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1 **Phenotypic and genotypic characterization of familial hypercholesterolemia in French**
2 **adult and pediatric populations**

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33

34 **ABSTRACT**

35 **Background:** Familial hypercholesterolemia (FH) is the most common genetic disorder
36 associated with a high risk for premature atherosclerotic cardiovascular disease attributable to
37 increased levels of LDL-cholesterol (LDL-C) from birth. FH is both underdiagnosed and
38 undertreated.

39 **Objective:** We describe the clinical, biological, and genetic characteristics of 147 patients in
40 France with clinical FH (including a group of 26 subjects aged < 20 years); we explore how
41 best to detect patients with monogenic FH.

42 **Methods:** We retrospectively reviewed all available data on patients undergoing genetic tests
43 for FH from 2009 to 2019. FH diagnoses were based on the Dutch Lipid Clinics Network
44 (DLCN) scores of adults, and elevated LDL-C levels in subjects < 20 years of age. We
45 evaluated *LDLR*, *APOB*, and *PCSK9* status.

46 **Results:** The mutations of adults (in 25.6% of all adults) were associated with DLCN scores
47 indicating “possible FH,” probable FH,” and “definitive FH” at rates of 4%, 16%, and 53%,
48 respectively. The areas under the ROC curves of the DLCN score and the maximum LDL-C
49 level did not differ ($p = 0.32$). We found that the pediatric group evidenced more monogenic
50 etiologies (77%, increasing to 91% when an elevated LDL-C level was combined with a
51 family history of hypercholesterolemia and/or premature coronary artery disease).

52 **Conclusion:** Diagnosis of monogenic FH may be optimized by screening children in terms of
53 their LDL-C levels, associated with reverse-cascade screening of relatives when the children
54 serve as index cases.

55

56 **KEYWORDS:** familial hypercholesterolemia, adult population, pediatric population,
57 monogenic disease, DLCN score, LDL cholesterol

58

59

60

61 INTRODUCTION

62 Familial hypercholesterolemia (FH) is a common genetic disorder. It is an autosomal
63 codominant disease usually attributable to loss-of-function mutations in genes encoding the
64 low-density lipoprotein receptor (*LDLR*) or apolipoprotein B (*APOB*), or to gain-of-function
65 mutations in the proprotein convertase subtilisin/kexin type 9 (*PCSK9*) gene.¹ The overall
66 prevalence of clinical FH in general populations is about 1:310,² but it is 1:120 in French
67 subjects.³ Biallelic *LDLR*-related FH is rare (estimated prevalence ~1/160,000–1/300,000).⁴
68 FH is characterized by elevated low-density lipoprotein-cholesterol (LDL-C) levels and a
69 high risk for premature atherosclerotic cardiovascular disease (ACD), particularly in those
70 not or inadequately treated.^{5,6} FH diagnosis is based on clinical and biological factors that
71 contribute to the Dutch Lipid Clinic Network (DLCN) score^{7,8} in adults but on the LDL-C
72 level in children. Genetic testing (of at least the *LDLR*, *APOB*, and *PCSK9* genes) is strongly
73 recommended for patients with clinically confirmed or suspected monogenic FH; this
74 formalizes the diagnosis and facilitates molecular screening of relatives.⁹ Here, we present
75 the clinical, biological, and genetic data on 147 French patients diagnosed with FH based on
76 the DLCN score (for adults) and on severe elevations in LDL-C levels in 26 subjects < 20
77 years of age.

78

79 MATERIALS AND METHODS

80 Study design and patients

81 We describe unrelated patients with clinical FH who underwent genetic analyses. None had
82 been identified via cascade testing following a diagnosis of FH in a relative. All had been
83 referred to specialist physicians (principally endocrinologists, cardiologists, and
84 pediatricians) of the Bordeaux University Hospital between October 2009 and December
85 2019. Patients who underwent monogenic FH genetic testing were retrospectively selected
86 (regardless of outcome). Written informed consent was obtained from all patients or their
87 legal representatives. We adhered to the requirements for protection of personal health data
88 and privacy set out in Article 65–2 of the (amended) Data Protection Act and the General
89 Regulation on the Protection of Personal Data. The study was approved by our institutional
90 ethics committee (CHU Bordeaux, France).

