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1 **Comparative Study of Small-Scale Flat-Plate Direct Contact** 2 **Membrane Distillation and Vacuum Membrane Distillation Modules** 3 **with Integrated Direct Solar Heating**

4
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16

17 **Abstract**

18 An intensified desalination module directly integrating membrane distillation (MD) and solar
19 flat-plate collector (FPC) into the same small equipment is explicitly modeled and studied in the
20 present article. Based on a previous work applying vacuum MD (VMD) to the integrated module
21 and the corresponding recycling system, direct contact MD (DCMD) is also adopted in similar
22 configurations to comparatively study the dynamic performance, the impact of different
23 parameters, and the potential production of both DCMD-FPC and VMD-FPC modules.

Abbreviations: AGMD, air gap membrane distillation; BDF, backward differentiation formula; CP, circulation pump; CPC, compound parabolic collector; CR, concentration ratio; DCMD, direct contact membrane distillation; ETC, evacuated tube collector; FPC, flat-plate collector; HRR, heat recovery ratio; MD, membrane distillation; MED, multi-effect distillation; MSF, multi-stage flash; OAT, one at a time; PP, polypropylene; PTFE, polytetrafluoroethylene; PV, photovoltaic; PVDF, polyvinylidene fluoride; RO, reverse osmosis; SC, solar collector; SCOW, simplified cost of water; SEC, specific energy consumption; SEEC, specific electric energy consumption; SGMD, sweeping gas membrane distillation; STEC, specific thermal energy consumption; TPC, temperature polarization coefficient; VMD, vacuum membrane distillation; VP, vacuum pump.

24 Simulation results for a 0.35 m² module and a 12-hour operation show diametrically opposite
25 performances in terms of water production and electricity consumption of these two desalination
26 devices. Analyses on the influences of parameters indicate that the productions of both systems
27 are limited by the incoming solar energy, and the DCMD-FPC system further suffers from
28 conductive heat loss across the membrane. In order to relieve the performance from the
29 restriction of available thermal energy, two approaches by heat recovery and solar concentration
30 are applied to both DCMD-FPC and VMD-FPC to examine the potential enhancement. The
31 results on both approaches all recommend the choice of VMD-FPC for small-scale applications,
32 based on its more relevant productivity and less space footprint.

33

34 **Keywords**

35 Membrane distillation; direct solar heating; integrated module design; performance comparison

36

37 **Nomenclature**

38	<i>Al</i>	local altitude (km)
39	<i>B</i>	membrane permeability (s m ⁻¹)
40	<i>C</i>	salt concentration (g L ⁻¹) / cost (€)
41	<i>c_p</i>	specific heat at constant pressure (J kg ⁻¹ °C ⁻¹)
42	<i>D</i>	distillate productivity (L m ⁻²) / diffusion coefficient (m ² s ⁻¹)
43	<i>d</i>	diameter (m)
44	<i>F</i>	flow rate (m ³ s ⁻¹)
45	<i>f</i>	friction factor
46	<i>G</i>	solar radiation intensity (W m ⁻²)
47	<i>h</i>	convective heat transfer coefficient (W m ⁻² °C ⁻¹)
48	<i>i</i>	discount rate
49	<i>J</i>	permeate flux (L m ⁻² h ⁻¹)
50	<i>K</i>	extinction coefficient (m ⁻¹)
51	<i>k</i>	thermal conductivity (W m ⁻¹ °C ⁻¹)
52	<i>L</i>	module length (m)
53	<i>L_{loc}</i>	local longitude in degrees west (°)
54	<i>M</i>	molar mass (kg mol ⁻¹)

55	m	mass (kg)
56	\dot{m}	mass flow rate (kg h ⁻¹)
57	Nu	Nusselt number
58	n	refractive index
59	Q	energy flux (W m ⁻²)
60	P	pressure (Pa)
61	\dot{P}	power consumption (W)
62	Pr	Prandtl number
63	R	gas constant (8.3145 J mol ⁻¹ K ⁻¹)
64	Re	Reynolds number
65	r	pore radius (m) / nominal escalation rate
66	Sc	Schmidt number
67	Sh	Sherwood number
68	T	temperature (°C)
69	U	heat loss coefficient (W m ⁻² °C ⁻¹)
70	V	volume (m ³)
71	v	flow velocity (m s ⁻¹)
72	W	module width (m)
73	x	molar fraction
74	ΔH_v	latent heat of water evaporation (J kg ⁻¹)
75		
76	Greek letters	
77	α	solar absorptance
78	β	slope (°)
79	γ	azimuth angle (°) / activity coefficient
80	δ	thickness (m)
81	ε	porosity / emittance
82	η	efficiency
83	θ	incidence angle (°)
84	λ	mass transfer coefficient (m s ⁻¹)
85	μ	dynamic viscosity (Pa s)

86	ρ	density (kg m ⁻³)
87	τ	tortuosity
88	φ	local latitude (°)
89		
90		Footnotes
91	a	air / ambient
92	ap	absorber-plate
93	atm	atmosphere
94	bo	bottom
95	c	cooling / cover
96	cp	circulation pump
97	D	diffusion
98	d	diffused / distillate
99	f	feed
100	g	gas
101	h	hydraulic
102	K	Knudsen
103	m	membrane
104	n	in normal direction
105	p	permeate / polymer
106	S	absorbed
107	s	seawater supply
108	T	total
109	u	utilized
110	V	viscous / volumetric
111	v	vapor
112	vp	vacuum pump
113	w	water
114	wi	wind
115		

116 **1. Introduction**

117 **1.1 Membrane distillation**

118 In the domain of desalination, two technology categories are currently prevailing, i.e. thermal
119 distillation and membrane separation [1]. Multi-stage flash (MSF) and multi-effect distillation
120 (MED) are the most common applications of the former, while reverse osmosis (RO) is the
121 dominating technology of the latter. As an emerging alternative, membrane distillation (MD) is a
122 process based on water evaporation provoked by a difference in partial pressure between the two
123 sides of a hydrophobic microporous membrane, which is used as the support for the liquid/vapor
124 interface [2]. Possessing characteristics of both thermal distillation and membrane separation,
125 MD has gained its popularity in desalination and research interest is continuously growing [3]. As
126 a distillation process, pure distillate is obtained as the permeate and thus nearly a 100% rejection
127 of salt and other non-volatiles is expected without pressurizing the operation as in RO [4].
128 Besides, much higher water recovery rate, even close to the saturation of salt concentration is
129 achievable compared to RO because of no limitation of osmotic pressure [5]. On the other hand,
130 the use of membrane ensures: i) a substantial interfacial area for small and compact modules and
131 process intensification; ii) a working temperature lower than the boiling point; separation of the
132 liquid and vapor phases. Therefore, MD is considered as a promising solution for small-scale and
133 easy-to-operate desalination applications [6].

134
135 Generally, four different MD configurations have been widely studied in the literature, i.e. direct
136 contact MD (DCMD), vacuum MD (VMD), air gap MD (AGMD) and sweeping gas MD
137 (SGMD), defined by the vapor receiving structure on the permeate side [7]. Among them, DCMD
138 is the simplest for a lab-scale MD device and thus the most studied configuration [8], where the
139 circulating cold condensation liquid is in contact with the membrane and directly receives
140 transmembrane vapor flux. As the second most studied configuration in the literature [8], VMD
141 applies a vacuum environment on the permeate side to induce a difference in vapor pressure
142 across the membrane, and consequently, an external condensation is needed to collect the
143 permeate vapor as the distillate. Potentially, VMD represents the diametrical opposite of DCMD
144 from the point of view of water production and electricity consumption. A higher permeate flux
145 in VMD is expected due to the attenuated temperature polarization and transmembrane thermal

146 loss when vacuum is exerted on the permeate side, while the addition of a vacuum pump and an
147 external condenser in VMD elevates the electrical demand during operations.

148

149 **1.2 Small-scale solar powered DCMD and VMD**

150 In spite of all the aforementioned merits of MD processes, the heat demand is still considerable
151 because of the latent heat for water evaporation ($\sim 667 \text{ kWh m}^{-3}$ [9]). This issue becomes
152 especially tricky when a small-scale, distributed and autonomous desalination system is desired
153 for the applications in some remote coastal areas or isolated islands. Fortunately, the relatively
154 low working temperature of MD (below 80°C [10]) enables the possibility of applying low-grade
155 renewable heat sources. Indeed, the research on coupling MD with solar energy has flourished
156 since the first practice by Hogan et al. in 1991 [11], where a hollow fiber DCMD module with a
157 total membrane area of 1.8 m^2 was fed by the seawater heated by a solar flat-plate collector (FPC)
158 field of 3 m^2 . Simulation results indicated an impressive daily production of 50 L with external
159 heat exchange for heat recovery. In another theoretical study on evacuated tube collector (ETC)
160 powered flat-sheet DCMD modules [12], the authors pointed out that the efficient use of the
161 available solar energy, which is limited by the area of solar collector (SC), is essential to system
162 productivity, and that an adapted heat recovery strategy is the only way to enhance overall
163 performance. In order to experimentally demonstrate a solar-driven DCMD system, a DCMD
164 module of 3.39 m^2 was connected to a 20 m^2 FPC field and a photovoltaic panel with a peak
165 power of 1.48 kW [13]. The system configuration with heat recovery (by heat exchanging
166 between the permeate stream and the feed outside the module) exhibited an enhanced daily
167 average flux of 4.59 L h^{-1} and a reduced specific energy consumption (SEC) of 1609 kWh m^{-3} ,
168 compared to the daily average flux of 3.31 L h^{-1} and the SEC of 2342 kWh m^{-3} for the system
169 without heat recovery. Later, a numerical study on a simple FPC-driven DCMD system without
170 heat recovery resulted in a daily freshwater production rate of 19.7 kg per m^2 of membrane or 6.3
171 kg per m^2 of FPCs [14]; while an experimental study on ETC-driven DCMD system with
172 enhanced permeate side cooling showed a daily production of 26.76 - 33.55 L for a SC field of
173 2.61 m^2 with 1 m^2 membrane, attaining a maximum overall thermal efficiency of 49% [15].
174 Recently, a compact DCMD module with condensation heat recovery by heat exchange between
175 the cold feed and the relatively warm permeate flow. Three FPC, each 2 m^2 , and a photovoltaic
176 (PV) panel of 1.63 m^2 were installed to furnish the DCMD system with thermal and electric

177 energy. The test results showed that 86 L of freshwater could be daily produced in Kairouan,
178 Tunisia.

179
180 On the other hand, studies on small-scale solar-driven VMD systems have been less witnessed. A
181 tiny hollow fiber VMD module of 0.09 m² powered by 8 m² SCs was built and experimentally
182 tested [16], and a daily total production of 173.5 L was obtained at a fairly high cost: a specific
183 thermal energy consumption (STEC) of 7858 kWh m⁻³ and a specific electrical energy
184 consumption (SEEC) of 317 kWh m⁻³, probably because of the uncoordinated surface areas of the
185 membrane and the SCs. Later, an ETC of 2.16 m² was applied to provide heat for a 0.25 m² flat-
186 sheet VMD module [17], yielding an average permeate flux of 4 L m⁻² h⁻¹ (in terms of membrane
187 area) and an STEC of 750 kWh m⁻³. Applying both ETC and PV panels to power a VMD system
188 [18], an SEEC of around 80 kWh m⁻³ was reported. The adopted surface areas of ETC, PV
189 system and membrane were 1.82 m², 1.62 m² and 0.1 m², respectively. In the experiment, the
190 excess electricity generated by the relatively large PV system was used to heat the feed storage
191 tank, aiming to fully capitalize the captured solar energy.

192
193 In order to more efficiently consume the collected solar energy for distillation and to further
194 shrink the module size for domestic applications, efforts on directly integrating SC and MD have
195 been intensively witnessed since the 2010s, which can avoid the heat and pressure loss in piping
196 and connections, intensifying the whole desalination process [19]. In 2009, a solar distillation
197 device containing a flat-sheet AGMD module was built and studied [20], being the first practice
198 of this integrated SC-MD configuration, but the exhibited daily production was only 2.18 L m⁻²
199 day⁻¹ at an SEC of 2880 kWh m⁻³. Later, this idea was applied to DCMD and VMD modules. In
200 the experimental and modeling work by Chen and Ho [21], the feed side of a DCMD module was
201 placed in the space under the absorber-plate of an FPC, where the feed circulation was directly
202 heated by the absorbed solar energy. However, the integrated module was not dynamically
203 studied as an independent solar desalination system, and no daily accumulated production was
204 provided by the authors. Additionally, other MD configurations, such as VMD, were not included
205 as a comparison.

206

207 For VMD, an indicative study on different solar-driven flat-sheet VMD module concluded that
208 the integrated VMD-SC module would yield better performance [22]. Later, a direct integration
209 of FPC and VMD by Ma et al. [6] in the same module was extensively studied and dynamically
210 modeled to illustrate the technical feasibility and the performance at small-scale in compact
211 systems, for instance for production of drinking water in remote coastal areas or isolated islands
212 with no heat provision. Dynamic simulation results revealed a daily production of 8 L m^{-2} at an
213 SEEC of more than 200 kWh m^{-3} , along with a much higher potential and system performance if
214 considering a feasible heat recovery strategy from condensation. For instance, for a heat recovery
215 ratio of 0.5, up to 15 L m^{-2} of water could be produced with a specific pumping energy
216 consumption lower than 121 kWh m^{-3} .

217
218 Hollow fiber VMD modules were also applied to integrated devices. The numerical study on
219 inserting hollow fiber membranes into the cylindrical absorber of a compound parabolic collector
220 (CPC) provided an innovative approach to intensify the sparse incoming solar energy for VMD
221 process [23]. Later, an integrated hollow fiber VMD desalination device was fabricated and
222 experimentally studied [24]. The tubes of an ETC with a total aperture area of 1.6 m^2 were filled
223 by hollow fiber membranes of 0.2 m^2 , and the test results showed a daily freshwater production
224 of $3.2 \sim 4.8 \text{ L}$ at a calculated STEC of more than 1000 kWh m^{-3} and an SEEC of $208 \sim 313 \text{ kWh}$
225 m^{-3} , which is in the same range as the previous work on flat-sheet VMD-FPC [6]. A similar
226 configuration was employed to integrate VMD with ETC [25], applying natural convection flow
227 mode without adding circulation pumps. The daily water production reached 6.7 L per m^2 of the
228 solar collector at a thermal efficiency of 51%, exceeding the previous study. The same
229 configuration was also tested for DCMD earlier by the same research group [26], and the results
230 showed a lower production rate than VMD, being merely 0.37 L h^{-1} per m^2 of the solar collector.
231 Additionally, they claimed a 17% increase in DCMD performance compared with the
232 configuration where DCMD module and ETC were separately installed. The comparison between
233 the same integrated configuration respectively using VMD and DCMD is yet to be reported.

234
235 **1.3 Research objective**
236 The limitation by the available solar energy and the factor of heat recovery have already been
237 proven essential in the reported studies on solar-driven MD systems where SC and MD modules

238 were separated, as described in Section 1.2. Even though the process is more intensified and the
239 loss in connections is exempted in the above-reviewed integrated MD-SC modules, the potential
240 production with the help of heat recovery or other approaches enhancing the incoming thermal
241 energy for MD has rarely been discussed.

