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1 **Sentinel-2 images to assess soil surface characteristics over a rainfed**
2 **Mediterranean cropping system**

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14

15 **Abstract:** Soil surface characteristics (SSCs) are of high importance for water infiltration
16 processes in crop fields. As SSCs present strong spatiotemporal variability influenced by
17 climatic conditions and agricultural practices, their monitor has already been explored by
18 using UAV images and multispectral remote sensing. However, each technique has
19 encountered difficulties characterizing this spatiotemporal variability. The objective of this
20 work was to explore the potential of Sentinel-2 images to assess three SSCs - the green
21 vegetation fraction, dry vegetation fraction and physical soil surface structure - at several
22 dates. This work explored two approaches for classifying these three SSCs from five
23 Sentinel-2 images acquired from August to November 2016. In the “single-date” approach,

24 a Random Forest Classifier (RFC) model was trained to classify one SSC_j from a dataset
25 extracted from one Sentinel-2 image i (model noted RF_{sd_i,SSC_j}). In the “multi-date”
26 approach, a RFC model was trained to classify one SSC_j from a dataset extracted from the
27 five Sentinel-2 images (noted $RF_{md_{SSC_j}}$). The classification analysis showed that i) the
28 RF_{sd_i,SSC_j} and $RF_{md_{SSC_j}}$ models provided accurate performances (overall accuracy >
29 0.79) regardless of the studied SSC_j and the tested Sentinel-2 image, ii) the RF_{sd_i,SSC_j}
30 model did not allow the classification of SSC classes that were not observed on the studied
31 date, and iii) the $RF_{md_{SSC_j}}$ model allowed the classification of all SSC classes observed in
32 the five Sentinel-2 images. This indicated that several Sentinel-2 images can favourably be
33 used to increase knowledge of spatiotemporal representation of SSCs by extending results
34 of infrequent, localized and cumbersome field work.

35

36 **Keywords:** Soil surface characteristics; green and dry vegetation; physical soil surface
37 structure; Sentinel-2; classification.

38

39 **1. Introduction**

40 Soil infiltration is one of the most important earth surface processes controlling the water
41 budget equation. It controls the water cycles among surface-water and allows the soil to
42 temporarily store water, making water available for uptake by plants and soil organisms. Soil
43 infiltration may substantially affect a series of ecological processes including water supply
44 for plant growth and groundwater recharge (Ludwig et al., 2005), solute transport to deep

45 soil and groundwater ([Jarvis, 2007](#)), and the development of surface runoff and soil erosion
46 ([De Roo et al., 1992](#)).

47 Soil infiltration characteristics, commonly represented by macroscale parameters such
48 as soil hydraulic conductivity, sorptivity and infiltration rate can be measured directly on field
49 (e.g., [Mubarak et al., 2010](#)). Nevertheless in-situ infiltration parameters could be difficult to
50 measure precisely as some environmental factors, such as temperature, humidity and initial
51 soil water content, could change during the time-consuming infiltration measurements
52 ([Mubarak et al., 2010](#)). Moreover direct measurements of infiltration characteristics are time
53 consuming, expensive and often involve large spatial and temporal variability ([Mishra et al.,](#)
54 [2003](#)). Soil infiltration characteristics can be also indirectly estimated using soil surface
55 characteristics (SSCs; i.e. surface crust development, roughness, vegetation cover,
56 texture...) as inputs of pedotransfer functions ([Ghorbani-Dashtaki et al., 2016](#)), such the
57 ones developed by [Børgesen et al. \(2008\)](#), [Rashidi et al. \(2014\)](#) or [Patle et al. \(2019\)](#).

58 The construction of these pedotransfer functions needs to know the strong relations
59 between SSCs and hydrological processes, but researches reached a consistent conclusion
60 that the links between SSCs and hydrological processes are site-specific (e.g., [Bormann](#)
61 [and Klaassen, 2008](#)). [Yimer et al., 2008](#) showed that principal factors causing the decline in
62 infiltration capacity in the Bale Mountains National Park in Ethiopia are the changes in topsoil
63 structure caused by surface soil compaction because of tillage and animal trampling coupled
64 with a smaller soil organic carbon content. [Joshi and Tambe \(2010\)](#) showed that infiltration
65 rate in Western India vary from subtle to noteworthy depending on slope angle, grass

66 coverage, crop residue and gravels. [Neris et al. \(2012\)](#) showed that infiltration rate in
67 volcanic island of Tenerife (Canary Islands, Spain) is highly dependent to soil aggregation
68 and structural stability. [Leonard and Andrieux \(1998\)](#) showed that the major SSCs that drive
69 infiltration processes in Mediterranean areas are green and dry vegetation coverage, topsoil
70 structure, surface stone content, and soil texture (i.e., relative contents of particles of various
71 sizes, such as sand, silt and clay).

72 Except soil texture which can be characterized by high spatial variability but low
73 temporal variability and may therefore be considered as a permanent property, other SSCs
74 that impact soil infiltration (namely, green and dry vegetation coverage, topsoil structure,
75 surface stone content) are changing in time and space depending on climatic conditions
76 ([Chahinian et al., 2005](#)) and agricultural practices (e.g., tillage, seeding, plant growing,
77 maturity and harvesting) ([Van 1993](#); [Martin et al., 2004](#); [Bormann and Klaassen, 2008](#)). So,
78 the characterization of soil infiltration processes requires monitoring of the SSCs in both
79 space and time at the plot resolution.

80 The need for spatial SSCs characterization could be addressed by the use of visible,
81 near-infrared and short-wave infrared (VNIR/SWIR) remote sensing data, as this technology
82 provides synoptic coverage at a single date. VNIR/SWIR multispectral imagery has been
83 used for mapping SSCs with different degrees of success levels. The green vegetation
84 fraction is usually successfully mapped by using the normalized difference vegetation index
85 (NDVI) (e.g., [Zhang et al, 2006](#)), which can be calculated using the red and near-infrared
86 bands measured by the multispectral VNIR/SWIR sensors (e.g., [Carlson and Rizile, 1997](#)).

87 The dry vegetation fraction has a unique absorption feature near 2100 nm associated with
88 cellulose and lignin ([Daughtry 2001](#)), but most of the multispectral VNIR/SWIR sensors do
89 not allow the use of this specific absorption feature. The Normalized Difference Tillage Index
90 (NDTI) was demonstrated to be the best of the Landsat-based tillage indices for estimating
91 residue cover, exploiting the difference in reflectance between the two Landsat shortwave
92 infra-red (SWIR) bands centered near 1600 nm and 2300 nm ([Deventer et al., 1997](#)). The
93 ASTER bands have been used with success to compute advanced multispectral residue
94 indices such as the Shortwave Infrared Normalized Difference Residue Index (SINDRI) (e.g.,
95 [Serbin et al., 2019](#)). Finally, the SINDRI was demonstrated to provide better accuracy than
96 the Lignin Cellulose Absorption Index (LCA) for estimating residue cover, exploiting the
97 WorldView data ([Hively et al., 2018](#)). The soil texture influences both the spectral intensity
98 and absorption band depth at 2200 nm (e.g., [Clark et al., 1990](#); [Gomez et al., 2012](#)) and can
99 be mapped using a linear regression built based on the entire spectra ([Vaudour et al., 2019](#))
100 or on a spectral index using SWIR bands ([Shabou et al., 2015](#)). The topsoil structure may
101 influence the general shape of the spectrum as crust, cracked clay and roughness may
102 influence the surface colour, brightness and surface structure (e.g., [Matthias et al., 2000](#);
103 [Ben-Dor et al., 1999](#)); but, from our knowledge, the topsoil structure has not been studied
104 with VNIR/SWIR multispectral data. Finally, VNIR/SWIR multispectral imagery has been
105 successfully used to map the typology of the hydrological SSC classes according to a
106 predefined typology based on the infiltration rates instead of mapping the single SSC

107 attributes with multispectral images acquired by unmanned aerial vehicles (e.g., [Corbane et](#)
108 [al., 2008](#)).

109 The need for SSCs monitoring could be addressed by the use of remote sensing image
110 time series. The remote sensing image time series are mostly used as a chronicle of data in
111 which the temporal patterns of the spectral response are considered as inputs to
112 characterize elements of the land surface (e.g., crop type and land use management) (e.g.,
113 [Bellón et al., 2017](#); [Wang et al., 2019](#); [Vuolo et al., 2018](#)). Following this approach, [Belgiu](#)
114 [and Csillik \(2018\)](#) took into account the temporal sequences in a time series of Sentinel-2
115 data (the order of the Sentinel-2 data acquisition dates) to extract the temporal phenological
116 patterns and then classify the croplands. Their classifier inputs were the NDVI time series,
117 which were considered temporal phenological patterns, and the outputs were cropland maps
118 for each study area and for the entire selected period. Another example is the study of
119 [Rapinel et al. \(2019\)](#), which attempted to map floodplain grassland plant communities using
120 a time series of Sentinel-2 data (without considering the order of the Sentinel-2 data
121 acquisition dates) and a random forest method. Another approach consists in using remote
122 sensing image time series to detect changes between two dates or during a period (e.g.,
123 [Navarro et al, 2017](#)), for example based on differences in spectral indices (e.g., NDVI)
124 between images. Following this approach, [Sicre et al. \(2016\)](#) successfully used a time series
125 of FORMOSAT and SPOT data for summer crop detection based on a decision tree using
126 thresholds on NDVI values. Finally, another approach consists in using remote sensing
127 image time series as a succession of single-date remote sensing images, where each

128 remote sensing data acquisition is treated separately. The characterization of earth surface
129 elements (e.g., green vegetation stages, [Vuolo et al. 2018](#)) may be done at each date with
130 each single-date remote sensing data. From our knowledge about the SSCs that impact the
131 hydrological processes, only the green vegetation fraction has been studied both in time and
132 space using time series VNIR/SWIR multispectral data, such as the Chinese GF-1 data used
133 by [Jia et al. \(2016\)](#) and the LANDSAT data used by [Jia et al. \(2017\)](#).

134 The objective of this work was to explore the potential of the Sentinel-2 images to assess
135 three SSCs - the green vegetation fraction, dry vegetation fraction and physical soil surface
136 structure - at several dates. The study area and data are presented in section 2. The
137 methodology was described in section 3 based on random forest supervised classification
138 trained with field data. Finally, the results are presented in section 4 and discussed in section
139 5.

