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## Spatialization of saturated hydraulic conductivity using the Bayesian Maximum Entropy method: Application to wastewater infiltration areas

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1 Title: Vertical 2D spatialization of saturated hydraulic conductivity by integrating ERT and infiltration  
2 test into a Bayesian Maximum Entropy data fusion method: Application to wastewater infiltration  
3 area

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12

13 Abstract:

14 Wastewater treatment, a major issue at the European level, focuses on improving surface and  
15 groundwater quality, preserving the receiving environment and ensuring a sustainable use of water.

16 Soil infiltration is increasingly practiced downstream of wastewater treatment plants, particularly in  
17 rural areas without surface water bodies, as is the use of soil as an additional buffer and treatment  
18 step. However, the design of infiltration areas on heterogeneous soils remains an extremely complex  
19 task due to the costly and time-consuming spatial measurement of saturated hydraulic conductivity  
20 ( $K_s$ ). This article proposes integrating 2D electrical resistivity tomography and infiltration tests into a  
21 Bayesian Maximum Entropy method, yielding a vertical mapping of soil heterogeneities at a metric  
22 scale. This updated method will facilitate infiltration area design in a heterogeneous soil setting.

23 Keywords:

24 Wastewater treatment plant, design, Bayesian Maximum Entropy, saturated hydraulic conductivity,  
25 electrical resistivity tomography, infiltration test.

26

27           **1. Introduction**

28   Despite the advances achieved in wastewater treatment plants over the last few decades, treated  
29   wastewater (TWW) can still exert a strong impact on downstream receiving rivers (Aubertreau *et al.*,  
30   2017). This is especially true in French rural areas, where treatment plants are mainly dedicated to  
31   treating carbonaceous pollution due to their small capacities (< 1,000 population equivalent,  
32   (MEDDE, 2015)). Consequently, the application of an extensive process like soil infiltration has  
33   become increasingly practiced as an option to provide tertiary treatment; it consists of TWW  
34   discharge over a large surface area (trenches, ponds, basins or meadows), allowing for gradual  
35   infiltration through the soil. The pollutants (nitrogen and phosphorus) are naturally treated by  
36   biodegradation processes or retained in the soil. The design and management of a TWW infiltration  
37   area is mainly based on an estimation of the soil saturated hydraulic conductivity (Ks) in order to  
38   calculate the discharge capacity and evaluate its treatment potential (Siegrist, 2014). However, Ks  
39   remains one of the most difficult soil properties to determine (Mahapatra *et al.*, 2020) and its spatial  
40   variability can significantly influence TWW infiltration (Zhang *et al.*, 2019). Infiltration tests are  
41   usually carried out using the Porchet constant head method, which outputs direct and local  
42   measurements of Ks and requires 1 to 4 hours per test depending on the soil type. For  
43   heterogeneous soils, the estimation of Ks requires numerous measurements to establish confident  
44   predictions of TWW discharge (Warrick *et al.*, 1977); this protocol can prove to be invasive and time-  
45   consuming. Nevertheless, an incorrect estimations of Ks could lead to malfunctions in the TWW  
46   infiltration areas via: i) premature clogging (McKinley and Siegrist, 2011), ii) over-infiltration and  
47   groundwater contamination, and iii) under-infiltration leading to puddling and olfactory nuisances  
48   (Morugán-Coronado *et al.*, 2011).

49   For the estimation of Ks variability, Bisone *et al.* (2017) proposed using geophysical methods on  
50   TWW infiltration areas with a subjective delineation of heterogeneity in order to locate a few  
51   infiltration tests for an optimal design. Geophysical methods allow visualizing soil structures through  
52   the measurement of a given physical parameter (wave speed, electrical resistivity (ER), elasticity)

53 (Romero-Ruiz *et al.*, 2018). Such methods are non-intrusive and yield physical information on large  
54 soil volumes yet still involve significant uncertainties (Loke *et al.*, 2013). In the environmental  
55 sciences for near-surface (0-2 m) investigations, electrical resistivity tomography (ERT) is a widely  
56 used method whenever 2D vertical information is required (Hellman *et al.*, 2017).

57 The ER signal is a function of a number of soil properties, including: the nature of solid constituents  
58 (particle size and distribution), the arrangement of voids (porosity, pore size distribution,  
59 connectivity), water content, the ER of the fluid, and the temperature (Samouëlian *et al.*, 2005;  
60 Telford *et al.*, 1990). On the other hand, the ER signal has no direct dependence on  $K_s$  (Attwa and Ali,  
61 2018; Weller and Slater, 2019); their physical relationship tends to be specific to the given soil type  
62 and is difficult to transpose directly to heterogeneous soils (Doussan and Ruy, 2009).

63 Only a few articles have explored the notion of using ERT to determine soil  $K_s$  with ER. Two  
64 approaches were found to be extremely attractive: the first employs empirical relationships between  
65 ER and  $K_s$  (Vogelgesang *et al.*, 2020), while the second adds a hydrodynamic model constrained by  
66 geophysics during the inversion process (Farzamian *et al.*, 2015). The former is a simple method yet  
67 still generates a high level of  $K_s$  estimation uncertainty, whereas the latter is probably the most  
68 robust method but requires an extensive numerical approach and tends not to be well adapted to  
69 TWW infiltration area design.

70 The 2D estimation of  $K_s$  from geophysical measurements and point measurements necessitates the  
71 use of other emerging methods for simple and robust applications, e.g. data fusion methods (Dezert  
72 *et al.*, 2019; Li *et al.*, 2019). Data fusion refers to the process of integrating multiple data sources in  
73 order to produce more accurate and useful information. Until now, no paper has yet to be published  
74 regarding data fusion between ERT and  $K_s$ .

75 Among all data fusion methods, Bayesian Maximum Entropy (BME) seems to be the best adapted in  
76 considering the datasets: ERT data (dense with high uncertainty, hard data), and infiltration data  
77 (reliable but sparse, soft data) (Christakos *et al.*, 2002). BME is a nonlinear spatial estimator that

78 rigorously accounts for spatial variability and the non-Gaussian characteristic of uncertain data (here,  
79 uncertainty is represented by a variance). Christakos *et al.* (2002) showed that BME is a relevant  
80 method for predicting spatial data encompassing several environmental parameters. For instance, it  
81 has been successfully used to predict water table variations (D'Or *et al.*, 2001) and estimate soil  
82 salinity (Douaik *et al.*, 2005). These examples suggest that the BME method is suitable to estimate  
83 2D-Ks maps. The aim of this paper is to merge Ks and ERT measurements in order to obtain the most  
84 accurate estimation of Ks, thus providing new TWW infiltration area design elements.

## 85 **2. Materials and methods**

### 86 **2.1. General methodology**

87 The methodology adopted herein to evaluate the benefit of BME is based on a classical approach  
88 widely used in the geophysical literature (Radulescu *et al.*, 2007); it is composed of three steps:

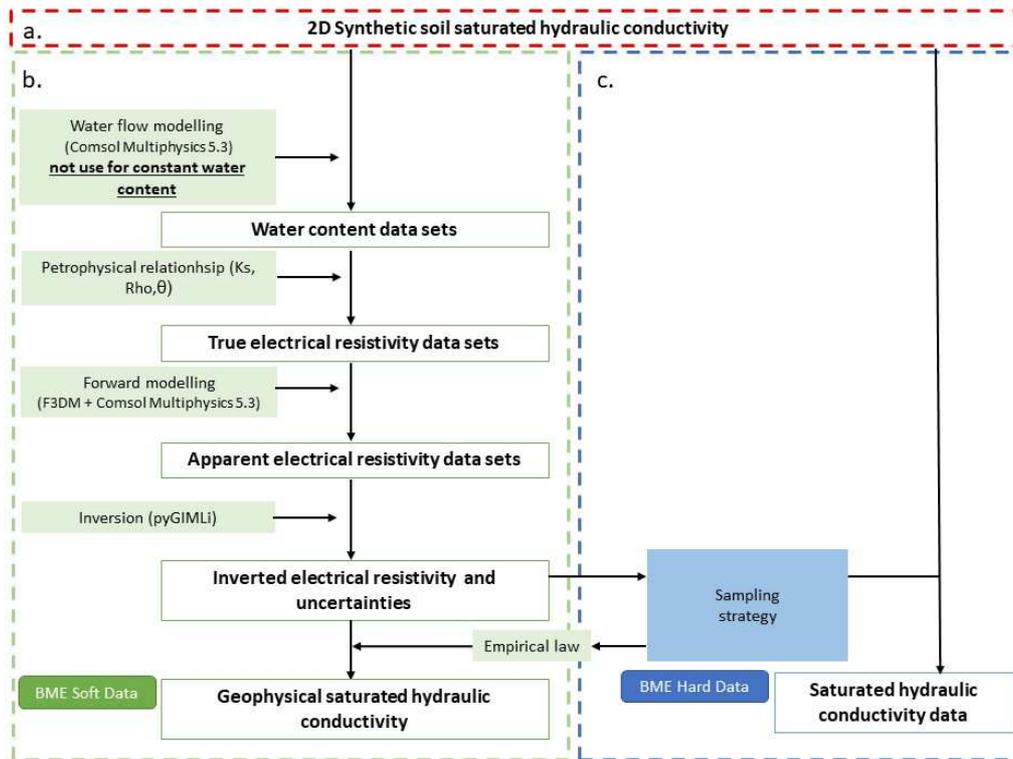
- 89 • The first step consists of constructing synthetic datasets based on three synthetic Ks reference  
90 models ( $K_{S_{ref}}$ ), and then simulating the ERT measurements and infiltration tests for each  
91 model.
- 92 • The second step adapts, evaluates and determines the BME method limits by means of the  
93 numerical datasets created in the previous step, through:
  - 94 ○ Defining the optimal number of hard data points (14, 24 or 50) for a homogeneous  
95 Water Content (WC) of  $0.25\text{-m}^3\cdot\text{m}^{-3}$ ;
  - 96 ○ Validating a robust sampling strategy of hard data;
  - 97 ○ Assessing the impact of soil moisture variation in the model.
- 98 • The third step validates the BME method on a field dataset.

