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## Evolution of the ultrastructure and polysaccharide composition of flax fibres over time: When history meets science

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1 **Evolution of the ultrastructure and polysaccharide composition of flax fibres over time:**  
2 **when history meets science**

3

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9 **Table of contents**

10

11	<b>Abstract</b> .....	2
12	<b>1. Introduction</b> .....	2
13	<b>2. Flax stem organization and plant growth</b> .....	4
14	2.1. Tissues and roles: an overview of the flax stem.....	4
15	2.2. Flax: a plant with a fast growth.....	6
16	2.3. Fibre organisation and properties.....	7
17	2.3.1 <i>General information on flax fibres</i> .....	7
18	2.3.2 <i>Hierarchical structure and ultrastructure of the fibre</i> .....	7
19	2.3.3 <i>Middle lamella: the adhesive between fibres</i> .....	10
20	2.3.4 <i>Kink-bands: defects along the fibres that influence the mechanical properties of modern</i>	
21	<i>and historical objects</i> .....	11
22	2.3.5 <i>Small and strong: the mechanical properties of flax fibres</i> .....	11
23	<b>3. Flax through the millennia in Europe and Near East</b> .....	12
24	3.1. Evolution of the flax variety and geographical localization in Europe and the Near East ....	12
25	3.2. Ancient cultivation and some extraction methods .....	18
26	3.3. Actual sowing, production, extraction methods and application .....	26
27	<b>4. The degradation of flax fibres: problems in modern and ancient objects</b> .....	30
28	4.1. Two main factors of the flax fibre degradation process: water and temperature .....	31
29	4.2. Biodegradation, light and pollution: other degradation mechanisms linked with water and	
30	temperature .....	32
31		
32	<b>5. Characterization techniques to study ancient and modern flax fibres</b> .....	35
33	5.1. Morphological analysis.....	36
34	5.2. Study of parietal composition .....	37

35	5.3. Ultrastructural modifications .....	39
36	5.4. Multiscale estimation of mechanical properties.....	40
37	5.5. Dating .....	41
38	5.6. Towards cutting-edge techniques .....	42
39	<b>6. Summary and conclusions</b> .....	44
40	<b>References</b> .....	46

41

42

43 **Abstract**

44 Flax fibres have been used by humans for approximately 10,000 years. With time, the geographic  
45 area of production and cultivation has changed, as have the applications of flax fibres; from clothing  
46 to sails and paintings from antiquity, to automotive, fashion, and design applications in the  
47 contemporary era. The degradation process of flax fibres is the same for both ancient and modern  
48 objects made from this polysaccharidic material. This review, focusing on the cultural heritage field,  
49 after a brief description of flax plants and fibres, retraces the history of their use through Europe and  
50 the Near East, and discusses the evolution of extraction methods with human progress. Furthermore,  
51 the most important mechanisms of flax fibre degradation and the characterisation techniques  
52 currently in use are described. This study highlights the constructive interchange between  
53 engineering and cultural heritage that can be realised through a continuous comparison of antiquity  
54 and the contemporary era.

55

56 **Keywords:** flax fibres; cell wall; polysaccharides; cellulose; art; ageing

57

58 **1. Introduction**

59 Textiles are important inventions in human history, and the use of plant fibres, such as flax and  
60 hemp, have been used to produce not only clothes but also sails in sailing ships, flags, carpets or  
61 canvases, which are considered cultural heritage. Several of the first houses built by ancient  
62 civilisations were made with clay and straw in the form of mud bricks, which can be considered as  
63 the first biocomposite material in building construction. The use of mud bricks persists even today in  
64 several African countries as well as in India. Currently, there is renewed interest in the *know-how* and  
65 technologies of plant fibre composites for use in the material construction, automotive, sport and  
66 design industries as ecological, sustainable and cost-effective alternatives to common synthetic  
67 materials. This discovery and rediscovery of cellulosic fibres exhibits continuity in terms of

68 technological progress. Consequently, an active exchange can be established between the  
69 engineering and cultural heritage to resolve problems in both domains and supplement the missing  
70 information, such as that of the evolution of degradation mechanisms.

71 *Linum usitatissimum L.*, which is the scientific name of the common flax plant, is derived from the  
72 Latin word “*usitatus*”, which means “commonly used” (McDill et al., 2009). The Latin name of this  
73 plant highlights the importance of this plant throughout the history of civilisation. Flax is one of the  
74 oldest domesticated plants (Van Zeist & Bakker-Heeres, 1975) because its oil and fibres can be  
75 exploited, and it has been fundamental in both ancient and modern times to create clothes and  
76 objects. Despite the continuity of its plantation and application from the ancient era to the  
77 contemporary era, the cultivation and fibre extraction methods have been improved, and the plant  
78 morphology has evolved. Currently, textile flax is mainly cultivated in Western Europe; however, for  
79 thousands of years, this plant has been cultivated from the Mediterranean region to India, as well as  
80 in the Fertile Crescent area. The habitat of this plant varies from moderately cold to moderately  
81 warm regions (Yu, 2015), which is an adaptive advantage contributing to its widespread use.

82 Through human selection, flax plants have been optimised to obtain two main types of flax, the  
83 former suitable to produce linseed oil and the latter for fibres. In this context, several morphological  
84 characteristics of this plant have been modified. For example, the types of flax used to prepare fibres  
85 are taller with smaller capsules and fewer branches than those used to extract linseed oil (Yu, 2015).

86 Every year, new varieties of flax are commercialised, and the fibre yield and behaviour of each  
87 variety, as related to biotic (fungi, insects) or abiotic (wind, drought) stresses, are evaluated on an  
88 average of one to four years (ARVALIS Institut du végétal, 2019; Goudenhoofft et al., 2017).  
89 Therefore, this plant undergoes substantial changes annually, which accentuates the difference  
90 between the linseed and fibre producing varieties and between the ancient and modern varieties.

91 To understand the evolution of this plant and its fibres in terms of morphology, cultivation and  
92 extraction methods, as well as the geographical areas involved and current application in different  
93 fields, the historical aspects must be considered.

94 Archaeological artefacts and historical objects can highlight the origin and use of flax and its fibres  
95 and explain the evolution of human interest in this plant, the reasons for the survival of the artefacts  
96 made from this organic material for several millennia and the type of techniques that can be used to  
97 study ancient and modern objects to compare them, describe their structure and quantify their  
98 quality.

99 Considering these aspects, this review summarises the history of the use, cultivation and extraction  
100 of flax, compares these aspects in the present and past contexts, highlights the ageing mechanisms

101 that can change the initial structure, morphology and chemistry of flax fibres and clarifies the  
102 characterisation techniques available at present to study these features.

## 103 **2. Flax stem organization and plant growth**

104 Similarly to other vascular plants, flax stems have different histological tissues, as shown in **Figure**  
105 **1.a**, which illustrates both young and mature steps. In this figure, it is possible to identify 1) the  
106 epidermis and cuticle, 2) cortical parenchyma, 3) sclerenchyma fibres, 4) phloem, 5) vascular  
107 cambium, 6) xylem and 7) pith.

108

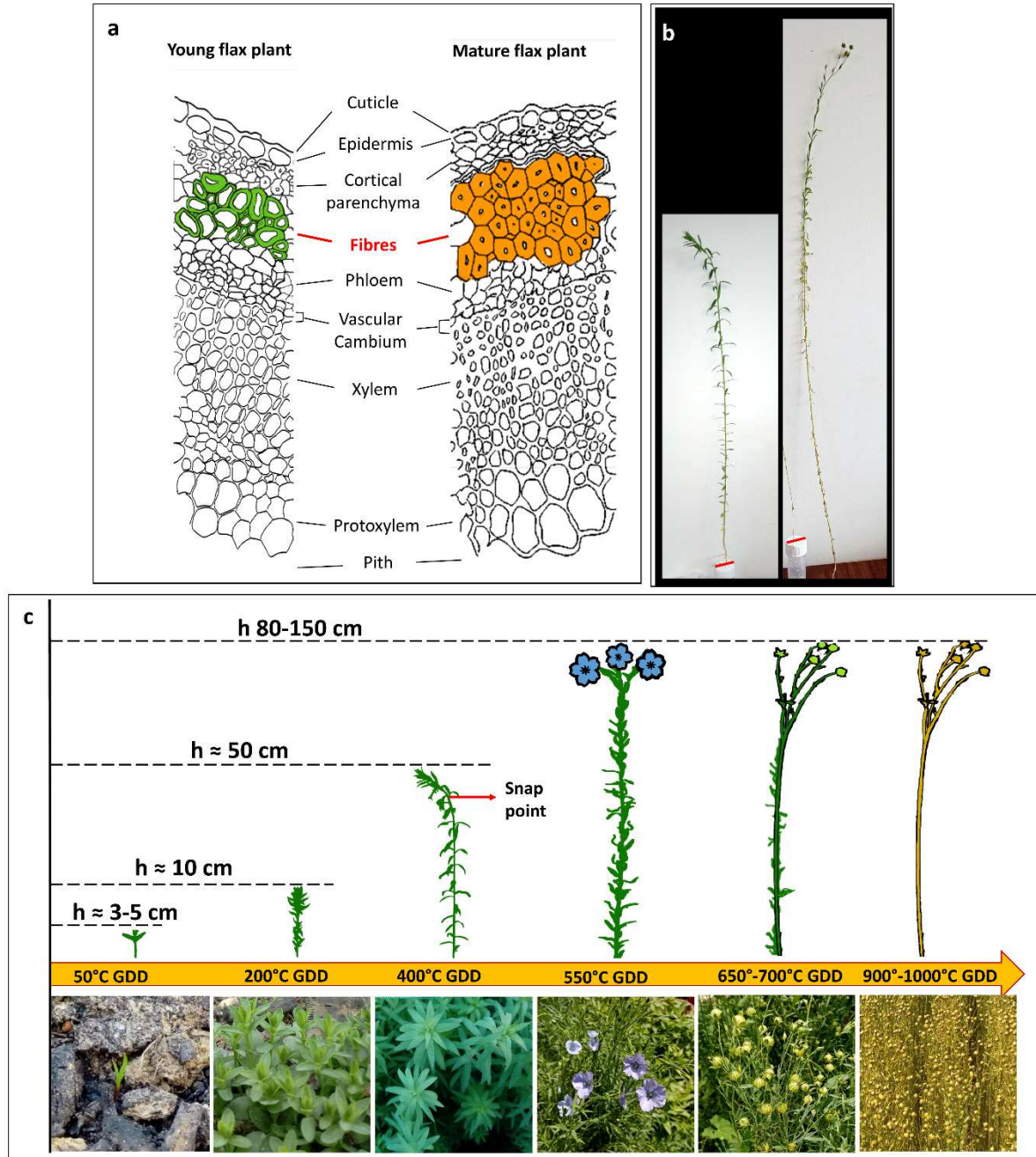
### 109 2.1. Tissues and roles: an overview of the flax stem

110 Each tissue in the plant has a specific role, and the corresponding chemical composition is adapted  
111 according to this function. Xylem and phloem are vascular tissues. Xylem conducts water and raw sap  
112 and also contributes to the mechanical support of the stem (Nuez et al., 2020). Thus, the high lignin  
113 content of this tissue can help ensure the rigidity of the structure and hydrophobicity of the cells  
114 (Neutelings, 2011; Pereira, Domingues-Junior, et al., 2018). The phloem tissue has two types of cells:  
115 certain cells transport food resources such as the elaborated sap, while the primary sclerenchyma  
116 phloem fibres, which are flexible, support the structure of the stem. Flax fibres are grouped in 30–40  
117 units and radially distributed in the whole stem. The chemical distribution examined through  
118 microspectroscopic techniques indicates that cellulose is more abundant in bast fibres than in the  
119 rest of the stem, while the content of lignin is lower but still detectable (Akin et al., 2000;  
120 Himmelsbach et al., 1999). Moreover, the lignin present in fibres has mainly G condensed epitopes.  
121 In contrast, the lignin in the xylem is mainly constituted of less condensed GS epitopes (Day et al.,  
122 2005).

123 The flax stem is composed of a vascular cambium (**Figure 1.a**) responsible for the generation of  
124 secondary xylem while bast fibres are generated by the procambium (Petrova et al., 2021). The  
125 cortical parenchyma, also called cortex or bark parenchyma, is richer in chlorophyll than xylem and  
126 bast fibres (Gorshkova et al., 2000), and, for this reason, it is easily distinguishable from fibres and  
127 epidermis.

128 The epidermis and cuticle, which are the external layers, are abundant in wax and phenolic groups,  
129 as they provide mechanical support and protect the innermost tissues of the stem from abiotic and  
130 biotic stress that may occur during plant growth (Bargel et al., 2004). Consequently, when the plant is  
131 injured, lignification occurs in the tissues involved (Paul-Victor et al., 2017) as lignin is a complex  
132 mixture of polyphenols and generally more difficult to metabolise by microorganisms than  
133 carbohydrates (Kim & Singh, 2000).

134



135

136

137 **Figure 1.** a) schema of a young flax stem at approximately 400 °C GDD and mature stem at 1000 °C

138 GDD (© Erica Melelli); b) photo of two flax plants pulled out at 400 °C and 1000 °C GDD in the real

139 scale; c) plant growth over time with flowering and browning of the capsules

140 The epidermis is rich in polygalacturonates, which are components of pectins, the molecules

141 responsible for plant elongation and porosity regulation. As a plant matures, pectins become less

142 methylated and form more cross-links with  $\text{Ca}^{2+}$ ; these cross-links reduce the sensitivity to the

143 polygalacturonase enzyme responsible for the degradation of cortical tissue during retting (Jauneau

144 et al., 1997). The xylem is commonly referred to as woody tissue and during fibre extraction, this part  
145 of the stem is sacrificed and reduced in shives (Nuez et al., 2020).

146 During plant growth, the biochemistry of the different tissues of the flax plant changes, and thus, it is  
147 important to consider the maturity of the stem to determine when the flax crop should be harvested  
148 and fibres must be extracted.

149

## 150 2.2. Flax: a plant with a fast growth

151 Flax plants go through different steps of growth before becoming mature, and the cumulative  
152 growing degree day (GDD) value can be calculated to identify flowering, capsule browning and  
153 complete plant maturation. The GDD can be calculated as reported in **Eq.1**:

$$154 \quad \text{GDD} = \left( \frac{T_{max} + T_{min}}{2} \right) - T_b \quad (\text{Eq.1})$$

155 The maximum temperature is added to the minimum value and divided by two to determine the  
156 mean value, and the temperature base ( $T_b$ ), which is the minimum temperature at which a specific  
157 plant can grow, is subtracted (Goudenhoft, 2018; P. Miller et al., 2018).  $T_b$  for flax plants is 5 °C. The  
158 first emergence after sowing occurs at approximately 50 °C growing degree days, flowering starts at  
159 550 °C, followed by the growth of capsules, which are initially green, at approximately 650–700 °C.  
160 The capsules become brown at plant maturity (**Figures 1.b, c**) (ARVALIS Institut du végétal, 2016;  
161 Goudenhoft et al., 2019b). Flax plants reach fibre maturity between 850 °C and 1000 °C GDD, while  
162 the seeds are considered mature at 1000–1100 °C (ARVALIS Institut du végétal, 2016). Thus, it can be  
163 estimated that flax maturity can be achieved at approximately 110 d after sowing in Europe  
164 (Moudood et al., 2019).

165 During the growth of flax plants, it is possible to recognise the “snap point” as a transition point  
166 between the rigid stem, in which the fibres have already reached maximum elongation and start the  
167 thickening process, and the top of the stem where the cell elongation and intrusive growth still occur  
168 (Gorshkova et al., 2005). The top of the stem is thus flexible because fibres are still involved in the  
169 elongation process and have not yet been lignified (Gorshkova et al., 2003; Gorshkov et al., 2019). In  
170 general, the height of a flax plant may range from 80 to 150 cm at maturity (**Figures 1.b, c**), and this  
171 value also depends on the variety (ARVALIS Institut du végétal, 2019; Moudood et al., 2019). The  
172 root system is also of significance. The roots of flax cannot reach deep soils and therefore exploit the  
173 nutrients present in the first 70 cm of soil. Consequently, flax and linseed plants are sensitive to  
174 drought stress (Sertse et al., 2019; Soto-Cerda et al., 2019).

175

176

## 177 2.3. Fibre organisation and properties

### 178 2.3.1 General information on flax fibres

179 Flax fibres are single unit cells that originate in the phloem region from procambium (Esau, 1953) of  
180 the plant and, like jute and hemp, are among the commonly used bast fibres (Bourmaud et al., 2018).  
181 Cells are the fundamental units of living organisms. In the context of technical fibres, the cell wall is  
182 of interest because it is responsible for the rigid structure of a plant and contributes to its mechanical  
183 properties (Rogers, 2011), allowing the use of the fibres as raw materials with suitable properties, for  
184 example, as composite material reinforcement.

185 The diameter of flax fibres generally ranges from 8 to 25  $\mu\text{m}$  (H. L. Bos & Donald, 1999), and its value  
186 changes throughout the cell (Thomason et al., 2011). The mean diameter can vary with several  
187 factors, such as the stage of maturity (Goudenhoft et al., 2018), variety, year and retting degree  
188 (Pillin et al., 2011). The diameter can vary depending on the fibre position in the stem. Fibres in the  
189 basal region have a larger diameter (approximately  $25.2 \pm 10.00 \mu\text{m}$ ) than those on the top near the  
190 snap point (diameter of  $11.4 \pm 3.4 \mu\text{m}$ ) (Baley et al., 2018).

191 Although the length changes across varieties, the fibre length is usually between 5 and 80 mm (Baley  
192 & Bourmaud, 2014; Baley et al., 2018) with an average value of approximately 20–30 mm.