91 Adult patients were stratified by the DLCN criteria prior to genetic testing as
92 recommended by the guidelines of the Consensus Statement of the European Atherosclerosis
93 Society (EAS).⁸ The highest known LDL-C level was used for scoring. A diagnosis of FH
94 was considered “possible” (3–5 points), “probable” (6–8 points), or “definitive” (>8 points).
95 Patients who could not be scored due to missing data were excluded. The pediatric population
96 consisted of children or adolescents < 20 years of age in whom FH was suspected on the

97 basis an elevated LDL-C level (>4 mmol/L [155 mg/dL]) and a family history of
98 hypercholesterolemia and/or premature coronary artery disease (CAD).

99 **Collection of data**

100 Clinical and biological data were collected from medical records, as were the DLCN scores
101 calculated by clinicians. Demographics (age and sex), family or personal histories of
102 hypercholesterolemia and cardiovascular events, evidence for the presence of lipid deposits
103 (tendon xanthomas, xanthelasmas, and corneal arcus), lipid profiles, any lipid-lowering therapy
104 at the time of genetic analysis, and genetic test results were recorded. The maximum LDL-C
105 was the highest recorded LDL-C level. Blood samples for lipid profiling were obtained after
106 a 12 h fast. Serum levels of total cholesterol (TC), triglycerides (TGs), and HDL cholesterol
107 (HDL-C) were quantified enzymatically on an autoanalyzer (AU5800, Beckman). LDL-C
108 levels were obtained using either the Friedewald equation¹⁰ (when TG < 3.5 mmol/L) or
109 quantified enzymatically (AU5800, Beckman). Acquired causes of hypercholesterolemia¹¹
110 including hypothyroidism, chronic kidney disease, nephrotic syndrome, and cholestasis, and
111 the use of medications that may increase LDL-C levels, were recorded. Smoking status, high
112 blood pressure (HBP) readings, diabetes mellitus status, and the body mass index (BMI) were
113 also collected.

114 **Genetic analysis**

115 All EDTA-containing blood samples were sent to the laboratory of Saint-Antoine Hospital
116 (Paris, France). Genomic DNA was extracted from peripheral leukocytes using a
117 QIA Symphony DSP DNA Midi Kit (Qiagen, Hilden, Germany). Sanger sequencing included
118 the promoter region, all 18 exons, the flanking intronic sequences of *LDLR*, and exon 26 of
119 *APOB*. Of patients with no identified variant in *LDLR* or *APOB*, 59 underwent multiplex
120 ligation-dependent probe amplification (Salsa MLPA Kit P062, MRC-Holland, Amsterdam,
121 the Netherlands) to search for large *LDLR* rearrangements and 49 underwent *PCSK9*
122 sequencing. Sequencing was performed using a 3500xL Dx Genetic Analyzer (Applied
123 Biosystems, Thermo Fisher Scientific, USA) and the chromatograms were analyzed using
124 SeqScape software (Applied Biosystems, Thermo Fisher Scientific).

125 All identified variants were sought in the Leiden Open Variation Database (LOVD
126 v.3.0, www.lovd.nl/) and ClinVar (www.ncbi.nlm.nih.gov/clinvar/). Functional prediction
127 was performed using Sorting Intolerant From Tolerant software (SIFT 4.0.3, [sift.bii.a-
128 star.edu.sg/](http://sift.bii.a-star.edu.sg/))¹² and Polymorphism Phenotyping software (Polyphen2,
129 genetics.bwh.harvard.edu/pph2/).¹³ Mutation Taster (www.mutationtaster.org/)¹⁴ and
130 Combined Annotation Dependent Depletion (CADD, cadd.gs.washington.edu/)¹⁵ were used
131 to evaluate missense variants. Human Splicing Finder (HSF, www.umd.be/HSF3/)¹⁶ was
132 employed to predict the effects of splice variants. All variants were evaluated in terms of

133 pathogenicity following the recommendations of the American College of Medical Genetics
134 (ACMG).¹⁷ Only pathogenic variants, likely pathogenic variants, and variants of uncertain
135 significance (VUS) are reported here.

