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1 **Title**

2 Assessment of ecosystem services and natural capital dynamics in agroecosystems

3 **Abstract**

4 Increasing the levels of ecosystem services that contribute to agricultural production (ES) is a challenge
5 for the sustainability of agricultural systems. Agricultural advisors lack low-data operational approaches
6 for assessing ES and knowledge to support development of ES-based systems. To fill this gap, we
7 developed an approach that assesses relations between characteristics of agroecosystems and the ES
8 they offer: pollination, pest, weed and disease control, soil structuration, nitrogen and phosphorus
9 supply to crops, water retention and control of erosion. We distinguished four dimensions of ES:
10 potential capacities, real capacities, levels actually used by farmers and dynamics of the natural capital
11 that supports ES provision. We assessed them with a low-data indicator-based method at the
12 agroecosystem level. It provided a score for (i) the quality of the agroecosystem's spatiotemporal
13 configuration, (ii) positive or negative modulations in ES expression caused by agricultural practices, (iii)
14 the farmer's strategy for using ES and (iv) four components of natural capital dynamics (soil quantity, soil
15 organic matter, phosphorus cycling and the biodiversity that supports ES). We demonstrate the interest
16 of the approach by applying it to 34 contrasting agroecosystems in France and subsequently identifying
17 five agriculture models. Analysis of this case study identified several ways to attain high-yield
18 agroecosystems based on anthropogenic inputs, ES or both. We discuss strengths and possible
19 improvements of our approach and highlight key knowledge gaps to examine in future studies.

20 **Keywords**

21 cropping system, agroecosystem, multicriteria evaluation, indicator, biodiversity, farmer strategy

22 **1. Introduction**

23 Modern industrial agriculture depends strongly on synthetic inputs, mechanization and fossil resources
24 (Cumming et al., 2014; Foley et al., 2005). It is now well-known that this production model is the source
25 of high negative environmental impacts (Therond et al., 2017a). Duru et al. (2015b) identified two main
26 pathways to address these environmental issues. The first involves increasing the efficiency of
27 anthropogenic inputs (e.g. pesticides, fertilizers, tillage energy) or replacing anthropogenic inputs with
28 organic inputs. The second involves increasing planned and associated biodiversity and, in turn,
29 ecosystem services to reduce the use of anthropogenic inputs. In the latter pathway, ecosystem services

30 are considered to be production factors to the same extent as anthropogenic inputs because they can
31 ensure the same functions (i.e. counteracting limiting and reducing factors) (Bommarco et al., 2013a;
32 Coomes et al., 2019; van der Linden et al., 2015).

33 While the first pathway remains actively under development in private and public research, the second
34 pathway often relies on deep redesign of agroecosystem structure and functioning, is less supported and
35 still lacks operational knowledge (Duru et al., 2015a, 2015b). However, supporting biodiversity-based
36 systems requires clarifying which biological structures and processes to manage, and how (Garbach et
37 al., 2017; Kremen and Miles, 2012). In other words, there is a need for operational knowledge on current
38 levels of ecosystem services and how to enhance them (Kleijn et al., 2019).

39 Research on ecosystem accounting and mapping is increasing, addresses few ecosystem services and
40 focuses mostly on ecosystem services provided to society (Malinga et al., 2015). When dealing with
41 agricultural issues, however, two beneficiaries of ecosystem services are now commonly distinguished:
42 farmers and society (Therond et al., 2017a; Zhang et al., 2007). Ecosystem services that contribute to
43 agricultural production (ES) are related mainly to soil fertility, biological control and pollination
44 (Bommarco et al., 2013b; Duru et al., 2015b). Three main types of approaches are applied to assess ES:

- 45 - accurate models (e.g. of crop growth, such as STICS (Therond et al., 2017b); of soil erosion, such
46 as RUSLE (Panagos et al., 2015))
- 47 - field experiments and surveys, to assess levels of ES directly (e.g. predation maps (Boeraeve et
48 al., 2020; Petit et al., 2017))
- 49 - indicators of the status of biophysical determinants of ES (which assess levels of ES indirectly),
50 such as the abundance or taxonomic or functional diversity of organisms that support ES, such as
51 pollinators (Potts et al., 2009) and natural enemies (Dainese et al., 2019); landscape
52 characteristics (Burkhard et al., 2012; Martin et al., 2019) and soil organic matter (SOM) content
53 (Vogel et al., 2019)

54 Most ES studies focus on few ES and thus do not capture the whole ES bundle (Wam, 2010). In addition,
55 the methods used may be difficult to apply due to the need for scientific knowledge (e.g. on effects of ES
56 on production), their complexity (e.g. dynamic crop models) or the data and resources required (e.g.
57 experiments, models). These methods describe ES levels in detail but are resource-consuming and
58 difficult to scale up to a large set of farms. To our knowledge, no operational method for assessing ES
59 based on easily accessible and commonly-acquired data exists. However, such a method is required to

60 allow agricultural support institutions to support the development of agriculture based on ES rather than
61 on anthropogenic inputs (Duru et al., 2015b).

62
63 To help address this knowledge gap, we developed a low-data assessment approach to assess levels of
64 ES and identify mechanisms to increase them. After presenting the conceptual framework that underlies
65 our approach and the approach itself, we demonstrate its power of expression by applying it to a case
66 study. We show the ability of our assessment approach to characterize agroecosystems through an ES
67 lens, and thus to identify different agriculture models (i.e. types of farming systems), and how it
68 overcomes limits, highlighted by Therond et al. (2017a), of simplistic and dichotomous classifications of
69 agroecosystems (e.g. organic vs. conventional). Importantly, we analyze the potential trade-off between
70 regulation services and agricultural production (crop yield).

71

72 **2. Conceptual framework**

73 Like Haines-Young and Potschin (2018), we defined ecosystem services as the ecosystem structure (e.g.
74 landscape matrix) or processes (e.g. in the Common International Classification of Ecosystem Services
75 (CICES)): filtration, sequestration, storage, accumulation) from which humans benefit. As Fisher et al.
76 (2009) state, this definition clearly distinguishes ecosystem services, which are functionally connected to
77 ecosystems (e.g. biological regulation), from benefits, which are derived from these services (e.g. crop
78 protection) and part of socio-economic systems. As suggested by Zhang et al. (2007), we distinguished
79 two types of ecosystem services according to the main beneficiaries: society or farmers (see also Duru et
80 al. (2015a); Jones et al. (2016); Therond et al. (2017b)). We then focused on farmer beneficiaries (i.e. ES).
81 Following Nelson and Daily (2010), we considered agricultural production as a good and ES as the
82 processes or functions that support the provision of these goods. A conceptualization that clearly
83 distinguishes goods from services specifies explicitly that anthropogenic inputs and/or ecosystem
84 services can support production of agricultural goods (Figure 1, bottom right).

85 Following Bommarco et al. (2013); Duru et al. (2015b); Garbach et al. (2014); Therond et al. (2017b), we
86 focused on nine ES: pollination (POL); pest (PEST), weed (WEED) and disease (DIS) control; soil
87 structuration (STR); nitrogen (N) supply to crops (NS); phosphorus (P) supply to crops (PS); water
88 retention and return to crops (WATER) and stabilization and control of erosion (ERO). The functional
89 scale studied was an agroecosystem (Swift et al., 1996) which is defined as the soil-plant system(s) within
90 the field area, including the cultivated vegetal cover rotation and the surrounding semi-natural habitats,

91 and the crop managements along the cover rotation (Holland et al., 2017). In other words, it corresponds
92 to the managed agricultural ecosystem with field area and the duration of the rotation as spatial and
93 temporal extents respectively. Potschin and Haines-Young (2011) formalize a cascade that represents
94 relationships between ecosystem structure or processes, ecosystem function, ecosystem services,
95 benefits and human values. For levels of ecosystem services, as suggested by several authors (Burkhard
96 et al., 2014, 2012; Guerra et al., 2014; Haines-Young and Potschin, 2010; Therond et al., 2017b;
97 Villamagna et al., 2013), we distinguished four key dimensions (Figure 1):

- 98 - *Potential capacity* corresponds to the capability of an ecosystem to deliver ecosystem services in
99 a given year (Bastian et al., 2012). It is determined by both the spatiotemporal configuration of
100 vegetation (e.g. crop rotations, cover crops, semi-natural habitats) and the state of key “slow
101 variables”, i.e. manageable characteristics that change slowly over a year (e.g. SOM, soil P
102 content, trophic network state), that determine the level of daily and yearly processes (e.g.
103 nutrient supply, biological regulations). Both the spatiotemporal configuration of vegetation and
104 slow variables determine directly, or indirectly through associated biodiversity (service
105 providers), the supply of ecosystem services (Duru et al., 2015b).
- 106 - *Real capacity*, which corresponds to effective levels of ES over the cropping season (Villamagna
107 et al., 2013). It results from modulation of the *potential capacity* by annual crop management,
108 which directly increases or decreases (i.e. pressure) the expression of ES (Garbach et al., 2014;
109 Gliessman, 2004; Kandziara et al., 2013), or through biodiversity-ES relations (Duru et al., 2015b).
- 110 - *Actual use*, which is the proportion of the *real capacity* that farmers actually use as a production
111 factor (Schröter et al., 2014). It depends on the technology available to take advantage of an
112 ecosystem service (Boyd and Banzhaf, 2007).
- 113 - *Natural capital*, which corresponds to the state of slow variables that determine the *potential*
114 *capacity* (Dominati et al., 2010). Over several years, crop management influences *natural capital*
115 through a positive or negative feedback loop (Dominati et al., 2010; Weyers and Gramig, 2017).
116 Dynamics of *natural capital* (i.e. of the state of slow variables) determine the future *potential*
117 *capacity* of ecosystems to deliver ES and thus dynamics of ES in the middle term (several years to
118 decades).

