

# Preconditioner influence on twin-screw extrusion cooking of starch-based feed pellets: The example of Fish Feed

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5 6	Asma Chaabani <sup>a,b</sup> , Laurent Labonne <sup>a</sup> , Vanessa Durrieu <sup>a</sup> , Antoine Rouilly <sup>a</sup> , Fabien Skiba <sup>b</sup> , Philippe Evon <sup>a,*</sup>
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16	

#### 17 Abstract

This work compares the performance of pellets for adult Trout obtained in two different 18 processing conditions. The first one consists of adopting the traditional Fish Feed process 19 based on a preconditioning steam treatment step before twin-screw extrusion. The second one 20 was a simplified process based only on a twin-extruder ensuring both the cooking and the 21 extrusion of fish pellets in a single step. Six formulations were obtained while-maintaining 22 feed specifications (36 % of proteins and 30 % of lipids). The comparison between the two 23 24 processing methodologies (i.e., with or without preconditioning) was then based on two fundamental aspects. Firstly, the gelatinization rate of starch contained inside pellets was 25 determined. Secondly, the pellet's characteristics (bulk density, expansion ratio, diameter and 26 pellet's porosity) and their usage properties (oil leakage rate, durability, hardness and 27 28 floatability) were evaluated. The present work has evidenced that it is possible to produce pellets without preconditioning while ensuring a total starch gelatinization and maintaining 29 30 their usage properties. The Fish Feed process has thus been partially simplified.

31

#### 32 Keywords

- *Fish Feed* **5**
- Twin-screw extrusion process
- 35 Preconditioning
- Moisture
- Expansion
- Starch gelatinization
- 39• Physical properties

40

#### 41 **1. Introduction**

Historically, Fish Feed has always been produced in a pelletized form. Using a simple 42 technology such as a ring die pellet mill, pelletized feed is produced. It is rather stable and 43 cheap in production cost. However, its main disadvantage is that there are limited possibilities 44 to influence the physical properties of pellets, especially their shape and density (Burel, 2017; 45 **Terpstra**, 2015). Yet, the diameter of commercial extruded *Fish Feed* can range from 0.5 to 46 47 30 mm. In fact, the size of the pellet must adapt the fish size because this animal swallows the feed as an intact particle (Terpstra, 2015). Furthermore, thanks to the extrusion technology, it 48 is possible to have a wide range of bulk densities (from 350 to 750 g/L). Both sinking and 49 floating pellets can ensure satisfactory growth (Craig, 2009). However, some farmers prefer 50 floating feed, which can help them to directly observe the feeding intensity and therefore to 51 adjust feeding rates (Khater et al., 2014). The three main constituents inside pelletized feed 52 are starch, proteins and lipids. If the last two ones are added for nutritional considerations, the 53 main interest to add starch is to give cohesion to the pellet. However, starch is only partially 54 cooked inside such pellets, making its digestion by fish more difficult. For the same reason, 55 pellets become soft in the presence of water, thus contributing to oil leakage (Terpstra, 56 2015). To overcome these limitations, extrusion has found its place in aquaculture for nearly 57 fifty years, becoming nowadays the primarily used technique for aquafeeds ( Kraugerud and 58 Svihus, 2011; Rokey, 1994; Sørensen, 2012). Thanks to this continuous technology, 59 extruded feeds are of better quality with a clear improvement in some physical characteristics, 60 61 e.g., a firm structure, the absence of dust, adjustable size and shape, and the stability in water (Terpstra, 2015). . Extrusion leads also to higher inclusion of lipids, and the density of the 62 63 pellets is easily adjustable. This technique may be defined as a continuous cooking process in which a food or feed material is forced to flow, under elevated pressure, temperature and 64 shear, through a die to give unique physical and chemical functionality to food materials 65 (Altan et al., 2009; Riaz and Rokey, 2011). During this thermomechanical process, different 66 67 functions can be performed such as ingredients agglomeration, starch gelatinization, expansion, dehydration, mixing, homogenization, protein denaturation, pasteurization, 68 shearing, texturizing, and product shaping (Riaz and Rokey, 2011). 69

In *Fish Feed* manufacturing, the technological process applied nowadays involves the following operations: raw materials preparation (weighing, mixing, grinding and sieving), preconditioning, extrusion, drying, fat coating, cooling, sieving and packaging. An extrusion system consists of a barrel situated between the preconditioner and the pelletizing knife. This key part of the extruder is made of one or two rotating screws for the cooking and raw materials processing. Extruders are classified in different ways, but the most common ones are based on the screw design (single- or twin-screw extruders), the source of heat and the level of moisture in the material during the process (dry and wet extruders) (**Riaz and Rokey**, **2011**). In *Fish Feed*, most of the extruders used are single-screw ones. However, and even if the twin-screw machines are much more expensive, these are known for their versatility (**Riaz and Rokey**, **2011**).

Positioned between the dry mix feeder and the extruder barrel, the preconditioner is 81 82 generally used in animal feed extrusion processing (e.g., aquafeeds), especially when the moisture content exceeds 18 % (Bortone, 2017; Riaz and Rokey, 2011). During 83 preconditioning, the raw material particles are mixed homogeneously and continuously with 84 liquid water and steam for a given time before extrusion, to hydrate and heat the particles 85 (Riaz and Rokey, 2011). Hydrothermal cooking of the raw material starts during this step, 86 resulting in the swelling of flour particles as well as in the pre-gelatinization of starch 87 granules. At this level, particles are heated to a temperature of 80 °C to 95 °C (Rokey, 1994), 88 and hydrated to a moisture content ranging between 18 % and 30 % (w/w) (Riaz and Rokey, 89 2011; Strahm, 2000). As pre-heating is much faster than pre-humidifying, the 90 preconditioning step is thus firstly controlled by the transfer of moisture into the particles 91 (Lavocat and Raymond, 2018). On the one hand, the hydration time is longer with a bigger 92 size of the particles. On the other hand, it can be reduced thanks to a warmer environment. An 93 94 efficient moistening and swelling of the particles can only be achieved thanks to a suitable residence time of the raw material in the preconditioner. In other terms, the preconditioner 95 function is to provide the extruder with an evenly moistened and preheated mix. The quality 96 97 of the preconditioning stage thus depends on the efficiency of heating, hydration, and mixing (Riaz and Rokey, 2011). 98

99 The first developed equipment was a single-shaft preconditioner. The latter ran at high speed to attain a good mixing but had a reduced retention time of only 30 s or less, which is 100 now considered ineffective by today's standards (Riaz, 2000). This is why a double-shafted 101 preconditioner was developed, and almost dominates nowadays the pellet feed industry 102 103 (Pandey, 2018). Such preconditioner is made of a tank in which counter-rotating twin-shafts 104 equipped with paddles are located. Water is injected with two nozzles located on both sides of 105 the tank entrance. Steam is generally injected through two to six points on the first half of the tank. The spray nozzles help to distribute water homogeneously on the raw particles and thus 106

reduce the required mixing intensity (Riaz, 2000). The performance of the preconditioning 107 step depends on several operating conditions, e.g., the number and orientation of the paddles, 108 the steam, and water flow rates in relation with the raw material one, and the rotation speed of 109 the twin-shafts (Riaz and Rokey 2011). All these conditions have an impact on the efficiency 110 of the heating and pre-humidifying process, as they influence the residence time of the raw 111 material inside the preconditioner, its filling ratio, its mixing ability, and lastly the energy and 112 mass transfers. Depending on the paddle configuration (mounted with direct or reverse pitch), 113 the average filling ratio can be modulated and, consequently, the mean residence time of the 114 mixture inside the preconditioner (Riaz and Rokey, 2011). The filling ratio is defined as the 115 volume occupied by the raw material in relation to the total volume of the preconditioner. 116 Increasing this parameter improves the hydration and temperature uniformity of the mix 117 (Bortone, 2017). In addition, depending on the flow rate of steam injected, the starch 118 119 gelatinization rate varies: the more steam injected, the more starch gelatinization occurs (Lavocat and Raymond, 2018). A reduced flow rate of the raw material also tends to 120 121 increase its mean residence time in the preconditioner, thus resulting in improved gelatinization of starch (Lavocat and Raymond, 2018). Lastly, a reduced rotation speed of 122 the twin-shafts increases the mean residence time in the preconditioner. However, a slight 123 decrease in the gelatinization rate of starch may be evidenced in such a situation (Lavocat 124 and Raymond, 2018). 125

In conclusion, the preconditioner (Fig. 1) is considered today as vital equipment in Fish 126 *Feed* manufacturing. Its performance indeed directly influence the starch pre-gelatinization 127 rate, which can reach 60-70 % at the preconditioner outlet, or even more (up to around 90 %) 128 when working with a particularly low inlet flow rate of solid material (Lavocat and 129 130 Raymond, 2018). Riaz and Rokey (2011) had reported that to improve the extrusioncooking step and the final product quality, at least one-third of starch gelatinization must 131 132 occur in the preconditioner. The latter contributes to an efficient heat transfer through friction inside the extruder barrel, just as a reduction in its energy consumption (Sørensen, 2012). It 133 also lengthens the life of the extruder by reducing the abrasive wear of the barrel and screws 134 (Sørensen, 2012). Lastly, the preconditioner is also interesting for sanitary purposes as this 135 heating step can help to control or reduce salmonella levels in the raw materials (Riaz and 136 Rokey, 2011). At an industrial scale, using a preconditioner can also lead to increasing the 137 138 extruder productivity, and to an improvement in the final product quality, e.g. its water

stability (Bortone, 2017), density, and hardness. Recently, new preconditioner designs have
even been developed for new ranges of aquafeed (Riaz, 2019).

