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RESEARCH PAPER

# Pit and tracheid anatomy explain hydraulic safety but not hydraulic efficiency of 28 conifer species

Yanjun Song<sup>1,\*</sup>, Lourens Poorter<sup>1</sup>, Angelina Horsting<sup>1</sup>, Sylvain Delzon<sup>2</sup> and Frank Sterck<sup>1</sup>

<sup>1</sup> Forest Ecology and Forest Management Group, Wageningen University and Research, PO Box 47, 6700 AA, Wageningen, The Netherlands

<sup>2</sup> University of Bordeaux, INRA, UMR BIOGECO, 33450 Talence, France

\*Correspondence: [yanjun.song@wur.nl](mailto:yanjun.song@wur.nl)

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## Abstract

**Conifers face increased drought mortality risks because of drought-induced embolism in their vascular system. Variation in embolism resistance may result from species differences in pit structure and function, as pits control the air seeding between water-transporting conduits. This study quantifies variation in embolism resistance and hydraulic conductivity for 28 conifer species grown in a 50-year-old common garden experiment and assesses the underlying mechanisms. Conifer species with a small pit aperture, high pit aperture resistance, and large valve effect were more resistant to embolism, as they all may reduce air seeding. Surprisingly, hydraulic conductivity was only negatively correlated with tracheid cell wall thickness. Embolism resistance and its underlying pit traits related to pit size and sealing were more strongly phylogenetically controlled than hydraulic conductivity and anatomical tracheid traits. Conifers differed in hydraulic safety and hydraulic efficiency, but there was no trade-off between safety and efficiency because they are driven by different xylem anatomical traits that are under different phylogenetic control.**

**Keywords:** Cavitation resistance, conifer species, embolism, hydraulic efficiency, phylogeny, pit sealing, pit size.

## Introduction

Drought triggers tree mortality across the globe, because it can lead to impairment of water transport and eventually hydraulic failure, desiccation, and tree death (Urli *et al.*, 2013; Choat *et al.*, 2018). The risk of hydraulic failure depends on the hydraulic architecture of a tree, which consists of a series of water-transporting conduits in the xylem and tiny pits that connect these neighbouring conduits. Gymnosperm trees (i.e., conifers) are considered on average more drought resistant than Angiosperm trees due to specific anatomical features. Conifers possess many narrow and short conduits called

tracheids that allow for slow but safe water transport, and for resistance against drought- and freezing-induced embolism (Davis *et al.*, 1999). These tracheids are interconnected through pits, which usually possess a torus that acts as a safety valve and seals the pit, and can thus avoid drought-induced embolism (Pittermann *et al.*, 2005). These unique anatomical properties of conifers may contribute to their success and dominance in harsh habitats, either in cold boreal and mixed temperate forests, or in dry Mediterranean forests (Augusto *et al.*, 2014). Despite these unique anatomical features, conifer species still

vary substantially in their vulnerability to drought (Larter *et al.*, 2017).

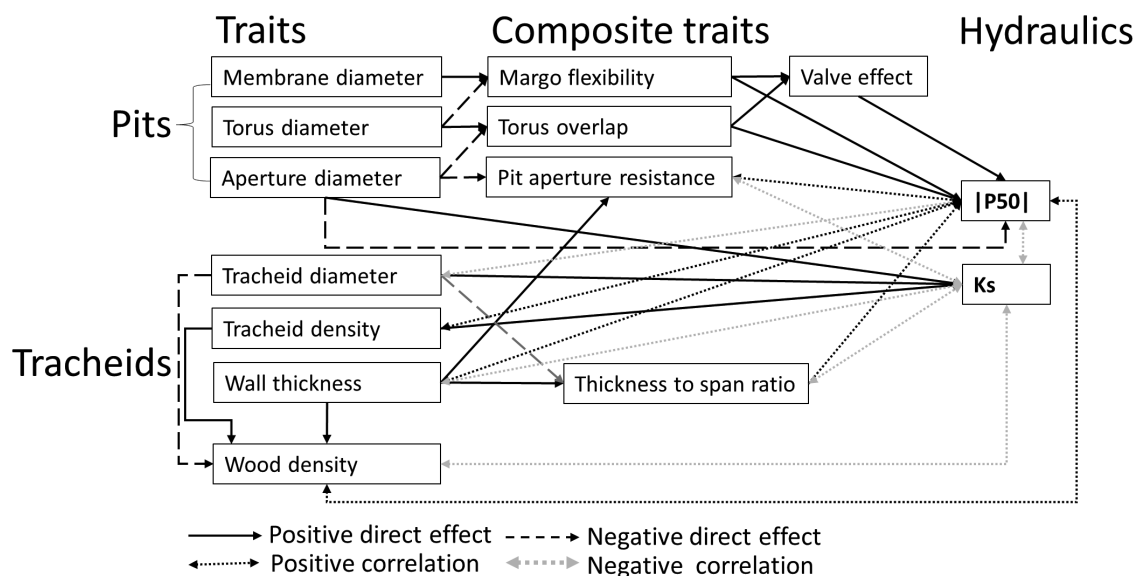
*The function of pits for hydraulic strategies*

Embolism can move by air seeding from one conduit to an adjacent conduit, and thus distribute air within the xylem and block water flow in more xylem conduits (Cochard, 2006; Guan *et al.*, 2021). The seeding of air bubbles into the conduits is thought to occur through the interconduit pits (Zimmermann, 1983). Normally, these pits in the xylem of conifer species consist of an aperture through which water can flow from one tracheid to the other, and an impermeable torus that can seal the pit under negative pressure (Bauch *et al.*, 1972; Hacke *et al.*, 2004). The torus is surrounded by a flexible margo that allows the torus to move and seal the pit. The margo also acts as a membrane, through which water can flow when the pit is not sealed (Hacke *et al.*, 2004; Sperry *et al.*, 2006). This pit structure plays an important role in the hydraulic efficiency, which is often quantified by  $K_s$  (xylem-specific hydraulic conductivity), and embolism resistance, which is often quantified by P50 (the water potential at which 50% of the conductivity is lost) (Delzon *et al.*, 2010) (Fig. 1). A larger pit aperture reduces the resistance to water flow and thus increases hydraulic efficiency, but may indirectly reduce torus overlap (Hacke and Jansen, 2009; Jansen and McAdam, 2019), facilitating torus slippage or movement and therefore mass flow of gas that causes embolism (Fig. 1). Embolism resistance may increase with torus overlap, when there is a large overlap between torus and pit aperture, and with a flexible margo, as high flexibility may better seal a pore (Fig. 1). Considerable uncertainty remains about the role of

margo flexibility, as some studies found that margo flexibility does not determine embolism resistance (Hacke *et al.*, 2004; Bouche *et al.*, 2014, 2015). In combination, the torus overlap and margo flexibility result in a valve effect (i.e., effective sealing) of the pit (Fig. 1), which is the best predictor of embolism resistance across 40 conifer tree species (Sperry *et al.*, 2006; Delzon *et al.*, 2010).

*The function of tracheids for hydraulic strategies*

Embolism resistance and hydraulic efficiency are also related to tracheid traits. Conifer xylem mainly consists of tracheids and small areas of parenchyma. As there is only limited space to pack the tracheids, there is a trade-off between tracheid density and tracheid diameter. Theoretical specific hydraulic conductivity increases linearly with tracheid density, as each additional tracheid contributes equally to water transport, but increases with the tracheid diameter to the power 4, as in wide conduits there is relatively less friction between water and the cell wall (Tyree and Ewers, 1991; Sterck *et al.*, 2008). In addition, tracheid wall thickness can also indirectly affect hydraulic conductivity, because thick cell walls reduce the water flow due to a reduced lumen diameter (Sperry *et al.*, 2006) and increase the water flow path through pits and thus the pit hydraulic resistance (Hacke *et al.*, 2004; Bouche *et al.*, 2014). A greater wall thickness to tracheid diameter ratio reinforces the mechanical resistance against tracheid implosion due to increased negative pressure during drought (Jansen *et al.*, 2009), and the related traits such as large cell wall thickness and small lumen area are positively associated with embolism resistance (Bouche *et al.*, 2014; Fig. 1). It should be noted that tracheid implosion, however, has rarely been observed in nature, probably because the



**Fig. 1.** A conceptual diagram visualizing our hypothesis for how pit and tracheid traits jointly determine species differences in embolism resistance ( $|P50|$ ) and hydraulic conductivity ( $K_s$ ). Our trait list is shown in Table 1.

