Controlled hydrophobic modification of cellulose nanocrystals for tunable Pickering emulsions

William Dudefoi, Benjamin Dhuiège, Isabelle Capron, Gilles Sèbe

Controlled hydrophobic modification of cellulose nanocrystals for tunable Pickering emulsions

William Dudefoi[b], Benjamin Dhuiège[a], Isabelle Capron[b,*], Gilles Sèbe[a,*]

[a] Univ. Bordeaux, CNRS, Bordeaux INP, LCPO, UMR 5629, F-33600 Pessac, France

[b] INRAE, UR BIA, F-44316, Nantes, France

Abstract

Cellulose nanocrystals (CNC) are known to promote highly stable oil-in-water (O/W) Pickering emulsions. Our work consisted in producing CNCs with different hydrophilic/hydrophobic balance at their surface by chemical functionalization (acylation with model functional vinyl esters) and to identify the adequate conditions allowing the stabilization of direct O/W or inverse W/O Pickering emulsions. Whatever the surface degree of substitution (DS_{Surf}), CNCs grafted with linear acyl chains of 2 to 6 carbons led to the exclusive formation of direct O/W emulsions. Distinctively, both O/W and W/O emulsions could be obtained when the linear chain contained 8 carbons or more, at low and high DS_{Surf}, respectively. By adjusting the length of the grafted chain, DS_{Surf} and particles concentration, we were able to monitor the type of emulsion formed, droplet size and surface coverage at the oil/water interface.

1. Introduction

An emulsion is a system of dispersed droplets of one immiscible liquid in another, stabilized by an amphiphilic surface reactive agent composed by both a polar hydrophobic and a non-polar hydrophilic extremities. Emulsions are being used in a broad range of daily life products, such as in food industry, cosmetics, or pharmaceuticals, and in most cases, the surface active agents used are surfactants; i.e. chemical products. However, surfactants may be the cause of some undesirable adverse effects, such as skin irritation for the consumer, but also have negative impact on the environment (Belanger et al., 2006). As an alternative to chemical surfactants, emulsions can also be stabilized by the use of solid particles, forming so-called Pickering emulsions (Pickering, 1907; Arditty, Whitby, Binks, Schmitt, & Leal-Calderon, 2003). This type of emulsion also has the advantage of having an adsorption energy at the interface thousands times greater than for surfactants, leading to a better stability of the emulsion with the particles irreversibly anchored at the interface of the emulsion (Binks, 2002). Among the most interesting solid particles used for Pickering emulsions are cellulose nanocrystals (CNCs). Indeed, cellulose is a biodegradable biopolymer, main building block of trees and plants and composed of ordered crystalline regions interrupted by amorphous regions. The amorphous regions can be hydrolyzed using sulfuric acid, resulting in the production of rod-like nanocrystals decorated with sulfate half-ester groups at their surface (Habibi, Lucia, & Rojas, 2010; Moon, Martini, Nairn, Simonsen, & Youngblood, 2011). The use of unmodified CNCs as Pickering emulsion stabilizer has been first demonstrated in 2011 (Kalashnikova, Bizot, Cathala, & Capron, 2011). It was shown that unmodified CNCs dispersed in water, mixed with an apolar phase, such as hexadecane, can adsorb at the oil-water interface, preventing destabilization from coalescence for a very long period of more than one year. Small angle neutron scattering experiments carried out with a contrast variation method probed the arrangement of CNCs at the interface. These results showed that the (200)

crystalline plane of the CNCs directly interacts with the interface without deforming it (Cherhal, Cousin, & Capron, 2016). As a consequence, surface interactions occur between the CH of the CNCs and the alkyl chain of hexadecane after desorption of the aqueous layer. These performances in stabilizing oil-in-water (O/W) Pickering emulsions are reached through the formation a dense 2D interfacial network around the droplets (Kalashnikova, Bizot, Cathala, & Capron, 2012), with the possibility to control their size by varying the CNCs concentration (Capron, Rojas, & Bordes, 2017; Cherhal, Cousin, & Capron, 2016). This process generally requires the addition of salt to screen the repulsion between the negatively charged particles. In another approach, surface modification can also be carried out (Eyley & Thielemans, 2014; Habibi, 2014), to finely adjust the hydrophilic/hydrophobic balance at the surface of the CNCs. In that case, O/W (Sèbe, Ham-Pichavant, & Pecastaings, 2013; Werner, Schmitt, Sèbe, & Heroguez, 2017; Werner, Sèbe & Héroguez, 2018), water-in-oil (W/O) (Xhanari, Syverud, & Stenius, 2011; Lee, Blaker, Murakami, Heng, & Bismarck, 2014; Zhang, Tam, Wang, & Sèbe, 2018; Werner, Schmitt, Sèbe, & Héroguez, 2019) or double (Cunha, Mougel, Cathala, Berglund, & Capron, 2014) Pickering emulsions can be obtained, depending on the surface wettability of the particles.

In this work, a wide range of amphiphilic cellulose nanocrystals were prepared by acylation with model vinyl esters of various chain length and surface degree of substitution (DS_{Surf}), in order to i) investigate the impact of the surface functionalization on the properties of Pickering emulsions prepared from hexadecane, and ii) identify the adequate conditions allowing the stabilization of O/W or W/O emulsions.

2. Material and methods

2.1 Material

Cellulose nanocrystals (CNCs) were isolated by sulfuric acid treatment of wood pulp and purchased from the University of Maine. Vinyl esters (in braquets: nomenclature, degree of purity) were purchased from Sigma Aldrich or TCI suppliers and not further purified before use: vinyl acetate (VA, 99%, Sigma), vinyl propionate (VPro, 98%, Sigma), vinyl butyrate (VBut, 98%, TCI), vinyl hexanoate (VHex, 99%, TCI), vinyl n-octanoate (VOct, 99%, TCI), vinyl decanoate (VDec, 99%, TCI), vinyl laurate (VLau, 99%, TCI), Vinyl myristate (VMyr, 99%, TCI), vinyl palmitate (VPalm, 96%, TCI) and vinyl stearate (VSte, 95%, TCI). Potassium carbonate (K_2CO_3), dimethylsulfoxide (DMSO), tetrahydrofuran (THF), toluene, hexadecane, fluorescein, technical acetone and ethanol were purchased from Sigma Aldrich. DMSO and K_2CO_3 were systematically dried beforehand: on 5-Å molecular sieve for DMSO; in an oven at 50°C for K_2CO_3 (overnight).

2.2 Chemical functionalization of the CNCs

After weighing 2.5 g of CNCs and 1.0 g of K_2CO_3 in a double-necked 150 mL flask, 100 mL of dried DMSO was added and the solution was sonicated for 1 min (Bandelin, MS72 probe, 1.5 kJ). The volume of vinyl ester added was calculated for each treatment, to fit the molar ratio $N_{vinyl\ ester}/N_{OHs}$ = 3.75, with N_{OHs} corresponding to the number of OH groups available at the surface of the CNCs (estimated at 3.1 $mmol.g^{-1}$ in Brand, Pecastaings, & Sèbe, 2017). After introduction of the vinyl ester, the mixture was heated in an oil bath sustained at 80°C, and magnetically stirred at 250 rpm for 5h. The reaction medium was then sampled at different periods of time (10 min, 15 min, 30 min, 45 min, 1h, 2h, 3h, 4h or 5h). Each sample was quenched with THF, and then cooled down in a water bath until room temperature was reached. Any remaining reagent or organic by-products was removed by several successive washing/sedimentation steps using 1) THF, 2) ethanol and 3) acetone, and

with the help of a centrifuge. The modified particles were then dialyzed in water for two days using a 1 kDa regenerated cellulose membrane and changing the water bath two times a day, to remove the K_2CO_3 catalyst. Finally, the particles were re-dispersed by sonication and freeze-dried.