193

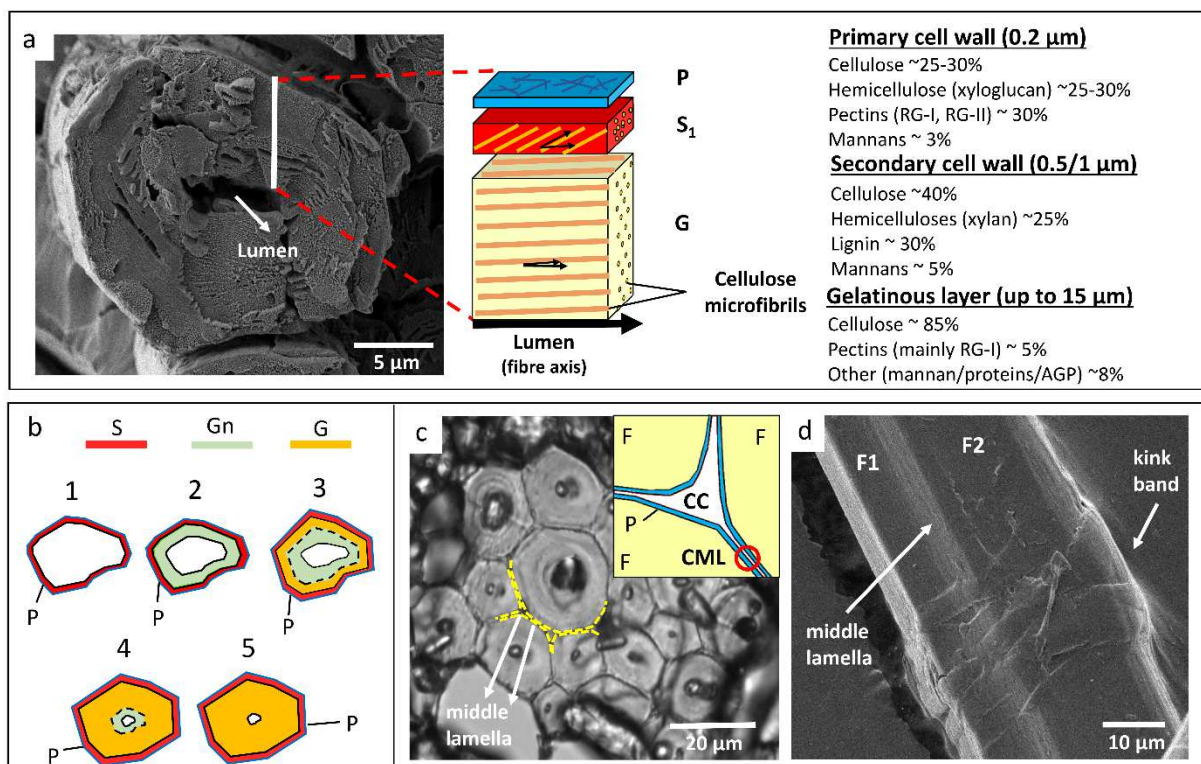
### 194 2.3.2 Hierarchical structure and ultrastructure of the fibre

195 Flax fibres, like all cellulosic fibres, have a hierarchical structure composed of several layers (**Figure**  
196 **2.a**). In engineering science, conventionally, the flax cell wall is divided into the primary layer (P),  
197 which is the outer layer and is approximately 0.2  $\mu\text{m}$  thick (H. L. Bos & Donald, 1999), and the  
198 secondary layer, which is 5–15  $\mu\text{m}$  thick (Morvan et al., 2003). Moreover, the secondary wall is  
199 divided into three sublayers labelled  $S_1$ ,  $S_2$  and  $S_3$ , specified for the first time by Roelofsen in 1951,  
200 who analysed flax, hemp and ramie fibres under a microscope in a polarised light environment after  
201 swelling in cuprammonium (Roelofsen, 1951).

202 Botanically, flax is a type of plant with gelatinous fibre cell walls and well-defined characteristics  
203 (Salnikov, 1993; Mellerowicz, 2001). The difference between lignified fibres (type S, with common  
204 distinction among  $S_1$ ,  $S_2$  and  $S_3$  layers of the secondary cell wall) and gelatinous fibres (type G) has  
205 been described by Mellerowicz et Gorshkova (Mellerowicz & Gorshkova, 2012), and the  
206 characteristics of the G layer can be summarised as follows (Gorshkova et al., 2012, 2018): i) the  
207 lignin and xylan content of the layer is extremely low or nearly zero, ii) the layer is rich in water, and  
208 cellulose is its main component, iii) in contrast to the S layers, the cellulose microfibrils in the G layer  
209 have a small microfibril angle and are nearly parallel to the fibre axis. A recent model by Gorshkova  
210 *et al.* (Gorshkova et al., 2018), from which **Figure 2.a** is inspired and partially readapted, depicted the



211 flax fibre structure with a very thin secondary  $S_1$  cell wall composed of cellulose ~40%, lignin ~30%,  
 212 xylan ~25%; the inner layer, also known as the tertiary cell wall (Gorshkova et al., 2018; Petrova et  
 213 al., 2021) and identified as the G layer, has a cellulose content of up to 85% and the presence of  
 214 rhamnogalacturonan-I (approximately 5–7%) with short galactan chains if the layer is mature and  
 215 long chains if the layer is newly deposited (Gorshkova et al., 2018).  
 216 During plant growth, two mechanisms occur in flax fibre cells: intrusive growth and thickening of the  
 217 cell wall layers. In the intrusive growth phenomenon, the elongation of certain flax fibres occurs  
 218 faster than that of the surrounding fibres (Ageeva et al., 2005; Gorshkov et al., 2019), forcing the  
 219 growing fibre to push through and grow among the other cells to find adequate space until the  
 220 elongation process is complete.



221  
 222 **Figure 2.** a) Schema of flax fibre ultrastructure and chemical composition, inspired and partially  
 223 readapted from (Gorshkova et al., 2018; Manian et al., 2021) and data from (Goudenhooff et al.,  
 224 2019b); b) formation of the cell wall layers, inspired by (Goudenhooff et al., 2018), and the  
 225 progressive filling and thickening with the Gn layer transformed to G; c) transversal section of a flax  
 226 fibre bundle, in which the middle lamella is highlighted in yellow. A schema representing the cell  
 227 corner (CC) between three fibres (F) is shown, along the compound middle lamella (CML) composed  
 228 of the primary wall (P) and middle lamella between two adjacent fibres; d) bundle of two fibres (F1  
 229 and F2) with a visible kink-band and outermost primary cell wall layer.

230 In the thickening process, the inner cell wall is divided into a mature layer, designated G, with Gn  
 231 indicating the newly deposited layer (See **Figures 2.a, b**). During the development of the plant fibre,  
 232 G and Gn coexist. Initially, only the Gn layer constitutes the cell wall, together with the S layer and  
 233 the primary wall. However, with the maturity of the fibre, the Gn layer is subjected to a gradual  
 234 thickening process that starts from the outer side and moves to the inner side of the cell, and this  
 235 layer transforms to the G layer (Goudenhoofdt et al., 2018; Mikshina et al., 2013; Arnould et al., 2017).  
 236 During this growing process, the lumen diameter is progressively reduced, in certain cases, almost  
 237 replaced by the cell wall. As long as the fibre is alive, the lumen is filled with cytoplasm and vacuole,  
 238 which disappears upon cell death, leaving the lumen empty (Ageeva et al., 2012; Richely et al., 2021).  
 239 The different cell wall layers also have different chemistry (Gorshkova et al., 2018; Goudenhoofdt et  
 240 al., 2019b), which also depends on the maturity of the fibre, as indicated by His *et al.* through  
 241 immunogold localisation (His et al., 2001). Since it is difficult to clarify the biochemical composition  
 242 for each cell wall layer separately due to their reduced thickness, in the literature, a global  
 243 percentage is usually defined as the total contribution of all the layers (Jones et al., 2017; Bourmaud  
 244 et al., 2018). **Table 1** lists certain percentages reported in the literature, for flax but also other plant  
 245 fibres used for composite reinforcement. In addition, Figure A.1 in supplementary materials provides  
 246 microscopic longitudinal and transverse views of the main fibres used for composite reinforcement.  
 247

248 **Table 1.** Literature review of the biochemical global composition of the considered bundles of fibres.

	Cellulose (%)	Hemicellulose (%)	Lignin (%)	Reference
<i>Linum usitatissimum L.</i> (Flax)	60–85	14.0–20.6	1–3	(Van Hazendonk et al., 1996; Biagiotti et al., 2004; Alix et al., 2012)
<i>Cannabis sativa</i> (Hemp)	80.2-89.4	6-12	2.6-4.6	(Marrot et al., 2013; Chernova et al. 2018)
<i>Corchorus capsularis</i> (Jute)	58.0–71.5	13.6–24.0	11.8–16	(Khan and Ahmad, 1996; Roy et al., 2012)
<i>Gossypium hirsutum L.</i> (Cotton)	74-97.7	0.5-11	0.4-16	(de Morais Teixeira et al., 2010)

249

250 In addition to biochemistry, a key characteristic of the cell wall ultrastructure is the microfibril angle  
 251 (MFA). Cell wall layers are composed of several cellulose chains known as microfibrils, the size of  
 252 which is of the order of a few nanometres (Chinga-Carrasco, 2011), and assemblies of several

253 microfibrils, realised through hemicellulose, pectin and variable amounts of lignin (Donaldson, 2008),  
254 form bundles known as macrofibrils. Each cell wall layer has microfibrils with different orientations  
255 against the fibre axis. This angle that cellulose microfibrils form with the fibre axis is known as the  
256 microfibril angle (MFA), illustrated in **Figure 2.a**.

257 In general, the primary wall is represented by a random orientation of the microfibrils (Stamboulis et  
258 al., 2001; John & Thomas, 2008; Madsen et al., 2016); however, certain recent studies indicated that  
259 mature flax fibres reorient the microfibrils inside the primary cell wall due to cell elongation  
260 (Kennedy et al., 2007; Rihouey et al., 2017).

261 The secondary wall has a complex structure with transition zones in which a gradual change in the  
262 angle of the microfibrils was observed (Roland et al., 1995; Baley et al., 2018), and, for this reason, in  
263 several models of flax fibres, the  $S_1$  layer has been depicted having crisscrossed pattern of cellulose  
264 microfibrils (Wang et al., 2018; Bourmaud et al., 2019). In engineering, the  $S_2$  layer is considered the  
265 thickest main layer that should coincide with the G layer (Baley et al., 2018; Bourmaud et al., 2018), ,  
266 and it is generally accepted that it has a MFA between  $5^\circ$  and  $10^\circ$  for dry flax fibres (Ansell &  
267 Mwaikambo, 2009; Bourmaud et al., 2013). On the other hand, in botany, the microfibril angle of the  
268 G layer is close to  $0^\circ$  and almost parallel to the fibre axis, which is one of the main characteristics of  
269 gelatinous fibres that allow them to be distinguished from other S-fibres (Mellerowicz & Gorshkova,  
270 2012; Gorshkova et al., 2018; Gorshkov et al., 2019).

271

### 272 *2.3.3 Middle lamella: the adhesive between fibres*

273 Several tens of flax fibres are grouped in bundles in the phloem region of the stem. These fibres are  
274 glued through another layer, known as the middle lamella (**Figure 2.c**), which is mainly composed of  
275 pectic polysaccharides (58%), lignin (38%), and a small amount of protein (approximately 4%)  
276 (Richely, Bourmaud, et al., 2021; Zamil & Geitmann, 2017). Moreover, it is possible to distinguish the  
277 tricellular junction or cell corner (MLCC), which links three or four fibres, and the compound middle  
278 lamella (CML), which aggregates the middle lamellae and primary cell wall, thereby forming a  
279 composite system of both layers (**Figure 2.c**).

280 The middle lamella has often been considered the weakest layer, in which fractures can start to  
281 propagate when flax fibres are used in biocomposite materials and mechanically tested (Guessasma  
282 & Beaugrand, 2019; Monti et al., 2016). Nevertheless, certain researchers reported that fractures  
283 occur more often between the  $S_1$  layer and G layer or between the  $S_1$  layer and the primary wall than  
284 in the middle lamella (Day et al., 2005; Zamil & Geitmann, 2017). Based on the plant species and the  
285 specific function of the cells into the plant, the chemical composition of the middle lamellae between  
286 fibres vary, and consequently also the mechanical properties (Melelli et al., 2020), but the small

287 thickness of this layer makes it difficult to study the composition locally without the contribution of  
288 the surrounding cell walls.

289

290

#### 291 *2.3.4 Kink-bands: defects along the fibres that influence the mechanical properties of modern and* 292 *historical objects*

293 An important characteristic of certain plant fibres, such as hemp and flax, is the local deformation of  
294 the cell wall along the axis. The associated defects are known as kink-bands, have a precise geometry  
295 (**Figure 2.d**) and often involve several fibres of the single bundle. These defects can be attributed to  
296 the environmental conditions during the growth of the plant and the fibre extraction process  
297 (Hughes et al., 2000). Hughes *et al.* demonstrated that hemp fibres carefully extracted from green  
298 stems have fewer kink-bands than the common fibres extracted in an automated extraction line  
299 (Hughes et al., 2000).

300 The geometry of kink-bands is different from that of the remaining fibre, and according to Thygesen  
301 and Gierlinger's work on *Cannabis sativa* (hemp), kink-bands have a less ordered microfibril network  
302 and higher microfibril angles (Thygesen & Gierlinger, 2013; Melelli et al. 2021a). Through FIB-SEM  
303 tomographic reconstruction, another team observed cavities in the kink-bands in flax fibres (Zhang et  
304 al., 2015), later confirmed by Melelli et al. (2021a). The abovementioned aspects are likely why kink-  
305 bands are the weakest points of plant fibres from a chemical viewpoint, although they are the  
306 preferred points at which enzymatic hydrolysis can be initiated (Thygesen et al., 2011). The density of  
307 these regions considerably influences the mechanical properties of fibres and composite materials  
308 (Davies & Bruce, 1998; H. Bos et al., 2002; Andersons et al., 2009; Sliseris et al., 2016) and has been  
309 known to limit the fibre strength in historical textiles and artworks (Herrera et al., 2010; Thygesen,  
310 2010).

311

#### 312 *2.3.5 Small and strong: the mechanical properties of flax fibres*

313 Despite the presence of kink-bands, flax fibres exhibit remarkable mechanical properties, which have  
314 been deeply investigated (Baley & Bourmaud, 2014; Baley, 2002). **Table 2** presents a comparison of  
315 the mechanical properties of flax fibres reported in the literature and those of other natural and  
316 synthetic fibres. The G layer is the main layer responsible for the mechanical behaviour of cellulosic  
317 fibres, especially in the longitudinal direction (Arnould et al., 2017). According to the existing studies,  
318 a small microfibril angle, small lumen and small fibre diameter lead to a high Young's modulus and  
319 superior performance (Bourmaud et al., 2013; Ramamoorthy et al., 2019). For instance, although  
320 cotton has a high cellulose content (82–98%), its MFA ranges from 20° to 30° (Ansell & Mwaikambo,

2009; Bourmaud et al., 2018), and thus, the Young's modulus and tensile strength are low; in contrast, the strain at break (in %) is high due to the increase in its MFA when subjected to tensile stress. Therefore, in ancient times, flax was used to prepare not only clothes but also sails that could resist the wind stress.

**Table 2.** Typical mechanical properties of E-glass compared to flax, hemp and cotton. Mean of the values modified from (Wambua et al., 2003; Hughes et al., 2007; Graupner, 2008; Lu et al., 2012; Fidelis et al., 2013; Célineo et al., 2014; Bourmaud et al., 2018)

<b>Fibre</b>	<b>Density (g/cm<sup>3</sup>)</b>	<b>Strain at break (%)</b>	<b>Tensile strength (MPa)</b>	<b>Young's modulus (GPa)</b>	<b>Moisture sorption (%)</b>
<b>E-glass</b>	2.6	2.5–3	2000-3400	70-81	/
<b>Flax</b>	1.4-1.5	1.3-2.6	595-1500	40-60	8-12
<b>Hemp</b>	1.3-1.5	1.6-3.3	500-900	25-60	6-12
<b>Jute</b>	1.2-1.5	0.4-1.8	138-550	20-60	12-15
<b>Cotton</b>	1.5-1.6	7-12	287-800	3.3-8.5	8-25

Several studies have demonstrated that the variety [5,67] and growing conditions of the plant (Goudenhooff et al., 2019a) and the fibre extraction process (Baley et al., 2020) can affect the fibre performance. In recent years, mechanical properties have been recorded at the cell level. For flax, the indentation modulus lies between 15 and 24 GPa for a mature G layer and between 9 and 15.9 GPa for a newly deposited Gn layer (Goudenhooff et al., 2018; Arnould et al., 2017); these values also depend on the fibre position in the stem. When the flax plant is young, the thickness and local indentation moduli of flax fibres drastically change and increase from the bottom near the roots to the top near the snap point. However, the local indentation moduli and thickness become uniform when the plant reaches maturity (Goudenhooff et al., 2018). Once the stem is cut and undergoes retting, the indentation modulus of flax fibres increases with the increase in the retting degree, defined in terms of days of retting (Bourmaud et al., 2019).

### 3. Flax through the millennia in Europe and Near East

The interest in and use of flax plants for oil or fibres have been prevalent for several millennia. The domestication of flax has influenced the plant structure and properties as well as the area of cultivation and production. In this section, the history of flax cultivated for fibres and the evolution of

345 the extraction methods are summarised from the origin of the use of flax through the contemporary  
346 era.