**Table 1.** Overview of traits, the abbreviations, and units as used and measured for trees of 28 conifer species in the Netherlands

Classification	Trait name	Abbreviation	Units
Pit	Pit membrane diameter	DPM	$\mu\text{m}$
	Torus diameter	DT	$\mu\text{m}$
	Pit aperture diameter	DPA	$\mu\text{m}$
	Torus overlap	TO	–
	Margo flexibility	MF	–
	Valve effect	VE	–
	Pit aperture resistance	$R_{\text{PA}}$	$\text{MPa s m}^{-3}$
	Tracheid	Hydraulic diameter	Dh
Tracheid density		TD	$\text{mm}^{-2}$
Tracheid diameter (earlywood)		D_E	$\mu\text{m}$
Tracheid diameter (latewood)		D_L	$\mu\text{m}$
Tracheid density (earlywood)		TD_E	$\text{mm}^{-2}$
Tracheid density (latewood)		TD_L	$\text{mm}^{-2}$
Wall thickness		Tw	$\mu\text{m}$
Wall thickness (earlywood)		Tw_E	$\mu\text{m}$
Wall thickness (latewood)		Tw_L	$\mu\text{m}$
Thickness to span ratio		TSR	$\mu\text{m } \mu\text{m}^{-1}$
Thickness to span ratio (earlywood)		TSR_E	$\mu\text{m } \mu\text{m}^{-1}$
Thickness to span ratio (latewood)		TSR_L	$\mu\text{m } \mu\text{m}^{-1}$
Hydraulics	Wood density	WD	$\text{g cm}^{-3}$
	Embolism resistance	P50	MPa
	Xylem specific hydraulic conductivity	Ks	$\text{Kg m}^{-1} \text{s}^{-1}$ $\text{MPa}^{-1}$

conduits are mechanically overbuilt. Despite the mechanistic basis of these links between the tracheid traits and hydraulic performance, a five decades old common garden experiment enables us to fully assess the underlying mechanism in hydraulic performance across a broad range of conifer species within the same environment.

### Trade-off between hydraulic efficiency and safety

Conifer species with small pits and tracheids may thus have a high resistance to drought-induced embolism, which is also known as hydraulic ‘safety’, but this comes at the cost of a reduced water flow and water transport capacity, which is also known as hydraulic ‘efficiency’ (Liu *et al.*, 2019). Yet, several studies observed only a weak or even no trade-off between hydraulic safety and hydraulic efficiency (Pittermann *et al.*, 2006; Larter *et al.*, 2017), possibly because some of the postulated mechanisms are causing stronger constraints on these processes than others. The current consensus seems to be that embolism resistance in gymnosperms is mainly determined by pit sealing (Delzon *et al.*, 2010; Bouche *et al.*, 2014), whereas hydraulic

efficiency is thought to be mainly determined by tracheid size and density (Sterck *et al.*, 2008).

In this study, we compare the hydraulic conductivity and embolism resistance of 28 dominant conifer species from the Northern hemisphere that cover a broad range in phylogenetic and ecology diversity. They come from different habitats including cold habitats where conifers are thought to have narrow tracheids to avoid freezing-induced embolism (Leslie *et al.*, 2012; Zanne *et al.*, 2014), and xeric habitats with high resistance to embolism. While earlier studies evaluated embolism resistance of conifer species by comparing species sampled from different areas (Hacke and Jansen, 2009; Delzon *et al.*, 2010; Bouche *et al.*, 2014; Losso *et al.*, 2018), our common garden approach allows us to focus on inherent species differences not confounded by acclimation to different environmental conditions. In addition, we will use phylogenetic analysis to show phylogenetic effects on trait variation. We address the following three questions and corresponding hypotheses (Fig. 1; see conceptual diagram).

(i) How is xylem resistance to embolism determined by tracheid and pit traits? We expect that embolism resistance increases when the likelihood of air seeding is reduced, because of either smaller pit dimensions (due to smaller tracheid dimensions; see Hacke *et al.*, 2004) or more efficient pit sealing properties (i.e., large torus overlap, margo flexibility, and valve effect). We expect species with high embolism resistance to have mechanically enforced tracheids (high thickness to span ratio) and tissues (high wood density) to protect tracheid against collapse under extreme negative pressure. (ii) How is hydraulic conductivity determined by tracheid and pit traits? We expect hydraulic conductivity to increase with tracheid width and pit aperture, because they reduce the friction between water and the cell wall and therefore facilitate water flow. (iii) To what extent are the hydraulic traits of conifer species phylogenetically controlled? We expect that embolism resistance and the related pit traits, and hydraulic conductivity and the related tracheid traits, exhibit strong phylogenetic signals because radiation in conifer species only followed after adaptation to drought or water availability (Larter *et al.*, 2017).

## Materials and methods

### Study site and species selection

We conducted our study in the Schovenhorst Estate (52.25N, 5.63E) in Putten, the Netherlands. Within this region, the mean annual temperature is 10.1 °C, the maximum annual temperature is 13.5 °C, the minimum annual temperature is 6.0 °C, the mean annual rainfall is 830 mm, and the elevation is ~30 m above sea level. Soils are derived from post-glacial loamy sand deposits, forming well-drained and acidic (pH ~4) podzolic soils of low fertility (Cornelissen *et al.*, 2012; van der Wal *et al.*, 2016).

The research was carried out in a common garden experiment, where >30 conifer species from the Northern hemisphere were introduced and planted in 1966 in monospecific stands (Willinge Gratama-Oudemans, 1992). Such a common garden experiment allows comparison of the performance of different species under similar climatic and soil conditions,

thus correcting for potentially confounding phenotypic responses to environmental variation. We selected 28 conifer species with sufficient replicate trees available for this study (Supplementary Table S1).

These species were selected if at least five healthy individuals reached the forest canopy, and were thus fully exposed and able to achieve full growth potential. Species had an average stem diameter at breast height of 35.8 cm (range 5.0–86.3 cm). We sampled one 38–45 cm long branch for each of the five individuals per species. These branches were fully exposed to reduce phenotypic variation and collected at ~6 m (5–7 m) above the forest floor. After cutting, the main stem segment of the branch was stripped from leaves and side branches, and wrapped in wet paper and sealed in a plastic bag to avoid transpiration and embolism. Samples were sent to the GENOBOIS platform (a high-throughput phenotyping platform for physiological traits, CaviPlace lab, University of Bordeaux, Pessac, France <http://sylvain-delzon.com/caviplace/>) and stored in a fridge at 3–5 °C prior to measurements.

We measured the vulnerability curve for these five branches, and for three out of those five branches, pit and tracheid properties were measured. Another group of branches was collected in June 2019 to measure wood density based on five individuals per species.

### Vulnerability curves

Five branches per species were collected on adult trees to characterize xylem resistance to embolism using the standard ‘Cavitron’ method, where a centrifugal force was used to establish negative pressure in the xylem to provoke drought-induced embolism (Cochard *et al.*, 2005). Centrifugal force was created using a 27 cm wide custom-built honeycomb aluminium rotor (DGmecca, Gradignan, France) mounted on a high-speed centrifuge (Sorvall RC5C Plus Refrigerated Centrifuge, USA) at 20 °C, thus controlling the temperature-dependent viscosity of water and gas solubility of the perfusion liquid (Wang *et al.*, 2014; Schenk *et al.*, 2016). All measurements were done at the PHENOBOIS platform at INRAE–University of Bordeaux. Prior to measurements, all samples were re-cut underwater to a length of 27 cm and the bark was removed using a razor blade. A solution of 10 mM KCl and 1 mM CaCl<sub>2</sub> in ultrapure deionized water was used as the reference ionic solution. The rotor was first spun at low xylem pressure (−0.8 MPa) and the rotation speed of the centrifuge was then gradually increased

by −0.3 MPa or −0.8 MPa, depending on the species, to expose samples to lower xylem pressures. After exposing the sample at the required speed for 2 min, hydraulic conductance ( $k$  in  $\text{m}^2 \text{MPa}^{-1} \text{s}^{-1}$ ) was measured three times per speed step. The xylem-specific hydraulic conductivity ( $K_s$  in  $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ , i.e., hydraulic efficiency) was estimated when xylem pressure ( $P$  in MPa) was close to zero, which was calculated by dividing the maximum hydraulic conductance ( $k_{\text{max}}$  in  $\text{m}^2 \text{MPa}^{-1} \text{s}^{-1}$ ) by sample length and sapwood area (Larter *et al.*, 2017). Vulnerability curves show the percentage loss of hydraulic conductance in response to increased negative xylem pressure (Fig. 2). The percentage loss of hydraulic conductance (PLC) at each pressure was subsequently calculated as:

$$\text{PLC} = 100 (1k/k_{\text{max}}) \quad (1)$$

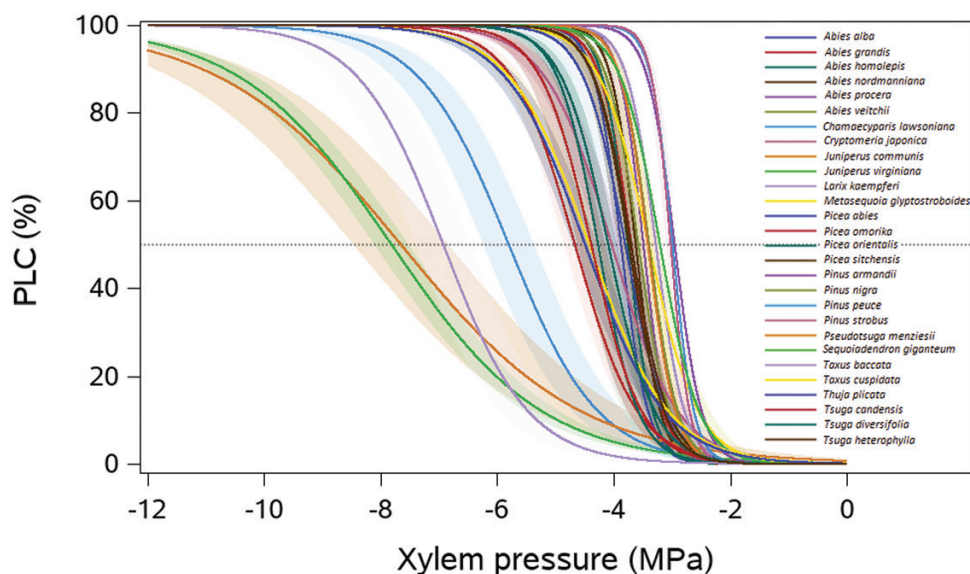
Then a sigmoid function was performed to fit the curves as follows (Fig. 2):

$$\text{PLC} = 100 / \{1 + \exp [S/25 \times (PP50)]\} \quad (2)$$

where  $S$  (%  $\text{MPa}^{-1}$ ) is the slope at the inflection point in the vulnerability curve, and  $P50$  (MPa) is the xylem pressure when 50% of hydraulic conductance is lost (Delzon *et al.*, 2010). All values were averaged at the species level. The absolute value of  $P50$  ( $|P50|$ ) is then used for further analysis and is referred to as embolism resistance.

### Xylem anatomy measurements

After measurements of the vulnerability curves, two 2–3 cm segments for three individual branches per species were reserved for measuring pit and tracheid anatomical traits (Table 1). Traits related to pit size and pit sealing were measured in the earlywood using scanning electron microscopy at the PHENOBOIS platform (PhenomG2 pro; FEI, the Netherlands). For this purpose, 10 pit membranes were measured per selected segment. Those segment samples were first dried for 24 h in an oven at 65 °C and then cut with a razor blade in a radial direction. Samples were subsequently coated with a thin layer of gold using a sputter coater (108 Auto; Cressington, UK) for 40 s at 20 mA (Bouche *et al.*, 2014). The following pit size measurements were estimated in earlywood using ImageJ v. 1.52a.: pit aperture diameter (DPA), torus



**Fig. 2.** The vulnerability curves for 28 conifer species in this study. PLC indicates the percentage loss of hydraulic conductivity. Different colours indicate different species.

diameter (DT), and pit membrane diameter (DPM) (Fig. 3). We focused on pit characteristics of earlywood because earlywood has the strongest effect on the embolism resistance of plants (Domec and Gartner, 2002). To assess the sealing function of the torus for embolism resistance, three anatomical traits were calculated according to Delzon *et al.* (2010): margo flexibility (MF), torus overlap (TO), and valve effect (VE). MF was estimated as a proxy for flexibility based on the length of the margo stretch, although it does not account for the mechanical properties of the margo. MF, TO, and VE were calculated as follows (Delzon *et al.*, 2010):

$$TO = (DT - DPA) / DT \quad (3)$$

$$MF = (DPM - DT) / DPM \quad (4)$$

$$VE = TO \times MF \quad (5)$$

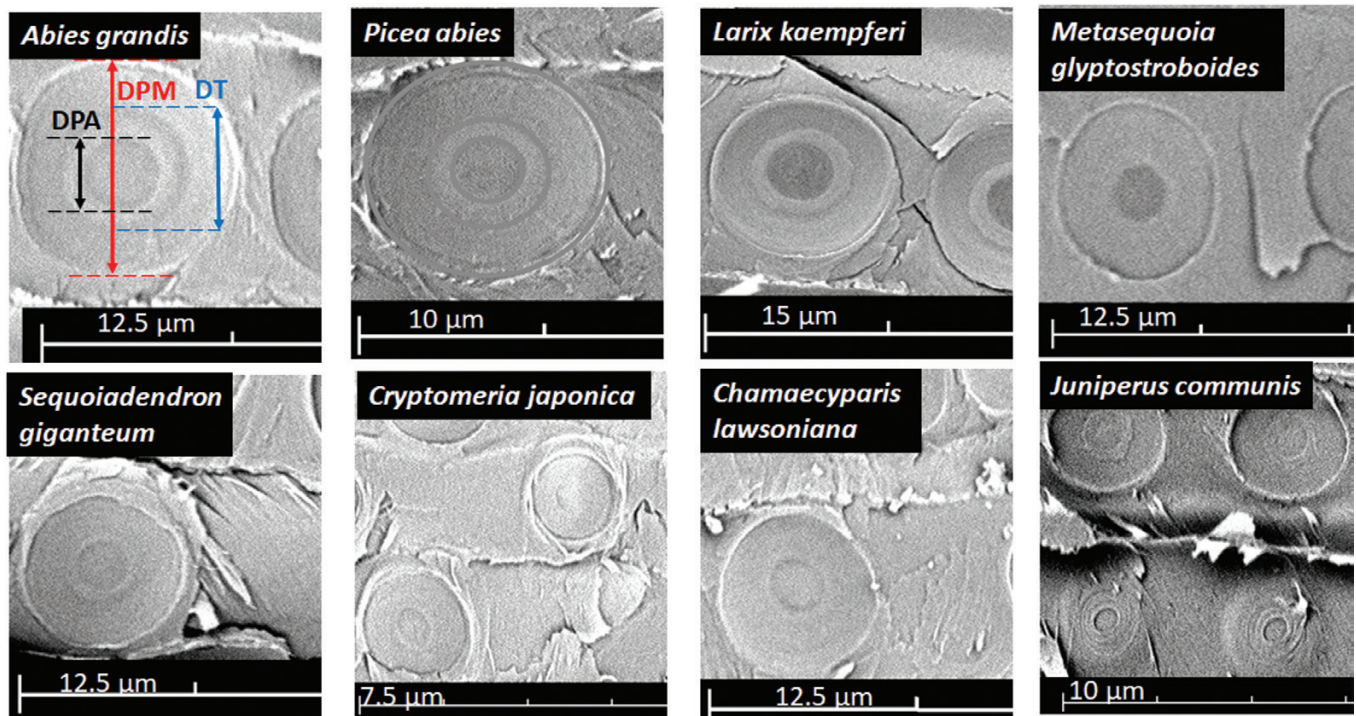
To evaluate how hydraulic resistance affects hydraulic conductivity and embolism resistance, pit aperture resistance ( $R_{pa}$ ) was calculated following Pittermann *et al.* (2010) and Bouche *et al.* (2014):

$$R_{pa} = [128T_{pa}t / (pDPA)^4 + 24t / DPA^3] \quad (6)$$

where  $t$  is the viscosity of the water (0.001 Pa s at 20 °C) and  $T_{pa}$  is the thickness of a single pit border calculated from wall thickness ( $T_{pa} = 81\% \times 2Tw$ ; Domec *et al.*, 2008).

To explore how tracheid traits affect hydraulic conductivity and embolism resistance, tracheid diameter, tracheid density, and cell wall thickness were subsequently measured on a complete radial segment on cross-sections of three branches per species. For the cross-sections, we used the same three branches that were used for the measurement of the vulnerability curve. These cross-sections were cut with a thickness between 15  $\mu\text{m}$  and 30  $\mu\text{m}$ . The sections were stained with Astra blue and safranin for 3–5 min to ensure a better differentiation of the tissues (lignified tissues acquire a red colour and non-lignified tissues acquire

a blue colour). They were sequentially washed 1–3 times with distilled water, and 50, 75, 96, and 100% ethanol. Finally, Roti®-Mount was used to fix the samples and make images of transverse sections at  $\times 10$  or  $\times 20$  magnification with a Leica DM 2500 camera microscope and LAS V 3.8 software. To get a complete image of the radial section, we used the photo stitching software PTGui v.9.2.0 to stitch those pictures (Supplementary Fig. S1). Pictures of the tracheid diameter (in  $\mu\text{m}$ ), tracheid density (i.e., tracheid number per area in  $\text{mm}^{-2}$ ), and cell wall thickness (in  $\mu\text{m}$ ) were measured using ImageJ v.1.52a. Tracheid diameter (D), tracheid density (TD), and cell wall thickness (Tw) were averaged on a minimum of 200 tracheids per sample. The thickness to span ratio (TSR) was calculated as the square of the ratio of double wall thickness to lumen diameter (Hacke *et al.*, 2001). To reduce the measurement errors caused by the irregular shapes of tracheids, we measured the area of the tracheid. From this, we mathematically derived the tracheid diameter, assuming a circular shape. For four tracheid traits (tracheid diameter, tracheid density, wall thickness, and thickness to span ratio), we calculated average tracheid characteristics in three ways: (i) for the complete radial section, which should best reflect whole-branch functioning; (ii) for the earlywood, as this may contribute most to hydraulic conductivity and conductivity loss during embolism; and (iii) for the latewood. Hydraulic diameter ( $D_h$ ) was calculated based on the whole radial section, as it best reflects hydraulic conductivity of the whole branch, and as it weighs for differences in tracheid diameter between earlywood and latewood by using the Hagen–Poiseuille equation. A principal component analysis (PCA) shows that, across species, tracheid characteristics of earlywood, latewood, and the whole radial section were strongly aligned (Supplementary Fig. S2). Additional statistical analysis based on earlywood tracheid values and latewood tracheid values (Supplementary Figs S3, S4) gave very similar results to those for the average tracheid values, indicating that the results are robust. For further analysis, we therefore used the average tracheid characteristics across the whole radial section as this should best reflect



