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1 **Manipulating and studying triglyceride droplets in microfluidic devices**

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9

10 **Abstract**

11 Triglyceride is the main lipid class in nature, found as droplets in both living systems and man-made
12 products (such as manufactured foods and drugs). Characterizing triglyceride droplets in situ in these
13 systems is complex due to many environmental interactions. To answer basic research questions about
14 droplet formation, structuration, stability, or degradation, microfluidic strategies were developed,
15 allowing well-controlled droplets to be formed, manipulated, and studied. In this review, these
16 strategies are described, starting with the presentation of droplet production devices, with
17 applications essentially related to microencapsulation and delivery, then detailing methods to monitor
18 droplet degradation in situ and in real time, finishing with microfluidic platforms allowing the
19 investigation of many aspects of biological lipid droplets simultaneously.

20

21 **1. Introduction**

22 Triglyceride (also called triacylglycerol, consisting in three fatty acids esterified to glycerol) is the main
23 lipid class found in animals, plants, and fungi. Triglycerides are present as lipid droplets in most types
24 of cell, although at a high concentration only in some specialized cells where they are stored as an
25 energy reservoir. Within an organism, or from one organism to the other, triglycerides undergo many
26 hydrolysis/re-esterification processes catalyzed by various lipases, yielding free fatty acids (with or

27 without one monoglyceride molecule depending on lipase specificity). Most of these processes takes
28 place for transport purposes, an especially important one being the hydrolysis of triglyceride droplets
29 as the first step of energy production.

30 As a full example, upon fat ingestion in the human, hydrolysis of each triglyceride molecule within the
31 gastrointestinal tract yields two fatty acid and one monoglyceride molecules. These lipids are then
32 transported inside the enterocytes where they are re-esterified to triglycerides directly in chylomicrons
33 (a lipoprotein), or transiently as lipid droplets stored for later chylomicron production. Chylomicrons
34 are secreted into the lymph where they circulate to be distributed in the body, for subsequent energy
35 production in most tissues after hydrolysis, and for storage as lipid droplets in adipose tissues after a
36 hydrolysis/re-esterification process [1].

37 Hence, triglyceride droplets are ubiquitous in living systems, undergoing many hydrolysis/re-
38 esterification processes for physiological purposes. However, their formation, structuration, stability,
39 interactions, and degradation are still the subject of unresolved questions [2, 3, 4]. Moreover, studying
40 triglyceride droplets is challenging due to various interactions occurring between them and with other
41 molecules such as proteins or phospholipids, required to stabilize droplet interface. Hence, there is no
42 unique structure and composition for triglyceride droplets, as this depends on their environment and
43 their use (both for living and man-made systems). Simplified systems are thus often studied, such as
44 diluted emulsions (where interactions still take place) or individual droplets.

45 With the advent of droplet-based microfluidics about 20 years ago, individual droplets can now be
46 produced, manipulated, and studied dynamically and kinetically [5]. However, most of the
47 investigations to date focused on aqueous droplets, the manipulation of oil droplets being more
48 demanding due to viscosity and wetting effects, alkanes being often used as the oil phase to minimize
49 these effects (note that oil is used here as a generic word referring to a phase that is not miscible with
50 water, that can be alkane, mineral oil, triglyceride, edible oil...). The use of lipids is more recent, coming
51 from the need to understand and control the behavior of biological droplets in various environments,

52 typically triglyceride droplets in drug or food, in the gastrointestinal tract, and in various cells after lipid
53 absorption.

54 This review shows what microfluidics brings to the physicochemical study of triglyceride droplets,
55 starting with various strategies to create droplets with well-controlled size and structure, that can be
56 used for bioactive microencapsulation and release, then describing lab-on-chip analyses dedicated to
57 the kinetics of triglyceride droplet hydrolysis, finishing with promising microfluidic platforms allowing
58 in situ investigations of triglycerides in the cell.

