

Classification of pig calls produced from birth to slaughter according to their emotional valence and context of production

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31 **Abstract**

32 Vocal expression of emotions has been observed across species and could provide a non-invasive and reliable
33 means to assess animal emotions. We investigated if pig vocal indicators of emotions revealed in previous
34 studies are valid across call types and contexts, and could potentially be used to develop an automated emotion
35 monitoring tool. We performed an analysis of an extensive and unique dataset of low (LF) and high frequency
36 (HF) calls emitted by pigs across numerous commercial contexts from birth to slaughter (7414 calls from 411
37 pigs). Our results revealed that the valence attributed to the contexts of production (positive versus negative)
38 affected all investigated parameters in both LF and HF. Similarly, the context category affected all parameters.
39 We then tested two different automated methods for call classification; a neural network revealed much higher
40 classification accuracy compared to a permuted discriminant function analysis (pDFA), both for the valence
41 (neural network: 91.5%; pDFA analysis weighted average across LF and HF (cross-classified): 61.7% with a
42 chance level at 50.5%) and context (neural network: 81.5%; pDFA analysis weighted average across LF and HF
43 (cross-classified): 19.4% with a chance level at 14.3%). These results suggest that an automated recognition
44 system can be developed to monitor pig welfare on-farm.

45 **Introduction**

46

47 Animal emotions, defined as short-term intense affective reactions to specific events, have been of increasing
48 interest over the last few decades, especially because of the growing concern for animal welfare¹. Research in
49 animals confirms that emotions are not automatic and reflexive processes, but can rather be explained by
50 elementary cognitive processes². This line of thinking suggests that an emotion is triggered by the evaluation
51 that an individual makes of its environmental situation³. The dimensional approach, that categorizes emotions
52 according to their two main dimensions - their valence (pleasant/positive versus unpleasant/negative) and their
53 arousal (bodily activation) -, offers a good framework to study emotional experience in animals⁴.

54 Emotions can be expressed through visual, olfactory, and vocal signals to allow the regulation of
55 social interactions^{5,6}. During vocal production, emotions can influence the physiological structures that are the basis
56 of sound production at several levels (lungs, larynx and vocal tract), thus modifying sound structure itself (e.g. sound
57 duration, amplitude, fundamental frequency, energy distribution)^{7,8}.

58 Due to the impact of emotions on vocalization, the analysis of vocal expression of emotions is
59 increasingly being considered as an important non-invasive tool to assess the affective aspects of animal
60 welfare^{9,10}. In the last decade, it has been shown that vocalizations of various animal species produced in
61 specific emotional contexts and/or physiological states display specific acoustic characteristics¹⁰⁻¹².
62 Furthermore, systems for automatic acoustic recognition of physiological and stress states have already been
63 developed for cattle^{13,14} and pigs¹⁵. These systems detect specific sounds (e.g. high-frequency calls), which may
64 serve as first indicators of impaired welfare¹⁶. Nevertheless, the real challenge remains to create a tool that can
65 accurately identify the emotional states of the animals based on real-time call detection and classification in
66 various environments.

67 Up to now, studies on vocal indicators of emotions have often been restricted to specific call
68 types produced by animals of a given age, living in a specific environment and experiencing a limited number of
69 well-defined situations¹¹. Such factors create a high degree of between-study variance, which must be
70 accounted for in a system aiming at the identification of global states in diverse contexts. Additional changes in
71 the parameters derived from acoustic recordings are induced by the 'acoustic environment', due to different
72 levels of noise (e.g. ventilation indoors, other animals) and reverberation depending on the properties of

73 surrounding surfaces. Therefore, a cross-context validation is needed to separate emotion-related variance from
74 context-related variance, in order to identify reliable indicators of emotions.

75 In the domestic pig, a species in which vocal communication is highly developed, acoustic
76 features of vocalizations vary according to the context of production¹⁷. Part of this acoustic variance may reflect
77 the emotional dimensions of valence and arousal. However, the relationship between valence and vocal
78 expression is complex because pigs use a repertoire of several call types across contexts, and the acoustic
79 parameters may change differently according to valence or arousal in different call types^{18,19}. Specifically,
80 previous research has shown that domestic pig vocalizations can be distinguished into high-frequency (HF) and
81 low-frequency calls (LF), with 2-3 less distinct subcategories within each of the two major types¹⁷. HF calls
82 (screams, squeals) are common in negative contexts, while LF calls (grunts) prevail in neutral and positive
83 situations¹⁷. Thus, HF calls could be used as an indicator of negative affective valence¹⁵. Yet, there is also a
84 large within call-type variation (e.g. duration, formants, energy distribution¹⁸⁻²¹) that could be used as additional
85 way to assess emotional valence and arousal, and to identify the contexts in which the calls were emitted.