**Fig. 3.** Scanning electron microscopy images of pit structure in the earlywood of eight conifers species illustrating the variation in pit size across study species. Different pit traits are indicated with different colours. DPM, pit membrane diameter (red colour); DT, torus diameter (blue colour); DPA, pit aperture diameter (black colour).

whole-branch functioning. The TSR and  $D_h$  were calculated as (Sterck et al., 2008; Poorter et al., 2010):

$$TSR = (2 \times Tw/D)^2 \tag{7}$$

$$D_h = \sqrt[4]{\frac{1}{n} \sum_{i=1}^n Di^4} \tag{8}$$

where  $Di$  is the  $i$ th conduit of  $n$  measured tracheids.

**Wood density**

A section of 10 cm length was selected to measure wood density (WD, in  $g\ cm^{-3}$ ). Wood fresh volume was measured using the water displacement method (Poorter et al., 2010). Wood samples without bark were dried in an oven at 70 °C to obtain constant dry weight after 48 h, and wood density was calculated as the dry mass to volume ratio per sample.

**Data analysis**

To explore the significant differences of P50 and Ks among 28 conifer species, data were cleaned by removing outliers and transformed to meet the normality and homogeneity assumptions of ANOVA, then a post-hoc Turkey (HSD) test was performed.

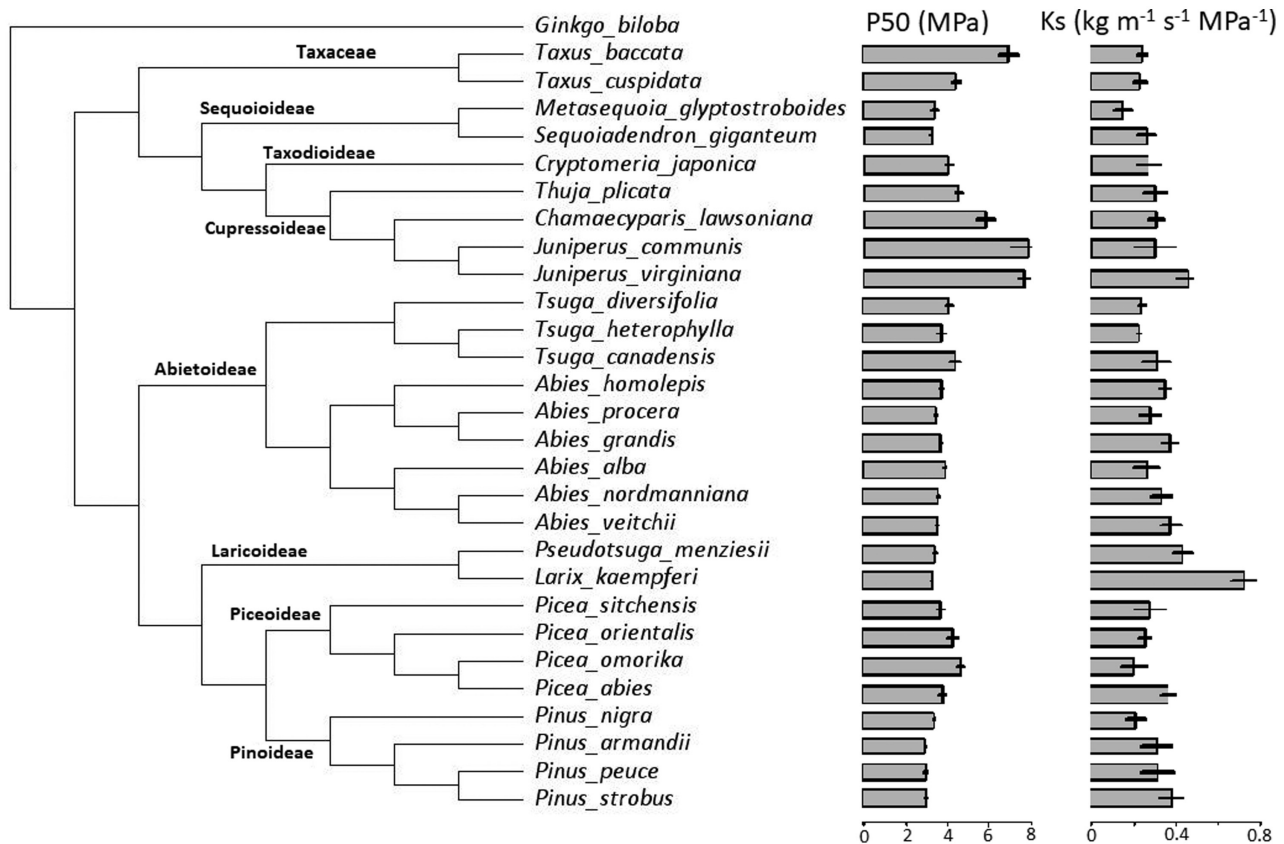
To evaluate how traits are associated with each other, a PCA was first carried out, using the 14 traits (Table 1) of 28 species. We then used regression analyses and Pearson correlations to explore the pairwise relationships between tracheid, pit, and hydraulic traits. To evaluate whether the

present-day cross-species correlation results from repeated independent adaptations during evolution, we also calculated correlations based on phylogenetically independent contrasts (PICs), using the R package ‘ape’ (Paradis et al., 2004; Poorter et al., 2010).

To assess how pit and tracheid traits affect embolism resistance and hydraulic conductivity, a multiple regression was performed using the dredge function in the R package ‘MuMIn’ (Barton and Barton, 2015). A dredge function allows evaluation of the importance of all possible driving variables underlying variation in P50 and Ks, avoiding the statistical bias of dropping or entering variables in a backward or forward regression approach. To avoid problems with multicollinearity, only traits were selected with a variance inflation factor (VIF) <5 (Comont et al., 2012; Gould et al., 2016). We focused on traits that could be mechanistically linked to Ks and P50. The potential regression model for Ks included  $D_h$ , TD, and  $R_{PA}$ , and the potential regression model for P50 included DPA, MF, and VE. The best model was the model with the lowest Akaike information criterion (AICc).  $\Delta AICc$  for  $i$ th model was calculated as the difference between the AICc for the  $i$ th model and the best model. A set of models with  $\Delta AICc < 2$  was selected (Araujo et al., 2019).

To explore the cause and effect pathways of pit traits on embolism resistance and tracheid traits on hydraulic conductivity, we performed structural equation models (SEMs). The structure of SEMs was based on our conceptual diagram (Fig. 1). To compare the effect sizes, all data were standardized prior to analysis by subtracting the mean from the trait values and dividing it by the SD. The model was accepted when the  $P$ -value of the  $\chi^2$  statistic was >0.05 (Poorter et al., 2017). SEMs were built in the R package ‘lavaan’ (Rosseel, 2012).