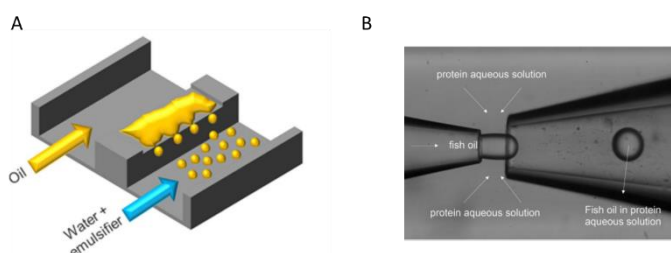
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60 **2. Triglyceride emulsification**

61 Common methods for triglyceride emulsification are batch methods such as high-pressure
62 homogenization, ultrasonication, membrane and jetting methods. Despite a high yield of production,
63 these methods do not allow a precise control of the size, polydispersity, shape, and structuration of
64 the droplets. Moreover, a high energy input in these methods may lead to thermal alterations of heat-
65 sensitive compounds.

66 On the contrary, direct droplet formation in microfluidic devices yields monodisperse droplets of
67 controlled structure with a low energy input. They divide into two categories, based on the droplet
68 formation mechanism.

69 The first one is spontaneous or interfacial tension-driven, the resulting droplet size being only
70 influenced by the flow rate of the dispersed phase and by the geometry of the device [6]. In this
71 method, droplets form spontaneously by interfacial tension forces, generating many droplets
72 simultaneously (figure 1A), each being 10 μm in diameter when produced in EDGE microchips (using
73 sunflower oil and a milk protein solution).



75 Figure 1: Droplet microfluidic devices. (A) Spontaneous formation of droplets by an interfacial tension-driven
76 mechanism in EDGE microchips. Reprinted from [6], under the terms of the Creative Commons CC BY license. (B)
77 Single droplet formation by a shear-driven mechanism inside a co-flowing glass microfluidic device. Reprinted
78 from [11], with permission from Elsevier.

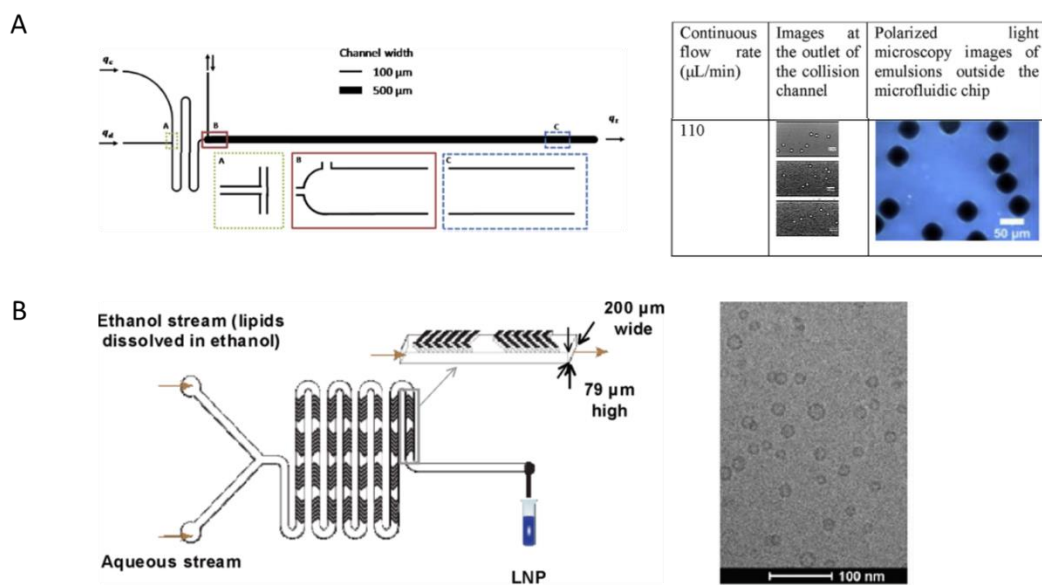
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80 The second one is shear-driven (microfluidic T-, Y- or cross-junction devices; co-flowing devices), the
81 resulting droplet size being influenced by the flow rate of both phases and by the geometry of the
82 device (figure 1B). Here, droplets break continuously at the location of the maximum shear stress,
83 where the continuous phase pinches the dispersed phase. Contrary to the first method, this one offers
84 a better control of the size, shape, structure, and composition of the droplet, typically ranging between
85 50 and 500 μm , with possibilities to reach 10 and 1000 μm .