140

141

142 **2. Materials and Methods**

143 2.1 Study area

144 The study area is the Kamech catchment (2.63 km²) located on the Cap Bon peninsula in
145 north-eastern Tunisia ([Figure 1](#)) with a semi-arid climate. The Kamech catchment belongs
146 to the long-term environmental research observatory OMERE (Mediterranean Observatory
147 of Water and Rural Environment), which aims to investigate the anthropogenic impacts on
148 water and sediment budgets at the catchment scale ([Molénat et al., 2018](#)). The Kamech
149 catchment is characterized by rolling hills with a maximum drop of 110 m. The substrate

150 within the catchment formed from Miocene marine sediments, and is mainly composed of
151 alternations of slightly calcareous laminated mudstone and thin hard sandstone layers. The
152 soils were developed both over and from the Miocene deposits. The main soil types include
153 Calcil or Chromic Vertisols (52.5%), Clayic Calcisols (22%), Vertic Regosols (17%),
154 Leptosols (5%) and Colluvic Cambisols (3.5%), according to the FAO classification (WRB,
155 2015). These soils are characterized by a narrow and low range of soil organic matter
156 content (from 0.3 to 2 %), a moderate range of soil calcium carbonate (from 0.2 to 19.9 %)
157 and a large range of clay content (from 12 to 67 %) (Molenat et al., 2018). This area is mainly
158 rural (> 95%) and is devoted to cereals cultivation in addition to legumes and fodder for
159 animals. Cultivation practices throughout the Kamech catchment are representative of
160 traditional agriculture in the relief zone of Cap Bon peninsula.

161 **[Figure 1]**

162

163 Within the study area, crop emergence occurs between October and December, depending
164 on farmers and meteorological conditions. Agricultural practices between the harvest and
165 the growth of the new crop include several steps: 1) the harvest lets surface dry vegetation
166 (litter and/or crop stubble) (July to September), 2) a first surface ploughing is conducted after
167 the first rain (often in October over the Kamech catchment), 3) a second deeper ploughing
168 is conducted, approximately 15 days after the first ploughing, 4) the crops are seeded and
169 then 5) the crop is grown.

170 Finally, with inter-annual precipitation of 600 mm a most intense precipitations occur
171 between September and December (more than 350 mm) and lower precipitations occur
172 during the remaining months, with a very dry summer.

173

174 2.2 Field data

175 2.2.1. Field boundaries and land use map

176 The field boundaries and a land use map for Kamech were produced in 2016 through field
177 work with a handheld GPS (Figure 1c) (Jenhaoui et al., 2008). The observed land uses were
178 annual crops, natural vegetation, olive and fruit tree plantations, lakes, urban areas and
179 roads. The total number of crops over the study area is 384. These 384 fields are
180 characterized by a minimum, maximum and mean plot area of approximately 0.03, 1.4 and
181 0.59 ha, respectively (Table 1).

182

[Table 1]

183

184

185 2.2.2. Soil Surface Characteristic (SSC) observations

186 Starting in 2003, the SSCs were routinely observed in 34 plots of the Kamech catchment
187 dedicated to annual crops (red, blue and green fields on Figure 1c). The SSCs observations
188 follow a protocol initially proposed by (Andrieux et al., 2001), then adapted to the Kamech
189 catchment in Tunisia (Molénat et al., 2018). The protocol was initially based on works
190 developed by Leonard and Andrieux (1998) dedicated on the Roujan catchment (91 ha),
191 also belonging to the long-term environmental research observatory OMERE (Molénat et

192 [al., 2018](#)), which is located in Southern France about 60 km West of Montpellier, in a
193 Mediterranean context mostly devoted to vineyard culture. The 34 observed fields were
194 selected based on hydrological purposes, and the selected SSCs and their associated
195 ranges were chosen in regard to their effect on hydrological processes such as infiltration
196 rate and runoff generation ([Leonard and Andrieux, 1998](#); [Pare et al., 2011](#)).

197 The SSCs were routinely observed every 2 weeks on average during the September-
198 July period. As these SSCs field observations are dedicated to hydrological studies, e.g.
199 runoff and infiltration ([Leonard et Andrieux, 1998](#); [Pare et al., 2011](#)), observations timing is
200 adapted to meteorological conditions and farmers practices. No observations are conducted
201 in August because all crops are harvested and neither management practices nor rains
202 occur during this month. The field observations dates result from a trade-off between field
203 accessibility after rainfall events and known agricultural practices, including harvest
204 (occurring in July), ploughing (occurring from the first rains around October), and seeding
205 (occurring after soil ploughing, around November) until crop growth (occurring from mid-
206 November). From beginning of August to end of December 2016, six SSCs field
207 observations were done by the same operator and five were used in this work ([Table 2](#)).

208 Each SSC was described at field scale by the same operator by visual inspection, where
209 a field is an area of land used for one specific crop per cultivated season ([Figure 1c](#)). Only
210 one class was written down per field per SSC, regardless of the field size. When a single
211 field is composed by several classes of SSC, the operator writes down the majority class of
212 this SSC. First, the operator has to observe elements characterizing the soil, such as

213 ploughing, physical soil surface structure and roughness. Second, the operator has to
214 observe elements characterizing the soil coverage such as vegetation fraction and coarse
215 elements cover.

216 Three major SSCs were studied in this work: 1) the green vegetation fraction, 2) the dry
217 vegetation fraction and 3) the physical soil surface structure. The green vegetation fraction
218 was observed within the following six classes: green vegetation fraction of 0% (which means
219 total absence of green vegetation), $0\% < \text{green vegetation fraction} \leq 5\%$, $5\% < \text{green}$
220 $\text{vegetation fraction} \leq 25\%$, $25\% < \text{green vegetation fraction} \leq 50\%$, $50\% < \text{green vegetation}$
221 $\text{fraction} \leq 75\%$ and $75\% < \text{green vegetation fraction} \leq 100\%$. The dry vegetation fraction was
222 observed within the following six classes: dry vegetation fraction of 0% (which means total
223 absence of dry vegetation), $0\% < \text{dry vegetation fraction} \leq 5\%$, $5\% < \text{dry vegetation fraction}$
224 $\leq 25\%$, $25\% < \text{dry vegetation fraction} \leq 50\%$, $50\% < \text{dry vegetation fraction} \leq 75\%$ and 75%
225 $< \text{dry vegetation fraction} \leq 100\%$. The physical soil surface structure was observed within
226 six classes: dry soil surface without crust, aggregate or clod, mainly observed after a recent
227 tillage (noted F0); surface with fine and continuous crust, mainly observed after some
228 moderate rainfall without water flow (noted F1); surface with crust, mainly observed after a
229 heavy rain (may happen around October-November) or after a long period of dry climate
230 (may happen from August to end of September) (noted F2); saturated soil (called saturated);
231 and two intermediate classes which express transient states (between classes F0 and F1,
232 noted F0/F1 and between classes F1 and F2, noted F1/F2).

233 Among the 34 observed fields, twenty-three fields belong to a sub-catchment highly
234 observed (network of five hydrometric stations equipped with flumes) because of its high
235 runoff process and erosion, which is located in the Western side of the Kamech catchment
236 (red polygons, [Figure 1c](#)). Seven fields belong to the Eastern side of the Kamech catchment
237 (blue polygons, [Figure 1c](#)). The remaining four fields are located on the top North of the
238 Kamech catchment (green polygons, [Figure 1c](#)). These 34 observed fields were
239 characterized by a large diversity of shapes ([Figure 1c](#)) and a low diversity of sizes (from
240 0.07 to 1.27 ha, with a mean of 0.51 ha, [Table 1](#)). The SSCs were described by the same
241 operator along the crop season.

242

243

[Table 2]

244

245 *2.3 Remote Sensing data*

246 The ESA's Sentinel-2A satellite was launched on the 23th of June 2015. The satellite orbits
247 at an altitude of 786 km and has a swath width of 290 km. In 2016, it acquired multispectral
248 data with a revisit of 10 days in 13 bands covering the visible, NIR and SWIR spectral domain
249 with spatial resolutions ranging from 10 to 60 m. The three bands acquired at 60 m spatial
250 resolution (coastal at 443 nm, water vapour at 945 nm and cirrus at 1380 nm) were only
251 used to perform atmospheric corrections and cloud detection. For each date, the Level 2A
252 Sentinel-2 data were corrected from atmospheric effects using the MACCS (Multi-sensor
253 Atmospheric Correction and Cloud Screening) algorithm ([Hagolle et al., 2015](#); [Baetens et](#)

254 [al., 2019](#)), taking into account adjacency effects and illumination variations due to
255 topography. MACCS was specifically designed to process time series of optical images at
256 high resolution, acquired under quasi constant viewing angles. Output data from MAACS
257 algorithm were orthoimage Bottom-of-Atmosphere corrected reflectance images and were
258 obtained from the French space agency website (CNES, theia.cnes.fr). The six spectral
259 bands initially acquired with 20 m spatial resolution were resampled to 10 m. We used the
260 function "disaggregate" provided in the raster package ([Robert, 2019](#)) in R version 3.2.1 (R
261 Development Core Team, 2015). So the values in the resampled bands are the same as in
262 the larger original cells. Finally, the natural vegetation, olive and fruit tree plantations, lakes,
263 urban areas and roads were masked over each Sentinel-2 data using the land use map
264 (section 2.2.1) to keep only the 384 fields dedicated to annual crops. After this mask process,
265 the 384 fields which have to be classified represent 199 698 Sentinel-2 pixels over each
266 Sentinel-2 image. A total of 1264 pixels are associated to observed SSCs, based on the
267 survey over the 34 cultivated plots of the Kamech catchment. As the 34 observed fields were
268 characterized by a mean, minimum and maximal size of 0.51 ha, 0.07 ha and 1.27 ha ([Table](#)
269 [1](#)), respectively, from around 5 to 120 pixels were considered per field. So depending on the
270 field size, the SSC observations done at each date of field observation ([Table 2](#)) were
271 representative of 5 to 120 pixels.