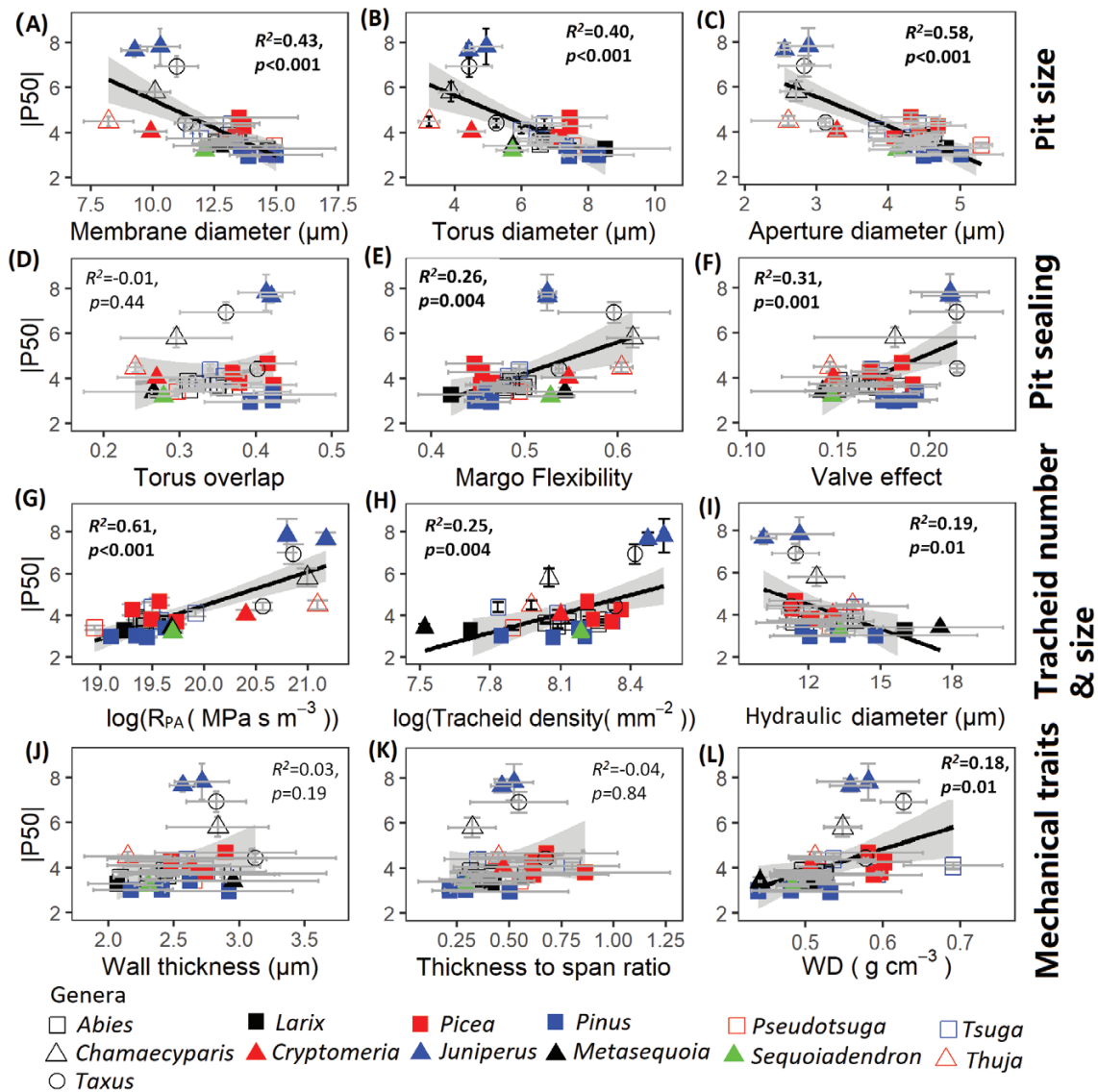
To evaluate to what extent hydraulic features are phylogenetically controlled, Blomberg’s  $K$  (Blomberg et al., 2003) values were calculated with



**Fig. 4.** Phylogenetic tree of the 28 conifer species with embolism resistance (P50) and hydraulic conductivity (Ks) in this study, based on the molecular phylogeny from Zanne et al. (2014). *Ginkgo biloba* is selected as the outlier and reference.







**Fig. 6.** Bivariate relationships between embolism resistance (P50) and underlying properties for 28 conifer tree species. The traits are grouped in rows according to their function: pit size, pit sealing, tracheid traits, and mechanical traits. For trait abbreviations, see Table 1. Bivariate error bars ( $\pm$ SE of the mean), regression lines and 95% confidence intervals (grey), coefficients of determination ( $R^2$ ), and  $P$ -value are shown.

|P50| (Fig. 7A). Margo flexibility and torus overlap had significantly indirect and positive effects on |P50| through their positive effects on the valve effect (Fig. 7A; Supplementary Table S2). Similar results were obtained when we used tracheid trait values of earlywood (Supplementary Fig. S4A) or latewood (Supplementary Fig. S4B).

#### Effects of pit and tracheid characteristics on hydraulic conductivity

The pairwise regression between  $K_s$ , pit, and tracheid traits showed that  $K_s$  was only significantly and negatively related to wall thickness (Fig. 8; Table 3). Multiple regression of  $K_s$  on tracheid density,  $D_h$ , and pit aperture resistance showed that

none of these traits could significantly explain  $K_s$  (Table 2; Supplementary Table S3). The structural equation model further proved that only conduit wall thickness was negatively correlated with  $K_s$  (Fig. 7B), which was the same when we constrained the analyses based on tracheid traits of earlywood (Supplementary Fig. S4C). Surprisingly, there was no correlation between hydraulic conductivity and embolism resistance (Fig. 8I).

#### Phylogenetic correlations and phylogenetic signals

We used Blomberg's  $K$  metrics to evaluate whether P50,  $K_s$ , and related pit and tracheid traits of conifer species are phylogenetically conserved. The hydraulic traits P50 and  $K_s$ ,

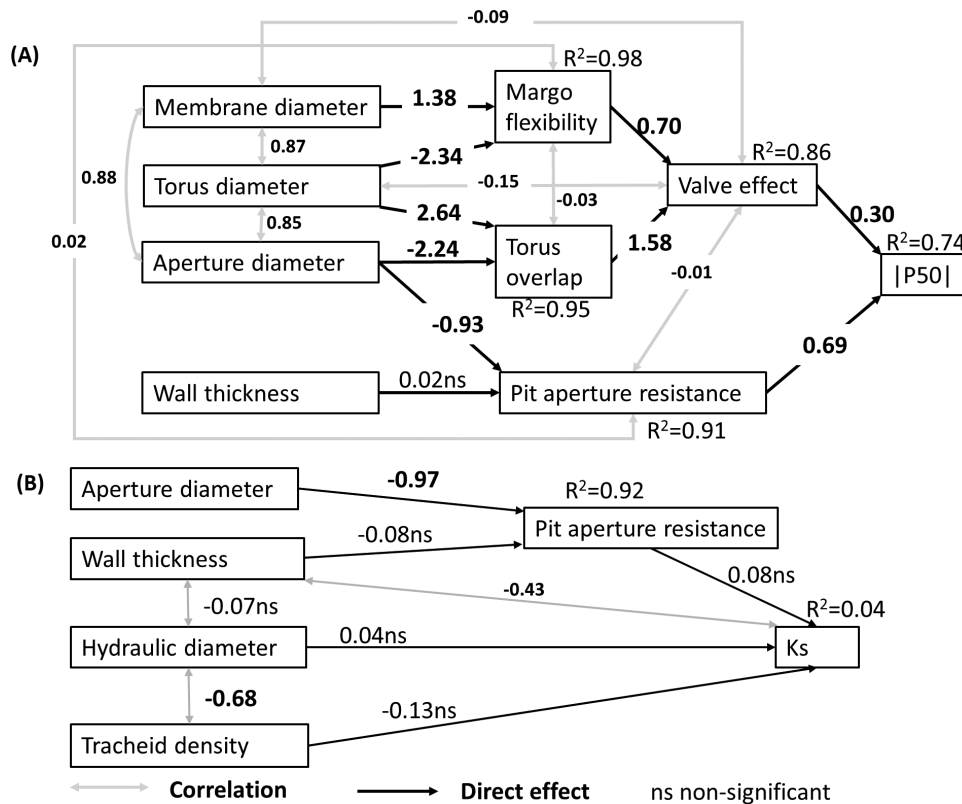
**Table 2.** The results of a multimodel comparison showing how embolism resistance ( $|P50|$ ) and hydraulic conductivity ( $K_s$ ) depend on pit and tracheid traits

model	DPA	VE	$D_h$	TD	Intercept	df	logLik	AICc	Weight	$R^2_{adj}$	P
P50 =DPA+MF+VE											
1	<b>-0.64</b>	<b>0.31</b>			$3.89 \times 10^{-16}$	4	-23.59	56.90	0.62	0.65	<0.001
$K_s=D_h+TD+R_{PA}$											
1					$-1.05 \times 10^{-16}$						
2				-0.20	$-1.63 \times 10^{-16}$	3	-38.63	84.30	0.21		
3			0.16		$-3.72 \times 10^{-17}$	3	-38.87	84.70	0.16		
Avg			0.03	-0.05	$-1.01 \times 10^{-16}$						
Imp			0.21	0.27	1.00						
P			0.77	0.69	1.00						