2.3 Fourier Transform Infrared Spectroscopy (FT-IR)

1-2 wt. % of modified CNC was grinded in a KBr matrix, then pressed under 200 bars for 5 min to form a pellet. The spectra were recorded on a Fourier Transform Infrared Bruker spectrometer in transmission mode. Spectra were recorded between 4000 and 400 cm^{-1}, with a resolution of 4 cm^{-1}, and using 64 scans. The spectra were assigned to the same baseline and normalized on the C-O stretching vibration of the glucopyranose ring of cellulose at 1060 cm^{-1}.

2.4 Solid-state ^{13}C CP-MAS NMR spectroscopy

^{13}C magic angle spinning (MAS) NMR measurements were performed on a 500 MHz Bruker Avance II NMR spectrometer WB (Wissembourg, France), working at frequency of 125.8 MHz (4 mm dual CPMAS (1H/BB)). Samples were placed into 4 mm Zirconia rotors (Cortecnet, Paris) of various volumes (70 to 90 µL), closed with a Kel-F cap, then spun at the magic angle frequency set to 10 kHz. All spectra were recorded using a cross polarization, CP, pulse sequence with a two-pulse phase modulation (TPPM) proton decoupling and with a recycling delay of 5 s at 298 K. An average of 2000 scans was collected for each spectrum and a Lorentzian filtering function of 3 Hz was applied. ^{13}C chemical shifts are reported relative to the glycine external reference, whose carbonyl group was set at 176.03 ppm.

2.5 Elaboration of the Pickering emulsions

CNCs grafted with alkyl linear chains varying in lengths (from C2 to C18) and surface degree of substitution (DS_{surf} = 0.04 to 0.66) were dispersed at the required concentration in

the continuous phase, being water or hexadecane according to the emulsion type. Two ml of the different Pickering emulsions were prepared, with a W/O ratio of 80/20 v/v for direct emulsions and 20/80 v/v for inverse emulsions. The amount of CNCs was adjusted to reach a concentration of 8 mg of modified CNC per ml of oil phase (i.e. 3.2 and 12.8 mg of CNCs for the 80/20 and 20/80 ratios, respectively). For direct emulsions, 0.4 mL of hexadecane was added to 1.6mL of aqueous suspension of modified CNCs containing 50 mM NaCl. For inverse emulsions, the modified CNCs were dispersed in hexadecane and 0.4 mL of water containing fluorescein and 50 mM NaCl was added. In both cases, the mixture was then sonicated with an ultrasonic device equipped with a dipping titanium probe (QSonica Q700, 150 J by alternating 2 s sonication with a 1 s standby for a total of 60 s).

2.6 Determination of the emulsion type using fluorescence microscopy

The emulsions were systematically visualized by transmission optical microscopy with fluorescence detection in order to determine the type of emulsion formed (direct or inverse). A total of 5 µL of the resulting Pickering emulsion was poured onto a slide with spacer and observed with a BX51 Olympus microscope.

2.7 Droplet size analyses with increasing concentrations of grafted CNCs

The droplet diameters distributions were measured by laser light scattering using a Horiba LA-960 particle size distribution analyzer (Kyoto, Japan) for the different modified CNCs at concentrations ranging from 0.4 to 16 mg of CNCs per ml of dispersed phase in the range 0.01–5000 µm. An analysis model was used with refractive index of 1.43 and 1.33 for hexadecane and water, respectively. Measurements were carried out on direct emulsions only. Depending on the samples, 50 to 200 µl of the emulsions were introduced into the cell in order to reach a transmittance around 95–97%. The alignment was performed before each measurement and data acquisition times were set as default. The measurements were systematically carried out in triplicate. The diameter was expressed as surface mean diameter

D(3,2) (Sauter diameter). The surface coverage (C) was determined from the ratio of theoretical maximum surface area susceptible to be covered by the particles Sp, and the total surface displayed by the radius of the oil droplets Sd (Kalashnikova, Bizot, Cathala, & Capron, 2011):

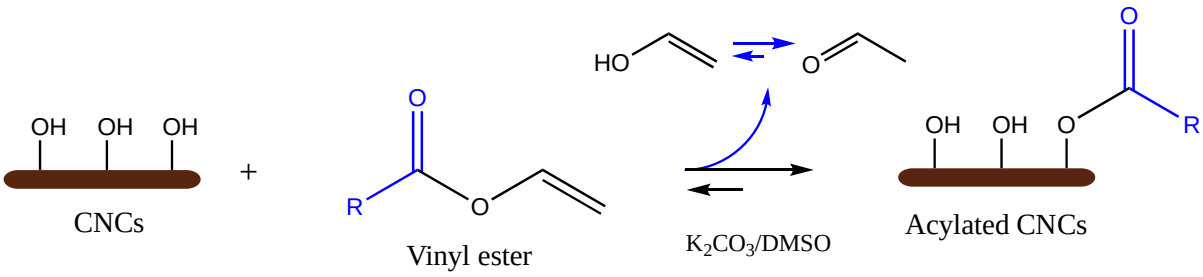
$$C = {S_p}/{S_d} = \frac{m_p D}{6h\rho V_{oil}} \qquad \text{Eq. 1}$$

where m_p is the mass of CNCs introduced per mL of the dispersed phase, D is the sauter mean diameter of the droplets (also called $D_{3,2}$), h is the thickness defined by AFM, ρ is the CNCs density (1.6 g/cm^3) and V_{oil} is the volume of oil included in the emulsion after centrifugation.