Next to the preconditioner, the conditioned feed mixture is thus transferred to the extruder 141 to be transported, melted, cooked, heated, and mixed at high temperature (120-130°C) and 142 143 high pressure (20-30 bar) (Sørensen, 2012; Terpstra, 2015), and finally shaped through the die. This device contains as many holes as required. The die determines the extruded pellet's 144 shape and size (Riaz and Rokey 2011; Terpstra, 2015). Finally, pellets are cut to the desired 145 length, thanks to a rotating knife positioned immediately after the die. When the extrusion-146 cooked solid material leaves the die, an expansion phenomenon occurs through water 147 evaporation. Small water droplets that were dispersed inside the solid pass from high to 148 atmospheric pressure. Moisture vaporizes and expands pellets through the formation of pores 149 (Williams, 2000). These small pores are then filled by oil at the moment of the coating extra-150 151 step in the case of the high-energy diets (Sørensen et al., 2010).

In the extrusion system, energy comes from two main sources, *i.e.*, mechanical, and thermal (Sørensen, 2012). The first one is known as the specific mechanical energy (SME) which is the amount of mechanical energy dissipated as heat inside the material (Altan et al., 2009). According to Mercier et al (1989), SME is the product of torque and screw speed divided by mass flow rate. SME provides a good characterization of the extrusion process (Altan et al., 2009) and its value indicates the extent of molecular breakdown and eventually material degradation (Godavarti and Karwe, 1997).

The extrusion technology has many advantages among which versatility and its ability to 159 obtain a high-quality product (Gaosong and Vasanthan, 2000; Riaz and Rokey, 2011). 160 Given the fact that extrusion is a high-temperature short time (HTST) heating process, 161 degradation of food nutrients is minimized, and the digestibility of dietary components is 162 improved (Riaz and Rokey, 2011). In addition, it contributes to destroy the anti-nutritional 163 compounds, some undesirable enzymes and microorganisms (Riaz and Rokey, 2011), just as 164 it inactivates heat resistant toxins (e.g. aflatoxin) (Saalia and Philips, 2011). When extrusion 165 conditions are not well optimized, it can nevertheless reduce the availability of some 166 nutrients, generate the cross-linking of lysine, and also destroy some vitamins and other 167 thermolabile substances (Rokey, 1994). 168

For salmonids, it is mainly dietary proteins and lipids that allow them to cover their energy needs. These animals have limited capacity to efficiently use and process dietary

carbohydrates (Médale and Guillaume, 1999). This results in feed rich in proteins and lipids, 171 and with low content in carbohydrates (Burel, 2017; Terpstra, 2015). Pellets with high-fat 172 content are necessary to satisfy their nutritional requirements. However, during extrusion, the 173 amount of fat that can be added is limited as it behaves as a lubricant, thus leading to a loss of 174 mechanical shear (Pandey, 2018). To overcome this disadvantage of extrusion, oil is 175 commonly added through a coating extrastep. Firstly, the fat coating was practiced with 176 atmospheric systems like drum coaters, mist-coating units, and paddle mixers (Bortone, 177 2006). However, for a special feed diet like high-energy feed for salmons, oil coating can only 178 179 be successfully achieved with vacuum coaters. Under vacuum, the air inside the feed pores is first removed, and coating then allows the injected oil to fill these pores when the coater's 180 181 pressure get back to the atmospheric pressure (Lamichhane et al., 2015). Dried pellets are transferred to the coater, which is subsequently placed under a vacuum. Then, oil is sprayed 182 183 onto the extruded pellets and when the vacuum is released, the oil is forced into the pellet pores. Vacuum coating is an essential step to produce high-energy feed. It also enables the 184 185 development of more nutritious feed containing functional additives (Li et al., 2003) like vitamins and exogenous enzymes that could not be added at the time of extrusion due to their 186 thermolabile character (Maas et al., 2020). Thus, thanks to the extrusion and expansion 187 process that allows the creation of enough pore spaces, it is now possible to infuse a high 188 level of fat (i.e., up to 40 %) during the vacuum coating step. This is especially required for 189 salmon feed (Bell and Koppe, 2010; Dethlefsen, 2017). 190

191 The extrusion process allows Fish Feed producers to finely control the pellet density during production, the latter being easily adjusted to the eating habits of the farmed fishes 192 (*i.e.*, sinking, semi-floating or floating pellets). Also, extrusion ensures greater feed stability 193 194 in water with better production efficiency (Clayton, 2002; Rokey, 2006). In fish farming, in particular intensive aquaculture, the physical properties of feed are in top priority. A 195 196 particularly important property is the ability of feed to be handled from production to the fishpond without creating an excessive amount of dust (Sørensen, 2012). Pellets need to 197 198 maintain their integrity via high durability to minimize product loss during transport and pneumatic feeding. Thanks to its high versatility, the extrusion makes it possible. 199

The present work aims to develop an innovative twin-screw extrusion process to produce *Fish Feed* pellets for adult Trout. A twin-screw extruder is in fact capable of ensuring a wide range of functions like starch cooking, which is generally obtained partially in the preconditioner. In this context, the objective of this study is to highlight that the extruder alone could allow for the future the realization of the whole *Fish Feed* process. This study mainly focuses on the comparison of extruded pellets obtained with and without a preconditioner. The first section concerns essentially the starch gelatinization phenomenon, which is of key importance in *Fish Feed* processes. In the second section, a comparison of the obtained pellets in terms of their usage properties (durability, hardness, floatability and oil leakage rate) has been conducted to understand specifically the role of the preconditioner and the challenges to be raised in its absence.

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#### 212 2. Materials and Methods

213

#### 214 **2.1. Materials**

Various ingredients were used to produce the extruded pellets. Some of them were used for their protein intake, whether of animal (fish meal) or vegetable origin (*e.g.*, soy protein concentrate, etc.). Others were cereal's (*e.g.*, wheat, corn) products and by-products. Nutricia (France) provided all these ingredients.

Extruded pellets were coated using three commercial oils, that were provided as well by Nutricia: two fish oils (F1 and F2), both obtained by wet pressing ( $0.92 \text{ g/cm}^3$  at 20 °C), and a crude rapeseed oil (R) obtained by cold mechanical pressing ( $0.91 \text{ g/cm}^3$  at 20 °C). To avoid their oxidation, F1 and F2 were stored in a dry place away from light before their use during the coating step.

Extruded pellets were composed of 12.2% (w/w) corn gluten, 12.6% fish meal, 23.0% soybean meal, 3.7% soy protein concentrate, 1.3% wheat gluten, 17.2% shelled faba bean, 3.0% wheat flour, 5.8% F1, 1.0% F2 and 20.2% R. Their chemical composition is summarized in **Table 1**. This composition was determined based on the chemical composition of each ingredient, and it has been developed to meet the nutritional requirements of adult Trout. In particular, the starch level was 11.1% (w/w) in proportion to the fresh matter (**Table** 1), thus ensuring both expansion at the extruder die outlet, and cohesion for the final pellets.

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#### 232 **2.2.Feed recipe formulation**

To prepare the recipe, ingredients were finely ground by a Hosokawa Micron (Summit, 233 New Jersey, USA) ZPS Zirkoplex industrial air classifier mill. After their reception, all the 234 ingredients were weighed using an Ohaus (Parsippany-NJ, USA) CD-11 digital balance, and 235 they were then introduced into an Electra (Poudenas, France) MH 400 horizontal mixer. This 236 mixer is equipped with double hollow spirals with reverse pitch to improve the mixing. The 237 mixing operation was carried out for 20 min by changing the direction of rotation every 5 min 238 to obtain a homogeneous solid mixture. Six batches (each 120 kg) were prepared before 239 240 extrusion, resulting in the production of six different formulations of extruded pellets named 241 **S**1 to S6.

#### 242 **2.3.***Fish Feed* production

Extruded pellets were produced using a Clextral (Firminy, France) Evolum HT 53 pilotscale co-rotating and co-penetrating (*i.e.*, interpenetrating) twin-screw extruder. The S1 and S2 formulations were obtained according to the classical *Fish Feed* process presented in **Fig.** whereas the S3 to S6 formulations were produced without the preconditioner.

The solid mixture was introduced at a 110 kg/h flow rate (Qs) in a Clextral (Firminy, 247 France) Evolum preconditioner with a capacity of 75 L for the pre-cooking step. This 248 preconditioner was equipped with two counter-rotating shafts with variable speed and 249 adjustable blades. At this level, liquid water (QPREC for the corresponding flow rate) and 250 steam ( $Q_v$  for the corresponding flow rate) were added to partially cook and pre-humidify the 251 initial dry solid mixture. To conduct this task, a Covemat (Trivier sur Moignans, France) GE 252 490 steam generator and a Clextral (Firminy, France) DKM Super KL CAMP piston pump 253 were connected to the preconditioner. After a residence time of about 3-4 min, the pre-254 moistened and pre-heated mixture was fed into the Clextral Evolum HT 53 twin-screw 255 extruder. This machine has been designed to treat solid materials continuously at high 256 pressure (from 1 to 275 bars) and high temperature (from 20 °C to 300 °C). 257

Extrusion parameters were the same for the six formulations produced. Only screw speed 258 was changed: 425 rpm for the S1, S3 and S5 formulations, and 575 rpm for the S2, S4 and S6 259 ones. The barrel was composed of nine modules with an internal diameter (D) equal to 53.15 260 mm, each 4D in length. Screws had an outer diameter of 52.45 mm. The temperature profile 261 was fixed as follows: 25 °C for module 1, 80 °C for modules 2 and 3, and 120 °C for modules 262 4 to 9. The screw profile was kept the same, and it was especially composed of two 263 successive pairs of reverse screw elements used here to apply an intense mixing and 264 mechanical shearing to the solid mixture, thus resulting in a significant increase of its 265 residence time. After its conveying from the module 1, the solid mixture was mixed with an 266 additional amount of water (Q<sub>TSE</sub> for the corresponding flow rate), which was injected at the 267 end of module 3 using a Clextral (Firminy, France) DKM Super KL-PP piston pump. Then, 268 the reverse screw elements located at the end of module 7 were in fact the place where the 269 solid mixture was compressed and plasticized, being converted into a dough-like state. 270 Finally, the solid mixture was transported up to the die using conveying screws with 271 decreasing pitch. 272

As the penultimate module was an open-top one, a Clextral (Firminy, France) vent-stuffer (*i.e.*, a vertical stuffing screw) was positioned on its top to maintain the extruded mixture inside the barrel during the extrusion process. A die made of one conical insert having a 5.9 mm outlet diameter was positioned at the end of the extruder barrel, and a Clextral (Firminy, France) HC 45 granulator knife was installed immediately after the die. By regulating its rotation speed, the continuous cutting of the extruded pellets to the desired length (*i.e.*, around 9.0 mm) was ensured.