272 The dates of Sentinel-2 images ([Table 2](#)) were chosen to fit the period of agricultural
273 practices realized after the harvest (July) and the summer season and until crop growth
274 (December). From beginning of August to end of December 2016, fifteen Sentinel-2 were

275 acquired over our study area. Among these fifteen acquisitions, five images had less than
276 10% cloud over the Kamech catchment and were kept.

277 The Sentinel-2 image acquired on the 4th of August 2016 was considered adequate
278 to align the field observations acquired on the 1st of September 2016 (Table 2) because
279 neither agricultural practices nor rainfall happened in August. Additionally, the Sentinel-2
280 image acquired on the 3rd of October 2016 was considered adequate to align with the field
281 observations acquired on the 28th of September 2016 (Table 2). The other Sentinel-2 images
282 were acquired with a maximum delay of 1 day relative to the field observations (Table 2).

283

284 **3. Methods**

285 This work explored two approaches to classify the targeted SSCs. Differently from
286 discrimination that attempts to separate distinct sets of objects, classification attempts to
287 allocate new objects to predefined groups (labels). A classification model (machine learning
288 approach) is firstly calibrated on a training set that involves examples already labelled with
289 class information and, successively it is deployed to perform classification of new unlabelled
290 data. To summarize, the main objective of a classification task is to categorize unlabelled
291 data in a predefined set of known classes. This paper explored two approaches to classify
292 the classes of targeted SSCs:

293 *i)* In the “single-date” approach, a Random Forest Classifier (RFC) model is trained
294 to classify one SSC_j , based on pixels extracted from one Sentinel-2 image acquired at t_i
295 (Figure 2A, steps 1 and 2). Once trained, the RFC model was then applied to this Sentinel-

296 2 image acquired at t_i (Figure 2A, step 6). Following this “single-date” approach, a RFC
297 model was built for classifying each SSC_j and trained from each Sentinel-2 image. As three
298 SSCs have to be classified at the five Sentinel-2 dates, fifteen RFC models were trained in
299 the “single-date” approach. These RFC models would be noted RF_sd_{i,SSC_j} where i is the
300 Sentinel-2 date (Table 2) and SSC_j is the SSC predicted by the model.

301 *ii)* In the “multi-date” approach, a RFC model is trained to classify one SSC_j , based on
302 pixels extracted from the five Sentinel-2 images (Figure 2B, steps 1 and 2). Once trained,
303 the RFC model was then applied to the five Sentinel-2 images (Figure 2B, step 6). Following
304 this “multi -date” approach, a RFC model was built for classifying each SSC_j and trained
305 from the five Sentinel-2 images. As three SSCs have to be classified, three RFC models
306 were trained in the “multi-date” approach. These RFC models would be noted $RF_md_{SSC_j}$
307 where SSC_j is the SSC predicted by the model. In this approach, the five images were used
308 to train the models without considering the chronological order of images.

309

310 The aim of using the “multi-date” approach compared to the “single-date” approach is to
311 increase the training data, in term of both number of predictors and number of labelled pixels,
312 compared to the “single-date” approach.

313 The classification models were developed in R version 3.2.1 (R Development Core
314 Team, 2015) using the caret package (Kuhn et al., 2016).

315

[Figure 2]

316

317

318 3.1 Random Forest

319 The random forest (RF) takes part of the ensemble machine learning techniques. The
320 random forest was developed by [Breiman \(2001\)](#) and demonstrated as the best classifier
321 among 179 classifiers arising from 17 families tested by [Fernández-Delgado \(2014\)](#). Its
322 effectiveness in remote sensing has been demonstrated due to its robustness (e.g., [Ok et
323 al., 2012](#)). The RF produces a large number of classification trees that contribute via a voting
324 system to classify data ([Kuhn et al., 2016](#)). As part of the ensemble machine learning
325 techniques, RF has higher accuracy than single classifiers as a group of classifiers performs
326 more accurately than any single classifier ([Ok et al., 2012](#)) and RF is considered as efficient
327 and effective even with non-normally distributed training data set ([Rodríguez-Galiano et al.,
328 2012](#)) which is the case of our datasets. [Belgiu and Dragu \(2016\)](#) proposed a review of the
329 limitations and advantages of the algorithm.

330 Two parameters need to be tuned: the number of trees (ntree parameter), which are
331 created by randomly selecting samples from the calibration samples, and the number of
332 variables used to split each tree node (mtry parameter). As reported by [Belgiu and Dragu
333 \(2016\)](#), most studies are performed using an ntree value of 500 because the errors are
334 stable before this number of classification trees is achieved. So we selected an ntree value
335 of 500 to fit with the outputs of [Belgiu and Dragu \(2016\)](#). [Belgiu and Dragu \(2016\)](#) also
336 reported that the mtry parameter is usually set to the square root of the number of input
337 variables. So we tested 8 values of mtry ranging between 2 and 10, and the optimal value

338 was defined for the best overall accuracy obtained when performing a 10-fold cross-
339 validation on the calibration dataset.

340

341 3.2 Calibration and Validation dataset

342 In the “single-date” approach, the full dataset is composed of the 1264 pixels extracted from
343 one Sentinel-2 image acquired at t_i (Figure 2A, step 2). The RF_{sd_i,SSC_j} models were trained
344 on a subset of 70% of this full dataset (i.e. 884 pixels), while the remaining 30% (i.e. 380
345 pixels) was used to test the performance of the model (Figure 2A, steps 3 and 5). The split
346 between training and test datasets was done following a stratified random sampling. Thanks
347 to this stratified random sampling, the calibration and test datasets are characterized by a
348 similar distribution of the targeted SSC_j .

349 In the “multi-date” approach, the full dataset is composed of 6320 pixels extracted from
350 the five Sentinel-2 images (1264 pixels extracted per Sentinel-2 image) (Figure 2B, step 2).
351 The RF_{md,SSC_j} model were trained on a subset of 70% of this full dataset (i.e. 4420 pixels),
352 while the remaining 30% (i.e. 1900 pixels) was used to test the performance of the models
353 (Figure 2B, steps 2 and 3). The split between training and test datasets was done following
354 a stratified random sampling, providing a similar distribution of the targeted SSC_j in the
355 calibration and test datasets.

356

357 3.3 Accuracy assessment

358 The overall accuracy and kappa coefficients, calculated on test data, were used to measure
359 the performance of the RF classifications (Cohen, 1960). Overall accuracy is commonly
360 measured as the percentage of pixels correctly classified in the validation dataset. The
361 kappa coefficient compares the observed accuracy with the expected accuracy resulting
362 from randomness. The kappa statistics are used to assess the proportion of the results that
363 is due to pure randomness, especially when classes with few individuals occur in the
364 classification process. A kappa coefficient of 1 indicates perfect classification, and a kappa
365 coefficient of 0 corresponds to a random classification (Congalton, 1991). Based on
366 Congalton and Green (1999), kappa values greater than 0.80 represent strong agreement
367 between the classification results and ground truth data, kappa values between 0.4 and 0.8
368 represent moderate agreement, and kappa values below 0.4 represent poor agreement. The
369 95% confidence intervals (95 % CI) of the overall accuracy were also calculated.

370 Accuracies of individual class were calculated in a similar way than overall accuracy.
371 The producer's accuracy was used to indicate the probability of a reference pixel being
372 correctly classified (Story and Congalton, 1986). The producer's accuracy for class A was
373 calculated as the ratio between the number of pixels correctly classified in class A and the
374 total number of reference pixels (ground true) for that class A. And user's accuracy was used
375 to indicate the probability that a pixel classified on the map represents the class on the field
376 (Story and Congalton, 1986). The user's accuracy for class A was calculated as the ratio
377 between the number of pixels correctly classified in class A and the total number of pixels
378 classified in class A.

379 The overall, producer's and user's accuracies, 95 % CI and Kappa-coefficient were
380 calculated with Caret R package (Breiman, 2001) by using *confusionMatrix* function.

381

382 3.4 Classification mapping

383 In the “single-date” approach, after validating a RF_{sd_i,SSC_j} model on the corresponding test
384 dataset for predicting the SSC_j (Figure 2A, step 5), the RF_{sd_i,SSC_j} model was applied to the
385 entire Sentinel-2 image acquired at date t_i (Figure 2A, step 6) providing one classification
386 map of the targeted SSC_j for the date t_i .

387 In the “multi-date” approach, after validating a $RF_{md_{SSC_j}}$ model on the test dataset
388 for predicting the SSC_j (Figure 2B, step 5), the $RF_{md_{SSC_j}}$ model was applied to the five
389 Sentinel-2 images (Figure 2B, step 6) providing five classification maps of the targeted SSC_j
390 (each classification map corresponding to one date of Sentinel-2 acquisition).

391

392 3.5 Classes aggregation from pixel to field scale

393 For each classification map, the classes affected to pixels were secondly aggregated at the
394 field scale using field boundaries (Figure 1c). The class labelling process for a field
395 intersecting a collection of pixels was fixed as the most frequent pixel class.

396 As it is expected to get similar class of each SSC at field scale, and as a highest frequent
397 class of pixels within a field may just result from pure randomness, an indicator of the non-
398 randomness of the most frequent pixel class was computed at field scale. The selected
399 indicator for a given field j was the probability value (*P-value*) resulting from a chi-squared

400 test, where $P\text{-value}_j$ denotes the probability of the χ^2 variable under pure random process
401 (H_0) with df degree of freedom for the given field j . The χ^2 variable with one degree of
402 freedom for any field j composed of n pixels is computed as follows:

$$403 \quad \chi^2_j = \frac{(\hat{F}_j - F)^2}{F^2} \quad (1)$$

404 where \hat{F}_j is the frequency of the most frequent class for field j and F is the theoretical one
405 resulting from a binomial random law, knowing the overall proportion of this class against
406 others at the entire image scale. For a given field j , the $P\text{-value}_j$ lower than 0.05 indicates
407 that the observed higher frequency in a field is significant and does not result from
408 randomness.

