards, a mask  
153 was create for each image to extract only the vegetation pixels. The Spectral Angle Mapper  
154 (SAM) <sup>15</sup> was used for this purpose. To identify vegetation pixels, SAM compare image spectra  
155 to a reference spectrum by calculating the spectral angle between them. Smaller angles  
156 represent closer matches to the reference spectrum, and hence the corresponding pixels are  
157 classified as vegetation pixels, whereas pixels further away than the specified maximum angle  
158 threshold are not classified.

159 Finally, A matrix  $\mathbf{Di}$  ( $n,m$ ) of dimension  $n$  equal to  $(x \times y)$  pixels by 175 wavelengths was  
160 generated per each image.

### 161 **3.2 Multivariate Curve Resolution/ Factorial Discrimination Analysis (MCR/FDA) model**

162 Before using MCR-ALS and FDA methods, the dataset was divided into two sets of samples:  
163 training and independent test sets. The training sets were used to build the models. The test sets  
164 were left for external validation and are not used to build the models. Healthy and infected  
165 images were both divided with the same split ratio of 2/3 and 1/3 respectively for training and



166 test, as detailed in Table 1. This division was made randomly and assuring a similar distribution  
167 of all classes in both training and test sets.

### 168 **3.2.1 Multivariate Curve Resolution- Alternating Least Squares (MCR-ALS)**

169 The goal of the MCR-ALS algorithm is the decomposition of the image data **D** into distribution  
170 maps (relative amounts or concentration) and pure spectra of the constituents present in the  
171 imaged sample <sup>8,9,16</sup>. In matrix form, the hyperspectral images can be described by a bilinear  
172 model based on the Beer-Lambert law (Equation 1). Where the matrix **D** contains the pixel  
173 spectra obtained after the preprocessing described in section XX. Each spectra is then  
174 decomposed into a set of concentration profiles (**C** matrix) corresponding to pure spectra  
175 (**S<sup>T</sup>** matrix) of the constituents present in the image. **E** is the matrix associated with noise or  
176 experimental error (residuals).

$$177 \mathbf{D} = \mathbf{C}\mathbf{S}^T + \mathbf{E} \quad \text{Equation 2}$$

178 Figure 1 shows the application of MCR-ALS to an individual image data **D**. It can be observed  
179 that every row of the resolved **S<sup>T</sup>** matrix corresponds to the pure spectrum of an image  
180 constituent, while every column of the resolved **C** matrix of concentration profiles corresponds  
181 to the related pixel-to-pixel variation of its chemical concentration. It is worth mentioning that  
182 each column of the resolved **C** matrix can be refolded appropriately in order to recover the  
183 original two-dimensional spatial image structure and then pure distribution maps are obtained.

184

### 185 **FIGURE 1**

186

187 In order to recover the bilinear model expressed in Equation 1, MCR-ALS begins with  
188 determining the number of signal contributions in the original data set **D** by Singular Value

189 Decomposition (SVD) <sup>17</sup>. Afterwards, an initial **C** or **S<sup>T</sup>** matrix with as many profiles as the  
 190 number of components estimated for **D** is constructed to initiate the iterative resolution process.  
 191 In this work, the initial **S<sup>T</sup>** was generated by a pure variable selection method based on Simple-  
 192 to-use Interactive Self-modelling Mixture Analysis (SIMPLISMA) <sup>18</sup>. Such estimate **S<sup>T</sup>** and  
 193 the matrix **D** are used to initialise the least squares alternating optimisation of the profiles in  
 194 matrices **C** and **S<sup>T</sup>** of the bilinear model under the constraints until convergence is achieved.  
 195 The convergence criterion can be a maximum number of iterations or a value related to the  
 196 difference in fit improvement between consecutive iterations.

197 The quality of the MCR results are described by the explained variance (%  $r^2$ ), which are  
 198 calculated according to the following expressions:

$$199 \quad \% r^2 = 100 \times \left( 1 - \frac{\sum e_{ij}^2}{\sum d_{ij}^2} \right) \quad \text{Equation 3}$$

200 where  $e_{ij}$  is equal to  $d_{ij} - d_{ij}^*$ ,  $d_{ij}^*$  are the values of the data set reproduced by the bilinear model  
 201 and  $d_{ij}$  the original values in the original data set **D**. In order to consider that MCR results of  
 202 an analysis are adequate, the variance explained must be sufficiently high and the concentration  
 203 profiles and spectra obtained must be chemically meaningful and show shapes consistent with  
 204 the variation in the original data sets.