86 The aim of this study was to identify the features of pig vocalizations that are most indicative of
87 emotional state and context, in order to thereby provide a basis for the development of a tool able to
88 automatically assess valence and detect particular situations from real-time acoustic input. Towards this aim, we
89 performed an analysis of an extensive and unique dataset of vocalizations emitted across many different
90 situations from the birth to slaughter of commercial pigs (7414 calls produced by 411 pigs). We first tested how
91 specific vocal parameters change as a function of the valence attributed to the contexts, and as a function of the
92 contexts themselves. We then tested two different automated methods of classifying the calls; a permuted
93 discriminant function analysis based on a limited number of extracted vocal parameters, and an image
94 classification neural network based on spectrograms of the calls. The efficacy of these two methods for
95 classifying calls to the correct valence and context of production is discussed with regards to the potential for
96 building an automated on-farm real-time classification tool.

97 **Results**

98

99 In total, we analyzed 7414 HF and LF calls produced by 411 pigs in 19 different context categories

100 (Supplementary Table S1).

101

102 **Changes to specific vocal parameters**

103 Four vocal parameters (call duration [Dur], amplitude modulation rate [AmpModRate], spectral center of gravity

104 [Q50%] and mean Wiener Entropy [WienEntropy]) were selected on the basis of a Principal Component

105 Analysis for inclusion in Linear Mixed-Effects Models (LMM) to investigate the effects of the emotional valence

106 (positive or negative) and the context (19 context categories) on the vocalizations (Supplementary Table S1).

107

108 ***Effects of the valence***

109 All LMMs revealed an effect of the valence for both low-frequency calls (LF) and high-frequency calls (HF)

110 (Figure 1; $p \leq 0.001$ for all models). Both types of calls were shorter (Dur; $R^2_{\text{GLMM}(m)}$: LF = 0.27, HF = 0.30;

111 Figure 1a) and had fewer amplitude modulations (AmpModRate; $R^2_{\text{GLMM}(m)}$: LF = 0.09, HF = 0.08; Figure 1b) in

112 positive contexts than in negative ones. By contrast, the effect of valence on Q50% and WienEntropy depended

113 on the call type. Q50% (Figure 1c) measured in LF calls was higher in positive contexts compared to negative

114 contexts, while the opposite was found for HF calls ($R^2_{\text{GLMM}(m)}$: LF = 0.05, HF = 0.04). WienEntropy (Figure 1d)

115 measured in LF calls was lower in positive contexts, indicating more tonal calls, compared to negative contexts,

116 while the opposite was found for HF calls ($R^2_{\text{GLMM}(m)}$: LF = 0.01, HF = 0.10).

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118 ***Effects of the context category***

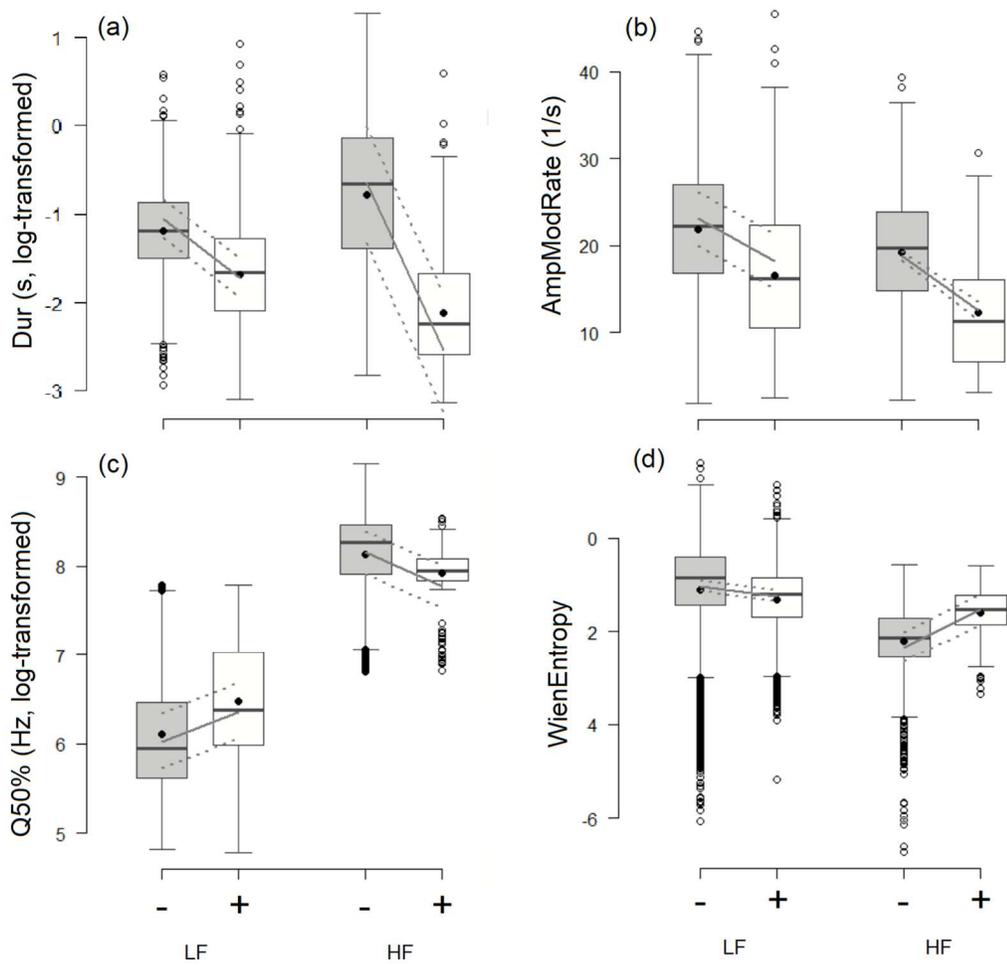
119 The context category affected Dur ($R^2_{\text{GLMM}(m)}$: LF = 0.38, HF = 0.52), AmpModRate ($R^2_{\text{GLMM}(m)}$: LF = 0.24, HF =