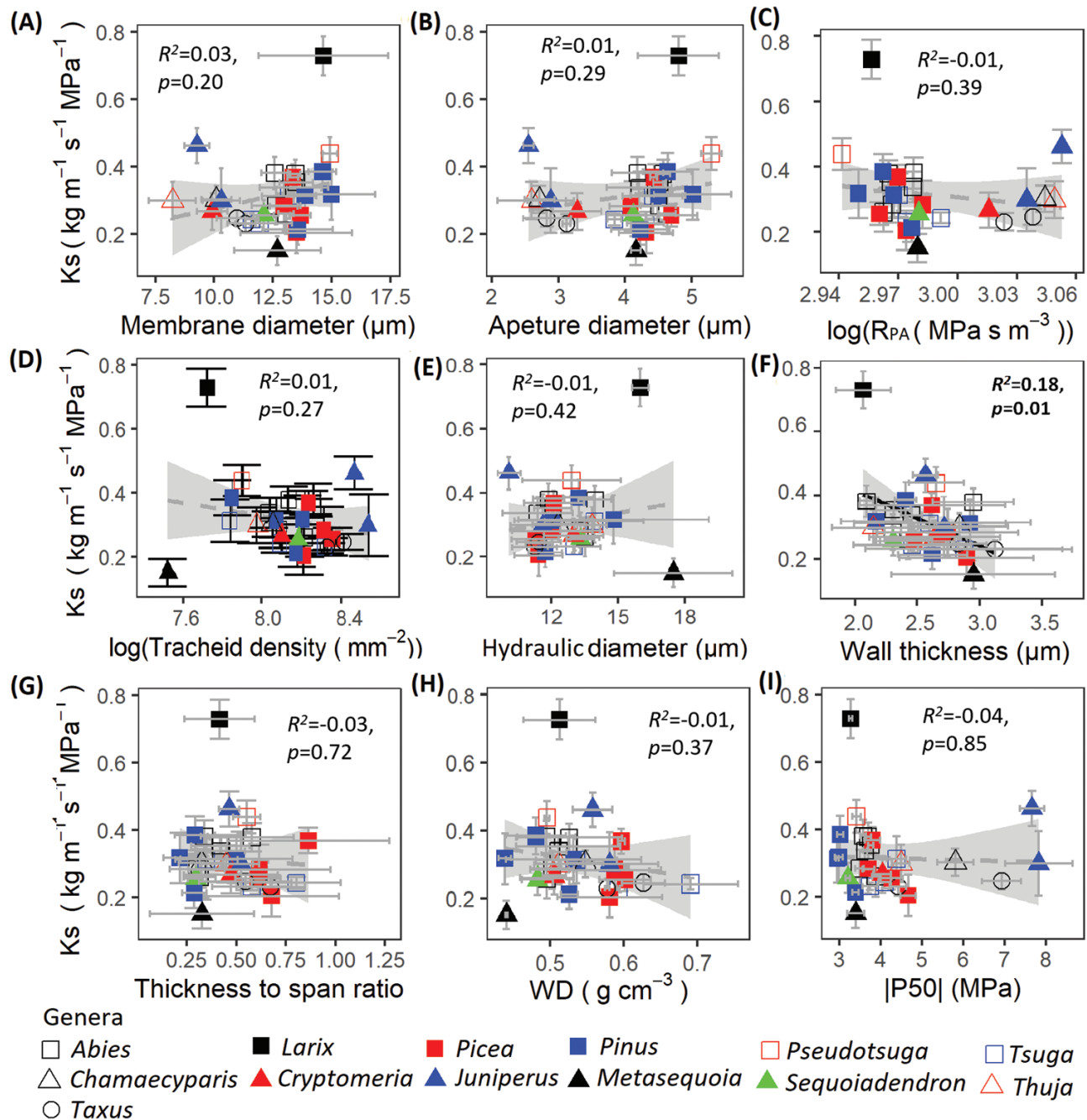
Only the best models ( $\Delta AIC < 2$ ) were included, and averaged (in the case of  $K_s$ ). Bold indicates significant coefficients. Values indicate regression coefficients of the selected variables in the model. Per model, degrees of freedom (df), the log likelihood (logLik), corrected Akaike information criterion (AICc), and the AICc weight are given. Models are selected based on  $\Delta AIC < 2$ . The average model was calculated based on the selected models. The average coefficients (Avg), relative importance (Imp), and significances (P) are shown. Relative importance of the predictor variables is calculated as the sum of the Akaike weights over the best selected models.  $D_h$ , hydraulic diameter; TD, tracheid density; DPA, pit aperture diameter; MF, margo flexibility; VE, valve effect;  $R_{PA}$ , pit aperture resistance.

and most traits related to pit size and pit sealing showed significant phylogenetic signals (Table 4). To explore how phylogenetic groups differed from each other, the 28 species were classified into eight groups based on subfamily (Table 4; Fig. 4). Cupressaceae differed significantly from Laricaceae, Pinoideae, and Sequoioideae, but not from

the Taxaceae. The Cupressaceae were compared with Abietoideae, Piceoideae, and Laricoideae, and were found to be more drought tolerant and embolism resistant (high  $|P50|$ ) because of a smaller pit size (DPM, DT, DPA), and they had higher pit aperture resistance and margo flexibility (Table 4).



**Fig. 7.** Structural equation models for the effects of pit and tracheid traits on hydraulics for 28 conifer species: (A) embolism resistance ( $|P50|$ ) ( $\chi^2=27.17$ ,  $df=18$ ,  $P=0.08$ ), (B) hydraulic conductivity ( $K_s$ ) ( $\chi^2=13.71$ ,  $df=7$ ,  $P=0.06$ ). Significant standardized coefficients are shown in bold, and ns means non-significant. The standardized coefficients, significant effects, and total effects of traits on  $|P50|$  or  $K_s$  can be found in Supplementary Table S1.



**Fig. 8.** Bivariate relationships between maximum specific hydraulic conductivity ( $K_s$ ) and underlying properties (A–H) for 28 conifer tree species. The trade-off between  $K_s$  and embolism resistance ( $|P_{50}|$ ) is shown (I). The traits are grouped in rows according to their function; pit traits (A–C), tracheid traits (D, E), and mechanical traits (F–H). For trait abbreviations, see Table 1. Bivariate error bars ( $\pm$ SE of the mean), regression lines, and 95% confidence intervals (grey), coefficients of determination ( $R^2$ ), and  $P$ -value are shown.

Out of the 91 possible pairwise trait correlations, 27 correlations were significant, both across species and using phylogenetic correlations (Table 3). Eleven significant cross-species correlations became non-significant when using phylogenetic correlations. These correlations mainly referred to the relationship between P50 and tracheid traits (i.e.,  $D_h$  and TD) and  $K_s$  versus Tw. Two correlations that were not significant across species became significant using a phylogenetic correlation; WD

was negatively related to pit aperture diameter (DPA) and resistance ( $R_{PA}$ ) (Table 3).

## Discussion

We show how 28 conifer species differed in P50 and  $K_s$ , and how those differences were explained from the underlying anatomical

**Table 3.** Correlations between traits related to hydraulics (white columns), tracheid traits (grey columns), pit traits (blue columns), and wood mechanical traits (green column) for 28 conifer species

Trait	Hydraulics		Tracheid traits				Pit traits				WD			
	P50	Ks	D <sub>h</sub>	TD	Tw	TSR	DPM	DT	DPA	TO	MF	VE	R <sub>PA</sub>	WD
P50		0.11	-0.20	0.22	-0.02	-0.05	-0.13	-0.34	<b>-0.52</b>	0.19	<b>0.44</b>	<b>0.49</b>	<b>0.53</b>	<b>0.47</b>
Ks	-0.05		-0.18	-0.03	-0.28	0.06	0.00	-0.07	-0.11	0.09	0.13	0.18	0.11	0.02
D <sub>h</sub>	<b>-0.51</b>	0.03		<b>-0.52</b>	0.10	-0.24	0.32	0.20	<b>0.46</b>	-0.37	0.003	<b>-0.42</b>	<b>-0.46</b>	<b>-0.53</b>
TD	<b>0.53</b>	-0.09	<b>-0.75</b>		-0.08	0.27	-0.30	-0.04	-0.17	0.23	-0.30	0.08	0.17	<b>0.53</b>
Tw	0.29	<b>-0.48</b>	-0.22	0.14		<b>0.59</b>	0.28	0.30	0.11	0.36	-0.16	0.31	-0.09	0.20
TSR	0.20	-0.11	-0.33	0.34	<b>0.47</b>		-0.13	0.06	-0.14	0.36	-0.24	0.26	0.15	<b>0.61</b>
DPM	<b>-0.69</b>	0.17	0.27	-0.29	-0.09	-0.14		<b>0.83</b>	<b>0.78</b>	0.35	-0.19	0.29	<b>-0.77</b>	-0.32
DT	<b>-0.67</b>	0.22	0.19	-0.19	-0.14	-0.03	<b>0.96</b>		<b>0.85</b>	<b>0.55</b>	<b>-0.70</b>	0.19	<b>-0.84</b>	-0.27
DPA	<b>-0.80</b>	0.14	<b>0.38</b>	-0.35	-0.21	-0.08	<b>0.93</b>	<b>0.93</b>		0.03	<b>-0.50</b>	-0.28	<b>-0.99</b>	<b>-0.51</b>
TO	0.10	0.27	<b>-0.43</b>	0.36	0.11	0.13	<b>0.38</b>	<b>0.50</b>	0.14		<b>-0.51</b>	<b>0.83</b>	-0.02	0.33
MF	<b>0.56</b>	-0.26	-0.09	0.04	0.20	-0.12	<b>-0.78</b>	<b>-0.92</b>	<b>-0.79</b>	<b>-0.60</b>		0.06	<b>0.50</b>	0.07
VE	<b>0.52</b>	0.13	<b>-0.61</b>	<b>0.49</b>	0.29	0.08	-0.09	-0.04	<b>-0.39</b>	<b>0.81</b>	-0.02	<b>0.40</b>	0.28	<b>0.44</b>
R <sub>PA</sub>	<b>0.80</b>	-0.14	<b>-0.38</b>	0.35	0.22	0.08	<b>-0.93</b>	<b>-0.93</b>	<b>-1.00</b>	-0.14	<b>0.79</b>	<b>0.40</b>		<b>0.51</b>
WD	<b>0.52</b>	-0.13	<b>-0.66</b>	<b>0.53</b>	0.37	<b>0.72</b>	-0.27	-0.18	-0.33	0.35	0.02	<b>0.47</b>	0.33	