409

410

411 **4. Results**

412 4.1 Preliminary analysis of observed SSCs

413 The distribution of the observed classes did not follow a normal distribution, regardless of
414 the date and the SSC (Figure 3). The green vegetation fraction mainly varied because of the
415 tillage and secondarily the meteorological conditions that drove vegetation growth. Only one
416 class was observed in August (0%, Figure 3a) as crops were harvested between June and
417 July, and the dry and hot weather during this period prevented any grass growth. From
418 September, the number of observed green vegetation fraction classes increased over time
419 to reach 6 classes in December (Figure 3a) after seeding and crop emergence. During the
420 selected period, only four classes were represented for the dry vegetation fractions: 5% <
421 vegetation fraction \leq 25%, 25% < vegetation fraction \leq 50%, 50% < vegetation fraction \leq

422 75% and $75\% < \text{vegetation fraction} \leq 100\%$ (Figure 3b). The dry vegetation fraction varies
423 due to vegetation decomposition and the management practices, such as tillage. The four
424 classes of dry vegetation were never observed on the same date (Figure 3b). From August
425 to December, the number of dry vegetation fraction classes decreased over time to reach
426 only one class in December (0%, Figure 3b) after tillage, seedling and crop emergence. The
427 physical soil surface structure varied mainly due to rainfall and secondarily as a result of
428 management practices. The six classes of the physical soil surface structure were never
429 observed on the same date (Figure 3c). Most of the fields were characterized by a transient
430 state of F1/F2 whatever the date. And numerous saturated fields were observed in 21th of
431 November and 2^{sd} of December.

432 Green and dry vegetation fraction were inversely correlated as in August, the absence
433 of green vegetation is associated to a high proportion of dry vegetation (Figure 3a and b),
434 and then more the green vegetation fraction increase, more the dry vegetation fraction
435 decreases. Whereas the soil surface structure was not correlated to the vegetation fraction.

436 [Figure 3]

437

438

439 4.2 Classification models performances

440 Among the fifteen $RF_sd_{i,SSCj}$ models initially planned to be built, the $RF_sd_{1,green}$ and
441 $RF_sd_{5,dry}$ models have not enough classes to be trained (only one class was observed,
442 Figure 3a and 3b) so these two models were not built. The remaining thirteen $RF_sd_{i,SSCj}$
443 models were trained from their dedicated training dataset and tested on their dedicated test

444 datasets (Figure 2A, steps 2, 3 and 4). Nevertheless, when the training and test datasets
445 are very unbalanced, the models performances must be considered carefully, as for
446 *RF_sd_{2,dry}*, *RF_sd_{3,dry}*, *RF_sd_{4,dry}* and *RF_sd_{3,struct}* (Figure 3b and c; in grey and italics in Table
447 3). Considering the almost-balanced training and test datasets, only nine *RF_sd_{i,SSCj}* models
448 can be explored. The *RF_sd_{2,green}* and *RF_sd_{1,struct}* provided the highest performances with
449 an overall accuracy and kappa of 0.93 and 0.82, respectively, whereas the *RF_sd_{3,green}*
450 provided the lowest overall accuracy and kappa of 0.84 and 0.76, respectively (Table 3).
451 These *RF_sd_{i,SSCj}* models provided high user's accuracies, such as the *RF_sd_{3,green}* model
452 ranging from 77.0 % to 86.2 % (Table 4). The *RF_sd_{i,SSCj}* models provided also high
453 producer's accuracy, such as the *RF_sd_{3,green}* model ranging from 67.6 % to 85.7 % (Table
454 4).

455 The three *RF_md_{SSCj}* models were trained from training datasets and tested on their
456 dedicated test datasets (Figure 2B, steps 2, 3 and 4). The classification performances
457 obtained from *RF_md_{green}* and *RF_md_{dry}* on test datasets extracted from S2 images acquired
458 on 4th of August and 2^{sd} of December, respectively, have to be considered carefully as only
459 one class was represented on these test datasets (0% and 5-25%, respectively, Figure 3a
460 and b). These three *RF_md_{SSCj}* models provided high user's accuracies, such as the
461 *RF_md_{green}* model ranging from 74.2 % to 92.6 % (Table 4). The *RF_md_{green}* models provided
462 also high producer's accuracy, such as the *RF_md_{green}* model ranging from 78 % to 84.5 %
463 (Table 4).

464 Finally, the performances of the $RF_sd_{i,green}$ models were slightly superior to those of
465 the RF_md_{green} model (Table 3). As well the performances of the $RF_sd_{i,struct}$ models were
466 slightly superior to those of the RF_md_{struct} model (Table 3). Additionally, no difference in the
467 performance behaviour of the $RF_sd_{i,dry}$ models and the RF_md_{dry} model were underlined
468 for the classification of the dry vegetation fraction (Table 3).

469

470

471 [Table 3]

472

473 [Table 4]

474

475 4.3 Classification maps

476 Once the RF models were calibrated following both approaches, they were applied to their
477 corresponding Sentinel-2 images. The resulting classifications were aggregated at the field
478 scale using the field boundaries map (Figure 1c), and the majority class was maintained to
479 label the field.

480 Only the classes used in the training database can be predicted by the $RF_sd_{i,SSCj}$
481 models (Figure 2A). For instance, because only three classes of the green vegetation
482 fraction were observed throughout the 34 fields on the 3rd of November 2016 (Figure 3a),
483 the classification map of the green vegetation fraction over Kamech using the $RF_sd_{3,green}$
484 model contains only three classes (Figure 4A1). As well, as only two classes of dry
485 vegetation fraction were observed over the 34 fields on the 3rd of November 2016 (Figure

486 3b), the classification map of the dry vegetation fraction over Kamech using the $RF_{sd_{3,dry}}$
487 model contains only these two classes (Figure 4B1).

488 With the use of the “multi-date” approach, which calibrates a unique classification model
489 per SSC from the five Sentinel-2 images and field observations (Figure 2b), all the classes
490 can be predicted. Hence, whereas only three classes of green vegetation fraction were
491 observed over the 34 fields on the 3rd of November 2016 (Figure 3a), the classification map
492 obtained from the $RF_{md_{green}}$ model shows five classes (Figure 4A2). As well, whereas only
493 two classes of dry vegetation fraction were observed throughout the 34 fields on the 3rd of
494 November 2016 (Figure 3b), the classification map obtained from the $RF_{md_{dry}}$ model
495 contained three classes (Figure 4B2). Moreover, whereas all classes could be predicted as
496 they were represented in the calibration dataset, the classification maps for each date that
497 were obtained using the “multi-date” approach do not contain all the classes (Figures 4A2,
498 B2 and C2).

499 [Figure 4]

500

501

502 4.4 Significance of classifications

503 The frequency of the majority class within a field may reflect the variability of the
504 classifications at the field scale and thus may give information on the classifications
505 uncertainty at this scale given that the fields are expected to show limited internal variability.

506 The significance of the majority class was studied based on the chi-squared test, which
507 determined whether there was a significant difference between the i) expected frequency of

508 the class due to the random and *ii*) observed frequency of the majority class in each field.
509 The *P-value_j* was calculated for each field *j*, at each date and for each SSC (Figures 5 and
510 6). The field *j* associated to *P-value_j* lower than 0.05 indicates that the observed higher
511 frequency in this field *j* is significant and does not result from randomness.

512 A majority of fields are associated to a low variability of classifications (*P-value* < 0.05)
513 (example in Figures 5a and b, Figure 6). The median of the *P-values* was near 0, and the
514 third quartile was lower than 0.2 regardless of the SSC, date and approach (Figure 6). The
515 p-values obtained for green vegetation were higher than the p-values obtained for other
516 SSCs, except on the 4th of August 2016 and 3rd of October 2016 with the “multi-date”
517 approach (Figure 6b). Finally, regardless of the SSC, approach or date, no spatial pattern
518 appeared in the p-value mapping (Figures 5a and b), as fields associated to high variability
519 of classifications (*P-value* > 0.05) are not the same from one approach to the other (example
520 in Figures 5a and b).

521 **[Figure 5]**

522 **[Figure 6]**

523

524

525 4.5 Classification comparisons between both approaches

526 The maps obtained by both approaches for the same *SSC_j* and date *t_i* may present some
527 classification differences. These classification differences between the both approaches for
528 the same SSC and date were calculated at the field scale as the percentage of fields

529 classified differently from one approach to the other one for each SSC_j and each date t_i
530 (Table 5). The most important difference in classification between both approaches was
531 obtained for the green vegetation fraction classification on the 22nd of November (Table 5).
532 A less important difference in the classifications between both approaches was obtained for
533 the dry vegetation fraction classification, still on the 22nd of November (Table 5).

534 Large differences in classification between both approaches and for the three SSCs
535 were observed on the 3rd of October. This could be explained by the interval of 5 days
536 between the field observation date (28th of September 2016) and Sentinel-2 acquisition date
537 (3rd of October 2016). Some agricultural practices may have happened during these 5 days
538 and changed the SSCs, which may have caused flawed associations between the image
539 and the ground information, which may have caused misclassification. Moreover, as this
540 image was slightly cloudy (less than 5% and outside of our study area), these
541 misclassifications may also have been related to flawed atmospheric corrections.

542 Finally, no correlation was observed between the number of observed classes on
543 field at t_j and the percent difference of the classification between both approaches (Table
544 5).

545 [Table 5]

546

547 **5. Discussion**

548 ***Models performances analysis***

549 From the overall accuracy and kappa values, our results showed that both the $RF_sd_{i,SSCj}$
550 and RF_md_{SSCj} models provided correct classifications for the three SSCs (Table 3). The

551 good performances obtained for dry vegetation fraction classification are in agreement with
552 the ones obtained with LANDSAT data by [Van Deventer et al. \(1997\)](#) and the ones obtained
553 with ASTER data by [Serbin et al. \(2009\)](#). The good performances obtained for green
554 vegetation fraction classification are in agreement with the ones obtained with Sentinel-2 data
555 by [Wang et al. \(2018\)](#) and the ones obtained with LANDSAT data by [Jia et al. \(2017\)](#).

556 Nevertheless, as our validation and calibration sets were not completely independent,
557 our overall accuracy and kappa values may have been over-estimated as the pixels in the
558 validation dataset belonged to the same fields as the pixels in the calibration dataset. To be
559 absolutely independent, the validation dataset should be composed of pixels from other
560 fields than those used to calibrate the classification model. However, this perfect
561 independence can be ensured only when the number of observed fields is large enough to
562 be divided into calibration and validation fields, which is rarely the case as field observations
563 are time consuming and costly, especially in case of time series.