3. Results and discussion

3.1 Chemical modification of the CNCs

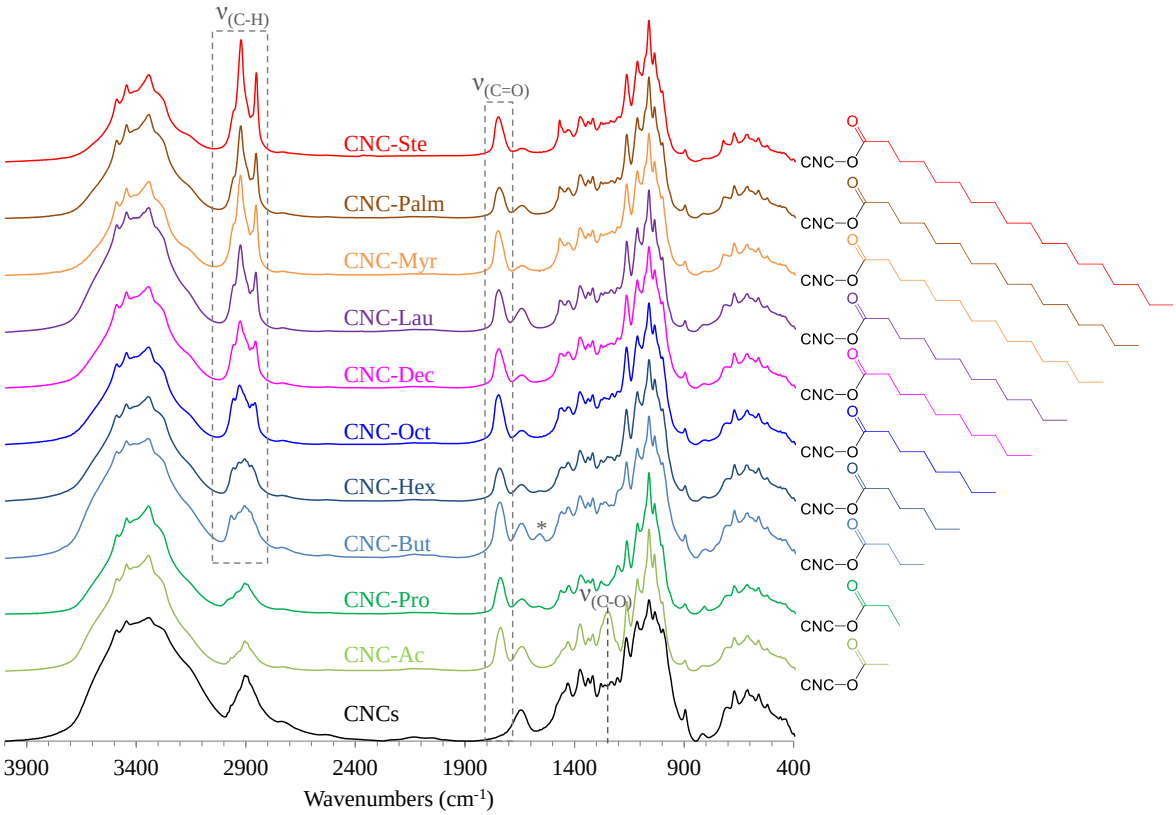
The cellulose nanocrystals (CNCs) used in this study were obtained by sulphuric acid hydrolysis of wood pulp. They consist of negatively charged rod-like particles (Zeta potential of –51 ± 6 mV) with dimensions of 110 ± 48 nm in length and 4.8 ± 1.1 nm in thickness as determined by atomic force microscopy (Brand, Pecastaings, & Sèbe, 2017; Dhuiège, Pecastaings, & Sèbe, 2019). The amount of hydroxyl groups at their surface was estimated at a value of 3.1 ± 0.1 mmol.g^{-1}, which corresponds to 16.7 % of the total number of OH groups found in the particle (Brand, Pecastaings, & Sèbe, 2017). From this material, amphiphilic CNCs were produced by substituting some of the hydrophilic surface hydroxyl groups by hydrophobic acyl moieties, through an acylation reaction based on the transesterification of vinyl esters and catalyzed by potassium carbonate (Fig. 1). During the reaction, the released vinyl alcohol tautomerizes quickly to acetaldehyde, shifting the equilibrium towards the formation of the acylated product (Brand, Pecastaings, & Sèbe, 2017). The hydrophilic/hydrophobic balance at the CNCs surface was then finely monitored by varying i) the chain length of the grafted acyl moieties (from C2 to C18) and ii) the reaction time.



R = $-CH_3$ (CNC-Ac/C2), $-CH_2CH_3$ (CNC-Pro/C3), $-CH_2CH_2CH_3$ (CNC-But/C4), $-CH_2[CH_2]_3-CH_3$ (CNC-Hex/C6), $-CH_2[CH_2]_5-CH_3$ (CNC-Oct/C8), $-CH_2[CH_2]_7CH_3$ (CNC-Dec/C10), $-CH_2[CH_2]_9CH_3$ (CNC-Lau/C12), $-CH_2[CH_2]_{11}CH_3$ (CNC-Myr/C14), $-CH_2[CH_2]_{13}CH_3$ (CNC-Palm/C16), $-CH_2[CH_2]_{15}CH_3$ (CNC-Ste/C18)

Fig. 1. General scheme for the surface acylation of the CNCs with vinyl esters of various chain lengths (in brackets: nomenclature of the sample/number of carbons in the corresponding grafted chain).

The efficiency of the reaction was confirmed by observing the characteristic vibrations and chemical shifts of the grafted acyl moieties in the FT-IR and ^{13}C CP-MAS NMR spectra of the modified material (Fig. 2 and 3, respectively). In the FT-IR spectra, the stretching vibration of the carbonyl bond emerges at 1740 cm^{-1} ($\nu_{C=O}$), while the C-H stretchings of the CH_2 and $-CH_3$ groups are observed at 2925 and 2850 cm^{-1}. The C-O stretching of the ester bond is also observed at 1230 cm^{-1} in the case of the acetylated sample (CNC-Ac).



184

185 **Fig. 2.** FTIR spectra of the unmodified and acylated CNCs after 5h of reaction. Traces of
186 remaining potassium carbonate catalyst were sometimes observed (*).

187 In the ^{13}C CP-MAS NMR spectra (Fig. 3), the carbons of cellulose resonate at 110 ppm
188 (C_1), 94 ppm (C_4 crystalline), 89 ppm (C_4 amorphous), 77-80 ppm (C_2, C_3 and C_5), 70 ppm
189 (C_6 crystalline) and 68 ppm (C_6 amorphous) (Attala, Gast, Sindorf, Bartuska, & Maciel,
190 1980), while the grafted moieties can be seen at 175 ppm (signal of the carbonyl) and in the
191 10-40 ppm region (signals of the methylene and methyl carbons). Whatever the sample, the
192 NMR cellulose pattern was not modified by the acylation treatment and the signals
193 corresponding to the crystalline domains retained their sharpness, which is consistent with a
194 grafting limited to the outer surface of the nanoparticles.