136 **Statistical analyses**

137 Categorical variables are presented as numbers (n) with percentages (%). Differences
138 between groups were compared using the Pearson chi-square test (or the Fisher exact test
139 when values < 5 were expected). The distributions of continuous data were tested employing
140 the Shapiro-Wilk test. Normally distributed continuous variables are reported as means with
141 standard deviations (SDs) and differences among groups were analyzed via one-way
142 ANOVA. Non-normally distributed parameters were compared using the Kruskal-Wallis test
143 and are described as medians with interquartile ranges (IQRs). The significance level (alpha)
144 was set to 0.05. All statistical analyses were performed using Rcmdr ver. 2.7–1. The Proc
145 package was used to draw receiver operating characteristic (ROC) curves and the DeLong
146 test was employed to compare areas under the ROC curves (AUROCs).

147

148 **RESULTS**

149 **Populations**

150 A total of 166 subjects genetically tested in terms of monogenic FH were eligible (according
151 to their DLCN scores); 19 were excluded because of missing data. The final study population
152 thus consisted of 147 individuals including 26 children. Acquired causes of
153 hypercholesterolemia were investigated. One instance of uncontrolled hypothyroidism, one of
154 cholestasis, and 12 cases taking medications that could impact the LDL-C level were noted
155 among the adults.

156 Table 1 lists the characteristics of the adult population (n = 121, age 20 to 77 years,
157 38.8% males). Family histories of hypercholesterolemia and/or premature CAD were found
158 in only 82.1% of cases (no data for four patients). As expected, the lipid profiles obtained at
159 the time of genetic testing revealed increases in serum concentrations of LDL-C and
160 premature CHD in 24% of patients, but the groups did not differ significantly in terms of the
161 DLCN score (p = 0.09; data not shown). By contrast, physical examination identified tendon
162 xanthomas and other extravascular lipid deposits in 7.5% and 14.2% of the patients,
163 respectively, almost all of whom were in the “definite FH” group. A total of 114 patients
164 (95%) underwent lipid-lowering therapy using either statins (n = 113) or an alternative such
165 as anti-PCSK9 (n = 16) combined with statins if the desired decrease in LDL-C was not
166 achieved using statins, and in patients exhibiting statin intolerance.

167 Table 2 lists the data for the pediatric population (n = 26, age 3 to 18 years, 42.3%
168 males). Of these, 92.3% had family histories of hypercholesterolemia and/or premature CAD

169 and 22 family histories of hypercholesterolemia. Only two patients had no known family
170 history of either hypercholesterolemia or premature CAD. One underwent lipid profiling
171 during follow-up of diabetes (this revealed an elevated LDL-C level) whereas the other
172 achieved a normalized LDL-C level after a dietary change. The maximum LDL-C level was
173 4–10.1 mmol/L (155–394 mg/dL); 92% of patients lacked lipid deposits. Prior to genetic
174 testing, 50% of young patients eligible for lipid-lowering therapy (≥ 8 years of age, n = 18)
175 were prescribed statins.