### 99 **2.2. Datasets**

#### 100 **2.2.1 Synthetic datasets**

101 The synthetic datasets generated from  $K_{S_{ref}}$  (Figure 1a) are organized into both hard and soft data.  
102 Soft data generation consists of simulating the geophysical measurement and deriving hydraulic

103 conductivity, denoted  $K_{S_{Geo}}$ , from ERT (Figure 1b). Hard data, on the other hand, are local  
 104 estimations of  $K_s$  sampled directly from  $K_{S_{ref}}$ ; these data correspond to a simulation of the  
 105 infiltration tests (Figure 1c). As for  $K_{S_{Geo}}$ , the first step entails simulating soil WC based on  
 106 groundwater flow modeling, which will provide a realistic soil WC data distribution (Section  
 107 2.2.1.2.1). In taking WC and soil type into account, petrophysical relationships drawn from the  
 108 literature will be used to calculate true electrical resistivity  $ER_{True}$  (Section 2.2.1.2.2) and simulate  
 109 apparent electrical resistivity ( $ER_{App}$ ) for each  $K_s$  model (Section 2.2.1.2.3.1); next, we will invert  
 110  $ER_{App}$  data to obtain interpreted electrical resistivity ( $ER_{Interp}$ ) (Section 2.2.1.2.3.2). Lastly,  $ER_{Interp}$   
 111 data will be transformed into  $K_{S_{Geo}}$  data (Section 2.2.1.3).

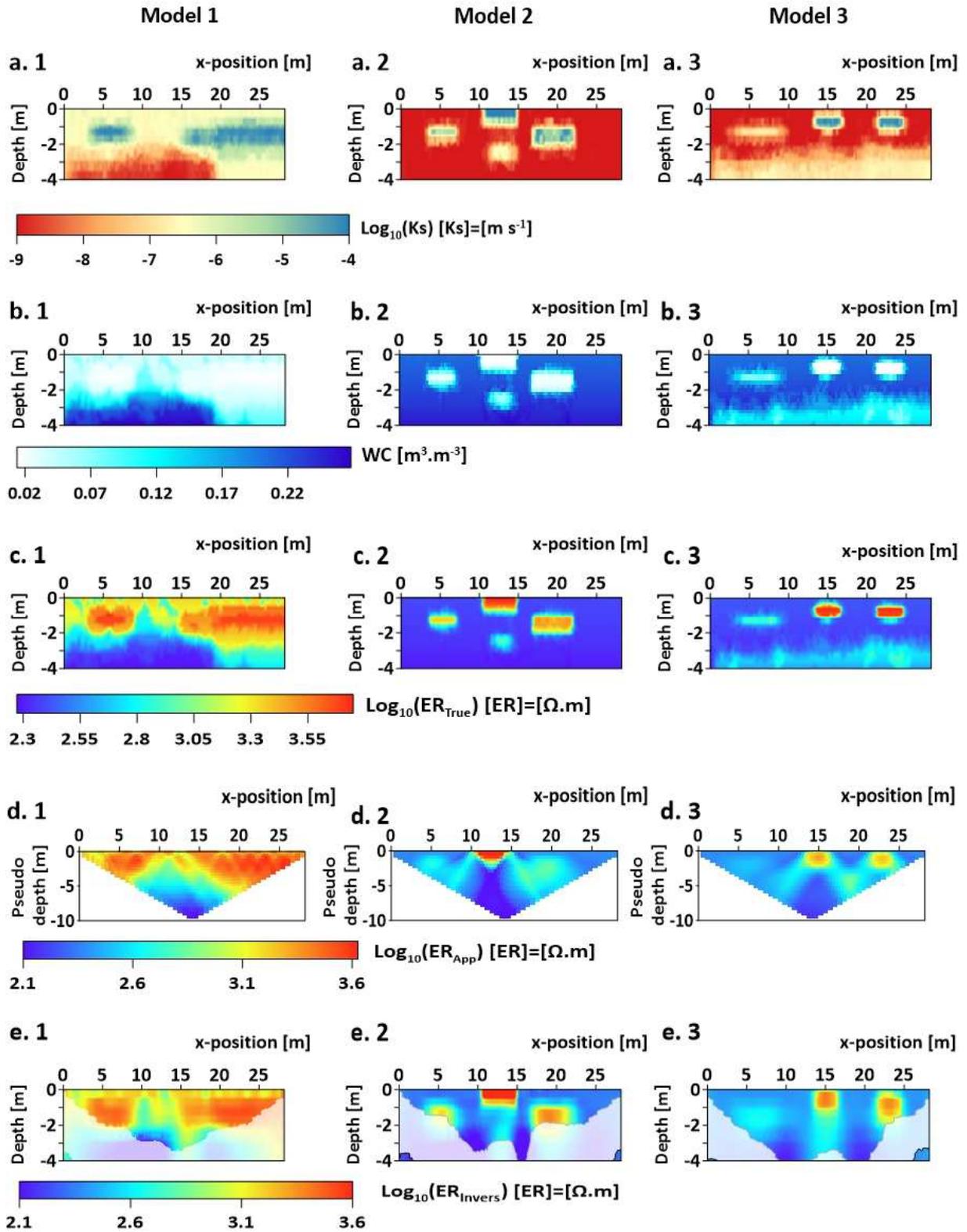


112  
 113 *Figure 1: Schematic diagram for generating synthetic datasets. In green: soft data represent spatial*  
 114 *geophysical measurements; in blue: hard data correspond to infiltration tests ( $K_s$  measurement).*

### 115 2.2.1.1. $K_s$ reference models

116 Figure 2a presents three different  $K_{S_{ref}}$ . We have chosen to show  $K_{S_{ref}}$  anomalies with a metric  
 117 horizontal extension. In the BME framework, all data are introduced in  $\log_{10}$  for purposes of

118 computation. The 3 models of  $\log_{10}(K_{s_{ref}})$  have been grouped in Figure 2a. These three models  
119 have been chosen based on various criteria. The first model is a field case presented in an article  
120 (Bisone *et al.*, 2017a) devoted to a study of an infiltration site. The two other geological  
121 configurations are known to be difficult to reconstruct using ERT (Telford *et al.*, 1990).



122

123 *Figure 2: Three synthetic datasets: a)  $\log_{10}(Ks_{ref})$ , b) WC, c)  $\log_{10}(ER_{True})$ , d)  $\log_{10}(ER_{App})$ , and*

124

*e)  $\log_{10}(ER_{Interp})$*

125                    2.2.1.2.    *Soft data generation*

126                    2.2.1.2.1.   *Subsurface flow modeling: Water content estimation*

127    According to Figure 1b, the first soft data generation step calls for WC simulation using subsurface  
128    flow modeling for variably-saturated soils. As suggested in Audebert *et al.* (2016), we will employ a  
129    single continuum model based on Richard's Equation (Richards, 1931), as completed with Mualem-  
130    van Genuchten's retention model, which expresses the relationship between water pressure and  
131    effective saturation (retention properties) as well as between relative Ks and effective saturation. To  
132    simulate TWW subsurface flow, we ran Comsol Multiphysics 5.4 with a subsurface flow module. The  
133    study domain is a 2D vertical profile 29.2 m long and 6 m high. The water flow boundary conditions  
134    were set as follows: (i) "No flow" on the top and sides; and (ii) "seepage face" for the bottom, as in  
135    Audebert *et al.* (2016). To assign all hydraulic parameters, we extracted from the literature 12 soil  
136    types with known parameters. Considering  $\log_{10}(K_{s_{ref}})$ , for each cell in Table 1, we sought the Ks  
137    corresponding to the closest  $K_{s_{ref}}$  value and assigned the remaining hydraulic parameters ( $\Theta_s$ ,  $\alpha$   
138    and n).