120 0.13), Q50% ($R^2_{\text{GLMM}(m)}$: LF = 0.34, HF = 0.08), and WienEntropy ($R^2_{\text{GLMM}(m)}$: LF = 0.16, HF = 0.17) for both call

121 types ($p < 0.001$ for all models; see Supplementary Figure S1-S4 for the values related to the 19 context

122 categories).

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Figure 1. Effect of the valence on the vocal parameters. (a) Call duration (Dur), (b) Amplitude modulation rate (AmpModRate), (c) Spectral center of gravity (Q50%) and (d) Wiener entropy (WienEntropy), as a function of the valence (“-” = negative (grey); “+” = positive (white)) and call type (“LF” = low-frequency calls; “HF” = high-frequency calls). Boxplots: the horizontal line shows the median, the box extends from the lower to the upper quartile and the whiskers to 1.5 times the interquartile range above the upper quartile or below the lower quartile, and open circles indicate outliers and black circles the mean; the grey lines show the model estimates (continuous line) and 95% confidence intervals (dashed lines). All comparisons between negative and positive valence, for each call type, were significant (LMM: $p \leq 0.001$).

133 **Automated classification**

134 In order to evaluate if pig calls could be automatically classified to the correct valence and/or context of
135 production, we performed a permuted discriminant function analysis (pDFA) and a machine learning analysis,
136 based on an image classifying neural network.

137

138 ***Permuted discriminant function analysis***

139 We first proceeded to a pDFA based on the four parameters we selected for inclusion in our LMMs (Dur,
140 AmpModRate, Q50%, and WienEntropy). When considering non-cross-classified calls, both LF and HF calls
141 could be classified to the correct valence (weighted average across LF and HF: correct classification = 85.2%;
142 chance level = 55.87%) or context category of production (correct classification = 24.4%; chance level =
143 15.48%) by the pDFA above chance levels ($p = 0.001$ for all; Table 1). Percentages of cross-classified calls (i.e.
144 not used for deriving the discriminant functions) were, however, much lower. With a cross-classification, both LF
145 and HF calls could still be classified to the correct context category of production by the pDFA slightly above
146 chance levels (weighted average across LF and HF: correct classification = 19.5%; chance level = 14.3%; $p \leq$
147 0.017; Table 1). Yet, only LF, but not HF calls, could be classified to the correct valence above chance level
148 (weighted average across LF and HF: correct classification = 61.7%; chance level = 50.5%; Table 1).

149

150 ***Neural network***

151 We tested a second automated classification approach, using a convolutional neural network and spectrograms
152 created from the complete vocalizations. This method showed an accuracy of $91.5 \pm 0.3\%$ for classifying
153 vocalizations according to valence, and of $81.5 \pm 0.3\%$ for classifying vocalizations according to context (Table
154 2).

155 To further investigate how the neural network parsed the vocalizations, the last fully connected layer of
156 the neural networks (one for valence, another for context) was analyzed by a dimensionality reduction machine
157 learning algorithm called t-distributed Stochastic Neighbors Embedding (t-SNE)²². By applying t-SNE,
158 visualizations can be made to illustrate how the neural network perceives the vocalizations, and therefore
159 produce maps of the observed vocabulary (Figure 2).

160 The t-SNE mapping of the valence-trained neural network (Figure 2a) exhibits strong, but not
161 complete differentiation between positive and negative vocalizations. The neighborhoods that exhibit extensive

162 mixing indicate a hazy boundary between positive and negative calls. In the clusters where the vast majority of
 163 points are of a single valence, the presence of several irregular points demonstrates outlier vocalizations in the
 164 dataset, which might be calls for which the valence was incorrectly assumed.

165

166 **Table 1. Correct classification of calls according to the valence and context of production by the pDFA.**

167 Results of the permuted discriminant function analysis (pDFA) for low-frequency calls (LF) and high-frequency
 168 calls (HF); number of valence or contexts included, number of individuals, percentage of calls classified and
 169 cross-classified to the correct valence or context, and corresponding chance level (expected percentage of
 170 correctly classified calls based on the permutation test, averaged across the permutations), relative
 171 classification (percentage of calls cross-classified/chance level), and p value. The analysis was performed on
 172 the entire dataset, after excluding missing data (Sample size: calls in which AMRate could not be measured =
 173 191; calls in the entire dataset = 7414; calls included for this analysis = 7223). Significant p values appear in
 174 bold.