205 MCR-ALS can also be used to analyse simultaneously several images in a single multiset  
 206 structure to provide more reliable results <sup>9,19</sup>. Resolved features would define much better  
 207 general traits analysed together than if they were analysed individually. In this study, the  
 208 multiset structures were obtained by setting different images **Di** one on top of each other to  
 209 form a column-wise augmented matrix **Daug**. The bilinear model in Equation 1 is now extended  
 210 to the augmented data set as shown in Equation 4:

$$211 \quad \mathbf{Daug} = [\mathbf{D}_1; \mathbf{D}_2; \dots; \mathbf{D}_n] = [\mathbf{C}_1; \mathbf{C}_2; \dots; \mathbf{C}_n] \mathbf{S}^T + [\mathbf{E}_1; \mathbf{E}_2; \dots; \mathbf{E}_n] = \mathbf{Caug} \mathbf{S}^T + \mathbf{Eaug} \quad \text{Equation 4}$$

212 where  $\mathbf{C}_{aug}$  is a column-wise augmented matrix formed by as many submatrices  $\mathbf{C}_i$  as images  
213 in the multiset, and  $\mathbf{S}^T$  is a single data matrix of pure spectra, assumed to be common and valid  
214 for all the images in the multiset. The concentration profiles in each of these submatrices can  
215 be also refolded conveniently to recover the related distribution maps of each image (see Figure  
216 1b).

217 The MCR-ALS analysis of a single image or an image multiset takes the benefit of the use of  
218 constraints on  $\mathbf{C}$  or/and  $\mathbf{S}^T$  to obtain chemically meaningful and more accurate spectral  
219 signatures and distribution maps. In this study, the most common constraints in image  
220 resolution, such as non-negativity and normalisation, were used. Moreover, the constraint of  
221 correspondence among species to encode the information related to the presence/absence of  
222 some components in the different  $\mathbf{C}_i$  submatrices in the multiset structures was also applied<sup>9,16</sup>.

223 MCR-ALS distribution maps ( $\mathbf{C}$  matrix) and pure spectra ( $\mathbf{S}^T$  matrix) are excellent low  
224 dimension, noise-filtered meaningful basis of the pixel and the spectral space of the image,  
225 which may be further used to obtain additional information. In this work, the MCR scores  
226 (distribution maps) were fed into the FDA to predict the type-class (healthy or infected) of the  
227 images.

228 It is worth mentioning that a multiset structure containing all the training dataset from both  
229 healthy and infected images ( $\mathbf{D}_{trFH}$ ) was used for the MCR approach. Then, the distribution  
230 maps related to the multiset structure containing all the test dataset ( $\mathbf{D}_{testFH}$ ) were calculated  
231 by a single non-negative least-squares step taking MCR pure spectra obtained in the training  
232 stage ( $\mathbf{S}^{Ttr}$ ).

### 233 3.2.2 Factorial Discrimination Analysis (FDA)

234 The aim of FDA<sup>10</sup> is to predict the membership of an individual to a group of samples according  
235 to pre-defined groups. This method searches for relationships between a qualitative variable

236 (healthy or infected) and a group of quantitative explanatory variables (wavelengths,  
237 intensities...). The use of the qualitative variable within a population allows the division of this  
238 population into different groups, with each individual assigned to one group. Discrimination  
239 of the groups consists of maximising the variance between their gravity center. For each group,  
240 the distance from the different gravity center of the groups is calculated and then, the sample is  
241 assigned to the group where its distance between the centre of gravity is the nearest. Comparison  
242 of the assigned group to the real group is an indicator of the quality of the model, and hence,  
243 discrimination rate (CR) is taken as a criterion of goodness for the developed model

244 In this work, FDA was performed to determine the affiliation of each pixel/image whether to  
245 the healthy or to the infected class. High correlations can occurred among the wavelengths or  
246 intensities of the pixels/images, therefore, MCR scores (distribution maps) coming from the  
247 augmented **CtrFH** matrix obtained by MCR-ALS have been used as the pixel input information  
248 for FDA. Therefore, no variable reduction algorithm such as PCA or ICA *need to be done due*  
249 *to the fact that MCR scores (concentration profiles) are compressed and noise-filtered*  
250 *information on pixel properties*. The gravity centre of each sample type in the model was  
251 calculated from these training sample scores. The Mahalanobis distance <sup>20</sup> from each to each  
252 level of the gravity centres was measured. Finally, test samples were assigned to the group  
253 with the nearest gravity centre.