139

140  
141

Table 1: Mualem - van Genuchten parameters and saturated hydraulic conductivity ( $K_s$ ) of soil derived from Rosetta (Schaap *et al.*, 2001)

Mualem-van Genuchten hydraulic parameters				
Textural class	$\Theta_s$ [L <sup>3</sup> .L <sup>-3</sup> ]	$\alpha$ [m <sup>-1</sup> ]	n [-]	$\log_{10}(K_s)$ [Ks]=[m.s <sup>-1</sup> ]
Coarse sand	0.33	7.44	2.96	-3.48
Sand	0.43	14.5	2.68	-6.08
Loamy Sand	0.41	12.4	2.28	-6.39
Sandy Loam	0.41	7.5	1.89	-6.91
Loam	0.43	3.6	1.56	-7.47
Silt	0.46	1.6	1.37	-8.16
Silty Loam	0.45	2	1.41	-7.90
Sandy Clay Loam	0.43	14.5	2.68	-6.08
Clay Loam	0.41	1.9	1.31	-8.14
Silty Clay Loam	0.43	1	1.23	-8.71
Sandy Clay	0.38	2.71	1.23	-8.48
Silty Clay	0.36	0.5	1.09	-9.26
Clay	0.38	0.8	1.09	-8.26

142

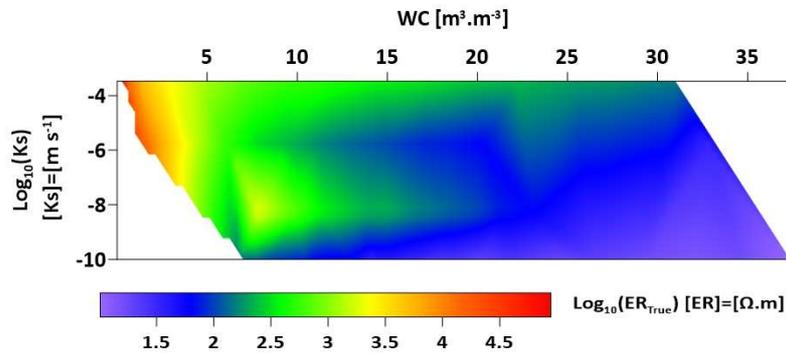
143 To simulate variably-saturated soil, the model was initiated with a saturation set to 1. During a  
144 requisite time interval, the model was left to simulate soil drainage until the water table was close to  
145 4 m deep. This value was chosen so that the given configuration could be considered free of any  
146 water table influence on the infiltration test within the first 2 m of soil. This set-up produced variable  
147 2D-WC maps; for the constant WC model, we simply chose a value of 0.25 m<sup>3</sup>.m<sup>-3</sup>.

148

#### 2.2.1.2.2. Petrophysical relationship

149 Just a few articles in the literature include the petrophysical relationship in determining  $K_s$  with the  
150 true electrical resistivity of soil ( $ER_{True}$ ) (Vogelgesang *et al.*, 2020). Soil WC can be considered as the  
151 most influential parameter on  $ER_{True}$ ; it is necessary therefore to take the variability of WC and  $K_s$   
152 into account in the relationship. According to the literature, potential physical relationships between  
153 ER and WC are specific to a given soil type (Brunet *et al.*, 2010). For this study, we extracted the (WC,  
154 ER) pairs measured in the laboratory for broad sandy, loamy and clayey soil textures (Wunderlich *et*  
155 *al.*, 2013) and associated a  $\log_{10}(K_s)$  value with each relationship (Mallants *et al.*, 2003). A 2D  
156 interpolated map of  $ER_{True}$  was estimated as a function of  $K_s$  and WC (Figure 3). Based on both the

157 WC model and  $\log_{10}(Ks)$  reference model, for each cell estimating  $\log_{10}(ER)$ , the closest WC and  
158  $\log_{10}(Ks)$  values were sought (Figure 2c).



159

160

Figure 3: Results of the interpolation of  $\log_{10}(ER_{True})$  vs. WC and  $\log_{10}(Ks)$

161

### 2.2.1.2.3. Electrical resistivity tomography

162 The ERT measurement has been well described in the geophysical literature (Clement *et al.*, 2020).

163  $ER_{App}$  is measured by injecting electric current into the ground with two current electrodes, in

164 measuring the potential difference between two other electrodes; this device is called a quadrupole.

165 The distribution of ER in the soil is determined by operating many quadrupoles at various positions

166 along a line of electrodes installed at the surface of the soil. At the end of the measurement

167 sequence, all quadrupoles are inverted using an inversion code (Telford *et al.*, 1990).

168

#### 2.2.1.2.3.1. Forward modeling

169 To simulate  $ER_{App}$  on each of the three synthetic ER models (Figure 2c), we ran the Comsol

170 Multiphysics and Matlab F3DM 3.08 package, which is commonly used in geophysics forward

171 modeling with the AC/DC module (quasi-stationary electromagnetic field in accordance with

172 electromagnetic field theory) to evaluate the potential difference induced by the injected current

173 (Clement and Moreau, 2016). A Gaussian noise distribution with a 3% standard deviation relative

174 error was added to the  $ER_{App}$  dataset to simulate the noise commonly recorded in the field (Friedel,

175 2003). An acquisition line of 72 electrodes was implemented at a 0.4-m spacing. A complete

176 sequence of 829 quadrupoles was carried out with Wenner Arrays.

177 2.2.1.2.3.2. Inversion procedure

178 The synthetic  $ER_{App}$  was inverted using pyGIMLi, an open-source multi-method library for  
179 geophysics modeling and inversion (Rücker *et al.*, 2017). A finite element method, relying on regular  
180 grid models, was applied to solve the forward problem in the routine inversion program. An isotropic  
181 smoothness-constrained regularization and a quasi-Gauss Newton optimization method were both  
182 used along with a fixed regularization parameter ( $\lambda= 30$ ,  $Zweight = 1.0$ ). This inversion procedure  
183 produced an  $ER_{Interp}$  map (Günther and Rücker, 2011).

184 2.2.1.2.3.3. Uncertainties

185 An interpreted ERT is not the perfect image of  $ER_{True}$ . The smooth nature of electrical current  
186 implies a loss of resolution when moving away from the electrodes. In some parts of the inverted  
187 model, the ER value of a cell has a very low impact on the measured  $ER_{App}$ . It is therefore of  
188 paramount importance to account for the uncertainty on ERT.

189 The total uncertainty of ER depends on: the inversion process, the measured data, and the loss of  
190 information with depth. Calculating total uncertainty is a long and complex process; consequently, it  
191 is proposed herein to estimate uncertainty by means of simple inversion indicators derived from the  
192 geophysical literature, namely: coverage, resolution radius (Friedel, 2003), or DOI (D. Carrière *et al.*,  
193 2014). All inversion indicator calculations require knowledge of the Fréchet derivative matrix  
194  $G[N \times M]$ , also called the Jacobian matrix or sensitivity matrix (Equation 1):

$$G_{i,j} = \frac{\partial d_i}{\partial m_j} \quad i = [1:N], j = [1:M] \quad \text{Equation 1}$$

195 where  $d_i$  and  $m_j$  are the  $ER_{App}$  data and model parameter, respectively. A single sensitivity value  
196  $G_{i,j}$  indicates the change in apparent resistivity data  $d_i$  with respect to a small change in model  
197 parameter  $m_j$ .  $G$  is used to determine how a change in resistivity of model cell  $j$  affects the measured  
198 value of  $d_i$ . In our case, we have opted for model resolution  $R_M$ , where the  $R_M$  matrix is calculated  
199 by inversion software according to the following formula (Equation 2) (Günther, 2004):

$$R_M = (G^T W_d^T W_d G + \lambda C^T C)^{-1} G^T W_d^T W_d G \quad \text{Equation 2}$$

200 where  $G$  is the sensitivity matrix,  $W_d$  a diagonal matrix containing the data errors,  $\lambda$  the damping  
 201 parameter, and  $C$  the *a priori* model covariance matrix. The diagonal element  $R_{ii}$  indicates how the  
 202 inverted model of ER is resolved. If all diagonal elements of  $R_M$  equal 1, then the "exact model" is  
 203 perfectly resolved. The further the  $R_M$  diagonal element from 1, the poorer the resolution. In  
 204 accordance with the ideas of (Friedel, 2003), a resolution radius for each model cell can be  
 205 determined from the diagonal elements of the resolution matrix  $R_{ii}$ . Let's assume a piecewise  
 206 constant cell resolution with  $r_{Res}^i$  as the radius of a cell circle having a perfect resolution of 1. For a  
 207 cell of dimensions  $\Delta x_i$  and  $l\Delta z_i$ , the resolution radius is defined by Equation 3 below:

$$r_{Res}^i = \sqrt{\frac{\Delta x_i \Delta z_i}{\pi R_{ii}}} \quad \text{Equation 3}$$

208 The resolution matrix  $r_{Res}$  allows assessing the reliability of the inverted models according to the  
 209 degree of resolution specific to each cell.  $r_{Res}$  is therefore the most suitable indicator for  
 210 unstructured or irregular BERT meshes. For this reason,  $r_{Res}$  has been chosen as an uncertainty to be  
 211 introduced into the BME (Section 2.3).

### 212 2.2.1.3. Hard data generation: Hydraulic conductivity sampling method

213 We have sampled hard data  $\log_{10}(K_{S_H})$  by extracting the  $\log_{10}(K_S)$  value from  $\log_{10}(K_{S_{ref}})$  (Figure  
 214 2a). It is assumed that our measurements of  $\log_{10}(K_{S_H})$  have a zero variance. We used  $ER_{Interp}$   
 215 data and a random selection technique to optimize the  $K_S$  sample location, as per the following  
 216 strategy:

- 217 • 50% of the number of points were manually sampled above and below the anomalies.
- 218 • 25% of the points were sampled in the upper part of the  $ER_{Interp}$  map, where  $R_{res}$  has low  
 219 uncertainty. Our  $ER_{Interp}$  maps were divided into three ranges, between the min and max  
 220 values. In each range, an equivalent number of points were randomly sampled.
- 221 • 25% were sampled in the area with the lowest  $r_{Res}$ ; these points were sampled entirely  
 222 randomly, owing to the poor performance of ERT in this area.