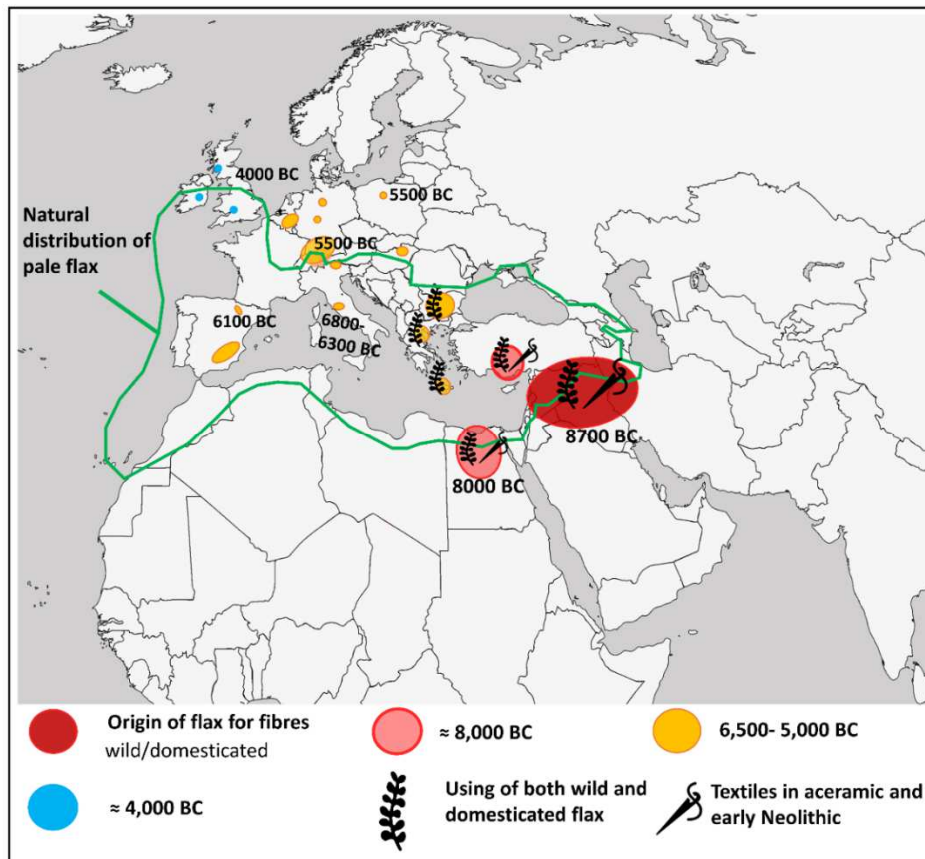
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### 348 3.1. Evolution of the flax variety and geographical localization in Europe and the Near East

349 The first evidence of the use of *Linum usitatissimum* is dated between 9,000 and 8,000 BC in the Near  
350 East, with other instances of flax seeds observed in Turkey and Syria (Cullis, 2007). Only later did the  
351 use of these seeds spread in Egypt (Allaby et al., 2005; Helbaek, 1959; Weiss & Zohary, 2011).  
352 However, in 2009, Kvavadze *et al.* published an article on several flax fibres discovered in Dzudzuana  
353 Cave, Georgia, dated to Upper Palaeolithic period (30,000 years before present) through the  
354 radiocarbon dating of soil deposits (Kvavadze et al., 2009). The fibres were coloured, which suggests  
355 the beginning of a manufacturing process. This discovery can backdate the use of flax other than as a  
356 food source; however, the identification of these bast fibres is highly debated. The entire scientific  
357 community is not in consensus regarding the attribution to flax fibres, and this aspect must thus be  
358 further investigated (Bergfjord et al., 2010).

359 Although the use of flax is evident through archaeological findings, plant domestication likely  
360 occurred later in civilisations such as Mesopotamia and Egypt. These civilisations grew both  
361 domesticated and wild flax (or pale flax) as crops, as shown in **Figure 3**, which illustrates the natural  
362 distribution of pale flax in addition to the approximate areas of dated archaeological findings.

363



364

365 **Figure 3.** Map with the natural distribution of wild (pale) flax (in green) and the origin of the use of  
 366 flax and its spread in Europe along with the first fabrics dated to the early Neolithic. The distribution  
 367 of pale flax (green) has been obtained from (Desta, 2019; Diederichsen & Hammer, 1995; Gutaker et  
 368 al., 2019). The centres of archaeological findings have been adapted from (Colledge & Conolly, 2017;  
 369 Harris, 2014; Karg, 2011).

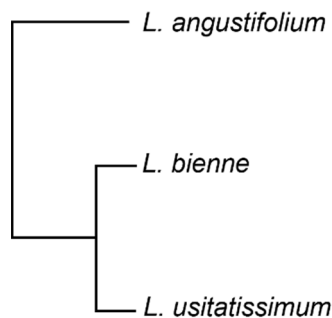
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371 The history of the domestication and cultivation of this plant is not clear, and genetic diversity seems  
 372 to suggest that independent episodes of domestication likely occurred in different geographical areas  
 373 from Asia to the Mediterranean regions because of the vast geographical range of pale flax [92,97].  
 374 Before 1975, a hypothesis was presented regarding the relationship between *Linum usitatissimum*,  
 375 which is domesticated flax, and *Linum bienne* as its wild progenitor, and van Zeist et Bakker-Heeres  
 376 suggested that the first cultivations of flax were performed in dry soils as the natural habitat of *Linum*  
 377 *bienne* (Van Zeist & Bakker-Heeres, 1975).

378 Diederichsen et Hammer compared the characteristics of the first progenitor pale flax (*L.*  
 379 *angustifolium* Huds.) and cultivated flax *L. usitatissimum* (Diederichsen & Hammer, 1995).  
 380 Morphological evaluations were performed through direct observation of the plants cultivated and  
 381 analysed for three years with different accession geographic origins. The following aspects were

382 considered: the length and width of petals, size of seeds, colour and shape of the flower, the height  
383 of plants and number of days until emergence from the soil or flowering. The authors concluded that  
384 most of the considered aspects for the two flax species were distinguishable, which highlighted the  
385 influence of the domestication of the plant on its morphology (Diederichsen & Hammer, 1995).  
386 Muravenko *et al.* extracted the DNA of *L. angustifolium* (Huds.), *L. bienne* and *L. usitatissimum*, and  
387 performed evaluations considering the genetic polymorphism and the comparison of the  
388 chromosome C-banding and molecular markers (Muravenko *et al.*, 2003). The authors clarified the  
389 relationship between the three species, which are often confused and considered subspecies of one  
390 another: a dendrogram, as illustrated in **Figure 4**, indicated that *L. angustifolium* Huds. was similar to  
391 the ancient cultivated flax, and *L. bienne* was considered a subspecies of *L. usitatissimum*  
392 (Muravenko *et al.*, 2003).

393



394

395 **Figure 4.** Dendrogram from (Muravenko *et al.*, 2003). *L. angustifolium* appeared as the progenitor of  
396 both *L. bienne* and *L. usitatissimum*.

397 Two years after this publication, another team of researchers used DNA extraction, PCR amplification  
398 and sequencing to analyse the cultivated and pale flax (Allaby *et al.*, 2005). The authors suggested  
399 that a single pale flax plant (*L. angustifolium*) was likely domesticated and was the only progenitor of  
400 the cultivated flax. In Europe, the domestication of flax fibres is dated to the Neolithic period with  
401 the Linearbandkeramik Culture (5500-4500 BC) ((Kreuz, 2007), quoted in (Karg, 2011)). According to  
402 the map presented by Karg *et al.*, simplified and readapted in **Figure 3**, in contrast to that in the  
403 Middle East, only the domesticated plant was cultivated in southern and western Europe (Karg,  
404 2011).

405 The use of cultivated flax increased rapidly and spread across Europe, and traces were observed in  
406 Switzerland (Leuzinger & Rast-Eicher, 2011) and Greece (Valamoti, 2011) during the Neolithic period.  
407 Herbig *et al.* measured the size of several flax seeds and evaluated capsules and shives from  
408 different archaeological sites located around Lake Constance and Upper Swabia. The authors



409 hypothesise that different varieties of flax were used for linseed and fibres (Herbig & Maier, 2011).  
410 The variety of flax linked to the Pfyn culture was mainly used in 4,000–3,800 BC, and despite the  
411 presence of shives and linen found in the archaeological sites, the larger number of capsules and  
412 seeds and larger seeds suggests that this flax variety was principally used to produce oil. Seeds with a  
413 drastically reduced size were observed along with a higher quantity of shives and threshing traces  
414 only in a later period, during the middle and latest phases of the Late Neolithic (3,400–2,500 BC). This  
415 finding supported the hypothesis that a new variety of flax, linked with the Horogen culture and  
416 likely introduced from the Balkans, was cultivated for fibre extraction (Herbig & Maier, 2011). Even in  
417 the present era, the size of capsules and seeds, as well as their number in the tillers, are important  
418 characteristics that allow flax to be distinguished from the linseed varieties (Yu, 2015). The work  
419 performed by Herbig et Maier presented one of the earliest pieces of evidence of the use of different  
420 flax varieties for different purposes.

421 The extensive use of flax began with Egyptians during the dynastic period, in which flax fibres were  
422 widely used for cloth and sail production and in funerary rites. The production of these objects  
423 required considerable effort to produce linen, and Egypt emerged as the “land of linen”. The 1<sup>st</sup>  
424 dynasty (3,000 BC) corresponded to the first attempts at mummification, which was initially  
425 performed only for pharaohs and then successively extended to the remaining population (Grilletto,  
426 2005). This practice persisted across all subsequent dynasties and for a certain period even beyond  
427 the conquering of Egypt by the Romans and its annexure into the Roman Empire. The case of the  
428 mummy of Grottarossa discovered in Rome and dated between 150 and 200 A.D. must be  
429 mentioned; an embalmed young girl was found to be treated with ancient knowledge of Egyptian  
430 mummification, and bandages of linen were identified (Ascenzi et al., 1996).

431 In ancient Mesopotamia and other areas of the Near East, considered the cradle of flax cultivation,  
432 the use of flax crops gradually disappeared as this plant required highly fertile soils to grow, and,  
433 consequently, aggressive expansion policies, or due to a long period of drought, which occurred in  
434 the last part of the Middle Bronze Age and the first part of the Late Bronze Age (McCorrison, 1997;  
435 Riehl, 2012). In Mesopotamia, in the 4th–3rd millennium, flax fibres were replaced with wool fibres  
436 (McCorrison, 1997), while drought during the Bronze Ages seemed to have favoured a change of  
437 crops towards drought-tolerant plants (Riehl, 2012).

438 Due to the flourishing trade between populations located in the Mediterranean regions, historians  
439 find it challenging to determine whether Romans or Phoenicians first introduced the use of linen in  
440 Europe; however, it is generally agreed that the expansion of the Roman Empire promoted the use of  
441 this plant for fibre extraction throughout northern Europe. In northern Europe, flax was primarily  
442 used to produce oil, and the most ancient remains of linen seem to suggest that they were originally

443 imported from the Germans or Romans (Hald, 1950 as cited in (Ejstrud et al., 2011) and Bender  
444 Jørgensen, 1986 as cited in (Andresen & Karg, 2011)). In Denmark, there is evidence of linen fabric  
445 dated to 800 BC, and in Sweden, the first textile production is dated to approximately 200–300 AD  
446 (Andresen & Karg, 2011; Ejstrud et al., 2011; Viklund, 2011).

447 In the 1st century BC, Pliny the Elder wrote the *Naturalis Historia* and dedicated a part of his opera to  
448 flax (*Liber XIX*), highlighting the importance of this plant in the production of sails that allowed  
449 commerce and contacts between populations around the Mediterranean Sea. Despite the flax  
450 cultivations in Europe, Egypt maintained primacy for centuries in the production and exportation of  
451 flax with the most advanced technology and expertise. Egyptian linen was cheaper and more  
452 abundant than other types of linen produced in the same period in Italy or by the Gallic population  
453 located in southwestern France (*Carduci*); however, Pliny the Elder also reported that the quality of  
454 this flax was inferior to that of the linen produced in Europe (NH 19, 13–14). This difference may  
455 have occurred because Egyptians sowed flax during the winter season, while to the north of the  
456 Mediterranean regions, flax was cultivated during spring (Andersson Strand, 2012), which likely  
457 facilitated the development of diversity in summer and winter varieties. Musselman reported that  
458 although flax was known to be used for both oil and fibres in the Bible, it was only associated with  
459 linen (Musselman, 2012), which suggests the importance of these plant fibres in antiquity.

460 Between the 9th and 10th centuries, in Zeeland and Flanders linen was generally preferred to wool  
461 for clothes because wool, although more easily produced, was considered too coarse, and Flanders  
462 became an important linen manufacturing centre (Nicholas, 1992, p. 38). As reported by Dixon in his  
463 opera dated 1854, at the end of the 13th century, Beatrice de Gaule introduced the coutil fabric in  
464 both northwestern France (Brittany, Main, Angers) and Flanders, where flax grew naturally (Dixon,  
465 1854, p. 4). During the Middle Ages, the fustian fabric, a mix of linen and cotton that probably  
466 originated from Egypt and was successively produced in Italy and Spain, emerged as an important  
467 entity throughout Europe, especially during the 14th century (Ulrich, 1994). This fabric was  
468 manufactured also in Britain, Germany and Switzerland because European spinners could not  
469 produce cotton yarns that were strong enough to be used in the warp direction (Prance & Nesbitt,  
470 2005, p. 290; Ulrich, 1994).

471 Additionally, during the 14th century, flax fibres were largely used to prepare cloths, sails, bags and  
472 fishing equipment; however, at the end of this century, a new use appeared in Europe as painting  
473 support not only for banners but also for pictures mounted on frames. The use of canvases for  
474 paintings began during the Late Middle Age, and Cennini mentioned the preparation of canvas  
475 supports in his treatise *Il Libro dell'Arte* (Chapter CLXII), written between the end of the 14th century  
476 and the beginning of the 15th century. One of the most ancient paintings on canvas surviving to this

477 day is “*The Madonna of Humility*” by Lippo di Dalmasio, dated 1390 ca. and displayed at the National  
478 Gallery. However, the use of canvases especially coupled with the oil technique, mostly linseed oil,  
479 was popularised only during the Renaissance, with Italy being the main centre. The “*Sistine*  
480 *Madonna*” of Raffaello, dated 1513–1514, is an example of oil on canvas.

481 In the 15th and 16th centuries, other important centres of flax and linen production emerged in  
482 northern Europe, Britain and the Hanseatic area (Huang, 2014) as well as in Rus lands (Sherman,  
483 2008).

484 With the Renaissance, the main cultural centre was displaced in Italy, and although the linen fabric  
485 was widely used and modest families had numerous luxurious linens and tablecloths, as reported in  
486 (Erichsen, 2020), flax was mainly produced in central Europe. In the 16th century, Poland, Bohemia  
487 and Moravia became important areas of linen manufacture (J. Miller, 2016), while Brittany, one of  
488 the main centres of flax cultivation, established major imports of flax seeds from Zeeland and Baltic  
489 countries (Purchasse, 2019).

490 In approximately 1670, the Huguenots from France, Protestants who moved in large numbers to  
491 England and Ireland as well as Switzerland, Holland and Germany as religious refugees, introduced  
492 knowledge in England regarding textile production, as indicated by Louis Crommelin, a French  
493 Huguenot expert in linen manufacture, in the documents cited in (Colquhoun, 1961; Scott, 1901).

494 During the 17th century in France, flax cultivations reached 300,000 ha, over double the area of  
495 cultivation in 2021 (140,000 ha) (Bourmaud et al., 2020). Baltic countries specialised in flaxseed  
496 production and supplied the product to the remaining European countries (Purchasse, 2019;  
497 Bourmaud et al., 2020). Between 1660 and 1700 in England, considerable quantities of flax and hemp  
498 were imported from Norway, Denmark and the Baltic, while linen and textile yarns were principally  
499 imported from Germany, Holland, Flanders and France (Davis, 1954).

500 In the *Encyclopédie* dated 1751–1765, Diderot and D’Alembert reported the presence of three  
501 varieties of flax in the market: cold, medium and warm (Diderot & D’Alembert, 1751). Although the  
502 warm variety grew faster during the first phases of plant growth, at maturity, the plant was lower in  
503 height than the other two varieties and produced numerous branches with seeds; therefore, the  
504 yield of the flax fibres was low. In contrast, the ‘cold’ flax grew slower but was stronger and more  
505 resistant to cold environments. At maturity, the flax plant of this variety produced fewer branches  
506 and capsules and was taller than the other varieties; therefore, fibre extraction was optimal (Diderot  
507 & D’Alembert, 1751). The highest quality of seeds of the ‘cold’ flax variety came from Riga, but as this  
508 variety of flax plants produced a small number of seeds at maturity, so farmers in France were  
509 obliged to buy the seeds from Riga once every three or four years (Diderot & D’Alembert, 1751).

510 In the second half of the 19th century, Holland and Belgium specialised in the luxury market with  
511 high-quality flax fibres, while Russia became the leader of the common quality of flax fibres for all  
512 uses with a monopoly in the West European market (Ollerenshaw, 1999).

513 At the beginning of the 20th century, several main centres of linen manufacturing were located in  
514 Ireland, Scotland, France, Belgium, Germany and Bohemia (Ollerenshaw, 1999). Moreover,  
515 Ollerenshaw reported that with the Russian Revolution, Russian and Baltic flax production almost  
516 disappeared and re-emerged only after 1920, while the German occupation of northern Europe  
517 during the First World War affected the flax production in Belgium and France (Ollerenshaw, 1999).  
518 In both World Wars, the production of flax was of primary importance to supply the army; further  
519 details can be found in Ollerenshaw's paper, as previously cited. In the Second World War, flax was  
520 widely used to build aeroplanes, parachutes and other military supplies (Kozłowski et al., 2020), and  
521 sustained production was observed. Kozłowski *et al.* reported that Egypt doubled its cultivation to  
522 support the flax demand of the United Kingdom (Kozłowski et al., 2020).

523 It must be highlighted that in Asia, India was one of the origins and/or diversification centres of flax,  
524 and production spread in the remaining continent between 5000–0 BC. In America and Australia, flax  
525 was introduced only with colonisation. The French exported flax cultivations and knowledge in  
526 Quebec approximately 400 y ago, and the English exported it in Australia less than 150 y ago (Desta,  
527 2019).

528

### 529 3.2. Ancient cultivation and some extraction methods

530 Following the previous section, the history of flax cultivation is supplemented by a brief description  
531 of sawing practices and details of the extraction methods adopted by different populations across  
532 the centuries in Europe and the Middle East.