176

Table 1. Clinical and biochemical characteristics of the adult population

N=121	
Demographics	
Age, years	53.2±12.2
Male, n (%)	47 (38.8)
DLCN score	
Possible (3-5 points)	n=24
Probable (6-8 points)	n=57
Definitive (> 8 points)	n=40
Family history of hypercholesterolemia and/or premature CAD, n (%)	
Hypercholesterolemia, n (%)	96 (82,1)
Yes	72 (62.6)
No	43 (37.4)
Premature CAD, n (%)	
Yes	58 (48.7)
No	61 (51.3)
Clinical history	
BMI, kg/m ²	(n=102)
Median (IQR)	26.8 (24.0-31.5)
Smoker, n (%)	
Ever smoker	60 (50.8)
Non smoker	58 (49.2)
Hypertension, n (%)	
Yes	47 (39.8)
No	71 (60.2)
Diabetes mellitus, n (%)	
Yes	17 (14.3)
No	102 (85.7)
Premature CHD, n (%)	
Yes	29 (24.0)
No	92 (76.0)
Ischemic stroke, n (%)	
Yes	11 (9.1)
No	110 (90.9)
Physical examination	
Tendinous Xanthomata, n (%)	
Yes	9 (7.5)
No	111 (92.5)
Other lipid deposits*, n (%)	
Yes	17 (14.2)
No	103 (85.8)
Biochemical profile, mmol/L	
Total cholesterol	(n=108)
Median (IQR)	8.1 (6.5-9.4)
LDL cholesterol	(n=114)
Median (IQR)	5.8 (4.6-6.9)
HDL cholesterol	(n=110)
Median (IQR)	1.3 (1.1-1.6)
Triglycerides	(n=110)
Median (IQR)	1.7 (1.2-2.6)
LDL cholesterol maximum	(n=106)
Median (IQR)	7.1 (6.2-8.5)
LLT, n (%)	
Yes	114 (95.0)
No	6 (5.0)

181 ◀ * including corneal arcus and xanthelasmas
 182 Continuous variables are presented as mean ± SD for normally distributed data and as median with IQR for
 183 non-normal distributed data. Categorical variables are described as absolute values and frequency.
 184 Patients with missing data were excluded from the statistical analysis.
 185 Cholesterol : mmol/L x 38.7 = mg/dL. Triglycerides : mmol/L x 87.5 = mg/dL
 186
 187 Abbreviations: FH, familial hypercholesterolemia; DLCN, Dutch Lipid Clinic Network, SD, standard
 188 deviation; BMI, body mass index; IQR, interquartile range; CAD, coronary artery disease; CHD, coronary
 189 heart disease; LDL, low-density lipoprotein; HDL, high-density lipoprotein; LLT, lipid-lowering therapy.

194 **Table 2. Clinical and biochemical characteristics of the pediatric population**
 195
 196

Children and adolescents	
N=26	
Demographics	
Age, years	(n=26)
Mean ± SD	11.2 ± 4.8
Male, n (%)	11 (42.3)
Family history of hypercholesterolemia and/or premature CAD, n (%)	
	24 (92.3)
Clinical phenotype	
Lipid deposits*, n (%)	
Yes	2 (8.0)
No	24 (92.0)
Biochemical profile, mmol/L	
Total cholesterol	(n=22)
Median (IQR)	7.8 (7.1-8.3)
LDL cholesterol	(n=22)
Median (IQR)	6.0 (5.3-6.8)
HDL cholesterol	(n=22)
Median, (IQR)	1.5 (1.1-1.7)
Triglycerides	(n=22)
Median, (IQR)	0.8 (0.6-1.2)
LDL cholesterol max	(n=25)
Median, (IQR)	6.7 (5.7-8.0)
LLT, n (%)	
Yes	9 (36.0)
No	16 (64.0)

197 * including corneal arcus and xanthelasmas
 198 Continuous variables are presented as mean ± SD for normally distributed data and as median with IQR for
 199 non-normal distributed data. Categorical variables are described as absolute value and frequency.
 200 Cholesterol : mmol/L x 38.7 = mg/dL. Triglycerides : mmol/L x 87.5 = mg/dL
 201
 202 Abbreviations: SD, standard deviation; IQR, interquartile range; LDL, low-density lipoprotein; HDL, high-
 203 density lipoprotein; LLT, lipid-lowering therapy.