86 As an example of a cross-junction device, Kim and Vanapalli could control the shape of 500 μm palm
87 oil particles by a temperature gradient making them liquid, semi-solid or solid [7]. The interplay
88 between the cooling temperature and the flow rate of the continuous phase was shown to control the
89 transition from spherical to elongated droplets. A relationship between the geometric confinement
90 and elasticity of the particle was established to explain these results. With a similar approach but using
91 a co-flowing microfluidic device, Capretto et al. were also able to generate solid lipid microparticles of
92 various sizes and shapes using tripalmitin [8].

93 When using liquid triglyceride, coalescence has to be controlled in the microfluidic device in order to
94 control the droplet size. This was the subject of a recent study about Pickering droplets, i.e. stabilized
95 by solid particles [9]. Monodisperse sunflower oil droplets were generated using a microfluidic device
96 (T-junction). Colloidal lipid particles (CLPs) made of tripalmitin particles stabilized by sodium caseinate
97 were used as the Pickering particles. A collision channel was used to enable and monitor coalescence
98 events between the droplets. Those were quantified by comparing images of droplets taken at the
99 inlet and outlet of the collision chamber (figure 2A). These images were captured using a high-speed
100 camera connected to a microscope. Coalescence was found to depend on the droplet surface coverage

101 by CLPs. A low coverage of CLPs induced coalescence due to droplet-droplet bridging, whereas a high
 102 coverage of CLPs totally suppressed the coalescence.



103
 104 Figure 2: Microfluidic control of the droplet size. (A) Control of the Pickering droplet size by coalescence in a
 105 microfluidic device. The device was composed of three regions. A: T-junction, B: inlet collision channel, C: outlet
 106 collision channel. Reprinted from [9], with permission from Elsevier. (B) Lipid nanoparticles generation with a
 107 Staggered Herringbone microfluidic Mixing device. Production of monodisperse lipid particles in the range of 20
 108 to 70 nm. Reprinted with permission from [10], American Chemical Society.

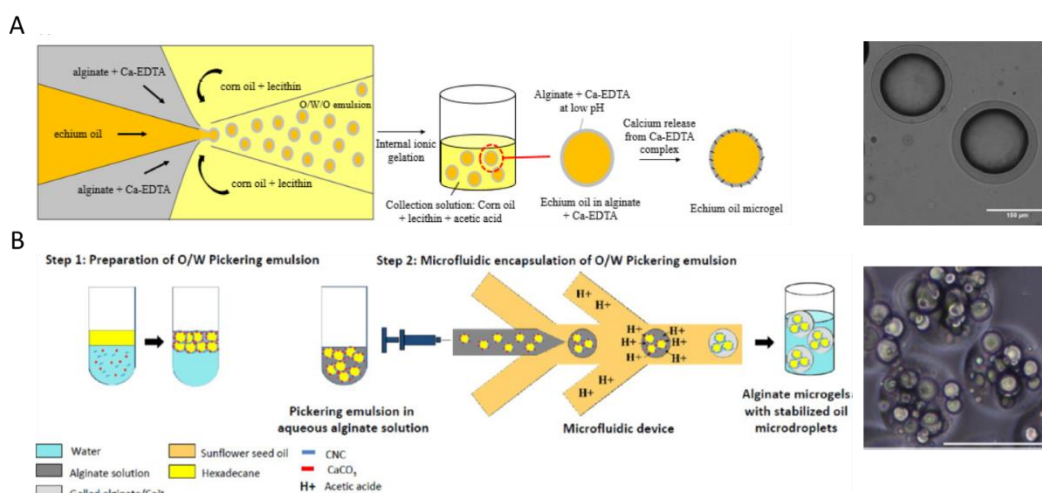
109
 110 Biomimetic lipid droplets can also be created using microfluidics. For example, a Staggered
 111 Herringbone microfluidic Mixing device (SHM) was designed to generate various lipid nanoparticles,
 112 with either oil core (droplet made of triolein and a phospholipid), or with aqueous core (vesicle made
 113 of phospholipid alone) [10]. An ethanol phase containing the lipids was rapidly mixed with an aqueous
 114 stream in a chaotic mixer driven by asymmetric grooves on the floor of the channel. The high flow rate
 115 ratio applied increased quickly the polarity of the mixing solution and spontaneous assembly occurred,
 116 leading to the synthesis of limit size lipid nanoparticles (figure 2B). Contrary to the other microfluidic
 117 methods detailed above, this enables the generation of very small monodisperse lipid particles in the
 118 range of 20 to 70 nm. This size presents a great interest for the study of biological lipid droplets, and
 119 for the design of long-circulating drug delivery system.