533 Today, as in the past, several steps must be implemented to obtain long fibres from flax. Once flax  
534 plants are sown and reach maturity, they are pulled out. To extract the fibres, a retting stage is  
535 implemented in which stems are laid on the soil and subjected to the action of rain, sun and  
536 microorganisms (dew retting) for several weeks or immersed in water (water retting) for several  
537 days. The two retting modes have the common objective of degrading the pectic middle lamellae in  
538 the bast fibre bundles to facilitate their extraction. After the retting step, the stem is mechanically  
539 broken (breaking), and the woody part of the stem and fibres are separated (scutching). Finally, the  
540 fibres are combed to remove the residual middle lamellae and separate the fibres (hackling or  
541 combing).

542 Starting from ancient Mesopotamia, flax was considered one of the most important plants and, as  
543 illustrated in the *Warka* vase (dated to c. 3200–3000 BC), it was often associated with dates as a

544 symbol of fertility (Miller et al., 2016) because of its needs for rich soils and high moisture, which  
545 required irrigation. Apparently, in ancient Mesopotamia, only small plots of lands were dedicated to  
546 flax as a winter crop (Bedigian & Harlan, 1986; McCorrison, 1997). However, Miller *et al.* (2016)  
547 reported that, in ancient texts, flax was associated with concepts of both “field” and “garden”, while  
548 other important plants, for example, barley, were cultivated only in crop fields. Flax was associated  
549 with the goddess Inanna (Miller et al., 2016), and in general, weaving work was a prerogative of  
550 women, especially slaves and prisoners of war, as reported in (McCorrison, 1997) and its relative  
551 comments. In contrast, sowing and stem preparation, as well as fibre extraction, involved both  
552 women and men.

553 In the 4th–3rd millennium, Mesopotamians used both dew and water retting, and the textiles were  
554 left under the sun to bleach fibres (McCorrison, 1997). Moreover, the textiles were treated with  
555 certain plants that grow in the Middle East, such as *Salsola kali* and *S. soda*, *Salicornia europaea* and  
556 other glassworts and saltworts used to produce soda ash (Musselman, 2012; Soriga, 2017), as well as  
557 mineral clays such as Fuller’s earth (Soriga, 2017).

558 In ancient Egypt, flax was cultivated in mid-November after the seasonal flood of the Nile River in  
559 August–September (McCorrison, 1997; Semple, 1928a). In the beginning, only water from floods  
560 and rainfall was used in cultivations without any human intervention. However, due to the scarce  
561 rainfall that did not promote crop growth and the vital Nile flood, which decided the state of  
562 abundance or famine for the whole population (Bell, 1975), a system of basins (probably starting  
563 from the Middle Kingdom) was built to channel the water from the Nile inundation into crops  
564 (Schenkel, 1994).

565 The water was allowed to enter the basins for almost one month to saturate the soil, which also  
566 promoted the deposition of fertile clays and silts, and then allowed to flow out (Schenkel, 1994).  
567 Sowing occurred after this operation, and both *Linum bienne* and *usitatissimum* were sown  
568 (Vogeslang-Eastwood, 2000). At maturity, the stems were pulled out (not cut), grouped in bundles,  
569 and dried under the sun. Then the capsules were removed. As in Mesopotamia, stems were retained  
570 in current water between 10 and 14 d (water-retted) to eliminate pectin, and subsequently, the  
571 stems were successively broken with wooden mallets using stones as a work surface and a wooden  
572 knife to eliminate the rest of the bark (Andersson Strand, 2012; Vogeslang-Eastwood, 2000).  
573 Unfortunately, these work steps are not illustrated in ancient tombs or mentioned in texts, and no  
574 tools have been found in archaeological sites because of the high degradability of cellulosic objects  
575 (Andersson Strand, 2012; Vogeslang-Eastwood, 2000).

576 In contrast, in the Egyptian Tombs of Dagi (Middle Kingdom TT103, details shown in **Figure 5**) and  
577 Thutnefer (New Kingdom, TT104), the scutching process was illustrated: flax stems were passed

578 through two sticks, and a wooden fan (or knife) was used as an alternative (Vogeslang-Eastwood,  
579 2000).  
580



581  
582 **Figure 5.** Details of wall painting from Tombs of Dagi (TT103), where two women are shown to scutch  
583 flax stems (from the left) and probably splice flax into threads (right). Illustration from (Vogeslang-  
584 Eastwood, 2000)

585  
586 Finally, the hackling step was implemented. In certain cases, this step was optional, especially when  
587 the thread was spliced rather than spun (Andersson Strand, 2012). In general, an indicator of spliced  
588 thread is if two or more threads, which are composed of twisted fibres, are plied. If the thread is  
589 spun, the yarn is generally used alone (Gleba & Harris, 2019). Ancient Egyptian threads were  
590 prepared through S-direction twisting and plying, and a wet rotation technique was used (Carroll,  
591 1973; Vogeslang-Eastwood, 2000).

592 In terms of the use of flax in ancient Egypt, flax threshing and shives were used in mud brick  
593 construction, and a mix of silt, clay, sand, straw and water was used to create blocks as building  
594 materials (Cappers, 2005; Emery, 2011). Linen was employed in cloths and tunics, such as the fabric  
595 investigated in (Landi & Hall, 1979) to prepare sails (Black & Samuel, 1991; Partridge, 2012) and as  
596 bandages in the embalming process of dead bodies. As reported by Vogeslang-Eastwood, clothes  
597 were mended or retransformed and reused in other ways if they were excessively worn and torn  
598 (Vogeslang-Eastwood, 2000), and because linen production was particularly laborious, the same  
599 principle was likely also applied to other objects made of linen, as in the case of the linen shroud of a  
600 mummy that may have been previously used as a sail (Rougé, 1987).

601 Another important use was in cartonnage, a multi-layered painted support made of different layers,  
602 with the first layer made of linen, as shown in (Abd El Aal, 2018), followed by plaster and paint. The  
603 first condoms were likely made of linen in ancient Egypt (Smith, 2013), but the attribution of that  
604 function to small pieces of ancient linen fabric is still controversial.

605 As the use of flax spread from Europe through Egypt, the processing methods of flax were similar. In  
606 Upper Swabia and Lake Constance, during the Late Neolithic, the Horogen culture cultivated flax  
607 plants for fibres and oil (Herbig & Maier, 2011). Similar to Egypt and Mesopotamia, flax plants were  
608 pulled out and not cut, allowing the use of the entire length of the stem to extract fibres as long as  
609 possible. No traces of epidermis, cortex or phloem were found at the surface of the fibres, and Maier  
610 et Schlichtherle hypothesised that this could be attributed to the use of the retting process before  
611 the extraction of the fibre (Maier & Schlichtherle, 2011). The same authors also observed that certain  
612 archaeological remains of the stems were flattened and broken at different points, suggesting the  
613 use of a breaking tool to beat them (Maier & Schlichtherle, 2011). The combs for hackling and  
614 spindles found in the same sites indicate the use of a reasonably advanced method to produce linen.  
615 As reported by Maier et Schlichtherle and other authors cited in their paper, objects such as fabrics  
616 and fishing nets were preserved at the Constance Lake site.

617 In Italy, during the pre-Roman period and successively during the Republic, flax was mainly exported  
618 from Egypt, although Spain and Gaul exported a certain amount as well. Although a small amount of  
619 flax was also cultivated and processed in Italy, the greatest quantity was produced in the Roman  
620 colonies and used not only for clothes but also as war material to prepare tents and sail clothes  
621 (Gleba, 2002). The climate in Italy can be divided according to the country shape: northern Italy has a  
622 climate similar to central Europe, and South Italy has an arid Mediterranean climate. In ancient  
623 times, in the northern half of the country, sowing was performed during spring, while in the southern  
624 half, sowing more closely followed Egyptian practices and was performed in autumn with abundant  
625 rainfall (Gleba, 2002). Additionally, as Margarita Gleba reported, not all the soils in Italy were suitable  
626 for the cultivation of flax, and because linen production required more work than wool fibres, it was  
627 economically disadvantageous to produce it (Gleba, 2002). Furthermore, flax cultivation depletes the  
628 soil of resources, and thus, crop rotation was performed (Semple, 1928b). At present, due to the  
629 implementation of dew-retting and the release of organic matter during this stage, an opposite trend  
630 is observed, and the soil is enriched.

631 Similar to Egyptians, Romans used combs to remove capsules and wooden clubs to break stems after  
632 the dew or water retting process. To bleach the fibres, Margarita Gleba reported the exploitation of  
633 sun exposure, as in ancient Mesopotamia and Egypt, as well as the use of a sulfuric treatment (Gleba,  
634 2002) and it is known in the literature that also urine was used for this purpose (Bradley, 2002).

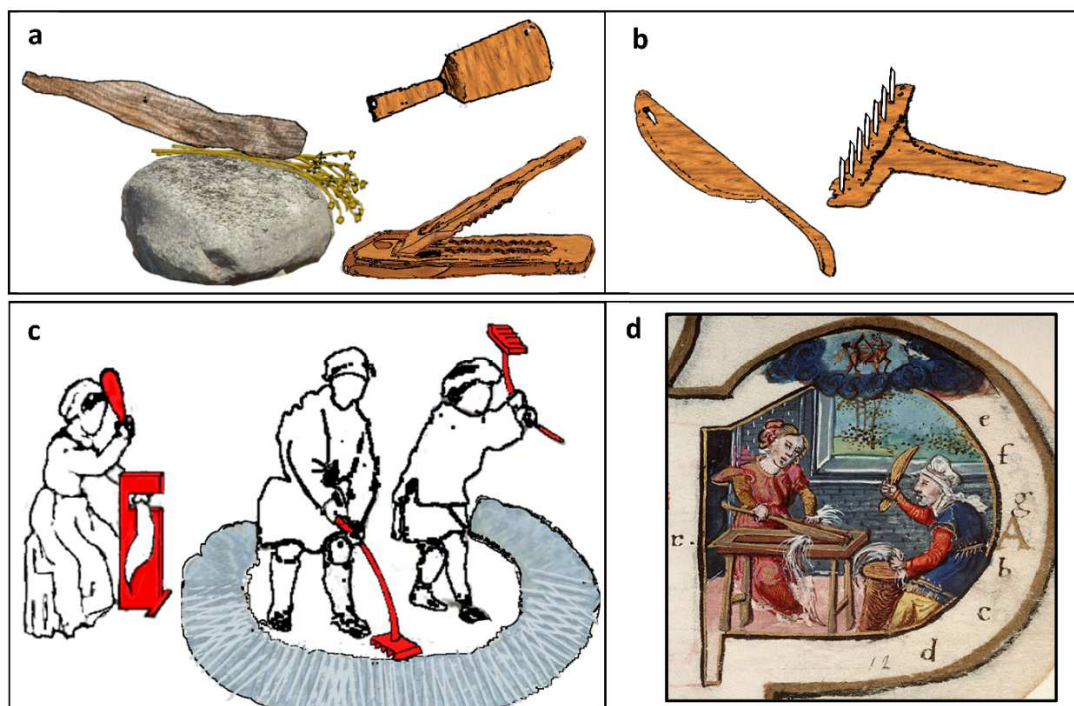
635 Several spindles of different shapes were found in northern Italy (Busana & Tricomi, 2014), and in  
636 contrast to Egypt, in Europe, linen threads were mostly produced with a Z-twist (Gleba & Harris,  
637 2019) as noted in the Etruscan textile examined by Carroll (Carroll, 1973). Moreover, the fabric  
638 mainly appeared in-plane waves (Gleba, 2002).

639 Tools similar to those used by the Romans to extract fibres were found in northern Europe. Because  
640 the use of flax fibres spread with the Romans, expectedly, at least initially, similar tools, such as clubs  
641 to beat the stems (**Figures 6.a**) and knives for scutching or combs for hackling (**Figures 6.b**), were  
642 adopted. An example pertains to the possible reconstruction and use of wooden clubs, as shown in  
643 **Figure 6.a** found in Sweden and dated to the Roman Iron Age, likely used to break flax stems.  
644 Between the period of the Roman Empire and the Middle Age, several instruments and methods to  
645 extract fibres were improved. For example, in the Middle Age, Vikings likely used breakers mounted  
646 on a stand with serrated teeth, such as that illustrated in **Figure 6.a** with missing legs (Ejstrud et al.,  
647 2011). Surprisingly, not even the symbols linked with this plant changed through populations, and in  
648 Sweden and Norway and the Norse religion, flax was associated with femininity and goddesses and  
649 used in magical rituals linked with fertility and funerary rites (Viklund, 2011).

650 Two miniaturized manuscripts created in Belgium, one dated 1515 (*Book of hours - Da Costa hours* MS  
651 M.399 fol. 12v (Pierpont Morgan Library, 1515)) and the other dated 1525–1530 ca. (*Book of hours*  
652 MS M.1175 Fol. 014r (Pierpont Morgan Library, 1525)), currently available at the Pierpont Morgan  
653 Library, show two men surrounded by a circle of flax stems, breaking fibres with a manual tool (a  
654 schematic reproduction of the *Book of hours* dated 1515 is presented in **Figure 6.c**). In both  
655 miniatures, a woman scutches the broken flax stems placed in a wooden column by hitting them with  
656 a wooden tool. This action was reported to be performed during November, and therefore, in the  
657 manuscript of Bruges MS M.1175, the miniature of the fibre extraction process has been associated  
658 with a second miniature with the sagitta zodiac sign. Another miniaturized manuscript from France,  
659 *Livre d'heures* (L'Escalopier 22), dated 1555 and created for King Henri II, shows two women working  
660 on flax fibre extraction: the first woman is using a wooden breaking tool mounted on a stand to  
661 break the stems, while the other woman is scutching the fibres with a wooden knife, and the flax  
662 fibres are placed on a circular support (**Figure 6.d**). Apart from this difference, the extraction method  
663 did not seem to change across centuries.

664





665  
 666 **Figure 6.** a) Left: possible reconstruction of the use of a wooden tool from Sweden to beat the flax  
 667 stems on a plane surface (rock or wood). The tool is schematised from the archaeological tool (Item  
 668 447267. SHM 23159: K12) displayed at the Historiska Museet, original photo © Gabriel Hildebrand  
 669 and right: another shape of a wooden club inspired from (Ejstrud et al., 2011; Viklund, 2012), and a  
 670 schema of the breaking tool mounted on a stand found in Norway and reported in (Ejstrud et al.,  
 671 2011); **b)** from the left: schema of a wooden knife found in Gloucestershire and dated to the middle  
 672 Bronze Age (original photo © Cotswold Archaeology), and reconstruction of a heckle dated between  
 673 850–1000 AD found in Shetland, Scotland (Item X. HSA 318 © National Museums Scotland); **c)**  
 674 schematic representation of the Miniature of the Book of Hours, MS M.399 fol. 12v, ca. 1515,  
 675 Belgium (original photo © The Morgan Library & Museum, New York). On the left, a woman scutches  
 676 a bundle of flax fibres with a wooden tool and a wooden column (highlighted in red) while two men  
 677 beat the stems with breaking tools; **d)** Detail of the “Livre d’heures” L’Escalopier, hours in Latin with  
 678 calendar, parchment of 16th century, f. 12 Ms LES 22 A, reproduced with the kind permission of ©  
 679 Bibliothèques d’Amiens Métropole and IRHT-CNRS.

680  
 681 In *L’Encyclopédie*, Diderot and D’Alembert indicate that in France, at the end of the 18th century, flax  
 682 flowering occurred in June (Diderot & D’Alembert, 1751). Next, plants were pulled out based on the  
 683 maturity and quality of the fibres that the farmers wished to obtain. The stems were left to dry in the  
 684 field for 24 h on soil and successively grouped in bundles. The bundles were placed on the ground, in

685 an upright position, leaning against one another to form an inverted V-shape chain of stems to  
686 enhance the airflow. The authors also reported that a shorter chain corresponded to better airflow.  
687 Dried stems were successively placed in the granary, and once the grains were dry, capsules were  
688 removed by beating the stems. For the retting process, three months were optimal: March, May and  
689 September. The retting process was performed in flowing water, in which stems were left for  
690 approximately 8 days and turned every day at the same hour of the day. The retting step was judged  
691 to be optimal by extracting three or four stems, pulling them and observing if the woody part was  
692 easily separated from the fibres. Retted stems were arranged on the ground to dry and bleached  
693 under the sun for eight days (the stems were turned after four days). Once the stems were dry, they  
694 were broken using a breaking tool mounted on a stand. A wooden knife with a dull wooden blade  
695 was used to eliminate the remaining straw, and finally, the flax fibres were combed (Diderot &  
696 D'Alembert, 1751). Diderot described another interesting method: flax was placed in a pot with  
697 boiling sea water, lime and ash in alternate layers, and the mixture was boiled for 10 h, with the  
698 addition of sea water when necessary. This method was used to prepare flax fibres to make them  
699 similar to cotton, and at the end of the process, flax fibres were ready for carding (Diderot &  
700 D'Alembert, 1751).