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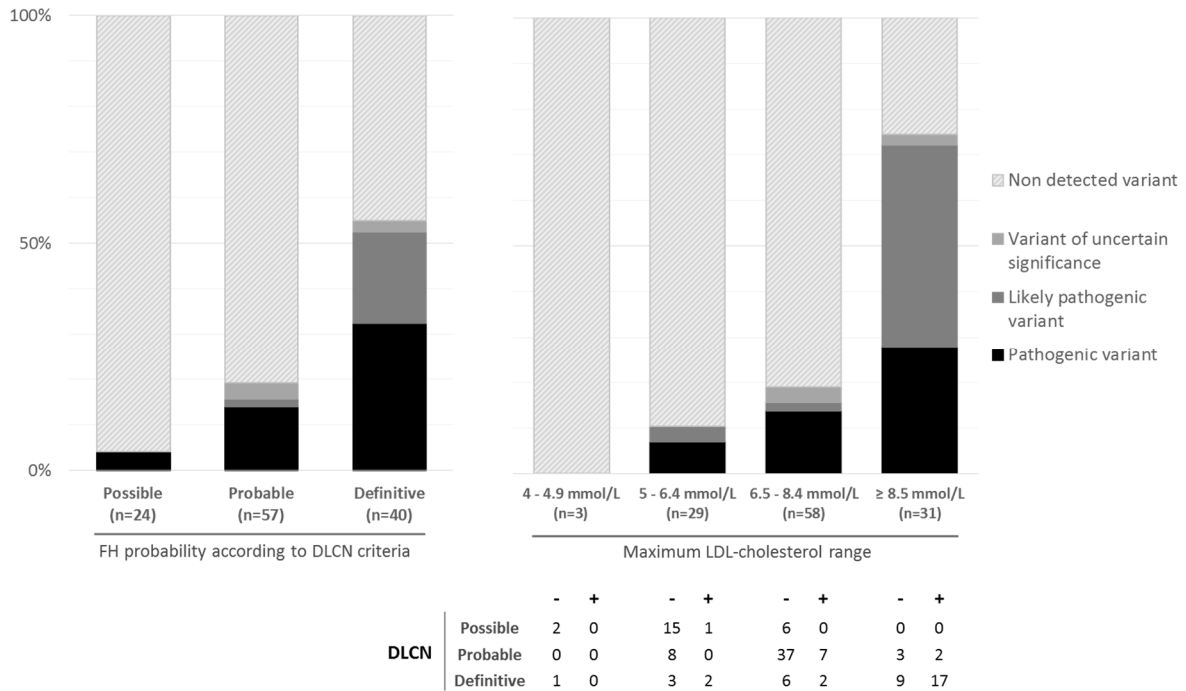
209 **Prevalence of FH-causing genetic variants**

210 Thirty-one adults (25.6%) evidenced monogenic FH-causing genetic variants in *LDLR*,
211 *APOB*, or *PCSK9* (87.1%, 9.7%, and 3.2%, respectively) and three VUS in *LDLR*. Twenty
212 pediatric patients (77%) exhibited pathogenic (n = 15) or likely pathogenic (n = 5) variants
213 (95% in *LDLR* and 5% in *APOB*). Overall, 40 variants were pathogenic or likely pathogenic,
214 and 4 were VUS; one patient bore both a pathogenic variant (c.261G>A in *LDLR*) and a VUS
215 (c.262A>G in *LDLR* Supplementary Data Table S1). The pathogenic or likely pathogenic
216 variants affected *LDLR* (n = 38) more often than *APOB* (n = 1) and *PCSK9* (n = 1). The
217 *LDLR* variants were distributed along the gene (Figure S1) and included missense (50%),
218 nonsense (24%), frameshift (8%), and splicing (8%) mutations as well as large
219 rearrangements (10%). To the best of our knowledge, *LDLR* (NM_000527) c.945del and
220 c.2284del have not been previously described. Four individuals (three adults, one young
221 patient) were heterozygous for the classic *APOB* missense variant p.R3527Q (rs5742904)
222 associated with the monogenic FH phenotype. The *PCSK9* variant was detected in one adult
223 and was classified as pathogenic.¹⁸

224 Figure 1 shows the proportions of adults among whom variants were reported by the
225 DLCN scores and LDL-C subgroups. The variant detection rate was associated with the
226 DLCN score (4%, 16%, and 53% in the “possible FH,” “probable FH,” and “definitive FH”
227 groups, respectively), paralleling the LDL-C findings (0%, 10%, 16%, and 61% in the LDL-
228 C ranges 4–4.9, 5–6.4, 6.5–8.4, and ≥ 8.5 mmol/L, respectively). The AUROCs significantly
229 differed by the differences between the DLCN scores and the maximum LDL-C values
230 (compared to the values at the time of genetic analysis) (76.6% vs. 58.7%, $p < 0.02$ and
231 70.7% vs. 58.7%, $p < 0.04$, respectively). No significant differences were noted when the
232 DLCN score was compared to the maximum LDL-C level ($p = 0.32$) (Figure 2).