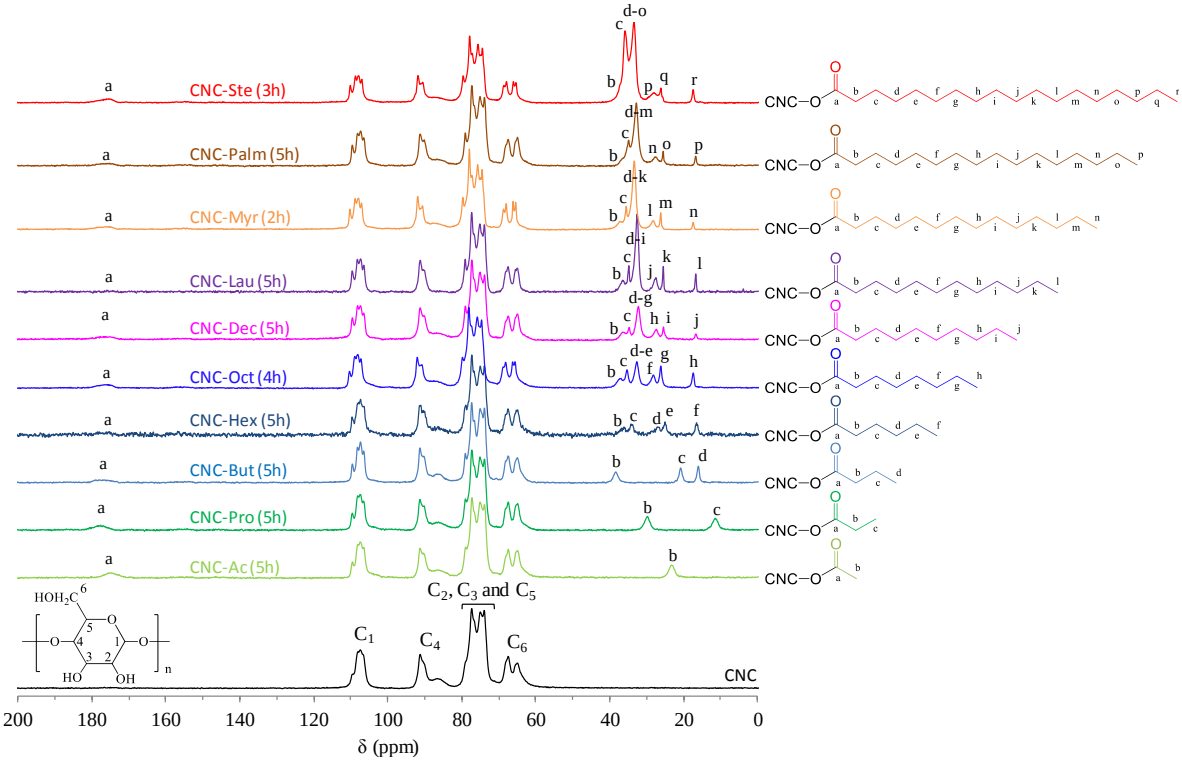


Fig. 3. ^{13}C solid-state CP-MAS NMR spectra of the unmodified and acylated CNCs, with the assignment of the characteristic signals (reaction time in brackets).

The average degree of substitution (DS) per anhydroglucose unit (AGU) was deduced from the NMR spectra, by comparing the average integral per carbon for the grafted chain (between 0 and 40 ppm and at 180 ppm), with the integral of the C_1 carbon of cellulose used as reference:

$$DS = \frac{\text{Sum of the intregrals for the grafted chain/number of carbons in the chain}}{\text{Integral of the C1 of cellulose}} \quad \text{Eq. 2}$$

This DS was later converted into a surface degree of substitution (DS_{Surf}), knowing that only 16.7 % of the hydroxyl groups – i.e. 33.4 % of the total AGU – are located at the surface of the CNCs and hence accessible for a chemical reaction (Brand, Pecastaings, & Sèbe, 2017):

$$DS_{Surf} = DS/0.334. \quad \text{Eq. 3}$$

As only 1.5 OH per AGU (in average) is truly accessible at the surface (Eyley, & Thielemans, 2014), the maximum value that the DS_{Surf} can reach is 1.5 (which is attained when all the surface OH groups are substituted). The extent of surface grafting over time was then quantified for each treatment, by plotting the DS_{Surf} as a function of reaction time (Fig. 4).

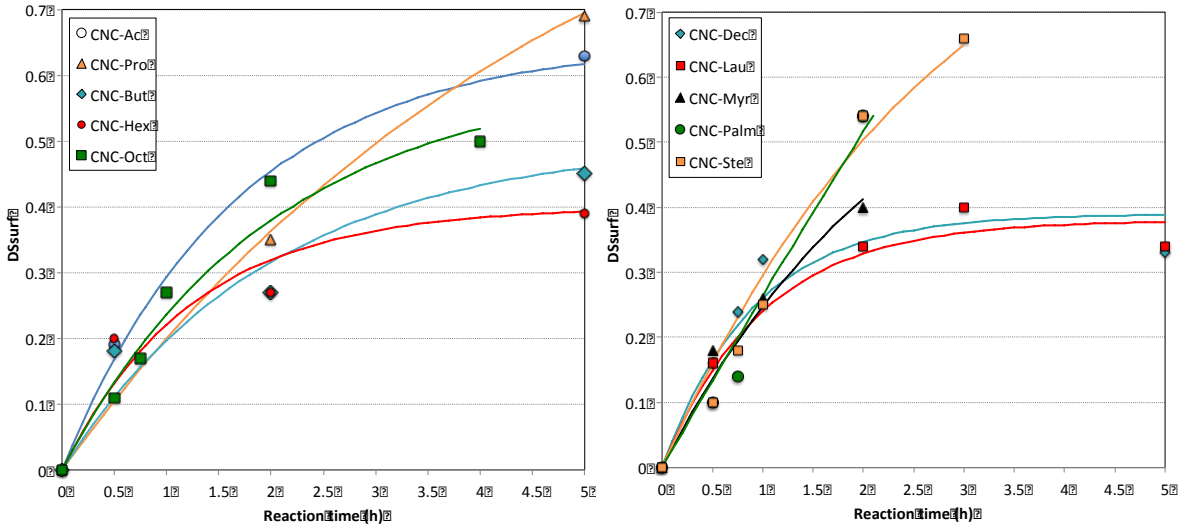


Fig. 4. Evolution of the DS_{Surf} as a function of reaction time for the different acylated samples.

The reaction kinetics were quite similar for all treatments during the first hour (DS_{Surf} = 0.2-0.3 after 1 h), but discrepancies were increasingly noted as the reactions further proceeded. In most cases, a DS_{Surf} of 0.4-0.5 was reached after 2 to 5 h of reaction, but a number of samples displayed a higher modification rate, namely CNC-Ac, CNC-Pro, CNC-Palm and CNC-Ste (DS_{Surf} = 0.5 to 0.7). The higher reactivity of the corresponding vinyl esters has not been explained at this stage of the study (the reactivity is obviously not simply related to the bulkiness of the reactant). The O_3 hydroxyl being involved in the strong O_3-H$\cdots$$O_5$ intrachain hydrogen bond (Eyley, & Thielemans, 2014), the reactivity of the hydroxyl groups at the cellulose surface should decrease in the order $O_6 \geq O_2 > O_3$, as was observed in the case of the heterogeneous acetylation of cellulose (Goodlett, Dougherty, & Patton, 1971).

Due to bulkiness of most vinyl esters, it is also likely that only the O_6 hydroxyl is modified when the DS_{Surf} is below 0.5 (i.e. when less than one third of the surface OH groups are modified). Above this value, some O_2 hydroxyls should start to be acylated. In any case, the different treatments performed here allowed obtaining a wide variety of amphiphilic CNCs grafted with chains of various lengths (from C2 to C18) and various surface degree of substitution (DS_{surf} = 0.1 to 0.7), which were used as model Pickering particles in subsequent investigations.

3.2 Impact of grafting on direct O/W emulsions

In a first set of experiments, various emulsions were prepared by sonicating the CNCs aqueous suspensions with hexadecane, at a W/O volume ratio of 80/20, and observed after 24h. The type of emulsion (O/W or W/O) was inferred by pouring a drop of emulsion in a volume of either hexadecane or water (Fig S1 in Supplementary Material). In these conditions, stable O/W emulsions were systematically obtained with the particles grafted with short acyl chains (C2 to C6), regardless of the DS_{surf}. For chains with more than 8 carbons, dense and resistant O/W emulsions could be produced only when the DS_{surf} was ≤ 0.15, the emulsions being unstable at higher DS_{surf} (Fig. 5). This behavior is directly linked to the high hydrophobicity of the acyl groups of 8 carbons or more, which confer an excessive hydrophobicity to the particles when $DS_{surf} > 0.15$, leading to unstable direct O/W emulsions (Binks, & Lumsdon, 2000).