119

120 **3. Assessment approach**

121 We developed an assessment approach that explicitly considered the four dimensions of the conceptual
122 framework (Figure 2). To do this, we first reviewed the literature on relations between agroecosystem
123 characteristics (hereafter, “determinants”) and the levels of *potential capacity* and *real capacity* of ES
124 (section 3.1). Once relations were identified, we selected, existing or developed indicators of
125 determinants’ degree of influence on ES levels through a second literature review (section 3.2.1). Finally,
126 we developed dimensionless indicators and summed them by ES.

127 *Actual use* of ES depends on the strategies and technologies that farmers use (Bagstad et al., 2013; Duru
128 et al., 2015b). Thus, to assess *actual use* of ES, we characterized how farmers consider and observe an
129 agroecosystem’s state to assess ES before they perform a practice that fulfills a similar function. Indeed,
130 if a farmer is aware of the ES levels available, he/she can adjust the agricultural practices to perform in
131 order to avoid replacing or duplicating an ES that is already provided. We assigned a score according to
132 the precision of the information that farmers use to assess levels of ES (section 3.2.2).

133 *Natural capital* was separated into abiotic and biotic components that help provide ES (section 3.1). The
134 direction and intensity of the dynamics were evaluated for each component using indicators that
135 resulted from modeling and multicriteria evaluation (section 3.2.3). Like for ES capacities, we developed
136 dimensionless indicators and summed of them by component to assess overall dynamics of *natural*
137 *capital*.

138 **3.1. Literature review of determinants of *potential* and *real capacity* and components of *natural*** 139 ***capital***

140 We focused the review on determinants of ES in temperate cropping agroecosystems at the field level to
141 compare similar ecological functioning. We started from five recent qualitative reviews of the main
142 determinants of ES levels (Aguilera et al., 2020; Kleijn et al., 2019; Palomo-Campesino et al., 2018; Rosa-
143 Schleich et al., 2019; Therond et al., 2017b) and supplemented their findings with studies identified by
144 “snowball” searches when details were required. We selected information only from reviews, meta-
145 analyses and multi-site studies to ensure that the assessment approach was robust when applied to a
146 variety of temperate agroecosystems and production situations.

147 The literature review identified that (i) the crop rotation, (ii) soil coverage, (iii) use of species mixtures,
148 (iv) local semi-natural habitats and (v) abiotic soil composition (e.g. SOM content, texture, P content) are

149 elements of the spatiotemporal configuration and composition of agroecosystems that influence the
150 *potential capacity* to provide ES (Table 1; Supplementary Material 1). These determinants can influence
151 ES directly or indirectly by influencing the biodiversity that supports ES (González-Chang et al., 2020).

152 The literature review also identified that (i) insecticide use, (ii) organic-matter application, (iii) tillage, (iv)
153 harvest conditions, (v) non-crop plants that survive tillage and herbicide applications and (vi)
154 conservation agriculture (a combination of diversified rotations, permanent cover crops and reduced
155 tillage) are agricultural practices that can modulate an ecosystem's *potential capacity*, thus determining
156 its *real capacity* (Table 1; Supplementary Material 1).

157 To our knowledge, there is no systematic inventory of the components of *natural capital* that underlie ES
158 (i.e. slow variables that determine ES levels). Thus, based on the literature review and expert knowledge,
159 we assessed dynamics of four key components: soil quantity (which depends mainly on erosion), SOM,
160 soil P balance and the associated biodiversity (service providers) that supports ES. For this last
161 component, we estimated the dynamics of abundance and diversity of the key above-ground and soil
162 organisms that support ES by assessing practices that influence them (Supplementary Material 1,
163 González-Chang et al. (2020); Weyers and Gramig (2017)). Supplementary Material 2 describes relations
164 between the four components of *natural capital* and ES and provides details about mechanisms.

165 **3.2. Indicators and multicriteria assessment of the four dimensions of ES**

166 The indicators used for multicriteria assessment of the four dimensions of ES were either discrete (in five
167 classes) or continuous. In both cases, values were scaled when necessary relative to the corresponding
168 value of the case study region (NUTS 2); thus, the values were dimensionless so they could be
169 aggregated by averaging them. The limits of each indicator were defined according to the range of
170 potential absolute values of each indicator or according to local experts. For example, the maximum
171 percentage of legumes in a rotation was 100%, while the maximum SOM mineralization rate was defined
172 by experts according to that observed in the case study region.

173 **3.2.1. Indicators for the evaluation of an agroecosystem's *potential* and *real capacities***

174 Based on the literature review, we identified or developed a set of consistent indicators to quantify the
175 positive or negative contribution of each determinant on the one hand on the potential capacity and on
176 the other hand, on the real capacity levels of each of the nine ES (Table 2). Each indicator represents an
177 increase or decrease in each ES. Depending on the number of determinants considered for each ES (n),
178 its indicator was based on one variable or a mean of n variables. Indicators of *potential capacity*

179 (hereafter, $I_{\text{potential}}$) ranged from 0 (no contribution) to 1 (maximum positive contribution).
180 Quantifying the $I_{\text{potential}}$ required using information about crop rotations, cover crops, the number of
181 cultivars, the location of semi-natural habitats around the fields and/or soil analyses (i.e. texture and
182 SOM content). For each ES, *potential capacity* was the average of n $I_{\text{potential}}$ scores. Indicators of the
183 modulation of *potential capacity* (hereafter, $I_{\text{modulation}}$) provided information about how agricultural
184 practices influence ES during the year. For each ES, the modulation was the average of n $I_{\text{modulation}}$
185 scores. Adding $I_{\text{potential}}$ ([0; 1]) to $I_{\text{modulation}}$ ([-1; 1]) yielded indicators of *real capacity* ([-1; 2]) for
186 each ES (Figure 2). Quantifying the $I_{\text{modulation}}$ indicators required information about agricultural
187 practices (i.e. fertilization, pesticide application, organic-matter application, crop-residue management),
188 local climate and the number of non-crop plants at harvest and before the first tillage or herbicide
189 application, depending on the farm. We assumed that conservation agriculture that combines diversified
190 rotations, permanent soil cover and minimal tillage provides a synergistic effect (Pittelkow et al., 2015).
191 To reflect this, a bonus score of 1 was added to $I_{\text{modulation}}$ if all three of these conditions were met.
192 Indicators related to semi-natural habitats were calculated at the field level and averaged for the
193 agroecosystem. *Potential* and *real capacity* scores by agroecosystem were aggregated by averaging all ES
194 *potential* and *real capacity* scores, respectively.

195 **3.2.2. Indicators of *actual use* of ES**

196 Farmers can use a variety of actions (e.g. counting natural enemies) or tools (e.g. tensiometers to
197 estimate soil water content) to assess an agroecosystem's ability to provide ES. Thus, for each of the
198 seven ES that can be replaced with an anthropogenic input, we identified the main actions that farmers
199 can perform to estimate the capacity of an agroecosystem to provide a given ES (Table 3). We then
200 ranked them according to the precision of the information provided and assigned them a score from 0-1
201 (i.e. least to most precise). Two experts in agriculture practices defined each action's degree of precision.
202 For example, they considered that the most precise technology available to farmers to assess soil
203 structure is the spade test (even though it is low technology). They also considered that using an
204 unmanned aerial vehicle or remote-sensing robot would allow farmers to estimate the spatial
205 distribution of ES levels precisely and to adjust product doses accordingly. We determined that farmers
206 in the case study had no technology at the field level (i.e. no action) that could replace the pollination or
207 erosion-control ES, so no indicator was calculated for them. *Actual use* scores by agroecosystem were
208 aggregated by averaging all ES *actual use* scores.

209 **3.2.3. *Natural capital dynamics***

210 Among the variety of methods to assess dynamics of the $n = 4$ components of *natural capital* selected,
211 we chose four that could be applied easily to data usually available on farms. *Natural capital* was
212 assessed using dimensionless indicators that expressed the dynamics of its components and ranged
213 from -1 (depletion) to 1 (capitalization).

214 Soil quantity dynamics were assessed using an index of the potential sensitivity to erosion, which equals
215 the product of erodibility and exposure indexes for all crops in a rotation (van Dijk et al. (2016);
216 Supplementary Material 4). It is based on monthly rainfall, soil bulk density, SOM content, the proportion
217 of area covered by vegetation and the spatial structure of vegetation. According to Rosenfelder and van
218 Dijk (2014), index values of 0.015 and 0.022 are considered acceptable and very high, respectively. From
219 this index, we developed an indicator of potential sensitivity to erosion ([-1; 1]) with five classes that
220 ranged from high to unlikely.

221 The trend in SOM was estimated from the trend in soil carbon content predicted by the AMG model
222 (Clivot et al., 2019), considering the climate from 1997-2017. AMG explicitly simulates a rotation of crops
223 and intercrops, the type and amount of organic matter applied and crop-residue management (Andriulo
224 et al., 1999). The slope of a linear regression of AMG's predictions of annual SOM were transformed into
225 an indicator of the trend in SOM. A positive or negative trend (i.e. score) indicated capitalization or
226 depletion of soil carbon, respectively (Supplementary Material 5). We centered the data and defined five
227 classes from the data's quintiles.