233

234

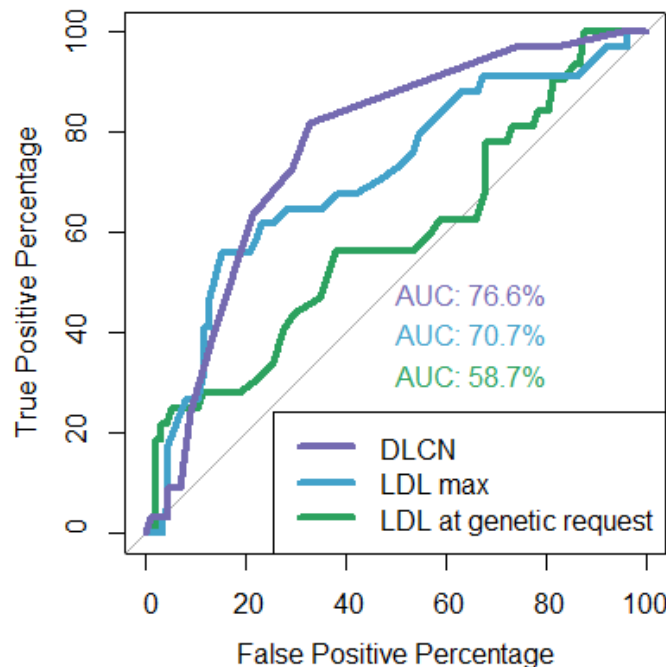


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236 **Figure 1. Detection rate of FH-related variants according to the DLCN or LDL-C**
 237 **subgroups.**

238 Frequency of detected variants in adults by DLCN score (left) or maximum LDL-cholesterol (right).
 239 The table shows the number of adult patients with (+) or without (-) likely pathogenic or pathogenic
 240 variants according to both maximum LDL-C levels and DLCN score.
 241

242 Abbreviations: FH, familial hypercholesterolemia; DLCN, Dutch Lipid Clinic Network
 243



244

245 **Figure 2. Receiver Operating Characteristic (ROC) curves of three parameters in**
 246 **predicting monogenic FH.**

247 AUC score for DLCN (purple line), LDL max (blue line) and LDL at the time of genetic analysis
 248 request (green line) in adults.
 249

250 Abbreviations: AUC, Area Under the Curve; DLCN, Dutch Lipid Clinic Network; LDL max,
 251 maximum LDL-cholesterol

252 **DISCUSSION**

253 We describe the biochemical, clinical, and genetic characteristics of 147 unrelated patients
254 referred to the Bordeaux University Hospital with suspected FH, including 26 patients <20
255 years of age. Our principal finding is the high level of monogenic FH in children with
256 elevated LDL-C concentrations.

257 In patients aged < 20 years, an elevated LDL-C level associated with a family history
258 of hypercholesterolemia and/or premature CAD suggests FH.¹⁹ Of our 26 children and
259 adolescents, 2 had no relevant family histories and 2 attained normal LDL-C levels via
260 dietary changes alone; all 4 lacked mutations. Thus, only 22 met the selection criteria prior to
261 genetic testing. Twenty (91%) were genetically confirmed to have FH. All had family
262 histories of hypercholesterolemia, consistent with the known semi-dominant pattern of
263 inheritance. The two other cases remain uncharacterized; we lack MLPA and *PCSK9*
264 sequence data.