### 254 **3.3 Software**

255 All data processing has been performed in MATLAB platform (Version 2015b, MathWorks  
256 Inc., Natick, MA, USA). The application of MCR-ALS has been performed using the MCR  
257 GUI (multivariate curve resolution graphical user interface) developed by the chemometrics  
258 group of Universitat de Barcelona and IDAEA-CSIC <sup>21</sup>, which is can be downloaded from the  
259 MCR webpage <http://www.mcrals.info/>. FDA analysis method has been applied using in-house  
260 routines, partly based on the PLS Toolbox (Eigenvector Research Inc., Manson, WA, USA).

261 **4. Results and discussion**

262 **4.1. MCR. Global differentiation between infected and healthy images**

263 The first MCR-ALS analysis was focused on identifying significant contributions with a  
264 specific reflectance signature for each leaf type (healthy and infected). For that purpose, two  
265 multisets were built, one formed by the 47 training images corresponding to the infected leaves  
266 from all varieties (**DaugtrF**), and the other multiset formed by the 25 training images  
267 corresponding to the healthy leaves from all varieties (**DaugtrH**). MCR-ALS was applied  
268 separately to each of these multiset structures using non-negativity constraints in concentration  
269 and spectra profiles and spectra normalisation.

270 Table 2 summarises the number of resolved components and the explained variance obtained  
271 from the MCR-ALS analyses of both multisets. Resolution of three contributions was necessary  
272 in both cases. The inclusion of a different number of contributions gave solutions worse  
273 mathematically or unreliable spectra or distribution maps.

274

275 **TABLE 2**

276

277 Figure 2a and b show the MCR-ALS resolved distribution maps (with their corresponding RGB  
278 images) and pure spectra of each analysed multiset, respectively. To simplify, resolved  
279 distribution maps of only one image per variety is shown. It can be seen that the blue and red  
280 contributions present resolved pure spectra rather similar in both multisets, with a Pearson  
281 correlation coefficient higher than 0.90. The blue contribution shows a low intensity plateau in  
282 visible region (from 400 to 700 nm) and then an increment of the intensity in the near infrared  
283 region that ends rather stable from 750 to 900 nm. This contribution seems to present the typical

284 profile related to the cell structure of the leaf. The red contribution presents a peak at 550 nm  
285 and a low intensity between 600 and 640 nm, which could correspond to the pigment content,  
286 especially anthocyanins and chlorophyll. The green contribution presents a greater spectral  
287 dissimilarity between the two multisets. Remarkably, the component from the infected leaf  
288 multiset (Figure 2a) has a characteristic peak located at 700 nm, a second peak located at 650  
289 nm and lower intensity values at 400 nm and 500 nm. This green contribution could be  
290 attributed to a difference in slope level in the red-edge region, an imbalance between  
291 chlorophyll a and chlorophyll b, and an appearance of carotenoids. For the healthy multiset  
292 (Figure 2b), the green present values in the visible region that oscillate between 0.6 and 0.4 and  
293 the slope in the near infrared region increases from 750 to 800 nm. Therefore, this component  
294 seems to reflect an intensity level in the pigment region.

295 The distribution maps use a graduated colour scale per column, where the blue colour  
296 corresponds to small concentration values and the red colour to large values. Differences  
297 between the scores of white and red wine varieties can be observed for the infected multiset  
298 (Figure 2a). Unlikely, there is no visible differences between the white and red grape varieties  
299 on the healthy multiset (Figure 2b). This seems to show that the spectra obtained vary according  
300 to the grape variety at the onset of the disease. For example, the white varieties (Chardonnay,  
301 Colombar and Loin de l'oeil) have abnormally high values for the red component (figure 2a)  
302 might due to the fact that these varieties have low anthocyanin levels but still retain the  
303 Chlorophyll pigments. Therefore, it could explain why these leaves retain their green colouring  
304 in contrast to the red grape varieties (see RGB images in Figure 2). Indeed, very low scores for  
305 the third component will translate into a redder and greener colouration of the leaves.