120

121 3. Droplet microencapsulation

122 Apart from the fundamental understanding of droplet generation, triglyceride droplet microfluidics
123 finds an important application in the design of microencapsulation systems for lipophilic compounds
124 delivery. First, compared to common microencapsulation techniques (complex coacervation, spray
125 drying and spray chilling), the efficiency of encapsulation using droplet-based microfluidics is closed to
126 100 %. Also, many lipids and lipophilic compounds are unstable in contact with light, oxygen, and heat.
127 By microencapsulation in structured particles, various bioactive compounds can be protected in
128 detrimental environments, and released in favorable environments.

129 For example, a recent work demonstrated a better protection against oxidation when fish oil was
130 encapsulated as droplets covered by various proteins (gelatin or casein or whey protein, or soy protein)
131 with characteristics controlled by microfluidics. Stable droplets could be obtained using all proteins
132 except soy protein, and the best formulation against oxidation was a combination of gelatin and casein
133 [11]. To ensure an even better protection, oil droplets are often covered by a wall material composed
134 of a gel-forming polymer, with layer characteristics perfectly controlled by microfluidics (figure 3A).
135 Alginate, a natural polymer, is a good candidate to form such protective layer. This polysaccharide was
136 used to protect echium oil droplets against oxidation using the same microfluidic approach as above.
137 This protection highly reduced the generation of MDA (malondialdehyde, an oxidation product)
138 compared to free echium oil [12].



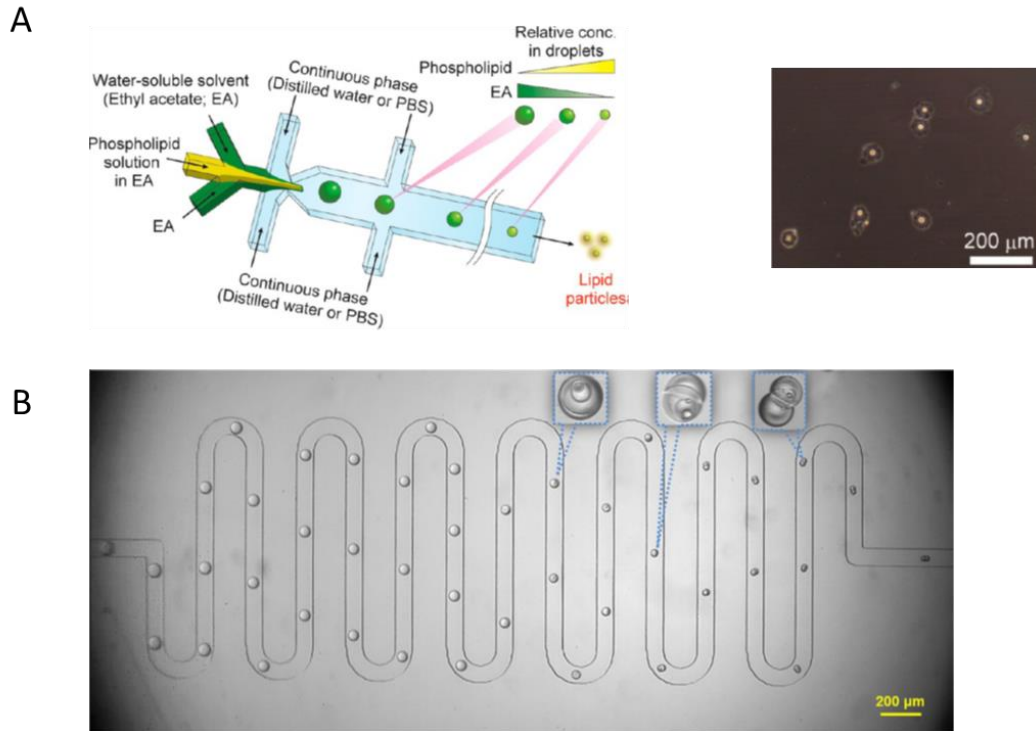
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140 Figure 3: Microfluidic protection of droplets by a gelled shell. (A) Encapsulation process by combination of
141 microfluidics and ionic gelation for the formation of capsules with an alginate shell and an echium oil core.
142 Reprinted from [12], with permission from Elsevier. (B) A two-step process to generate alginate microgels
143 containing several Pickering oil droplets (scale bar of 100 μm). Reprinted with permission from [13], American
144 Chemical Society.