223 For this step, the spatialization of  $K_s$  with the BME has been tested with three differing sample  
224 numbers, i.e. 14, 24 and 50.

### 225 2.2.2. Field data

226 The experimental site is located in France and had already been studied in previous articles (Benz-  
227 Navarrete *et al.*, 2019). It comprises a fairly heterogeneous agricultural plot and is relatively tabular  
228 in its sandy-loam surface, presenting sandy lenses in loam over its depth. ERT acquisitions were  
229 carried out using Iris Instruments' "Syscal Pro 72-electrodes" resistivity-meter (IRIS Instruments,  
230 France). The ERT profile was acquired with a Wenner array-type and a 0.25-m electrode spacing. To  
231 cover the proposed profile length (45 m) and maintain reasonable subsurface lateral resolution, the  
232 roll-along acquisition technique was performed with 48 electrode overlaps. The contact resistance  
233 was continuously measured at less than 4 kOhms. The 2D image of the  $ER_{Interp}$  profile was inverted  
234 with the pyGIMLi software (Rücker *et al.*, 2017); a 2D flat inversion was carried out. We chose an  
235 isotropic smoothness constraint, with a Z-weight of 1 and a lambda value of 30 (Günther, 2004; Loke,  
236 1999). Moreover, we used a tetrahedral mesh with 2,920 cells.

237 According to the sampling strategy presented in the previous section, 23 infiltration test locations  
238 were selected. The Aardvark permeameter, developed by Soil Moisture Inc. (USA), was employed to  
239 measure the infiltration rate. From the measured flow rate,  $\log_{10}(K_s)$  could be estimated by applying  
240 Reynolds and Elrick's equation (Elrick *et al.*, 1989).

### 241 2.3. BME fusion method

242 The Bayesian Maximum Entropy (BME) method and its BMElib numerical implementation (Christakos  
243 *et al.*, 2002; Serre and Christakos, 1999) provide a mathematically rigorous framework that  
244 incorporates information from several data sources featuring different uncertainties and point  
245 densities. These data have been organized into both "hard data" corresponding to exact  
246 measurements and "soft data" with a given uncertainty.

247 The spatial random field  $Y(x,z)=\log_{10}(K_S(x,z))$  indicates  $\log_{10}(K_S)$  of the soil at location  $(x,z)$ ,  
 248 where  $x$  (m) is the longitudinal coordinate along our study transect, and  $z$  (m) the depth.  $Y_h$ ,  $Y_s$  and  
 249  $Y_k$  denote  $Y$  at: the hard data points (where  $Y_h$  is obtained from geotechnical measurements), the  
 250 soft data points (where  $Y_s$  is derived from ERT and petrophysical relationships), and the estimation  
 251 point, respectively.

252 BME relies on two principles: (i) maximum entropy theory processes the general knowledge base of  
 253 means and covariances  $G = \{m_Y, cov_Y\}$  and produces a "prior"  $f_G$  PDF (probability density function)  
 254 describing the spatial process; and (ii) Epistemic Bayesian conditioning updates this prior  $f_G$  PDF with  
 255 the site-specific knowledge base  $S$ , which then yields a BME posterior PDF  $f_k$  describing the value  $Y_k$   
 256 at any estimation point. Here,  $S = \{Y_h, f_S\}$ , where  $Y_h = \log_{10}(K_{sH}(x,z))$  is the measurement of the  
 257 hard geotechnical data and  $f_S$  is a PDF describing the uncertainty associated with soft geophysical  
 258 data; moreover, the BME posterior PDF is given by:

$$f_k(Y_k) = A \int f_G(Y_h, Y_s, Y_k) f_S(Y_s) dY_s, \quad \text{Equation 4}$$

259 where  $A$  is a normalization constant.

260 The mean  $m_Y$  of field  $Y(x,z)$  is set to a first-degree polynomial, while the covariance  $cov_Y$  is obtained  
 261 by fitting an anisotropic covariance model to experimental covariance values calculated from the  $Y_h$   
 262 data. A covariance model quantifies the degree of similarity between pairs of measurements in terms  
 263 of their separation distance and the orientation of the line between such pairs. See Chils and Delfiner  
 264 (1999) for details on how covariance models describe the variability of spatial processes; also, Olea  
 265 (2006) provided details on fitting a covariance model to experimental covariance values obtained  
 266 from a covariogram analysis. In this study, the experimental covariance values are calculated based  
 267 on a sample size (of  $Y_h$  measurements). The anisotropy model fitted to these experimental  
 268 covariance values is assumed to be exponential, with a major direction aligned with the  $x$   
 269 (longitudinal) axis, and a ratio of covariance ranging along the major direction over the covariance  
 270 ranging along the transverse direction.

271 The soft data PDF  $f_S(Y_S)$  is obtained by transforming  $\log_{10}(ER_{Interp})$  into  $\log_{10}(K_{S_{Geo}})$ .  
 272 (Mastrocicco et al., 2010) proposed a log-linear relationship between ER and Ks, hence the need for a  
 273 linear regression of the observed  $\log_{10}(K_{S_{Geo}})$  with respect to their corresponding  $\log_{10}(ER_{Interp})$ .  
 274 Then, for each node of the inversion grid where a  $\log_{10}(ER_{Interp})$  is available but for which  
 275  $\log_{10}(K_{S_H})$  was not measured, we set  $f_S(Y_S)$  to a Gaussian PDF with a mean equal to the value  
 276 predicted by linear regression  $Y_S = \log_{10}(K_{S_{Geo}})$ . However, for BME to work efficiently, we must set  
 277 the variance  $\sigma_S^2(x, z)$  of  $f_S(Y_S)$  to a value that captures the uncertainty in the  $Y_S$  obtained from  
 278  $\log_{10}(ER_{Interp})$  at location  $(x, z)$ . This can be accomplished by using the following:

$$\sigma_S^2(x, z) = \frac{r_{res}(x, z)}{\text{mean}(r_{res})} \sigma_{Y_h}^2 + \frac{|z|}{\text{mean}(|z|)} \sigma_z^2 \quad \text{Equation 5}$$

279 where  $r_{res}(x, z)$  is the resolution radius (Section 2.2.1.2.3.3),  $\text{mean}(r_{res}(x, z))$  its mean,  $\sigma_{Y_h}^2$  the  
 280 variance of  $Y_h$ ,  $|z|$  the absolute value of depth,  $\text{mean}(|z|)$  its mean, and  $\sigma_z^2$  a parameter obtained by  
 281 maximizing the  $R^2$  of validation (as explained in previous section). The terms  $\frac{r_{res}(x, z)}{\text{mean}(r_{res})}$  and  $\frac{|z|}{\text{mean}(|z|)}$   
 282 are unitless, while both  $\sigma_{Y_h}^2$  and  $\sigma_z^2$  have units of  $\log_{10}(\text{m}\cdot\text{s}^{-1})^2$ . The first term in Equation 5 is equal to  
 283 the resolution radius normalized to a variance, while the second term is a gradient with depth, also  
 284 normalized to a variance. Combined, these two terms allow the BME to account for the fact that the  
 285 uncertainty in the soft geophysical data increases with both  $r_{res}$  and depth.

## 286 2.4 BME validation strategy

287 We adopted a validation strategy to compare the estimation error of three methods: kriging (of the  
 288 hard data alone), geophysics (i.e. geophysical data alone), and BME (i.e. fusion of both hard data and  
 289 geophysical data). For the numerical simulations, we computed the estimation error by comparing  
 290 the estimated Ks with  $\log_{10}(K_{S_{ref}})$ . Our field study was limited to  $\log_{10}(K_{S_H})$  at  $n_h$  sampled  
 291 locations, in which case we conducted a cross-validation analysis (Lee et al., 2009). The validation  
 292 statistics used to assess model performance were: mean square estimation error (MSE), mean

293 estimation error (ME), variance of estimation error (VE), and square of the Pearson correlation  
294 coefficient  $R^2$  (Christensen, 2018).

### 295 3. Results

#### 296 3.1. Numerical approach

##### 297 3.1.1. Evaluation of hard data number

298 Figures 4, 5 and 6 show the spatial distribution of  $\log_{10}(Ks)$  for synthetic models 1, 2 and 3,  
299 respectively. The three columns in each figure represent the spatialization result when the number of  
300 sampling points where  $\log_{10}[Ks_H]$  has been sampled equals 10, 24 and 50, respectively. The rows  
301 offer the following:

- 302 • Row 1:  $\log_{10}(Ks_{ref})$ .
- 303 • Row 2:  $\log_{10}(ER_{Invers})$  data. The black circles indicate the sampling locations (where the  
304  $\log_{10}(Ks_H)$  data has been sampled from  $\log_{10}(Ks_{ref})$ ).
- 305 • Row 3:  $\log_{10} Ks_{Geo}$ .
- 306 • Rows 4 and 5: results of the spatialization  $\log_{10}(Ks_H)$  data by means of kriging  
307  $\log_{10}(Ks_{Krig})$ , associated with variance map  $\sigma_{Krig}^2$ .
- 308 • Rows 6 and 7:  $\log_{10}(Ks_{BME})$  and the estimated variance map  $\sigma_{BME}^2$ .

309 The columns in each figure present all the results for several  $Ks$  samples ( $nh$ ). Tables 2, 3 and 4  
310 respectively summarize the following statistical tools: MSE, ME, VE, and  $R^2$ , applied to evaluate the  
311 performance of these estimation methods.