For each formulation, the extrusion process was maintained for 10 to 15 min to reach a 280 steady state before sampling the extruded pellets. Besides, samples were collected at the 281 outlets of the preconditioner and the extruder to determine their moisture contents, and to 282 carry out further characterizations. The process parameters (i.e., the screw speed, motor 283 current, barrel and material temperatures, and material pressure) were registered every 2 sec. 284 Specific mechanical energy (SME) is directly related to the electrical power supplied by the 285 motor (P), and it is expressed in W h/kg. In this case of the Clextral Evolum HT 53 twin-286 screw extruder used in this study, the operating voltage of the motor (U) is equal to 454 V, its 287 theoretical efficiency ( $\cos \varphi$ ) is 0.90, and the maximum screw speed (S<sub>max</sub>) is equal to 800 288 rpm. SME is therefore calculated according to Eq. (1). 289

290 
$$\mathbf{SME} = \frac{\mathbf{P}}{\mathbf{Q}_{\mathrm{S}}} \text{ with } \mathbf{P} = \mathbf{U} \times \mathbf{I} \times \cos \varphi \times \frac{\mathbf{S}_{\mathrm{S}}}{\mathbf{S}_{\mathrm{max}}} \quad (1)$$

#### 291 With:

- P is the electrical power supplied by the motor (W).
- U is the operating voltage of the motor (V).
- I is the current consumed by the motor (A).
- $\cos \varphi$  is the theoretical efficiency of the motor.
- S<sub>S</sub> is the screw speed (rpm).
- S<sub>max</sub> is the maximum screw speed (rpm).
- $Q_s$  is the inlet flow rate of the solid mixture (kg/h).

Extruded pellets were then collected and transferred to the dryer. This step was carried out using a continuous Clextral (Firminy, France) Evolum 600 belt dryer. Drying was conducetd at 120°C during 1800 sec for all the productions. The purpose of reducing moisture content was to make extruded pellets self-stable until the coating step. Pellets were then stored in a dry place (with final moisture content of around 6 % to 8 %).

Fat was exclusively added during the coating step, meaning that no oil was injected in the 304 extruder at the moment of the extrusion cooking one. A Stolz (Paris, France) MRSV 100 pilot 305 vacuum coater machine was used to conduct this task in order to raise the energy content of 306 extruded pellets. An appropriate nozzle (APSIS 15/40) was chosen to guarantee an efficient 307 spraying system. This configuration was optimal to spray the oily liquid uniformly. Firstly, 308 the oil mixture composed of R, F1 and F2 oils (75/21/4 (w/w)) was prepared in a bucket and 309 mixed intensely. The latter was then introduced into the oil tank and heated at  $40 \pm 2$  °C. 310 During the oil mixture heating, the extruded pellets were loaded inside the vacuum coater 311 tank. Simultaneously with the oil tank's pressurization up to 3 bars, a vacuum pump was used 312 to generate vacuum in the inside of the mixing vessel. This vacuum pump was a Busch 313 314 (Gerlingen, Germany) Mink MM 1104 BV 1.3 kW one. Then, a spray nozzle allowed the oily blend to be injected progressively. It was positioned in the top of the coater tank. Thanks to 315 316 this spray, a proper dispersion was realized onto the feed while the two mixing blades were rotating. The proportion between extruded pellets and the oily blend was 73/27 (w/w), thus 317 318 enabling to reach the targeted energy content of the coated pellets (i.e., 30 % (w/w) fat content). The coater's pressure then got back to the atmospheric pressure once the oil was 319 fully distributed. Lastly, the coater overturned, and the coated pellets could thus be recovered. 320 The coating conditions used in the present work have been determined from a previous study 321 (Chaabani et al., 2020). Identified as the optimal ones, they were as follows: 322

323

• 140 mbars for the vacuum coater's pressure at the moment of the oily blend addition.

- 65 Hz for the stirring speed.
- 45 % for the volumetric filling rate of the coater.
- 120 s for the time to restore the atmospheric pressure after the oily blend was added.

From each formulation, a sample of about 3 kg of coated pellets was collected after the coater's unloading, and these were then stored at 5 °C before their characterization. By taking such a precaution, the oil leakage has been avoided. NIR (Near-infrared) spectroscopy was used for all the experiments to ensure the 30 % (w/w) fat content in the coated pellets.

Considering that our objective was to understand specifically the role of the preconditioner in the *Fish Feed* process, extruded pellets were obtained as follows (**Table 2**):

Production of extruded pellets with preconditioner: formulations S1 and S2, at 425
 rpm and 575 rpm, respectively.

12

- Production of extruded pellets without preconditioner: formulations S3 and S5 at 335 425 rpm, and formulations S4 and S6 at 575 rpm. Additionally, two different amounts 336 of water added in the twin-screw extruder were tested, i.e., 26.5 kg/h for the S3 and S4 337 formulations, and 30.0 kg/h for the S5 and S6 formulations, corresponding to water-to-338 solid ratios of 0.241 and 0.273, respectively. On the one hand, for the S3 and S4 339 formulations, the 26.5 kg/h water flow rate corresponded to the sum of the QPREC and 340 Q<sub>TSE</sub> water flow rates used for the S1 and S2 formulations. On the other hand, in the 341 case of the S5 and S6 formulations, the additional amount of water added (i.e., 3.5 342 kg/h) corresponded to the amount of steam actually adsorbed by the solid mixture 343 during the production of formulations S1 and S2. 344
- 345

#### 346 2.4. Fish Feed pellet's usage properties

347

#### 348 **2.4.1. Bulk density and expansion ratio (ER)**

Bulk density was determined by filling extruded pellets in a 1,000 mL cylinder and then determining the mass per unit volume of the sample. The pellets density was measured in triplicate and the result was expressed in g/L (*i.e.*, mass per volume) as mean values  $\pm$ standard deviation. To standardize this measure, the cylinder was not tapped before weighing every sample (**Aas et al., 2011**).

The diameter of twenty uncoated extruded pellets was measured with an electronic digital sliding caliper. This diameter was used to calculate the expansion ratio according to Eq. (2).

356

$$ER (\%) = \frac{\text{pellet diameter} - \text{die diameter}}{\text{die diameter}} \times 100$$
(2)

357

#### 358 **2.4.2. Durability**

Pellet durability was determined using a Doris tester (Durability on a Realistic Test) from the AKVA Group (Klepp, Norway). Initially, a sample mass of 350 g of coated pellets was sieved with 1.0 mm and 7.1 mm screens. After sieving, the material collected on each screen was weighed. Dust was the quantity of material collected under the finest screen. Small fractures from broken pellets were the quantity of material between the two tested screens.

The Doris test was then applied on the only preserved pellets. The objective of this test was 364 to simulate the stresses to which pellets are exposed to in pneumatic feeding devices (Aas et 365 al., 2011). Firstly, a known mass of preserved pellets was introduced on the Doris tester. The 366 Doris device is made of an Archimede screw that feeds pellets from the inlet to the outlet of 367 the tester. As the transportation can induce degradation by impact and shear, pellets were 368 collected in a cup at the tester's outlet when the test was finished. Then, they were sieved with 369 a 7.1 mm screen and the whole fraction of preserved pellets (collected on the upper screen) 370 was weighed. The durability (expressed in % and possibly varying from 0% to 100%) was 371 calculated as the ratio of the weight of preserved pellets to the initial weight of tested pellets. 372 Extruded pellets of each formulation were analyzed in triplicate and results were expressed as 373 374 mean values ± standard deviations.

375

#### **2.4.3. Resistance to compression or hardness**

Pellet hardness (H) was measured using an Instron 33R4204 (Norwood-Massachusettes, 377 United States) universal testing machine fitted with a 5 kN load cell. Two rigid plates were 378 used during the test with the analyzed pellet positioned between them. The crosshead speed 379 was 2 mm/min at the moment of the compression test, and the test result was the required 380 force (F) to have the pellet broken. Hardness (H) was then expressed as the ratio of the 381 maximum force (F) to the average diameter of the analyzed pellet (d). Proceeding in this way 382 guarantees that dimensional variations of pellets were taken into account. The reported values 383 are therefore the average of twenty replications and results were expressed as mean values  $\pm$ 384 standard deviations. 385

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#### **2.4.4. Floatability**

The floatability of each formulation was tested using a large glass beaker (18.5 cm in 388 diameter) filled with 4 L of fresh water at ambient temperature. Using a small plastic shovel, 389 fifty pellets were counted and were then thrown softly into the water beaker. The number of 390 floating pellets that remained suspended in the water after 30 s was determined. In 391 aquaculture, Fish Feeds are intended to either sink or float (depending on the fish species). 392 That is why depending on the obtained floatability, pellets are classified as follows: sinking 393 pellets (floatability < 30 %), semi-floating pellets (between 30 % and 70 %), and finally 394 floating pellets (over 70 %). For each condition, the measurements were carried out three 395

times and results were expressed as mean values  $\pm$  standard deviations. The water was changed at the end of each replication.