228 Due to the difficulty in estimating dynamics of the soil P stock, we considered the annual balance
229 between the amount of P exported in harvested straw and grain and that imported by mineral
230 fertilization, organic-matter application and straw left in fields (Supplementary Material 6). The indicator
231 expressed the extent to which the cropping system increases or decreases the soil P stock. A positive or
232 negative balance indicated soil P capitalization or depletion, respectively. We centered the data and
233 defined five classes from the data's quintiles.

234 The dynamics of biodiversity were estimated using a composite index of indicators that, based on a
235 literature review (Table 1), provided information about average effects of the determinants and
236 agricultural practices considered on six key communities of service providers: natural enemies of pests,
237 pollinators, granivores, soil animals, soil bacteria and soil fungi (Table 4, Supplementary Material 1;
238 González-Chang et al., 2020). These service-provider communities are influenced by the spatial and
239 temporal configurations and compositions of the ecosystem, SOM content and crop management.

240 Indicators of effects of each determinant on each community ($[-1; 0]$ or $[0; 1]$, Table 4) were summed to
241 estimate a score for each community. To assess the dynamics of all biodiversity that supports ES, these
242 indexes were summed and then divided by the sum of maximum possible scores of the six communities.
243 Because the number of determinants that influence each community can differ, this method aggregated
244 information into a single score. A negative score indicates an expected middle-to-long-term reduction in
245 biodiversity due to crop management.

246 *Natural capital* dynamics scores by agroecosystem were aggregated by averaging the scores of the four
247 components.

248 **4. Example of application**

249 **4.1. Materials and methods**

250 **4.1.1. Case study and data collection**

251 Twenty-eight farms in the Grand Est region (NUTS2) of France were chosen by advisors from the
252 Regional Chamber of Agriculture to represent a wide range of rotation complexity and input intensity in
253 agroecosystems (Supplementary Material 7). The systems selected ranged from an irrigated
254 monoculture of maize to a complex rotation in organic and conservation agriculture (Supplementary
255 Material 8). Data required to apply the approach (Supplementary Material 9) came from a farmer survey
256 conducted in 2019 and the farmers' computer-based management tool (2018 data). In the latter,
257 farmers enter the crop rotation, cover crops and practices (i.e. sowing, tillage; pesticide and fertilizer
258 applications) each year. Along with the survey, each farmer was interviewed for ca. 1.5 h. The survey was
259 used to identify one or two main types of agroecosystems on each farm, the spatial configuration of
260 associated fields of the farmland, characteristics of semi-natural habitats in these fields (using
261 orthophotos) and farmers' strategies for their *actual use* of ES. On the 28 farms surveyed, we identified
262 34 agroecosystems (450 fields), each of which corresponded to fields with the same soil type and
263 cropping systems (i.e. rotation, cover crops and crop management).

264 **4.1.2. Methods**

265 We analyzed yields and input intensity of the 34 agroecosystems. We defined the "relative yield" of each
266 as the ratio of its mean yield of wheat (or, if not available, maize) from 2017-2019 to that of the French
267 department in which it was located. Input intensity was estimated by aggregating scores of (i) mineral
268 fertilization intensity relative to the regional mean, (ii) pesticide treatment frequency index relative to
269 the regional mean and (iii) the frequency of plowing and irrigation (Supplementary Material 10). We

270 performed principal component analysis (PCA) with R to help interpret relations among *real capacity*,
271 *actual use*, *natural capital*, relative yield and input intensity. *Potential capacity* was not included because
272 it was highly correlated ($r=0.780$) with *real capacity*, which exceeded the collinearity threshold ($r=0.700$)
273 recommended by Dormann et al., (2013). To identify different agriculture models according to the four
274 dimensions of ES, we performed clustering analysis with a partitioning (*k*-means) algorithm in the three-
275 dimensional data space of *real capacity*, *actual use*, and *natural capital*. The optimal number of clusters
276 (*k*) was defined according to the elbow method, which, for *k* ranging from 1 to *n* clusters, calculates the
277 within-cluster sum of squares and the location of a “elbow” can be considered an indicator of the
278 suitable number of clusters (Supplementary material 11). We compared cluster-specific means of input
279 intensity and relative yield to each other with Tukey honestly significant difference (HSD) post-hoc tests
280 to test all pairwise comparisons with 95% confidence. Analyses were performed using the open-source
281 FactoMineR package of R software (Husson et al., 2010; MacQueen, 1967; R Core, 2013).

282 **4.2. Results**

283 **4.2.1. Application of the assessment approach**

284 For each agroecosystem, the scores of *potential capacity*, *real capacity*, *actual use* and *natural capital* of
285 each ES was assessed and represented in absolute value and relative value compared to those of the
286 entire group (Figure 3, Supplementary material 12). A group’s ranges may not cover the ranges of all
287 possible values. For example, for agroecosystem #26, pesticide use rendered WEED’s *real capacity* only
288 slightly lower than its *potential capacity* (Figure 3a). Relative to the group, the agroecosystem’s
289 configuration and crop management practices favored POL, WEED, DIS and PEST, since its *potential* and
290 *real capacities* were among the highest in the group (Figure 3a). Moreover, since the farmer of #26
291 always used counting and field observations to assess biological regulation of pests, weeds and diseases
292 before applying pesticides, fungicides or weeds, the *actual use* of these ES was also considered relatively
293 high (Figure 3b). The *potential capacity* of the NS service was high but could be increased by improving
294 agroecosystem configuration (e.g. increasing the percentage of legumes in crop rotations) and crop
295 management (e.g. relying more on organic inputs than mineral N). The agroecosystem’s *natural capital*
296 components had contrasting dynamics (Figure 3c). Biodiversity and SOM capitals were relatively high,
297 indicating that biological regulation services would continue for the farmer, while N provision should
298 increase. Potential sensitivity to soil erosion (with a medium value) could be improved, while the P
299 balance indicator had a low value, which indicated the risk of depleting the soil P stock.

300 Aggregated ES scores for each of the 34 agroecosystems ranged from [0.27; 0.66] for *potential capacity*,
301 [0.03; 0.87] for *real capacity* and [0.13; 0.75] for *actual use* (Figure 4). *Real capacity* had a wider range
302 than *potential capacity* because the agricultural practices investigated modulated the *potential capacity*
303 in both positive and negative directions. In contrast, *actual use* had a narrower range than *real capacity*,
304 which indicates that most farmers did not use it fully.

305 The difference between *potential capacity* and *real capacity* was small for some agroecosystems (e.g. #3,
306 6, 22) but large for others (e.g. #8-1, 18-2, 25). An overall trend emerged: agroecosystems with high
307 *potential capacity* tended to increase it through beneficial practices, which yielded an even higher *real*
308 *capacity*. This was confirmed by the Pearson correlation between *potential capacity* and *real capacity*
309 minus *potential capacity*, which was significant ($p < 0.05$) but weak ($r = 0.357$) (Supplementary Material
310 13).

311 For *natural capital*, SOM, soil P and soil quantity dynamics ranged from [-1; 1], while biodiversity ranged
312 from [-0.40; 0.51] (Supplementary material 14). Most of the agroecosystems were depleting P stocks
313 (73%) but capitalizing soil carbon (67%), which illustrates the potential advantage of increasing SOM
314 (which has a long response time and is a major determinant of most soil-related ES) rather than closing
315 the P cycle, which can be done through a long response time. None of the systems were depleting or
316 capitalizing biodiversity to a large degree.

317 The relative yield of the 34 agroecosystems ranged from [0.46; 1.31] (Supplementary material 15), and
318 the yields of 10 of the 34 agroecosystems were lower than the mean regional yields. Input intensity
319 ranged from [0; 0.83], from a system based on low levels of anthropogenic inputs (i.e. #2, in organic and
320 conservation agriculture; Supplementary Material 8) to one based on high levels of anthropogenic inputs
321 (i.e. #8-1).

322 The most intensive systems exceeded mean regional yields and were generally those with the lowest ES
323 *real capacity*. The first axis of the PCA, influenced by input intensity and the relative yield on one side
324 and *real capacity* on the other, explained 41.8% of the variance (Supplementary Material 16). This
325 negative relation between input intensity (and thus relative yield, since they were strongly correlated)
326 and *real capacity* was significant and moderately strong (Supplementary Material 17, $p < 0.05$ and $r =$
327 0.564). However, there were a few notable exceptions, such as agroecosystems #25 and #23, which had,
328 respectively, a *real capacity* of 0.80 and 0.27 and a relative yield of 1.27 and 0.61. In contrast, there was
329 no relation between input intensity and the dynamics of *natural capital* or *actual use* of ES (e.g.
330 agroecosystem #15).

331 4.2.2. Description of the agriculture models

332 The clustering analysis identified five clusters (Figure 7) that referred to five combinations of scores of
333 *real capacity* and *actual use* of ES and dynamics of *natural capital*. The first cluster of (5 agroecosystems)
334 had some of the highest *real capacity* ([0.57; 0.87]), above-average *actual use* ([0.51; 0.70]) and no
335 specific *natural capital* dynamics (but no large depletion) (Figure 7, MH-H). This cluster had varied
336 rotations (rapeseed-wheat-barley-legume-other or soybean-wheat-other) but which always included
337 legumes and often uncommon crops (e.g. spelt, spring peas, mustard, alfalfa, sainfoin, flax). Rotation
338 duration ranged from 3-9 years (mean: 5.4 years). These five systems performed reduced tillage or no
339 tillage. One was an irrigated conventional farm, two were organic, and one performed conservation
340 agriculture. They corresponded to models with “Medium-High use of High ES capacity” (“MH-H”).