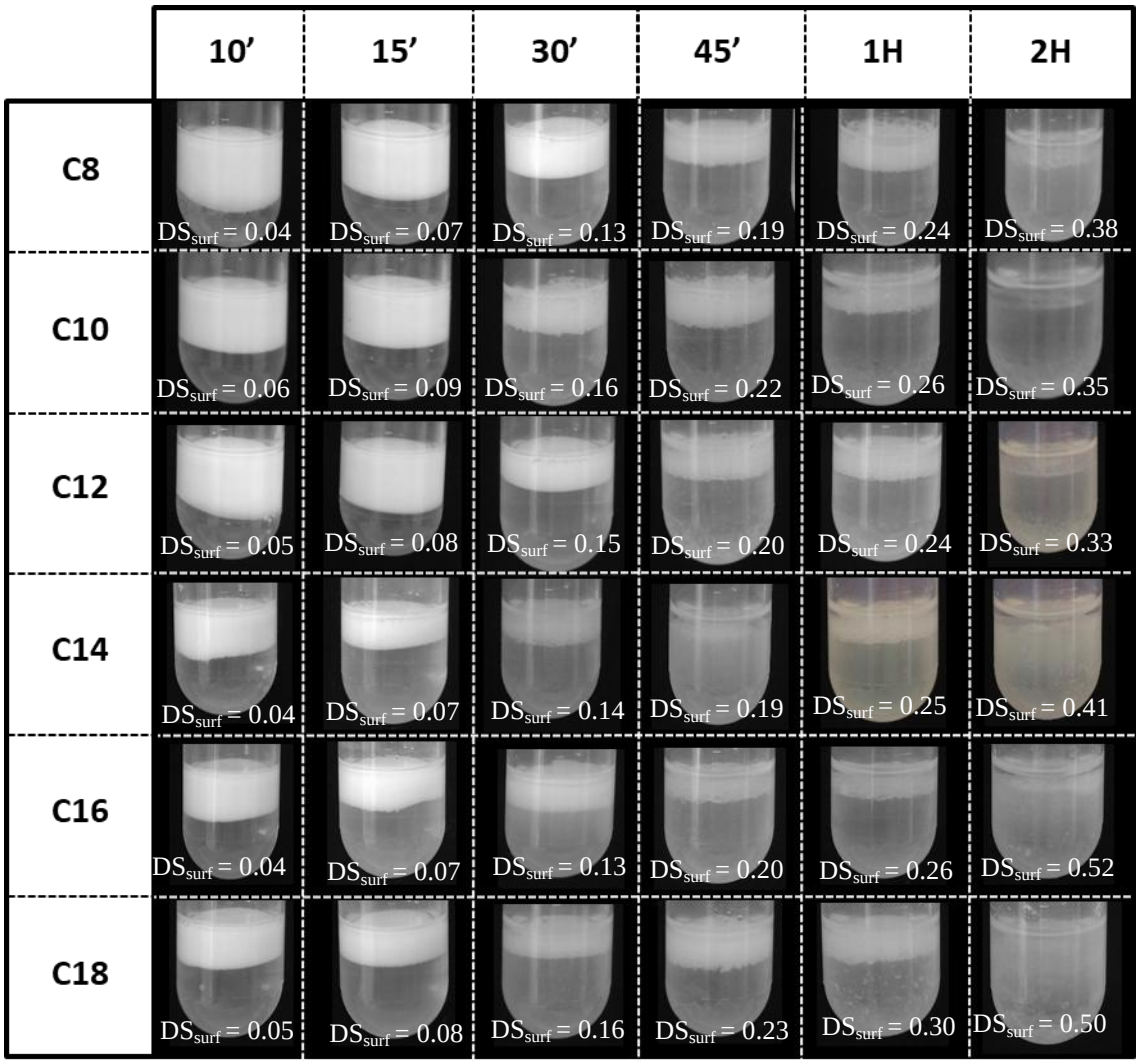


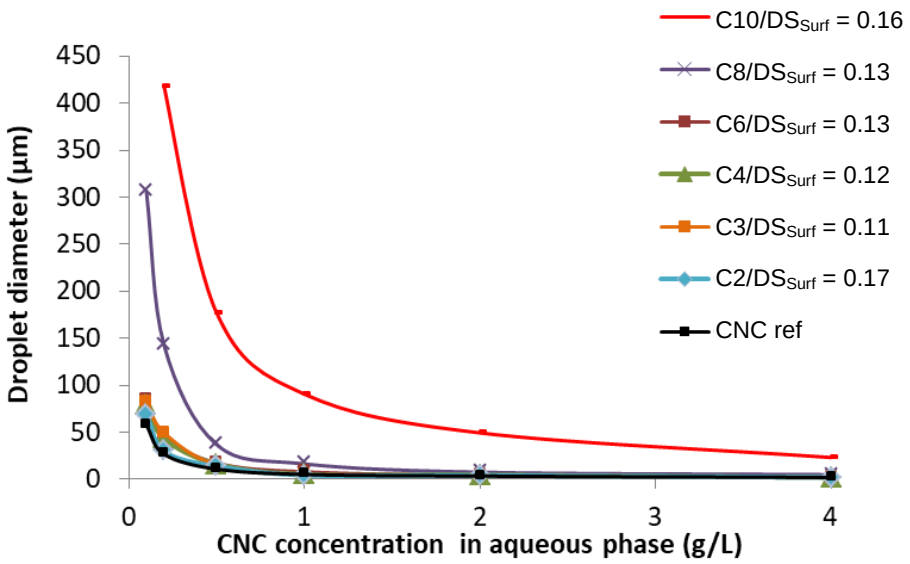
Fig. 5. Pictures of the hexadecane-in-water emulsions stabilized by the CNCs modified with long acyl chains (C8 to C18) and various DS_{surf} (obtained after 10’, 15’, 30’, 45’, 1h and 2h of reaction), observed after 24h of storage at room temperature (W/O ratio = 80/20 v/v). The DS_{surf} estimated from the trend curves in Fig. 4 are also reported.

The CNCs concentration dependence on the average drop diameter of the direct O/W emulsions was determined for the different CNC samples. When the concentration of native CNCs was increased from 0.1 to 4 g/L in the aqueous phase, the droplet diameter of the emulsion decreased until reaching a plateau at 3µm (Fig. 6). This behavior is in accordance with the so-called limited coalescence process (Arditty, Whitby, Binks, & Leam-Calderon, 2003). After sonication, the uncovered droplets merge; this coalescence is stopped when the

minimum drop coverage required for stability is reached. The drop diameter depends then directly on the amount of particle available to stabilize the interface. The second part of the curve presents a plateau. It occurs when the drop diameter cannot decrease anymore; the system will evolve at constant interface area. In many systems, the particles are released in the continuous phase but rods can move at the interface and orientate into parallel bundles leading to densification of the CNC organization (Cherhal, Cousin, & Capron, 2016). The same profile was observed in Fig. 6 for CNCs modified with short acyl chains (from C2 to C6), but differences were noted with the CNCs grafted with longer hydrophobic chains (≥ C8). For these samples, a higher droplet diameter is observed at a given particles concentration and degree of substitution, as well as a shift in the concentration needed to reach the plateau at 3µm (Fig. 6). The limited coalescence domain is controlled by the irreversible adsorption of particles at the interface. When the surface is totally covered, a plateau in drop diameter is observed. The limit concentration between these two domains was evaluated at 0.5 g/L for unmodified CNCs, 2 g/L for the CNCs grafted with C2 to C8, and more than 4 g/L for those grafted with C10. Hence, there is a clear impact of chain length on the stabilization process for direct O/W emulsions, which could result from an increasing aggregation of the CNCs at the droplets surface, through hydrophobic interactions, leading to a decrease of the total surface available for the stabilization of the O/W interface.