398

#### 399 **2.4.5. Oil leakage rate (OLR)**

400 Once coated, pellets tend to lose oil over time. The pellet's Oil Leakage Rate (OLR) was evaluated using a non-standardized method developed specifically for the purpose of this 401 study. It was measured in two different conditions, *i.e.*, at 60 % relative humidity (RH) and 25 402 °C on the one hand, and at 40 °C on the other hand. Conducted in a climatic chamber, the first 403 404 incubation condition corresponded to pellets stored in a controlled manner whereas the second one had the objective to simulate the high temperature to which the pellets may be exposed 405 406 when stored in silos in full sun during the summer season. The latter was conducted in a ventilated oven. 407

For both tested incubation conditions, the test sample mass of pellets was  $130.0 \pm 0.1$  g. 408 These were then positioned in a glass beaker with a volume of 250 mL and at the bottom of 409 which several layers of an absorbant paper, for a total mass of 2 g, have been placed. The 410 incubation duration was chosen equal to 24 h. Specifically for the pellets incubated at 40 °C 411 in a ventilated oven, the beaker has been left to cool to room temperature once the incubation 412 period was over. Then, as part of the oil leaked during the test and has been absorbed by the 413 paper, its weight was measured and this allowed determining the mass loss of the pellet in oil. 414 Eq. (3) gives the formula used for the OLR calculation. 415

416

$$OLR(\%) = \frac{\text{Weight of oil absorbed by the absorbent paper (g)}}{\text{Total weight of pellets before leakage (g)}} \times 100$$
(3)

417 Experiments were carried out in triplicate. The results were expressed as mean values  $\pm$ 418 standard deviations.

419

#### 420 **2.5.Starch gelatinization (SG)**

To measure starch gelatinization rate, a Differential Scanning Calorimetry (DSC) apparatus was used. Firstly, test samples corresponding to the six uncoated pellets were obtained through a crushing step using a Nahita (La Chapelle sur Erdre, France) agate mortar with a 50 mm pestle. All the grinded powders were then conditioned in a climatic chamber (25 °C, 60 % RH) for three weeks to ensure an equivalent moisture content for all materials tested at the time of sampling. Lastly, samples were analyzed with a Mettler Toledo 427 (Columbus-Ohio, United States) DSC1 calorimeter under a constant flow of dry nitrogen. A 428 hermetic steel sample pan with a volume of 100  $\mu$ L was used for the purpose of the DSC 429 analysis. It contained the sample to be analyzed, and the test sample mass was chosen around 430 10 mg. Then, the sample was completed with Milli-Q water (50  $\mu$ L) and the analysis was 431 realized after one night of incubation. After that, a heating ramp at 3 °C/min from 5 °C to 100 432 °C was performed.

The starch gelatinization rate was also determined on the starting solid mixture (S0) and on the mixture collected at the output of the preconditioner (*i.e.*, after having added water and steam to the starting solid mixture, and before reaching the twin-screw extrusion machine).

436

## 437 **2.6.** Morphological characterization of pellets through tomography

To better understand differences between the obtained pellets (S1 to S6), their morphology 438 (internal structure (porosity) and oil distribution) were determined by tomography. The 439 tomography device used to perform this analysis was a RX Solutions Easy Tom (Chavanod, 440 France) 3D X-ray laboratory tomograph. For the analysis, a tension of 114 kV and a current 441 of 263 µA were chosen. For each formulation, three pellets were analyzed. A rotating stage 442 443 was used to support them. Approximately 1,440 projections of the transmitted X-ray intensity field were recorded for each angular step of 0.25°. This was made possible by the use of an X-444 ray detector and a flat panel detector  $(1.920 \times 1.536 \text{ pixels})$ . Then, a volume image of the 445 analyzed sample could be reconstructed from all the radiographs using a filtered back-446 projection algorithm. This image allowed to observe, with a spatial resolution of 20 µm, the 447 variations of the linear attenuation coefficient inside the sample. 448

Three different zones were distinguished in this reconstruction: the starchy matrix (in light grey), the pores filled by the oil (in dark grey), and the empty pores (in black). The AVISO software enabled the determination of the volume occupied by these three zones inside the coated pellets ( $V_{SM}$ ,  $V_{PO}$  and  $V_{EP}$ , respectively), and the distribution in volume (% (v/v) in proportion to the pellet's total volume) could then be calculated. For each formulation, this quantitative analysis was conducted three times, and results were then expressed as mean values  $\pm$  standard deviations.

From this distribution in volume, the pellet's porosity after extrusion-cooking and drying (*i.e.*, immediately before vacuum coating) was calculated according to Eq. (4).

Pellet's porosity (%) = 
$$\frac{(V_{P0}+V_{EP})}{(V_{SM}+V_{P0}+V_{EP})} \times 100 (4)$$

459

#### 460 **2.7.Water absorption and Water Solubility indices of extruded pellets**

The uncoated extruded pellets were ground in a fine powder using a Foss (Hillerød, Denmark) Cyclotec 1093 grinder fitted with a 1 mm screen. About 2.5 g sample was suspended in 30 mL of distilled water in a 50 mL centrifuge tube. The suspension was stirred intermittently, placed in an oven at 30°C for 30 min and then centrifuged at  $1000 \times g$  for 10 min.

The supernatant liquid was decanted into an aluminium cup and then oven-dried for 2 h at 135 °C (AACC method 44-19, 1995). The weight of the gel remaining in the centrifuge tube was measured.

469 Water absorption (WAI, %) and water solubility (WSI, %) indices were subsequently 470 calculated as follows:

472 
$$WAI = \frac{W_g}{W_{ds}} \times 100$$
 (5)

473 Where:

474  $W_g$  is the weight of the gel (g).

475  $W_{ds}$  is the weight of the dry sample (g).

476

477

$$WSI = \frac{W_s}{W_{ds}} \times 100$$
 (6)

478 Where:

479  $W_s$  is the weight of the dry matter in the supernatant (g).

#### 480

#### 481 **2.8. Statistical analyses**

Presented as mean values  $\pm$  standard deviations, all data originated from triplicates, except hardness measurements that were performed on twenty pellets. The XLSTAT (Addinsoft, Bordeaux, France) software followed by a one-way analysis of variance (ANOVA) were used for analyzing the results in a statistical point of view so as to evaluate whether the difference between the pellet's physical properties is significant or not. *P* < 0.10 was chosen for each variable to assess the significance of the differences. Tukey's test was used in the multiple comparison procedure. 489

#### 490 **3. Results and discussion**

491

# 492 3.1. Thermal analysis of the extruded pellets (evaluation of starch 493 gelatinization)

The DSC analysis was used to estimate the starch gelatinization rate inside the solid 494 mixture at the preconditioner outlet just as inside the extruded pellets before coating. 495 Although it is not the only analytical method known for measuring the gelatinization rate of 496 starch, the DSC analysis is suitable when the fat content of the analyzed samples is low. 497 Otherwise, interactions between starch and fat make it difficult to observe the gelatinization 498 phenomenon. In the present study, as fish oils (F1 and F2) and rapeseed oil (R) were added 499 500 exclusively during the coating step, the uncoated pellets only contained the oily molecules coming from the initial solid mixture at the moment of their DSC analysis. This content was 501 502 only 4.2% of the fresh matter, which made DSC analysis appropriate in this case.

503 The results obtained from the extruded pellets processed at 575 rpm (formulations S2, S4 and S6) are presented in Fig. 3, and particular attention has been focused on the temperature 504 zone between 55°C and 80°C, where gelatinization of starch occurs. No thermal phenomenon 505 was observed for these three formulations, and the same was true for the three others. This 506 indicates that the starch gelatinization was complete during the extrusion process even in the 507 case of formulations S3 to S6 for which no preconditioner was used. On the contrary, when 508 conducted on the starting solid mixture (S0) or the preconditioned one (S<sub>2PREC</sub>), DSC 509 thermograms revealed a specific endothermic peak from 60 °C to 80 °C, which was 510 characteristic of the starch gelatinization and thus evidencing that gelatinization was not 511 complete inside the preconditioned mixture (Fig. 3). Table 3 gathers the starch gelatinization 512 513 enthalpy ( $\Delta H$ ) for all the tested samples, and these enthalpy values were used to calculate the gelatinization rate (GR) of all processed mixtures (i.e., the preconditioned ones and the 514 extruded pellets) according to Eq. (7).  $\Delta H$  (expressed in J/g) was determined by calculating 515 the area under the DSC normalized peak. 516

517 
$$GR(\%) = \frac{\Delta H \text{ (initial mixture)} - \Delta H \text{ (processed mixture)}}{\Delta H \text{ (initial mixture)}} \times 100 \quad (7)$$

A gelatinization rate of about 60 % was observed for the two preconditioned mixtures at the origin of the S1 and S2 formulations. This result is in perfect agreement with data in the literature reported by **Lavocat and Raymond (2018)**, which confirms the preconditioner role

in aquafeed manufacturing. Raw materials (starch, protein, etc.) are hydrated and heated 521 firstly in the preconditioner. A pre-cooking step is realized in the presence of water (in its 522 steam and liquid forms), contributing to the loss of starch crystallinity and to the beginning of 523 its gelatinization. Then, the pre-heated and pre-humidified mixture passes to the extruder, 524 where the thermo-mechanical treatment is achieved by applying a temperature constraint 525 along the barrel simultaneously with intensive shear stress resulting from the restrictive 526 reverse elements positioned along the screw profile. In the literature, it was previously 527 mentioned by Riaz and Rokey (2011) that the combination of generated heat, pressure and 528 moisture inside the twin-screw extruder achieves cooking, starch gelatinization, and protein 529 denaturation. Hansen et al. (2010) and Kraugerud et al. (2011) had reported that starch 530 531 gelatinization varied between 73 % and 100 % in extruded Fish Feed and, this finding is in perfect accordance with the present DSC results that have revealed a complete gelatinization 532 533 of starch for formulations S1 and S2.