564

565 ***Advantages and limitations of both approaches***

566 The “single-date” approach consists in training a RFC model RF_{sd_i,SSC_j} from a calibration
567 database extracted from one Sentinel-2 image t_i , to be applied to a test database extracted
568 from the same image t_i and then to be applied to the entire image t_i ([Figure 2A](#)). The “multi-
569 date” approach consists in training a RFC model $RF_{md_{SSC_j}}$ from a calibration database
570 extracted from our five Sentinel-2 images, to be applied to a test database extracted from
571 the five Sentinel-2 images and then to be applied to each image ([Figure 2B](#)).

572 Compared with the “single-date” approach, the use of five Sentinel-2 images in the
573 “multi-date” approach for classifying SSCs allowed to increase the calibration dataset in term
574 of both number of calibration samples and number of observed classes. So the “multi-date”
575 approach allowed predicting a class A of an SSC at a date i , even if this class A was not
576 observed by the operator on this date i .

577 However, the use of these five Sentinel-2 images in $RF_{md_{SSCj}}$ models provided
578 slightly lower performances compared with the $RF_{sd_{i,SSCj}}$ models (Table 3). As the
579 calibration datasets used in $RF_{md_{SSCj}}$ models were based on five Sentinel-2 images (Figure
580 2B), the calibration datasets may contain some slight reflectance heterogeneity due to
581 differences in acquisition dates of Sentinel-2 images and so in atmosphere conditions and
582 corrections which may impact the $RF_{md_{SSCj}}$ models. Slight reflectance differences have
583 been observed between Sentinel-2 spectra acquired on same targets but corrected by
584 different atmospheric methods (Martins et al., 2017; Sola et al., 2018). As well, it can be
585 guess that slight reflectance differences may be observed between Sentinel-2 spectra
586 acquired on same targets, corrected by same atmospheric method, but acquired on different
587 dates.

588 Finally, whereas most publications have studied dynamic multispectral signals for one
589 final classification (such as Lenney et al. (1996) or Bagan et al. (2005), who used multi-
590 temporal NDVI from LANDSAT and MODIS data, respectively, for land cover classification),
591 whatever our approach applied on the time series of Sentinel-2 images, both the spatial and
592 temporal information of the SSCs were obtained.

593

594 **Other approach for future**

595 Another approach in future experiments may use some Sentinel-2 images for calibration and
596 an independent Sentinel-2 image for testing, all images acquired over the same study area.
597 This approach would allow the temporal extension of SSCs classifications to other dates.
598 Nevertheless, the potential of this approach might be affected due to soil characteristics
599 differences (e.g., differences of soil humidity) or atmospheric effects differences between
600 calibration and test images. This approach would require i) a calibration from Sentinel-2
601 dataset images associated with field observations that include all classes of the SSCs and
602 ii) focusing on how to manage such surface directional effects radiometric and seasonal

603 shifts in the classification process. From our knowledge, this approach was never tested,
604 whatever the target (SSCs, land use, etc.).

605

606 ***Classification uncertainties***

607 Calibration of the classification models required the collection of ground truth data and
608 remote sensing images to be as close together as possible, as the SSCs are highly variable
609 both in space and time, depending from punctual anthropic actions. Without this close
610 acquisition, there is uncertainty in the match between ground truth data and spectral
611 information, which may negatively impact the classification results. Indeed, when field-
612 observed data are collected before remote sensing images, some agricultural practices
613 (e.g., ploughing, weeding and seeding) that occur between the data collections may change
614 the reflectance signal, causing the field-observed data to not correspond with the recorded
615 signal. In addition, when remote sensing images are collected before field-observed data,
616 some agricultural practices that occur between the data collections may be recorded in the
617 field observations but not in reflectance signals. A good field expertise is necessary, as it
618 may help to estimate an acceptable interval between field observations and remote sensing
619 data acquisition.

620 In our case, the uncertainties in the classification obtained on the 4th of August were
621 estimated as null, as no agricultural practices happened between the Sentinel-2 acquisition
622 on the 4th of August and the field observations on the 1st of September. Inversely, the
623 uncertainties in the classification obtained on the 3rd of October may be present, as
624 agricultural practices may have occurred between the Sentinel-2 acquisition on the 3rd of
625 October and the field observations on the 28th of September.

626 As the SSCs presented strong spatial and temporal variability, each class of SSCs was
627 not represented in the same manner at each observation date (Figure 3). This unbalanced
628 distribution of classes may have produced high uncertainties in the classification results. For
629 example, only two classes of dry vegetation were observed on the 3rd of November, and

630 among both classes, the class 5-25% was overrepresented. Therefore, the classifications
631 obtained by the “single-date” approach with this highly unbalanced distribution of classes
632 must be exploited very carefully.

633

634

635 **6. Conclusions**

636 The spatiotemporal monitoring of SSCs is still one of the major challenges for soil infiltration
637 processes modelling, as it is a costly and time-consuming procedure. The successful recent
638 deployment of the Sentinel-2 satellites created a unique opportunity to address the need for
639 the characterization of the earth surface elements both in space and time, including the soil
640 surface characteristics. This study suggested that the proposed approaches applied on a
641 time series of Sentinel-2 images provided spatiotemporal information on three SSCs linked
642 to soil infiltration processes: the green vegetation fraction, dry vegetation fraction and
643 physical soil surface structure. Futures works may focus on combining these SSC maps
644 obtained at each date by the time series remote sensing data, to produce maps of infiltrability
645 classes using pedotransfer functions or typology of the hydrological SSC classes as
646 suggested by [Andrieux et al. \(2001\)](#). Another future study could test a direct mapping of the
647 infiltration classes, following [Corbane et al. \(2008\)](#), who demonstrated that several
648 hydrological SSC classes could be distinguished on the basis of spectral and spatial
649 information collected with aerial RGB photographs over Mediterranean vineyard areas.
650 Finally, although the multispectral remote sensing data acquisition is still increasing and
651 although the data are free and shared thanks to the ESA Copernicus programme, one
652 remaining issue may arise from the limitations in the field data, still necessary for calibrating
653 the classification models. Thus, concurrently with this remote sensing data acquisition and
654 sharing, a special effort could be made on field data acquisition and sharing.

655

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662

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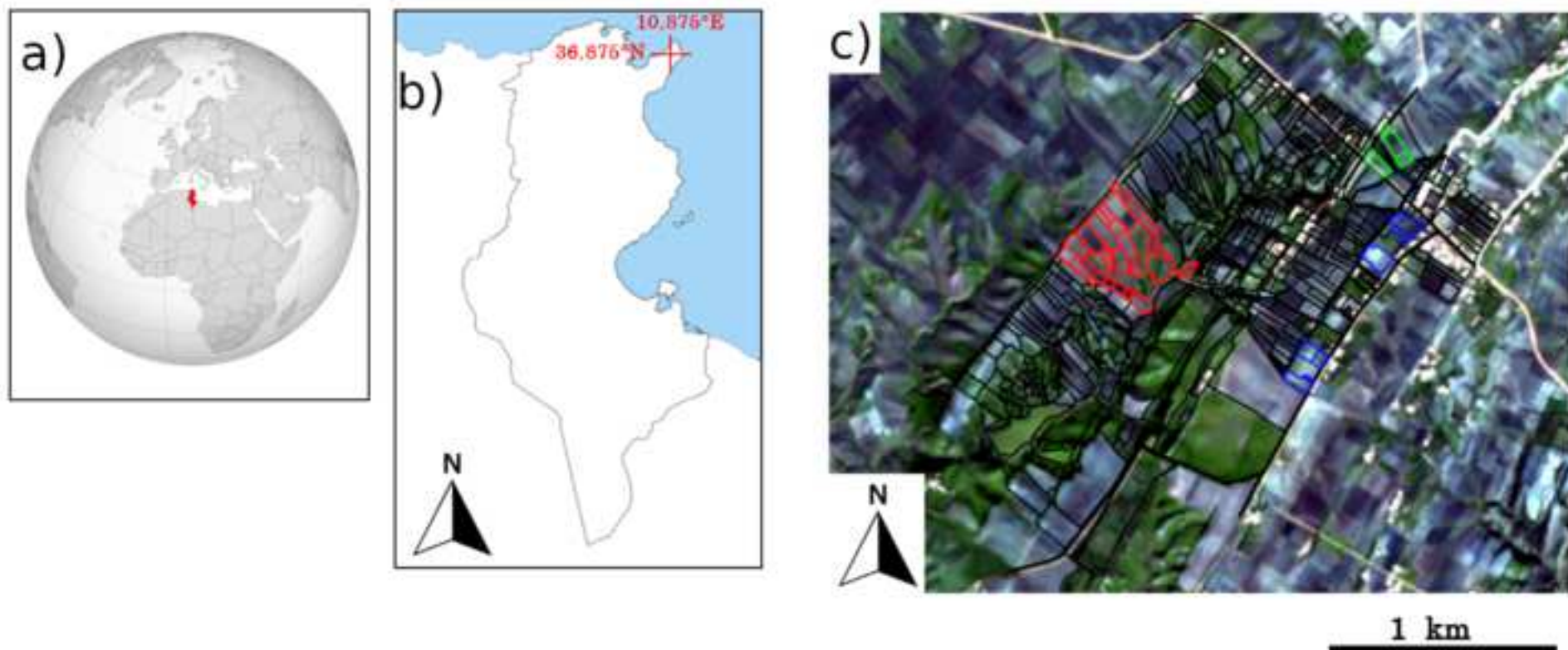
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Figure 1