242
243 On the other hand, comparisons made in the literature between DCMD and VMD [27–32] have
244 already pointed out that VMD is advantageous in terms of the permeate flux and thermal energy
245 efficiency due to the negligible conductive heat loss, while DCMD is simpler in system layout
246 and operation. However, most of these comparisons were only qualitative, and even the
247 quantitative studies were mostly based on fixed feed conditions, especially fixed feed temperature
248 levels, which is not the case in any independent solar powered MD system [33]. Moreover, the
249 evaluation of energy consumption in the above-mentioned comparisons was either totally absent
250 [28–30], or provided partially without considering the intensive cooling demand for permeate
251 vapor condensation under the vacuum in a conventional VMD [27,31,32].

252
253 Hence, it becomes interesting to quantitatively compare the VMD-FPC system with a similar
254 configuration (MD-SC) using the well-known DCMD, considering different working conditions
255 and performance enhancing measures. Besides, particular measures, such as draining or auxiliary
256 heating, have to be taken when the ambient is freezing cold, because such an MD-SC
257 configuration can only work at a temperature above ice-point. But these measures are out of the
258 scope of the current study. In the present work, the comparative study between VMD-FPC and
259 DCMD-FPC is performed through simulations for the same size and the same concept of the flat
260 sheet integrated module. Therefore, evaluations are presented to observe and compare the energy
261 consumption and the production of the two systems, when considered at a global scale accounting
262 required energy inputs. In addition, detailed analyses on the impact of different parameters are
263 carried out to identify the main factors contributing to the improvement of both systems. Finally,
264 discussions on their different hybridization perspectives by applying heat recovery strategy or
265 solar concentration factor within a solar-MD desalination module are presented to recommend a
266 better choice between these two.

267

268 **2. Module & system description and modeling**

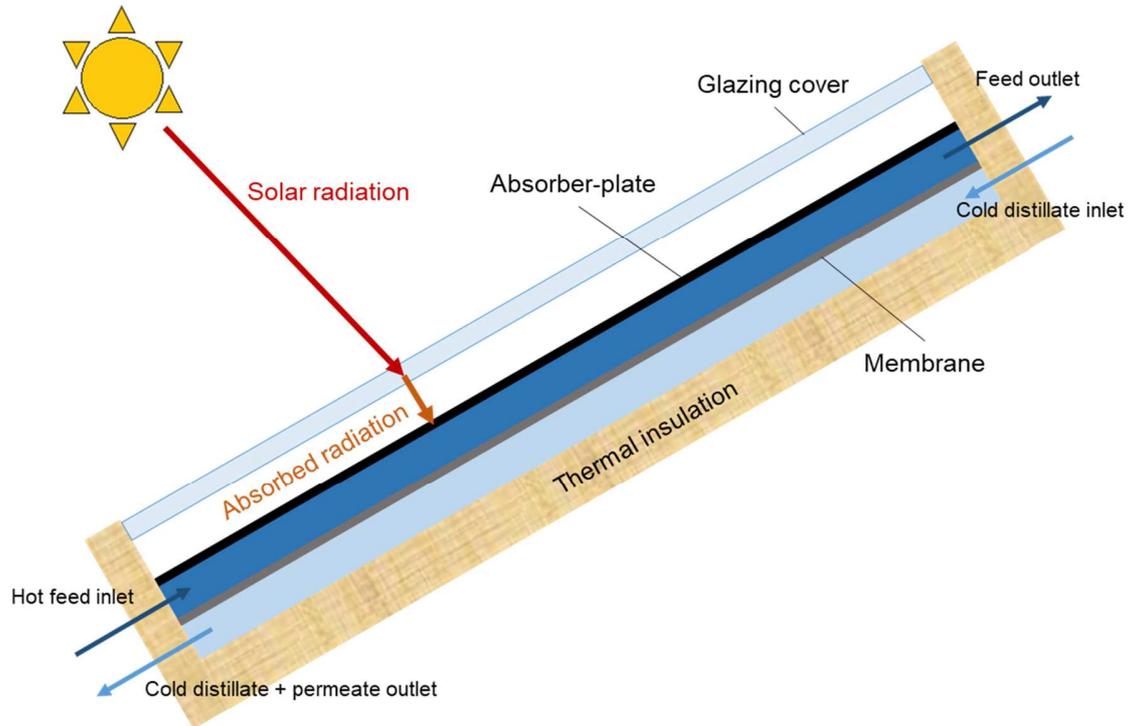
269 Cooling for the distillate recirculation has to be configured in the case of DCMD-solar
270 desalination module, in order to maintain the temperature difference between the feed and the
271 permeate side. In this regard, a constant flow of cold seawater source is usually placed in direct
272 heat exchange with the permeate side of DCMD. To provide a fair comparison, a very close
273 system description has been applied here for VMD-FPC and DCMD-FPC. However, certain
274 necessary readjustments for DCMD-FPC system based on the previous VMD-FPC system [6]
275 have been additionally involved: (i) a slight change of module configuration in DCMD-based
276 module should be made, as later described in Section 2.1, which implies that the permeate side of
277 the DCMD-FPC module becomes the cold circulating distillate; (ii) the diffusion mechanism
278 inside the membrane pores for DCMD and VMD is not the same because of the difference in
279 pore pressure, a different description of membrane permeability has to be adapted for DCMD and
280 will be further described in Section 2.2, instead of a using the coefficient for Knudsen diffusion
281 as proposed for VMD [6]; (iii) the recirculation of the cold distillate on the permeate side has to
282 be considered and added to system dynamics, together with a simple cooling cycle for the
283 permeate side to keep the transmembrane vapor pressure difference. Detailed descriptions and
284 system dynamics in this regard will also be provided in Section 2.3.

285

286 **2.1. DCMD module configuration**

287 The configuration of the considered DCMD-FPC module is shown in Figure 1. Solar radiation
288 passes through the glass cover and get absorbed by the absorber-plate of an FPC, heating the
289 DCMD feed side by direct contact. The feed side and permeate side are both beneath the
290 absorber-plate, separated by the membrane with saline water and distillate water circulating
291 counter-currently. A temperature difference is created between these two sides by the heating on
292 the feed side from the absorber-plate and a cooling cycle for the permeate side outside of the
293 module, which constitutes the driving force instead of the vapor pressure difference created by
294 the applied controllable vacuum in the VMD-FPC module in [6]. The cold distillate is used to be
295 circulating on the permeate side, as a conventional DCMD configuration. The entire module is
296 thermally insulated, same as a common FPC, to reduce heat loss to the environment. On the other
297 hand, the solar radiation model in [6] was directly taken into the current study without any
298 modification, obtaining the total received solar irradiance G_T , absorbed solar irradiance G_S (W m^{-2})

299 ²). Coupled with the MD model, the final utilized solar energy G_u (W m^{-2}) can be acquired by
 300 deducting the top loss through the cover and the bottom loss from the thermal insulation.
 301



302
 303 Figure 1: Configuration for an integrated DCMD-FPC module
 304

305 **2.2. Description of mass and heat transfer in MD modules**

306 The governing equations consider the permeate vapor flux through the membrane, the heat
 307 transfer in the membrane, on the feed side and on the permeate side (temperature polarizations)
 308 and the salt diffusion on the permeate side (concentration polarization). The following main
 309 assumptions were applied in this study.

- 310
- 311 (i) a combined effect of Knudsen - molecular diffusion governing the mass transfer through
 - 312 the membrane in DCMD [4,34]; while a combined effect of Knudsen diffusion - viscous flow
 - 313 governing the mass transfer through the membrane in VMD [4,35];
 - 314 (ii) steady state;
 - 315 (iii) no wetting, crystallization or biofouling on the membrane;
 - 316 (iv) a 100% salt rejection, thus no salinity on the permeate side;

317 (v) vaporization occurring only at pore inlet where the liquid-vapor interface holds;
318 (vi) thermal conduction through the membrane and boundary layer on the permeate side in
319 VMD negligible due to the vacuum and no liquid existing on the vacuum side [5].

320
321 Based on Assumption (i), the diffusion coefficient used in [6] for VMD, which only considered
322 Knudsen permeability K_m , is no longer applied here, and the contribution of viscous flow will be
323 added and discussed. Furthermore, coefficients of the permeability for DCMD and VMD have to
324 be respectively modeled based on membrane properties according to the above-cited mechanisms,
325 which will be introduced in this section.

326

327 **2.2.1. Transfer equations in DCMD**

328 *2.2.1.1. Heat transfer*

329 Total heat flux through the membrane Q_p (W m^{-2}) is formulated as Eq. 1 [14], consisting of both
330 the thermal energy for water evaporation taken away by the permeate flux J_w ($\text{kg m}^{-2} \text{s}^{-1}$), and the
331 thermal conduction.

332

$$332 \quad Q_p = J_w \Delta H_v + \frac{k_T}{\delta_m} (T_{fm} - T_{pm}) \quad (1)$$

333

334 where ΔH_v is the latent heat of water vaporization (J kg^{-1}), T_{fm} and T_{pm} the membrane surface
335 temperature of the feed and the permeate side, δ_m the thickness of the membrane (m). k_T is the
336 total thermal conductivity of the membrane layer ($\text{W m}^{-1} \text{ }^\circ\text{C}^{-1}$), which can be expressed by Eq. 2,
337 applying the Isostress model [36].

338

$$338 \quad k_T = \left(\frac{\varepsilon}{k_g} + \frac{1 - \varepsilon}{k_p} \right)^{-1} \quad (2)$$

339

340 where ε represents the porosity of the membrane. k_p is the thermal conductivity of the membrane
341 polymer part, while that of the gas (air and water vapor) trapped in the pore k_g can be estimated
342 by the following correlation [37],

343

$$k_g = 2.72 \times 10^{-3} + 7.77 \times 10^{-5} T_m \quad (3)$$

344

345 On the other hand, the total heat flux Q_p is also transported through the boundary layers of the
 346 feed and the permeate side [38], which gives us the following,

347

$$Q_p = h_f(T_f - T_{fm}) = h_p(T_{pm} - T_p) \quad (4)$$

348

349 where T_f and T_p are the bulk temperatures. Due to temperature polarization, the former is higher
 350 than T_{fm} while the latter is lower than T_{pm} . h_f and h_p are the heat transfer coefficients ($\text{W m}^{-2} \text{ }^\circ\text{C}^{-1}$)
 351 of the feed and the permeate side, respectively.

352

353 The temperature polarization can be quantified by an important coefficient (TPC), as indicated in
 354 Eq. 5, representing how much the transmembrane temperature difference is reduced at membrane
 355 surface [39]. The closer to unity as TPC gets, the less impact of temperature polarization is
 356 implied.

357

$$\text{TPC} = \frac{(T_{fm} - T_{pm})}{(T_f - T_p)} \quad (5)$$

358

359 Correlations that correlate Nusselt number with Reynolds number Re and Prandtl number Pr are
 360 applied to the calculation of heat transfer coefficients. The ones proposed in [6] is adopted, which
 361 are as follows,

362

$$\text{Nu} = 1.86 \left(\frac{\text{RePr}d_h}{L} \right)^{0.33} \left(\frac{\mu_f}{\mu_m} \right)^{0.14} \quad \text{for } \text{Re} < 2300 \quad (6)$$

$$\text{Nu} = \frac{\left(\frac{f}{8} \right) (\text{Re} - 1000) \text{Pr}}{1 + 12.7 \left(\frac{f}{8} \right)^{\frac{1}{2}} (\text{Pr}^{\frac{2}{3}} - 1)} \left[1 + \left(\frac{d_h}{L} \right)^{\frac{2}{3}} \right] \left(\frac{\text{Pr}_f}{\text{Pr}_m} \right)^{0.11} \quad \text{for } \text{Re} \geq 2300 \quad (7)$$

$$\text{With } f = (0.790 \ln \text{Re} - 1.64)^{-2}$$

363

364 where d_h is the hydraulic diameter (m) and L is the length (m) of the flow channel. Dynamic
 365 viscosity μ (Pa s), density ρ (kg m⁻³), thermal conductivity k (W m⁻¹ °C⁻¹) and heat capacity c_p (J
 366 kg⁻¹ °C⁻¹) of both saline water and distilled water are calculated by the regressions in [40].

367

368 2.2.1.2. Mass transfer

369 The water mass flux J_w (kg m⁻² s⁻¹) is driven by the vapor pressure difference across the
 370 membrane due to the temperature difference [41],

371

$$J_w = B_m(P_{fm} - P_{pm}) \quad (8)$$

372

373 where B_m represents the overall membrane mass transfer coefficient (s m⁻¹), and P_{fm} and P_{pm}
 374 stand for the water partial pressure at the membrane surface on the feed side and the permeate
 375 side (Pa), respectively.

376

377 As described in the assumptions, B_m can be decomposed into the mass transfer coefficient in
 378 Knudsen diffusion B_K and the one in ordinary molecular diffusion B_D , which are calculated as
 379 follows,

380

$$B_K = \frac{2}{3} \frac{\varepsilon r}{\tau \delta_m} \left(\frac{8M_w}{\pi R T_m} \right)^{0.5} \quad (9)$$

$$B_D = \frac{\varepsilon}{\tau \delta_m} \frac{P D_w M_w}{P_a R T_m} \quad (10)$$

381

382 where ε , r , τ , and δ_m are the porosity, the pore radius (m), the tortuosity and the thickness of the
 383 membrane (m), respectively. M_w is the molecular weight of water (kg mol⁻¹), and R is the gas
 384 constant (i.e. 8.3145 J mol⁻¹ K⁻¹). T_m is the mean temperature (K) inside the membrane pore, P
 385 and P_a the total pressure and the air partial pressure (Pa) inside the membrane pore, and D_w the
 386 water diffusion coefficient (m² s⁻¹). It is worth to mention that the Knudsen permeability K_m
 387 utilized in [6] equals $B_K/\sqrt{M_w}$.

388

389 Then the two mass transfer coefficients are combined by the following equation to obtain B_m [42],

390

$$B_m = (B_K^{-1} + B_D^{-1})^{-1} \quad (11)$$

391

392 Empirically, the product of the total pressure P and the water diffusion coefficient D_w can be
393 calculated as a function of the temperature [14],

394

$$PD_w = 1.895 \times 10^{-5} T_m^{2.072} \quad (12)$$

395

396 Antoine equation is adopted for the calculation of pure water vapor pressure on each side of the
397 membrane with the corresponding temperature at the membrane surface [4],

398

$$P^0 = \exp\left(23.1964 - \frac{3816.44}{T - 46.13}\right) \quad (13)$$

399

400 Then, the water vapor partial pressure on both side of the membrane has to take salt existence
401 into consideration [4],

402

$$P = x_w \gamma_w P^0 \quad (14)$$

403

404 where x_w is the water molar fraction and γ_w is the water activity coefficient, which can be
405 obtained by the correlation proposed in [40].

406

407 On the other hand, the permeate flux J_w is also determined by the water mass diffusion from the
408 feed bulk to the membrane surface, which is further decided by the salt concentration polarization
409 [43], yielding

410

$$J_w = \rho \lambda_m \ln\left(\frac{C_{fm}}{C_f}\right) \quad (15)$$

411

412 where λ_m is mass transfer coefficient (m s^{-1}) on the feed side, and C is the concentration (g L^{-1}).

413 λ_m can also be estimated from the correlations given in Eqs. 6 and 7 by replacing Nusselt number

414 Nu and Prandtl number Pr in the equations by Sherwood number Sh and Schmidt number Sc [44].

415

416 **2.2.2. Modification of transfer equations in VMD**

417 When compared with the VMD modeling at the scale of the module in [6], the only difference
418 here lies in the diffusion mechanism inside the membrane pores, which is now the combination of
419 Knudsen diffusion and viscous flow, instead of the assumption of solely Knudsen diffusion for
420 the diffusion in VMD. The impact of this modification will be checked in Section 3.1.