Even without a preconditioning step, the extruder was still able to fully gelatinize starch, 534 535 both with less (S3 and S4 formulations) or more (S5 and S6 formulations) water injected into the barrel. For aquafeed, starch acts as a natural binder contributing to the pellet's cohesion, a 536 source of energy and a density control agent (Bortone, 2017). To make this last capacity 537 effective, the starchy fraction must be well cooked and gelatinized during the extrusion 538 process. At this level, all the extruded pellets produced in this study fulfill this objective. 539 Nevertheless, their usage properties must be determined and discussed in a comprehensive 540 manner before definitively validating that the production of Fish Feed pellets can be 541 satisfactory ensured without using a preconditioner. 542

543

#### 544 **3.2.Effect of the process parameters on pellet's qualities**

As described previously, S1 and S2 formulations were obtained via the traditional Fish 545 Feed process including a preconditioning step before extrusion (Fig. 2). The related extrusion 546 547 parameters, and pellet's characterizations and usage properties are summarized in Table 4. The moisture content is of critical importance to authorize efficient starch gelatinization and 548 protein denaturation and, consequently, to maintain the nutritional quality during extrusion-549 cooking. It was measured at different levels during the Fish Feed process, i.e., in the starting 550 mixture, at the preconditioner outlet when used, at the extruder outlet and finally at the dryer 551 outlet. The initial solid mixture had a moisture content equal to  $7.4 \pm 0.1$  %. The latter stayed 552

in the preconditioner for 3 to 4 min where it was pre-humidified *via* water and steam addition. This contributed to an increase in its moisture content to 19 % on average. In parallel, the particularly low associated standard deviation (0.2 % max) also indicates that water was uniformly distributed inside the solid mixture. The pre-humidified and pre-heated mixture left the preconditioner with an average temperature of 75  $\pm$  2 °C. Therefore, moisture and temperature data confirm the preconditioner role, which indeed consists in pre-heating and pre-humidifying the solid mixture, to ensure the starch pre-gelatinization.

At the extruder level, the screw speed was the only variable operating parameter, differing 560 between the S1 and S2 formulations (425 rpm and 575 rpm, respectively). The moisture 561 content was also determined at the extruder outlet, and it was equal to 25 % on average, due 562 to the additional water injected into the extruder. In fact, a moisture content between 25 %563 and 30 % during wet extrusion of diets involving a mixture of cereal products, and vegetable 564 565 and animal proteins has been recommended for aquafeed (Rokey, 1994). In such humidifying conditions, the formulation is better processed to obtain feed with high physical quality. 566 567 Complete and uniform moisture penetration in the ingredient particles results in an increased heat transfer, allowing uniform gelatinization (Rokey, 1994). 568

During the extrusion process, the electrical energy is converted to mechanical energy 569 through the screws, and it is then transferred to the solid mixture. Representing the amount of 570 mechanical energy input per mass unit, SME values thus appear as a good way to characterize 571 the efficiency of the extrusion process. In this study, increasing the screw speed from 425 rpm 572 to 575 rpm (whatever the formulation) enhanced shear stress, increasing the energy input. At 573 574 a constant temperature, SME is known to increase with the screw speed (Baik et al., 2004). An increased speed elevates the friction between the product and the screws: more mechanical 575 energy is thus produced. Simultaneously, increasing the screw speed slightly promoted 576 pellet's expansion observed through a decrease in its bulk density, confirming that it is an 577 influential variable in twin-screw extrusion (Rokey, 1994). It should however be noted here 578 that despite the increase in both pellet's diameter and expansion ratio from formulation S1 to 579 formulation S2, the values obtained were not different in a statistical point of view (Table 4). 580 Concerning the counter-pressure, which is also an important parameter that must be 581 582 controlled during the extrusion process, and according to the values reported in Table 4, it 583 decreased as the screw speed increased. The counter-pressure was in fact directly linked to the device's filling coefficient: the more the filling of the extruder, the more the counter-pressure. 584

585 With a reduced screw speed, the twin-screw machine was more filled and the counter-586 pressure logically increased.

Differences were found between the S1 and S2 formulations concerning their usage 587 properties. Although slightly lower, the expansion level generated in S1 was a little more 588 589 favorable to better retain oil in these pellets as confirmed by the lower OLR values obtained at 25 °C and 40 °C as compared to S2 (Table 4). On the other hand, as S2 had a greater 590 expansion ratio (55 % instead of 51 %), its durability and hardness were slightly reduced. In 591 aquafeed, the expansion is one of the most important factors as it affects the density, hardness, 592 593 brittleness and oil holding capacity (Rosentrater et al., 2009). A large number of studies had reported that an inverse relationship existed between the pellet's expansion and physical 594 595 quality parameters like durability and hardness (Aarseth et al., 2006a; Hansen and Storebakken, 2007; Morken et al., 2012; Sørensen et al., 2009, 2010, 2011). 596

Fish Feed pellets must adapt to the species, and they are intended to either sink or float 597 (Dethlefsen, 2017). In our case, S1 gave semi-floating pellets while S2 gave fully floating 598 ones. In fact, floatability was directly linked to the pellet's density after vacuum coating: the 599 lower the density, the higher the floatability. This is the reason why the bulk density of coated 600 pellets is also of key importance with regard to their floatability (Chevanan et al., 2007, 601 2009). Bulk density is directly linked to the expansion degree of pellets generated during the 602 extrusion process (Glencross et al., 2011). In aquaculture, bulk density is not only adjusted 603 according to the feeding habits of the targeted fish species but also to fish farmers feeding 604 practices (Sørensen, 2012). 605

The quality of pellets was also investigated for the S3 to S6 formulations obtained without 606 the preconditioner. All the tested conditions allowed to obtain extrudable, pelletable and 607 coatable Fish Feed (up to 30% (w/w) fat). According to the results presented in Table 4, S3 608 and S4 were the formulations with the lowest moisture contents at the extruder outlet (19.8% 609 in average) of the entire study, and this had led to the pellets with the poorest usage 610 properties. Firstly, both S3 and S4 pellets had a rough surface. This was the consequence of 611 612 their low moisture contents, causing more difficulty for the extruded material to pass through the die orifice. In such conditions, and as water is known to act as a plasticizer for starch and 613 proteins, the reduced moisture content increased the material viscosity, rendering less 614 favorable its flowing. In addition, bonds (*i.e.*, hydrogen ones and/or van der Walls forces) 615 may also appear between particles, due to moisture acting as a binder (Pietsch, 2002). This 616

property was, therefore, less favored in the case of the S3 and S4 formulations given their low 617 moisture content at the extruder outlet. This resulted also in higher SME values (Table 4). It 618 should be remembered here that water is the most important and widely used plasticizer in 619 extrusion processing (Alvarez-Martinez et al., 1988: Blanche and Sun, 2004). S3 and S4 620 formulations had so higher bulk densities and reduced expansion ratios of only 32% and 36%, 621 respectively. In fact, the expansion is highly affected by the flour moisture (Draganovic et 622 al., 2011) and the driving force in the pellet's expansion is the vaporization of water (Cheng 623 and Friis, 2010). Thus, it is reasonable to deduce that a high content of moisture boosts the 624 expansion potential. As the expansion affects the oil holding capacity (Rosentrater et al., 625 2009), higher values of OLR at 25 °C and 40 °C were logically obtained for the less expanded 626 627 pellets of the S3 and S4 formulations. Broken pellets and dust (Table 4) were also more important with S3 and S4. Low moisture content during extrusion was responsible of poorer 628 629 cooking quality, therefore resulting in more fragile pellets (i.e., with little cohesion and binding strength). These results are in perfect agreement with those reported by Rokey 630 631 (1994).

On the other hand, higher durability was obtained with S3 in comparison to S1. With less 632 water and a low screw speed, S3 had a high density reflecting a less porous structure. It is 633 reasonable to assume that this configuration explains the high durability of the S3 pellets. On 634 the contrary, pellets from S4 had lower durability than those from S2 despite their lower 635 moisture content, and this was probably the consequence of the higher screw speed chosen 636 (575 rpm instead of 425 rpm). S3 and S4 had also lower hardness values in comparison with 637 S1 and S2. The pellets obtained in that case were less homogeneous with non-uniform 638 cooking, due to less water during the extrusion process, probably leading to more fragile 639 640 pellets from a mechanical point of view. Pietsch (1983) had reported that water in pellets bound particles together by a "liquid bridge". This deficit in water during the extrusion of 641 642 pellets from the S3 and S4 formulations could be responsible for their brittleness as the particles inside the mixture were less bound together during the extrusion process. Finally, S3 643 644 and S4 pellets were both sinking ones. Even increasing the screw speed (case of the S4 formulation) was not sufficient to have at least semi-floating pellets. 645

To conclude, S3 and S4 pellets did not meet the specifications of adult Trout feed. Even by increasing the screw speed (S4 formulation), such setting was not enough to obtain a floating pellet (floatability of 25% for a recommendation of at least 70 %). This difficulty to obtain pellets with the targeted properties can be explained by the fact that S3 and S4 were obtainedin non-optimal moisture level conditions as found in the literature (25-30 %).

In addition to these poor usage properties, pellets from S3 and S4 could present other 651 disadvantages due to their low moisture content. For example, Rokey (1994) had reported 652 653 that the palatability was reduced when lower moisture levels were applied. This condition can even contribute to the destruction of heat-labile nutrients like lysine and ascorbic acid. The 654 same author recommended a moisture content of 250-300 g/kg during the extrusion of fish 655 diets to prevent losses of nutrients. In our case, this moisture content was only 241 g/kg for 656 both S3 and S4 formulations (Table 2). Finley (1989) and Opstvedt et al. (1984) have also 657 found that when low moisture content occurred in combination with temperature higher than 658 100 °C, the cross-linking between amino acids could occur inside the fish proteins. 659 Consequently, digestibility was reduced for almost all amino acids, especially cysteine 660 (Andorsdottir, 1985; Ljøkjel et al., 2000). The same phenomenon was observed with 661 rainbow Trout when water added to the extruder was restricted in comparison with diets 662 obtained at more elevated moisture contents (Sørensen et al., 2002). In other terms, in the 663 case of the S3 and S4 formulations and apart from the fact that they were obtained without 664 preconditioner, their processing with low moisture content could affect negatively their 665 digestibility. 666

Since S3 and S4 resulted in pellets with unsatisfactory properties, corrective measures 667 were applied by injecting more water inside the extruder barrel (30.0 kg/h instead of 26.5 668 kg/h) when extruding the S5 and S6 formulations without using the preconditioner. In fact, 669 this correction was made by taking into account the water that was absorbed by the solid 670 mixture in the preconditioner in the case of formulations S1 and S2. This adjustment was 671 672 evidenced by higher moisture content values at the extruder outlet for S5 and S6 in comparison with S3 and S4: 24 % instead of 20 % (Table 4). The most important finding is 673 674 that adding more water had significantly improved the pellet's usage properties, which became then similar to S1 and S2, especially with the highest screw speed (575 rpm) (Table 675 4), confirmed by the statistical analysis. Adding more water was necessary for the viscoelastic 676 properties of the extruded mixture and to guarantee complete hydration. S5 and S6 densities 677 (both at the extruder outlet and at the coater one) were reduced and became much closer to S1 678 and S2 ones. In this case, water injected in the extruder barrel can be used to control the 679 680 extruded pellet's density (Bortone, 2017). Consequently, more water helped to generate more expanded pellets with a larger diameter that met the expansion level of S1 and S2 (with no 681

statistical difference). This improvement contributed also to better oil retention, which
resulted in lower OLR values at 25 °C, compared to S3 and S4, in the range to those measured
for S1 and S2.