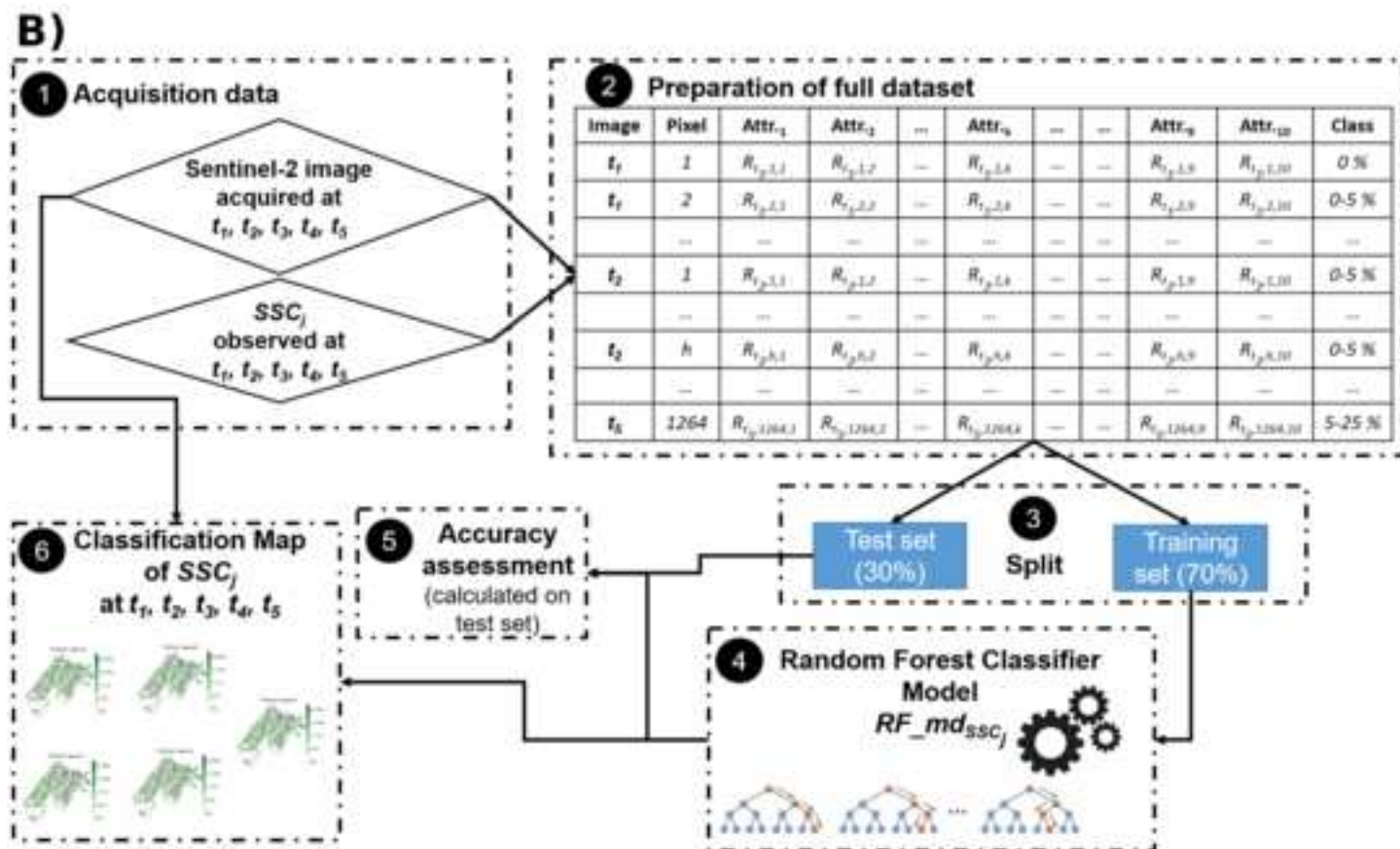
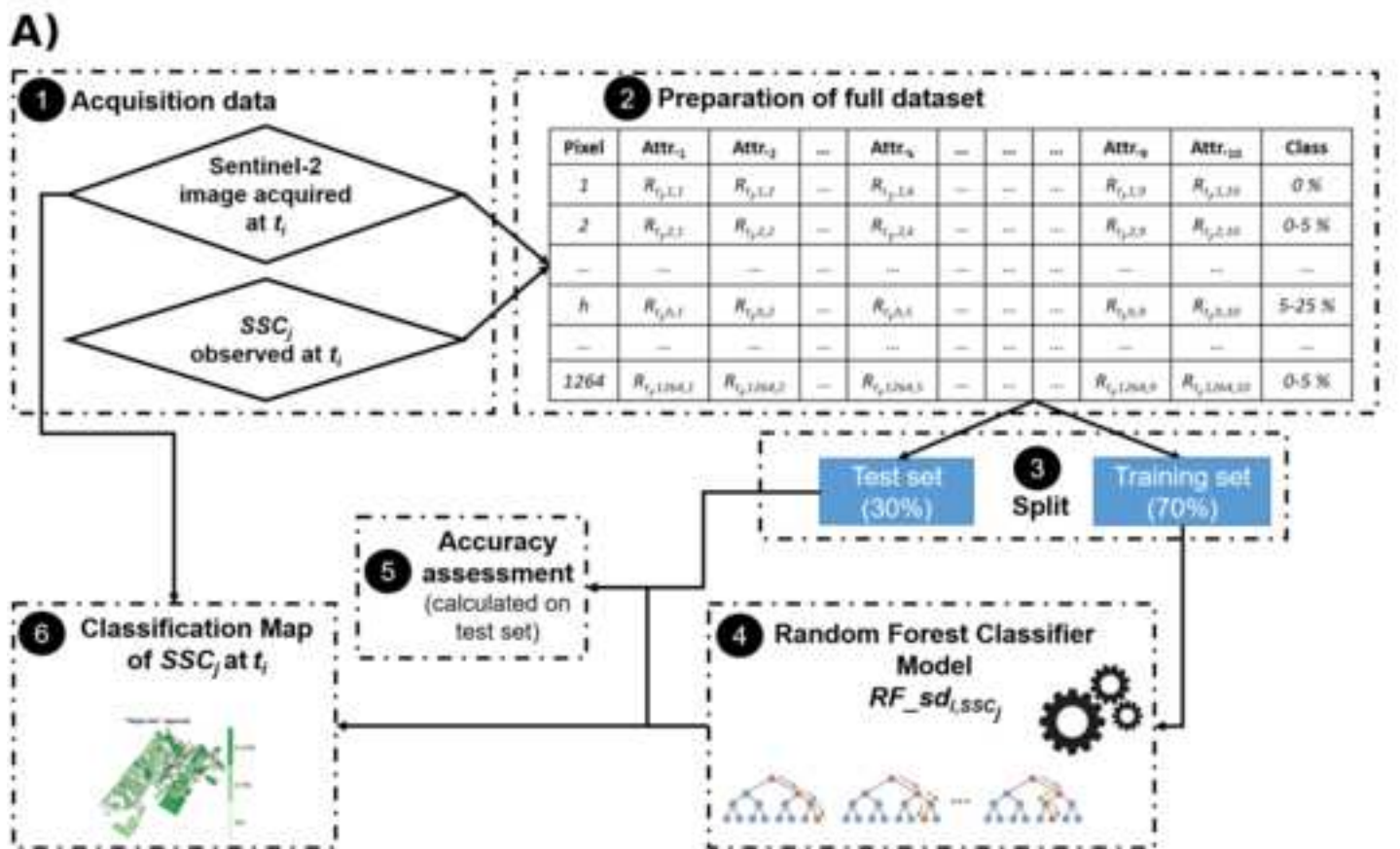


Figure3

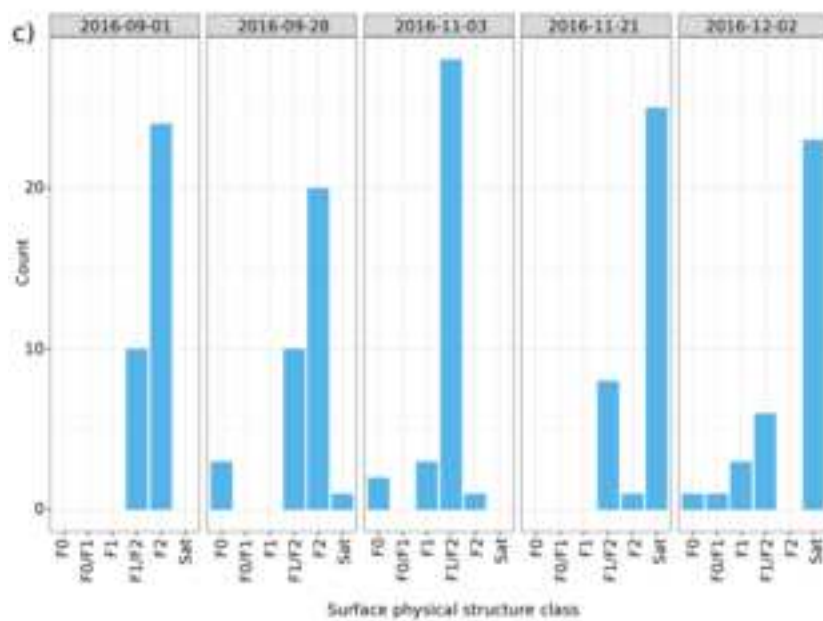
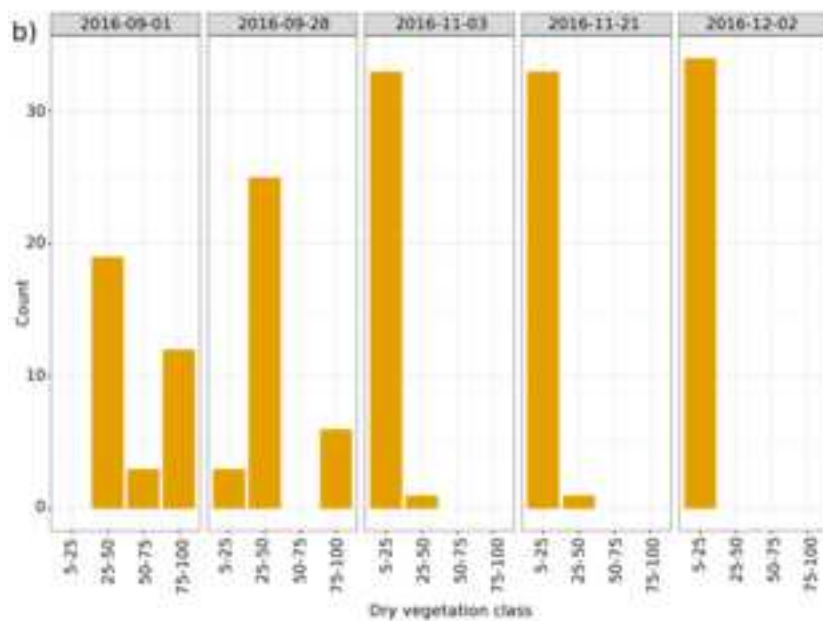
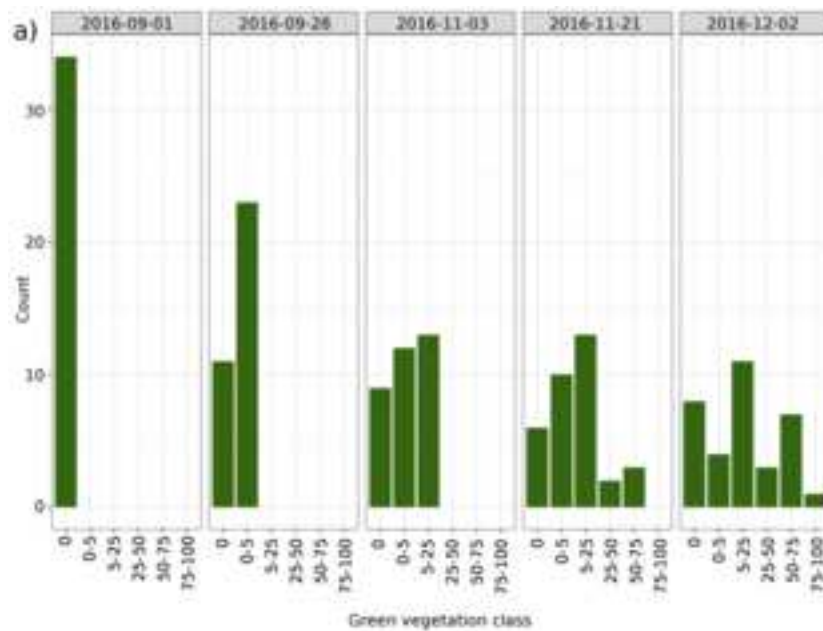