421

422 Moreover, the diffusion coefficients need to be modeled from the material properties of the
423 membrane, in order to be comparable with the DCMD model described above. Compared with
424 Section 2.2.1, the modeling of VMD process takes 2 modifications into account. Firstly, the
425 overall membrane mass transfer coefficient B_m is now composed of Knudsen diffusion B_K and the
426 one in representing viscous flow B_V , which is calculated as [45],

427

$$B_V = \frac{M_w}{8\mu_v} \frac{\epsilon r^2}{\tau \delta_m} \frac{P_m}{RT_m} \quad (16)$$

428

429 where μ_v is the viscosity of the vapor inside the pore, which can be calculated from the
430 linearization from the data in [46].

431

432 B_m is the sum of these two coefficients,

433

$$B_m = B_K + B_V \quad (17)$$

434

435 The effect on the production of considering viscous flow will be discussed later to confirm the
436 negligibility of B_V in VMD. Secondly, there is no more need to model the thermal conductivity of
437 the membrane and the boundary layer on the permeate side, as explained in the assumptions.
438 Therefore, the heat transfer becomes simply the heat transfer from the feed bulk to the membrane
439 surface and the heat loss through membrane by vapor permeating, expressed as,

440

$$Q_p = h_f(T_f - T_{fm}) = J_w \Delta H_v \quad (18)$$

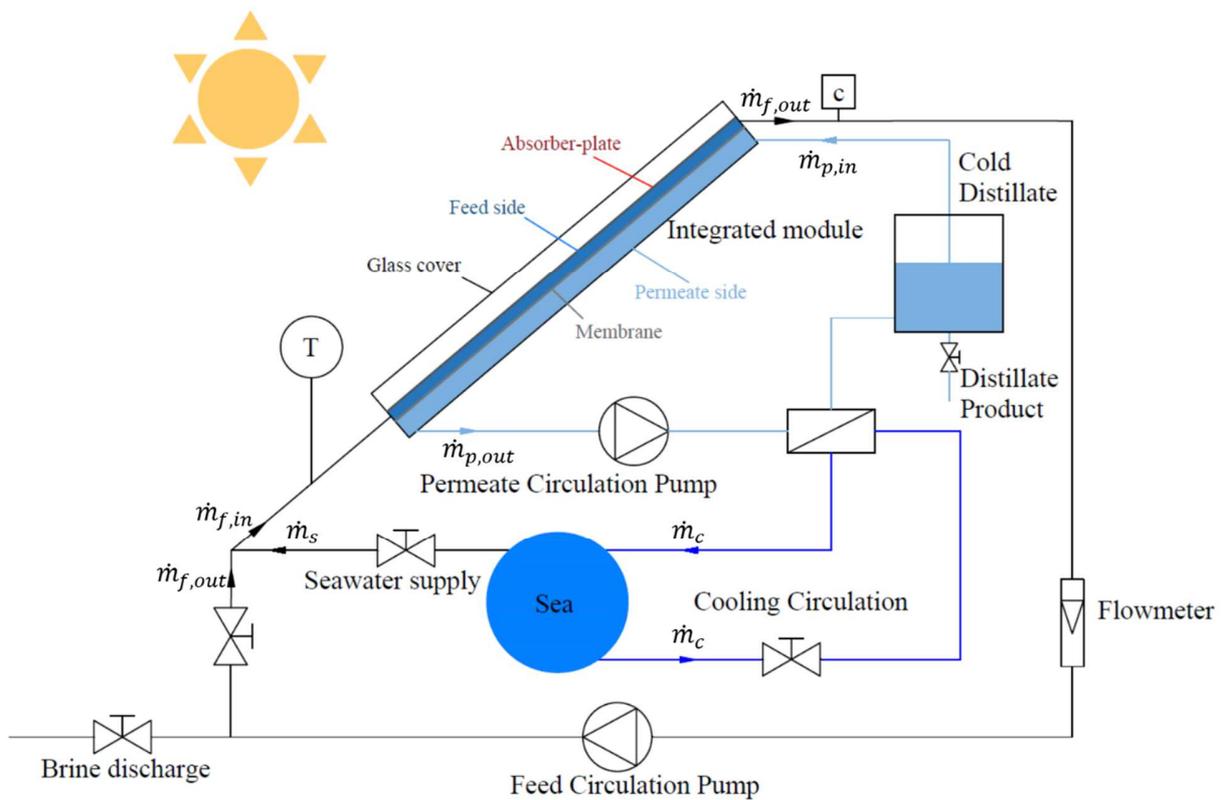
441

442 **2.3. Description of the dynamic system**

443 A recycling system was considered to not only fully store and exploit the solar energy absorbed
444 by the module, but also to raise the water recovery rate to reduce the brine discharge of the
445 system. For the VMD-FPC module, the VMD system design and dynamic modeling were already
446 explicitly introduced and explained in [6], which included a recycling system on the feed side as
447 well, and a vacuum pump directly connected to the permeate side. Therefore, Section 2.3 is
448 dedicated to the description of the DCMD system for the DCMD-FPC module.

449

450 **2.3.1. System configuration for DCMD-FPC module**



451

452 Figure 2: Flowsheet of recirculation system for DCMD-FPC module

453

454 Similar to the recycling batch system for the VMD-FPC module [6], the configuration of the
455 system designed for the DCMD-FPC module is shown in Figure 2. Three water circulation loops
456 function simultaneously, i.e. the feed recirculation, the cold distillate recirculation and the
457 cooling seawater circulation. The feed stream absorbs thermal energy from the absorber-plate and
458 induces higher vapor partial pressure, which generates vapor flux passing through the membrane

459 pores when inside the module. The mass loss by permeate flux in the feed recirculation is
 460 compensated directly by the seawater supply instantaneously, while the whole feed side is
 461 evacuated by the discharge when the salt concentration gets too high and detected by the
 462 concentration meter at the outlet of the module. Counter-currently, the cold distillate on the
 463 permeate side gains the mass from the direct condensation of the vapor that passing through the
 464 membrane. However, thermal energy is also transferred to the circulation on the permeate side
 465 due to heat conduction through the membrane and permeate vapor condensation. Therefore, a
 466 cooling strategy for the cold distillate circulation is needed to substantially remove the additional
 467 heat delivered to the permeate side. Thus, the temperature of the distillate can be maintained
 468 lower than the feed, so that the transmembrane vapor pressure difference can be kept and the
 469 vapor inside the pores can be continuously condensed in the cold distillate due to the its higher
 470 pressure than the saturation pressure at the temperature of the permeate side. In the current
 471 configuration, the cooling of the permeate stream is realized by heat exchanging with the cooling
 472 seawater circulation, which constantly draws seawater at environment temperature from the sea.

473

474 **2.3.2. System dynamics**

475 The process dynamics was studied for time-varying steady-state phases [6]. Heat and mass
 476 balances were applied to both the feed side and the permeate channel, and salt mass conservation
 477 was used to track the accumulation of salt concentration.

478

479 Dynamics of the feed side are described by Eqs. 19 - 21. As aforementioned, mass loss to the
 480 permeate ($J_w A_m$) was made even by the seawater supply \dot{m}_s (kg s^{-1}), which gives us Eq. 19. Then,
 481 temperature change on the feed side was determined by the solar energy utilized G_u , supplied
 482 seawater and total heat loss through the membrane Q_p , yielding Eq. 20. Finally, salt mass kept
 483 augmenting during the process due to the no-salt-passing assumption and the salt introduction
 484 constantly from the seawater supply with a concentration of C_s (g L^{-1}), as presented in Eq. 21.

485

$$\frac{dm_f}{dt} = \dot{m}_s - J_w A_m = 0 \quad (19)$$

$$\frac{d(c_p m_f T_f)}{dt} = G_u A_m + c_p \dot{m}_s T_s - Q_p A_m \quad (20)$$

$$\frac{d(C_f V_f)}{dt} = \frac{C_s \dot{m}_s}{\rho_s} \quad (21)$$

486
 487 where m_f is the total mass in the feed channel (kg), A_m is the surface area of the membrane (m²)
 488 and V_f is the total volume of the feed channel (m³).

489
 490 On the other hand, the rate of mass gain in the distillate tank was all from the permeate ($J_w A_m$),
 491 and the temperature change was decided by the total heat transferred from the feed side Q_p and
 492 the heat taken away by the cooling circulation, as listed in Eqs. 22 and 23. A uniform temperature
 493 at any time was assumed for the fresh water inside the distillate tank T_d and the permeate side T_p
 494 of the module ($T_d = T_p$).

$$\frac{dm_d}{dt} = J_w A_m \quad (22)$$

$$\frac{d(c_p m_d T_d + c_p m_p T_p)}{dt} = Q_p A_m - Q_c A_c \quad (23)$$

496
 497 where m_d and m_p are the total mass in the distillate tank and the permeate channel (kg),
 498 respectively. A_c and Q_c are the heat exchanging surface (m²) and the heat flux taken away by
 499 cooling (W m⁻²), which was solved by the “ht.hx” library in Python [47] for a counter-current
 500 heat exchanger.

501
 502 **2.4. Pumping energy consumption**
 503 The power consumption taken into consideration contains the consumption by circulation pumps
 504 (CP) in both DCMD-FPC and VMD-FPC systems and the additional consumption by a vacuum
 505 pump (VP) in the case of VMD-FPC. Due to the autonomous design of the system, thermal
 506 energy is entirely supplied by the available solar radiation on the surface of the MD-FPC module,
 507 which is more or less fixed with a given module at given location and time. While on the other
 508 hand, the power consumption for the system operation cannot be fulfilled by the module or the
 509 system itself, instead it requires external power supply from the grid, which is not often
 510 accessible in the targeted remote places and should be provided by on-site photovoltaic panels.

511 Consequently, it is worth to correlate the electricity demand to the production capacity of the
512 desalination system.

513

514 **2.4.1 Circulation pump**

515 The electricity consumption of the circulation pumps is proportional to the pressure loss ΔP
516 during the flow in the module, which consists of the friction loss and the gravitational loss due to
517 the slope β of the module, neglecting the pressure loss in piping and joints. Therefore, the
518 pressure loss in this study can be expressed as [44],

519

$$\Delta P = fL \frac{\rho v^2}{2d_h} + \rho g L \sin\beta \quad (24)$$

520

521 where L is the length of the module (m), v the flow velocity (m s^{-1}), and g the gravitational
522 acceleration (9.81 m s^{-2}). f is the Darcy friction factor, being calculated by the correlations below
523 [48,49].

524

$$f = \frac{96}{\text{Re}} \quad \text{for } \text{Re} < 2300 \quad (25)$$

$$f = (0.790 \ln \text{Re} - 1.64)^{-2} \quad \text{for } \text{Re} \geq 2300 \quad (26)$$

525

526 Finally, the power consumption \dot{P}_{cp} (W) of a circulation pump is [6]

527

$$\dot{P}_{cp} = \frac{F_V \Delta P}{\eta_{cp}} \quad (27)$$

528

529 where F_V is the volumetric flow rate ($\text{m}^3 \text{ s}^{-1}$), and η_{cp} is the efficiency of the pump, which is taken
530 as 0.7. In the system for the DCMD-FPC module, both the feed and the permeate circulation
531 pumps were included in the calculation, while only feed circulation pump presented in the one for
532 the VMD-FPC because of no permeate circulation existed. The pumping power from seawater
533 source to both systems was excluded in the calculation, because it totally depends on the local
534 seawater delivery arrangement and is out of the dynamic system design shown in Figure 2.

535

536 **2.4.2. Vacuum pump in VMD system**

537 A well-sealed system was assumed in this study, and thus the energy consumption by the vacuum
538 pump is proportional to the amount of permeate vapor flux, according to the system configuration
539 in [6], where all the vapor is pumped out by the vacuum pump. An isothermal compression from
540 the vacuum pressure P_p to the atmospheric pressure P_{atm} is deemed more accurate to describe the
541 process because of the relatively low permeate flow rate [50]. Accordingly, the power
542 consumption \dot{P}_{vp} (W) is

543

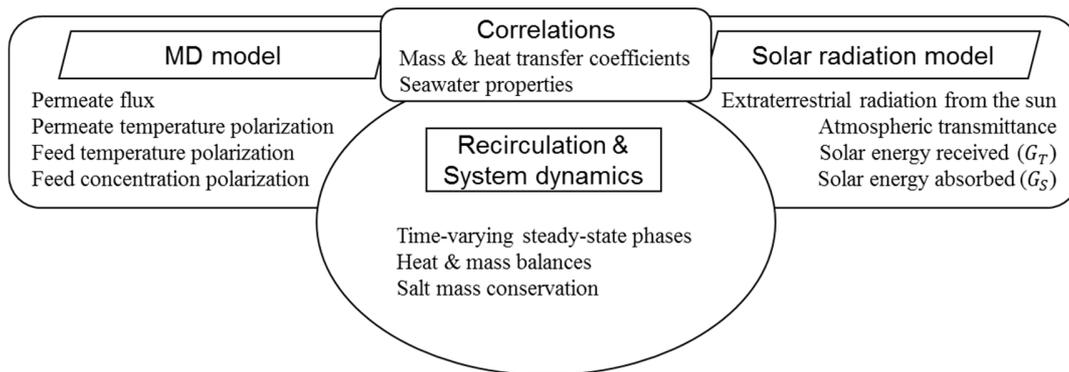
$$\dot{P}_{vp} = \left(\frac{J_w A_m}{M_w \eta_{vp}} \right) R T_p \ln \left(\frac{P_{atm}}{P_p} \right) \quad (28)$$

544
545 where R is the ideal gas constant (8.31446 J mol⁻¹ K⁻¹), and the efficiency η_{vp} equals 0.75
546 throughout the study. Here, the permeate temperature T_p is supposed to be the same as the
547 temperature at the membrane surface on the feed side T_{fm} by the assumption of no conductive
548 heat loss through the membrane.

549
550 **2.5. Model coupling and resolution procedure**

551 The system dynamics interacts with both the MD models and the solar radiation model, as shown
552 in Figure 3. Operating conditions from the description of system dynamics (bulk temperatures,
553 feed bulk concentration, flow rates) provided input parameters for MD model (Section 2.2) and
554 Solar radiation model [6], while the results from both MD model and Solar radiation model
555 imposed variations on operating conditions. During the simulation, the correlations for the
556 calculation of mass & heat transfer coefficients and seawater properties were invoked by all these
557 models.