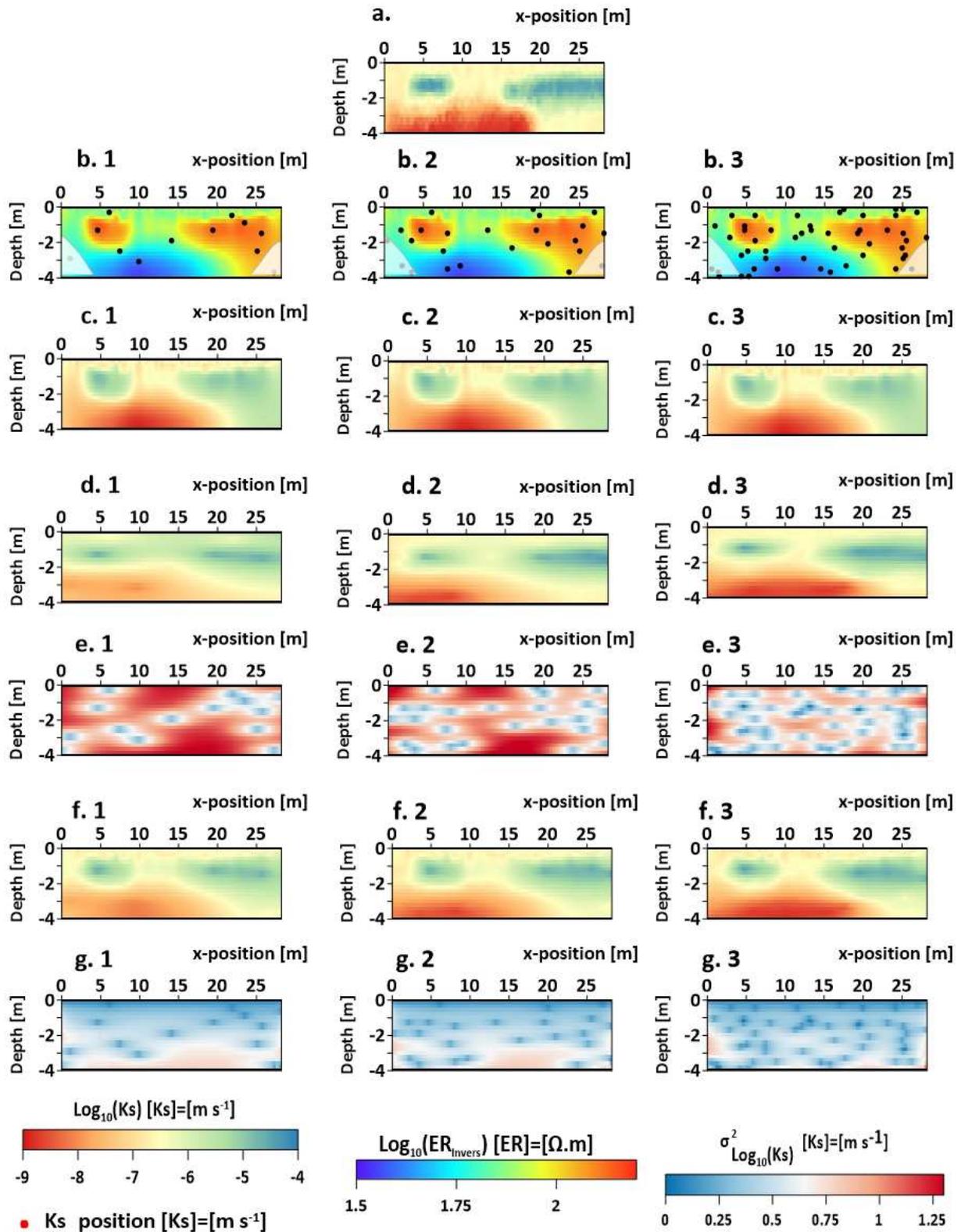
##### 312 3.1.1.1. Model 1

313 Figure 4b.3 shows the  $\log_{10}(ER_{Interp})$  map for a 50-point sampling ( $nh=50$ ) conducted on a two-  
314 layer soil. The surface displays an initial layer (depth: 0-2.5 m) with an estimated  $\log_{10}(ER_{Interp})$  of  
315 1.8. Two anomalies are present in this layer, at  $x = 5$  m and 22 m. The synthetic reference model  
316 (Figure 4a) shows two anomalies with the same thickness, whereas the anomalies are deformed and  
317 stretched downward in Figure 4b. At depth, the layer with  $\log_{10}(ER_{Interp})$  of 1.4 is more reduced

318 than that in the reference model; it starts at the  $x = 2.5$  m position and disappears at the  $x = 17$  m  
319 position. In Figure 4c.3, the  $\log_{10}(K_{S_{Geo}})$  distribution is very similar to the  $\log_{10}(ER_{Interp})$  map. In  
320 Figure 4d.3, the result of kriging based solely on  $\log_{10}(K_{S_H})$  reveals a highly smoothed map;  
321 however, the BME results lie closest to the reference model. The surface anomalies are in the correct  
322 position and the shape of the anomaly is closer to reality (Figure 4f.3).

323 Reducing the number of samples to 24 hard data ( $\log_{10}(K_{S_H})$ ) produces no noticeable change in the  
324 proposed  $\log_{10}(K_{S_{Geo}})$  map. Kriging is more likely to overwhelm the anomalies. The BME fusion  
325 method provides an attractive  $\log_{10}(K_{S_{BME}})$  estimate, with a reasonable number of samples. Let's  
326 note that reducing the number of points at depth reduces resolution of the anomaly at the position  $x$   
327  $= 10$  m and  $z = 3.5$  m.

328 The 14 sampling points are insufficient to cover all anomalies, which obviously influences the kriging  
329 results and geophysical transformation by the empirical law; therefore, the BME fusion method is  
330 closest to the reference model. Indeed, the BME is capable of delineating large sand anomalies, yet it  
331 tends to underestimate the extent of the clay anomaly at depth. This finding can be explained by the  
332 lack of resolution of the ERT method at depth, where information from soft data is less reliable.  
333 Figures 4e and 4g exhibit the variance for both the kriging and BME methods. The information given  
334 by the  $\sigma_{Krig}^2$  map is weak for data close to the  $K_s$  hard value:  $\sigma_{BME}^2$  is minimized compared to  $\sigma_{Krig}^2$ .  
335 All visual results have been confirmed by error estimators, which are more efficient for the BME.



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Figure 4: Spatial estimation of  $\log_{10}(K_s)$  and its variances for Model 1: kriging, geophysical transformation and BME at a constant WC for 14, 24 and 50-point sampling

Table 2: Statistical analysis of the spatialization of Model 1 for the three samples

<b>Model-1</b>					
Method	nh	MSE	ME	VE	R <sup>2</sup>
Kriging	14	0.44	0.28	0.36	0.76
Geophysics		0.23	0.02	0.23	0.83
BME		0.23	0.11	0.22	0.88
Kriging	24	0.27	0.13	0.25	0.83
Geophysics		0.23	0.08	0.23	0.83
BME		0.18	0.09	0.17	0.89
Kriging	50	0.12	0.00	0.12	0.91
Geophysics		0.24	-0.04	0.24	0.83
BME		0.11	-0.03	0.11	0.92