At 40 °C, no statistical difference was observed for OLR of pellets extruded at 575 rpm 685 (comparison between S2 and S6). In fact, the combined action of an additional amount of 686 water and an increase in the screw speed allowed a further improvement on the pellet's usage 687 properties, explaining why the results for S6 were so close to those for S2. Additionally, 688 floating pellets were obtained with S6 (86 %). Despite a slight floatability reduction in 689 comparison with S2, S6 still met the feed specifications for large Trout (i.e., floating pellet 690 type). Dust generation was also not statistically different between S2 and S6, and particularly 691 692 limited. These results demonstrate the beneficial effect of adequate moisture content during extrusion to generate desirable usage properties even without the preconditioning step. 693

694 Overall, durability and hardness were improved with S5 and S6 compared to S1 and S2. Such improvement in the pellet's usage properties is often desired in aquaculture. In this 695 696 situation, the ability of the feed to be handled while maintaining its integrity (fewer dust amount) is guaranteed (Sørensen et al., 2012). In intensive aquaculture (e.g., big and modern 697 Trout farming), feeds should be resistant to a multitude of constraints such as handling, 698 mechanical stress during transport and pneumatic feeding devices (Aarseth, 2004; Aarseth et 699 al., 2006b). That is why the durability measurements made at lab scale (use of a Doris tester) 700 tend to mimic the stress applied to the pellets in real conditions. On the other hand, hardness 701 702 assesses the resistance to breakage when pellets are exposed to external pressure. It is often used to mimic the force applied on pellets when they are stacked on top of each other during 703 storage in silos or bins, the mechanical solicitation in a screw conveyor, and the compressing 704 705 force between animal teeth (Kaliyan and Morey, 2009).

In the specific case of diets for salmonids, starch is kept at a lower concentration than 706 proteins, due to their low capacity to digest and metabolize starch (Hemre et al., 2002). As 707 native proteins generally have a stronger water affinity than starch (Semenova et al., 2006; 708 Yahata et al., 2006), it is reasonable to assume that water will be more easily absorbed by the 709 proteins inside the extruder when no preconditioner is used. Additionally, and for the same 710 711 reason, adding more water to the mixture in the extruder (S5 and S6 formulations in 712 comparison with the S3 and S4 ones) must undoubtedly have contributed to an increased absorption of water by the proteins and thus their improved denaturation, which must be the 713 reason why the pellet's durability and hardness were improved for the S5 and S6 714

formulations. These results underline the twin-screw extruder ability to ensure the entire *Fish Feed* process, *i.e.*, both the starch gelatinization as previously evidenced by the DSC results
(Table 3 and Fig. 3) and the protein denaturation.

Besides, it is well known that high water content during extrusion contributes to higher 718 719 nutrient availability (Sørensen, 2012). For example, when shrimp were fed by extruded diets at high moisture content rather than from dry extrusion conditions, the results showed an 720 improvement in growth performance that emphasizes the importance of moisture during 721 processing (Obaldo et al., 2000). Given these results, it appears therefore necessary to 722 723 complete the present study by in vivo feeding trials on adult Trout in order to check if those findings will still be valid in the absence of preconditioner. As a more elevated water content 724 725 during extrusion was used for S5 and S6, these two formulations should be the most interesting ones in terms of palatability and nutrient digestibility, especially since the pellets 726 727 from these two formulations have a common appearance once coated. Indeed, Fig. 4 shows the extruded pellets obtained at a screw speed of 575 rpm before and after coating. No visual 728 difference was observed between S2 and S6. On the contrary, the S4 pellets were 729 distinguishable from the two others as they revealed a less uniform appearance and a rougher 730 surface. 731

To conclude, a complete starch gelatinization can occur along the twin-screw extruder 732 barrel alone without any preconditioning treatment. In addition, the same level of expansion 733 can be reached at the die outlet but on the express condition that the liquid water injected in 734 the extruder barrel is the sum of both liquid water flow rates (i.e., the one added in the 735 preconditioner and the second in the twin-screw extruder) and the part of injected steam really 736 absorbed by the flours along the preconditioner when the latter is implemented. For future 737 work, it will be however necessary to ensure that not using a preconditioner will not lead to a 738 premature wear of both the screw elements and the barrel in the twin-screw machine. 739

740

#### 741 **3.3.** Morphological characterization of pellets through tomography

Results obtained for the pellet's expansion ratios, and their density, OLR values and floatability were completed by their morphological characterization through 3D X-ray tomography. **Fig. 5** presents one example of tomography image taken from all coated pellets analyzed (S1 to S6). Thanks to tomographic reconstructions of the extruded pellets, it was possible to carry out a quantitative analysis that enabled to determine the distribution in volume relative to the three different zones inside the coated pellets (*i.e.*, the starchy matrix,
the oil-filled pores and the empty ones).

Table 5 shows significant differences in the volume distribution between these three 749 different zones when comparing the pellets produced with preconditioner (S1 and S2) and 750 751 those without (S3 and S4). In particular, the S3 and S4 formulations produced without preconditioner and with a reduced amount of water revealed a reduced proportion of oil-filled 752 and empty pores. On the contrary, when more water was added in the extruder (S5 and S6), 753 these differences were largely reduced and the volumes occupied by the oil-filled and empty 754 pores were not statistically different from those inside the S1 and S2 pellets. These 755 tomography results are very relevant since the same trend was observed previously in terms of 756 usage properties. With the lowest moisture content (S3 and S4), results in Table 4 showed 757 that expansion was unfavored, resulting in higher OLR values (especially at 25 °C). The 758 obtained pellets were therefore less porous (higher density), logically explaining at the same 759 time the much higher values for V<sub>SM</sub>. However, even without a preconditioner but with the 760 express condition of adding higher proportion of water in the extruder barrel, the obtained 761 pellets (S5 and S6) presented an internal structure similar to those obtained with the 762 preconditioned mixtures. It is thus reasonable to assume that the expansion phenomenon 763 generated at the die outlet was equivalent between the S1 and S2 formulations on the one 764 hand, and the S5 and S6 ones on the other hand. 765

Pastor-Cavada et al. (2011) had reported that bulk density and expansion ratio were the 766 best properties to describe product porosity. In this context and to verify the previous 767 hypothesis, the sum of  $V_{PO}$  and  $V_{EP}$  was used to determine the pellet's porosity (**Table 4**). The 768 results revealed no statistical difference between S1, S2, S5 and S6 pellets. These findings are 769 in perfect agreement with the previously discussed results related to the expansion ratio. On 770 the other hand, S3 and S4 had the lowest pellet's porosity, reflecting their lower expansion 771 ratio, which was the consequence of the reduced water amount injected inside the extruder 772 barrel. These results highlight the clear correlation existing between the pellet's expansion 773 ratio and their porosity. 774

To conclude, the expansion ratio generated during extrusion strongly influences the usage properties and final appearance of the product (**Moraru and Kokini, 2003**). In the literature, the screw speed, the shape and the diameter of the die are known to influence expansion. In this study, even without a preconditioning step, it has been shown that expansion could be also adjusted thanks to the judicious choice of the quantity of added water. Without
preconditioner, an adequate optimization of the extrusion-cooking conditions can thus
perfectly lead to pellets as efficient as those obtained through a classical *Fish Feed* process.
The key element is the expansion phenomenon that dictates the usage properties (*i.e.*, density,
OLR and floatability) of the final extruded pellets.

784

#### 785 **3.4.WAI and WSI values**

In several studies, WAI and WSI indices are often used to provide further information about the cooking rate of starch. This method is particularly justified at a practical level, as it does not require significant time, biochemical extraction, and isolation and characterization of a complex mixture (**Kim et al., 1989; Kirby et al., 1988**). The values of WAI and WSI measured on the preconditioned mixture and extruded pellets are summarized in **Table 6**.

Kirby et al. (1988) indicated that a degradation of molecules could be illustrated through 791 WSI values. As starch degrades, the quantity of soluble matters increases, and this results in 792 modifications in the WSI value (Guha et al., 1997). According to Table 6, no statistical 793 difference in terms of WSI values was evidenced for the six extruded formulations and even 794 for the preconditioned mixture. Alternatively, Seker (2005) and Van den Einde et al. (2003) 795 have reported that high WSI values are the indication of effective starch gelatinization. In our 796 case, this information means that starch gelatinization was the same for S1 to S6 formulations. 797 This finding is consistent with the previous DSC results. And, although the differences were 798 799 not significant in a statistical point of view, the lowest WSI value was obtained with the 800 preconditioned mixture for which the gelatinization rate was estimated through DSC analysis to only 60% (Table 3). This result can be explained as the latter had only undergone 801 preconditioning while pellets have been extruded, with much higher mechanical shear 802 applied. 803

In an aqueous dispersion, **Mason and Hoseney** (1986) indicated that it is possible to estimate the volume of gelled and swollen particles that retain their integrity *via* the WAI value. According to **Table 6**, no statistical difference was found between the S1 and S2 pellets (with preconditioner), and the S5 and S6 ones (without preconditioner and with a higher amount of water added). **Bortone** (2004) and **Gomez and Aguilera** (1984) had reported that WAI is related to starch gelatinization extent followed by degradation and dextrinization. These phenomena are responsible for water absorption. On the other hand,

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WAI values relative to pellets S3 and S4 were statistically different in comparison with those of S5 and S6. When the moisture content in pellets was reduced (S3 and S4), starch swelling was therefore limited in comparison with formulations also obtained without preconditioner but with more added water during the process (S5 and S6). This result is consistent with previous studies conducted by **Anderson et al. (1970)** and **Oikonomou and Krokida (2012)**, where WAI had decreased when the moisture content was reduced, but reported as well that WAI decreased with an increase in screw speed (no correlation established in this work).