341 The second cluster (8 agroecosystems) had a wide range of *real capacity* ([0.26; 0.68]), low *actual use*
342 [0.16; 0.43]) and neutral or positive *natural capital* dynamics (Figure 7, I-M). This cluster had rotations
343 based mainly on rapeseed-wheat-barley-other, with a mean rotation duration of 5.6 years, 5.5 crops in
344 the rotation and varied types of tillage (plowing, reduced tillage, no-till). Only one farm in this model, a
345 maize-based rotation (#1), was depleting its *natural capital*. Agroecosystem #23 was unique in this group
346 since it had low *real capacity* despite having 9 crops and practicing reduced tillage. These systems
347 corresponded to models with “Inefficient use of Medium ES capacity” (“I-M”).

348 The third cluster (7 agroecosystems) had a wide range of *real capacity* ([0.03; 0.59]), medium *actual use*
349 ([0.43; 0.63]) and positive *natural capital* dynamics (Figure 7, M-LM-C). This cluster mainly (8 out of 9)
350 had rotations such as rapeseed-wheat-barley-other, included less common crops (e.g. sainfoin, faba
351 bean, and hemp) and had a mean rotation duration of 5.6 years. All but one of the farms occasionally
352 practiced simplified tillage, and only one irrigated. Agroecosystem #19-2 was unique in this cluster
353 because it was a plowed maize monoculture. All of these farms applied pesticides and mineral fertilizers.
354 These systems corresponded to models with “Medium use of Low-to-Medium ES capacity, with
355 Capitalization” (“M-LM-C”).

356 The fourth cluster (8 agroecosystems) had low *real capacity* ([0.10; 0.50]), high *actual use* ([0.61; 0.76])
357 and negative *natural capital* dynamics (Figure 7, E-LM-D). This cluster contained only maize-wheat-other
358 crop rotations (a mean of 2.5 crops in the rotation), with at least 2 years of maize and a mean rotation of
359 4 years. Three of the 8 agroecosystems sometimes practiced reduced tillage, and all but one irrigated. All
360 farms in this cluster applied pesticides and mineral fertilizers, and four did not use insecticides or
361 fungicides. These systems corresponded to models with “Efficient use of Low-to-Medium ES capacity,
362 with Depletion” (“E-LM-D”).

363 The fifth cluster (6 agroecosystems) had low *real capacity* ([0.14; 0.59]), medium-low *actual use* ([0.25;
364 0.4]) and negative *natural capital* dynamics (Figure 7, I-LM-D). This cluster contained had only maize-
365 wheat-other crop rotations (mean of 2.5 crops in the rotation, often with 2 years of maize). Its
366 agricultural practices varied (plowing, reduced tillage, pesticides or not, irrigation or not). These systems
367 corresponded to models with “Inefficient use of Low-to-Medium ES capacity, with Depletion” (“I-LM-D”).
368 Relative yield and input intensity did not differ significantly among the five clusters, although the MH-H
369 cluster had high variability in relative yield ($p>0.05$, pairwise Tukey HSD test, [0.66; 1.27], Supplementary
370 material 18).

371 **5. Discussion**

372 We developed an original assessment approach to ES based on assessing relations between
373 characteristics of agroecosystems and the main ES they provide. In agreement with the objective of
374 developing operational tools for agricultural support institutions, the approach provides a detailed
375 description for farmers of the *potential capacity* of each ES, effects that agricultural practices have on it,
376 the *actual use* of ES capacity and the dynamics of *natural capital* under current agricultural practices
377 (Figure 3). The approach is part of a large field of research that assesses ecosystem services in
378 agroecosystems (Malinga et al., 2015). It focuses on ES involved in agricultural production (and not on
379 those provided to the larger society) and characterizes relations between agroecosystems and ES at two
380 temporal scales: the classic short term, which corresponds to the cropping season, and the medium-
381 /long-term dynamics of *natural capital* (Robinson et al., 2012).

382 **5.1. Strengths of the assessment approach**

383 Our approach is an original development of the conceptual framework and its operationalization by
384 integrating scientific knowledge and low-data indicators. One of the innovations is that it conceptually
385 clarifies and renders operational the assessment of four components of ES, which separates components
386 of the cascade that underlie the provision of ES in the short and medium/long terms. Accordingly, as
387 claimed by Kleijn et al. (2019), this provides agricultural stakeholders with useful information about (i)
388 “limiting services” (Bommarco et al., 2013a; Garibaldi et al., 2018; Sperfeld et al., 2012) and (ii) potential
389 mechanisms to enhance the *potential* and *real capacities* of ES. In other words, it provides a sound
390 conceptual framework with which to analyze the functioning of agroecosystems and define a strategy to
391 manage it in the quest for ES-based agriculture models.

392 As also noted by Therond et al. (2017a), our assessment approach can classify agroecosystems more
393 finely than broad typologies (e.g. organic vs. conventional agriculture, diversified vs. simplified farming
394 systems) (Garibaldi et al., 2017). As Duru et al. (2015b) theorized, our fine-grained approach can identify
395 multiple strategies that can be used to obtain given levels of ES and dynamics of *natural capital*. For
396 example, three organic agroecosystems (#28, 2 and 15) that covered a range of rotation diversification
397 (from 3-6 crops) differed strongly in *real capacity* and *actual use* of ES (Figure 5). This confirms that
398 organic systems, which vary from complex biodiversity-based systems to simplified monocultures, can
399 result in agroecosystems with greatly different functioning (Reeve et al., 2016; Seufert et al., 2012).

400 Our approach may also help assess payments for environmental services provided by agricultural
401 support institutions, in which beneficiaries (society) pay ecosystem-service providers (here, farmers) for
402 the services provided (Donohue et al., 2016). For the ES assessed in the present study, these payments
403 would reward farmers for using ES instead of anthropogenic inputs and thus intentionally encourage
404 biodiversity-based solutions and reduce negative environmental impacts related to input-based systems
405 (Bommarco et al., 2013a). This method provides the strong scientific foundations needed to assess such
406 payments, as required by Naeem et al. (2015), by developing a scientifically robust method that is
407 operational and requires relatively little data.

408 **5.2. Shortcomings and expected improvements of the approach**

409 Since the approach developed is the first version, it will be improved. Applying it to the case study of the
410 Grand Est required parameterizing it for this region; applying it to other regions will require expert
411 knowledge of the regions and associated reference data. In particular, the range of technologies that
412 could be used to assess the provision of ES and thus determine the *actual use* of ES needs to be adapted
413 to the technologies available locally. It is currently defined from expert opinion, but other ways to
414 estimate as closely as possible farmers' *actual use* of ES, both active and inactive, can be considered.
415 Results of future studies of determinants of ES are also expected to improve the selection of low-data
416 indicators and the method used to aggregate them for individual ES and entire sets of ES (as in Vogel et
417 al. (2019) and Zhang (2020); see section 5.3 for details). This study is an initial assessment that can be
418 compared to using field surveys to assess ES, like Birrer et al. (2014) did to assess biodiversity. Finally, to
419 date, without available knowledge about the relative weights of determinants of ES, the aggregation
420 remains simplistic (the same weight for all).

421 The application domain of the approach is currently limited to agroecosystems based on annual crops
422 and needs to be extended to other types of agroecosystems (e.g. livestock farms, orchards, vineyards,
423 agroforestry systems). Using the same logic, the method could be extended to other ES provided to
424 farmers (e.g. local climate regulation) or to society (e.g. global climate regulation) (Aguilera et al., 2013;
425 Techen et al., 2020). This could identify synergies and trade-offs between ES provided to different
426 beneficiaries (Obiang Ndong et al., 2020). Finally, for the *natural capital* components, only the
427 biodiversity that supports ES was considered, but the approach could be extended to consider all
428 biodiversity from a larger conservation perspective (Lüscher et al., 2017; Moller et al., 2008).

429 Another key improvement would be to quantify the part of production that comes from using ES vs. the
430 part that comes from anthropogenic inputs (Jones et al., 2016). For now, the approach developed does
431 not exactly follow the recommendations of Therond et al. (2017a) for classifying agricultural models.
432 However, partitioning production between these two types of production factors is complex due to
433 intertwined ecological processes (Barot et al., 2017; Jones et al., 2016). Few studies have attempted to
434 do this. Pérez-Soba et al. (2019) performed emergy analysis, which coarsely distinguished effects of
435 natural (e.g. solar radiation) vs. anthropogenic flows. Therond et al. (2017b) and Tibi and Therond (2018)
436 developed an approach based on a crop model to estimate the part of production related to
437 groundwater-, rainwater- and nitrogen-related ES vs. N fertilization and irrigation.

438 Finally, this approach does not address ecosystem services provided to society or negative
439 environmental impacts of agriculture (e.g. N leaching) (Uusitalo et al., 2019). As Soulé et al. (2021)
440 proposed, performing traditional environmental impact assessments along with our ES assessment
441 approach would provide more complete assessment of the environmental sustainability of
442 agroecosystems.