306

307 **FIGURE 2**

308

309 Once, the basic spectral signatures that differentiate between infected and healthy images are  
310 resolved, MCR-ALS analysis of the multiset formed by both infected and healthy training  
311 images (**DaugtrFH**) was performed. In this case, the correspondence among species constraint  
312 was also used since the presence/absence of constituents in each sample was known. From this  
313 information, a matrix containing 72 blocks, (representing the 47 infected and the 25 healthy  
314 training images analysed simultaneously) and 4 columns (representing the number of  
315 constituents: both common blue and red contributions and the specific contributions for each  
316 multiset) coding the presence (1) or absence (0) of each constituent in each image was  
317 introduced as information in the resolution process. The absent constituents in the image were  
318 then forced to have null concentration profiles.

	1	2	3	4
<b>47 blocks Flavescence Dorée</b>	1	1	1	0
<b>25 blocks Healthy</b>	1	0	1	1

319

320 Figure 3 shows the MCR-ALS resolved distribution maps (corresponding to the same images  
321 in Figure 2) and pure spectra of the **DaugtrFH** multiset. The resolved spectra in Figure 3 are  
322 rather similar to the pure spectra obtained from the MCR-ALS analyses of both infected and  
323 healthy multisets (see Figure 2). Blue distribution maps refer to absent constituents in images  
324 and the rest of the maps are consistent with those obtained in Figure 2, matching the relative  
325 concentration of the different constituents in the images. A rather similar fit to previous MCR-  
326 ALS analysis (see Table 2) was obtained ( $r^2\% = 99.96$ ), strongly supporting the MCR results.  
327 The introduction of the correspondence among species constraint does not perturb the natural

328 behaviour of the dataset. On the contrary, it improves the accuracy of the resolved profiles and  
329 reduces ambiguity.

330

### 331 **FIGURE 3**

332

333 In order to validate this model, distribution maps related to the multiset structure containing all  
334 the test dataset (**DtestFH**) were calculated by a single non-negative least-squares step using the  
335 pure spectra obtained in Figure 3 (**S<sup>T</sup>trFH**). Satisfactory results (calculated  $r^2 = 99.95$ ) with  
336 consistent distributions maps (data not shown) are obtained, validating the MCR results.

337 Now, the basic spectral signatures and distribution maps of pure compounds contributions of  
338 both infected and healthy images can be estimated. However, some components related to the  
339 two different class types (infected and healthy pixels) may overlap. Thus, this unsupervised  
340 method is not sufficient to distinguish between these two class-type, and hence an appropriate  
341 method for discrimination is required. Therefore, the MCR scores of both training (**CaugtrFH**)  
342 and test (**CaugtestFH**) sets were used for discriminant analysis. In practice, the FDA enables  
343 to determine the relation between these scores and the most probable class of the samples.

#### 344 **4.2. FDA model. Class assignement at pixel and leaf scale.**

345 The FDA model is calibrated based on the MCR scores of training dataset (**CaugtrFH**). Once  
346 the MCR/FDA model is estimated, it was used to predict the class (infected or healthy) of each  
347 pixel in the test dataset (**CaugtestFH**). At pixel level, the discrimination rate (CR) of test set is  
348 equal to 85.1%. Both infected and healthy test pixels were correctly classified into their  
349 corresponding class with more than of 75% and 95% in accuracy, respectively. For infected  
350 pixels, a lower CR value is obtained, consequently, the model present a lesser ability to  
351 determine infected than healthy pixels. This can be attributed to the labelling process. Indeed,



352 leaves affected by Flavescence dorée are entirely labelled as infected, *i.e.* every pixel of the leaf  
353 is labelled the same. Indeed, at early stages, infected leaf images most likely include healthy  
354 pixels or pixels presenting slight symptoms that were labelled in a single infected class and then  
355 used in the calibration. Therefore, the model for FD could be depreciated by the presence of  
356 healthy samples, hence the lesser accuracy in discrimination.