558



559
 560 Figure 3: Schematic of interconnected modeling structure: MD model, solar radiation model and
 561 system dynamics
 562

563 All models were programmed under Python (version 2.7). The integration for system dynamics
 564 (Eqs. 19 - 23) was realized by the Real-valued Variable-coefficient Ordinary Differential
 565 Equation solver (Isoda) in Scipy ODE package [51], in conjunction with the resolution of MD
 566 model (Section 2.2) and Solar radiation model by the Scipy fsolve package [52]. Automatic
 567 readjustments of time step-sizes and switches between the implicit Adams method for non-stiff
 568 problems and another method based on backward differentiation formulas (BDF) for stiff
 569 problems are provided by the Isoda package, in order to smoothly handle the integration.
 570

571 3. Results and discussion

572 3.1. Parameter settings and daily operation

573 Concerning such an integrated module, different categories of parameters, i.e. location, material
 574 properties, positions & dimensions, and operating conditions, were included in the simulation.
 575 Table 1 presents an exhaustive list of all the parameters that intervened in the simulation.
 576

577 The research was conducted in INSA Toulouse, thus the longitude, latitude and average altitude
 578 of Toulouse, France were chosen as an example for system operation and to give indications on
 579 the future experiments here in the laboratory. A 12-hour operation, from 8am to 8pm on Aug 1st
 580 was assumed, along with the daily ambient temperature varying from 20°C to 35°C and other
 581 parameters that affects the solar irradiance.
 582

583 The properties of the glass cover, the absorber-plate, the membrane and the insulation were all
584 taken into account as the 11 parameters in this category shown in Table 1. The first 5 parameters
585 in material properties generally determine the amount of the solar energy absorbed from the
586 received irradiance, and the other 6 parameters influenced how the module utilizes the energy
587 input. The material properties other than the membrane were kept the same as listed in [6], while
588 for the newly inserted membrane properties, the given data of the polyvinylidene fluoride (PVDF)
589 membrane Durapore™ by Millipore was applied [53]. It is worth to note here that the tortuosity
590 was set to a rather high value of 5 because of two reasons: (i) this value is much more difficult to
591 evaluate compared to other membrane properties and is not given by the membrane manufacturer;
592 (ii) more importantly, a tortuosity of 5 together with other membrane properties listed in the table
593 corresponds to a Knudsen permeability of $5.74 \times 10^{-6} \text{ s mol}^{1/2} \text{ m}^{-1} \text{ kg}^{-1/2}$, which is at the same
594 scope of the real values given by the experiments done in our laboratory [2]. Nevertheless, lower
595 tortuosity values will be included in Section 3.3.2 by varying membrane properties.

596

597 Table 1: Parameter settings of integrated module and system for both DCMD-FPC and VMD-
598 FPC

Parameters	Values	Description
L_{loc}	358.56°	Longitude in degrees west, $0^\circ < L < 360^\circ$
φ	43.60°	Latitude, north positive, $-90^\circ < \varphi < 90^\circ$
Al	150.0 m	Altitude of the location
h_{wi}	10 W m ⁻² °C ⁻¹	Heat transfer coefficient of the wind
Location & time	Date	Aug 1st
	time	8 am - 8 pm
	r_0, r_1, r_k	0.97, 0.99, 1.02
	ρ_g	0.2
	T_{amax}	35°C
	T_{amin}	20°C
	n_c	1.5
Material properties	$K\delta_c$	0.032
	ε_c	0.88
	α_n	0.93
	ε_{ap}	0.1
	ε	0.713
	τ	5
	r	0.22 μm
	δ_m	117.7 μm
	k_p	0.15 W m ⁻¹ °C ⁻¹
	U_{bo}	0.9 W m ⁻² °C ⁻¹

Positions & dimensions	β	25.0°	Slope of the solar collector
	γ	0.0°	Azimuth angle of the solar collector
	W	0.5 m	Width of the collector
	L	0.7 m	Module length
	δ_f	5 mm	Thickness of the feed side
	δ_p	5 mm	Thickness of the cold distillate side (only DCMD)
Operating conditions	C_s	35 g L ⁻¹	Salt concentration of the seawater supply
	T_s	25°C	Temperature of the seawater supply
	C_{limit}	300 g L ⁻¹	The highest operating salt concentration
	\dot{m}_f	100 kg h ⁻¹	Feed circulation flow rate
	\dot{m}_p	100 kg h ⁻¹	Permeate circulation flow rate (only DCMD)
	\dot{m}_c	150 kg h ⁻¹	Cooling circulation flow rate (only DCMD)
	m_d	5 kg	Initial mass in the distillate tank (only DCMD)
	U_c	1000 W m ⁻² °C ⁻¹	Cooling heat exchange coefficient (only DCMD)
	A_c	0.1 m ²	Cooling heat exchange surface (only DCMD)
	P_p	5000 Pa	Permeate pressure (only VMD)

599

600 It is also worth noting that in the positioning angles and the dimensions of the flat-plate module,
601 the collector area ($W \times L = 0.5\text{m} \times 0.7\text{m}$) of both DCMD-FPC and VMD-FPC modules was
602 considered the same as the membrane area A_m , because they shared the same surface in the
603 integrated design, neglecting edges and margins. Besides, in VMD the thickness and the flow rate
604 of permeate side was excluded due to the total vapor phase inside the vacuum on the permeate
605 side, which was assumed to be a uniform vacuum pressure at 5000 Pa. Contrarily for the DCMD-
606 based system, the same thickness and flow rate as the feed side for the permeate side was taken.
607 Rather low flow rates at 100 kg h⁻¹ (corresponds to a Re of around 130) were initially taken based
608 on the conclusion that lower flow rate is beneficial to the performance of solar-driven DCMD
609 [14]. Similarly, the flow rates will be later varied in a large range to see their impact on the
610 system performance. A distillate mass of 5 L was assumed to be already in the cold distillate
611 circulation before the daily operation, to initiate the permeate circulation.

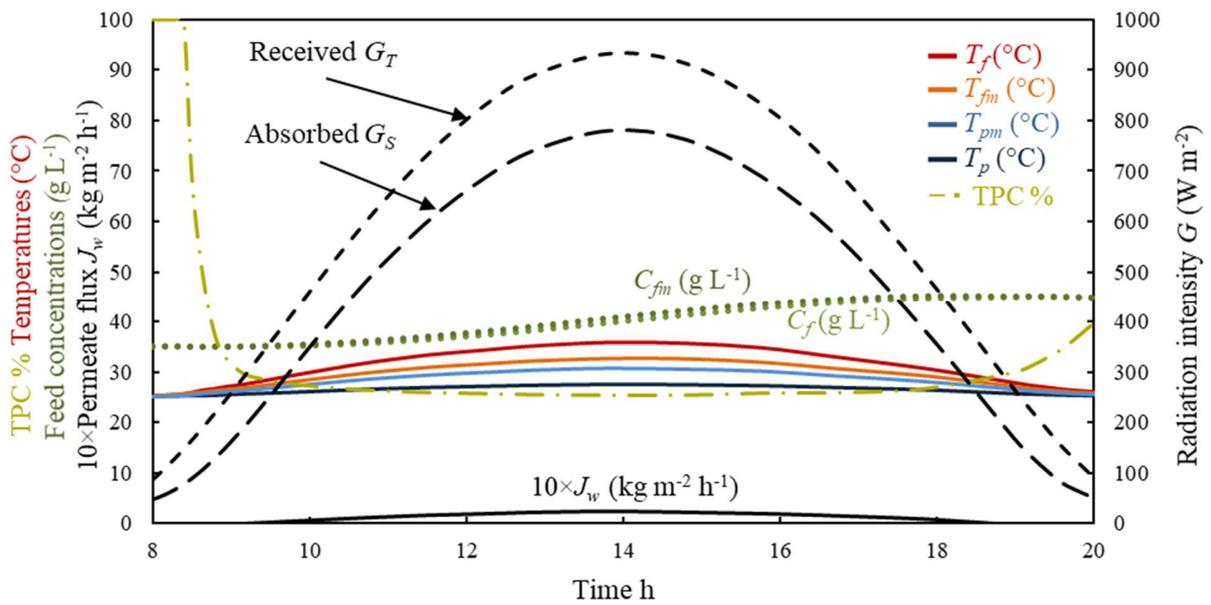
612

613 The considered seawater source had a constant concentration of 35 g L⁻¹ and a constant
614 temperature of 25°C. The limit of salt concentration before discharge in the module was set to be
615 a higher value of 300 g L⁻¹, giving a maximal water recovery rate of 88.3%. Specifically for the
616 DCMD-FPC, a small heat exchanger of 0.1 m² for cooling the permeate was set, whose overall
617 heat transfer coefficient was 1000 W m⁻² K⁻¹, as a normal liquid-liquid plate heat exchanger [54].
618 The cooling seawater circulated at a flow rate of 150 kg h⁻¹, more than the flow rate of the cold

619 distillate to ensure a good cooling effect. All the above settings for the cooling cycle would be
 620 later varied to discuss their influences.

621
 622 Under the settings of all the parameters listed in Table 1, simulations for the DCMD-FPC system
 623 and the VMD-FPC system were performed, resulting in daily variations of solar irradiance,
 624 temperatures, TPC, concentrations and permeate fluxes as shown in Figure 4.

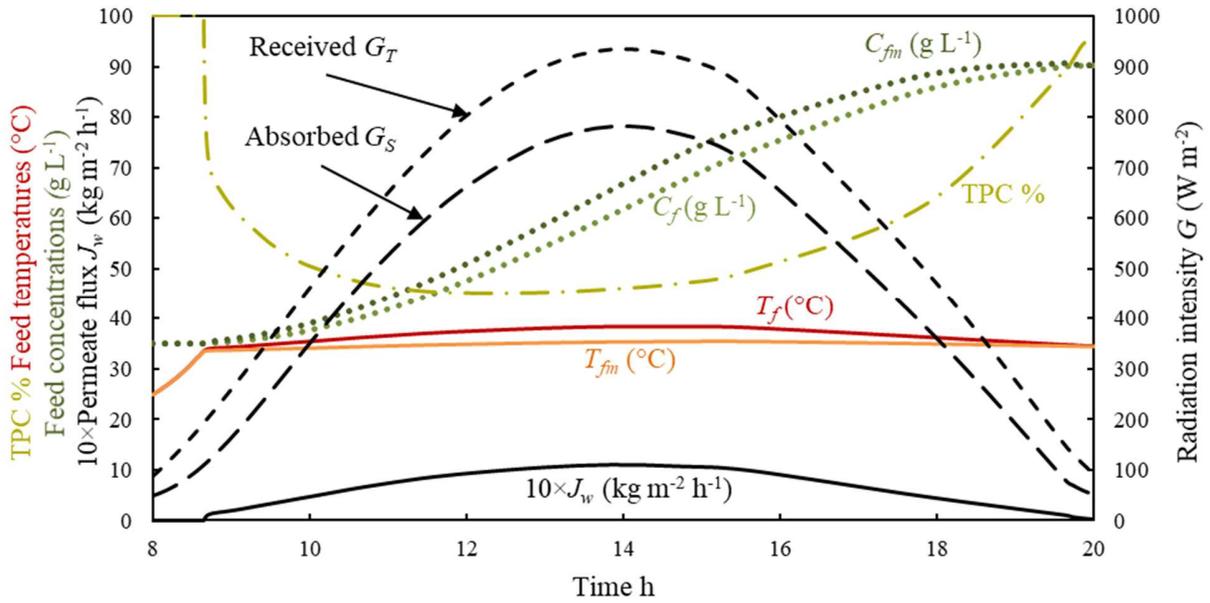
625



626

627

(a)



(b)

628
 629
 630 Figure 4: Daily variation of solar irradiance, feed and permeate temperature, TPC, feed
 631 concentration and permeate flux of (a) DCMD-FPC system; (b) VMD-FPC system
 632

633 Similarity between Figure 4a and 4b was observed for all the variations. Received solar
 634 irradiance G_T is exactly the same in both of the figures because of the same date and location
 635 chosen, and the absorbed irradiance G_S also seems to be nearly the same. The operating
 636 temperatures and permeate fluxes all rise a bit with the increment of solar irradiation and all go
 637 down with the decreasing solar condition in the afternoon. Besides, both of the concentrations
 638 kept accumulating as shown in Figure 4, and the slope is bigger when near noon because of
 639 relatively higher permeate flux under stronger solar radiation. At the same time, TPC and
 640 concentration polarizations (differences between C_{fm} and C_f) of both systems are more obvious
 641 with higher permeate flux at noon. Specifically for the DCMD-based system, the temperature of
 642 the bulk on the permeate side T_p did not react too much to other variations due to the cooling
 643 effect impeding it from augmenting. As for the VMD-based system, the feed temperature started
 644 at the original 25°C in the beginning of the day and then was raised to a certain point before
 645 being more or less stabilized, when the water vapor pressure on the feed side reached the level of
 646 the vacuum pressure and permeate flux began to appear.

647

648 Despite the similar variations, the difference on the production was obvious. After the day, the
649 VMD system can produce 8.08 kg m^{-2} of distillate water (2.83 L), while the DCMD-FPC module
650 will produce only 1.46 kg m^{-2} (0.51 L). Therefore, the permeate flux in Figure 4b is much higher
651 than that in 4a, even though it is already rather low. Even though the temperature polarizations
652 ($T_{fm} < T_f$) on the feed side seem to be in the same range for both DCMD-FPC and VMD-FPC, the
653 extra temperature polarization on the permeate side ($T_{pm} > T_p$) for DCMD-FPC significantly
654 exacerbates TPC to as low as 26%, and hence further reduces permeate flux. As a result, the salt
655 concentration C_f of the VMD-FPC system accumulated much more than the DCMD-based
656 system because of more water permeated, and its concentration polarization phenomenon is
657 stronger compared to the nearly-invisible difference between C_f and C_{fm} in Figure 4a. However,
658 the difference in power consumption for these two systems was even more significant. The
659 DCMD-FPC system produced the distillate at an expense of only $2.76 \times 10^{-3} \text{ kWh}$ (average PV
660 power consumption 0.23W, corresponding SEEC 5.42 kWh m^{-3}), while the value for the VMD-
661 FPC system was 0.45 kWh (average PV power consumption 37.5W, corresponding SEEC 158.4
662 kWh m^{-3}), most of which was consumed by the vacuum pump, because of the configuration of
663 the VMD system where vacuum pump was used to compress all the produced water vapor. This
664 important consumption is inevitable, and can be seen as the replacement of the huge expense on
665 maintaining an extremely low temperature in “cold traps”, which is usually installed before the
666 vacuum pump to condense vapor in vacuum. On the other hand, the total absorbed solar energy
667 for both systems recorded about 2 kWh during the 12-hour operation. Considering a latent heat of
668 vaporization of 2260 kJ kg^{-1} [55], the DCMD-based system only utilized 0.32 kWh out of the 2
669 kWh (16% thermal efficiency) for water production, while the VMD-based system transferred as
670 much as 1.78 kWh into the final distillate (89% thermal efficiency). In conclusion, significantly
671 higher electricity consumption and solar energy utilization efficiency both existed for the VMD-
672 FPC, compared to the DCMD-FPC.

673
674 In addition, the assumptions of mass transfer mechanisms inside the membrane pore are revisited
675 here by some extra simulations. For DCMD, the daily production would be 106.9% higher if only
676 considering Knudsen diffusion instead of a combined effect of Knudsen-molecular diffusion;
677 while it would be 18.3% higher if only molecular diffusion is considered. Therefore, this
678 combined Knudsen-molecular diffusion is necessary for better prediction of the permeation,

679 without the need of including viscous flow due to the existence of air. For VMD, molecular
680 diffusion does not exist due to the vacuum and no air. The daily production would only be 0.06%
681 lower if viscous flow is further excluded and only Knudsen diffusion is assumed to govern the
682 transfer inside the pores. Therefore, it confirms the assumption that solely Knudsen diffusion is
683 already enough to describe the transfer mechanism of VMD.

684

685 **3.2. Comparison of simplified cost of water (SCOW)**

686 From a techno-economic view, the simplified cost of water (SCOW) is adapted here [56,57], in
687 order to incorporate both the production and the energy consumption metrics into one comparable
688 desalination performance indicator. It is worth noting that such a criterion might not be the only
689 factor that determines the applicability of the studied small-scale solar-driven desalination device.
690 For example, process robustness and sustainability are probably more important aspects that have
691 to be considered when implementing such distributed devices in remote places without consistent
692 energy supply. However, these additional factors are hard to evaluate in the current simulation
693 study, and will be further discussed in our future experimental research.