**Ravindran et al. (2011)** have reported that WSI reflects the pellet's hydrophobicity while WAI indicates their hydrophilic capacity. These two parameters are useful as they can therefore influence the pellet's usage properties. In the present study, WAI and WSI values relative to S1 and S2 were not statistically different from those of S5 and S6. This finding was confirmed by the results presented in **Table 4** where most of the pellet's characteristics were kept without preconditioner with the precaution to work with adequate moisture content in the extruder.

The starch contained in pellets consists of two chains of glucose molecules, namely 825 amylose and amylopectin. The first one is a linear polymer with a few branches while the 826 second one is a highly branched polymer (Tharanathan, 1995). The extrusion process of 827 pellets causes substantial changes in the physical constitution of the starchy fraction 828 (Davidson et al., 1984). Those changes confer specific functional properties to the Fish Feed 829 pellets. Among the most important practical properties of starch, its ability to swell, 830 contributes to viscous paste, either in hot water or when treated with chemicals (Knight, 831 1969). It is also important to specify that other components like proteins and fats can also 832 influence the starch conversion during extrusion (Lin et al., 1997). To conclude this part, it 833 should be kept in mind that results concerning WAI and WSI are not based on fundamental 834 structural characteristics of the material. This is the reason why discussing them has been 835 done cautiously in the present study. 836

In aquaculture, *Fish Feed* pellets are very often produced using a preconditioner that precooks the feed (pre-gelatinization of starch). Not using it requires consequently subtle changes, specifically at the extruder level. The present work demonstrated that an optimization was necessary to achieve the desired product attributes while maximizing its usage properties. In our case, as pellets are designed to feed large Trout, it was necessary to manufacture them at high moisture content and high screw speed in the process without preconditioning. The adjustment of other extrusion parameters (*e.g.*, screw profile,
temperature profile, etc.) would also possibly enable to reach the pellet's targeted properties.
Further research will be required to determine the importance of each of them.

#### 846 **4.** Conclusion

In this work, Fish Feed pellets for adult Trout were successfully manufactured at pilot 847 scale through extrusion cooking without a preconditioner. The gelatinization of starch can be 848 entirely conducted inside the twin-screw machine, thus reducing the investment cost of the 849 industrial lines. The simplification of the process results as well in pellets with the same usage 850 properties as those originating from a classical Fish Feed process including both a 851 preconditioner and a twin-screw extruder. A final check of screw elements and extruder barrel 852 wear would however be necessary to ensure the industrial gain of this simplified process over 853 the long term. Indeed, even if the specific mechanical energy required was almost the same 854 855 for both processes, the counter-pressure was significantly higher without the preconditioner. For future work, as the process without preconditioner has been validated in terms of pellet's 856 857 usage properties, it will be necessary to carry out in vivo feeding trials on adult Trout to evaluate the pellet's palatability and nutrient digestibility, as these two characteristics are the 858 859 most reliable barometers of product quality.

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#### 861 **CRediT authorship contribution statement**

Asma Chaabani: Investigation, Writing - original draft, Writing - review & 862 editing, Visualization. Laurent Labonne: Investigation. Vanessa Durrieu: 863 Formal analysis, Writing - original draft, Writing - review & editing. 864 Antoine Rouilly: Writing - original draft, Writing - review & editing. 865 Fabien Skiba: Resources, Supervision, Project administration. Philippe 866 Evon: Conceptualization, Methodology, Validation, Formal analysis, 867 Investigation, Writing - original draft, Writing - review & editing, 868 Visualization, Supervision, Project administration. 869

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#### 871 **Declaration of Competing Interest**

872 The authors report no declarations of interest.

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1120	Tables and figures captions
1121	
1122	Table 1
1123 1124	Composition of the final extruded pellets (contents of chemicals are expressed in $\%$ (w/w) in proportion to the fresh matter).
1125	
1126	Table 2
1127	Operating conditions applied to produce the extruded pellets (S1, S2, S3, S4, S5 and S6).
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1129	Table 3
1130 1131	Starch gelatinization enthalpy and gelatinization rate for the initial mixture, the preconditioned ones and all the extruded pellets before coating.
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1140	
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1142	WSI and WAI results measured on the preconditioned mixture and extruded pellets.
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**Fig. 1.** General view (on the left) and inside (on the right) of a preconditioner (illustrations reproduced with the authorization of the Clextral Company).

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Fig. 2. Simplified diagram of the *Fish Feed* process used to produce the extruded pellets offormulations S1 and S2.

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Fig. 3. DSC analysis of the initial mixture, the preconditioned one and the extruded uncoatedpellets obtained at 575 rpm.

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**Fig. 4.** Photographs of the extruded pellets obtained at a screw speed of 575 rpm (uncoated pellets (dryer outlet) are presented at the top and the coated ones at the bottom; from left to right: S2, S4 and S6) (8.5 cm for the Petri dish diameter).

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Fig. 5. Tomography images of *Fish Feed* pellets from formulations (a) S1, (b) S2, (c) S3, (d) S4, (e) S5, and (f) S6 (425 rpm for the screw speed for the three images on the top, and 575 rpm for the three ones below). The volume occupied by the starchy matrix appears in light grey and white, and the empty pores in black. The oil is also visible inside the coated pellets, appearing in dark grey (*i.e.*, slightly darker than the starchy matrix).

Water inlet

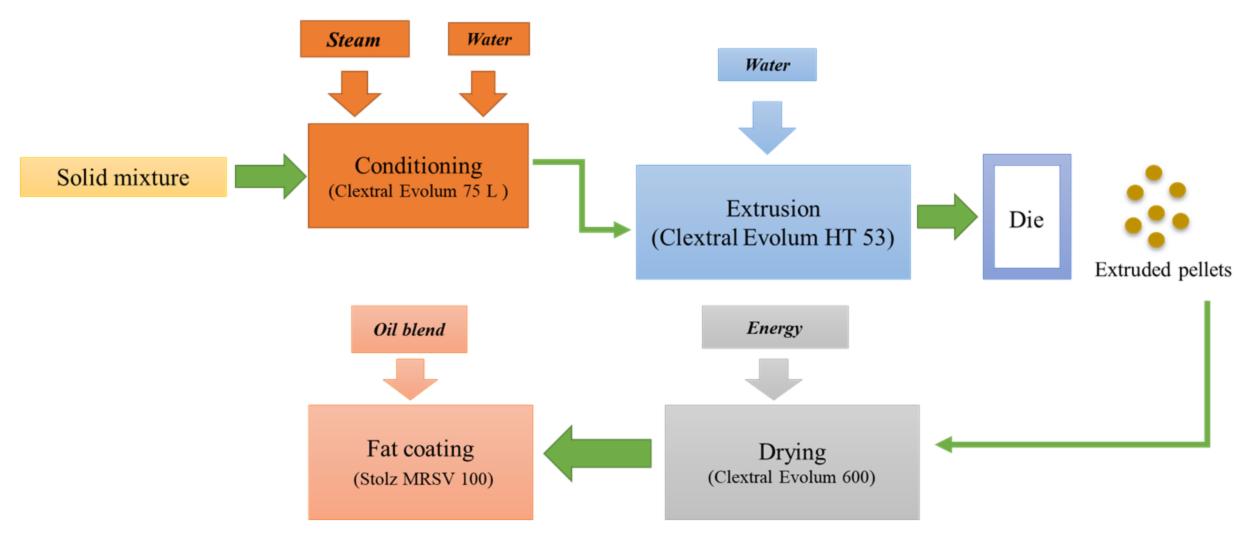
Steam inlet

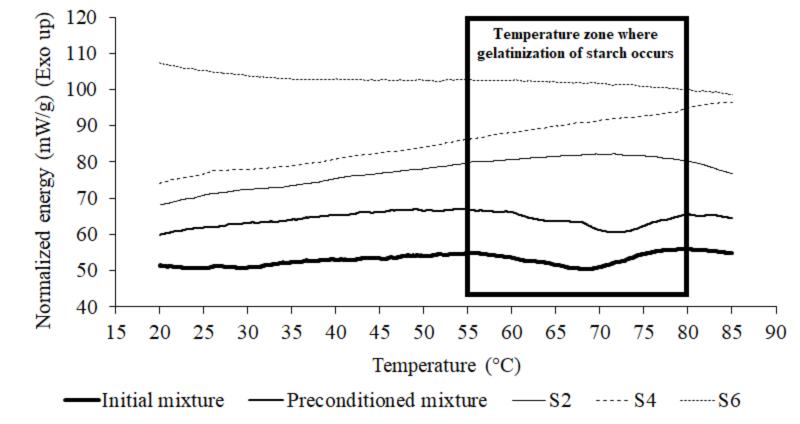
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# Uncoated extruded pellets





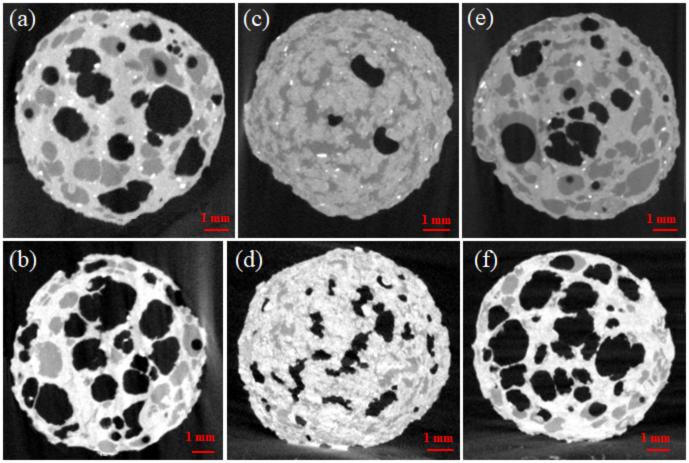


Coated extruded pellets









Composition of the final extruded pellets (contents of chemicals are expressed in % (w/w) in proportion to the fresh matter).