Pairwise Pearson correlations (below the diagonal) and phylogenetically independent contrast correlations (above the diagonal) are shown. Bold values represent significant correlations at  $P < 0.05$ . For trait abbreviations, see Table 1

pit and tracheid traits. We found that the valve effect and pit aperture resistance determined the xylem embolism resistance. Hydraulic conductivity was, surprisingly, not explained by pit and tracheid size, but was only negatively related to wall thickness. Embolism resistance and its underlying anatomical traits were under stronger phylogenetic control than hydraulic efficiency. Below we will discuss how pit and tracheid traits affect embolism resistance and hydraulic conductivity, and how pit traits, tracheid traits, and hydraulics are phylogenetically controlled.

### Embolism resistance: the function of pits and tracheids

We hypothesized that embolism resistance would increase with high pit aperture resistance resultant from a small pit aperture and thick cell wall, and with strong pit sealing properties and particularly with a strong valve effect as driven by large torus overlap and high margo flexibility. We indeed found support for this hypothesis. As expected, small pit dimensions (as characterized by small pit aperture diameter, pit membrane diameter, and torus diameter) were indeed associated with a high embolism resistance (Fig. 6A–C, I). The structural equation model implies that the effects of small pits, in combination with thick cell walls, particularly increase the pit aperture resistance, which increases the embolism resistance (Fig. 7A; Supplementary Fig. S4A). This is in line with the idea that a small pit size increases embolism resistance due to a relatively increased torus overlap, because the torus size remains fairly constant, whereas a reduction in pit aperture leads to a stronger increase in torus overlap (Bouche *et al.*, 2014; Jansen and McAdam, 2019), but adds a significant role for the cell wall thickness of earlywood (Supplementary Fig. S4A). The structural equation model also showed that the valve effect positively affected embolism resistance (Fig. 7A), which is thought to be the best integrator of pit sealing properties (Delzon *et al.*, 2010). Both torus overlap and margo flexibility had a significant indirect effect via the valve effect on embolism resistance (Fig. 7A; Supplementary Table S2). These results are at least partially supported by the bivariate relationships with embolism resistance (Fig. 6): the valve effect showed the strongest relationship (Fig. 6F), followed by margo flexibility (Fig. 6E), but remarkably torus overlap did not have any bivariate trend with |P50| (Fig. 6D) despite a strong contribution to variation in the valve effect (Fig. 7). These results are in line with the aspiration hypothesis, which suggests that air seeding occurs when the torus cannot perfectly seal the aperture against the pit border (the inner wall of the pit membrane) (Pittermann *et al.*, 2010). The positive trend between margo flexibility and embolism resistance (Fig. 6E) is also in line with Hacke *et al.* (2004), implying that a flexible margo enables the torus to seal the aperture perfectly against the pit border (Delzon *et al.*, 2010). Our results nevertheless most strongly support the aspiration hypothesis in the sense that a stronger valve effect is the most direct way of sealing the pit aperture with a direct positive effect on embolism resistance (Figs 6F, 7).

**Table 4.** Differences in hydraulics and underlying traits among eight phylogenetic groups (Piceoideae, Pinoideae, Laricoideae, Abietoideae, Cupressoideae, Taxodioidae, Sequoioideae, and Taxaceae; see Fig. 4

Traits	Phylogenetic test	One-way ANOVA	Mean value											
			Blomberg's K-value	F <sub>7,20</sub>	P	Piceoideae	Pinoideae	Laricoideae	Abietoideae	Cupressoideae	Taxodioidae	Sequoioideae	Taxaceae	
						P-value								
Pit size			1.28	0.001	25.48	<0.001	13.37 DE	14.25 E	14.78 E	12.81 CD	9.48 A	9.93 AB	12.39 BCD	11.15 ABC
			1.82	0.001	34.91	<0.001	7.28 DE	7.78 E	8.03 E	6.60 CD	4.11 A	4.49 AB	5.73 BC	4.84 AB
			1.62	0.001	19.39	<0.001	4.38 CD	4.59 A	5.05 E	4.35 CD	2.69 A	3.28 ABC	4.14 BCD	2.98 AB
			0.60	0.002	3.15	0.02	0.40 AB	0.41 B	0.36 AB	0.34 AB	0.34 AB	0.27 A	0.27 A	0.38 AB
			0.89	0.001	11.62	<0.001	0.45 A	0.45 A	0.46 A	0.48 A	0.57 B	0.55 AB	0.54 AB	0.57 B
			0.56	0.001	4.78	0.003	0.18 AB	0.18 AB	0.16 A	0.16 A	0.19 AB	0.15 A	0.14 A	0.22 B
			0.42	0.07	2.37	0.06	11.73 A	12.95 A	14.46 A	12.72 A	11.97 A	12.99 A	15.40 A	11.36 A
			0.34	0.13	2.36	0.06	3.96×10 <sup>5</sup> A	3.24×10 <sup>5</sup> A	2.47×10 <sup>5</sup> A	3.46×10 <sup>5</sup> A	3.98×10 <sup>5</sup> A	3.29×10 <sup>5</sup> A	2.72×10 <sup>5</sup> A	4.35×10 <sup>5</sup> A
			0.27	0.38	0.89	0.53	2.68 A	2.53 A	2.36 A	2.50 A	2.57 A	2.64 A	2.63 A	2.97 A
			0.43	0.02	3.26	0.02	0.69 B	0.32 A	0.48 AB	0.46 AB	0.44 AB	0.47 AB	0.31 AB	0.61 AB
			0.62	0.002	3.23	0.02	0.59 B	0.49 AB	0.50 AB	0.54 AB	0.55 AB	0.51 AB	0.46 AB	0.60 B
			1.04	0.001	18.57	<0.001	3.06×10 <sup>8</sup> BC	2.66×10 <sup>8</sup> AB	1.97×10 <sup>8</sup> A	3.12×10 <sup>8</sup> BC	1.35×10 <sup>8</sup> E	7.27×10 <sup>8</sup> CDE	3.57×10 <sup>8</sup> BCD	1.00×10 <sup>8</sup> DE
			0.53	0.03	13.69	<0.001	4.11 BC	3.09 A	3.35 AB	3.81 AB	6.44 D	4.04 ABC	3.30 AB	5.67 CD
			0.49	0.04	3.67	0.01	0.28 A	0.31 A	0.58 B	0.31 A	0.34 AB	0.27 A	0.20 A	0.24 A

Phylogenetic test for traits and one-way ANOVA with Tukey's (HSD) post-hoc test. Groups that are significantly different ( $P < 0.05$ ) are indicated with different letters. Bold represents significant values.

The torus capillary seeding hypothesis is an alternative hypothesis for linking pit traits to embolism resistance, and suggests that air seeding occurs at the pores of the torus (Jansen et al., 2009; Bouche et al., 2014). In a study of 13 conifer species, it was observed that embolism resistance was indeed determined by the size of torus pores, as air seeding occurs firstly at the largest pore (Jansen et al., 2012). Because we did not measure the pores in the punctured tori, we cannot test for this mechanism. Finally, we found that embolism resistance increased with tracheid density (Fig. 6H), which is consistent with other studies (Jacobsen et al., 2016, see Supplementary Table S1; Larter et al., 2017). Perhaps a larger amount of smaller tracheids provides extra safety because there are more functional tracheids left to take over the role of embolized tracheids. Alternatively, more tracheids per area indicate more cell wall and less lumen per area, leading to stronger limitations for gas diffusion and embolism.