175

	Valence		Context	
	LF	HF	LF	HF
No. Valence/ Contexts category	2	2	19	16
No. Individuals	392	261	392	261
Total No. Calls	5391	1832	5391	1832
No. Cases selected	236	80	597	355
Correctly classified (%)	81.32	96.59	20.24	36.6
Chance level (%)	54.62	59.55	12.31	24.81
P value for classified	0.001	0.001	0.001	0.001
Correctly cross-classified (%)	61.25	63.18	16.20	29.40
Chance level for cross-classified (%)	50.55	50.37	11.28	23.11
Relative cross-classification level	1.21	1.25	1.44	1.27
P value for cross-classified	0.004	0.169	0.003	0.017

176

177 **Table 2. Performance statistics for neural networks trained on valence and context of production.**

178 For the binary valence classifier (2 classes: positive and negative), the following statistics were computed using
179 the binary precision, recall, and F1 score formulas while treating positive valence labels as positive. For the
180 imbalanced multi-class context classifier (19 classes, Supplementary Table S1), the following statistics were
181 calculated as weighted averages across the classes. From the 10 trials, the mean accuracy, precision, recall,
182 and F1 scores of the classifiers are listed. The uncertainty value is calculated across 10 trials.

183

	Valence	Context
Accuracy	0.915 ± 0.003	0.815 ± 0.003
Precision	0.919 ± 0.005	0.815 ± 0.003
Recall	0.912 ± 0.003	0.813 ± 0.003
F1 Score	0.916 ± 0.003	0.812 ± 0.003

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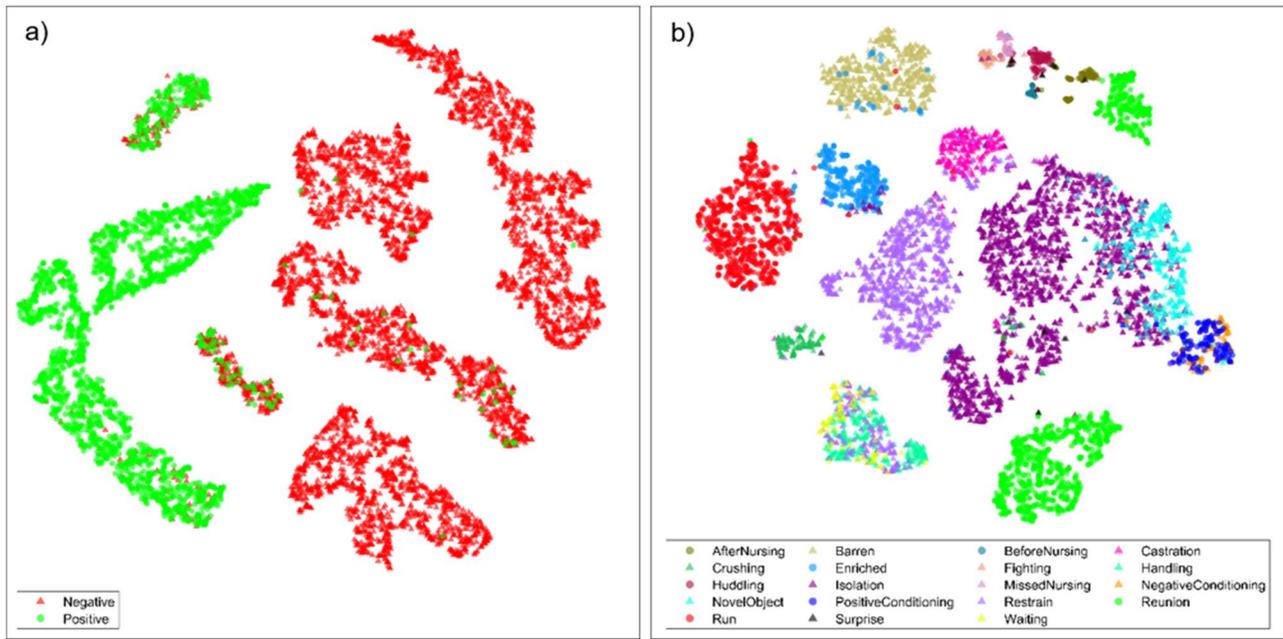


Figure 2. Classification of calls to the valence and context of production based on t-SNE.

t-SNE embedding of (a) valence (embedding perplexity = 50) and (b) context (embedding perplexity = 20)

classifying neural network's last fully connected layer activations for each spectrogram (t-SNE plots visualize the probability that two points are neighbors in an original multivariate space). Triangles indicate negative valence vocalizations, while circles indicate positive ones (see Supplementary Text for more information on the settings used for this figure).

192 The t-SNE mapping of the context-trained neural network (Figure 2b) shows remarkably clear clusters,
193 despite the large range in the number of vocalizations per context class (e.g. Surprise: 17, Isolation: 2069).
194 However, the smaller classes have generally less clear boundaries, likely due to the neural network's lower
195 incentive to recognize them during training because of the class imbalance²³. Notably, several of the larger
196 context categories have split into two or more clusters (like Reunion, and arguably Isolation). In these cases, the
197 network appears to be discerning subtypes within the context categories beyond what it was trained to
198 recognize. These distinctions are due to the composite nature of the dataset; for instance, 'Reunion'
199 experiments were conducted by two different teams. It is therefore unclear whether these experiments, using
200 slightly different protocols, produced markedly different vocalization types, or if the environmental noise
201 captured by the recording teams causes this subdivision. Inversely, it can be seen that some contexts that were
202 expected to be distinct produced indiscernible calls (e.g. negative and positive conditioning; Figure 2b).
203 However, further analyses suggest that the environmental noise likely did not affect the valence and context
204 classification (see Supplementary Text, Supplementary Figure S5, and Supplementary Tables S4-S5 for further
205 information on this analysis).