A similar result was obtained when the hydrophobicity at the surface of the particles was progressively increased with a single acyl group, through the incrementation of the DS_{Surf} (Fig. 7a). To further evaluate the organization of the particles at the interface, the coverage percentage (C) for the various samples was estimated with Eq. 1, from the slope of the reciprocal surface-average diameter (1/D) plotted as a function of CNCs concentration (for direct emulsions, and expressed in mg per ml of oil phase) (Fig. 7b). The coverage ratio decreases progressively from a fully covered surface with a value of 107 %, to a slightly

282 covered surface with a value of 27% when the DS_{surf} increased from 0 to 0.19 respectively,
283 confirming the enhanced aggregation state of the CNCs at higher modification rate. A similar
284 aggregation has been reported in the literature after reduction of the electrostatic repulsions
285 between native CNCs, through desulfatation of their surface (Cherhal, Cousin, & Capron,
286 2016), leading to a more porous and heterogeneous droplet surface.



287

288 **Fig. 6.** Evolution of the direct emulsion droplet diameter with increasing particles
289 concentration for CNCs grafted with acyl chains of C2 to C10, with a DS_{Surf} in the same order
290 of magnitude (the DS_{surf} was estimated from the trend curves in Fig. 4).

291

292

293

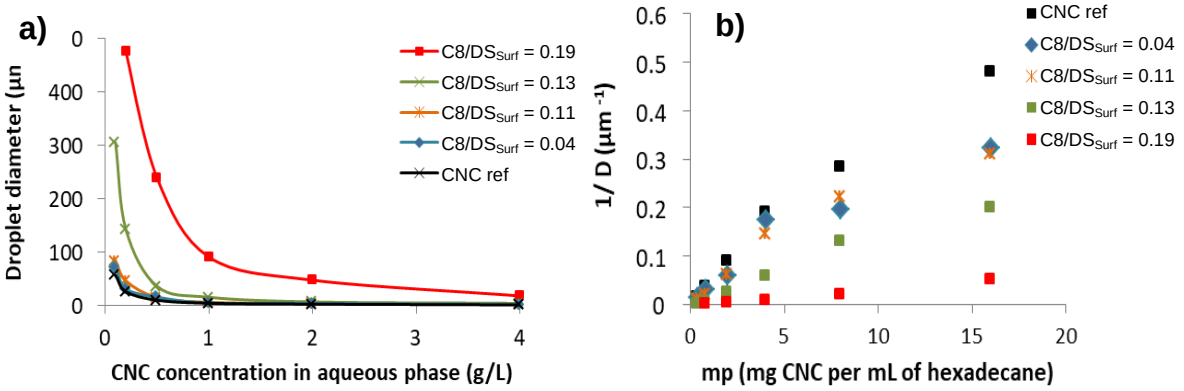


Fig. 7. a) Evolution of the direct emulsion droplet diameter with increasing particles concentration for the CNCs grafted with C8 acyl chains of various DS_{Surf} (the DS_{surf} was estimated from the trend curves in Fig. 4). **b)** Inverse D(3,2) diameter plotted as a function of particles concentration (expressed in mass of CNCs per mL of hexadecane).

All the O/W emulsions were further confirmed by staining the water continuous phase with fluorescein (appearing in green) and visualizing the droplets with a fluorescence microscope. Some typical results are exemplified in Fig. 8. The CNCs grafted with short acyl chains (C2 to C6, regardless of the DS_{surf}), or long chains and low DS_{surf} (C8 to C18 and $DS_{surf} \leq 0.15$), led to O/W emulsions with small oil droplets (about 3 µm) and low size distributions in the images (Fig. 8A-B). The oil droplets that are creaming can be seen in black in contact with the slide in Fig. 8B, while the continuous phase appears clearly in green.