694

695 In Toulouse, the annual insolation time is around 2040 hours with a total received solar radiation
696 energy of 5924 MJ m⁻² at an optimal slope [58]. Based on such a solar condition, a discount rate *i*
697 of 7% and a nominal escalation rate *r* of 4% are assumed upon a system lifetime of 20 years
698 [56,59]. The detailed SCOW calculation chart is listed in Table 2 for a solar collecting area of 1
699 m², including all the reasonable cost assumptions. All the costs are in euros €.

700

701 It is obvious that both devices are still more expensive compared with large-scale desalination
702 facilities [60], due to the rather low production rates of the current system layouts without heat
703 recovery or any other performance optimizations (potential production capacities will be
704 discussed in Section 3.4). However, this techno-economic calculation is just a first estimation of
705 water producing cost for the essential drinking water provision in some water-deficient remote
706 area, and comparing this cost to the price of bottled water (~ 0.5 € per liter in Europe) might be
707 more relevant. Between DCMD-FPC and VMD-FPC, not only the production rate of the latter
708 (8.08 kg m⁻²) is much higher than the former (1.46 kg m⁻²), but also the SCOW of the latter (0.10

709 € L⁻¹) is considerably lower than the former (0.17 € L⁻¹), despite the additional cost of vacuum
 710 pump and electricity consumption.

711

712 Table 2: Cost calculation and SCOWs of DCMD-FPC and VMD-FPC without heat recovery

Item	Description	Cost (DCMD-FPC)	Cost (VMD-FPC)
C_I	Total investment costs, $C_{eq} + C_{con}$	360	600
C_{eq}	Equipment costs, $C_{MD} + C_{HX} + C_{rMD}$	300	500
C_{con}	Construction costs, $0.2 \times C_{eq}$ [56]	60	100
C_{MD}	MD module costs, $C_{memb} + C_{matMD}$		100
C_{memb}	Membrane costs		50
C_{matMD}	Module material costs other than membrane		50
C_{HX}	Heat exchanger costs		100
C_{rMD}	Other module costs (piping, pumps, etc.)	100	300
C_F	Operational costs, $C_{SM} + C_{IN} + C_{RMD}$	23.3	30.5
C_{SM}	Service and maintenance costs, $2.5\% \times C_I$ [61]	9	15
C_{IN}	Insurance costs, $0.5\% \times C_I$ [62]	1.8	3
C_{RMD}	Membrane replacement costs, $C_{memb} \times 1/4$, [63]		12.5
C_V	Variable operational costs, $C_{CH} + C_{EL} + C_{TH}$	0.24	35.40
C_{CH}	Chemical costs, € 0.038 m ⁻³ [64]	0.02	0.12
C_{EL}	Electricity costs, € 0.103 kWh ⁻¹ [65]	0.22	35.28
C_{TH}	Thermal energy costs, 0 due to solar-heating		0
SCOW	Simplified Cost of Water, € L ⁻¹	0.17	0.10

713

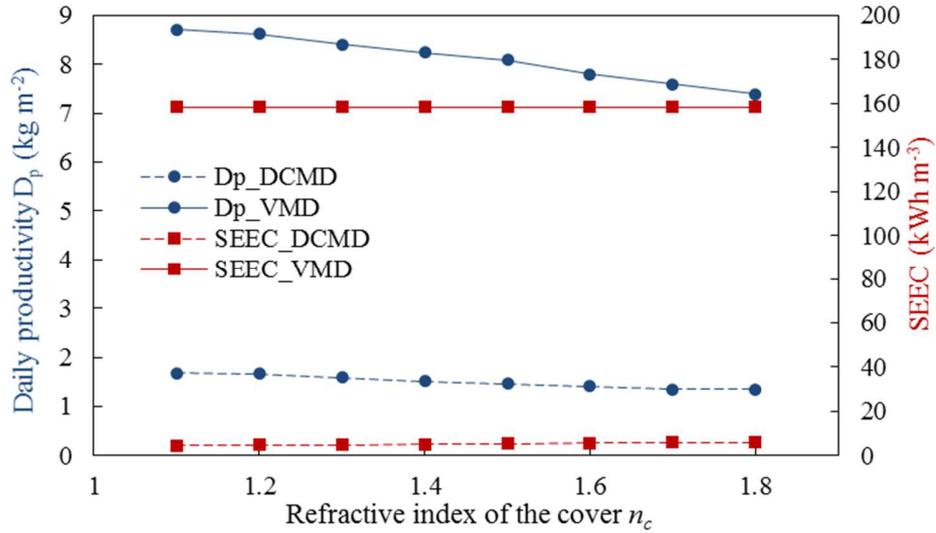
714 3.3. Influence of parameters

715 In order to examine the individual influence of some parameters on the performance, the value of
 716 each parameter was varied one-at-a-time (OAT) while keeping all the other parameters the same
 717 as listed in Table 1 as a module of 0.5×0.7m². The performance observations are based on the
 718 same daily production D_p and SEEC. The studied parameters include the material properties of
 719 solar absorption and the membrane, the operating conditions, and the module position and
 720 dimensions, which are presented and discussed as follows.

721

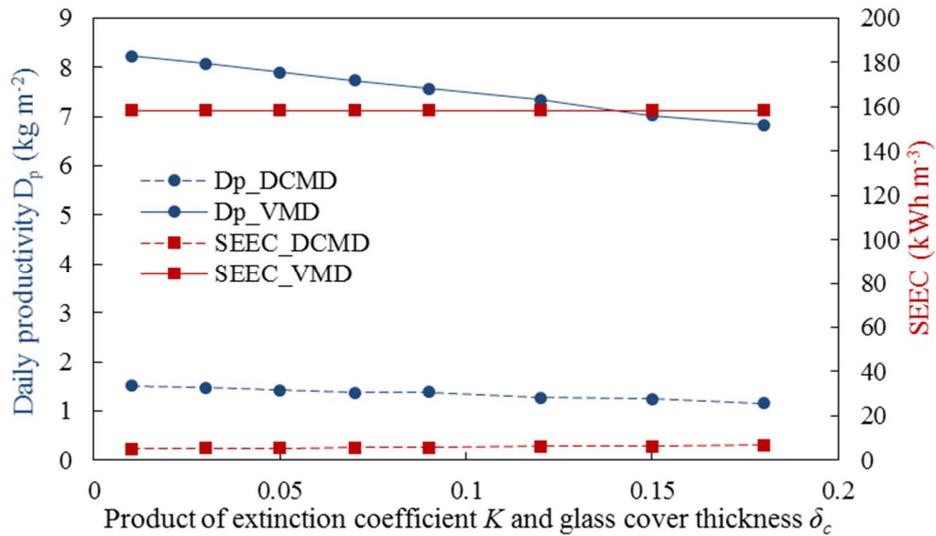
722 3.3.1. Solar oriented material properties ($A_m = A_c = 0.5 \times 0.7 \text{m}^2$)

723 The main solar oriented material properties that exert influence on system operation include the
 724 properties of the glass cover (n_c and $K\delta_c$) and the absorptance α_n in normal direction of the
 725 absorber-plate. These parameters characterized the solar energy absorption of the module, being
 726 the only thermal energy source of the system.
 727



728
 729

(a)



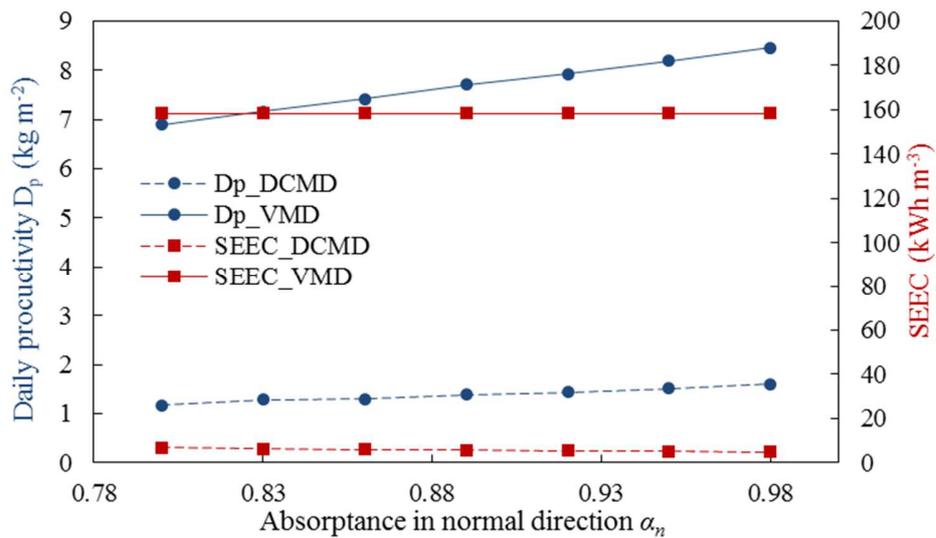
730
 731

(b)

732 Figure 5: Daily distillate productivity and specific electrical energy consumption for DCMD-FPC
 733 and VMD-FPC systems at varying glass cover properties: (a) refractive index n_c ; (b) product of
 734 extinction coefficient K and thickness δ_c

735

736 The first layer of the integrated module is the glass cover. As expected and shown in Figure 5,
 737 increasing the values of the concerned properties had bigger negative impact on the daily
 738 distillate production D_p for the VMD-based system than the DCMD one. The VMD-FPC system
 739 was much more productive than the DCMD-FPC, with specific consumption unaffected by the
 740 properties of the glass cover. However, the SEEC of the DCMD-based system varied a little bit
 741 with different values of these properties, though not clear in these figures because of much
 742 smaller value compared to the value of VMD. It increased from 4.7 to 5.9 kWh m⁻³ with n_c being
 743 from 1.1 to 1.8, and from 5.2 to 6.8 kWh m⁻³ with $K\delta_c$ being from 0.01 to 0.18. The circulation
 744 flow rates are fixed in these calculations and thus the total pumping consumption remained the
 745 same while D_p decreased with the increments of these properties. As a result, the specific
 746 consumption of the DCMD-based system raised when bigger n_c or $K\delta_c$ were imposed.
 747



748
 749 Figure 6: Daily distillate productivity and specific electrical energy consumption for DCMD-FPC
 750 and VMD-FPC systems at varying absorptance α_n in normal direction of absorber-plate
 751

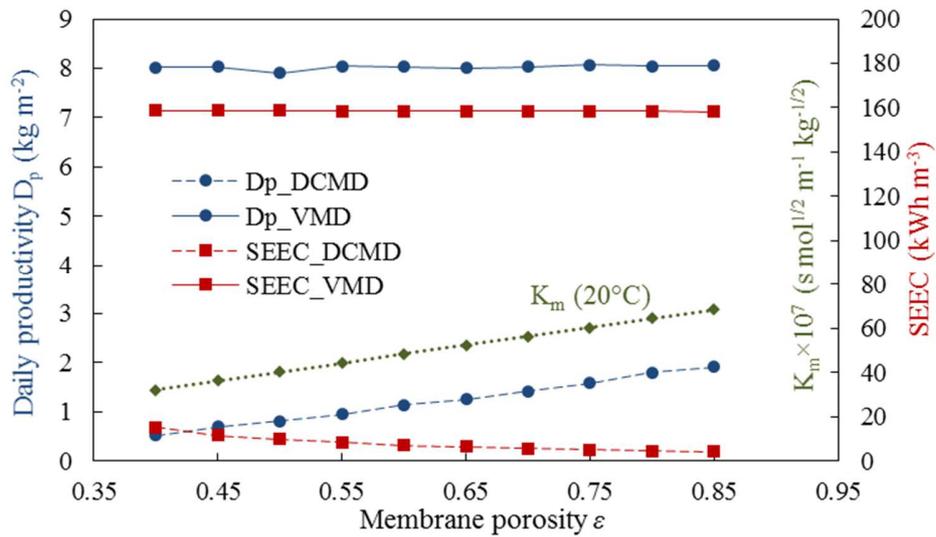
752 Similar observations of the absorptance α_n of the absorber-plate is shown in Figure 6. However,
 753 the absorptance α_n had a positive impact on D_p for both MD configurations, based on the fact that
 754 higher absorptance directly enabled greater amount of the solar energy absorbed. On the other
 755 hand, D_p of the DCMD-FPC system stayed limited at 1.60 kg m⁻² even with the highest
 756 absorptance, while SEEC ranged from 6.75 kWh m⁻³ with α_n at 0.8 to 4.95 kWh m⁻³ with α_n at
 757 0.98, whose variation was also limited. Compared to the positive impact of α_n on the production

758 of the VMD-FPC, the strong conductive heat loss through the membrane in DCMD-FPC might
 759 severely diminished the benefit from higher solar absorption.

760

761 **3.3.2. Membrane properties** ($A_m = A_c = 0.5 \times 0.7 \text{m}^2$)

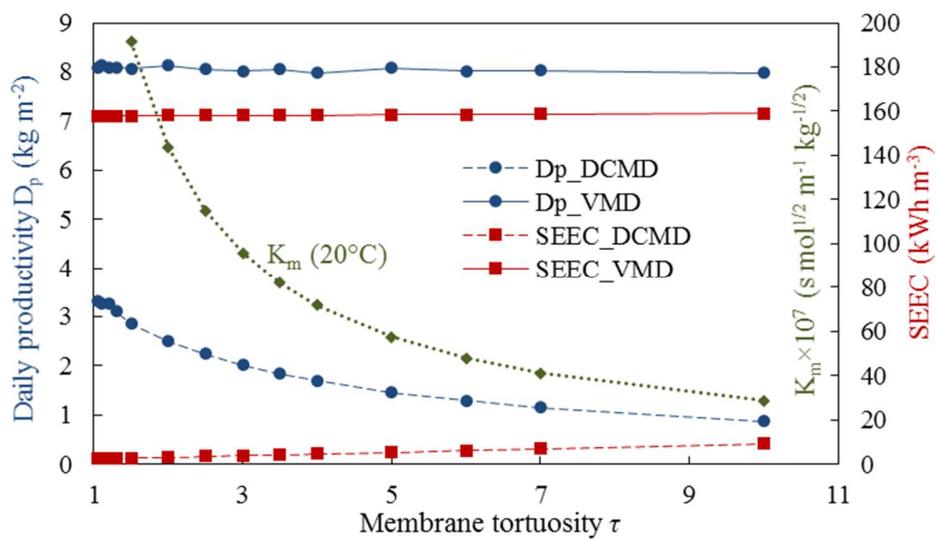
762 For both DCMD-based and VMD-based desalination systems, four characteristic membrane
 763 properties were considered, that is to say porosity ε , tortuosity τ , thickness δ_m and pore size r , as
 764 they characterize the membrane permeability and decide the mass transfer quality of the
 765 separation process. Influence of these properties on the performance of both modules are
 766 summarized in Figure 7, together with the corresponding Knudsen permeability of the membrane.