206

207 **Discussion**

208

209 Over the past 15 years, the interest in vocalizations as candidates for developing real-time, automated
210 monitoring of animal emotions and welfare on-farm has considerably increased^{9,16,24}. However, most
211 experimental attempts have focused on just a few contexts and a limited age range. Here, we gathered
212 recordings from five research laboratories with expertise on pig vocalizations to include 19 context categories
213 covering the whole life of commercial pigs (411 pigs in total). Despite variability in age, sex, body size, and
214 situation, we showed that the assumed emotional valence (for LF calls) and the context of vocal production (for
215 both LF and HF calls) can be correctly cross-classified above chance levels from a small number of selected
216 vocal parameters (pDFA). By using a neural network to classify spectrograms of the entire vocalizations,
217 classification accuracy can be greatly increased. These results suggest that an automated recognition system
218 can be developed for this highly commercial species to allow real-time discrimination of emotional states by
219 valence or context of production. To our knowledge, none of the currently existing monitoring technology
220 (Precision Livestock Farming) developed for pigs can assess the valence of the animals' emotions²⁵. Such a

221 system would thus be highly useful to enable farmers to keep track of this important component of animal
222 welfare.

223

224 **Effect of valence and context on specific vocal parameters**

225 Our results show that the acoustic structure of both LF and HF calls vary according to the emotional valence
226 (negative vs. positive) and the context of vocal production (19 contexts). Two of the acoustic parameters, the
227 duration (Dur) and amplitude modulation rate (AmpModRate), decreased from negative to positive valence for
228 both call types. This suggests that positive calls, whether they are LF or HF, are shorter and contain less
229 amplitude modulations than negative calls. In particular, measures of R^2 indicated that 27% of the variance in
230 the duration LF calls, and 30% of the variance in the duration HF calls, was explained by the emotional valence
231 alone, which can be interpreted as large effects ($R^2 > 0.25$ ²⁶). By contrast, for the other parameters measured in
232 LF and HF calls (spectral center of gravity (Q50%) and Wiener Entropy (WienEntropy)) only 1% to 9% of the
233 total variance was explained by the emotional valence alone. The observation that shorter vocalizations are
234 associated with positive emotions corroborates previous finding in domestic pigs^{17,18,20,21,27}, as well as wild
235 boars²⁸. This association appears to be a common pattern among the species in which the effect of valence on
236 vocalizations has been studied so far^{10,11}. In addition, this pattern does not seem to be due to a confounding
237 effect of emotional arousal, which could result from positive contexts included in our analyses being associated
238 with an overall lower emotional arousal compared to negative contexts, since it is observed also in studies in
239 which arousal has been controlled (e.g.^{20,28}, or at least is expected to be similar²¹). It should be noted that Dur
240 tends to increase with emotional arousal in some species, but often also shows the opposite pattern¹¹. The
241 decrease of AmpModRate from negative to positive valence also corroborates previous studies in wild boars²⁸
242 and Przewalski's horses²⁹ suggesting a universality of the encoding of emotions in vocalization. Changes in Dur
243 and AmpModRate are thus good candidates for further development of automated systems aimed at
244 recognizing emotional valence, although this would require a system that includes an automated call detection
245 to identify call onset and offset in noisy farming environments.

246 Interestingly, the two other parameters included in our analyses, Q50% and WienEntropy,
247 showed opposite patterns in LF and HF calls. Indeed, Q50% increased from negative to positive contexts in LF
248 calls, while it decreased in HF calls. WienEntropy showed the opposite pattern. Such specific patterns of
249 change in vocal parameters with emotions has also been found in relation to arousal in pigs¹⁹, and in relation to

250 valence in wild boars²⁸ and Przewalski's horses²⁹. Those patterns could be due to differences in the vocal
251 production mechanisms underlying these various call types, or in their function. An increase in energy
252 distribution (Q25%, Q50% or 75%) between negative and positive contexts in LF calls is consistent with
253 previous findings in low, closed mouth grunts (LF^{21,18}) and in barks (also LF³⁰), and could constitute another
254 good candidate for the development of a system that could automatically recognize valence. This would,
255 however, require the implementation of a first step, during which a distinction between LF and HF calls is made
256 based on the spectral center of gravity (Q50%).

257 The pattern found for WienEntropy, which assesses the noisiness of a vocalization is less clear,
258 as LF calls were more noisy (less tonal or 'periodic'), while HF calls were less noisy (more tonal), in negative
259 compared to positive contexts. This is in contrast with recent results, showing that LF calls (e.g. grunts) are less
260 noisy (higher harmonicity) in a negative compared to a positive situation of similar arousal level²⁰. Harmonicity
261 has also previously been shown to decrease (indicating more noisy calls) in LF (grunts) and increase (indicating
262 less noisy calls) in HF (screams) with emotional arousal¹⁹. The results we found might thus be explained by
263 some of the negative contexts (e.g., particularly castration and slaughterhouse recordings) being strongly
264 invasive and nociceptive, which could have induced emotions of higher arousal compared to the positive
265 contexts. Hence, WienEntropy might not be a consistent candidate to include in an automated system for
266 valence recognition, due to its sensitivity to changes in emotional arousal (confounding effect).