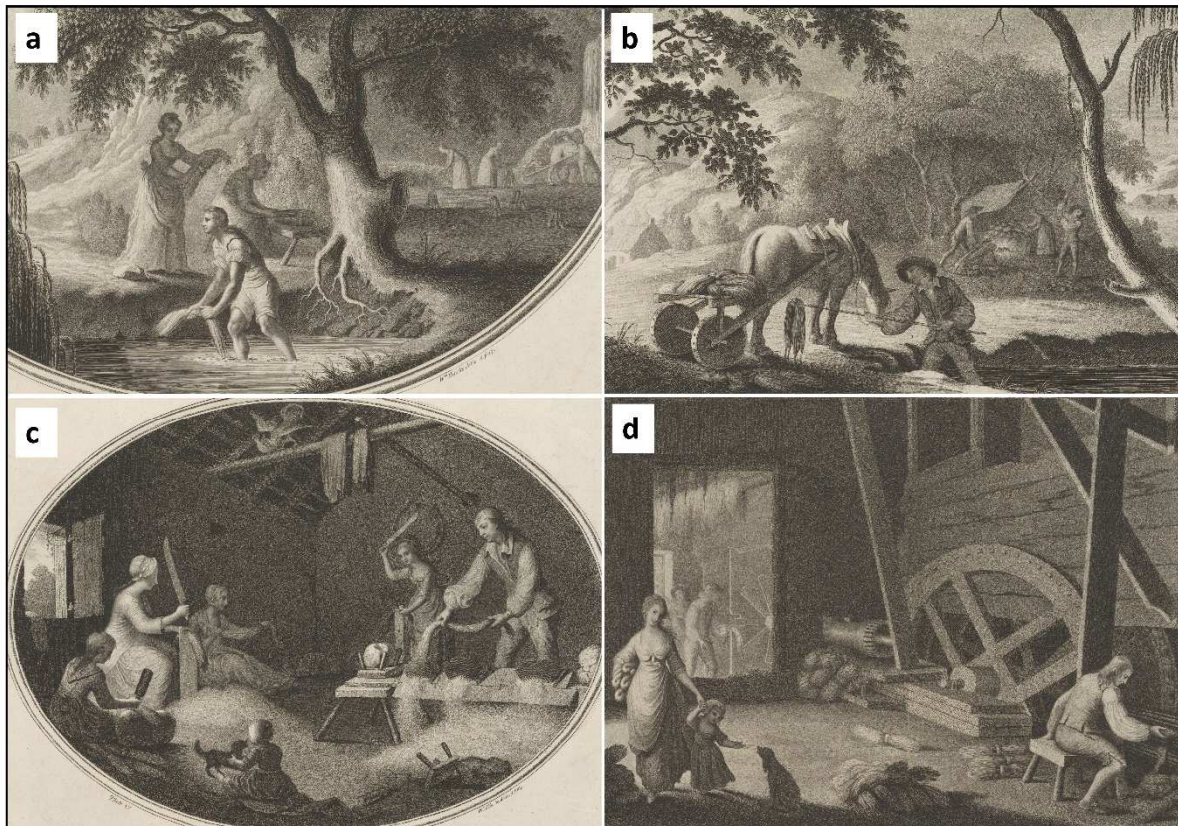
701 William Hincks performed a series of twelve lithography on linen manufacturing in Ireland for King  
702 George III of Great Britain, dated 1783. The author represented the process of flax fibre extraction. In  
703 plate II (**Figure 7.a**) two women harvest the flax crop in the background, while two other women  
704 eliminate the capsules. The man in the foreground places the stems in water. Plate III (**Figure 7.b**)  
705 illustrates the successive step when stems are extracted from the water by the man in the  
706 foreground. In the background, a woman and two men dry the retted stems near a fire and break  
707 them using breaking tools such as the tools illustrated in the miniatures shown in **Figure 6.c, d** and  
708 described by Diderot (Diderot & D'Alembert, 1751).

709 In plate IV, '*The Common Method of Beetling, Scutching and Hackling the Flax*' (**Figure 7.c**), a family is  
710 involved in the extraction process using the same tools as those in the Renaissance: a young girl uses  
711 a wooden mallet with stems placed in a plane support, two other women scutch the fibres on  
712 wooden columns using wooden knives and a man combs the fibres through several combs mounted  
713 on a wooden stand. In plate V, '*Interior view of a Scutch Mill*' (**Figure 7.d**), the first automated  
714 industrial process is illustrated.

715 Thus, even after the Industrial Revolution, manual extraction occurred. The French painter Jean-  
716 François Millet in '*Breaking Flax*' dated 1850–51 represented a woman performing the breaking  
717 process with the breaking tool mounted on a stand, although the tool appeared to be made of a  
718 larger and less elaborate wood piece. Fedot Vasilevich Sychkov, in the painting "Flax Combers"

719 (Мяльщицы льна) dated 1905, represented a group of women breaking fibres using the same type  
720 of breaking tool.

721



722

723 **Figure 7.** Four lithography by William Hincks, dated 1783 **a)** Plate II “View near Hillsborough in the  
724 country of Downe, representing pulling the flax when grown, hooking or putting it up to dry, rippling  
725 or saving the seed, and bogging or burying it in water”. Two women remove the capsules and  
726 prepare the stems for water retting, while a man places bundles of stems in water. In the  
727 background, two other women harvest the flax plants, group them in bundles and place them upright  
728 with respect to the ground to dry before the retting step. **b)** Plate III “View in the county of Louth  
729 representing taking the flax out of the bog”. After the retting process, the retted stems are moved  
730 near a campfire to be dried. In the background, two men are breaking the dried stems; **c)** Plate IV  
731 “The common method of beetling, scutching and hackling the flax”. A family is represented as  
732 breaking the stems and combining the fibres; **d)** Plate V “A perspective view of a scutch mill, with the  
733 method of breaking the flax” represents one of the earliest industrial scutching mills. The four images  
734 are reproduced with the kind permission of the © British Library Board.

735

736 3.3. Actual sowing, production, extraction methods and application

737 The global supply of fibres has grown yearly since the end of the 20th century; however, the demand  
738 for synthetic fibres, such as polyester fibres, has decisively attracted more importance than natural  
739 fibres. In 2020, approximately 120 million tons of natural and synthetic fibres were produced, and  
740 while cotton production was about 26 million tons, flax reached slightly over 1 million tons  
741 (Engelhardt, 2020).

742 The global supply at present demands a high quantity of production, which requires several hectares  
743 of fertile, cultivable soil and industries that can process flax and sell fabrics and semi-finished  
744 products. According to the FAOSTAT data (www.fao.org), flax production is mainly concentrated in  
745 Europe, which alone produced 97.1% of the flax supply between 2018 and 2019, followed by Asia  
746 (1.6%), Africa (0.8%) and America (0.6%). The world leader is France, while Belgium, Belarus and  
747 Russia together cover approximately 16.5%, and the production of all the other countries completes  
748 the rest of the global supply (**Table 3**).

749

750 **Table 3.** Summary of the world production of flax fibres and tow in year 2019. Data from FAOStat  
751 (Crops and Livestock Products: Flax Fibre and Tow, 2021)

Country	Tonnes
France	850,350
Belgium	94,000
Belarus	46,245
Russian Federation	38,464
China, mainland	17,550
Netherlands	13,360
United Kingdom	8,199
Egypt	7,525
Chile	3,201
Argentina	2,695
Total	1,081,589

752

753 Several flax varieties are currently in use, which can be divided into winter and summer types. The  
754 choice of the variety is extremely important, with each variety having a specific fibre yield, sensitivity  
755 to pests (oïdium and fusarium), sensitivity to drought stress and wind (lodging) and precocity to  
756 maturity (ARVALIS Institut du végétal, 2020). Research teams of several laboratories have focused on  
757 genetic modifications. For example, Musialak *et al.* improved the retting process by reducing the

758 pectin content; their transgenic flax was not only easier to ret but also more resistant to fusarium  
759 (Musialak et al., 2008), but the cultivation of GMOs is prohibited or strictly limited in Europe, making  
760 it an exception for research purposes (Directive (EU) 2015/412 of the European Parliament and of  
761 the Council of 11 March 2015 Amending Directive 2001/18/EC as Regards the Possibility for the  
762 Member States to Restrict or Prohibit the Cultivation of Genetically Modified Organisms (GMOs) in  
763 Their Territory, 2015).

764 After selecting the variety, seeds are sown with an optimal density between 1600 and 1800  
765 plants/m<sup>2</sup>. This density avoids competition between plants, which reduces the stem diameter and  
766 degrades the fibre mechanical properties and makes the plants sensitive to lodging (Bourmaud et al.,  
767 2016). For example, no remedy exists for *Fusarium oxysporum f. sp. lini*, which is a fungus that can  
768 penetrate from the soil to the root system and spread into vascular tissues (xylem and phloem)  
769 (Kroes et al., 1998). The plant dies slowly, and the fungus can affect the nearby plants. In other  
770 words, not only the mechanical properties of flax fibres are compromised, but fungal attacks can also  
771 cause the loss of the entire crop. Even if the diseased plants are eliminated, *Fusarium* can survive in  
772 the soil for several years. Therefore, crop rotation for flax is necessary, and a six-years interval  
773 between two flax cultivations is suggested (Bert, 2011).

774 At maturity, the plants are pulled out, and dew-retted between 3 and 6 weeks: the stems are left on  
775 the ground and turned to ensure retting in the whole stem. In Europe, the practice of water retting  
776 has been forbidden since the beginning of the 20th century due to induced water eutrophication,  
777 which refers to the pollution of freshwater with a high content of organic material generated by the  
778 action of anaerobic bacteria (Zawani et al., 2013); nevertheless, this practice is currently in use in  
779 other countries. Moreover, the cost of this process is high because fibres should be well dried once  
780 the retting is completed (Henriksson et al., 1997). Thus, dew retting is preferred, although this  
781 process is highly dependent on the weather, and warm temperatures and rainfall with alternating  
782 sunny days are essential to ensure satisfactory retting (ARVALIS Institut du végétal, 2017, 2020).  
783 Several geographical areas that produce linen, such as England or Scandinavian countries, cannot  
784 implement dew retting because of their unfavourable climate (Akin, 2013); climate change has also  
785 been responsible for inhomogeneous retting, especially in western Europe in recent years.

786 If the environment is excessively dry, the dew retting process is slow, and inferior retting yields stems  
787 with fibres that are difficult to extract; moreover, the well-glued cortical parenchyma is difficult to  
788 eliminate from the fibre surface, resulting in a low fibre quality (extremely coarse) and degraded  
789 mechanical properties (Martin et al., 2013; Meijer et al., 1995). In this case, the fibre bundles appear  
790 gold-coloured. In contrast, when fibres are over-retted for more time than necessary or excessive  
791 rainfall occurs, the extracted fibres are weak (Meijer et al., 1995) and appear black. In extreme cases,

792 the cellulose can be excessively degraded and the fibre properties are inadequate to be used (Placet  
 793 et al., 2017). Thus, although the retting process is the fundamental step, it cannot be controlled but  
 794 can only be evaluated by an expert. Five organoleptic criteria are selected, based on the senses of  
 795 sight and touch, listed in **Table 4**: nature of flax (unctuous or dry), colour, strength, fineness, and  
 796 homogeneity of retting with presence/absence of woody parts. For each criterion, a value between 1  
 797 and 7 is assigned, where 7 represents the highest quality (Lefevre, 2014).

798

799 **Table 4.** Five organoleptic criteria to establish the flax fibre quality. Table readapted from (Lefevre,  
 800 2014)

Criteria	Value assigned						
<b>Nature</b>	/	/	3	4	5	6	7
			dry fibres or diseased fibres	fatigued fibres	standard	unctuous	unctuous/silvered
<b>Colour</b>	1	2	3	4	5	6	7
	blue/black (over-retted)	bright yellow (under-retted)	diseased fibres (e.g., fusarium)	golden tonality	blue	bright blue	grey/silvered
<b>Strength</b>	1	2	3	4	/	/	/
	non-resistant	medium	satisfactory	highly resistant			
<b>Fineness</b>	1	2	3	4	/	/	/
	low	medium	satisfactory	highest fineness			
<b>Homogeneity of retting (colour)</b>	1	2	3	4	/	/	/
	large defect in colour (notable presence of straw)	a slight defect in colour (relative presence of straw)	high homogeneity	highly homogeneous			

801

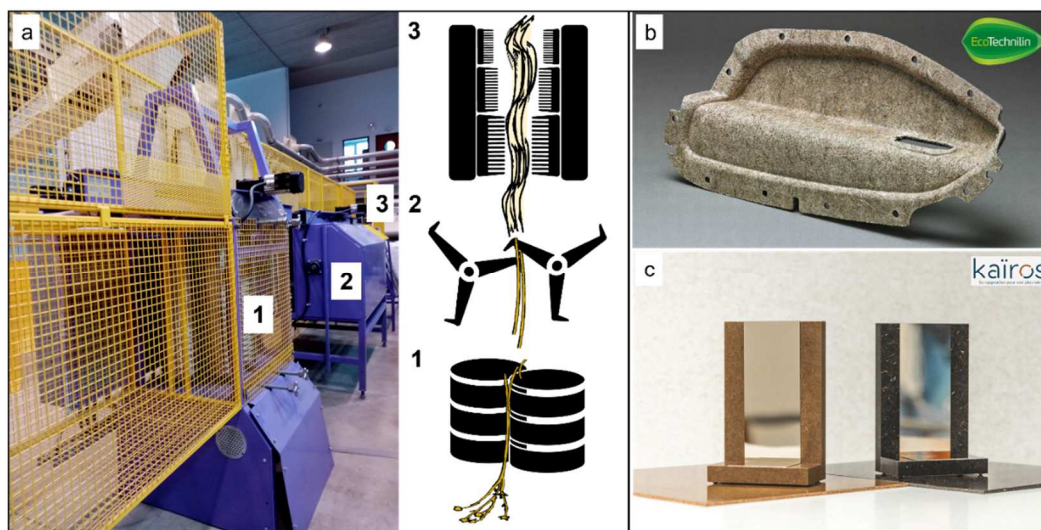
802 To improve this step and render it more reproducible, enzyme retting has been studied; however,  
 803 currently, this alternative is expensive (Akin, 2013; Tavares et al., 2020) and cannot be applied at the  
 804 industrial scale, although the resulting fibres exhibit enhanced mechanical properties. Additionally,  
 805 the use of fungal cultures was examined for the retting process. Akin *et al.* reported that among  
 806 *Rhizomucor pusillus*, *Fusarium lateritium* and *Epicoccum nigrum* isolated from dew-retted flax, *R.*  
 807 *pusillus* exhibited the highest performance because it did not attack the flax fibre cell walls and divide  
 808 them from the remaining stem, in contrast to the other two fungi that led to over-retting (Akin et al.,  
 809 1998). In addition to the possibility of damaging flax cell walls, certain fungi are also potential



810 pathogens, such as *R. pusillus* for animals (Akin et al., 1998). Moreover, fungal spores are difficult to  
 811 control and eliminate and can potentially grow even after the fibre extraction process. Another way  
 812 to ret the stem is by chemical retting with reagents (Adamsen et al., 2002); however, this process is  
 813 also expensive and cannot be used on an industrial scale (Tavares et al., 2020).

814 Once flax fibres are retted and well dried, they are processed in a scutching/hackling line similar to  
 815 that described by Gregoire *et al.* and shown in **Figure 8.a** (Gregoire et al., 2019). Industrial extraction  
 816 lines are based on ancient extraction methods transformed at a large scale and automated. The  
 817 whole line is divided into different modules, as illustrated in **Figure 8.a**: a breaking module made of  
 818 several rollers that crash the stems, a scutching module with turbines that scrap the broken shives  
 819 from the fibres and a hackling module with combs in the last part of the line. For further explanation  
 820 of the flax fibre extraction process, readers can refer to the review by Manian *et al.* (Manian et al.,  
 821 2021). After their extraction, fibres can be transformed into woven or non-woven preforms. An  
 822 advantage is that the same lines can be used for the extraction of other bast fibres, such as jute and  
 823 hemp.

824



825

826 **Figure 8.** a) Taproot extraction line with three modules, and a schematic representation of the steps  
 827 involved in general industrial extraction lines: 1. breaking module, 2. scutching module and 3.  
 828 combing module; **b)** biocomposite made of flax fibres for automotive (© Ecotechnilin) and **c)**  
 829 biocomposites reinforced with flax fibres employed for applicators used in cosmetic showrooms (©  
 830 Kairos).

831

832 After fibre extraction, several products are obtained: dust, shives and tow, short fibres and long  
 833 fibres. Although technical fibres are the products with the highest quality and strength, the other

834 subproducts are currently considered for use in the industry in the context of recycling (Nuez et al.,  
835 2020). At present, long flax fibres are mainly used in the manufacturing industry, household linen and  
836 design objects, although a new market of biocomposites and technical textiles has begun emerging.  
837 The definition of biocomposite is generally extended to the coupling between synthetic or mineral  
838 materials, which have a matrix function, and natural fibres, in this case flax fibres, used to enhance  
839 the mechanical properties of the matrix.

840 Countries worldwide are attempting to modify the practices in the industrial economy to reduce  
841 waste and carbon impacts, which are mainly responsible for climate change. This effort also involves  
842 substituting synthetic materials with plant materials when possible, such as the biodegradable plastic  
843 bags used presently for food waste (Ghosh & Jones, 2021). Consequently, biocomposites have  
844 attracted interest to promote widespread use in the future.

845 In contrast to blocks for building construction, such as kaolin and earth reinforced with plant fibres,  
846 the progenitors of which are ancient mud bricks (Colinart et al., 2020; Fic et al., 2013; Menasria et al.,  
847 2017; Vincelas, 2019), biocomposites created from a mixture of polymer resins and plant fibres are  
848 materials developed in the contemporary era. These biocomposites are used for automotive and  
849 sporting goods such as bicycles but also for musical instruments and other objects used in daily life,  
850 such as those shown in **Figures 8.b, c**. Hybrid fabric with mixed carbon and plant fibres has been also  
851 developed (Shamsuyeva et al., 2019). Because such biocomposites are novel products, their  
852 mechanical properties, durability and life cycle are not well known and are being collaboratively  
853 examined in the academic and industrial domains.

854 Additionally, agricultural practices have been influenced by global warming and the consequent  
855 climate change. Flax is sensitive to drought, and almost all flax production is localised in France,  
856 especially north-west of France. According to the recent ARVALIS data, in 2020, almost all regions of  
857 flax production had less than 125 mm of rainfall between April and July compared to the mean  
858 calculated from the same period (April–July) of the last 20 y (ARVALIS Institut du végétal, 2020). In  
859 addition to drought, extreme weather events are uncertain, and in the future, the production of flax  
860 in France may be threatened.

861

#### 862 **4. The degradation of flax fibres: problems in modern and ancient objects**

863 Flax fibre is an organic material with a complex structure and is naturally sensitive to changes over  
864 time. Consequently, the natural ageing process can easily modify the chemical composition, and such  
865 fibres are more susceptible to biological attacks and structural damage compared to inorganic  
866 materials.