443 **5.3. Lack of knowledge and agenda for research**

444 The literature review highlighted a substantial lack of knowledge. First, we were not able to consider
445 effects of semi-natural habitats in the landscape beyond the farm scale. Karp et al. (2018) show that the
446 influence of non-crop habitats on biological control depends on the context. Multiple communities may
447 be involved in providing an ES, and little information about their interactions (e.g. inter-guild predation)
448 is available. For example, results for the effects of carabids on weed regulation (Frei et al., 2019) are
449 ambiguous, showing a positive effect (Chapman, 2014; Knapp and Řezáč, 2015) or negative effect
450 (Jonason et al., 2013) of landscape heterogeneity. Dispersal distances of carabids depend on their guild,

451 which causes variability among observations. Moreover, the relative contribution of carabids vs. birds
452 and small mammals to weed regulation is still under research (Petit et al., 2011). In other words,
453 landscape effects on biological regulation services may depend strongly on the functional characteristics
454 of ES providers (Martin et al., 2019).

455 We felt that certain relations between determinants and ES already mentioned in the literature were not
456 yet sufficiently documented to include them. For example, non-crop plants in and around fields might
457 have a key influence on dynamics of natural enemies by providing a diverse habitat and food resource
458 for biodiversity (Marshall et al., 2003; Petit et al., 2015; Pocock et al., 2012). However, we could not find
459 key reviews or meta-analyses that provided usable information. Cover crops appear to influence disease
460 control, but this seems specific to each combination of host/disease/cover crop (Justes et al., 2012). Soil
461 cover influences the soil structuration service via the canopy and root exudates (Bardgett et al., 2014;
462 Scavo et al., 2019). Although the influence is well established, we did not find a suitable database of root
463 exudates from crops to be able to consider this effect. More generally, Tehen et al. (2020) present key
464 soil research challenges for a few management practices such as nutrient efficiency in agroforestry, the
465 influence of rotations and crops on microbiome composition, ecotoxicity of plastics, stoichiometry
466 management, biotic inoculations and pharmaceuticals.

467 Finally, there is a serious lack of information about the relative influence of determinants on the
468 provision of each ES. For example, weed control is promoted by spatial (species mixtures) and temporal
469 (rotations) plant diversity and permanent soil cover, but their relative influence is unknown. Therefore,
470 we assigned the same influence to all n determinants for a given ES and to the contributions of potential
471 and modulation effects to the ES level. Until studies provide information about these relative effects,
472 improving our approach could mean bringing a group of experts together to refine the aggregation
473 method, as van Leeuwen et al. (2019) and Zahm et al. (2018) recommended. Ultimately, this information
474 is also needed to integrate potential trade-offs that may exist among the determinants of ES (Garibaldi et
475 al., 2018; Obiang Ndong et al., 2020).

476 **5.4. Insights into agriculture models**

477 In general, agroecological practices, such as organic, biodiversity-based practices (e.g. rotations, species
478 mixtures) and conservation agriculture, are expected to provide higher ES capacity (Palomo-Campesino
479 et al., 2018). We show that this is true when several agroecological practices are implemented together,
480 as in the MH-H agriculture model in which organic farming, reduced or no-tillage, soil cover and

481 diversified rotations were combined, as suggested by Duru et al. (2015b). To a lesser extent, and because
482 agroecological practices are usually combined less often, the I-M agriculture model also followed this
483 pattern. However, our results suggest that implementing these practices does not necessarily mean that
484 farmers use the *potential capacity* of ES well.

485 In the present study, *real capacity* was significantly negatively correlated with input intensity and relative
486 yield. In other words, agriculture models with higher *real capacity* of ES are often those with lower input
487 use and relative yield. However, this trend hides high variability. First, excluding organic agroecosystems
488 weakens this correlation because they have the lower relative yields and input intensity due to organic
489 specifications (Supplementary Material 19) (Knapp and van der Heijden, 2018). Second, no significant
490 difference in input intensity or relative yield was observed among clusters. Indeed, variability in relative
491 yield among agroecosystems was high within each cluster, particularly in the agroecological agriculture
492 models with high/medium *real capacity*. Thus, there are many ways to obtain high yields, based mainly
493 on anthropogenic inputs, ES or both (Therond et al., 2017a). For example, within these agroecological
494 models, the yield of agroecosystems #25, 16, 12, and 3 was higher than the regional mean.
495 Agroecosystem #25 was unique in the present study since it obtained high yields with a high input
496 intensity but also used ES capacity efficiently (Supplementary Material 20). It was also the only
497 agroecosystem that met our requirements to be classified as conservation agriculture and thus to benefit
498 from the bonus. The farmer of this agroecosystem increased the yield greatly by using ES as much as
499 possible and supplementing them with inputs, and the ES levels could have been increased even further.

500 In the M-LM-C agriculture model, system #19-2, a maize monoculture, which had the lowest ES *real*
501 *capacity* of the group, was managed by the same farmer as system #19-1, which had higher ES
502 capacities. This large difference between two agroecosystems of the same farmer reflects a separation
503 of the land that results from two different rationales and European Union Common Agricultural Policy
504 (CAP) regulations. System #19-2 is based on maize, which is the most economically profitable crop locally
505 and usually managed intensively in monoculture with irrigation and plowing on the best soils. However,
506 due to CAP regulations on the proportion of farm area that can be planted in monocultures, farmers
507 cannot plant monocultures over the entire farm. Consequently, system #19-1 was based on a multicrop
508 rotation that was managed less intensively. CAP regulations allowed the farmer to open up space for
509 testing solutions based on planned biodiversity. This pattern was repeated on other maize farms (#8, 10,
510 and 19).

511 Finally, we highlight that all maize-based agroecosystems depleted *natural capital*, regardless of the
512 agriculture model to which they belonged. Soil erosion due to the absence of cover crops drove this
513 negative trend, which could be addressed by adding cover crops to maize-based systems (Laloy and
514 Biielders, 2010). However, weather conditions after the late maize harvest period make it difficult to
515 plant cover crops (Marcillo et al., 2019).

516 **6. Conclusion**

517 We developed a new conceptual framework to clearly distinguish multiple aspects of ES and an
518 operational low-data indicator-based approach to assess them. It highlights the important distinction
519 between short-term ES capacities and medium/long term dynamics of *natural capital* that underlie these
520 services and the *actual use* of them. The literature review performed to develop this approach enabled
521 us to build upon well-known relations between characteristics of agroecosystems and ES, identify
522 consistent indicators of these relations, and identify areas in which knowledge is lacking. This indirect
523 evaluation of ES allows for (i) the use of easily available data on characteristics of agroecosystems and
524 their management instead of resource-consuming field measurements, (ii) detailed description of ES
525 associated with an agroecosystem and (iii) identification of agronomic mechanisms to use to increase the
526 provision or use of ES. Through better understanding of the ability of agroecosystems to generate ES,
527 and how to enhance them, our approach may help farmers build agroecosystems based on natural
528 production factors instead of importing petrochemicals.

529 We demonstrated the utility of our approach by applying it to a set of 34 French agroecosystems. This
530 application provided key insights into the biotechnical functioning of arable agroecosystems: (i)
531 combining agroecological practices maximizes the *real capacity* of ES and (ii) there are many ways to
532 reach high-yielding agroecosystems based on anthropogenic inputs, ES or both.

533 **Contributions**

534 M.D., B.L. and O.T. developed the conceptual framework; M.D. and B.L. performed the literature review
535 and indicator selection with the guidance of O.T. and C.Bo.; H.C. developed the AMG model used; M.D.,
536 B.L. and C.Be. performed the farm surveys and calculations; M.D. and O.T. wrote the manuscript; and
537 C.Bo., B.L. and C.Be. proofread the manuscript.

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1021 **Tables**

1022 *Table 1. Summary of the main determinants of ecosystem services that contribute to agricultural production (ES). The spatial and*
 1023 *temporal configuration and composition of the cover and soil composition determine an ecosystem's potential capacity. The crop*
 1024 *management of soil and biomass determined an ecosystem's real capacity. The 9 ES studied include pollination (POL); pest*
 1025 *(PEST), weed (WEED) and disease (DIS) control; soil structuration (STR); nitrogen supply to crops (NS); phosphorus supply to crops*
 1026 *(PS); water retention and return to crops (WATER) and stabilization and control of erosion (ERO). The effects of crop*
 1027 *management on ES provision can be positive (+) or negative (-). References are mainly meta-analyses, reviews or multisite*
 1028 *studies. *Depends on the type of habitat. **Several combinations of soil characteristics are suitable for ES, see Table 2.*