357 In order to better evaluate the capacity of the MCR/FDA strategy to discriminate between  
358 infected and healthy leaves, pixel-wise decisions are summarised at the scale of the leaf. Indeed  
359 the chemical information is relevant/ consistent at the scale of the spectrum/pixel. However, on  
360 a pythopathological view, it is more sensible to consider at the scale of an organ (*i.e.* the leaf in  
361 this case). Considering the characteristics of the symptoms and the development of the disease,  
362 it is proposed to consider that a leaf is infected if more than 50% of its pixels are classified as  
363 such. Therefore the CRs for images are calculated as the percentage of correct predictions to  
364 the total number of pixels for each image (see Table 3). On the other hand, a healthy leaf should  
365 exhibit in total very few abnormal pixels. Therefore, it is considered that a leaf is healthy if 75%  
366 of its pixels are healthy (to take into account the fact that some part of the leaf could be less  
367 vigorous but still unaltered by any disease).

368

### 369 **TABLE 3**

370

371 From Table 3, a satisfactory CR higher than 74 % for all healthy leaves can be observed except  
372 for the image *DtestgH2* (63.3 %). Similar results are obtained for infected leaves. Only the  
373 image *DtestcoF2* presents a CR lower than 50 %. However, *DtestgF7*, *DtestfF1*, *DtestcF1*,  
374 *DtestIF2* and *DtestF3* show also CR lower than 60 %. This lesser accuracy for infected images  
375 could be explained by the greater variability induced by the diversity of severity stages of the  
376 pathology. In addition, the model was calibrated using a single multiset, that included diverse

377 red and white grape varieties that exhibit different visible symptoms. Nevertheless, since  
378 discrimination results obtained for almost all images are satisfactory, the MCR/FDA strategy  
379 could be considered adequate and future leaves are expected to be properly classified into their  
380 corresponding class.

381 For a better evaluation of the lowest CR results of both **DtestcoF2** and **DtestgH2** images, Figure  
382 4 a and b shows their predicted distribution maps (**CtestcoF2** and **CtestgH2**, respectively)  
383 alongside their corresponding RGB images. It can be seen that **DtestcoF2** which presents only  
384 25.2 % of infected pixels exhibits early and slight symptoms (as shown by a general low-level  
385 green hue), and hence its uncertain state could explain its low accuracy. Likewise, **DtestgH2**  
386 image does not have the appearance of a healthy leaf due to the presence of some stains  
387 (possibly confounding factors such as stresses or fungal diseases). This suggests that possibly  
388 a binary discrimination assignment without an external class for confounding factors is  
389 insufficient for this application, which could also explain the lower CR for this particular leaf.  
390 Moreover, as way of example Figure 4 c and d shows two examples of good predictions for  
391 both **DtestgF1** and **DtestgH1** images.

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393

#### 394 **FIGURE 4**

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396 In summary, it can be said that the combination of HSI and the method MCR-ALS with FDA  
397 model proved to be efficient to distinguish between infected and healthy images. However, to  
398 evaluate the discriminant potential of the proposed approach, larger data sets showing a greater  
399 variability of symptoms and infection stages is required. Moreover, confounding factors such  
400 as abiotic stresses or other phytopathology exhibiting similar symptoms should be also tested.

401 Ultimately, the processing of images representing canopies rather than isolated single leaves  
402 should be taken into consideration to guarantee its feasibility in field conditions.

403

## 404 **5. Conclusions**

405 The strategy of combining MCR-ALS and FDA proved its interest for the discrimination  
406 between healthy and infected leaves by Flavescence dorée based on the use of hyperspectral  
407 images. For the first time, this strategy was applied as a phytopathology detection approach.

408 MCR-ALS enables to extract some relevant signatures that can discriminate healthy leaves from  
409 leaves infected by Flavescence dorée. The pure component resulting from this model can be  
410 interpreted concerning the visible symptoms of FD and to some associated physiochemical  
411 disruptions. The relative abundances of these components within the leaves (MCR scores) can  
412 be processed with FDA and provide an efficient discrimination of the leaves.

413 To improve the proposed strategy and reach a practical application in viticulture, some aspects  
414 such as confounding factors, progressive infection stages and feasibility in the field should be  
415 taken into account. Another development to improve these results, would be to upgrade the  
416 labelling process, e.g. by selecting areas of the leaves clearly identified as infected rather than  
417 assigning a class to the whole leaf. Nonetheless, Hyperspectral imaging combined with the  
418 proposed data processing approach has the potential to be a valuable strategy to detect grapevine  
419 diseases.