867 In the context of artworks, this phenomenon can lead to problems for museums, restorers and  
868 conservation scientists focused on stabilising and preserving objects. Moreover, the engineering  
869 domain is interested in understanding the plant fibre ultrastructure responsible for their high  
870 performance and biochemical changes that occur after exposure to thermal treatments, humidity  
871 and artificial ageing processes, as well as the modifications due to coupling with materials of  
872 different natures.

873 This section summarises the factors that can contribute to the morphological, structural and  
874 chemical changes of flax fibres.

875

#### 876 4.1. Two main factors of the flax fibre degradation process: water and temperature

877 In conservation science, water and high temperatures are the basis for almost all damage  
878 mechanisms of materials, and they mostly contribute to the ageing process. In particular, at high  
879 relative humidity and temperatures, several other degradation processes, such as biodegradation  
880 and chemical reactions, may occur.

881 A direct effect of high relative humidity is fibre swelling, caused by new bonds formed between  
882 water molecules with hydroxyl groups present in hemicelluloses and amorphous celluloses in cell  
883 walls (Céline et al., 2014b; Garat et al., 2020; Pejic et al., 2008) and carboxyl groups of pectins,  
884 especially in the middle lamella, that lead to mechanical and structural changes (Garat et al., 2020).  
885 Garat et al. indicated that at RH <10%, this type of absorption is prevalent, while at higher RH,  
886 between 10% and 65%, water is absorbed by pores and lumen through capillarity, leading to the  
887 abundance of free water, which are water molecules that are not chemically bonded (Garat et al.,  
888 2020).

889 In the context of museums and art galleries, a low relative humidity, RH 5–40%, causes the materials  
890 to become dry and brittle (Canadian Conservation Institute, 2013; Museum Galleries Scotland, 2021;  
891 Pavlogeorgatos, 2003) in addition to the structural deformation due to the loss of water molecules.  
892 The effect is accentuated if several materials of different natures are coupled, such as in oil paintings  
893 (Mecklenburg, 2007). In contrast, a relative humidity higher than 60% promotes the development of  
894 microorganisms: the first moulds appear after three months at RH 70% and after a few days at RH  
895 90% (Canadian Conservation Institute, 2013). Furthermore, to eliminate the stress that repetitive  
896 humidity cycles can cause on the fibre structure, fluctuations of the relative humidity should be  
897 avoided in museums.

898 In Italy, the UNI10829 presented by Ente Italiano di Normazione suggests that ancient clothes,  
899 tapestries, natural fibres, etc. should be preserved at RH 30–50% with daily fluctuations limited to

900 approximately 6%. Easel paintings on canvas (oils, tempera, gouache) should be preserved at RH 45–  
901 60% with fluctuations limited to approximately 6%, as cited in (Corgnati et al., 2010).

902 The effect of temperature is often associated with relative humidity because of its strong correlation.  
903 High temperatures can break the cellulose chain of plant fibres, which acquire a brown colour  
904 (Pertegato, 2004, p. 27). In museums, following UNI10829, ancient clothes, tapestries and other  
905 artworks made of natural fibres and easel paintings on canvas should be preserved at T 19–24 °C  
906 with daily fluctuations limited to approximately 1.5 °C (Corgnati et al., 2010). In the context of higher  
907 temperatures, Gassan and Bledzki reported that at 60 °C, amorphous cellulose forms hydrogen  
908 bonds. At 150 °C, recrystallisation occurs, and hemicelluloses are more sensitive to the degradation  
909 process than lignin or  $\alpha$ -cellulose (Gassan & Bledzki, 2001). The authors also observed that until 170  
910 °C, only a slight difference occurs in the tenacity and degree of polymerisation (DP). Above this limit,  
911 the degree of crystallinity increases, indicating recrystallisation after chain breakage. However, the  
912 tenacity and degree of polymerisation dramatically decrease (Gassan & Bledzki, 2001). This change in  
913 flax fibres due to extremely high temperatures was also recorded through nanoindentation and  
914 atomic force microscopy tests. At 190 °C, the indentation modulus was approximately 21 GPa, and at  
915 higher temperatures, the fibre stiffness was noted to be 16 and 14 GPa at 210 °C and 250 °C,  
916 respectively (Siniscalco et al., 2018).

917

#### 918 4.2. Biodegradation, light and pollution: other degradation mechanisms linked with water and 919 temperature

920 Biodegradation is the first of the indirect effects of water and temperature on natural cellulosic fibres  
921 and the reason why the hygrometry and temperatures must be meticulously controlled in museums.  
922 Warm temperatures and high humidity content are ideal conditions for the development of most  
923 microorganisms and parasites that can degrade plant fibres. However, other parameters, such as pH,  
924 the presence of oxygen, light and availability of nutrients, may favour the growth of certain  
925 microorganisms and inhibit others, according to their limits of tolerance.

926 Two notable studies on jute fibres performed by Basu and Ghose (Basu & Ghose, 1962a, 1962b)  
927 demonstrated that different fungi can lead to different types of degradation and have different  
928 spread methods. Considering the type of spread, the authors divided the fungal species into two  
929 categories: one group can penetrate into the lumen, whereas the other group cannot (Basu & Ghose,  
930 1962b).

931 Nugari *et al.* presented detailed tables of certain bacteria and fungi most frequently isolated from  
932 cellulose artworks and air of museums (Nugari et al., 2007), and other research teams summarised

933 the methods of identification of fungi (Djemiel et al., 2020; Repeškienė et al., 2007) and bacteria  
934 (Djemiel et al., 2020) isolated from dew-retting, water retting and standing retting flax fibres.

935 **Table 5**, presents the fungi and bacteria found in common between the retted flax and isolated from  
936 artworks. Fungi are more largely represented.

937 **Table 5.** Fungi and bacteria in common between fresh retted flax stems and cultural heritage objects  
938 made of cellulosic fibres. Table adapted from (Djemiel et al., 2020; Nugari et al., 2007; Repeškienė et  
939 al., 2007)

FUNGI	BACTERIA
<i>Alternaria solani</i>	<i>Bacillus cereus</i>
<i>Alternaria tenuissima</i>	<i>Bacillus subtilis</i>
<i>Aspergillus flavus</i>	<i>Pseudomonas aeruginosa</i>
<i>Aspergillus niger</i>	
<i>Botrytis cinerea</i>	
<i>Cladosporium cladosporioides</i>	
<i>Cladosporium herbarum</i>	
<i>Epicoccum nigrum</i>	
<i>Fusarium oxysporum</i>	
<i>Humicola grisea</i>	
<i>Trichoderma virens</i>	
<i>Verticillium nigrescens</i>	

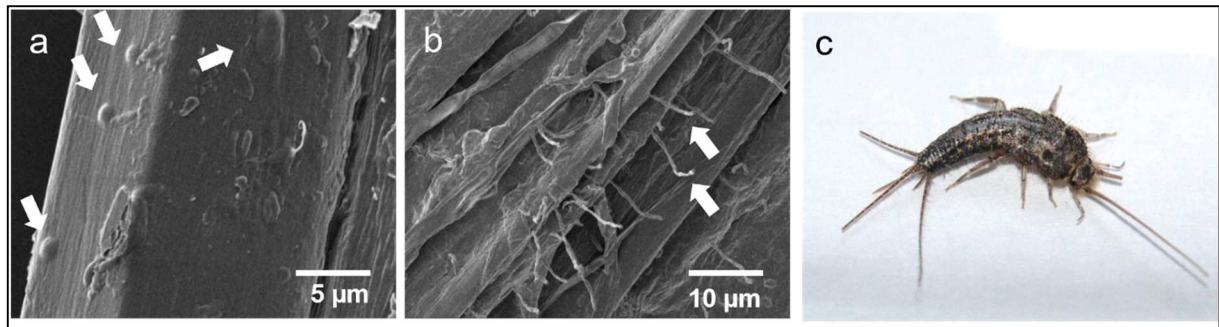
940

941

942 Water activity  $a_w$  (or free water) is essential to the growth of microorganisms and is expressed as the  
943 ratio of the partial vapour pressure of water in equilibrium with a solution ( $p^{equ}$ ) to the water vapour  
944 pressure of pure water ( $p^\circ$ ). Therefore,  $a_w = p^{equ}/p^\circ$ , with  $a_w=1$  for pure water (Herrington & Vernier,  
945 1995; Caneva & Ceschin, 2007; Tiebe et al., 2018). For materials such as food and other surfaces, the  
946 equilibrium relative humidity (ERH) indicates the capacity of a material to absorb and desorb water  
947 molecules in air at given temperature and under a total pressure of 1 atm. Therefore,  $ERH =$   
948  $(p^{equ}/p^{sat})_T$ ,  $p = 1$  atm, where  $p^{equ}$  is the partial pressure of water vapour in equilibrium, and  $p^{sat}$  is the  
949 saturation partial pressure of water (Herrington & Vernier, 1995).

950 In general, fungi need lower water activity ( $a_w$  between 0.75–0.99) than bacteria ( $a_w > 0.90$ ) to grow,  
951 and a higher fungal activity is expected in air (Caneva & Ceschin, 2007; Kim & Singh, 2000). In  
952 contrast, if artefacts, objects or biocomposites are buried in soil or compost, bacterial activity is  
953 generally prevalent (Kim & Singh, 2000). However, in burial contexts, fungi (especially soft rot fungi)  
954 and bacteria may coexist (Kim & Singh, 2000) by attacking the same cellulosic materials and fibre  
955 cells (Singh et al., 2016). **Figures 9.a, b** show certain over-retted fibres attacked by bacteria visible on

956 the surface of the fibre and fungi; the hyphae, in the case of flax fibres, are almost completely  
957 embedded in a biofilm.  
958



959  
960 **Figure 9.** a) Bacterial and b) fungal colonisation on the surface of over-retted fibres and c) silverfish  
961 *Ctenolepisma lineata* (© Erica Melelli). Unpublished images.

962  
963 In summary, fungi and bacteria may lead to mechanical and structural damage of the fibre through  
964 the formation of tunnels, erosion and fractures in the cell wall. Moreover, these organisms can lead  
965 to changes in the pH (generally to more acidic) due to substances secreted due to the metabolic  
966 activities and pigmented spots (Nugari, 2007; Pertegato, 2004). This change in acidity caused by  
967 enzymes can promote the acid hydrolysis of cellulosic fibres, which is one of the most destructive  
968 processes for textiles and paper. Insects may also damage linen fabric, although their action is less  
969 frequent than that of fungi and bacteria. Two of the most well-known insects that can infest linen are  
970 silverfish (*Lepisma saccharina*, *Ctenolepisma longicudata* and *Ctenolepisma lineata*), shown in **Figure**  
971 **9.c**, and firebrats (*Thermobia domestica*) (Phillips & Gillett-Kaufman, 2018; Sloderbeck, 2004). The  
972 action of these insects is generally limited to hole production because they feed on cellulose fibres;  
973 however, silverfish can also produce yellowing spots (Sloderbeck, 2004).  
974 Other indirect impacts of water and temperatures are chemical reactions, especially due to air  
975 pollution, and, in the case of seawater and aerosols, salt deposition. Air pollution is a current  
976 problem that has gained increasing attention in society. SO<sub>2</sub> and NO<sub>x</sub>, generated because of oxygen in  
977 air and water molecules in moisture, can produce sulfuric and nitric acids, respectively, which react  
978 with cellulose fibres in the acid hydrolysis process (Pertegato, 2004, p. 28). Moreover, the complexity  
979 of artworks can also promote these chemical reactions because of the several layers of different  
980 natures often coupled in the same object, for example, in the form of pigments. The presence of  
981 moisture, together with the constant contact of cellulosic fibres with metals, can cause another  
982 phenomenon known as mineralisation. Metal corrosion caused by the reaction of water with metal  
983 cations creates minerals within cellulose fibres and leads to partial substitution of the organic

984 material (Gillard et al., 1994; Peacock, 2003; Reynaud et al., 2020). Although fibre mineralisation  
985 causes structural modification of cellulose fibres such as flax, which become stiffer and brittle, metal  
986 cations, such as copper cations, can contribute to the preservation of textiles due to their biocidal  
987 activity, which limits the development of microorganisms (Gillard et al., 1994; Peacock, 2003;  
988 Reynaud et al., 2020).

989 In terms of salt deposition, water can contain dissolved salts, of which the most common salt is  
990 sodium chloride as in seawater. Salty water can penetrate between the cellulosic fibres, and into  
991 their pores and their lumen. The subsequent evaporation of the aqueous medium deposits salt,  
992 which crystallises and the formed crystals exert pressure, causing fractures in the cell walls and  
993 cavities between fibres (Kirker & Glaeser, 2011). This phenomenon requires alternate wetting and  
994 drying cycles, and salt, being hygroscopic, modifies the hygroscopic stability of the whole object,  
995 together with its structure, in a self-perpetuating process. Wood degraded with salt is also known as  
996 “fuzzy” wood because of its appearance (Kirker & Glaeser, 2011).

997 In general, the problem does not arise because these conditions do not allow the preservation of  
998 cultural artefacts made of bast fibres. For example, cotton immersed in sea water can degrade in  
999 three weeks (Dorée, 1920). Therefore, although salt growth is documented in stone (Anson  
1000 Cartwright & International Scientific Committee for Stone, 2008) and wood (Mi et al., 2020)  
1001 conservation, this phenomenon is not considered for bast fibres. Notably, this aspect is considered to  
1002 be examined in the engineering field in the future because one of the applications of plant fibre-  
1003 reinforced composites is the production of aquatic sports equipment such as surfboards (Pil et al.,  
1004 2016) and sailing equipment.

1005 The last parameter is light. Together with water and temperature, light can promote the growth of  
1006 microorganisms and cause heating damage. UV radiation is particularly dangerous, especially in the  
1007 presence of water molecules, because it causes photo-oxidation of cellulosic fibres, which not only  
1008 alters the original colour but also produces carboxylic acid and breaks the cellulose chains (Pertegato,  
1009 2004, p. 27; Yang & Freeman, 1991). Furthermore, oxidised cellulose promotes hydrolysis (Marini  
1010 Bettolo et al., 2007). Therefore, UNI10829:1999 established illumination limits similar to the  
1011 temperature and humidity parameters. Textiles and tapestries can be exposed to a maximum  
1012 luminance of 50 lux (luminous flux to square metre =  $\text{lm}/\text{m}^2$ ), with a maximum UV radiation of 75  
1013  $\mu\text{W}/\text{lm}$  and a maximum annual light dose of  $0.2 \text{ Mlx} \cdot \text{h}/\text{y}$ . Different values are indicated for paintings  
1014 on canvases, which are less sensitive to light than pure textiles ( $E=150 \text{ lx}$ ,  $\text{UV max}=75$ , annual light  
1015 dose  $\text{LO}=0.2$ ) (Corgnati et al., 2010).

1016

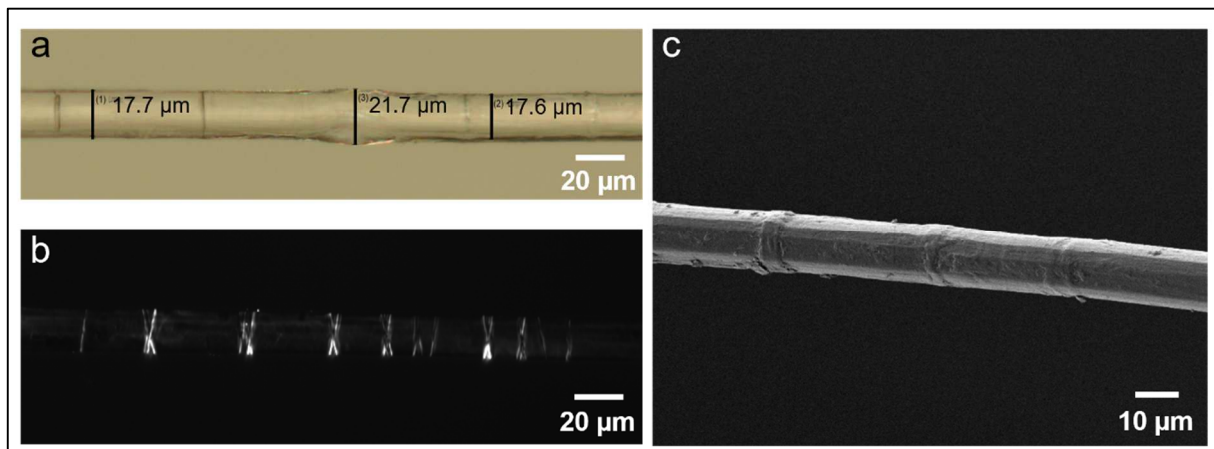
1017 **5. Characterization techniques to study ancient and modern flax fibres**

1018 Several techniques are currently being used to characterise plant fibres at different scales and are  
1019 briefly introduced in this section, followed by the types of information that can be obtained using  
1020 these techniques.

1021 5.1. Morphological analysis

1022 The simplest characterisation method is optical microscopy using visible light. Compound and stereo  
1023 microscopes, which are fast, inexpensive and available in every laboratory, are suitable to obtain a  
1024 global view of the sample. Optical microscopy can be adopted to measure the average diameter of  
1025 flax fibres and evaluate the presence/absence of kink-bands and defects along the fibres (**Figure**  
1026 **10.a**). In the case of fabrics, this technique can be used to evaluate the thread count and type of  
1027 weaving, as indicated by Helmi *et al.* (Helmi et al., 2008). As shown in **Figure 10.b**, polarised light  
1028 microscopy enables the identification of kink-bands from different bast fibres (Bergfjord et al., 2010)  
1029 and their quantification through image processing (Mortensen & Madsen, 2014; Thygesen &  
1030 Hoffmeyer, 2005). For further explanation of the investigation of kink-bands under polarised light,  
1031 Thygesen and Hoffmeyer's paper is suggested (Thygesen & Hoffmeyer, 2005).

1032



1033

1034 **Figure 10.** a) Elementary flax fibre under optical microscopy and diameter calculation b) elementary  
1035 flax fibre under polarised light that highlights kink-bands in white (©Emmanuelle Richely) and c) SEM  
1036 microscopy of an elementary flax fibre with three visible kink-bands.