767

768

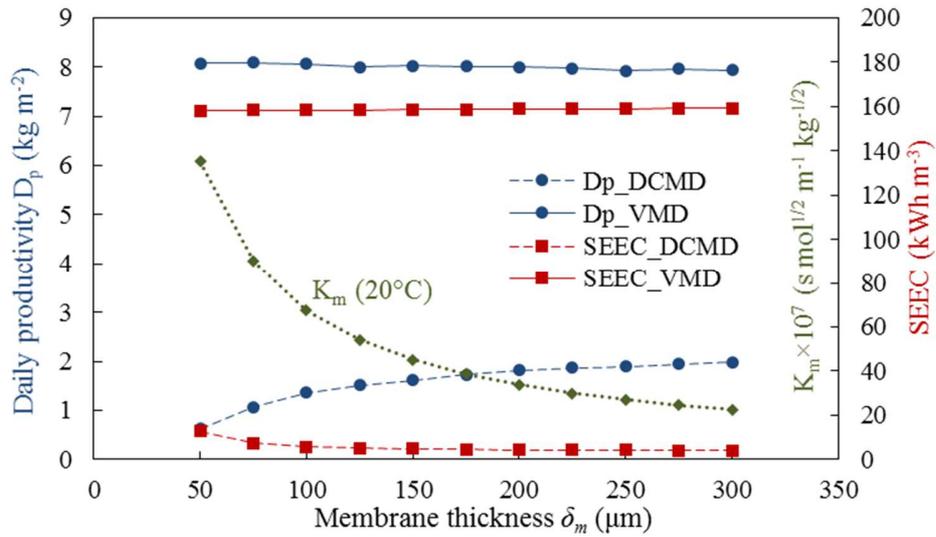
(a)



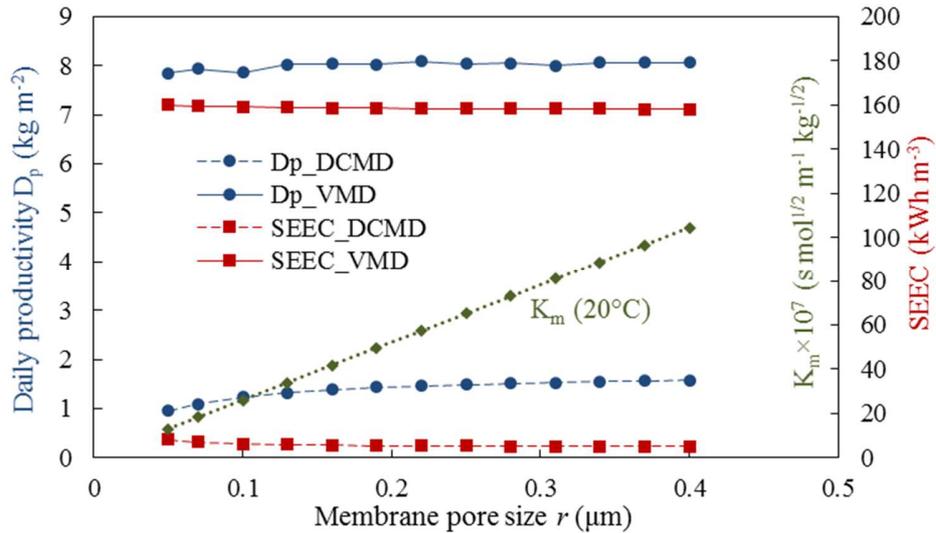
769

770

(b)



(c)



(d)

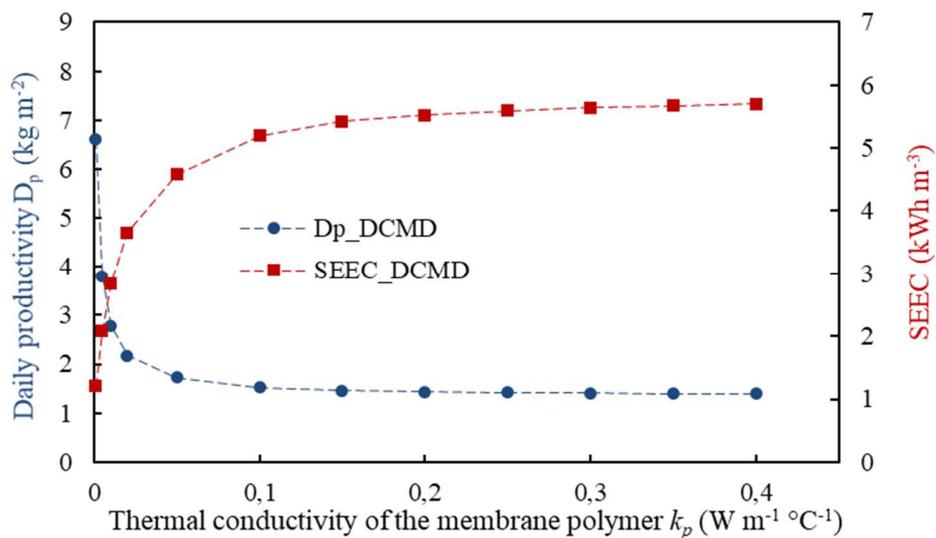
771
772

773
774

775 Figure 7: Daily distillate productivity and specific electrical energy consumption for DCMD-FPC
776 and VMD-FPC systems at varying membrane properties: (a) porosity ε , (b) tortuosity τ , (c)
777 thickness δ_m , (d) pore size r

778
779 Clearly, these permeability-oriented parameters had very small influence (almost no influence)
780 on the water production and pumping consumption of the VMD-based system, the same as
781 previously discussed for the Knudsen permeability K_m of the membrane due to the restraining
782 from limited solar energy income. Oppositely, both the D_p and the SEEC of the DCMD-based
783 system acted sensitively to membrane properties, which indicates that the sparse solar radiation is

784 not the only constraint in DCMD-FPC. Higher porosity and lower tortuosity seemingly benefited
 785 the system a lot with greater production and less pumping consumption, because of the enhanced
 786 permeability of the membrane, as the K_m shown in Figure 7a and 7b, which increases linearly
 787 with higher porosity and exponentially with lower tortuosity. A low tortuosity of 1.2 could push
 788 D_p up to 3.3 kg m^{-2} , which however seemed to be the limit for even lower tortuosity (same D_p of
 789 3.3 kg m^{-2} with τ of 1.05). Similarly, the larger pore size was able to do the same job of boosting
 790 the production, but only to a limited extent. Larger than an average pore size of $0.3 \text{ }\mu\text{m}$, no clear
 791 improvement on the system performance is visible at an increasing pore size even though the
 792 Knudsen permeability keeps rising linearly, and the risk of membrane wetting would be
 793 significantly increased. Lastly, thicker membranes in the case of DCMD-FPC were found to be of
 794 interest to both D_p and SEEC, even it induced lower membrane permeability. The reason is that
 795 by increasing the membrane thickness, another important factor, the conductive thermal loss,
 796 came into play. The thicker the membrane, the bigger thermal resistance of this layer. Therefore,
 797 this observation proved that reducing transmembrane conductive heat loss and increasing the
 798 thermal efficiency in the DCMD-FPC system are more important than enhancing membrane
 799 permeability.
 800



801
 802 Figure 8: Daily distillate productivity and specific electrical energy consumption for the DCMD-
 803 FPC system at varying thermal conductivities k_p of membrane polymer
 804

805 Having in mind that the conduction thermal loss of the membrane might be essential to the
806 DCMD-based system, the thermal conductivity of the membrane polymer k_p is therefore analyzed
807 here in Figure 8. The VMD-based system was not taken into consideration because of the
808 neglected conductive loss through the membrane.

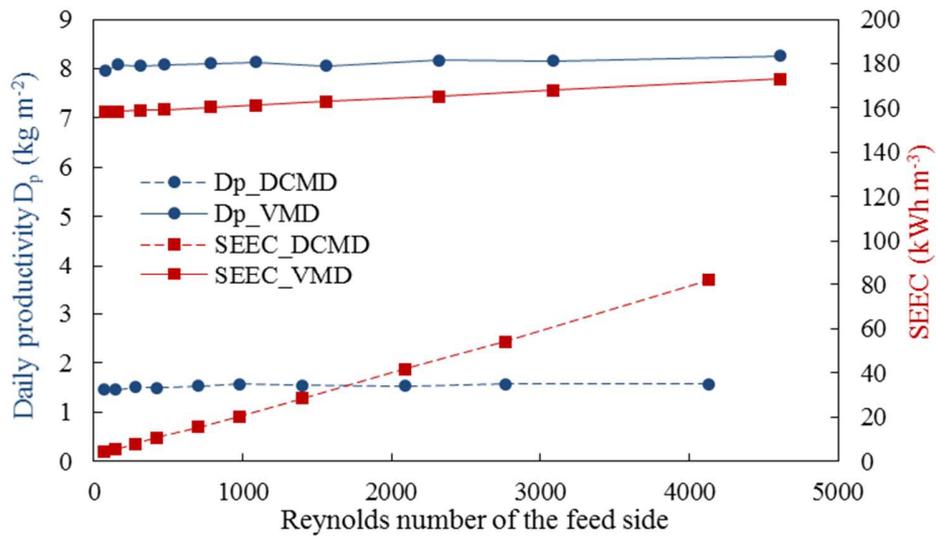
809
810 As expected and discussed above, the production of DCMD-FPC responded a lot to this
811 parameter, which could even reach up nearly to the D_p of the VMD system with extremely low
812 thermal conductivity. Therefore, the conductive heat loss can be identified as the dominant factor
813 that caused the large production difference between DCMD-based and VMD-based system.
814 Besides, SEEC exhibits an inverse trend compared to the trend of D_p , because of the invariability
815 of the total pumping consumption at fixed flow rates of the feed and the distillate, which is the
816 product of SEEC and total water produced. However in reality, the thermal conductivity of the
817 membrane is still in the range from 0.15 to 0.30 W m⁻¹ K⁻¹ (0.17 for PP, 0.19 for PVDF and 0.25
818 for PTFE) [66], where there seemed no significant influence on system performance from Figure
819 8.

820
821 **3.3.3. Operating conditions** ($A_m = A_c = 0.5 \times 0.7 \text{m}^2$)

822 Firstly, the permeate pressure P_p was again identified to be the major factor determining the
823 performance of a VMD-FPC system, same as reported previously [6]. Then, the flow rate of the
824 feed recirculation \dot{m}_f for both DCMD-FPC and VMD-FPC and the flow rate of the cold distillate
825 recirculation \dot{m}_p for DCMD-FPC are discussed as follows. In order to be more interpretable,
826 instead of flow rates, the corresponding average Reynolds number were illustrated in Figure 9.

827
828 For the VMD-based system, higher Reynolds number of the feed did not end up with higher D_p
829 due to the limited available solar energy, only adding slightly to the energy consumption of
830 pumping [6]. From Figure 9, similar behaviors can be observed for DCMD-based system, where
831 an almost constant D_p is observed in spite of the varying Reynolds numbers of the feed or the
832 permeate side. Apart from the same limitation by the incoming solar energy as for VMD-based
833 system, here the conductive heat loss account for another important constraint, as discussed in
834 Section 3.3.2.

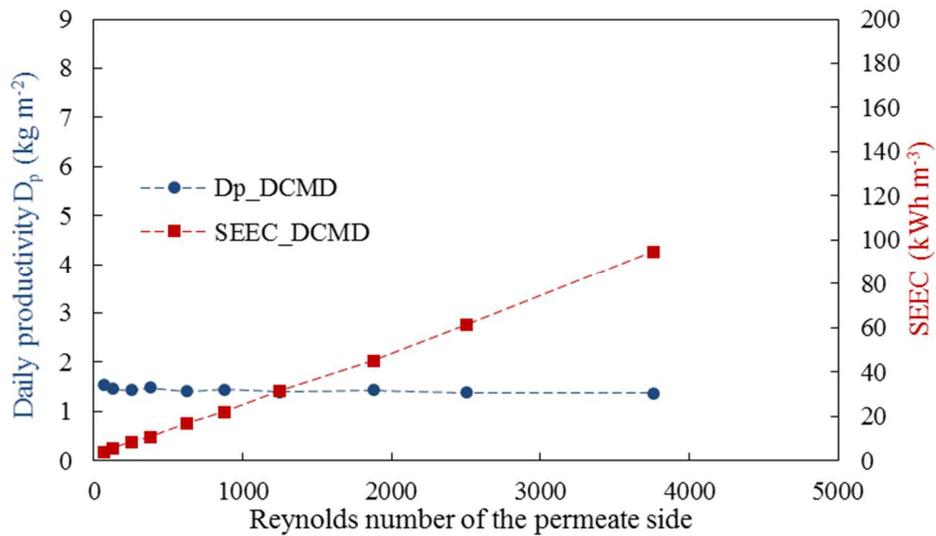
835



836

837

(a)



838

839

(b)

840 Figure 9: Daily distillate productivity and specific electrical energy consumption at varying
 841 Reynolds numbers: (a) feed side for both DCMD-FPC and VMD-FPC modules; (b) permeate
 842 side for DCMD-FPC module only

843

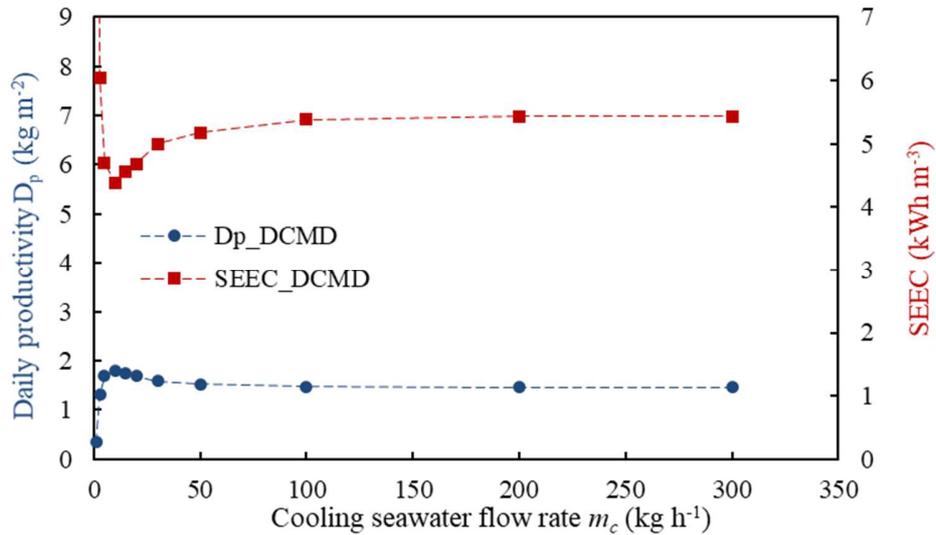
844 On the other hand, the pumping consumption of DCMD-FPC were nearly proportional to the
 845 Reynolds number (from 2 Wh at a Re around 70 to 45 Wh at Re around 4000) because of the
 846 linear relation between the power consumption of CP and the flow rate, as expressed in Eq. 27,
 847 and no other electricity consumptions taken into account. Then, due to the unchanged D_p , SEECs
 848 of the DCMD-FPC in Figure 9 also increases linearly with Re of either the feed flow or the cold

849 distillate circulation. While for VMD-FPC, the majority of the pumping consumption was taken
850 up by the consumption of the vacuum pump. At a low Re of the feed flow ($Re < 500$), over 99%
851 of the total consumption was found out to be spent on VP. Even when the feed flow was at the
852 highest Re of 4600 in Figure 9a and the consumption by CP would be at its maximum, 0.46 kWh
853 was consumed by VP, out of the total consumption of 0.50 kWh, leaving only 44 Wh consumed
854 by the CP of the feed circulation. Then, the power consumption of VP is proportional to the
855 amount of permeate vapor and not influenced by the feed flow rate, according to Eq. 28.
856 Therefore, the major part of the SEEC of VMD-FPC in Figure 9a that belongs to the vacuum
857 pump stayed constant due to the constant D_p . For the rest that consumed by CP in VMD-based
858 system, its total amount increased with the Re at the same pace as the DCMD-based system.
859 However, the total SEEC (by VP and CP) of VMD-FPC in Figure 9a ascends more slowly with
860 the increasing feed Re than the ascending pace of the SEECs of DCMD-FPC, which is because of
861 the greater production in VMD-FPC incurring lower specific consumption by CP and thus
862 smaller slope of the total SEEC.