		ES and direction of	
		the effect	References
Determinant			
Potential capacity	Crop rotation	WEED, DIS, PEST (<i>diversified</i> : +) NS, PS (<i>legume presence</i> : +)	Bender et al., 2016; Dassou and Tixier, 2016; Duchene et al., 2017; El Mujtar et al., 2019; Ghosh et al., 2007; Hinsinger et al., 2011; Kleijn et al., 2019; Letourneau et al., 2011; Palomo-Campesino et al., 2018; Rosa-Schleich et al., 2019; Scholberg et al., 2010
	Soil coverage	WEED, STR, NS, ERO (<i>permanent</i> : +)	Aguilera et al., 2020; Bertrand et al., 2019; Justes et al., 2012; Kleijn et al., 2019; Palomo-Campesino et al., 2018; Rosa-Schleich et al., 2019; Scholberg et al., 2010; Shackelford et al., 2019
	Use of species mixtures	WEED, DIS, PEST (+)	Bedoussac et al., 2015; Dassou and Tixier, 2016; Duchene et al., 2017; Iverson et al., 2014; Kleijn et al., 2019; Letourneau et al., 2011; Malézieux et al., 2009; Palomo-Campesino et al., 2018; Rosa-Schleich et al., 2019, p.; Scholberg et al., 2010; Vandermeer et al., 1998; Verret et al., 2017
	Local semi-natural habitats	POL, PEST (<i>close to fields</i> *, +)	Bartual et al., 2019; Bianchi et al., 2006; Blaauw and Isaacs, 2014; Holland et al., 2017; Palomo-Campesino et al., 2018; Rega et al., 2018; Tschardt et al., 2007; Tschumi et al., 2016; Zulian et al., 2013
	Abiotic soil composition	STR, NS, PS, WATER, ERO **	Bender et al., 2016; Boyle et al., 1989; Chen and Tessier, 1998; Clivot et al., 2019; El Mujtar et al., 2019; Green et al., 2003; Johannes et al., 2017; Lado et al., 2004; Olsen et al., 1954; Saxton and Rawls, 2006
	Insecticide use	POL, WEED, PEST, STR (-)	Brittain and Potts, 2011; Colin et al., 2004; Desneux et al., 2007; Emmerson et al., 2016; Geiger et al., 2010; Labruyere et al., 2016; Potts et al., 2009; Wang et al., 2012
Real capacity	Organic-matter application	STR, NS, PS, WATER, ERO (+)	Abiven et al., 2009; Aguilera et al., 2020; Boyle et al., 1989; Khaleel et al., 1981; Lado et al., 2004; Liu et al., 2014
	Tillage	WEED, PEST, STR, WATER, ERO (-)	Aguilera et al., 2020; Blanco-Canqui and Ruis, 2018; Blevins et al., 2018; Blubaugh and Kaplan, 2015; Hamza and Anderson, 2005; Kuntz et al., 2013; Menalled et al., 2007; Soane et al., 2012; Trichard et al., 2014
	Harvest conditions	STR (<i>wet</i> : -)	Hamza and Anderson, 2005
	Non-crop plants	POL, WEED (-)	Bretagnolle and Gaba, 2015; Dassou and Tixier, 2016; Petit et al., 2016, 2015
	Conservation agriculture	WEED, STR, NS, PS, WATER, ERO (+)	Blanco et al., 2016; Kleijn et al., 2019; Lee et al., 2019; Pittelkow et al., 2015; Rosa-Schleich et al., 2019

1029 Table 2. Indicators used to assess effects of each determinant on the potential capacity of an agroecosystem to provide ecosystem services that contribute to agricultural production (ES) ($I_{potential}$,
1030 [0:1]) and the modulation ($I_{modulation}$, [-1:1]) of this potential capacity, thus determining the real capacity to provide ES. References: * from Craheix et al. (2012), ¹from Rega et al. (2018), ² from
1031 Saxton and Rawls (2006) ³ from Johannes et al. (2017), ⁴ from Olsen et al. (1954) ⁵ from Chabert (2017)⁶. For references ^{a, b, c, d, e, f, g, h, i, j}, see Supplementary Material 3. Abbreviations: semi-natural
1032 habitats (SNH), treatment frequency index (TFI), soil organic matter (SOM), conservation agriculture (conservation agr.).

1033 Determinant	Pollination	Disease control	Pest control	Weed control	Water retention	Soil structuration	Nitrogen supply	Phosphorus supply	Erosion control	
$I_{potential}$	Crop rotation	-	Number of crop families in the rotation*	Number of crop families in the rotation*	Number of sowing periods in the rotation*	-	-	Proportion of legumes in the rotation ^a	Proportion of legumes in the rotation ^a	-
	Soil coverage	-	-	-	Quality of coverage of each crop and intercrop*	-	95% root density depth and proportion of cover crops in the rotation ^b	Proportion of crops and intercrops in the fall to avoid leaching ^c	-	Lack of coverage during the rainy period*
	Use of species mixture	-	Proportion of crops and intercrops with a species mixture ^d	Proportion of crops and intercrops with a species mixture ^d	Proportion of crops and intercrops with a species mixture ^d	-	-	-	-	-
	Local semi-natural habitats	Proportion of field areas close to an herbaceous habitat ^e	-	Quality and distance of SNH to field barycenter ¹	-	-	-	-	-	-
	Abiotic soil composition	-	-	-	-	Saturated conductivity ²	SOM/clay ³	SOM	P Olsen ⁴	SOM/clay ³
$I_{modulation}$	Insecticide use	TFI relative to mean regional TFI ^f	-	TFI relative to mean regional TFI ^f	TFI relative to mean regional TFI ^f	-	TFI relative to mean regional TFI ^f	-	-	-
	Organic-matter application	-	-	-	-	Annual humified carbon inputs ^g	Annual humified carbon inputs ^g	Annual organic nitrogen inputs ^g	Annual organic phosphorus inputs ^g	Annual humified carbon inputs ^g
	Tillage	-	-	Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}	-	-	Cumulative depth of tillage ^{5, h}
	Harvest conditions	-	-	-	-	-	Proportion of crops harvested in often wet conditions*	-	-	-
	Non-crop plants	Abundance and diversity of non-crop plants at harvest and before the first tillage or herbicide application ⁱ	-	Abundance and diversity of non-crop plants at harvest and before the first tillage or herbicide application ⁱ	-	-	-	-	-	-
Conservation agr.				Synergistic effect of conservation agriculture: crop diversity, permanent soil coverage and no-till ^j						

1034 *Table 3. Method used to assess the actual use of ecosystem services that contribute to agricultural production (ES), based on the*
 1035 *precision of the action performed by farmers to use ecosystem services, considering the ability of the agroecosystem to provide*
 1036 *services instead of using anthropogenic inputs to replace them.*

ES	Action or technology used by farmers	Precision	Score
Disease control	No action before applying fungicides	Very low	0
	Adapting fungicide application to the crop, field and position of the crop in the rotation	Low	0.25
	Observation of disease pressure in the field	Medium	0.5
	Counting and identifying impacts of disease in the field	High	0.75
	Unmanned aerial vehicle, remote-sensing robot or risk models	Very high	1
Pest control	No action before applying pesticides	Very low	0
	Adapting pesticide application to the crop, field and position of the crop in the rotation	Low	0.25
	Observation of the pest pressure and presence of natural enemies in the field	Medium	0.5
	Counting and identifying pests and natural enemies in the field	High	0.75
	Unmanned aerial vehicle or remote-sensing robot	Very high	1
Weed control	No action before intervention to control weeds (tillage or herbicide application)	Very low	0
	Adapting intervention to the crop, field and position of the crop in the rotation	Low	0.25
	Observation of non-crop plant abundance and communities in the field and/or granivore presence	Medium	0.5
	Counting and identifying non-cultivated plants in the field and/or granivore presence	High	0.75
	Unmanned aerial vehicle or remote-sensing robot	Very high	1
Water retention and return	No action before irrigating	Very low	0
	Adapting irrigation to the crop, field and position of the crop in the rotation	Low	0.33
	Water balance	High	0.66
	Use of tensiometric or capacitive probes	Very high	1
Soil structuration	No action before tilling	Very low	0
	Adapting tillage to the crop, field and position of the crop in the rotation	Low	0.33
	Spade test occasionally performed	High	0.66
	Spade test always performed	Very high	1
Nitrogen supply	No action before mineral fertilization	Very low	0
	Adapting mineral fertilization to the crop, field and position of the crop in the rotation	Low	0.25
	Recommendation-based nitrogen-balance method	Medium	0.5
	Decision support tool	High	0.75
	Unmanned aerial vehicle and application with section cutting	Very high	1
Phosphorus supply	No action before phosphorus fertilization	Very low	0
	Adapting phosphorus fertilization to the crop, field and position of the crop in the rotation	Medium	0.5
	Measuring Olsen phosphorus	Very high	1