145

146 To go further, two protective barriers can be associated to encapsulate oil into alginate microgels,
147 following a two-step approach [13]. A highly stable oil-in-water Pickering emulsion was first prepared
148 using nanoparticles of cotton cellulose nanocrystals (CNC), forming a dense solid shell. Calcium
149 carbonate (CaCO_3) particles were added during the Pickering emulsion step, adsorbing onto the
150 cellulose layer, forming CNC/ CaCO_3 hybrid particles. This hybrid system enabled a fine control of
151 alginate gelation during the subsequent microfluidic microgel generation step (figure 3B). Nile red as
152 a lipophilic compound remained encapsulated within the oil droplets for days, even when the droplets
153 were released from the microgels by degradation of the alginate gel.

154 Another way to create encapsulation systems in microfluidic devices is to use the self-assembly
155 properties of lipid molecules, similarly to what was done by Zhigaltsev et al. [10], described in the
156 previous section. A microfluidic strategy was also built to produce monodisperse multilamellar oil
157 droplets in a three-step process [14]. After the formation of monodisperse droplets containing
158 triglycerides and a phospholipid in a water-soluble organic solvent, a shrinkage phenomenon occurred
159 by solvent diffusion of the organic solvent into the continuous aqueous phase, leading to the
160 concentration of lipid molecules in a multilamellar core-shell structure (figure 4A). By modulating the
161 ionic strength in the continuous phase, the size of the shell could be controlled. Various lipophilic
162 compounds could be loaded and were stable in the controlled lipid structures.



163

164 Figure 4: Microfluidic self-assembly of lipid molecules. (A) Process of lipid microparticles formation after
 165 shrinkage of droplets by solvent diffusion of the organic solvent into the continuous aqueous phase. A
 166 multilamellar core-shell structure is obtained. Reprinted with permission from [14], American Chemical Society.
 167 (B) Solvent diffusion applied to triglyceride/polymer droplets generation in winding channels to produce
 168 monodisperse Janus microparticles. Reprinted from [15], with permission from Elsevier.

169

170 Recently, this solvent diffusion approach was modified to produce monodisperse Janus microparticles
 171 (i.e. two-part particles, see figure 4B) made of triglycerides and a synthetic polymer [15]. Other
 172 morphologies could be obtained depending on the concentration of the surfactants used. A model
 173 lipophilic compound (paclitaxel) was loaded into Janus microparticles, and its release was found to
 174 exhibit two kinetics, a fast one from the triglyceride droplet part, and a slow one from the polymer
 175 droplet part.

176

177 **4. Kinetics of droplet hydrolysis**

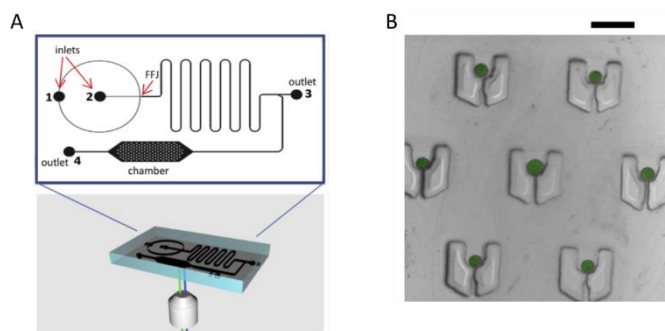
178 As stated in the introduction, triglyceride hydrolysis is an important biological process occurring in
179 many organs. In the context of gastrointestinal digestion, droplet microfluidic devices were used as
180 miniaturized in vitro digestion systems with on-chip hydrolysis analysis.