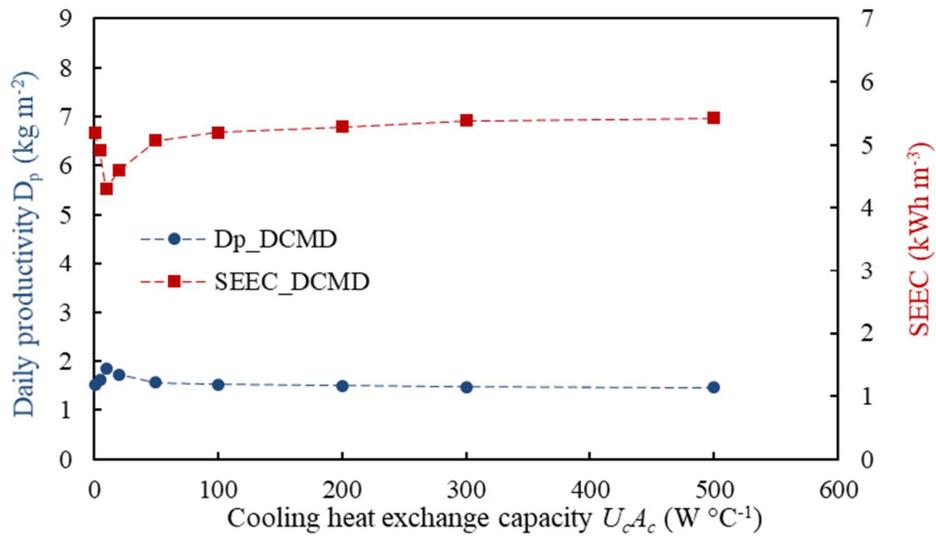
863
864 Regarding the water productivity D_p for DCMD-FPC, in fact, it increased slightly from 1.45 to
865 1.58 kg m^{-2} with feed Re from below 100 to about 4000, while it decreased from 1.55 to 1.37 kg
866 m^{-2} with permeate Re from below 100 to nearly 4000. This observation further backs up the
867 important impact of transmembrane conductive thermal loss in DCMD. Higher feed Re can help
868 to enhance the heat transfer from the feed bulk to the boundary layer to provide for water
869 evaporation and heat conduction through the membrane. However, higher permeate Re helped to
870 improve the reception of not only water condensation heat but also conductive heat loss by
871 enhancing heat transfer from the permeate boundary layer to the bulk. In consequence, the higher
872 permeate Re permitted larger overall heat loss from the limited solar energy input and caused the
873 declination of D_p . Conclusively, higher Re of both the feed and the permeate side contributes to
874 higher pumping consumption without enhancing the productivity of the DCMD-FPC, therefore
875 lower Re is more favorable for this simple recirculation system, same as concluded for VMD-
876 FPC in case no heat recovery strategy was implemented [6].

877
878 Beside the feed and permeate recirculation, a cooling cycle is involved also in the system as
879 illustrated in Figure 2, where seawater source is circulating and cooling the permeate

880 recirculation. The concerned parameters are the cooling flow rate \dot{m}_c , the heat exchange
 881 coefficient U_c and the heat exchange surface A_c , and the latter two intervene the model by their
 882 product $U_c A_c$. Figure 10 presents their impact on the system performance of DCMD-FPC module.
 883



(a)



(b)

884
885

886
887

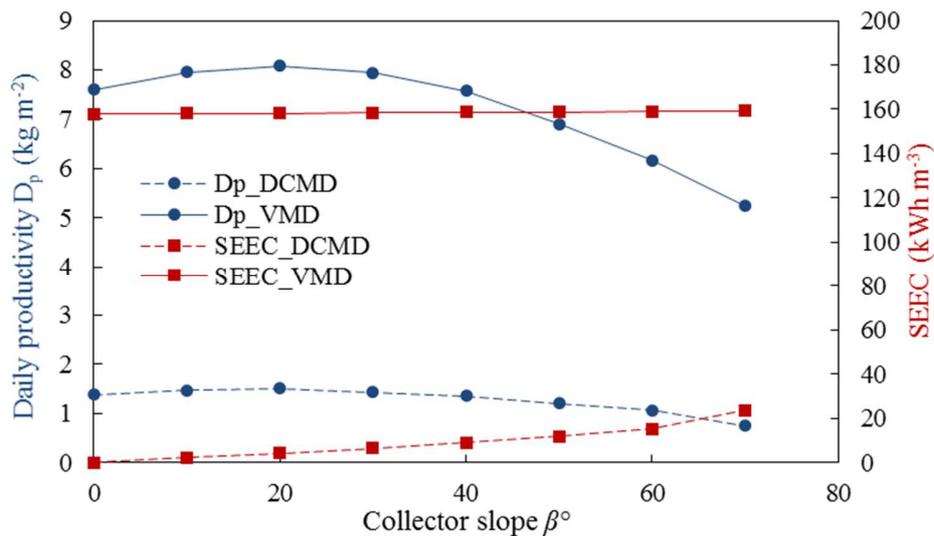
888 Figure 10: Daily distillate productivity and specific electrical energy consumption at varying: (a)
 889 cooling circulation flow rate \dot{m}_c , (b) cooling heat exchange capacity $U_c A_c$

890
 891 Both very low values of \dot{m}_c and $U_c A_c$ were preferred by the DCMD-based system, being around
 892 10 kg h^{-1} and 10 W K^{-1} . However, the possible improvement by adjusting the cooling condition

893 was still very limited compared to altering membrane properties as discussed in Section 3.3.2.
 894 Furthermore, this preference again confirms the strong influence of heat conduction through the
 895 membrane. Better cooling effect can ensure a lower temperature of the cold distillate on the
 896 permeate side, which strengthens the driving force and thus the permeate flux. On the other hand,
 897 such lower temperature can induce bigger transmembrane conductive heat loss. Therefore, the
 898 low values of the optimal choice indicated that, for the same module configuration, more
 899 emphasis should be put on reducing heat conduction in DCMD instead of enhancing the
 900 production. Besides, the variations of SEECs in Figure 10 are due to the variations of the
 901 production, while the total consumption, taken the CPs on the feed and the permeate was not
 902 affected at all by the cooling condition.

903

904 3.3.4. Module position and dimensions



905

906 Figure 11: Daily distillate productivity and specific electric energy consumption at varying slope

907

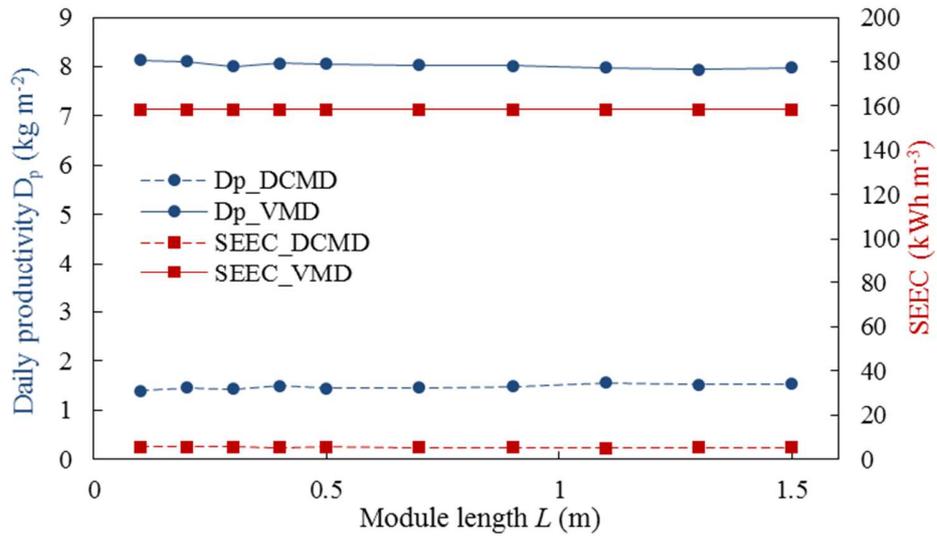
908 Firstly, the module position was fixed by the slope β and the azimuth angle γ . The latter is usually
 909 set to 0, facing sharply south, for non-tracking non-concentrating solar collectors to maximize the
 910 received energy on the surface. Hence, the position parameter in question is the inclination of the
 911 module. D_p of both systems exhibited a same favorite slope at around 20° , as shown in Figure 11.
 912 However, the pumping consumption behaved differently. Theoretically, bigger slope added to the
 913 burden of circulation pumps to overcome greater pressure difference between the bottom and the
 914 top of the module due to the elevated module height. Thus, both of the feed pump and the

915 permeate pump had to consume more at bigger inclination in the DCMD-FPC system, while the
916 improvement of water production from 0° to 20°C was limited. The specific consumption of the
917 VMD-FPC system, on the other hand, seldom reacted to the variation of the slope because the
918 majority of the consumption was taken up by the vacuum pump.

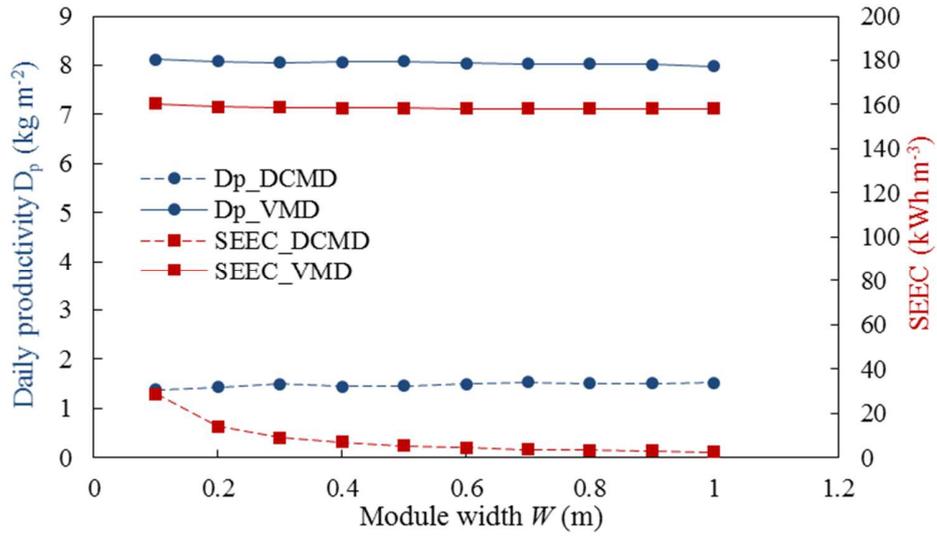
919
920 Secondly, the dimensions of the MD module consisted of the module length L , the module width
921 W and the thicknesses of feed δ_f and permeate δ_p . In the VMD-based module, there would be no
922 discussion on the thickness of the permeate side because of the assumption of a uniform vacuum
923 space without water circulation. The influences of the dimensions on the performance of both
924 DCMD-FPC and VMD-FPC are illustrated in Figure 12.

925
926 The length of the module hardly altered the performance of both systems. With very limited
927 thermal energy input from the absorber-plate, the total water volume and the heat and mass
928 transfer inside the channel, which were connected with the length, did not seem to be influential.
929 Similarly, no clear influence of the module's width was observed except for the SEEC of the
930 DCMD-based module. This observation was due to the augmenting flow velocities and Reynolds
931 numbers on both sides of the membrane, when decreasing the module width under fixed flow
932 rates. Thus, higher pressure loss was induced and consequently higher CP power was demanded,
933 according to Eq. 24 and 27. Furthermore, it was found by simulations that if fixing the flow
934 velocities by scaling the flow rates proportionally to the width, a constant SEEC of DCMD-based
935 module can also be attained. Conclusively, the surface dimensions (width W and length L) do not
936 influence sensibly the production and specific consumption, thus the scale-up of the module can
937 be achieved simply by bigger module surface or several modules in parallel.

938



(a)



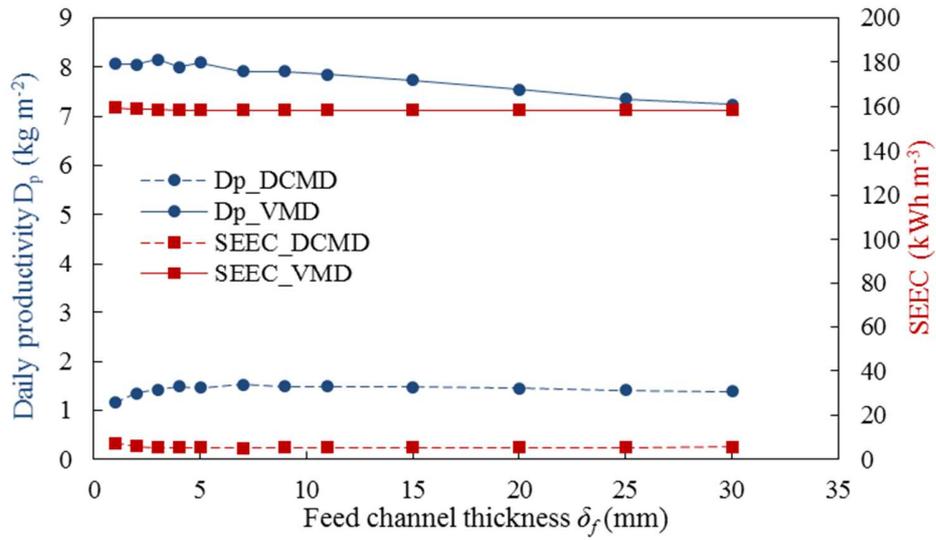
(b)

939

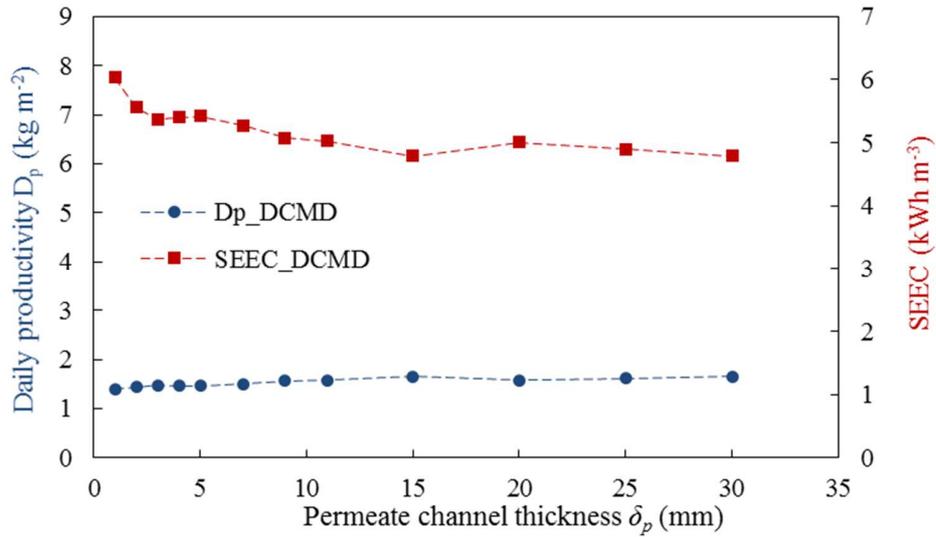
940

941

942



(c)



(d)

943

944

945

946

947 Figure 12: Daily distillate productivity and specific electrical energy consumption at varying
 948 module dimensions for DCMD-FPC and VMD-FPC systems: (a) length L , (b) width W , (c)
 949 thickness of feed channel δ_f ($W \times L = 0.5 \times 0.7 \text{m}^2$), (d) thickness of permeate channel δ_p ($W \times L =$
 950 $0.5 \times 0.7 \text{m}^2$)