Chemical	Content (% (w/w))
Crude protein	35.5
Fat	30.0
Starch	11.1
Crude cellulose	2.9
Ash	4.9
Moisture	7.0

	V	Vith	Without					
<b>Operating conditions</b>	preconditioner			preconditioner				
	<b>S</b> 1	S2	S3	S4	S5	<b>S</b> 6		
Solid inlet flow rate (kg/h)	110	110	110	110	110	110		
Screw speed (rpm)	425	575	425	575	425	575		
Device's filling coefficient (kg/h rpm)	0.258	0.191	0.258	0.191	0.258	0.191		
<b>Q</b> v ( <b>kg/h</b> )	13.2	13.2	0.0	0.0	0.0	0.0		
QPREC (kg/h)	10.9	10.9	0.0	0.0	0.0	0.0		
Q <sub>TSE</sub> (kg/h)	15.6	15.6	26.5	26.5	30.0	30.0		
Water-to-solid ratio	0.361	0.361	0.241	0.241	0.273	0.273		

Operating conditions applied to produce the extruded pellets (S1, S2, S3, S4, S5 and S6).

The device's filling coefficient is defined as the ratio of the solid inlet flow rate to the screw speed.  $Q_V$  is the steam flow rate in the preconditioner;  $Q_{PREC}$  is the water flow rate in the preconditioner;  $Q_{TSE}$  is the water flow rate in the twin-screw extruder.

Starch gelatinization enthalpy and gelatinization rate for the initial mixture, the preconditioned ones and all the extruded pellets before coating.

Code	<b>S0</b>	<b>S1</b>	S2	<b>S1</b>	S2	<b>S</b> 3	<b>S4</b>	<b>S</b> 5	<b>S</b> 6
		PREC	PREC						
Material	Initial	Precond	ditioned		Powde	r from e	xtruded	pellets	
	mixture	mixt	tures			(dryer	outlet)		
	1.14	0.45	0.47						
ΔH (J/g)	±	±	±	0.00	0.00	0.00	0.00	0.00	0.00
	0.03	0.01	0.01						
<b>GR</b> (%)	n.a*	60.5	58.8	100.0	100.0	100.0	100.0	100.0	100.0

\* n.a: not applicable; GR: gelatinization rate (%).

Twin-screw extrusion parameters, pellets characterizations and pellets usage properties for the S1 to S6 formulations.

Formulations	With preconditioner			Without preconditioner			
	<b>S1</b>	S2	<b>S</b> 3	<b>S4</b>	S5	<b>S6</b>	
Screw speed (rpm)	425	575	425	575	425	575	
	Twin-screw e	xtrusion parai	neters				
Moisture at preconditioner outlet (%)	$18.9^{a} \pm 0.1$	$19.0^{a} \pm 0.2$	n.a	n.a	n.a	n.a	
Material temperature at preconditioner outlet (°C)	$76^{a} \pm 1$	$73^{b} \pm 2$	n.a	n.a	n.a	n.a	
I (A)	$39^d \pm 2$	$39^{d} \pm 1$	$50^{a} \pm 1$	$44^{b} \pm 1$	$40^{c} \pm 1$	$37^{e} \pm 1$	
SME (W h/kg)	$76^{e} \pm 3$	$102^{b} \pm 3$	$97^{c} \pm 2$	$117^{a} \pm 4$	$78^{d} \pm 3$	$98^{c} \pm 4$	
<b>Counter-pressure (bar)</b>	$14^{e} \pm 3$	$9^{\rm f} \pm 3$	$35^{a} \pm 1$	$29^{b} \pm 2$	$23^{c} \pm 4$	$19^d \pm 3$	
	Pellets c	naracterization	IS				
Moisture at extruder outlet (%)	$25.4^{a} \pm 0.1$	$24.7^{b} \pm 0.1$	$19.8^{\circ} \pm 0.2$	$19.8^{\circ} \pm 0.1$	$24.3^{b} \pm 0.3$	$24.4^{b} \pm 0.3$	
Bulk density (extruder outlet) (g/L)	$396^{\circ} \pm 1$	$361^{e} \pm 5$	$486^{a} \pm 8$	$377^{d} \pm 3$	$434^{b} \pm 6$	$386^{cd} \pm 2$	
Bulk density (coater outlet) (g/L)	$589^{\circ} \pm 1$	$534^{e} \pm 2$	$687^{a} \pm 2$	$596^{\circ} \pm 4$	$649^{b} \pm 2$	$565^{d} \pm 3$	
Pellet diameter (dryer outlet) (mm)	$8.9^{ab} \pm 0.3$	$9.2^{a} \pm 0.3$	$7.8^{\circ} \pm 0.2$	$8.0^{\circ} \pm 0.3$	$8.8^{b} \pm 0.3$	$9.0^{ab} \pm 0.3$	
Expansion ratio (%)	$51^{ab} \pm 5$	$55^{a} \pm 5$	$32^{c} \pm 3$	$36^{\circ} \pm 5$	$49^{b} \pm 5$	$52^{ab} \pm 5$	
Pellet's porosity (%)	$47^{a} \pm 5$	$49^{a} \pm 1$	$14^{b} \pm 1$	$23^{b} \pm 5$	$43^{a} \pm 3$	$46^{a} \pm 4$	
Pellets usage properties							
<b>OLR (%) at 25 °C</b>	$0.66^{d} \pm 0.04$	$0.83^{\circ} \pm 0.01$	$1.67^{a} \pm 0.08$	$1.04^{b} \pm 0.04$	$0.75^{cd} \pm 0.06$	$0.83^{\circ} \pm 0.09$	
<b>OLR (%) at 40 °C</b>	$1.37^{d} \pm 0.01$	$1.56^{\rm bc}\pm0.07$	$2.17^{a} \pm 0.00$	$1.48^{\rm cd}\pm0.00$	$1.52^{bc} \pm 0.08$	$1.64^{b} \pm 0.02$	
Pellet breakage (%)	$0.40^{\rm bc} \pm 0.10$	$0.10^{\rm b} \pm 0.00$	$0.55^{ab} \pm 0.05$	$0.60^{\rm a} \pm 0.00$	$0.19^{\rm d}\pm0.06$	$0.25^{cd} \pm 0.10$	

<b>Dust</b> (%)	$0.10^{a} \pm 0.00$	$0.01^{\rm c} \pm 0.00$	$0.12^{a} \pm 0.02$	$0.07^{\rm b} \pm 0.00$	$0.00^{\circ} \pm 0.00$	$0.00^{\rm c} \pm 0.00$
Durabilty (%)	$78^{cd} \pm 0$	$76^{d} \pm 1$	$81^{ab} \pm 0$	$72^{e} \pm 1$	$84^a \pm 1$	$80^{bc} \pm 2$
Hardness (N/mm)	$17^{b} \pm 3$	$13^d \pm 2$	$14^{cd} \pm 2$	$10^{\rm e} \pm 2$	$22^{a} \pm 4$	$16^{bc} \pm 3$
Floatability (%)	$65^{c} \pm 2$	$100^{a} \pm 0$	$0^{e} \pm 0$	$25^d \pm 3$	$5^{e} \pm 1$	$86^{b} \pm 2$

Means in the same line with the same superscript letter (a-f) are not significantly different at P < 0.10.

	<b>S1</b>	S2	<b>S</b> 3	S4	<b>S</b> 5	<b>S6</b>
V <sub>SM</sub> (%)	$53^{b} \pm 5$	$51^{b} \pm 1$	$86^{a} \pm 1$	$77^{a} \pm 5$	$57^{b} \pm 3$	$54^{b} \pm 4$
<b>V</b> PO(%)	$29^{a} \pm 3$	$24^{a} \pm 1$	$11^{bc} \pm 1$	$9^{c} \pm 2$	$29^{a} \pm 8$	$20^{ab} \pm 1$
<b>V</b> <sub>EP</sub> (%)	$18^{bc} \pm 2$	$25^{ab} \pm 2$	$3^d \pm 1$	$14^{c} \pm 4$	$14^{c} \pm 5$	$26^{a} \pm 2$

Distribution in volume of the starchy matrix, the oil-filled pores and the empty ones inside the coated pellets.

 $V_{SM}$  is the volume occupied by the starchy matrix.  $V_{PO}$  is the volume occupied by the oil-filled pores.  $V_{EP}$  is the volume occupied by empty pores. Means in the same line with the same superscript letter (a-d) are not significantly different at P < 0.10.

Formulation	WSI	WAI
Preconditioned mixture	$10.9^{a} \pm 0.9$	$200^{\circ} \pm 5$
<b>S1</b>	$12.3^{a} \pm 1.1$	$259^{ab} \pm 6$
S2	$12.7^{a} \pm 0.2$	$253^{ab} \pm 1$
<b>S</b> 3	$12.7^{a} \pm 1.0$	$242^{b} \pm 2$
S4	$12.3^{a} \pm 0.8$	$243^{b} \pm 3$
S5	$11.6^{a} \pm 0.6$	$274^{a} \pm 12$
<b>S6</b>	$11.7^{a} \pm 0.7$	$277^{a} \pm 17$

WSI and WAI results measured on the preconditioned mixture and extruded pellets.

*Means in the same column with the same superscript letter* (*a-c*) *are not significantly different at* P < 0.10.