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### 3.1.1.2. Model 2

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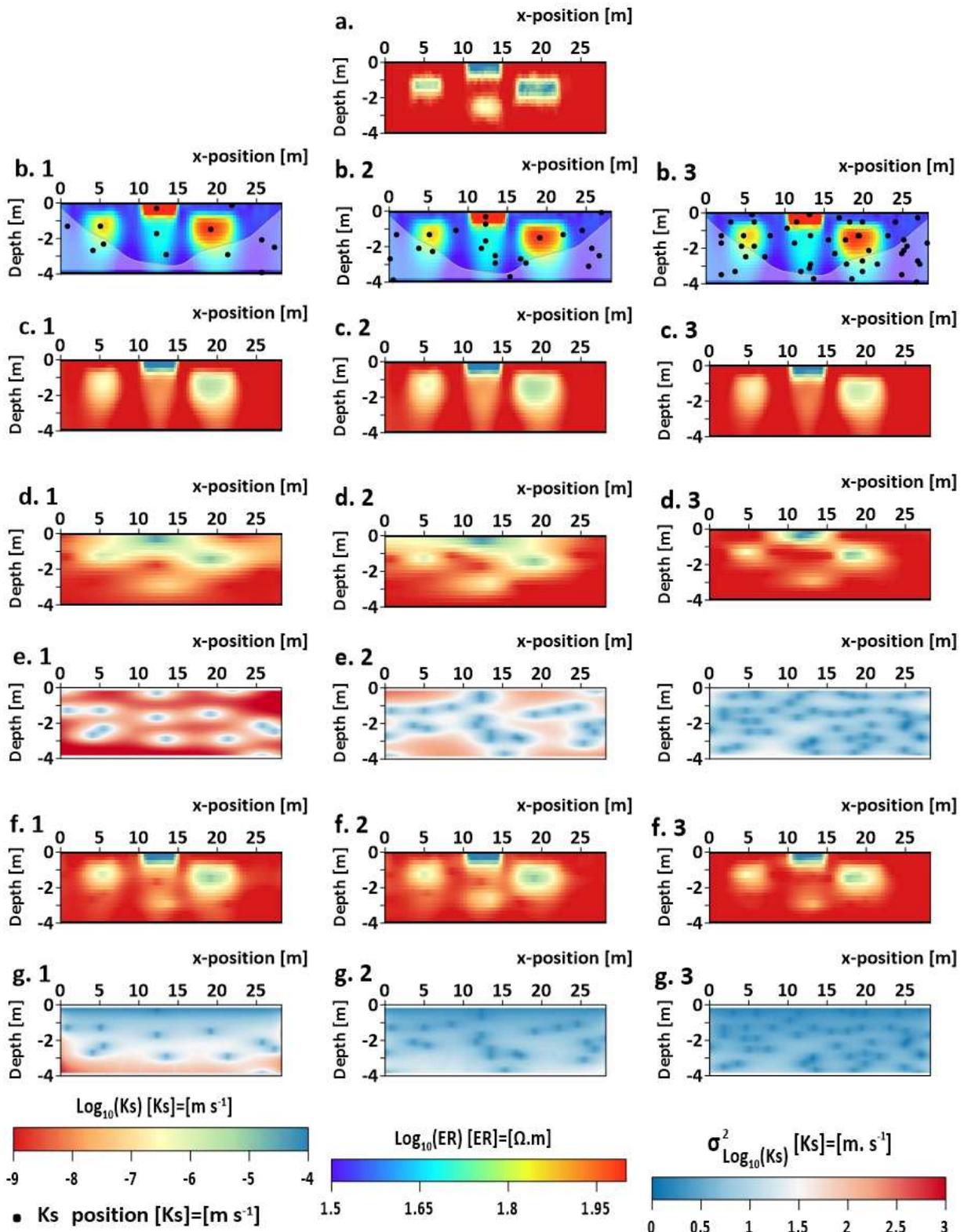
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Figure 5 shows the spatialization of  $\log_{10}(Ks)$ . Figure 5b.3 presents the  $\log_{10}(ER_{Interp})$  map and estimated  $\log_{10}[Ks_H]$  for 50 sampling points. In Figure 5c.3,  $\log_{10}(Ks_{Geo})$  reveals the three clay anomalies on the surface, but the silty anomaly is not apparent. The clay anomalies are stretched towards the bottom. In Figure 5d.3, kriging serves to identify the four anomalies; however, even with such a large sample, kriging merges the two near-surface anomalies at the position  $x = 16$  m. In Figure 5f.3, the anomalies are correctly delineated with BME. We can observe that kriging deteriorates and merges the anomalies. BME would appear to be better with 24 points than with 50 because the loam anomaly is more sharply defined with 24 points. In reality, this outcome is due to the differentiation in the selected sampling points. More specifically, with a minimal number of 14  $Ks$  hard data, results maintain the same trend as with 50 and 24 points. Table 3 shows that for 50  $\log_{10}(Ks_H)$  sampling points, the BME method slightly improves the estimation result in terms of correlation ( $R^2=0.82$  for  $nh=14$  vs.  $R^2=0.84$  for  $nh=50$ ) and targeting (MSE around 0.3). The increase in number of samples (from 14 to 24 points) degrades the kriging estimate in terms of correlation; this trend is linked to the random sampling that in this case has selected points of lesser interest.

357 Regardless of the number of samples, the  $R^2$  calculation demonstrates that BME once again produces  
 358 the highest value in this second model.



360 *Figure 5: 2D estimation of  $\log_{10}(Ks)$  and variances for Model 2: kriging, geophysical transformation*  
361 *and BME at a constant WC for 14, 24 and 50-point sampling*

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Table 3: Statistical analysis of the spatialization of Model 2 for the three samples

<b>Model-2</b>					
Method	nh	MSE	ME	VE	R <sup>2</sup>
Kriging	14	1.52	0.75	0.97	0.43
Geophysics		0.41	0.07	0.41	0.75
BME		0.36	0.26	0.29	0.82
Kriging	24	1.46	0.57	1.14	0.39
Geophysics		0.45	0.22	0.41	0.75
BME		0.36	0.27	0.29	0.82
Kriging	50	0.51	0.03	0.51	0.69
Geophysics		0.40	-0.07	0.40	0.75
BME		0.28	-0.05	0.28	0.84

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### 3.1.1.3. Model 3

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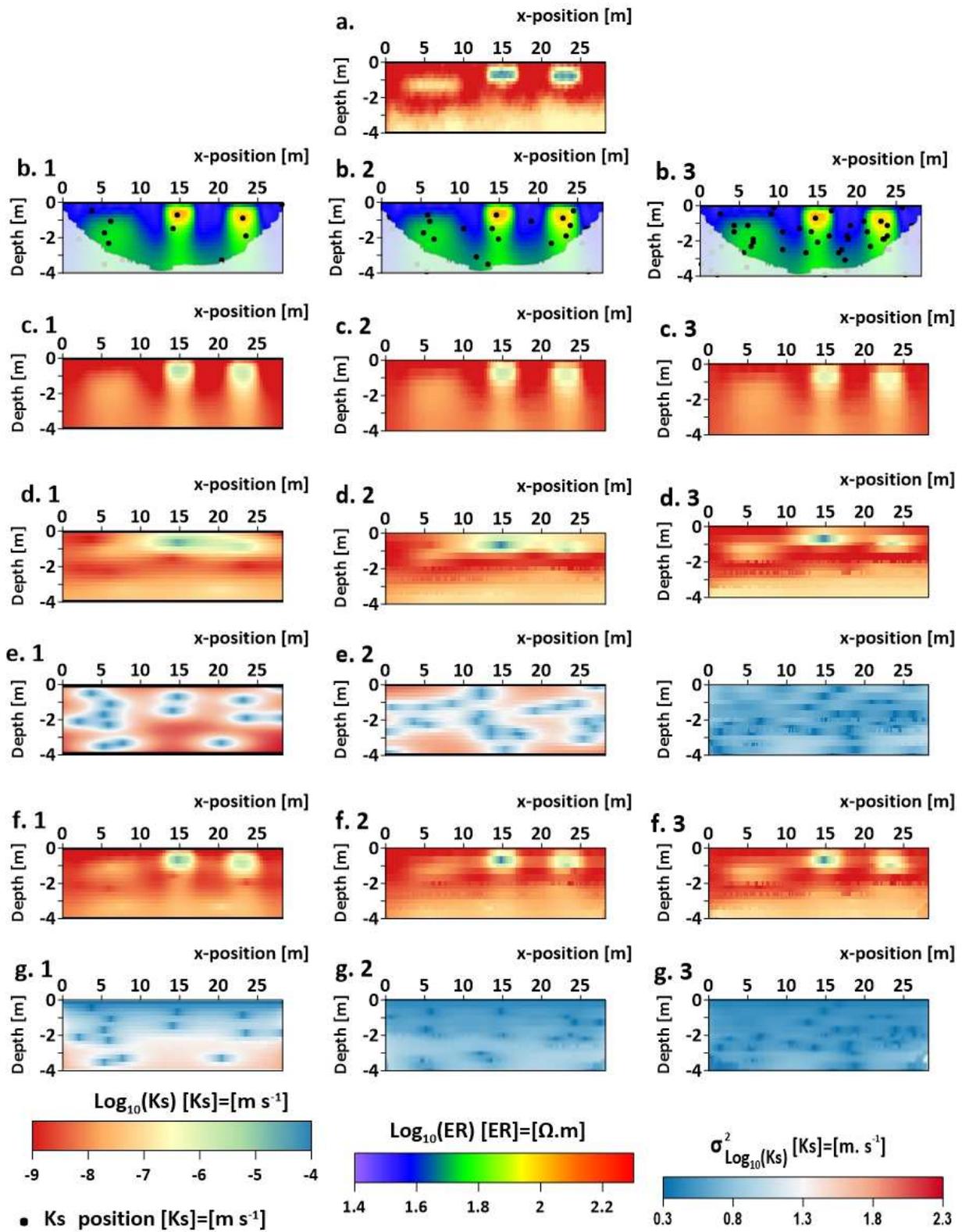
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Figure 6 presents the results of the spatialization of  $\log_{10}(Ks)$ . For 50 points (Figure 6b.3),  $\log_{10}(ER_{Interp})$  indicates the presence of two sand anomalies with a  $\log_{10}(ER_{Interp})$  of 2 and one loam anomaly with a  $\log_{10}(ER_{Interp})$  of 1.7; meanwhile, ERT does not show the deep clay layer. In Figure 6c.3,  $\log_{10}(Ks_{Geo})$  follows the  $\log_{10}(ER_{Interp})$  map. The kriging result in Figure 6d.3 exposes a deep loam layer with well delimited anomalies. At the surface however, the method tends to merge anomalies. The BME results in Figure 6f.3 clearly identify the 3 anomalies as well as the loamy layer. With 24 points, kriging (Figure 6d.2) of the surface anomaly is merged. The near-surface anomalies and deep layer are well distinguished in Figure 6g.2 with BME methods; however, the first anomaly on the left has been attenuated. With 14 points, BME correctly reproduced (Figure 6g.1) the near-surface anomalies except for the loamy layer at depth. Visually, the BME method produces the best results.