951

952 The thickness of the channels had a smaller impact on the flow Reynolds number based on its
 953 slowness compared to the width W . The hydraulic diameter of the flow channel was thus nearly
 954 double the value of the thickness ($d_h = 2W\delta/(W + \delta) \approx 2\delta$), combined by the flow velocity
 955 which was in inverse proportion with the thickness at given flow rates ($v = F_V/(W\delta)$), the effect

956 of the thickness on Re calculation was therefore almost eliminated. From Figure 12c, different
957 trends of the productivities for the VMD-based and DCMD-based systems emerged. When the
958 feed channel thickness was smaller than 5 mm, the D of the VMD-based system held steady
959 while the D of the DCMD-based system slowly went up. After the value of 5 mm, the former
960 slowly went down while the latter also went down. A thinner layer of feed channel helps to
961 enhance heat convection and alleviate temperature polarization for higher permeate flux [67], that
962 is why the D_p of VMD-based module kept on decreasing with higher δ_f . However, the overall
963 heat input from solar absorption limited the production to a certain level even the feed channel
964 was thinner than 5 mm. On the other hand, a thinner feed channel of DCMD also reinforced the
965 heat transfer and brought the temperatures at the bulk and at the membrane surface closer.
966 However, larger transmembrane temperature difference was created simultaneously, admitting
967 greater conductive heat loss and thus reducing the overall accumulated water production, which
968 was already addressed as the one of the major factors in determining the production capacity of
969 the DCMD-FPC system. After a certain thickness, the heat transfer condition inside the feed
970 channel started to take part in the water production, and a rather slight decrease from 1.53 to 1.39
971 kg m^{-2} at a feed channel thickness from 7 mm to 30 mm was discovered. Besides, the pressure
972 loss by friction along the flow channel was consequently in inverse proportion with δ^3 according
973 to the first term on the right in Eq. 24. As a result, thinner channels induced higher circulation
974 pump consumption, especially when at extremely small value, as observed in the Figure 12c and
975 12d, though not really outstanding compared to the huge overall electric consumption of the
976 VMD-based module mostly consumed by vacuum pump. At last, the variation of D_p with varying
977 permeate channel thickness δ_p in Figure 12d might probably be explained also by the more
978 important heat loss with thinner flow channels, as explained for δ_f of the feed channel.

979
980 **3.4. Discussions on potential: Heat recovery & solar concentration**
981 Both solar-integrated MD systems studied in this work have relatively low water productions due
982 to the enormous heat consumption by feed evaporation and the limited thermal energy source
983 provided only by direct solar absorption. This highlights clearly the importance of enhancing the
984 thermal energy income for the feed circulation in case an increase in permeate flux and water
985 production is desired. Generally, two approaches can be conceived in the current application:

986 Heat recovery from the permeate side back to the feed circulation, and solar concentration to
987 multiply the absorbed heat by the module.

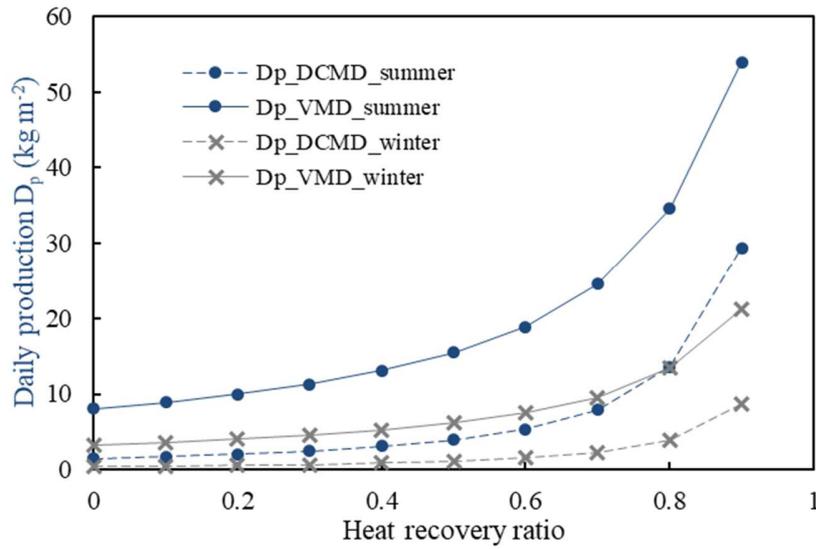
988
989 In addition, the daily operations in wintertime (February 1st) are evaluated in this section as well,
990 in parallel with the operations in summertime. Besides the recalculation of solar radiation in
991 winter, certain environmental parameters also have to be altered. Source seawater temperature is
992 set to 13°C on Feb 1st, according to the data for seawater temperature in Barcelona [68], which is
993 not far from Toulouse. Correction factors (r_0 , r_1 , r_k) for mid-latitude places are configured to 1.03,
994 1.01 and 1.00 for winter, instead of the values in Table 1 for summer. Finally, an ambient
995 temperature ranging from 0°C to 10°C in winter is considered.

996

997 **3.4.1 Heat recovery** ($A_m = A_c = 0.5 \times 0.7 \text{m}^2$)

998 For the DCMD-FPC module, the heat recovery is usually carried out by recuperating the thermal
999 energy from the cold distillate or the cooling water. In our design, the cooling was carried out by
1000 direct heat exchanging between the distillate and the circulating seawater. Therefore, a fixed heat
1001 recovery ratio (HRR) of all the heat exchanged by cooling was deemed as a relevant way to study
1002 the influence of heat recovery on system performance. On the other hand, for the VMD-FPC
1003 module, a certain ratio of the permeate vapor was presumed to be condensed by a certain facility
1004 before the vacuum pump, whose heat was redirected to the feed circulation. Thus, this ratio in
1005 VMD equals to a fixed HRR of all the condensation heat of the permeate. However, the
1006 discussion was incapable of evaluating the corresponding amount of supplementary electricity
1007 demand for both heat recovery approaches.

1008



1009
 1010 Figure 13: Daily distillate production D_p for DCMD-FPC and VMD-FPC systems at varying heat
 1011 recovery ratios (HRR) in both summer and winter
 1012

1013 Figure 13 demonstrates a substantial increase in water productivity for both systems if heat
 1014 recovery strategies are implemented. In summer, the D_p of the DCMD system was markedly
 1015 improved from around 1.5 kg m^{-2} without heat recovery to nearly 30 kg m^{-2} with 0.9 of the heat
 1016 gained by the cooling stream being put back to the feed. Regarding the VMD system, the
 1017 increment of D_p was from around 8 kg m^{-2} to even more than 50 kg m^{-2} . Compared with
 1018 operating in summer, D_p of DCMD-FPC in winter drastically decreased by more than 70%, while
 1019 D_p of VMD-FPC in winter was lowered by around 60%. In an HRR range of $0 \sim 0.8$, the
 1020 production of VMD-FPC in winter is even higher than that of DCMD-FPC in summer, revealing
 1021 the huge advantage of the former over the latter in terms of freshwater provision capacity.
 1022

1023 However in reality, a high HRR is not easy to attain. For DCMD, the vapor condensation directly
 1024 takes place inside the cold distillate, whose temperature has to be low enough to maintain the
 1025 driving force for vapor transfer, thus the latent heat of the permeate vapor is then stored in the
 1026 cold distillate in liquid phase. Therefore, this heat is hard to be directly recovered back to a liquid
 1027 feed at higher temperature. For VMD, the temperature of the permeate side is even lower in the
 1028 vacuum, thus the same difficulty exists for heat recovery. However, the latent heat is still stored
 1029 in vapor phase on the permeate side, which might be recaptured through the condensation

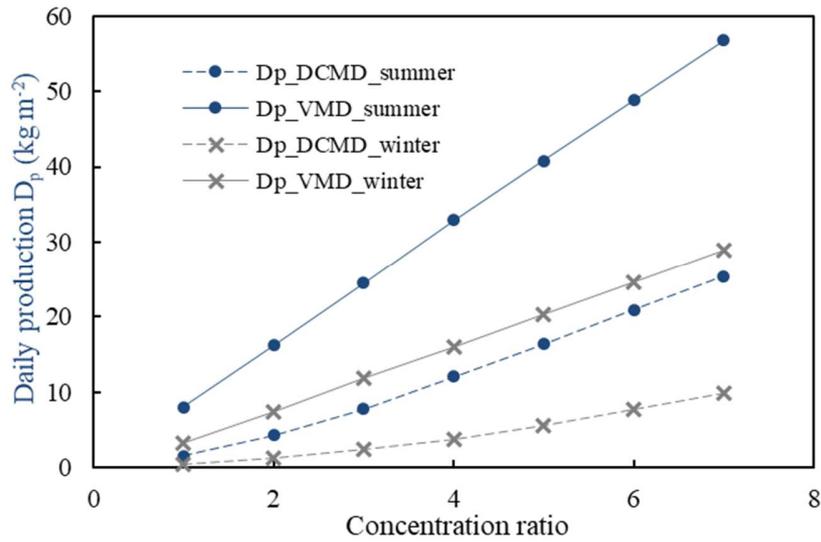
1030 elsewhere. Considering such difficulty in heat recovery, high HRRs are not quite realistic and
1031 feasible, especially for the DCMD-FPC system. If applying an intermediate HRR (0.3 to 0.7) to a
1032 small module of 1 m², the potential production of the DCMD-FPC system would still be much
1033 too low (2.5 to 7.9 kg in summer; 0.7 to 2.3 kg in winter) compared with the VMD-FPC system
1034 (11.3 to 24.6 kg in summer; 4.6 to 9.6 kg in winter). Even on Aug 1st, when the solar condition
1035 might be the best on the northern hemisphere, the production of DCMD-FPC can hardly fulfill
1036 the drinking demand (2 L per day per person [69]) of a small family. Added by the above
1037 discussion on the heat recovery possibilities on both systems, VMD-FPC is therefore reckoned to
1038 be the one that is worth further study towards application. It is however needed to check the
1039 existence and the feasibility of an innovative approach for heat recovery in the VMD-FPC system
1040 and further to evaluate its relevance in terms of extra energy requirements.

1041

1042 **3.4.2. Solar concentration** ($A_m = A_c = 0.5 \times 0.7 \text{m}^2$)

1043 A solar concentration ratio (CR) is defined as the solar aperture area to absorber area, which can
1044 be approximated by the factor of the increment of the absorbed solar energy on the absorber-plate
1045 [70]. Only low concentration ratio (e.g. CPC, V-trough) has been applied to MD applications in
1046 the literature [71–73]. Indeed, it was found here that a concentration ratio over 7 could lead to a
1047 feed temperature over 100°C in the afternoon for both DCMD and VMD-based systems, which
1048 was then rejected by the simulation. Consequently, Figure 14 illustrated the water productions of
1049 DCMD-FPC and VMD-FPC with varying concentration ratios up to 7, in both summer and
1050 winter. Similar to the discussion on the heat recovery, the extra energy consumption by solar
1051 tracking systems for the concentrator and other supplementary facilities could not be evaluated at
1052 current stage.

1053



1054
 1055 Figure 14: Daily distillate productivity D_p for DCMD-FPC and VMD-FPC systems at varying
 1056 concentration ratios (CR) in both summer and winter

1057
 1058 Unlike Figure 13, the production linearly responded to the increasing CR due to the linear
 1059 increments in the heat supply by the absorbed solar energy. The production capacity difference
 1060 between DCMD and VMD-based systems is still obvious, and D_p of VMD-FPC in winter is
 1061 always even higher than that of DCMD-FPC in summer, similar to the discussion on HRR. At a
 1062 moderate CR of 3, the D_p of VMD-FPC could reach up to 24.5 kg m⁻² in summer and 11.8 kg m⁻²
 1063 in winter, while that of DCMD-FPC was only able to yield a production of 7.8 kg m⁻² in summer
 1064 and 2.4 kg m⁻² in winter, even lower than the VMD-FPC without heat recovery or solar
 1065 concentration. D_p of DCMD-FPC also became interesting only when CR was over 6, in terms of
 1066 the domestic drinking water provision (> 8 kg m⁻² in winter). However, larger CR would leave
 1067 larger footprint, decreasing the compactness and the mobility of the system. For example, CR = 6
 1068 means a concentrator of an aperture area of more than 6 m² needs to be installed for a module of
 1069 1 m². Furthermore, the complexity in concentrator design and solar-tracking when increasing CR
 1070 adds to the problem of applicability in remote communities. At last, the design of solar
 1071 concentrator is also part of the module design, which demands further study.

1072

1073 **4. Conclusions**

1074 Based on a previous design of an integrated module coupling direct solar heating with VMD
1075 process (VMD-FPC system), a similar DCMD-based desalination system was studied in order to
1076 contrast the two diametrically opposite MD technologies in terms of water production and power
1077 consumption and to compare their hybridization potentials when coupled with direct solar heating
1078 scenarios. To provide a fair comparison between the original VMD-FPC module and the DCMD-
1079 FPC, a similar dynamic recycling system was indeed defined. Simulations for daily 12-hour
1080 operations revealed that the water production of the DCMD-FPC system (0.51L for the 0.35 m²
1081 module) was much lower than that of the VMD-FPC system (2.83L for the same 0.35 m² module)
1082 under the same parameters and operating conditions, which indicated a huge difference in the
1083 thermal efficiency of utilizing solar energy for distillate production: 16% of the former system
1084 and 89% of the latter system. However, much higher production and thermal efficiency also came
1085 with a price: electric consumption of VMD-FPC was 0.45 kWh (power consumption per unit
1086 distillate 13.25 W L⁻¹), in contrast with only 2.76×10⁻³ kWh of DCMD-FPC (power consumption
1087 per unit distillate 0.45 W L⁻¹). Nevertheless, the calculated SCOW of VMD-FPC was still much
1088 cheaper than that of DCMD-FPC.

1089
1090 Roles of different groups of parameters concerning material properties, operating conditions,
1091 position and dimensions were analyzed in details for both the DCMD-FPC and the VMD-FPC
1092 systems, comparatively. The discussions on the variations of the parameters all indicated that in
1093 the case of direct solar heating with the limited available solar energy, especially when no heat
1094 recovery strategy is applied, the VMD-FPC system was restrained by the heat income from solar
1095 energy, while the DCMD-system was even further suppressed by the heat conduction from the
1096 feed to the distillate. Besides, the performances of both systems stayed unaffected by the
1097 variation of the module surface, which enables a flexible design of the module size based on the
1098 productivity and the water provision demand.

1099
1100 Finally, heat recovery and solar concentration were deemed as two possible approaches to
1101 enhance the freshwater production of such hybridization. Regarding the former, heat has to be
1102 redirected from the low-temperature permeate side to the high-temperature feed circulation in
1103 both DCMD and VMD, while the vapor-phase permeate side in VMD enables more possibilities.

1104 Furthermore, the production capacity of a small-scale DCMD-FPC system was shown to be
1105 incapable of supplying the drinking water demand for dispersed communities under a reasonable
1106 heat recovery ratio, while the VMD-FPC system exhibited a more relevant and controllable
1107 production. For the latter approach of solar concentration, productivities for both systems could
1108 be linearly improved with an increasing solar concentration ratio, displaying good potentials for
1109 application. However, 2 ~ 3 times the concentration ratio of the VMD-FPC was required by the
1110 DCMD-FPC to produce the same quantity of distillate, which means a much larger footprint and
1111 a higher module complexity of the DCMD-FPC module.

1112

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1118

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1120

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