267 Regarding the effect of the context, the vocal parameters tested in our analyses (Dur,
268 AmpModRate, Q50% and WienEntropy) all varied with the characteristics of the context in which calls were
269 produced. Changes to the various parameters were largely in accordance with the changes due to emotional
270 valence that we describe above, suggesting that context-related changes might be primarily due to their
271 valence.

272

273 **Automated classification**

274 ***Permuted discriminant function analysis***

275 Through a two-step procedure including first the distinction between LF and HF calls and then a discrimination
276 based on the four acoustic parameters explaining most of the variance in the data, both the valence (for LF
277 calls) of the contexts and the actual contexts of production (for both LF and HF calls) could be correctly cross-
278 classified above chance levels. For the valence, the classification of calls used for deriving the discriminant

279 functions (i.e. no cross-classification) reached a rather high success of above 80% for the LF calls and 95% for
280 the HF calls. However, when using a more conservative approach and classifying calls not used for deriving the
281 discriminant functions (cross-classification), the percentage of calls attributed to the correct valence dropped to
282 61% for LF and 63% for HF. In addition, the percentage of correctly attributed HF calls was not significantly
283 higher than chance, likely due to the low prevalence of HF calls in positive (n = 225 calls) compared to negative
284 (n = 1676 calls) contexts (Supplementary Table S2). Yet, these results indicate that a system based on a few
285 acoustic parameters is capable of correctly detecting in some cases, from a single call, whether a pig is in a
286 positive or a negative situation. The results are in agreement with Tallet et al.¹⁷, who found that classification
287 into three gross biological types of contexts (life threat/nursing/other) could be accomplished with a success rate
288 of 75% for a single call on the basis of eight acoustic variables. The potential classification success of an
289 automated device could be further improved if it would use for the valence assessment not just a single call, but
290 a number of calls. This is realistic as pigs commonly emit series of vocalizations. Using such an approach, an
291 evaluation of about 10 calls may give a discrimination success that approaches 100% for a simple classification
292 of emotional valence¹⁷.

293 For the classification of the actual context, the success was above chance, although many calls
294 were misclassified, which is not surprising given the high number of different contexts (n = 19). In real farm
295 situations, the number of possible contexts could be restricted by the set age/sex category of the pigs and the
296 specific husbandry conditions/procedures. Such discrimination between only a few contexts would probably
297 achieve a high success, even with a single call as previously documented for a 3-context case¹⁷. Additionally,
298 the principle of using more calls may also be applied to the assessment of the context. Conceivably, an on-farm
299 system using multiple calls and tailored to a specific category of pigs, and thus limited to a low number of
300 possible contexts, could aspire to a much higher level of discrimination.

301

302 **Neural network**

303 The spectrogram classifying neural network appears extremely promising, due to its high accuracy and minimal
304 audio pre-processing. As the frequency of a vocalization is encoded within its spectrogram, the method merely
305 needs an audio file cropped to the length of the vocalization, without first discerning if it is LF or HF, which
306 requires the age of the vocalizer to be known. The process of appropriately cropping an audio file could also be
307 fully automated by using for instance region based CNN³¹, and therefore, this method could be readily

308 implemented towards a real-time classification tool. The achieved accuracy by the neural network method for
309 valence classification (91.5%) is much higher than that of the pDFA analysis (weighted average across LF and
310 HF of 61.7%). It should also be noted that the trained neural network is capable of classifying more than 50
311 spectrograms per second using the hardware of current smartphones, and does not require the extraction of
312 vocal parameters that is needed for the pDFA, so this should not present an obstacle. With regard to context
313 classification accuracy, the neural network performs, again, much more strongly than the pDFA analysis (81.5%
314 vs. weighted average across LF and HF of 19.5%). This is largely to be expected, as using four parameters to
315 predict 18 categories is highly difficult. In this case, a neural network that analyses spectrograms of entire
316 vocalizations is able to preserve more encoded information, and can thus make much stronger predictions.
317 Though the neural network performs well here, it could likely be improved by as much as 10% by addressing the
318 imbalance in context classes²³.