Table 4 of the statistical indicators confirms that BME is, regardless of the number of points, always higher than kriging or geophysical transformation. In conclusion, the BME method seems to extract the best information from geophysical transformation and kriging; however, the number of samples does influence the spatialization of  $Ks$  by BME. In taking the results and statistical analysis into account, we feel that 20 infiltration test points offers a valuable number of points.



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Figure 6: Spatialization of  $\log_{10}(Ks)$  for Model 3: kriging, geophysical transformation and BME  
 at a constant WC for 14, 24 and 50-point sampling

Table 4: Statistical analysis of the spatialization of Model 3 for the three samples (14, 24 and 50)

<b>Model-3</b>					
Method	nh	MSE	ME	VE	R <sup>2</sup>
Kriging	14	1.13	0.33	1.02	0.23
Geophysics		0.83	-0.37	0.69	0.43
BME		0.44	-0.13	0.43	0.68
Kriging	24	0.85	0.21	0.81	0.36
Geophysics		0.79	-0.32	0.69	0.43
BME		0.33	-0.11	0.32	0.81
Kriging	50	0.37	0.02	0.37	0.71
Geophysics		0.76	-0.24	0.71	0.43
BME		0.29	-0.08	0.28	0.83

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### 3.1.2. Influence of variable soil WC

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This section will consider the influence of variable soil WC, with 24 hard data values and the three same  $\log_{10}(K_{S_{ref}})$  models. All results are presented in Figure 7; each column of the figure lists all results for the various models.

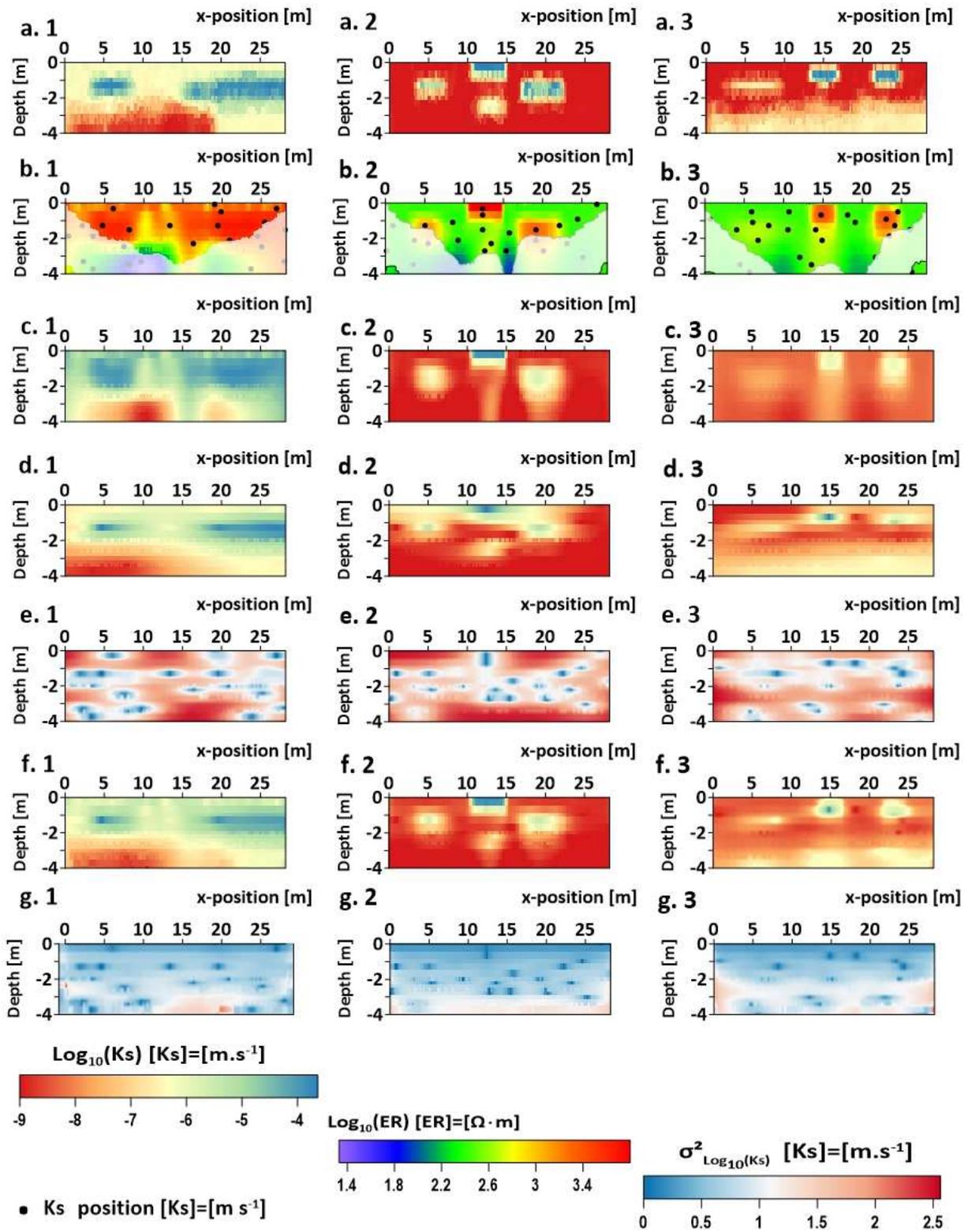
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Figure 7b displays  $\log_{10}(ER_{Interp})$ , which has decreased in depth and increased at the surface. This change can be explained by the variable WC obtained from the groundwater flow model. Model 1 in Figure 7 shows that the simple petrophysical transformation of the data does not highlight the variations in  $\log_{10}(K_s)$ . Let's also note that the anomalies are heavily distorted, along with the presence of an artifact at the 15-m position of the clay anomaly at depth. For Model 2, four anomalies are distinguished, though a deep stretching of the central anomaly can be observed (Figure 7c.2). Model 3 exhibits the three anomalies, but the clay layer is poorly defined; the loamy layer ( $\log_{10}(K_{S_{ref}})=-7$ ) (Figure 7a.3) at depth has been replaced by a clay layer ( $\log_{10}(K_{S_{Geo}})=-9$ ) (Figure 7c.3).

401

The BME method is the one that best estimates the  $\log_{10}(K_s)$  data. Compared to the reference models, the BME spatialization ( $\log_{10}(K_{S_{BME}})$ ) actually reproduces all anomalies of the three distinct models.

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Figure 7: Spatialization of  $\log_{10}(Ks)$  for Models 1, 2 and 3: kriging, geophysical transformation and BME at a variable WC for 24-point sampling

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Table 5: Statistical analysis of spatialization with BME, kriging and petrophysical relationship for all three models

Method	Model	MSE	ME	VE	R <sup>2</sup>
Kriging	1	0.28	0.14	0.26	0.81
Geophysics		0.46	0.10	0.45	0.68
BME		0.29	0.16	0.26	0.82
Kriging	2	1.23	0.38	1.08	0.41
Geophysics		0.48	0.06	0.48	0.70
BME		0.33	0.10	0.32	0.80
Kriging	3	0.42	0.12	0.41	0.66
Geophysics		1.14	-0.14	1.12	0.08
BME		0.43	0.05	0.43	0.77

410

411 Table 5 reports on the statistical tools used to evaluate the performance of estimators (kriging,  
412 geophysics, BME) for all three models. It can be observed that the statistical analysis applied to the  
413 models studied shows a strong correlation between the reference model and the BME method  
414 result. For example, on Model 3, the correlation coefficient R<sup>2</sup> equals 0.77 for the BME vs. 0.66 for  
415 kriging or 0.08 for kriging geophysics. The lower MSE value obtained with BME (0.43) reflects BME's  
416 high accuracy and targeting. Although data from the log<sub>10</sub>(ER<sub>Interp</sub>) map are degraded, this has  
417 nevertheless allowed BME to improve the Ks spatialization, an extremely encouraging result that  
418 underscores BME performance.