1037

1038 Scanning electron microscopy (SEM) is one of the most commonly used characterisation techniques.  
1039 This approach is principally used to scan the fibre surface (**Figure 10.c** and **Figure A.1**) and, in the  
1040 case of artworks, it can provide information regarding the elemental composition of foreign  
1041 materials if coupled with energy-dispersive X-ray spectroscopy (EDX) or wavelength-dispersive X-ray  
1042 spectroscopy (WDX) systems (<https://www.eeseemi.com/edxwdx.htm> for more details). SEM analysis

1043 can be used to clarify the fibre shape (**Figure A.1**) and kink-band shape, signs of ageing (Herrera et  
1044 al., 2010) and presence of foreign materials and biological attacks (Richter, 2005). Richter reported  
1045 that textile fibres can be washed in boiling water and ether at 40–60 °C to extract dust and foreign  
1046 materials before SEM analysis (Richter, 2005); however, this step is not always possible and is not  
1047 exempt from the risk of causing further damage to the fibres. Additionally, certain important pieces  
1048 of information that can be obtained from foreign materials may be lost. Consequently, this step is  
1049 rarely executed for ancient textiles and is not necessary when modern samples are considered.  
1050 Furthermore, although transmission electron microscopy (TEM) analysis is important, it is not  
1051 commonly adopted because of the difficult sample preparation process; the sample must be as thin  
1052 as possible (less than 100–150 nm). In contrast to SEM, this technique highlights the cell wall layers in  
1053 sample cross-sections and can be used to investigate the biodegradation of the cell wall in  
1054 archaeological findings (Kim et al., 1996; Kim & Singh, 2000).

1055

## 1056 5.2. Study of parietal composition

1057 FTIR and Raman spectroscopies are vibrational spectroscopic techniques that are extensively used to  
1058 analyse bast fibres, and these approaches are well known in the cultural heritage field because of  
1059 their non-destructive nature. Nevertheless, in the case of plant fibre analysis, FTIR spectroscopy is  
1060 generally preferred because the recorded spectrum is not influenced by the presence of fluorescence  
1061 emission; in contrast, in the case of Raman analysis, fluorescence can hide signals of interest from  
1062 the sample structure. Therefore, Raman spectroscopic analysis of bast fibres is generally preferred  
1063 using an excitation wavelength of 785 nm in the case of micro-Raman spectroscopy (Bonizzoni et al.,  
1064 2016; Kavkler & Demšar, 2011) or 1064 nm for FT-Raman spectroscopy (Edwards et al., 1997) to  
1065 reduce fluorescence. Another method to decrease fluorescence, which is extremely high in ancient  
1066 textiles, is the photo-bleaching method, which involves irradiating the sample with a laser for long  
1067 periods, for example, 30 min, before the acquisition (Kavkler & Demšar, 2011).

1068 Micro-Raman spectroscopy performed using an excitation wavelength of 532 nm and a 100x oil  
1069 immersion objective can have a spatial resolution of approximately 240 nm (Thygesen & Gierlinger,  
1070 2013). Infrared spectroscopy can be performed at the microscale level through  $\mu$ -FTIR/ATR, which is  
1071 an IR spectrometer technique involving a germanium crystal that allows a contact area of 100  $\mu$ m  
1072 with the sample surface (PerkinElmer Inc., 2010).

1073 With excitation wavelengths in the visible radiation or NIR (for Raman spectroscopy) range and IR  
1074 range (for FTIR spectroscopy), the abovementioned techniques allow to obtain information on the  
1075 sample molecular structure through the inelastic scattering of photons in the case of Raman, or  
1076 absorption of the incident radiation that activate particular molecular vibrational modes of molecules

1077 present in the sample, in the case of FTIR. For further information regarding the application of  
1078 vibrational spectroscopic techniques to plant cells, Gierlinger's work is suggested (Gierlinger, 2018).  
1079 Vibrational spectroscopic analysis of bast fibres allows to recognize plant species (Edwards et al.,  
1080 1997; Garside & Wyeth, 2003; Kavkler & Demšar, 2011) and study ageing process (Bonizzoni et al.,  
1081 2016; Margariti, 2019), biodegradation (Elamin et al., 2018; Kavkler, Gunde-Cimerman, et al., 2011)  
1082 and evaluate presence or absence of foreign materials, such as pigments and binders.  
1083 These two spectral techniques can also be used to calculate relative intensity ratios between peaks  
1084 to evaluate the crystallinity index ( $X_{\text{CFT-Raman}}/\% = (I_{1481}/I_{1481}+I_{1462}) \times 10^2$  in the Schenzel method or  
1085  $I_{380}/I_{1096}$  in the Agarwal method,  $X_{\text{FTIR}} = I_{1372}/I_{2900}$ ) (Agarwal et al., 2010; Kavkler, Gunde-Cimerman, et  
1086 al., 2011; Schenzel et al., 2005) and lateral order index ( $LOI_{\text{FTIR}} = I_{1430}/I_{897}$ ) (Fan et al., 2012; Nelson &  
1087 O'Connor, 1964), which can reflect the state of preservation of cellulose chains in the fibres.  
1088 <sup>13</sup>C cross polarisation magic angle spinning nuclear magnetic resonance spectroscopy (<sup>13</sup>C CP-MAS  
1089 NMR) is another technique used to evaluate cellulosic objects. NMR uses nuclei, such as <sup>1</sup>H or <sup>13</sup>C,  
1090 and maps their position in the molecules by applying an external magnetic field that induces the  
1091 nucleus resonance. An advantage of solid-state NMR is the possibility of studying solid samples in  
1092 their native form. A recent and exhaustive review of the solid-state NMR technique can be found in  
1093 (Reif et al., 2021). This technique provides complementary information to that obtained using  
1094 vibrational spectroscopy, regarding the abundance or change in the molecular composition of the  
1095 sample. For example, for cellulosic materials, <sup>13</sup>C NMR CP-MAS analysis was performed to evaluate  
1096 naturally aged and artificially oxidised cotton and linen fibres to simulate fibres in ancient paper  
1097 (Princi et al., 2005). A notable parameter that can be calculated using the <sup>13</sup>C NMR CP-MAS technique  
1098 is the crystalline to amorphous ratio of cellulose. The values are calculated using the integrated areas  
1099 of crystalline C4c ( $\delta \sim 87\text{--}93$  ppm) and amorphous C4a ( $\delta \sim 80\text{--}85$  ppm) cellulose, through the formula  
1100  $R = I(\text{C4c})/I(\text{C4a})$  (Castro et al., 2011; Park et al., 2009). Moreover, the cellulose I<sub>α</sub> and I<sub>β</sub> allomorphs  
1101 (Atalla & VanderHart, 1984; Larsson et al., 1997; Foston, 2014) can be evaluated, along with the  
1102 effects of mechanisms such as hydrolysis (Wickholm et al., 1998).  
1103 Other complementary information regarding the fibre structure can be obtained by the calculation of  
1104 the average lateral fibril dimension (Wickholm et al., 1998), which is an estimate of the ultrastructure  
1105 of the cellulosic fibres and their microfibril network. NMR is micro-destructive, and at least 30 mg of  
1106 sample is needed, which is a key limitation of this technique in the cultural heritage field (Capitani et  
1107 al., 2012). Other techniques, such as gas chromatography/mass spectrometry (GC/MS) or pyrolysis–  
1108 gas chromatography/mass spectrometry (Py-GC/MS) can be used to obtain information regarding  
1109 fibre treatments (Aracri et al., 2010; Dorez et al., 2014) or pigments (Degani et al., 2015) present at  
1110 the fibre surface; however, the use of these approaches is limited. In botany and engineering fields,



1111 gas chromatography is widely applied, for example to determine the polysaccharide composition, but  
1112 notably, the minimum amount of sample required is 5 mg and its destructive (Lefeuvre et al., 2018;  
1113 Pettolino et al., 2012).

1114 The last technique presented here that can be used to obtain information regarding the state of  
1115 preservation and ageing of cellulose fibres is the degree of polymerisation (DP) (Seves et al., 2000;  
1116 Rossi, 2005; De Caro et al., 2019). This technique quantifies the monomers present in the cellulose  
1117 chains through viscosity or sedimentation-diffusion measurements (Timell, 1955); thus, the  
1118 technique is destructive and requires a considerably high amount of sample, i.e. between 60 and 120  
1119 mg (Rossi, 2005). In the past, there was disagreement regarding the polymerisation degree  
1120 calculated using nitrate or cuprammonium and the preference for sedimentation-diffusion and  
1121 viscosity. However, at present, protocols have been established through norms as the ISO 5351:2010,  
1122 UNI 8282: 1994 in Italy or DIN 54270 in Germany, according to which, copper (II) ethylenediamine is  
1123 used for sample dissolution and successive calculation of relative viscosity. As reported by Rossi, for  
1124 raw cotton and linen, the degree of polymerisation is higher than 2000–2500 DPw, while for  
1125 bleached fibres, the degree of polymerisation is higher than 1200–1300 DPw. In ancient paintings,  
1126 values less than 500 DPw are considered critical and a sign that cellulose fibres have lost their  
1127 strength (Rossi, 2005). An interesting example of this application can be found in (Seves et al., 2000).  
1128 Notably, in the engineering field, other destructive techniques, such as thermogravimetric analysis  
1129 TGA and derivative thermogravimetry DTG, can provide indirect and approximate information  
1130 regarding the chemical composition of cellulose fibres and enable the comparison of the quantity of  
1131 water, cellulose components and non-cellulosic material between two samples (Jiang et al., 2019).  
1132 Flax fibres, heated at a well-defined interval of 10 °C to high temperatures (600 °C) with constant  
1133 monitoring of their weight, exhibit loss of water at 60 °C, and successive degradation of cellulose and  
1134 hemicellulose at 300 °C, followed by lignin and pectin degradation at 400 °C (Jiang et al., 2019;  
1135 Gourier et al., 2014).

1136

### 1137 5.3. Ultrastructural modifications

1138 X-ray diffraction (XRD) is based on a collimated and monochromatic X-ray beam that hits the sample  
1139 and interacts with electrons of the atoms in the sample. The X-rays are elastically scattered, and if  
1140 the sample has a crystalline and well-ordered structure, the crystalline lattice generates a diffraction  
1141 pattern due to the constructive and destructive interferences following Bragg's law:  $2d\sin\theta=n\lambda$ . XRD  
1142 analysis, performed with synchrotron radiation (SR-XRD) (Herrera et al., 2010; Paris & Müller, 2003),  
1143 can be used to examine the crystallinity index of cellulose fibres (Zhao et al., 2007), microfibril angle

1144 of cellulose microfibrils (Müller et al., 1998), mineralisation process (Chen et al., 1996) and presence  
1145 of unknown fibres (Müller et al., 2006).

1146 XRD can provide data in two formats: representations of the 2D diffraction pattern, and one-  
1147 dimensional profiles extracted from these representations. Flax fibres yield the typical signal of  
1148 cellulose I with reflections at 110,  $1\bar{1}0$  and 200 (Paris & Müller, 2003). Based on these reflection  
1149 peaks in the one-dimensional profile extracted from the pattern, three methods can be used to  
1150 calculate the crystallinity index: i) using height ratios between the reflection peak at 200 and  
1151 minimum reflection intensity between the 110 and 200 reflection peaks, ii) deconvolution of the  
1152 reflection peaks and iii) amorphous subtraction. For more details regarding these three methods,  
1153 readers can refer to the review presented by Rogpipi *et al.* (Rongpipi et al., 2019) or the paper  
1154 written by Park *et al.* (Park et al., 2010).

1155 Notably, the crystallinity index measured using the three methods differs, and both the  
1156 deconvolution and subtraction methods yield results closer to the NMR than the height method  
1157 (Park et al., 2010). To study plant fibres, small-angle (SAXS) and wide-angle (WAXS) X-ray scattering  
1158 techniques are used, as indicated by (Müller et al., 2000), to obtain information regarding the fibre  
1159 structure. De Caro *et al.* performed WAXS analyses to realise the ageing characterisation of historical  
1160 linen threads (De Caro et al., 2019). In engineering and botany, the use of SAXS and WAXS is of  
1161 significance to study the microfibril angle of cellulose microfibrils of plant fibres (Müller et al., 1998,  
1162 2000) because a lower angle corresponds to a higher tensile strength and modulus (Ioelovich, 2014).  
1163 Another less common technique to investigate the internal structure of plant fibres is focused ion  
1164 beam-scanning electron microscopy (FIB-SEM), which can be used to implement tomography on  
1165 single elementary fibres (Sui et al., 2015; Zhang et al., 2015).

1166

#### 1167 5.4. Multiscale estimation of mechanical properties

1168 As mentioned previously, the degree of polymerisation is a key method in the evaluation of the  
1169 strength of cellulose fibres in cultural heritage fields, especially in the case of tapestries and canvases  
1170 that are subjected to mechanical stress due to frames and/or their own weight due to the effect of  
1171 gravity. This method has been used to evaluate the mechanical properties of Dalí's paintings by  
1172 Oriola *et al.* In a set of several paintings, only one painting demonstrated DP <600 and was  
1173 consequently considered at risk (Oriola et al., 2014).

1174 An interesting and innovative method to study the strain and structural modifications of tapestries in  
1175 a generalised manner is digital imaging correlation (DIC). Khennouf *et al.* (Khennouf et al., 2010),  
1176 Malesa *et al.* (Malesa et al., 2011) and Malowany *et al.* (Malowany et al., 2014) mounted a system of

1177 two cameras capable of recording images and correlated the deformations of canvases and  
1178 tapestries with time and environmental conditions.

1179 Other mechanical tests are less commonly performed in the cultural heritage field but are widely  
1180 used in the engineering domains. A commonly employed test is the tensile test on unitary fibres.  
1181 Between 30 and 50 elementary fibres are extracted from bundles, and each fibre is glued to a plastic  
1182 support or in a paper frame, which has a gauge length of 10 mm according to ASTM C1557 (*ASTM*  
1183 *C1557-20*, 2020). The frame is successively mounted on a tensile testing machine, and a load cell of 2  
1184 N is used, which stretches the unitary fibre until rupture, allowing one to calculate its modulus and  
1185 strength based on its diameter (Bourmaud et al., 2016). A larger number of elementary fibres leads  
1186 to more reliable statistics and lower error; however, even few elementary fibres can indicate the  
1187 fibre condition.

1188 Tensile testing can also be performed for small pieces of fabric. Nechyporchuk *et al.* compared the  
1189 mechanical behaviour of a fragment of an acrylic painting on canvas dated 15 y to a new modern  
1190 canvas appositely prepared in the laboratory. The authors also considered the use of nanocellulose  
1191 treatments to increase the canvas strength (Nechyporchuk et al., 2018). Recently, a combined  
1192 method of digital imaging correlation and tensile testing has been applied to study historic tapestries  
1193 made of silk (Rocha et al., 2018).

1194 Nanoindentation is a characterisation technique where a tip of a hard material, often diamond, and a  
1195 well defined shape penetrates into the sample surface and provide information regarding the  
1196 mechanical properties of a sample at the nanoscale level. Notably, the use of this technique is  
1197 increasing in the cultural heritage field (Faisal et al., 2018), for example, the nanoindentation analysis  
1198 performed by Salvant *et al.* on cross-sections of “*Portrait du Docteur Paul Gachet*” and “*La Salle de*  
1199 *danse à Arles*” of Vincent Van Gogh (Salvant et al., 2011). Additionally, Tiennot *et al.* investigated a  
1200 cross-section from a canvas painting using nanoindentation, although the approach was different  
1201 from that of Salvant *et al.*, as the authors mapped the mechanical properties of each tested layer and  
1202 they concluded that the natural ageing process induce a stiffening of the painted layers (Tiennot et  
1203 al., 2020).

1204

## 1205 5.5. Dating

1206 The most commonly used dating method is radiocarbon dating using  $^{14}\text{C}$  isotopes. Probably one of  
1207 the most discussed and controversial works involving radiocarbon dating is that of the Turin shroud  
1208 made from linen (Damon et al., 1989). It was recently concluded that a new radiocarbon analysis  
1209 should be performed again in the Turin shroud only after the development of a stricter protocol

1210 (Casabianca et al., 2019). In the already cited work of De Caro *et al.*, the use of a new dating method  
1211 by WAXS was proposed with promising results (De Caro et al., 2019).

1212 Bonizzoni *et al.* observed that more aged textile corresponded to a greater amount of fluorescence  
1213 emission, and although the authors were cautious because they considered that fluorescence can  
1214 also be generated from impurities, they used laser excited micro-fluorescence for dating and  
1215 compared the results obtained using the  $\mu$ -Raman spectra (Bonizzoni et al., 2016). To elaborate  $\mu$ -  
1216 Raman spectra, the authors adopted the ratio between peaks  $I_{1121}/I_{1196}$ , which correspond to the  
1217 vibrational modes of symmetric and anti-symmetric stretching of C-O-C of 1,4- $\beta$ -glycosidic bond of  
1218 cellulose (Edwards et al., 2006; Jähn et al., 2002), and correlated the values obtained with the age of  
1219 the textiles (Bonizzoni et al., 2016).

1220 Another method for dating cellulosic materials is the chemical method implemented using enzymatic  
1221 biosensors developed by Campanella *et al.* (Campanella et al., 2001, 2005). These biosensors can  
1222 recognize methyl and carboxyl groups of cellulosic materials, the amount of which increase with  
1223 ageing. Notably, the authors warned that this type of method depends on the artwork conservation  
1224 conditions, and the same analysis performed on fibres extracted in different parts of a single painting  
1225 may give different results (Campanella et al., 2005).

1226

## 1227 5.6. Towards cutting-edge techniques

1228 New technologies are being constantly developed, allowing the investigation of materials already  
1229 studied for centuries, such as plant fibres, with novel methodologies and combinations.