*Why is hydraulic conductivity not explained by pit and tracheid traits?*

Hydraulic conductivity (Ks) is often found to increase with pit and tracheid size (Pittermann et al., 2006; Sperry et al., 2006; Woodruff et al., 2008; Hacke and Jansen, 2009), as water transport through wide structures reduces friction between water and plant cells, and facilitates water flow (Roskilly et al., 2019). In contrast to these predictions, neither pit aperture diameter nor hydraulic diameter was related to hydraulic conductivity in our study (Figs 7B, 8B, E) (cf. Larter et al., 2017). We found that hydraulic conductivity was only significantly and negatively related to tracheid cell wall thickness (Figs 7B, 8F). Thick cell walls may affect hydraulic conductivity in two ways: they may increase the hydraulic pathlength within the pits, and therefore pit aperture resistance, or they may reduce the lumen area available for fluid flow (Hacke et al., 2004). Yet, neither of these two mechanisms is likely to have played a role in our study. First, cell wall thickness contributed little to pit aperture resistance (which is mostly determined by pit aperture diameter), and pit aperture resistance did not have a significant effect on Ks (Figs 7B, 8C; Supplementary Fig. S4C). Second, although there is indeed a negative correlation between wall thickness and hydraulic diameter ( $r = -0.22$ , Table 3), this is not significant. In addition, in our multiple regression analysis (Table 2) and structural equation model (Fig 7B), we checked for independent effects of cell wall thickness and  $D_h$  on Ks, and  $D_h$  never had a significant effect. Hence, cell wall thickness is associated with Ks, but for unknown reasons.

It should be said that the observed range of hydraulic conductivity is rather small (ranging from 0.15 kg m<sup>-1</sup> s<sup>-1</sup> MPa<sup>-1</sup> to 0.46 kg m<sup>-1</sup> s<sup>-1</sup> MPa<sup>-1</sup> across species, excluding the outlier *Larix* (Fig. 8) which may explain our inability to detect significant relationships between Ks and putative tracheid traits. Alternatively, it could be that hydraulic conductivity is

determined by other hydraulic bottlenecks, such as the sizes of pores in the margo (Schulte *et al.*, 2015), the overlapping tracheid tips due to bent tracheids, or tracheid length since that determines the flow path or affects the end wall conductivity which contributes nearly to 64% of total resistivity in tracheids (Pittermann *et al.*, 2006; Sperry *et al.*, 2006), but those properties were not considered here.

### Trait associations and trade-offs

It was expected that there would be a trade-off between hydraulic efficiency and hydraulic safety, because large tracheids and pits potentially increase the hydraulic conductivity but come at the cost of reduced embolism resistance to air seeding. We did not find, however, any relationship between hydraulic conductivity and embolism resistance (Fig. 8I) as previously reported by several studies (Gleason *et al.*, 2016). We used a PCA to identify major hydraulic spectra and trade-offs (Fig. 5). The first PCA axis represents the major axis of hydraulic trait variation, showing a trade-off between hydraulic safety (i.e., high embolism resistance and pit resistance) and pit dimensions (i.e., pit size). Species with high embolism resistance need small pits to enhance resistance to air seeding (Sano, 2016), which we also observed in the bivariate trait associations (Figs 6A–C; Table 3). The second PCA axis shows three spectra, namely a size–number spectrum for tracheids, a toughness spectrum, and a pit sealing spectrum (Fig. 5). These three spectra indicate that species with strong pit sealing capacity need to invest resources in tough structures such as dense wood, thick cell wall reinforcement, and dense tracheids to prevent embolism, which comes at the cost of water transport through tracheids. Structural equation models proved that hydraulic conductivity was only related to tracheid wall thickness (Fig. 7B), whereas embolism resistance was determined by pit size and pit sealing properties through a valve effect (Fig. 7A). The major variation in embolism resistance and hydraulic efficiency is driven by different sets of traits, which explains why hydraulic efficiency and hydraulic safety were disconnected. In that sense, our study is in line with other recent studies (Willson *et al.*, 2008; Larter *et al.*, 2017), which fail to show a trade-off between these potentially competing hydraulic functions, and does provide a possible explanation for this.

### Phylogenetic signal and trait evolution

P50 varied significantly across species, with *Pinus* species being the most drought intolerant and *Juniperus* species being the most drought tolerant (Fig. 4). Blomberg's *K* values showed that embolism resistance and its underlying pit traits (pit size and pit sealing) were phylogenetically conserved, indicating that they are the result of old phylogenetic splits. The ancestral traits are largely maintained because insufficient time has passed since the evolutionary divergence (Ackerly, 2003) (Table 4). Most of the significant PICs were among P50 and pit traits,

indicating that increased embolism resistance has evolved in combination with decreased pit aperture size, and increased pit aperture resistance and valve effect (Table 3). Traits that reflect mechanical reinforcement (i.e.,  $T_w$ ,  $TSR$ , and  $R_{PA}$ ) and, hence, material construction costs (Poorter *et al.*, 2018) also showed a significant phylogenetic signal (cf. Chave *et al.*, 2006).

Pit size traits were more conserved than pit sealing traits (MF, TO, and VE), probably because pit size is closely related to—and the result of—cell size, which may be more difficult to change in response to different environmental conditions during evolution (David-Schwartz *et al.*, 2016). Pit sealing traits may be easier to modify, as they result from two underlying pit size traits (Fig. 1); a small increase in one pit size trait combined with a small decrease in another may therefore lead to larger changes and more flexibility in pit sealing traits.

The strong phylogenetic control of pit size traits therefore explains the relatively strong phylogenetic control of embolism resistance and drought adaptation. Cupressaceae and Taxaceae were the most embolism-resistant (i.e., high |P50|) phylogenetic groups because of their small pit sizes, strong pit sealing property (MF), and high pit aperture resistance ( $R_{PA}$ ). Instead, the fast-growing and light-demanding Pinoideae (Webb and Scanga, 2001) and Laricoideae (Dobrovolný *et al.*, 2013) had larger pit dimensions (DPM, DT, and DPA) and weaker pit sealing (MF) than the slow-growing Cupressaceae. We conclude that closely joined evolution of high embolism resistance with small pit size, a higher valve effect, and pit aperture resistance has enabled conifer species to be very resistant to drought (Pittermann *et al.*, 2010).

In contrast, hydraulic conductivity and its underlying tracheid traits (tracheid size, tracheid number, and cell wall thickness) were under much weaker phylogenetic control, suggesting that hydraulic conductivity traits may have allowed species to radiate into different habitats (PANEK, 1996). Weak phylogenetic signals in hydraulic conductivity have also been found in other studies on broadleaf species (Liang *et al.*, 2019) and conifer species (Corcuera *et al.*, 2011). Phenotypic plasticity may play a vital role in the variation of hydraulic traits in relation to water availability (Liang *et al.*, 2019), but such variation was not considered in our common garden study. Possibly, our common garden approach led to smaller hydraulic conductivity differences between the conifer species than when comparing such species as acclimated in their natural, contrasting, habitats.

### Conclusions

We compared the hydraulics of 28 conifer species grown under standardized conditions in a common garden experiment. Pit sealing properties, tracheid size, and tracheid numbers indeed affect the embolism resistance of conifer species. Anatomical stem traits (pit size, margo flexibility, torus overlap, and valve effect) of conifers are phylogenetically conserved, and strongly control species differences in embolism resistance. Unexpectedly, hydraulic

conductivity was weakly phylogenetically controlled, and negatively related to tracheid wall thickness, rather than being related to hydraulic diameter or pit aperture size. Future studies could explore the role of tracheid length and margo pores in hydraulic conductivity. In sum, conifer species differ greatly in embolism resistance and the underlying traits, and in hydraulic conductivity, and they may therefore differ strongly in their climatic distribution and drought responses to climate change.

## Supplementary data

The following supplementary data are available at [JXB online](#).

Fig. S1. An example of a radial subsection from the cross-section for the measurements of *Tsuga heterophylla*.

Fig. S2. Principal components analysis (PCA) of multivariate trait associations across 28 conifer species.

Fig. S3. Boxplot of P50 (the xylem pressure when 50% of hydraulic conductance is lost) and Ks (maximum specific hydraulic conductivity) across 28 conifer species.

Fig. S4. Structural equation models for the effects of pit traits and tracheid traits of earlywood and latewood on the embolism resistance ( $|P50|$ ) and hydraulic conductivity (Ks) for 28 conifer species

Table S1. Overview of species, abbreviations, family, sub-family, and genera of 28 conifer species in the Netherlands.

Table S2. Results of the structural equation models for the effects of pit and tracheid traits on embolism resistance ( $|P50|$ ) and hydraulic conductivity (Ks) shown in [Fig. 7](#).

Table S3. Results of a multi-model comparison showing how hydraulic conductivity (Ks) depends on the tracheid traits of earlywood and latewood.

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## Author contributions

FS, LP, and YS: design; SD: supervision of the measurement and analyses of embolism resistance and pit structure; YS and AH: data collection; YS: data analysis with the supervision of LP and FS; SD, LP, FS, and YS: discussion and explanation of the results; YS: writing the first draft of the manuscript, which was edited by all the authors.

## Conflict of interest

The authors have no conflict of interest to declare.

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## Data availability

The average species trait data are available upon reasonable request from the authors.

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