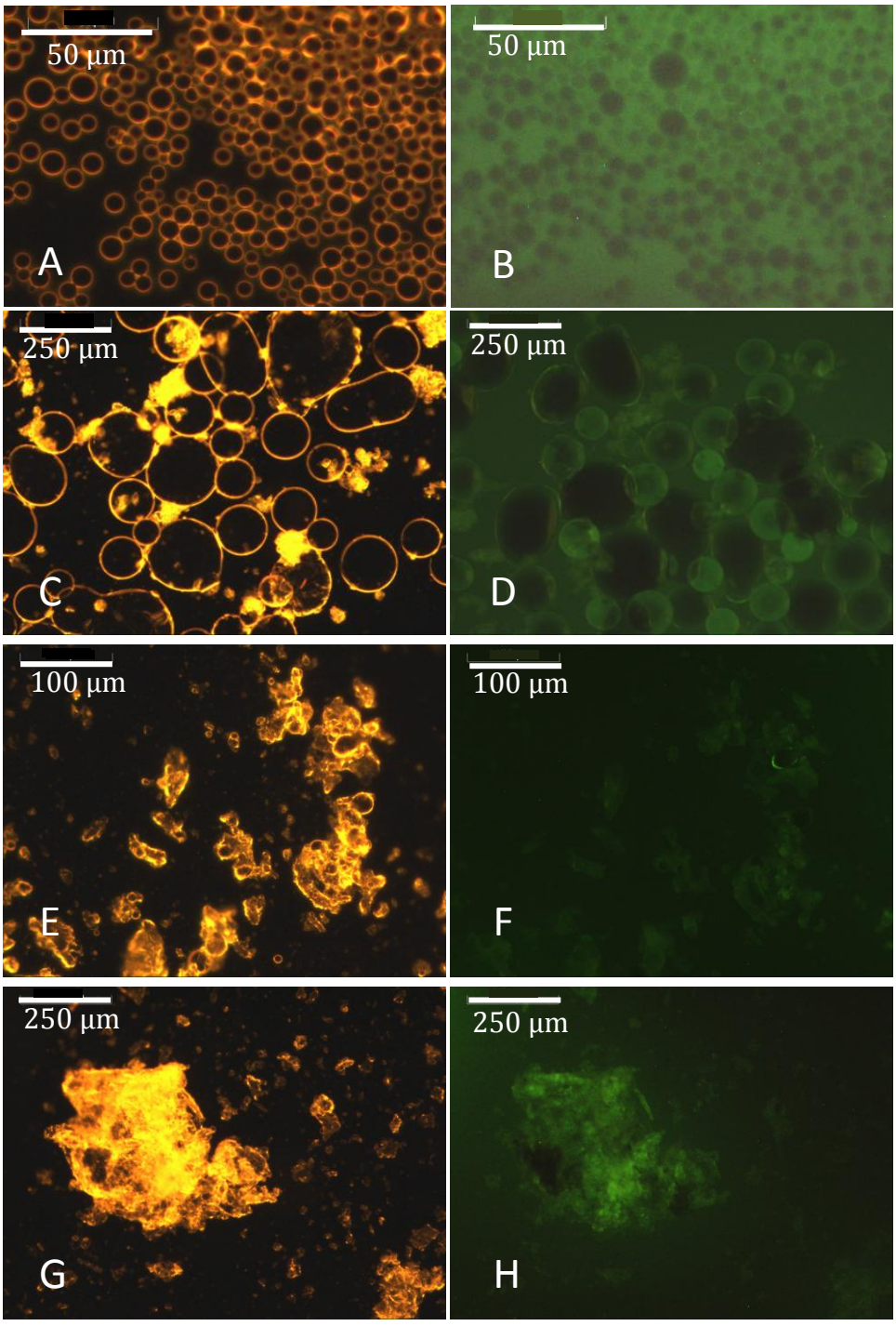


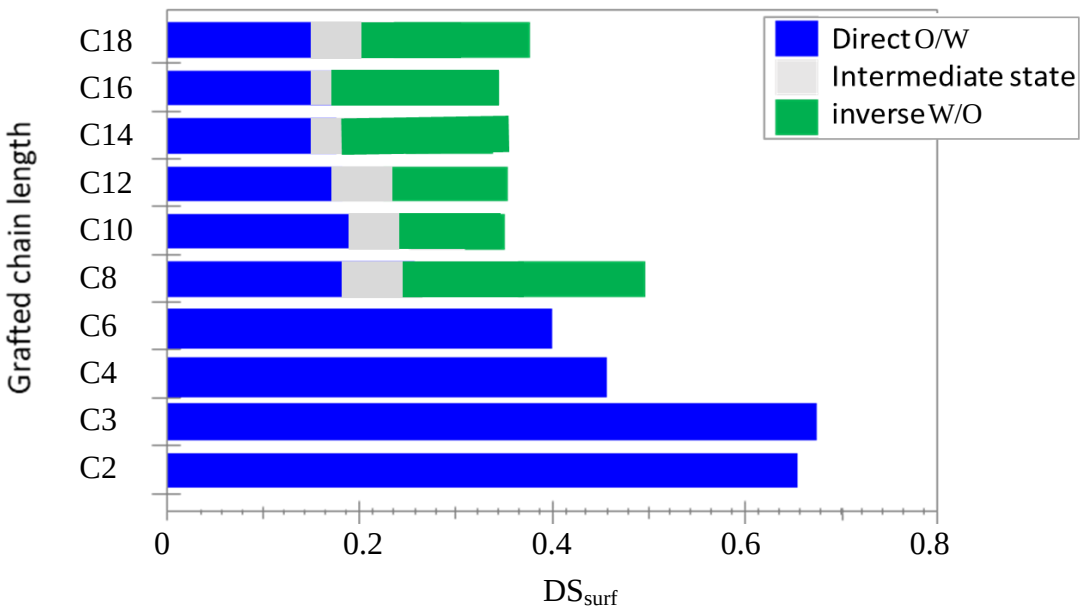
Fig. 8. Optical (A, C, E, G) and fluorescence (B, D, F, H) microscopy images of a typical (A-B) direct O/W emulsion (here: C8-graft with DS_{surf} = 0.13), (C-D) intermediate state where oil droplets co-exist with aggregated water droplets (here: C8-graft with DS_{surf} = 0.24), and (E-H) inverse W/O emulsion (here: C8-graft with DS_{surf} = 0.38).

3.3 Inverse W/O emulsions

In a second set of experiments, emulsions were prepared by dispersing the hydrophobized CNCs in the oil phase, then sonicating the suspension with a lower volume of water (W/O = 20/80 v/v), with the objective to produce inverse emulsions. Here again, the type of emulsion was inferred by observing what happens when a drop of emulsion was added to a volume of either hexadecane or water (Fig. S1 in Supplementary Material). In these conditions, only the particles grafted with acyl chains of 8 carbons or more produced inverse W/O emulsions, and only when the particles surface was sufficiently modified (i.e. when DS_{surf} was above 0.2-0.3, depending on the acyl chain). The formation of W/O emulsions with the longer acyl chains was further confirmed by fluorescence microscopy, the water encapsulated in the oil phase appearing in the form of various irregular structures that may correspond to coalesced water droplets (Fig. 8E-G and Fig. S2 in Supplementary Material). It has been indeed shown in a comprehensive study by Simovic & Prestidge 2004, that for hydrophobic nanoparticles coated droplets, the limited coalescence process can result in a flocculated network composed of coalesced droplets of irregular shapes. At intermediate DS_{surf}, the microscopy images revealed an intermediate state, where creaming oil droplets seem to co-exist with aggregated water droplets (Fig. 8C-D). These microscopic observations are quite puzzling, but could be related to the co-existence of CNCs of low and high acylation levels when the reaction time is intermediate; the initial cellulosic substrate is indeed quite variable and the calculated DS_{surf} represents only an average estimate. Besides, the oil droplets observed in this intermediate state are larger than those of the direct emulsions, confirming that less low-acylated particles are available to stabilize this interface, some of the particles (the more acylated ones) being probably consumed by the interface of the water droplets seen in the microscopic images.

The different types of emulsions obtained in our experiments are summarized in Fig. 9, as a function of chain length (C2 to C18) and surface degree of substitution (DS_{surf} = 0.04 to

0.69). These results show that, with the DS_{surf} obtained in our experimental conditions (DS_{surf} $\leq$ 0,7), it is never possible to produce inverse emulsions with short alkyl chains (C2 to C6). In the better case, less than 50% of the surface OH groups are substituted (the theoretical maximum DS_{surf} being 1.5), which is too low to impart a sufficient hydrophobicity to the CNCs surface and stabilize W/O emulsions with such short lengths. Distinctively, all the particles grafted with more than 8 carbons allow the stabilization of both direct and inverse emulsions, depending on the level of grafting. If O/W emulsions necessitate low DS_{surf}, the stabilization of W/O emulsions is possible only when the CNCs surface is sufficiently modified by the long chains. Beyond 8 carbons, inverse emulsions are indeed systematically produced if $DS_{surf} > 0.25$, i.e. when about one third of the surface OH groups are substituted. However, long chains will tend to entangle via hydrophobic interactions, leading to large particles assemblies and low surface coverage of the droplets at the interface; more material is consequently required for an efficient surface stabilization in that case. It can be noted that similar results were obtained when the hexadecane oil was replaced by toluene (results not shown).



358

359 **Fig. 9.** Type of emulsion obtained in our experimental conditions as a function of DS_{Surf} and
360 number of carbons in the grafted chain. Direct and inverse emulsions are obtained with W/O
361 = 80/20 and 20/80 v/v, respectively. The intermediate state corresponds to a situation where
362 both oil and water droplets are present in the medium according to the microscopic
363 observations.

4. Conclusions

This work investigates the potential of surface acylation as a tool to monitor the properties of Pickering emulsions stabilized by cellulose nanocrystals (CNCs), through the control of the grafted chain length and grafting level. Amphiphilic CNCs grafted with acyl groups of various chain lengths and surface degree of substitution (DS_{surf}) were produced, through an acylation reaction based on the transesterification of vinyl esters. The grafting was confirmed by FT-IR and ^{13}C CP-MAS NMR spectroscopy, and quantified by the calculation of the surface degree of substitution (DS_{surf}) obtained from the NMR spectra. Whatever the DS_{Surf}, CNCs grafted with linear acyl chains of 2 to 6 carbons led to the exclusive formation of direct O/W emulsions with hexadecane. When the linear chain contained 8 carbons or more, both O/W and W/O emulsions could be obtained at low and high DS_{Surf}, respectively. The inverse W/O emulsions could be stabilized only when at least one third of the surface OH groups were substituted. At intermediate DS_{surf}, the microscopic investigations revealed the existence of an intermediate situation where both oil and water droplets seem to co-exist, which could be related to the variability of the acylation process (co-existence of CNCs of low and high acylation levels). The chain length and DS_{Surf} had a clear impact on the stabilization process for direct O/W emulsions, larger droplets being obtained for a given particles concentration at higher chain length and DS_{Surf}. This was assigned to an increasing aggregation of the CNCs at the droplets surface, through hydrophobic interactions, leading to a decrease of the total surface available for the stabilization of the O/W interface. As a consequence, the surface coverage of the emulsion droplets is significantly varied, which should have an impact on the permeability of the Pickering capsules in delivery applications. In any case, we were able to monitor the type of emulsion formed, droplet size and surface coverage at the oil/water interface, by adjusting the length of the grafted chain, DS_{Surf}, and particles concentration.