## 420 **6. Acknowledgements**

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## 422 **7. Conflict of interest**

423 The authors report there are not conflicts of interest

424 **8. Bibliography**

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474 **9. Figure captions**

475 **Figure 1.** MCR application to a) an individual hyperspectral image, b) an image multiset  
 476 structure.

477 **Figure 2.** MCR-ALS results for a) the multiset of training infected dataset (**DaugtrF**) and b)  
 478 the multiset of training healthy dataset (**DaugtrH**). Left plots: related MCR-ALS distribution  
 479 maps with their corresponding RGB images. Right plots: resolved pure MS spectra. Varieties  
 480 in italics correspond to *white varieties*.

481 **Figure 3.** MCR-ALS results for the multiset of both infected and healthy training (**DaugtrFH**).  
 482 Left plots: related MCR-ALS distribution maps with their corresponding RGB images. Right  
 483 plots: resolved pure MS spectra. Varieties in italics correspond to *white varieties*.

484 **Figure 4** Predicted distribution maps of: a) **DtestcoF2**, b) **DtestgH2**, c) **DtestgF1** and d)  
 485 **DtestgH1** images with their corresponding RGB images.

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491 **Table 1.** Total number of leaves images selected from the different varieties and the number  
 492 of images both in the training and in the independent test set for the MCR-ALS/FDA models  
 493 (see section 3.2 for more information).

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Varieties	Flavesc. Dorée			Healthy		
	Total	Training	Test	Total	Training	Test
Gamay (g)	19	12	7	10	7	3
Fer (f)	10	7	3	5	3	2
Duras (d)	9	6	3	3	2	1

<i>Chardonnay (c)</i>	12	8	4	11	7	4
<i>Colombard (co)</i>	10	7	3	5	3	2
<i>Loin de l'œil (l)</i>	10	7	3	5	3	2

Varieties in italics correspond to *white varieties*

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512 **Table 2.** Number of resolved components and variance explained by MCR-ALS analysis of  
513 **DaugtrF** and **DaugtrH** multiset structures.

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Multiset	Explained variance	Resolved components
<b>DaugtrF</b>	99.91 %	3
<b>DaugtrH</b>	99.97 %	3

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532 **Table 3.** Discrimination Rate (CR) of infected and healthy images of the test dataset.

<b>Infected Dabcd*</b>	<b>CR</b>	<b>Healthy Dbacd*</b>	<b>CR</b>
<b>DtestgF1</b>	95.1	<b>DtestgH1</b>	97.7
<b>DtestgF2</b>	94.2	<b>DtestgH2</b>	63.3
<b>DtestgF3</b>	93.2	<b>DtestgH3</b>	74.7
<b>DtestgF4</b>	90.8	<b>DtestfH1</b>	94.6
<b>DtestgF5</b>	73.5	<b>DtestfH2</b>	99.9
<b>DtestgF6</b>	78.6	<b>DtestdH1</b>	99.3
<b>DtestgF7</b>	53.8	<b>DtestcH1</b>	99.9



<b>DtestfF1</b>	<b>53.1</b>	<i>DtestcH2</i>	99.9
<b>DtestfF2</b>	88.4	<i>DtestcH3</i>	99.4
<b>DtestfF3</b>	83.9	<i>DtestcH4</i>	99.9
<b>DtestdF1</b>	93.9	<i>DtestcoH1</i>	97.2
<b>DtestdF2</b>	90.4	<i>DtestcoH2</i>	98.9
<b>DtestdF3</b>	94.0	<i>DtestlH1</i>	100
<b>DtestcF1</b>	<b>53.5</b>	<i>DtestlH2</i>	99.8
<i>DtestcF2</i>	73.8		
<i>DtestcF3</i>	86.4		
<i>DtestcF4</i>	91.3		
<b>DtestcoF1</b>	<b>51.7</b>		
<b>DtestcoF2</b>	<b>25.2</b>		
<i>DtestcoF3</i>	94.2		
<i>DtestlF1</i>	81.7		
<b>DtestlF2</b>	<b>55.6</b>		
<b>DtestlF3</b>	<b>50.1</b>		

\*Image code Dabcd; a= training (tr) or test ; b=variety; c=flavescence doré (F) or healthy (H) and d=sample number  
 Varieties in italics correspond to *white variety*. Images in red present low CR results.

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