181 In the first work on this topic, triglyceride droplets of 135-145 μm were generated in one microfluidic
182 chip, individually immobilized in traps in another microfluidic chip, and subjected to gastrointestinal
183 conditions in the latter chip [16]. For the gastric phase, the digestive fluid was composed of a fungal
184 lipase (mimicking mammalian gastric lipase) at acid pH. For the intestinal phase, the digestive fluid was
185 composed of a pancreatic lipase and a bile salt at neutral pH. The temperature inside the digestion
186 chamber was maintained to 37 °C. During intestinal digestion, hydrolytic products solubilized in the
187 bile salt micelles present in the continuous aqueous phase, resulting in a shrinking of the droplet.
188 Triglyceride droplet kinetics was monitored in situ using optical microscopy. Image analysis was used
189 to measure the droplet size as a function of digestion time, allowing a quantitative kinetic analysis.
190 This approach provided equivalent results compared to the conventional emulsion-based method.

191 Advantages of using droplet microfluidics included reducing the material volumes, avoiding the issue
192 of lipid-bile stoichiometry (as digestive fluid was continuously renewed during the digestion), and
193 enabling a real-time kinetic quantification. Droplets with a similar initial diameter but made of different
194 edible oils were studied. The type of triglyceride (chain length and degree of unsaturation) was found
195 to be the main parameter controlling the hydrolysis kinetics.

196 This initial work was recently expanded by adding a lipophilic micronutrient (beta-carotene or retinyl
197 palmitate) in the triglyceride droplet [17, 18]. Droplets of 100 μm containing one lipophilic
198 micronutrient were generated, individually immobilized in traps, and subjected to gastrointestinal
199 conditions, all steps within a single microfluidic chip (figure 5). During lipid digestion, lipophilic
200 micronutrients were co-digested with lipids and co-incorporated with hydrolytic products in bile salt
201 micelles, resulting in a shrinking of the droplet as well as an increased micronutrient concentration
202 inside the droplet. In this situation, the kinetics of triglyceride digestion and of micronutrient release
203 were simultaneously monitored in situ using confocal fluorescence microscopy. The image analysis of

204 the autofluorescence of the micronutrient contained in the triglyceride droplet and of the transmitted
205 light of the droplet enabled the kinetic measurements of the micronutrient release and of the
206 hydrolytic products release during intestinal digestion. This work revealed a non-linear relation
207 between these release kinetics. Nevertheless, the kinetics of micronutrient release was found to be
208 controlled by the kinetics of triglyceride digestion, thus depending mainly on the triglyceride type
209 again.



210
211 Figure 5: Microfluidic triglyceride droplet hydrolysis. (A) Single microfluidic chip allowing triglyceride droplet
212 generation in the flow-focusing part, droplet trapping and hydrolysis in the chamber part. (B) Monitoring of
213 trapped droplets during hydrolysis by fluorescence microscopy, green level representing the autofluorescence
214 intensity of beta-carotene inside the droplets (scale bar of 200 μm). Reprinted from [17], with permission from
215 Elsevier.

216
217 One other approach was used to study lipid digestion within a microfluidic device, focusing on the
218 effect of coalescence of triglyceride droplets in gastrointestinal conditions [19]. Triglyceride droplets
219 of 35-40 μm were injected into a digestion chamber containing traps larger than the droplet size,
220 allowing single as well as multiple droplets trapping. For quantitative analysis, triglyceride droplets
221 were stained with Nile red to image their fluorescence. Compared to a single droplet, coalescence of
222 multiple droplets slowed gastric hydrolysis (catalyzed by a recombinant dog gastric lipase), and also
223 slowed the subsequent intestinal hydrolysis (catalyzed by pancreatin in the absence of bile salt),
224 although there was no coalescence events during most of the intestinal phase. Near the end of the
225 intestinal digestion, massive coalescence occurred and then hydrolysis rate dramatically increased.

226 This final increase was not explained but was also observed for a single droplet. The last size measured
227 being around 15 μm , this increase could simply be an artefact due to the resolution of the imaging
228 method or to droplets escaping the trap.