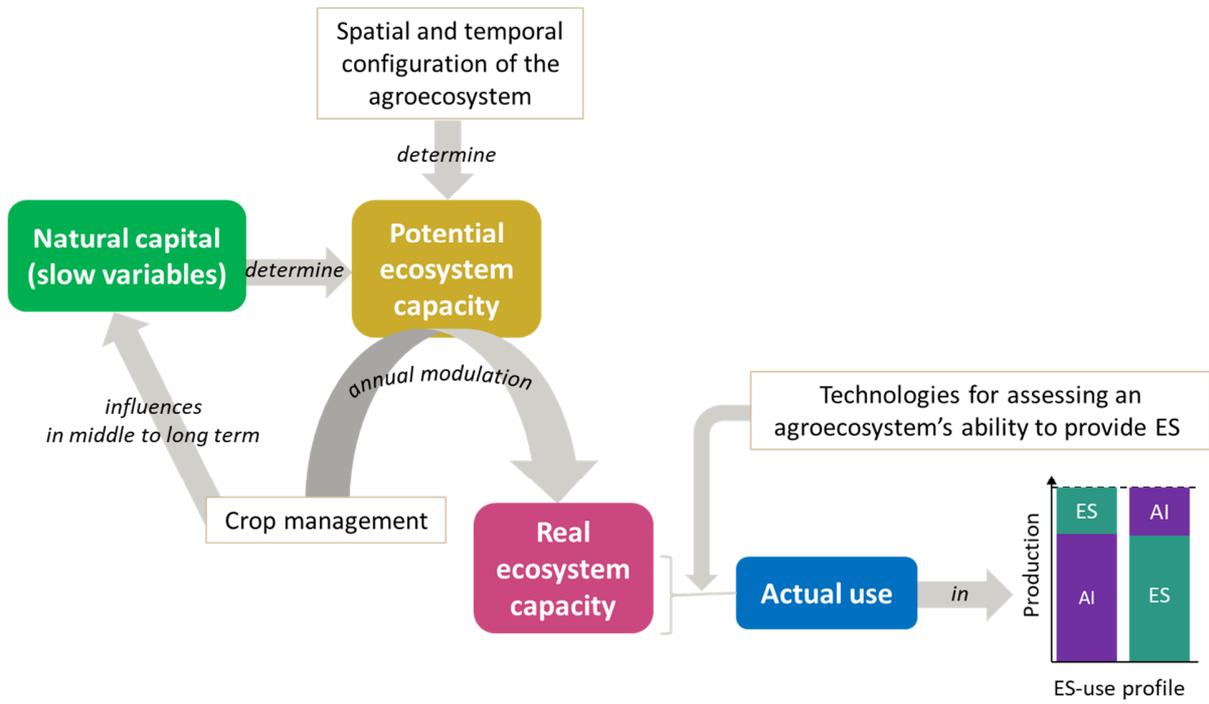
1037

1038 Table 4. Indicators used to evaluate biodiversity dynamics through the effect of determinants on each community. References are
 1039 shown in Table 1. * From Craheix et al. (2012), ¹ from Rega et al. (2018), ⁵ from Chabert (2017). For ^{e, f, h, i, j, k, l} indicator-calculation
 1040 details, see Supplementary Material 3. Abbreviations: treatment frequency index (TFI), soil organic matter (SOM).

Determinant	Characteristic with a positive effect on ->	Community of ecosystem service providers					
		Natural enemies	Granivores	Pollinators	Soil animals	Soil bacteria	Soil fungi
Crop rotation	<i>Diversified</i>	Number of crop families in the rotation*					
Soil coverage	<i>Permanent</i>					Proportion of cover crops in the rotation ^k	Proportion of cover crops in the rotation ^k
Local semi-natural habitats	<i>Close to fields</i>	Quality and distance of SNH to field barycenter ¹		Proportion of field areas close to an herbaceous habitat ^e			
SOM content	<i>High level</i>				SOM	SOM	SOM
Insecticide use	<i>Low level</i>	TFI relative to mean regional TFI ^f	TFI relative to mean regional TFI ^f	TFI relative to mean regional TFI ^f	TFI relative to mean regional TFI ^f		
Organic-matter application	<i>High level</i>				Diversity of carbon inputs ^l	Diversity of carbon inputs ^l	Diversity of carbon inputs ^l
Tillage	<i>Reduced</i>	Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}		Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}	Cumulative depth of tillage ^{5, h}
Non-crop plants	<i>High abundance and diversity</i>	Abundance and diversity of non-crop plants at harvest and before the first tillage or herbicide application ⁱ		Abundance and diversity of non-crop plants at harvest and before the first tillage or herbicide application ⁱ			
Conservation agriculture (CA)	<i>Compliance with CA</i>	Synergistic effect of conservation agriculture: crop diversity, permanent soil coverage and no-till ^j					

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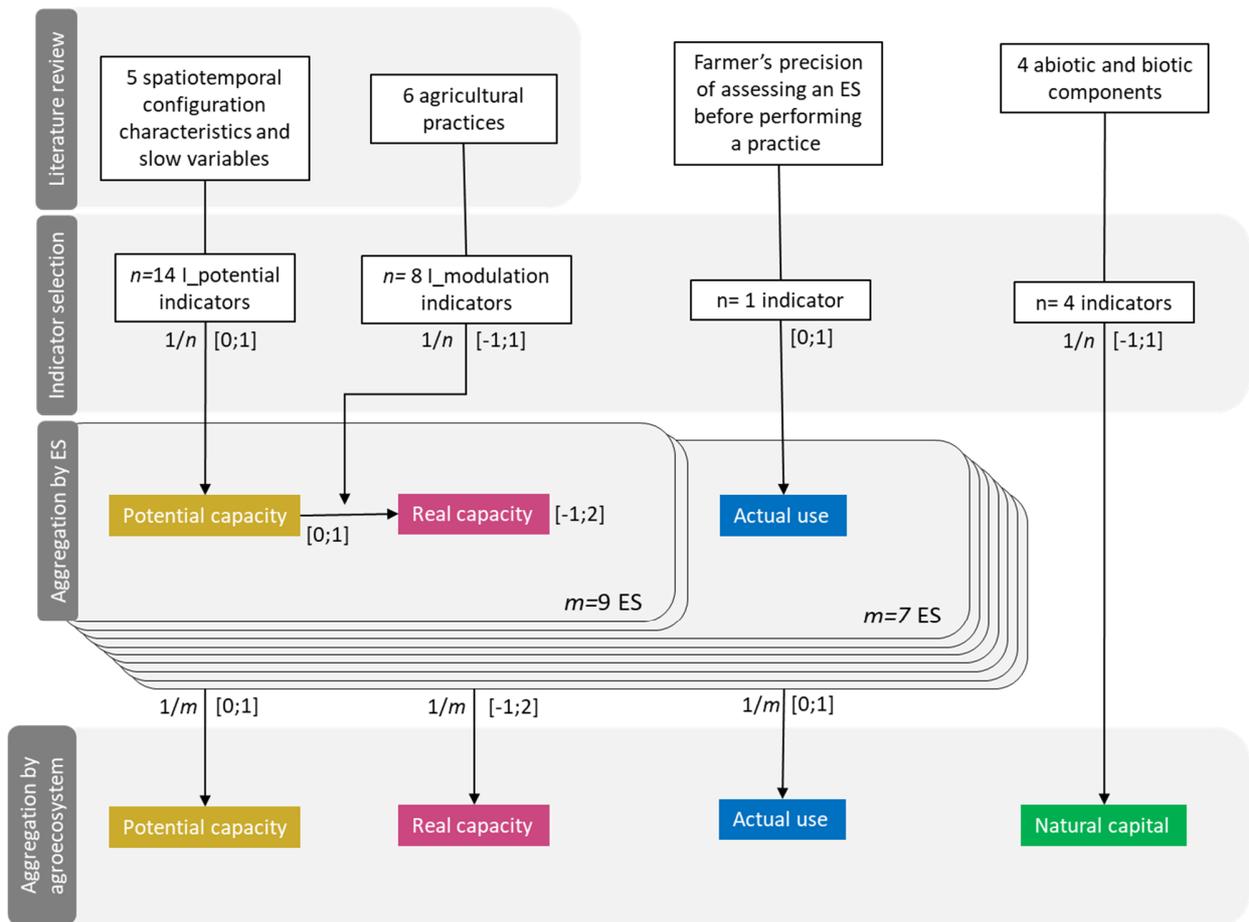
1042 **Figures**



1043

1044 *Figure 1. Framework for assessing the four dimensions of the levels of ecosystem services that contribute to agricultural*