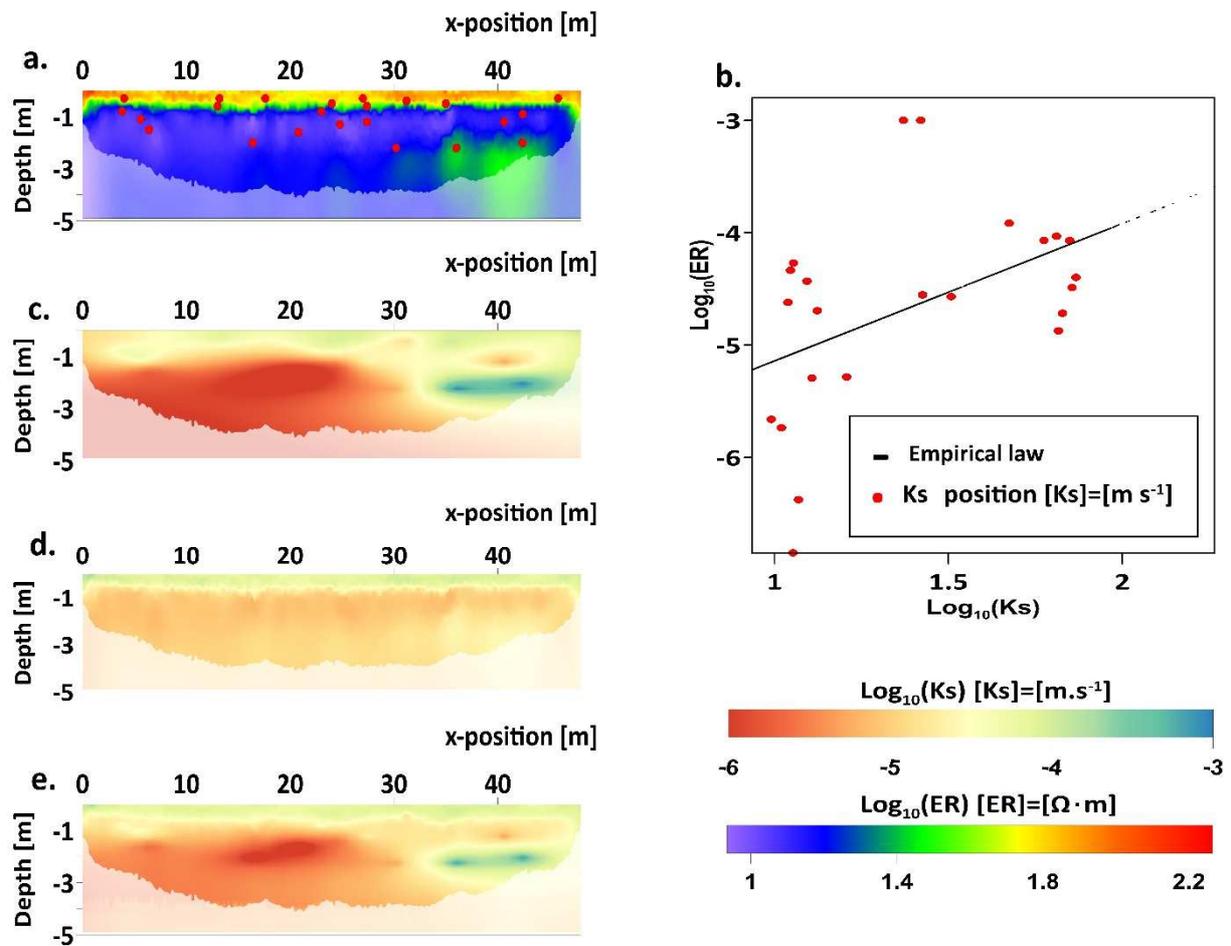
### 419 3.2. Field validation

420 Figure 8 presents the field result of a single ERT profile and 23 infiltration test log<sub>10</sub>(K<sub>S<sub>H</sub></sub>) values. In  
421 Figure 8a, ERT results are presented on a 45-m line; also, the log<sub>10</sub>(ER<sub>Interp</sub>) points of low sensitivity  
422 (coverage < 0.7) are masked. It can be acknowledged that the log<sub>10</sub>(ER<sub>Interp</sub>) measurement in this  
423 area is not realistic. The log<sub>10</sub>(ER<sub>Interp</sub>) data show variations from 1 to 2.2 (log<sub>10</sub>(Ω.m)). Infiltration  
424 tests (as represented by black points) have allowed us to determine that the log<sub>10</sub>(K<sub>S<sub>H</sub></sub>) of the  
425 parcel varies between -3 and -7 (log<sub>10</sub>(m.s<sup>-1</sup>)); this value is standard for Limagne soils, which are  
426 often called "black soil". These soils contain varying clay and sand contents, which explains the  
427 log<sub>10</sub>(K<sub>S<sub>H</sub></sub>) (Bornand *et al.*, 1984). The position of log<sub>10</sub>(K<sub>S<sub>H</sub></sub>) was identified according to the

428 sampling strategy presented above. Figure 8c presents the mapping of  $\log_{10}(K_{S_{\text{Krig}}})$  based solely on  
429 infiltration test data. Observations can be made of: a surface layer with an average value of -5  
430 ( $\log_{10}(\text{m}\cdot\text{s}^{-1})$ ), a deep anomaly (at the position  $x = 20$  m and  $y = -2$  m) with a value of -6 ( $\log_{10}(\text{m}\cdot\text{s}^{-1})$ ),  
431 and another deep anomaly (at the position  $x = 4$  m and  $y = -2$  m) with a value of -3 ( $\log_{10}(\text{m}\cdot\text{s}^{-1})$ ).

432 Figure 8d provides the map of  $\log_{10}(K_{S_{\text{Geo}}})$  from the petrophysical relationship. Let's note the  
433 various layers between 0 and -1 m, with values on the order of  $\log_{10}K_{S_{\text{Geo}}}=-4$  ( $\log_{10}(\text{m}\cdot\text{s}^{-1})$ ). We can  
434 also distinguish a deep layer with values between -5 and -6 ( $\log_{10}(\text{m}\cdot\text{s}^{-1})$ ) inclusive. BME behaves  
435 here as the fusion of kriging and geophysical data. The surface layer is taken into account, and the  
436 deep anomalies highlighted by  $\log_{10}(K_{S_{\text{H}}})$  appear in the final model of  $K_s$ . From a visual standpoint,  
437 BME takes the best features from both kriging and geophysics.

438 Based on cross-validation and statistical analysis (MSE, ME, VE and  $R^2$ ), BME slightly improves results  
439 with a high  $R^2$  correlation index of 0.78 and a low root mean square error of 0.46. According to our  
440 statistical analysis, the BME method proves to be the most highly focused, accurate and correlated  
441 method.



442

443 *Figure 8: Spatialization of  $K_s$  on the data field: a) location map of infiltration tests on the  $\log_{10}(ER)$*   
 444 *profile (RMS = 3.55%); b) empirical law between  $\log_{10}(ER)$  and  $\log_{10}(K_s)$ ; c, d and e) Results of*  
 445 *the spatialization of  $\log_{10}(K_s)$  by kriging, geophysics and BME, respectively*

446

*Table 6: Statistical analysis of the spatialization of  $K_s$  on field data*

Method	MSE	ME	VE	R <sup>2</sup>
Kriging	0.47	-0.08	0.49	0.77
Geophysics	0.51	-0.07	0.53	0.75
BME	0.46	-0.09	0.47	0.78

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#### 448 4. Discussion

449 Previous results lead the ensuing discussion to three points, namely: (i) the lack of validation data for  
 450 BME generalization, (ii) the sampling strategy, and (iii) its future applications.

451

452           4.1.    Lack of validation data

453    Due to the lack of validation data, it is extremely difficult to consolidate these approaches in the  
454    field. This work has proposed a static analysis to evaluate the BME data fusion methodology as a  
455    means of overcoming the absence of validation data. Nevertheless, the statistical indicator for 24  
456    points is not adequately significant, and the method would require validation at other sites with a  
457    larger set of available Ks data. In spite of this fact, the method has allowed obtaining, for the very  
458    first time, an impressive map of Ks based on the available parcel information. To improve these BME  
459    methods in the future, an expanded number of measurements at well-known reference sites will be  
460    necessary. To date, the BME is an efficient method but cannot be definitively generalized.

461           4.2.    Sampling strategy

462    The sampling strategy is based on ERT data for selecting the optimal Ks measurement location in the  
463    field. Such a strategy has proven to be successful but is still capable of being improved. Indeed, ERT  
464    does not recognize all potential anomalies. We have limited this bias by splitting our sampling  
465    strategy into two parts: first, sampling by electrical resistivity (ER) over a range with low uncertainty  
466    for the ERT measurements; then, sampling randomly where uncertainty is high. However, when using  
467    the numerical dataset, an evaluation of the hard data sampling number (Section 3.1.1.2) showed that  
468    14 points could be better than 24 points for the kriging method, which means that the Ks sampling  
469    point location could be improved even further.

470    Sampling remains a highly critical issue, especially with such strong constraints on the number of Ks  
471    measurements; these constraints are time-consuming and therefore expensive. As a result, the  
472    number of tests should be minimized and optimally located when investigating a TWW infiltration  
473    area of use for the BME. This challenge still needs to be addressed in the future. The authors are  
474    convinced that a better sampling protocol will improve kriging and therefore the BME results.

#### 4.3. Future applications and outlook

Ks is an essential parameter for dimensioning a TWW infiltration area; it allows evaluating the maximum TWW discharge load for a specific soil surface. Its main drawback however involves the difficulty in obtaining a sufficient number of Ks measurements (due to both time and financial costs) in field applications. The geophysics and BME approach proposed in this paper was initially suggested to solve such an issue. The method yields an accurate distribution of Ks by fusing 24 experimental measurements of Ks with the ERT method. Yet for infiltration area design, conducting 24 infiltration tests remains too expensive. Consequently, it can only be applied to those cases representing a serious risk for the environment. In order to overcome this method use limitation, we are proposing to focus future research efforts on combining ERT and infiltration tests with less time-consuming methods, e.g. dynamic penetrometer. The BME method could also be generalized to other soil parameters (e.g. WC) and applications, especially in the field of water resources management.

#### 5. Conclusion

This article has proposed a new approach to obtain Ks spatial distribution based on the integration of ERT and infiltration test data in the BME method. This method allows for the fusion of point-specific data, with a null variance (Ks), and distributed data, with a specific variance (ER). We have adapted the BME method to the specificities of both geophysical and geotechnical datasets. The results of this study show that BME is a high-performance method producing maps with a lower variance than any of the other methods tested (kriging, petrophysical relationship). Indeed, BME offers a first-level Ks distribution as well as many new possibilities, namely: i) the development of a new multi-method approach to coupling geophysical and geotechnical methods, ii) application to other fields of geosciences, and iii) use of results in hydrodynamic modeling for TWW infiltration area design.

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