Figure4

Arrire-plan

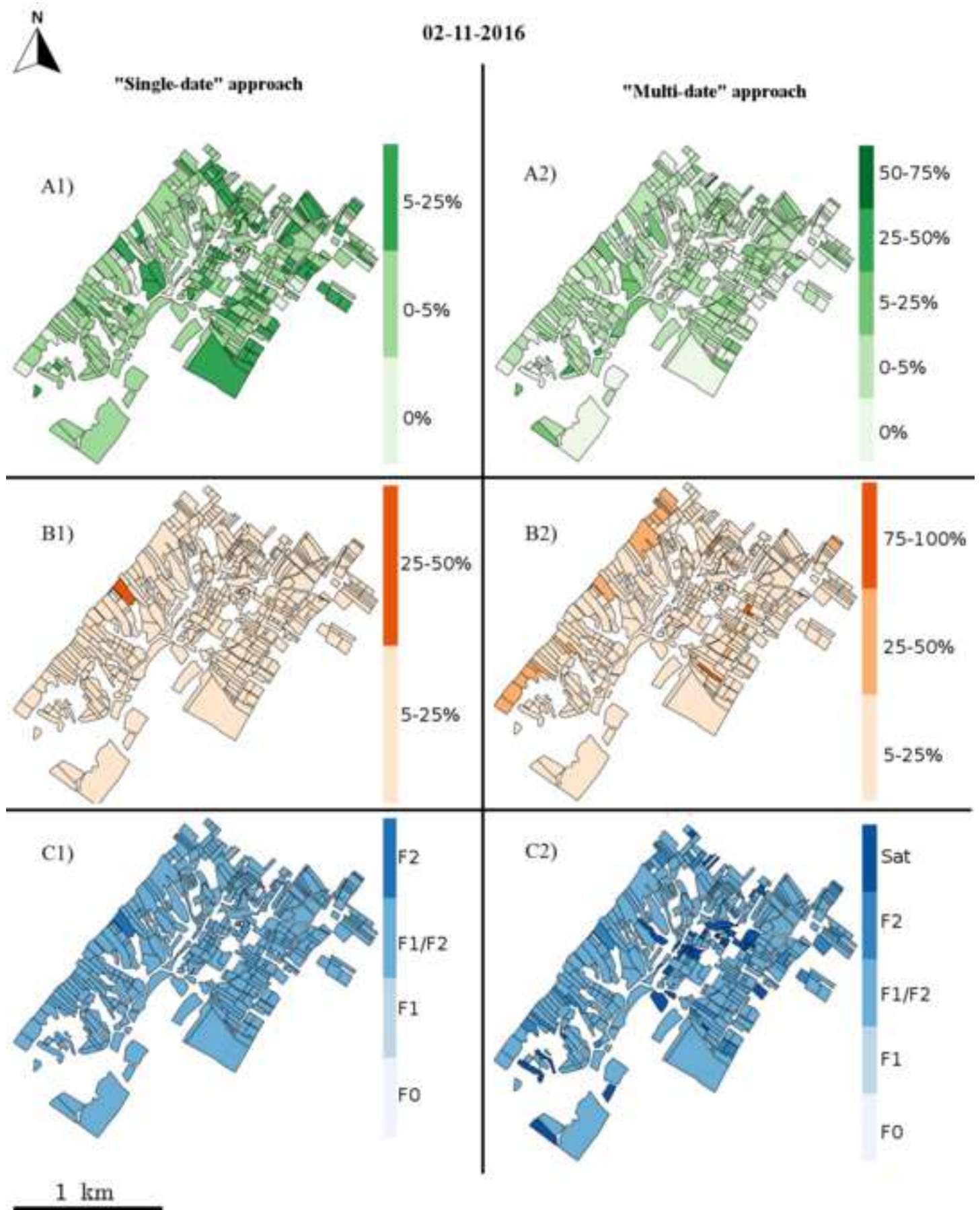


Figure5

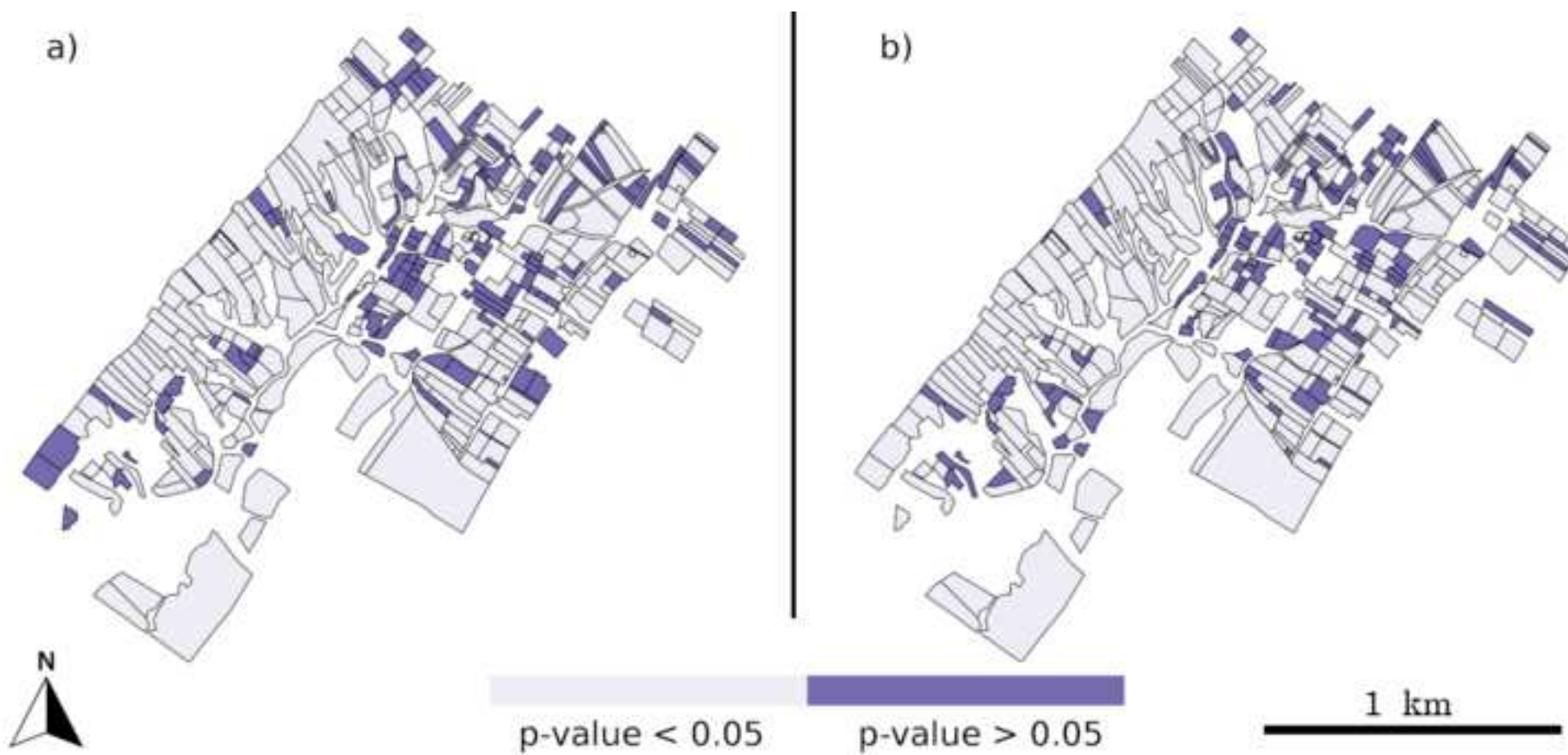


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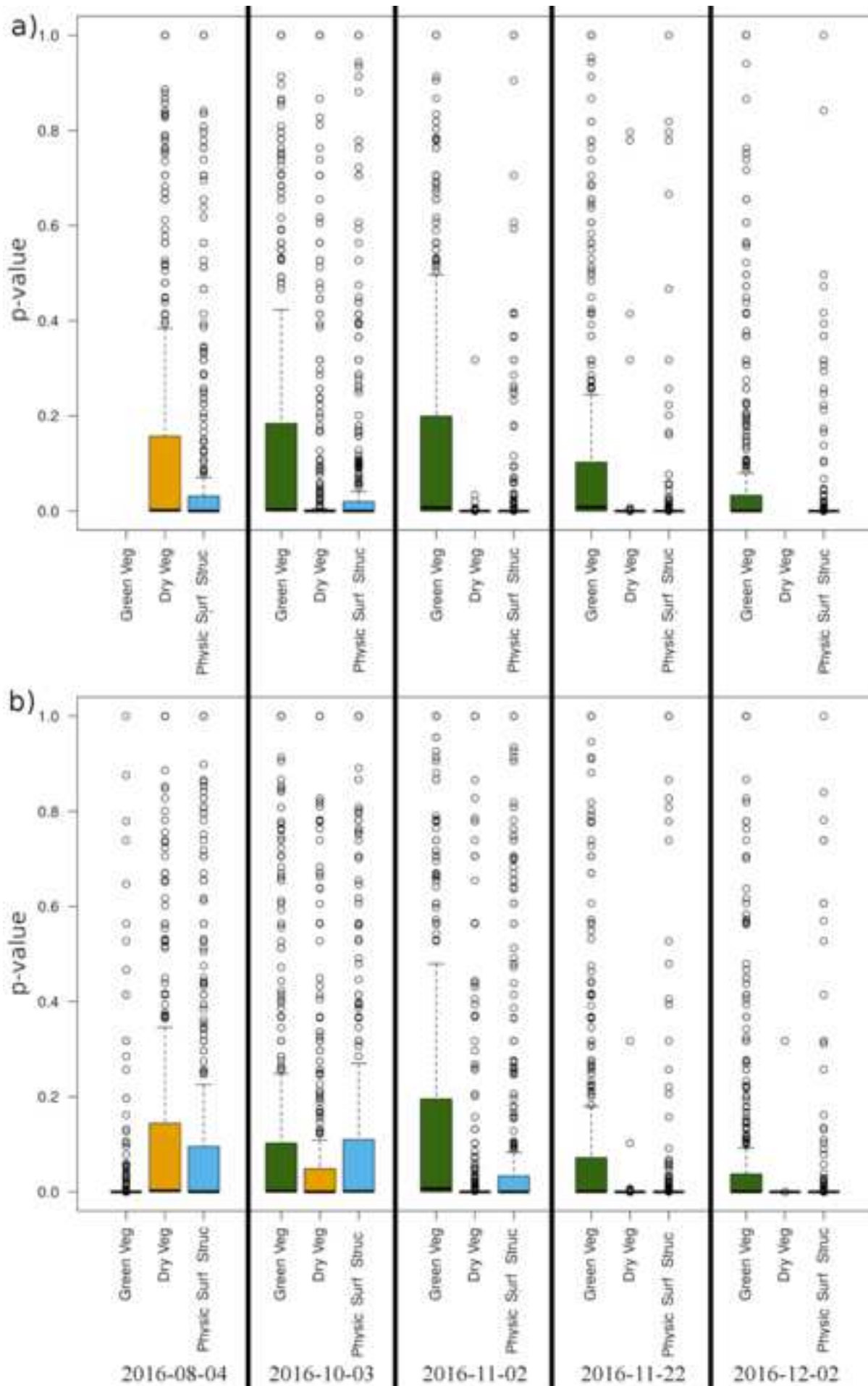


Figure 1: a) Location of Tunisia in Africa, b) Location of the Kamech catchment on Cap Bon peninsula and c) Field boundaries in the Kamech catchment, plotted over a Sentinel-2 image acquired on the 4th of August 2016 (the 34 observed fields are indicated in red, blue and green depending on their location).

Figure 2: Workflow of the SSC classification using the A) “single-date” and B) “multi-date” approach, where $R_{i,h,k}$ is the reflectance value acquired over the pixel h (h varying from 1 to 1264) at the spectral band k (k varying from 1 to 10) for the Sentinel-2 image acquired at t_i (i varying from 1 to 5).

Figure 3: Distribution of the **a)** green vegetation, **b)** dry vegetation, and **c)** physical soil surface structure classes observed in the field over 34 agricultural plots on five dates (Y-M-D).

Figure 4: Majority class at the field scale, obtained with the Sentinel-2 image acquired on the 2nd of November 2016 using A1) the $RF_sd_{3,green}$ model, A2) the RF_md_{green} model, B1) the $RF_sd_{3,dry}$ model and B2) the RF_md_{dry} model C1) the $RF_sd_{3,struct}$ model and C2) the RF_md_{struct} model.

Figure 5: *P-values* of the chi-squared test obtained from the Sentinel-2 image acquired on the 2nd of November 2016 using the a) $RF_sd_{3,green}$ and b) RF_md_{green} model. In clear purple: *P-values* < 0.005 referring to a significant frequency. In dark purple: *P-values* > 0.005 referring to frequency close to randomness.

Figure 6: *P-values* of the chi-squared test for green vegetation fraction (green), dry vegetation fraction (orange) and physical soil surface structure (blue), obtained by a) the “single-date” approach and b) the “multi-date” approach.

Table 1: Statistics of area (in ha) on fields.

	All fields over Kamech	34 fields with observed SSCs
Min	0.03	0.07
Max	14	12.27
Mean	0.59	0.51
Standard Deviation	1.04	0.32

Table 2: Acquisition dates of the five Sentinel-2 images and associated field observations dates where i is the date number. **There was neither cultural operation nor significant rainfall during this time period.*

Date Number i	Date of Sentinel 2 images acquisition (Y-M-D)	Date of field observations (Y-M-D)	Number of days between images acquisition and field observation
1	2016-08-04	2016-09-01	28*
2	2016-10-03	2016-09-28	5
3	2016-11-02	2016-11-03	1
4	2016-11-22	2016-11-21	1
5	2016-12-02	2016-12-02	0

Table 3: Overall accuracy, 95% confidence intervals and kappa calculated from the test datasets for each model ($RF_sd_{i,SSC}$ and RF_md_{SSC}). When no $RF_sd_{i,SSC}$ has been built because of insufficient number of class (i.e., $RF_sd_{1,green}$ and $RF_sd_{5,dry}$), the cells were darkened. The values calculated from test datasets composed by two unbalanced observed classes are indicated in grey and italics. The performances of RF_md_{SSC} models calculated from test datasets composed by only one observed class are indicated in italics.