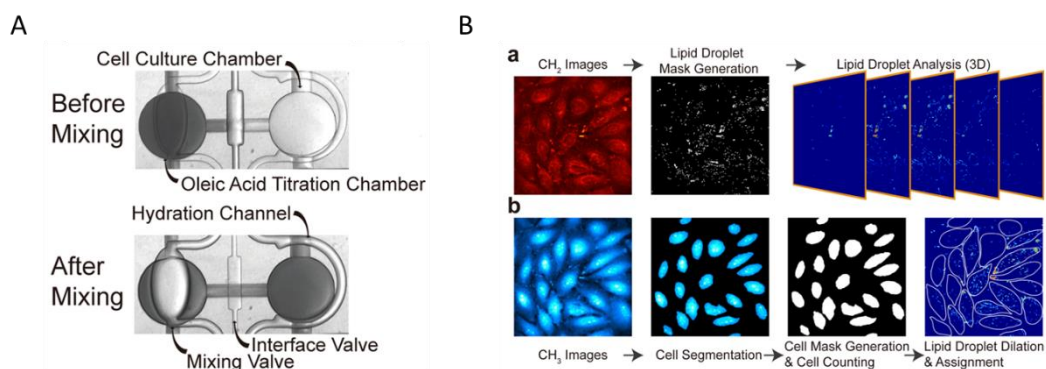
229

230 5. Cellular triglyceride droplets

231 Lipid droplets are produced in various cells, and many studies were published concerning their genesis
232 and interaction in the cell. In this context, microfluidic devices were only developed in two studies.

233 This lack of microfluidic studies originates from the fact that biological microfluidics is still a recent
234 field, requiring specific high-tech labs and multidisciplinary research teams.

235 The first investigation focused on the differentiation of human mesenchymal stem cells into
236 adipocytes, as stimulated by insulin [20]. A microfluidic platform was built in which stem cells could be
237 cultured, differentiated, and the number of lipid droplets measured in situ under an inverted
238 microscope (figure 6A). The stem cells could also be stimulated mechanically using a membrane to
239 apply shear stresses. The results revealed an optimal insulin concentration where a maximal number
240 of lipid droplets are produced, and a decrease of the number of lipid droplets with an increasing shear
241 stress.



243 Figure 6: Cellular lipid droplets in a microfluidic device. (A) Microfluidic cell culture chamber and oleic acid
244 chamber to study the formation of lipid droplets in cells. (B) On-chip cell (b) and lipid droplet (a) imaging, and
245 scheme of image analysis (right). Reprinted with permission from [21], American Chemical Society.

246

247 The second investigation focused on lipid droplets formed in different cell types under oleic acid
248 stimulation, cultured in an integrated microfluidic platform (figure 6B), and monitored in situ by
249 stimulated Raman scattering microscopy [21]. This technique enabled a label-free quantification of the
250 droplet size, lipid concentration in the droplet, and number of lipid droplets, in different physiological
251 conditions and various cell lines, with simultaneous characterization of their morphology. These
252 analyses could also be performed at the single cell level, enabling the cell-to-cell variability to be
253 assessed. The lipid droplet growth dynamics was found to be mainly controlled by the number of lipid
254 droplets.

255

256 **6. Conclusion**

257 To sum up, droplet microfluidics brings several advantages over conventional bulk methods to control
258 and study triglyceride droplets. First, it allows the generation of monodisperse droplets that can be
259 manipulated individually. Moreover, complex lipid objects can be obtained using low amounts of
260 material, allowing novel and inexpensive microencapsulation strategies. Biological droplets can also
261 be produced, and their ability to deliver bioactive compounds can be studied directly in the microfluidic
262 chip in real time using appropriate microscopy techniques (optical, fluorescence, Raman). Finally,
263 microfluidic platforms can be designed to study lipid droplet dynamics in the cell, integrating all steps
264 such as cell culture, differentiation, stimulation, and droplet characterization. This approach holds
265 great promises, and could be integrated to organs on chip platforms to investigate organ molecular
266 functions and interactions. These methods could also be used to gain more understanding of the
267 various degradation processes of triglyceride droplets and of their interaction with other (cellular)
268 components.

269

270 **Author contributions**

271 All authors reviewed the literature. HTN wrote section 4. MM wrote sections 2 and 3, and designed
272 the figures. SM wrote the other sections and edited the manuscript.

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275

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