319 To conclude, in this study, we collaboratively built a large database of vocalizations spanning the lives
320 of pigs from birth to slaughter, analyzed it for acoustic insights, and tested two potential classification methods.
321 First, the acoustic analyses revealed that emotional valence can be inferred by call duration and amplitude
322 modulation rate. The spectral center of gravity (Q50%) seems to be an additional promising indicator for
323 increasing the accuracy of an automated system for recognizing emotional valence in calls. Second, using just a
324 small number of acoustic parameters, we found that the emotional valence (for LF calls) and context of
325 production of vocalizations (for both LF and HF calls) could be cross-classified above chance levels (61.7% for
326 valence with a 50.5% chance level; 19.5% for context with a 14.3% chance level) using a pDFA analysis. The
327 second classification approach, a spectrogram classifying neural network, classified vocalizations with a much
328 higher accuracy by valence (91.5%) and context (81.5%). In combination with t-SNE, this method could be used
329 to refine the dataset, identify novel vocalization types and subtypes, and further expand the recognizable
330 vocabulary of animal vocalization. The classification successes achieved in this study are encouraging to the
331 future development of a fully automated vocalization recognition system for both the valence and context in
332 which pig calls are produced. Such system should then ideally be externally validated, and its performance
333 assessed, in order to establish its potential for a wide and useful implementation. Considering the high accuracy
334 ($\geq 81.5\%$) reached by the neural network in our study, we believe that the performance of this system could be
335 similar, or higher, than the performance of existing microphone-based systems, which are aimed at classify
336 stress vocalizations and coughing ($>73\%$ ²⁵).

337

338 **Methods**

339

340 **Recording contexts**

341 In order to consider situations typically encountered by commercial pigs throughout their life, we first gathered
342 vocalizations that had been recorded as part of previously published studies (Supplementary Table S1), and
343 completed our database with recordings collected for the specific purpose of the current analysis. The final
344 database consisted of over 38000 calls recorded by five research groups, representing 19 context categories
345 (see Supplementary Table S1 for information on the number of calls, animals, their age, breed, and sex across
346 the contexts).

347

348 **Determination of the valence of contexts**

349 The valence of the contexts was determined based on intuitive inference, within the two-dimensional conceptual
350 framework^{4,32}. Negative emotions are part of an animal's unpleasant-motivational system and are thus triggered
351 by contexts that would decrease fitness in natural life and are avoided by pigs; such contexts (e.g., stress, social
352 isolation, fights, physical restraint) were thus assumed to be negative (Supplementary Table S1). Similarly,
353 positive emotions are part of the pleasant-motivational systems and occur in situations contributing to increased
354 fitness. Such situations (e.g., reunion, huddling, nursing, positive conditioning), which trigger approach or search
355 behavior in domestic pigs were thus assumed to be positive (Supplementary Table S1)^{4,33}.

356

357 **Acoustic analyses**

358 In total, 7414 calls were selected from the database based on their low audible/visible (in the spectrogram)
359 noise (i.e. low signal-to-noise ratio that distorts acoustic characteristics of the calls or impedes the precise
360 detection of call onset and end; see Supplementary Text for further details on this selection), and analyzed
361 using a custom-built script in Praat v.5.3.41 DSP Package³⁴. This script batch processed the vocalizations,
362 analyzed the parameters and exported those data for further evaluation (adapted from^{20,35-37}). In total, we
363 extracted 10 acoustic parameters that could be measured in all types of calls and were likely to be affected by
364 emotions (Table 3; see Supplementary Text for detailed settings^{11,17,18,38}). Calls were classified into two types,
365 i.e., low-frequency calls (LF) or high-frequency calls (HF) based on their extracted spectral center of gravity

366 (Q50%) (cut-off point between LF and HF: age class 1 (1-25 days old) = 2414 Hz; age class 2 (32-43 days old)
 367 = 2153 Hz and age class 3 (\geq 85 days old) = 896 Hz; See Supplementary Text for further details). Overall, our
 368 analyses included 2060 positive LF calls, 3453 negative LF calls, 225 positive HF calls, and 1676 negative HF
 369 calls (Supplementary Table S1 and S2).

370

371 **Table 3. Acoustic parameters.** Abbreviation and description of the analyzed acoustic parameters, along with
 372 the category they were allocated to, which was used to select the best parameters to include in our analyses, as
 373 well as examples of references to other studies where these parameters were measured in relation to emotions
 374 in pig and wild boars.

375

Abbreviation	Description	Category	Reference
Dur (s)	Duration of the call	Duration	17–21,27,30,39–41
AMVar (dB/s)	Amplitude variation; cumulative variation in amplitude divided by the total call duration	Amplitude modulation	20
AMRate (s-1)	Amplitude modulation rate; number of complete cycles of amplitude modulation per second		21,28
AMExtent (dB)	Amplitude modulation extent; mean peak-to-peak variation of each amplitude modulation		21,28
Q25% (Hz)	Frequency value at the upper limit of the first quartiles of energy	Spectrum (energy distribution)	18,20,21,27,28,30,39,40
Q50% (Hz)	Spectral center of gravity; frequency value at the upper limit of the second quartiles of energy		17–21,27,28,30,39,40
Q75% (Hz)	Frequency value at the upper limit of the third quartiles of energy		18,20,21,27,28,30,39,40
FPeak (Hz)	Frequency of peak amplitude		17,18,20,30,39,41
Harmonicity	Degree of acoustic periodicity, also called harmonic-to-noise ratio - higher values indicate more tonal calls	Tonality/noise	18–21,28,30,39,40
WienEntropy	Wiener entropy; spectral flatness of a sound, calculated as the ratio of a power spectrum's geometric mean to its arithmetic mean measured on a logarithmic scale - higher values indicate more noisy calls		17,18,27,39,41

376

377 **Statistical analyses**

378 ***Changes to specific vocal parameters***

379 Since our 10 acoustic parameters were likely to be inter-correlated, we first carried out a principal component
380 analysis (PCA) in R software v.3.6.1. (prcomp function, package stats⁴²) on each call type (LF and HF)
381 separately (two PCAs in total), in order to select a set of non-redundant parameters. This procedure resulted in
382 the following four parameters to be included in subsequent tests: Dur, AmpModRate, Q50% and WienEntropy
383 (Supplementary Table S3; see Supplementary Text for more information on the PCA).