265 It is more difficult to predict monogenic FH in adults than children, as evidenced by
266 the lower yield (25.6%) of positive genetic tests in our adult population. The detection rate of
267 FH-causing variants unsurprisingly increased with the DLCN score; the figures were 4%,
268 16%, and 53% for the “possible FH,” “probable FH,” and “definitive FH” groups,
269 respectively. Other studies have reported comparable distributions²⁰ or higher frequencies^{21,22}
270 depending on patient ethnicity and/or the techniques used, but also the efforts made to
271 eliminate acquired causes of hypercholesterolemia. Phenotypic FH in adult patients lacking
272 pathogenic or likely pathogenic variants may reflect age-related hypercholesterolemia
273 (particularly in patients with mild or moderate increases in LDL-C levels)²³ or an elevated
274 lipoprotein a (Lpa) level (a known independent risk factor for ACD).²⁴ We lack Lpa data.
275 However, note that a negative genetic analysis does not exclude the presence of an
276 undetected FH-causing variant. Finally, when the AUROCs of the DLCN score, the
277 maximum LDL-C level, and the LDL-C level at the time of genetic testing were analyzed, the
278 maximum LDL-C level and the DLCN score were equally effective at predicting monogenic
279 FH in adults.

280 Our results highlight the need to carefully explore family histories (elevated
281 cholesterol levels in first-degree relatives, ages of onset of ACD events) and the maximum
282 LDL-C levels, and to exclude all acquired factors that might trigger hypercholesterolemia.
283 This should increase the yield of genetic testing. However, although family histories are
284 helpful, they may be incomplete, inaccurate, or unavailable. Genetic confirmation is
285 recommended from the perspectives of patient care and disease prevention. Thus, FH is
286 recognized in France as a long-term illness that may require financial support. Genetic data
287 may trigger LDL apheresis, which would aid the planning of patient management (including

288 a lower LDL-C target); such data would also facilitate the genetic counselling that must
289 precede a “cascade” family analysis. Pathogenic/likely pathogenic variants are associated
290 with an increased risk for ACD.^{25,26} The cumulative LDL-C burden imposed since birth may
291 play an important role in ACD development in monogenic FH individuals. Khera et al.²⁵
292 showed that a pathogenic variant increased the ACD risk independent of the LDL-C level,
293 compared to that of patients lacking mutations. Adults with elevated LDL-C levels and
294 monogenic variants exhibit earlier-onset ACD.²⁷ A diagnosis of monogenic FH should trigger
295 the management suggested by the 2019 European guidelines,⁶ commencing at a young age.
296 Genetic diagnosis should be scheduled for children and adolescents with LDL-C levels > 4
297 mmol/L (155 mg/dL). The ESC/EAS guidelines⁶ recommend FH testing from the age of 5
298 years, or earlier if biallelic FH is suspected. FH diagnosis is too often delayed; an appropriate
299 diet and statin treatment commencing in childhood are essential to prevent ACD.^{19,28} A recent
300 review reported lower rates of ACD in FH patients placed on statins in childhood (compared
301 to adulthood).²⁹ Several studies have advocated the screening of all children aged 5–10 years
302 (at least via LDL-C testing).^{30–32}

303

304 **CONCLUSION**

305 FH is underdiagnosed in general populations.⁸ We recommend systematic evaluation of
306 monogenic FH in children as young as 5 years based on LDL-C levels and genetic testing.
307 Early FH diagnosis followed by lipid-lowering therapy is cost-effective and would
308 successfully mitigate cardiovascular morbidity and mortality. Such a strategy should be
309 complemented by reverse cascade screening of relatives; young FH patients should serve as
310 index cases.

311

312 **Declarations of interest:** none

313 **Contribution Statement:**

314 **MF:** Conceptualization, Methodology, Formal analysis, Investigation, Writing - Original
315 Draft; **LL:** Formal analysis, Writing - Original Draft; **KB:** Resources, Writing - Review &
316 Editing; **MDF:** Writing - Review & Editing; **VR:** Resources, Writing - Review & Editing;
317 **TC:** Resources, Writing - Review & Editing; **YP:** Resources, Writing - Review & Editing;
318 **PB:** Resources, Writing - Review & Editing; **CG:** Conceptualization, Writing - Review &
319 Editing, Supervision; **AMB:** Conceptualization, Methodology, Formal analysis, Writing -
320 Original Draft - Review & Editing, Supervision.

321 All authors have approved the final article.

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324

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