1045 *production (ES) and used by them. AI: anthropogenic inputs*



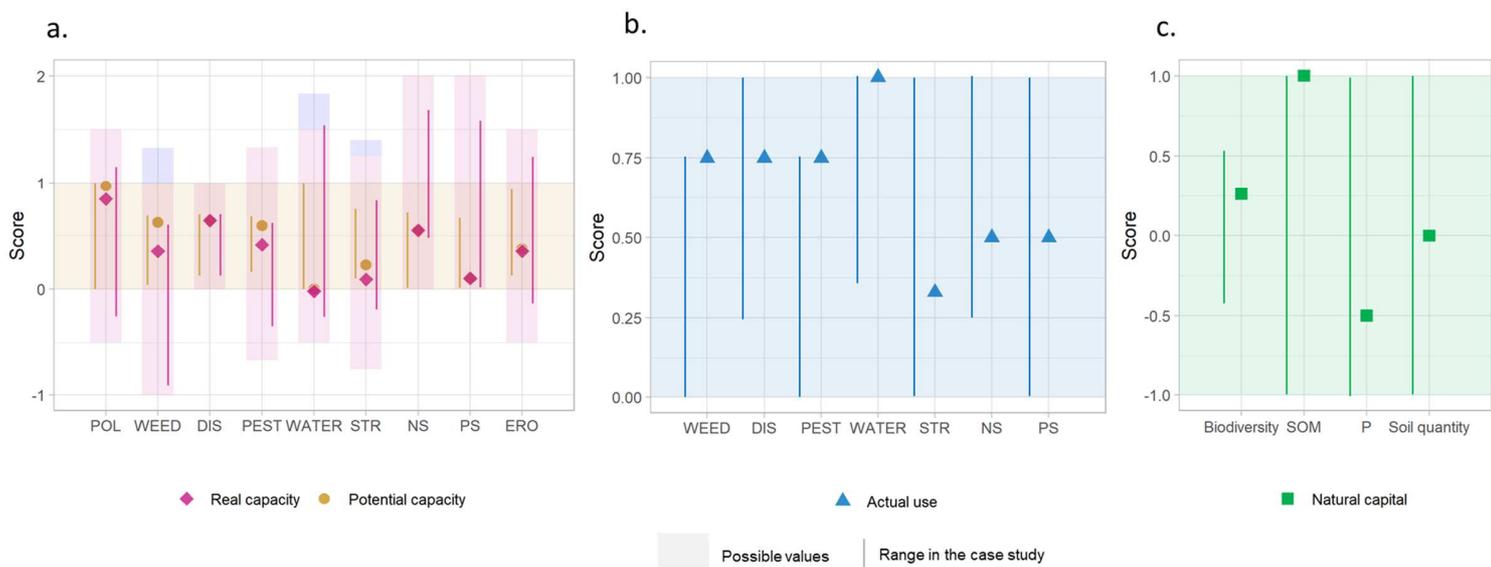
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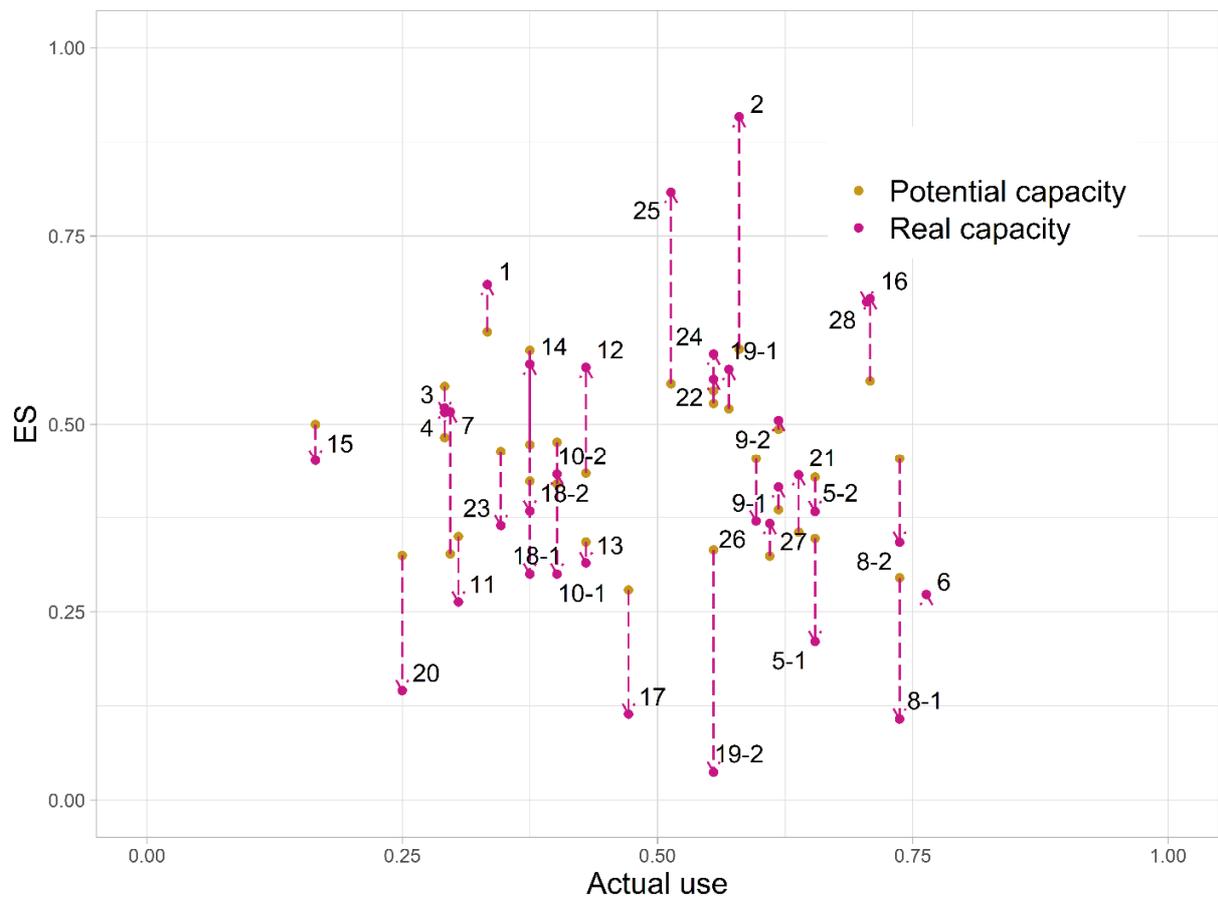
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Figure 2. Approach used to assess ecosystem services that contribute to agricultural production (ES) and the dynamics of natural capital of an agroecosystem. Brackets indicate ranges of indicator scores.

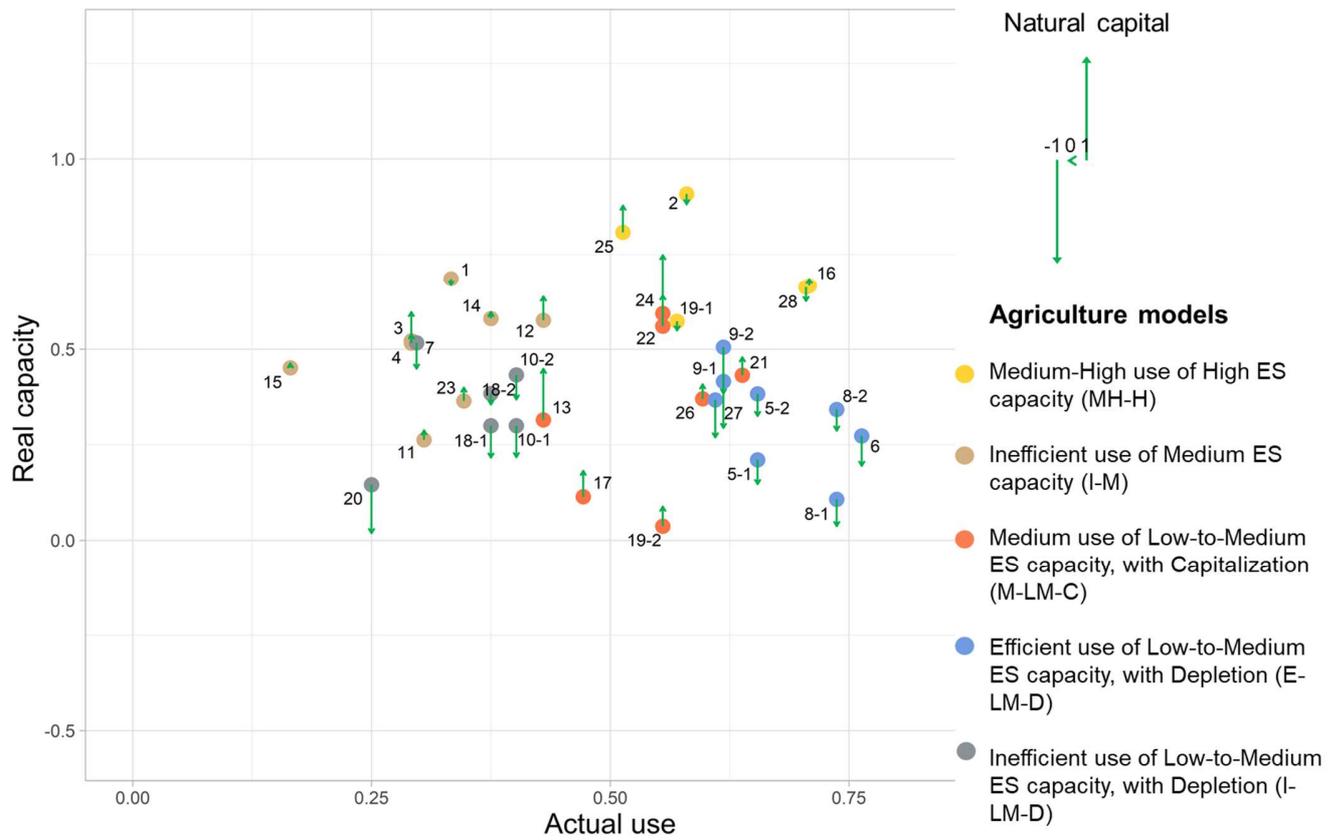


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1051 *Figure 3. Scores (symbols) for agroecosystem #26 of a) the potential capacity (tan) and real capacity (magenta) to provide nine*
 1052 *ecosystem services that contribute to agricultural production (ES), b) actual use of ES by the farmer (blue) and c) natural capital*
 1053 *dynamics (green). Shading and lines indicate the ranges of possible and observed values, respectively, of the 34 agroecosystems*
 1054 *studied. Violet shading indicates the range added by the conservation agriculture bonus.*



1055
 1056 *Figure 4. Levels of the potential capacity and real capacity to provide ecosystem services that contribute to agricultural*
 1057 *production (ES) as a function of the actual use of ES by farmers for 34 agroecosystems on 28 farms in the Grand-Est region*
 1058 *(NUTS2) of France. Arrows indicate the direction in which agricultural practices modulated potential capacity to real capacity.*



1059

1060 *Figure 5. Five clusters of agriculture models identified by k-means clustering according to (i) the ecosystem's real capacity to*
 1061 *provide ecosystem services that contribute to agricultural production (ES), (ii) actual use of ES by farmers and (iii) direction and*
 1062 *intensity of natural capital dynamics for 34 agroecosystems on 28 farms in the Grand Est region (NUTS2) of France.*