Acknowledgements

This work was financially supported by INCREASE among the project BiCoM. The authors are grateful to BIBS platform. We also thank Xavier Falourd (BIBS plateform INRAE-Nantes) and Céline Moreau (BIA-NANO INRAE-Nantes) for degree of substitution analysis using CP-MASS NMR.

Supplementary material

Supplementary material related to this article can be found in the online version.

References

Arditty, S., Whitby, C. P., Binks, B. P., Schmitt, V., & Leal-Calderon, F. (2003). Some general features of limited coalescence in solid-stabilized emulsions. *European Physical Journal E,* 11(3), 273-281.

Attala, R. H., Gast, J. C., Sindorf, D. W., Bartuska, V. J., & Maciel, G. E. (1980). Carbon-13 NMR spectra of cellulose polymorphs. *Journal of the American Chemical Soc*iety, 102 (9), 3249-3251.

Belanger, S. E., Dorn, P. B., Toy, R., Boeije, G., Marshall, S. J., Wind, T., Van Compernolle R., & Zeller, D. (2006). Aquatic risk assessment of alcohol ethoxylates in North America and Europe. *Ecotoxicology and Environmental Safety,* 64(1), 85-99.

Binks, B. P., & Lumsdon, S. O. (2000). Influence of Particle Wettability on the Type and Stability of Surfactant-Free Emulsions. Langmuir, 16(23), 8622-8631

Binks, B. P. (2002). Macroporous silica from solid-stabilized emulsion templates. *Advanced Materials,* 14(24), 1824-1827.

411 Brand, J., Pecastaings, G., Sèbe, G. (2017). A versatile method for the surface tailoring of
412 cellulose nanocrystal building blocks by acylation with functional vinyl esters.
413 *Carbohydrate Polymers*, 169, 189-197.

414 Capron, I., Rojas, O. J., & Bordes, R. (2017). Behavior of nanocelluloses at interfaces.
415 *Current opinion in colloid and interface science*, 29, 83-95.

416 Cherhal, F., Cousin, F., & Capron, I. (2016). Structural Description of the Interface of
417 Pickering Emulsions Stabilized by Cellulose Nanocrystals. *Biomacromolecules*, 17(2),
418 496-502.

419 Cunha, A. G., Mougel, J.-B., Cathala, B., Berglund, L. A., & Capron, I. (2014). Preparation of
420 Double Pickering Emulsions Stabilized by Chemically Tailored Nanocelluloses.
421 *Langmuir*, 30(31), 9327-9335.

422 Dhuiège, B., Pecastaings, G., Sèbe, G. (2019). A Sustainable approach for the Direct
423 Functionalization of Cellulose Nanocrystals Dispersed in Water by Transesterification of
424 Vinyl Acetate. *ACS Sustainable Chemistry & Engineering*, 7, 187-196.

425 Eyley, S., & Thielemans, W. (2014). Surface modification of cellulose nanocrystals.
426 *Nanoscale*, 6(14), 7764-7779.

427 Goodlett, V. W., Dougherty, J. T., Patton, H. W. (1971). Characterization of cellulose acetates
428 by nuclear magnetic resonance. *Journal of Polymer Science Part A-1: Polymer*
429 *Chemistry*, 9, 155–161.

430 Habibi, Y. (2014). Key advances in the chemical modification of nanocelluloses. *Chem Soc*
431 *Rev*, 43, 1519-1542.

432 Habibi, Y., Lucia, L. A., & Rojas, O. J. (2010). Cellulose Nanocrystals: Chemistry, Self-
433 Assembly, and Applications. *Chemical Reviews*, 110(6), 3479-3500.

Kalashnikova, I., Bizot, H., Cathala, B., & Capron, I. (2011). New Pickering Emulsions Stabilized by Bacterial Cellulose Nanocrystals. *Langmuir*, 27(12), 7471-7479.

Kalashnikova, I., Bizot, H., Cathala, B., & Capron, I. (2012). Modulation of Cellulose Nanocrystals Amphiphilic Properties to Stabilize Oil/Water Interface. *Biomacromolecules*, 13(1), 267-275.

Lee, K.-Y., Blaker, J. J., Murakami, R., Heng, J. Y. Y., Bismarck, A. (2014). Phase Behavior of Medium and High Internal Phase Water-in-Oil Emulsions Stabilized Solely by Hydrophobized Bacterial Cellulose Nanofibrils, *Langmuir*, 30 (2), 452−460.

Moon, R. J., Martini, A., Nairn, J., Simonsen, J., & Youngblood, J. (2011). Cellulose nanomaterials review: structure, properties and nanocomposites. *Chemical Society Reviews*, 40(7), 3941-3994.

Pickering, S. U. (1907). Emulsions. *Journal of Chemical society* 91, 2001-2021.

Sèbe, G., Ham-Pichavant, F., Pecastaings, G. (2013). Dispersibilityand Emulsion-Stabilizing Effect of Cellulose Nanowhiskers Esterified by Vinyl Acetate and Vinyl Cinnamate. *Biomacromolecules*, 14 (8), 2937−2944.

Simovitc, S., Prestidge, C. A. (2004). Nanoparticles of varying hydrophobicity at the emulsion droplet-water interface: adsorption and coalescence stability. *Langmuir*, 20, 8357-8365.

Werner, A., Schmitt, V., Sèbe, G., Heroguez, V. (2017). Synthesis of surfactant-free micro- and nanolatexes from Pickering emulsions stabilized by acetylated cellulose nanocrystals. *Polymer Chemistry*, 17, 8 (39), 6064−6072.

Werner, A., Sèbe, G., Héroguez, V. (2018). A new strategy to elaborate polymer composites via Pickering emulsion polymerization of a wide range of monomers. *Polymer Chemistry*, 9, 5043-5050.

Werner, A., Schmitt, V., Sèbe, G., Héroguez, V. (2019). Convenient synthesis of hybrid polymer materials by AGET-ATRP polymerization of Pickering emulsions stabilized by cellulose nanocrystals grafted with reactive moieties. *Biomacromolecules*, 20, 490-501.

Xhanari, K., Syverud, K., Stenius, P. (2011). Emulsions stabilized by microfibrillated cellulose: The effect of hydrophobization, concentration and o/w ratio, *Journal of Dispersion Science & Technology*. 32 (3) 447– 452.

Zhang, Z., Tam, K. C., Wang, X., Sèbe, G. (2018). Inverse Pickering emulsions stabilized by cinnamate modified cellulose nanocrystals as templates to prepare silica colloidosomes. *ACS Sustainable Chemistry & Engineering*, 6, 2583-2590.