Date of Sentinel 2 image acquisition (Y-M-D)	2016-08-04	2016-10-03	2016-11-02	2016-11-22	2016-12-02	
Date Number i	1	2	3	4	5	
$RF_sd_{i,green}$	Overall accuracy		0.93	0.84	0.85	0.88
	95% CI		[0.9 - 0.94]	[0.82 - 0.87]	[0.82 - 0.88]	[0.84-0.91]
	Kappa		0.82	0.76	0.79	0.84
$RF_sd_{i,dry}$	Overall accuracy	0.89	<i>0.89</i>	<i>0.99</i>	<i>0.98</i>	
	95% CI	[0.87 - 0.92]	<i>[0.87 - 0.92]</i>	<i>[0.99 - 1]</i>	<i>[0.97 - 1]</i>	
	Kappa	0.8	<i>0.7</i>	<i>0.93</i>	<i>0.9</i>	
$RF_sd_{i,struc}$	Overall accuracy	0.93	0.88	<i>0.95</i>	0.92	0.89
	95% CI	[0.91 - 0.95]	[0.85 - 0.9]	<i>[0.92 - 0.97]</i>	[0.89 - 0.95]	[0.85 - 0.91]
	Kappa	0.82	0.78	<i>0.83</i>	0.8	0.76
RF_md_{green}	Overall accuracy	<i>1</i>	0.91	0.79	0.8	0.81
	95% CI	<i>[0.98 - 1]</i>	[0.88 - 0.93]	[0.75 - 0.83]	[0.76 - 0.84]	[0.77 - 0.85]
	Kappa	<i>0</i>	0.77	0.69	0.73	0.74
RF_md_{dry}	Overall accuracy	0.88	<i>0.9</i>	<i>0.94</i>	<i>0.99</i>	<i>1</i>
	95% CI	[0.84 - 0.91]	<i>[0.87 - 0.93]</i>	<i>[0.91 - 0.95]</i>	<i>[0.97 - 0.99]</i>	<i>[0.99 - 1]</i>
	Kappa	0.78	<i>0.76</i>	<i>0.69</i>	<i>0.95</i>	<i>0</i>
RF_md_{struc}	Overall accuracy	0.93	0.85	<i>0.91</i>	0.91	0.86
	95% CI	[0.89 - 0.94]	[0.8 - 0.87]	<i>[0.87 - 0.93]</i>	[0.87 - 0.93]	[0.82 - 0.89]
	Kappa	0.82	0.7	<i>0.74</i>	0.79	0.68

Table 4: User's and producer's accuracy obtained on test datasets using the $RF_{sd_{3,green}}$ model (i.e., built from the Sentinel-2 image acquired on the 2nd of November 2016) and the $RF_{md_{green}}$ model.

		Classes on the 2nd of November		
		2016 ($i=3$)		
		0%	0 – 5 %	5 – 25 %
$RF_{sd_{3,green}}$	user's accuracy (%)	86.2	77.0	80.7
	producer's accuracy (%)	67.6	85.7	83.4
$RF_{md_{green}}$	user's accuracy (%)	74.2	78.4	92.6
	producer's accuracy (%)	80.2	84.5	78

Table 5: Percentage of classification differences, calculated at the field scale, between maps obtained from $RF_sd_{i,green}$ and RF_md_{green} , from $RF_sd_{i,dry}$ and RF_md_{dry} and from $RF_sd_{i,struct}$ and RF_md_{struct} . When no $RF_sd_{i,SSCj}$ has been built because of insufficient number of class (i.e., $RF_sd_{1,green}$ and $RF_sd_{5,dry}$), the comparison was impossible so the cell was darkened.

Date of images acquisition t_{im_i} (Y-M-D)		2016-08-04	2016-10-03	2016-11-02	2016-11-22	2016-12-02
Date Number i		1	2	3	4	5
Green vegetation fraction	Number of observed classes	1	2	3	5	6
	% of mapping differences obtained between $RF_sd_{i,green}$ and RF_md_{green}		19.5	41.9	42.7	11.7
Dry vegetation fraction	Number of observed classes	3	3	2	2	1
	% of mapping differences obtained between $RF_sd_{i,dry}$ and RF_md_{dry}	18.0	32.0	9.4	0.3	
Physical soil surface structure	Number of observed classes	2	4	4	3	5
	% of mapping differences obtained between $RF_sd_{i,struct}$ and RF_md_{struct}	13.3	39.3	18.5	3.6	2.1