1230 Atomic force microscopy (AFM) is a cutting-edge technique, which is based on a tip mounted on a  
1231 cantilever with a laser focused on the probe reflected in a photodiode. The technique can be used in  
1232 several modes, and the most commonly used application is to investigate the topography of ancient  
1233 materials at the nanoscale level (Doménech-Carbó et al., 2009). AFM has also been used with a  
1234 spherical probe functionalised with several consolidants, such as nanocellulose (CNF), before  
1235 scanning the cotton fibres of an artificially aged canvas to test the adhesion between the treatments  
1236 and cotton fibres (Bridarolli et al., 2018).

1237 Another example pertains to Reynaud *et al.*, who coupled the AFM probe with IR spectroscopy to  
1238 investigate 5,000 y old, mineralised flax fibres. The authors simultaneously obtained morphological  
1239 and chemical information and clarified the mineralisation phenomenon (Reynaud et al., 2020). This  
1240 particular system has already been tested on plant cell walls for botanical purposes (Pereira, Flores-  
1241 Borges, et al., 2018).

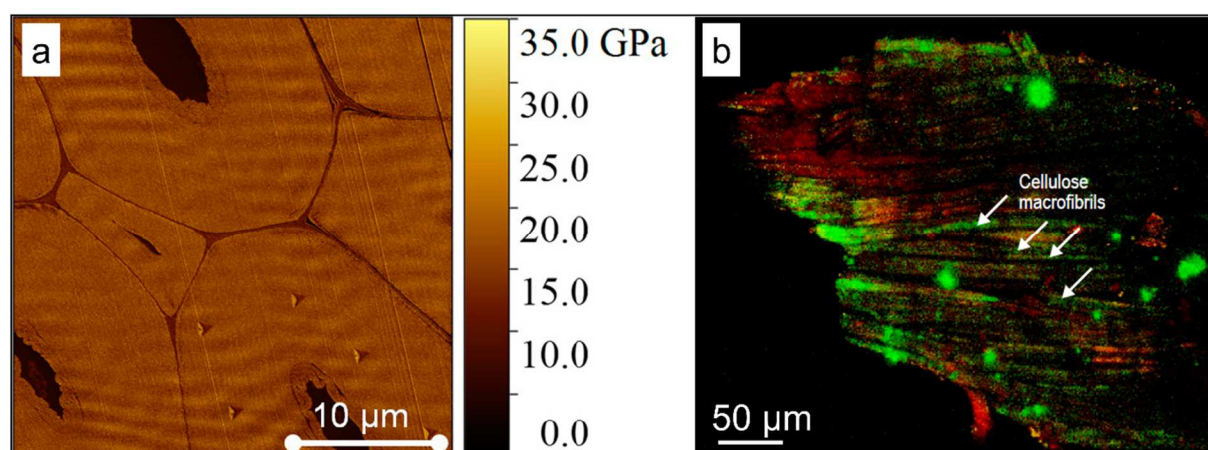
1242 In the engineering domain, another AFM mode known as the peak force quantitative mechanical  
1243 property mapping (PF-QNM<sup>®</sup>) mode has been recently employed to scan cellulose fibres, as

1244 illustrated in **Figure 11.a** (Arnould et al., 2017; Goudenhoft et al., 2018). With a probe mounted on  
1245 the cantilever, this technique allows to scan the sample surface and record force–distance curves  
1246 that provide information on the mechanical properties of the sample at micro- and nanoscale levels.  
1247 This method can distinguish the mechanical properties of thermally treated fibres used as  
1248 reinforcement in composites (Siniscalco et al., 2018) and the effect of retting on the mechanical  
1249 properties (Bourmaud et al., 2019) or cells with different mechanical properties at different stages of  
1250 growth (Goudenhoft et al., 2018). Because this characterisation technique requires only a small  
1251 amount of the sample (few millimetres of a yarn or few fibres) and can be used to investigate  
1252 complex systems in which several materials are coupled, is promising and suitable to investigate  
1253 samples from the cultural heritage field.

1254 A first attempt at AFM PF-QNM analysis was recently carried out on flax yarns sampled from  
1255 mortuary linen dating back to the Middle Kingdom of Ancient Egypt and from Italian paintings on  
1256 canvas dated 17th-18th century, highlighting the ageing and degradation mechanisms at a new  
1257 micro- and nanoscale level (Melelli et al., 2021b; Melelli et al., 2021c).

1258 The second technique that is largely unknown in the cultural heritage and engineering fields despite  
1259 its potential is the second-harmonic generation imaging microscopy (SHG). Based on a femtosecond  
1260 pulsed laser in the NIR region, the non-centrosymmetric molecules in the sample can generate a  
1261 second-harmonic response, a non-linear optic phenomenon, if they have a well-ordered structure.  
1262 Cellulose microfibrils of plant fibres can generate SH, and several teams have already explored the  
1263 ultrastructure of *Valonia* (Brown, Jr. et al., 2003; Nadiarynykh et al., 2007). Furthermore, it is possible  
1264 to clarify the effect of acid hydrolysis on cellulosic materials (Peciulyte et al., 2016). For further  
1265 explanations and other applications of this technique, the book of Pavone and Campagnola is  
1266 suggested (Pavone & Campagnola, 2013). Reynaud *et al.* applied SHG to archaeological flax fibres  
1267 that have undergone mineralisation, as illustrated in **Figure 11.b** (Reynaud et al., 2020). SHG analysis  
1268 also highlighted fractures on kink-bands of ancient flax yarns (Melelli, 2021b) and clarified the  
1269 presence of hydrolysis and biological attack on yarns sampled from linen canvases (Melelli et al.,  
1270 2021c).

1271



1272

1273 **Figure 11.** a) Map of indentation moduli of flax fibres obtained by atomic force microscopy in PF-  
1274 QNM to study the mechanical properties at the cell wall level in (Goudenhoft et al., 2018). The  
1275 mean indentation modulus is approximately 18 GPa; **b)** archaeological mineralised flax fibres  
1276 investigated by second-harmonic generation microscopy from (Reynaud et al., 2020). Cellulose  
1277 macrofibrils from the internal fibre structure are highlighted.

1278 There are three advantages of using this technique: i) a single yarn or few fibres can be analysed, ii)  
1279 the approach is a non-destructive method because an infrared excitation wavelength is used, and iii)  
1280 the method can be used to scan a sample in-depth (Z-stack), thereby providing information regarding  
1281 the inner structure of the fibres, complementary to the SEM analysis. Synchrotron radiation is a  
1282 technique that is gaining increasing attention. FTIR vibrational spectroscopic analysis can be  
1283 performed using synchrotron radiation to characterise ancient plant fibres. The work performed by  
1284 Kavkler *et al.* on biodegraded historical textiles is a representative example: the authors compared  
1285 conventional FTIR microspectroscopy and synchrotron FTIR microspectroscopy techniques (Kavkler,  
1286 Šmit, et al., 2011).

1287 In addition, at Synchrotron SOLEIL, the *Dichroism, Imaging and mass Spectrometry for Chemical and*  
1288 *biological systems* (DISCO) beamline has been specialised in the use of deep-UV (DUV) fluorescence  
1289 to characterise plant cell walls. The autofluorescence present in plants due to waxes, protein, lignin  
1290 and phenolics can be exploited through multi-spectral fluorescence imaging to compare cross-  
1291 sections of flax stems grown in normal conditions or under gravitropism (Beaugrand et al., 2022) or  
1292 to track the effects of enzymes in maize (Devaux, 2018). Maps of spectra can also be recorded by  
1293 scanning fluorescence microspectroscopy and used to compare the chemical composition in different  
1294 cells of the stems (Jamme et al., 2013) as well as historical artefacts, as in the investigation of the  
1295 coating of ancient lutes (Echard et al., 2015).

1296 Finally, tomography is a characterisation technique useful for understanding the internal structure of  
1297 samples and virtually reconstructing such structures. Using X-rays, it is possible to obtain high-  
1298 resolution tomographic images. Single flax fibres (Abbey et al., 2010; Eve et al., 2012) and bundles or  
1299 fabric, such as mineralised archaeological textiles (King et al., 2019), have already been examined  
1300 using this method, especially in the cultural heritage field. For details regarding the use of  
1301 synchrotron radiation for plant investigation, the review written by Vijayan is suggested (Vijayan et  
1302 al., 2015).

1303

## 1304 **6. Summary and conclusions**

1305 This review describes the historical timeline and problems encountered in the cultural heritage and  
1306 engineering fields when flax fibres are employed to create objects.

1307 The first part of the review summarises the composition and fibre structure of flax fibres, their  
1308 mechanical properties and limitations from the perspectives of cultural heritage applications.  
1309 Notably, certain aspects, often interconnected, such as the microfibril angle, kink-bands and  
1310 mechanical properties of the middle lamella between fibres, are known to be still not fully  
1311 understood by the scientific community. The microfibril angle is one of the most important  
1312 parameters that influence the mechanical properties of plant fibres; however, the real value for plant  
1313 fibres and the impact of kink-bands on the microfibril network is still debated. The first part also  
1314 briefly describes plant growth and all the steps from sowing until maturity.

1315 The second section discusses the history of flax cultivation and processes from the past to the  
1316 contemporary era. This section highlights how and why the centres of flax production changed over  
1317 the centuries in Europe and the Middle East which, in certain cases have been caused by episodes of  
1318 drought or due to the adaptability of soils. The challenges in agricultural practices, especially in the  
1319 case of flax crops in France, encountered due to climate change and increasingly frequent periods of  
1320 drought are of interest even today. This section also treats the evolution of flax varieties and the  
1321 methods used to extract the fibres from the first flax domestication to the present day. Today it is  
1322 known that the extraction method impacts on the mechanical properties of flax fibres, in particular  
1323 for the generation of kink-bands, so the study of ancient extraction methods can teach us something  
1324 more about the fibre ultrastructure and its response to several mechanical stress made with various  
1325 tools. Moreover, some uses of flax fibres have evolved, for example from sails used in antiquity to  
1326 whole boats built today with flax/resin sandwich, and others are still the same, like the linen used in  
1327 fashion. Problems such as the impermeability of textiles had already been faced by ancient  
1328 populations and the study of artworks can help us to rediscover forgotten techniques and to consider  
1329 other alternatives to the techniques currently used.

1330 The third part of this review summarises the main degradation process of flax fibres, which is caused  
1331 by several factors, such as water, temperature and UV, with a focus on artworks. These degradation  
1332 processes, which have been well studied in the cultural heritage field to preserve historical objects,  
1333 are the same as those that flax fibres undergo when they are employed in the engineering field.  
1334 Thus, artworks that have survived for centuries can be examined to predict the ageing process in new  
1335 industrial objects.

1336 The final section provides a brief overview of the main characterisation techniques used to  
1337 investigate flax fibres and several cutting-edge techniques currently employed in engineering and  
1338 botany fields, such as AFM and SHG, that can also be used to investigate historical textiles. Thus, a  
1339 new combination of techniques can provide novel insights regarding the ultrastructure and  
1340 degradation process of cellulosic fibres. The findings are expected to be useful to evaluate their state  
1341 of preservation and possible treatments. Future research should be focused on developing and  
1342 adapting these techniques to the field of cultural heritage.

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1351

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2232

### 2233 **Figures captions**

2234 **Figure 1.** a) schema of a young flax stem at approximately 400 °C GDD and mature stem at 1000 °C  
 2235 GDD (© Erica Melelli); b) photo of two flax plants pulled out at 400 °C and 1000 °C GDD in the real  
 2236 scale; c) plant growth over time with flowering and browning of the capsules

2237 **Figure 2.** a) Schema of flax fibre ultrastructure and chemical composition, inspired and partially  
 2238 readapted from (Gorshkova et al., 2018; Manian et al., 2021) and data from (Goudenhoft et al.,  
 2239 2019b); b) formation of the cell wall layers, inspired by (Goudenhoft et al., 2018), and the  
 2240 progressive filling and thickening with the Gn layer transformed to G; c) transversal section of a flax  
 2241 fibre bundle, in which the middle lamella is highlighted in yellow. A schema representing the cell  
 2242 corner (CC) between three fibres (F) is shown, along the compound middle lamella (CML) composed

2243 of the primary wall (P) and middle lamella between two adjacent fibres; d) bundle of two fibres (F1  
2244 and F2) with a visible kink-band and outermost primary cell wall layer.

2245 **Figure 3.** Map with the natural distribution of wild (pale) flax (in green) and the origin of the use of  
2246 flax and its spread in Europe along with the first fabrics dated to the early Neolithic. The distribution  
2247 of pale flax (green) has been obtained from (Desta, 2019; Diederichsen & Hammer, 1995; Gutaker et  
2248 al., 2019). The centres of archaeological findings have been adapted from (Colledge & Conolly, 2017;  
2249 Harris, 2014; Karg, 2011).

2250 **Figure 4.** Dendrogram from (Muravenko et al., 2003). *L. angustifolium* appeared as the progenitor of  
2251 both *L. bienne* and *L. usitatissimum*.

2252 **Figure 5.** Details of wall painting from Tombs of Dagi (TT103), where two women are shown to scutch  
2253 flax stems (from the left) and probably splice flax into threads (right). Illustration from (Vogeslang-  
2254 Eastwood, 2000)

2255 **Figure 6.** a) Left: possible reconstruction of the use of a wooden tool from Sweden to beat the flax  
2256 stems on a plane surface (rock or wood). The tool is schematised from the archaeological tool (Item  
2257 447267. SHM 23159: K12) displayed at the Historiska Museet, original photo © Gabriel Hildebrand  
2258 and right: another shape of a wooden club inspired from (Ejstrud et al., 2011; Viklund, 2012), and a  
2259 schema of the breaking tool mounted on a stand found in Norway and reported in (Ejstrud et al.,  
2260 2011); **b)** from the left: schema of a wooden knife found in Gloucestershire and dated to the middle  
2261 Bronze Age (original photo © Cotswold Archaeology), and reconstruction of a heckle dated between  
2262 850–1000 AD found in Shetland, Scotland (Item X. HSA 318 © National Museums Scotland); **c)**  
2263 schematic representation of the Miniature of the Book of Hours, MS M.399 fol. 12v, ca. 1515,  
2264 Belgium (original photo © The Morgan Library & Museum, New York). On the left, a woman scutches  
2265 a bundle of flax fibres with a wooden tool and a wooden column (highlighted in red) while two men  
2266 beat the stems with breaking tools; **d)** Detail of the “Livre d’heures” L’Escalopier, hours in Latin with  
2267 calendar, parchment of 16th century, f. 12 Ms LES 22 A, reproduced with the kind permission of ©  
2268 Bibliothèques d’Amiens Métropole and IRHT-CNRS.

2269 **Figure 7.** Four lithography by William Hincks, dated 1783 **a)** Plate II “View near Hillsborough in the  
2270 country of Downe, representing pulling the flax when grown, hooking or putting it up to dry, rippling  
2271 or saving the seed, and bogging or burying it in water”. Two women remove the capsules and  
2272 prepare the stems for water retting, while a man places bundles of stems in water. In the  
2273 background, two other women harvest the flax plants, group them in bundles and place them upright  
2274 with respect to the ground to dry before the retting step. **b)** Plate III “View in the county of Louth

2275 representing taking the flax out of the bog". After the retting process, the retted stems are moved  
2276 near a campfire to be dried. In the background, two men are breaking the dried stems; **c)** Plate IV  
2277 "The common method of beetling, scutching and hackling the flax". A family is represented as  
2278 breaking the stems and combining the fibres; **d)** Plate V "A perspective view of a scutch mill, with the  
2279 method of breaking the flax" represents one of the earliest industrial scutching mills. The four images  
2280 are reproduced with the kind permission of the © British Library Board.

2281 **Figure 8.** a) Taproot extraction line with three modules, and a schematic representation of the steps  
2282 involved in general industrial extraction lines: 1. breaking module, 2. scutching module and 3.  
2283 combing module; **b)** biocomposite made of flax fibres for automotive (© Ecotechnilin) and **c)**  
2284 biocomposites reinforced with flax fibres employed for applicators used in cosmetic showrooms (©  
2285 Kaïros).

2286 **Figure 9.** a) Bacterial and **b)** fungal colonisation on the surface of over-retted fibres and **c)** silverfish  
2287 *Ctenolepisma lineata* (© Erica Melelli). Unpublished images.

2288 **Figure 10.** a) Elementary flax fibre under optical microscopy and diameter calculation **b)** elementary  
2289 flax fibre under polarised light that highlights kink-bands in white (©Emmanuelle Richely) and **c)** SEM  
2290 microscopy of an elementary flax fibre with three visible kink-bands.

2291 **Figure 11.** a) Map of indentation moduli of flax fibres obtained by atomic force microscopy in PF-  
2292 QNM to study the mechanical properties at the cell wall level in (Goudenhoofft et al., 2018). The  
2293 mean indentation modulus is approximately 18 GPa; **b)** archaeological mineralised flax fibres  
2294 investigated by second-harmonic generation microscopy from (Reynaud et al., 2020). Cellulose  
2295 macrofibrils from the internal fibre structure are highlighted.

## 2296 **Tables captions**

2297 **Table 1.** Literature review of the biochemical global composition of the considered bundles of fibres.

2298 **Table 2.** Typical mechanical properties of E-glass compared to flax, hemp and cotton. Mean of the  
2299 values modified from (Wambua et al., 2003; Hughes et al., 2007; Graupner, 2008; Lu et al., 2012;  
2300 Fidelis et al., 2013; Lu & Oza, 2013; Céline et al., 2014; Bourmaud et al., 2018).

2301 **Table 3.** Summary of the world production of flax fibres and tow in year 2019. Data from FAOStat  
2302 (Crops and Livestock Products: Flax Fibre and Tow, 2021)

2303 **Table 4.** Five organoleptic criteria to establish the flax fibre quality. Table readapted from (Lefeuvre,  
2304 2014)

2305 **Table 5.** Fungi and bacteria in common between fresh retted flax stems and cultural heritage objects  
2306 made of cellulosic fibres. Table adapted from (Djemiel et al., 2020; Nugari et al., 2007; Repeèkienė et  
2307 al., 2007)