384 To investigate the effect of the assumed valence (positive or negative) and of the context category (19
385 categories; Supplementary Table S1) on the acoustic structure of the calls, the raw values of these selected
386 parameters (Dur, AmpModRate, Q50% and WienEntropy) were entered as outcome variables into linear mixed-
387 effects models (LMM; one model per outcome variable) fit with Gaussian family distribution and identity link
388 function in R software v.3.6.1. (lmer function, package lme4⁴³). Since the effects of emotions on acoustic
389 parameters are likely to vary between call types (in pigs¹⁹ and also in other species, including wild boars^{28,29}),
390 and since the variance in each parameter differs between calls types, LF and HF calls were analyzed
391 separately. These models included either the assumed valence of the situation (positive or negative; 8 models
392 in total) or the context category (19 categories; Supplementary Table S1; 8 models in total) as fixed factors. In
393 addition, the age class (3 classes; 1 = 1-25 days old, 2 = 32-43 days old, 3 ≥ 85 days old) was included as a
394 fixed factor to control for its effect on the acoustic structure of the calls. Our models included the identity of the
395 pigs (n = 411 pigs), nested within the team who provided the recordings as a random effect (Supplementary
396 Table S1), to control for dependencies between values collected on the same pigs and by the same team. Only
397 the results of the fixed factors of interest (valence and context category) are described in the results (see
398 Supplementary Text for more information on the LMMs).

399

400 **Automated classification**

401 ***Permuted discriminant function analysis***

402 To test if calls could be classified to the correct valence or context category above chance levels, further
403 analyses were carried out on the same selected four parameters (Dur, AmpModRate, Q50% and WienEntropy).
404 This was achieved using a permuted discriminant function analysis (pDFA⁴⁴), which can handle unbalanced
405 datasets and allows the inclusion of a control factor. The pDFA was conducted using a script provided by R.

406 Mundry, based on the function `lda` of the R package `MASS`⁴⁵ (see Supplementary Text for more information on
407 the pDFA settings). Since not all pigs were recorded in both valences, nor in all context categories, we used a
408 crossed pDFA for incomplete design. It included either the valence (positive or negative) or the context category
409 (19 categories; Supplementary Table S1) as the test factor, and the individual identity of the pigs ($n = 411$ pigs)
410 as the control factor.

411

412 **Neural network and t-SNE**

413 To complement and contrast the pDFA classifier, a second approach which preserved as much signal
414 information as possible was desired. For this task, a machine learning algorithm was chosen as they are optimal
415 for analyzing complex, high-dimensional data. A neural network was selected because of the minimal pre-
416 processing and data reduction required. Additionally, neural networks have proven highly capable in sound
417 classification tasks^{46–48}. The convolutional neural network ResNet-50 was chosen to be adapted via transfer
418 learning because of its performance efficiency⁴⁹ and proven application in this field⁴⁷. As an input to the neural
419 network, spectrograms were computed from the pig vocalization audio recordings in MATLAB R2020b. Each
420 spectrogram was centrally zero-padded to be of equal length to the longest recording (3.595 s). The
421 spectrograms were computed using a 3 ms window, 99% overlap, and 512 sampling points to calculate the
422 Discrete Fourier Transform. Neural networks were trained separately to both desired applications: 1) classifying
423 the spectrograms based on positive or negative valence; and 2) classifying the spectrogram according to the
424 context in which the vocalization was produced.

425 The dataset was randomly split 70/30 into a training and validation set each time the neural
426 network was trained. The neural network was trained on the given classification task (valence/context) for 20
427 epochs, with a mini-batch size of 32, an initial learning rate of 0.001, and a learn rate drop factor of $10^{-0.5}$. After
428 the training period, the highest accuracy version of the neural network, as measured on the validation set, was
429 saved. This was repeated 10 times for each classification task, in order to assess the consistent performance
430 ability of the neural networks (Table 2) (see Supplementary Text for more details on the neural network and t-
431 SNE analysis and validation).

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533

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541

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543 Work conception and experimental design: EFB, PL, LMCL, MPT, MS, SD, AB, AMJ, EH, CT; Data Collection:
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545 Data interpretation: EFB, CCRS, PL, LMCL, MPT, JHR, MS, SD, AB, AMJ, EH, CT; Manuscript writing: All
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547

548 **Additional Information**

549 **Competing Interest Statement:** The authors declare no competing interests.

550 **Data availability:** The raw data are included as a supplementary file (Dataset S1).

551 **Ethics declarations:** All experiments were performed in accordance with relevant guidelines and regulations
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557 and Supplementary Table S1) follows the recommendations in the ARRIVE guidelines.