

# Horses form cross-modal representations of adults and children

Plotine Jardat, Monamie Ringhofer, Shinya Yamamoto, Chloé Gouyet, Rachel Degrande, Céline Parias, Fabrice Reigner, Ludovic Calandreau, Léa Lansade

# ► To cite this version:

Plotine Jardat, Monamie Ringhofer, Shinya Yamamoto, Chloé Gouyet, Rachel Degrande, et al.. Horses form cross-modal representations of adults and children. Animal Cognition, 2023, 26, pp.369-377. 10.1007/s10071-022-01667-9 . hal-03751952

# HAL Id: hal-03751952 https://hal.inrae.fr/hal-03751952v1

Submitted on 16 Aug2022

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés. 1 Accepted Manuscript

# Horses form cross-modal representations of adults and children

- 4 Plotine Jardat<sup>1,\*</sup>, Monamie Ringhofer<sup>2</sup>, Shinya Yamamoto<sup>3,4</sup>, Chloé Gouyet<sup>1</sup>, Rachel Degrande<sup>1</sup>, Céline
- 5 Parias<sup>1</sup>, Fabrice Reigner<sup>5</sup>, Ludovic Calandreau<sup>1</sup>, Léa Lansade<sup>1,\*</sup>
- 6 <sup>1</sup> CNRS, IFCE, INRAE, Université de Tours, PRC, F-37380, Nouzilly, France
- 7 <sup>2</sup> Department of Animal Science, Teikyo University of Science
- 8 <sup>3</sup> Institute for Advanced Study, Kyoto University, Kyoto, Japan
- 9 <sup>4</sup> Wildlife Research Center, Kyoto University, Kyoto, Japan
- <sup>5</sup> UEPAO, INRAE F-37380, Nouzilly, France
- 11 \* corresponding authors <u>lea.lansade@inrae.fr</u>; <u>plotine.jardat@gmail.com</u>
- 12 ORCID IDs: P Jardat 0000-0003-0374-5588 ; M Ringhofer 0000-0002-4813-939X ; S Yamamoto 0000-
- 13 0001-7938-1106 ; C Gouyet 0000-0001-5548-053X ; R Degrande 0000-0003-4976-1073 ; L Calandreau

14 0000-0002-7535-5733 ; L Lansade 0000-0002-4185-9714

15

#### 16 Abstract

17 Recently, research on domestic mammals' sociocognitive skills toward humans has been prolific,

allowing us to better understand the human-animal relationship. For example, horses have been

19 shown to distinguish human beings on the basis of photographs and voices and to have cross-modal

20 mental representations of individual humans and human emotions. This leads to questions such as

- 21 the extent to which horses can differentiate human attributes such as age. Here, we tested whether
- 22 horses discriminate human adults from children. In a cross-modal paradigm, we presented 31 female
- 23 horses with two simultaneous muted videos of a child and an adult saying the same neutral
- sentence, accompanied by the sound of an adult's or child's voice speaking the sentence. The horses

- 25 looked significantly longer at the videos that were incongruent with the heard voice than at the
- 26 congruent videos. We conclude that horses can match adults' and children's faces and voices cross-
- 27 modally. Moreover, their heart rates increased during children's vocalizations but not during adults'.
- 28 This suggests that in addition to having mental representations of adults and children, horses have a
- 29 stronger emotional response to children's voices than adults' voices.
- 30 **Keywords:** human-animal relationship, emotion, cross-modal recognition, social cognition, *Equus*
- 31 caballus

#### 32 Statements and declarations

- 33 The authors declare no competing interests. This study was funded by Institut Français du Cheval et
- 34 de l'Equitation (IFCE), grant number 32 000809-Cognition Equine.
- 35 <u>Author contributions</u>: All authors devised the protocol. P.J., C.G., C.P., F.R., L.L. implemented the
- 36 protocol. P.J., C.G., L.L. coded the videos and analyzed the data from heart rate monitoring and
- behavior coding. P.J., M.R, S.Y., C.G., R.D., L.C. and L.L. revised the analysis and report.

#### 38 Acknowledgments

- 39 We thank Adèle, Amande, Coline and the other volunteers who were filmed to make up the stimuli
- 40 of this experiment; Estel Blasi for drawing Figure 1; and Springer Nature Authors Services for English
- 41 language and text flow editing.

## 43 Introduction

44 Recently, research on domestic mammals' sociocognitive skills toward humans has been prolific, 45 allowing us to better understand the human-animal relationship (Jardat and Lansade 2021). Horses, 46 for example, can distinguish human beings on the basis of photographs (Lansade et al. 2020a, b) as 47 well as voices (Smith et al. 2018). They can also match the body and voice of an individual human (Proops and Mccomb 2012; Lampe and Andre 2012), and they are sensitive to the type of speech 48 49 used to address them (Lansade et al. 2021). Furthermore, horses have been observed to behave 50 differently toward a human depending on what they know about the human, such as whether the 51 human knows where food is hidden (Ringhofer and Yamamoto 2017; Trösch et al. 2019b; Ringhofer 52 et al. 2021), what emotions this human has expressed (Proops et al. 2018), or how this human has 53 behaved toward another horse (Trösch et al. 2020b). These findings suggest questions such as 54 whether horses behave differently toward humans according to their age, and a first question that can be raised is whether they can discriminate human adults from children. 55

56 Few studies have explored this question in animals. Dolphins seem to swim closer to children 57 than to adults in swim-with-dolphin programs (Brensing and Linke 2003). In dogs, behavioral synchronization and attachment styles seem to differ between the relationship of a dog and adult 58 59 owner and that of a dog and child owner (Wanser et al. 2020, 2021). It is also possible that horses 60 react differently to children compared with adults, as has commonly been reported by horsemen and 61 horsewomen. For example, in a survey of French-speaking horse owners posted on social media, 76% 62 of the respondents declared that their horses behaved differently toward children compared with 63 adults (see Online Resource 1).

In humans, age is an essential attribute used to categorize conspecifics and can be assessed
by both facial and vocal cues (Moyse 2014). Indeed, there are facial and vocal differences between
people of different age groups. Facial characteristics (e.g., nose width to mouth length and face
width proportions) evolve throughout the life span (Farkas et al. 1985). Children's and adults' voices

differ in various acoustic parameters, such as fundamental frequency, speech rate and formants
(Glaze et al. 1988; Sussman and Sapienza 1994; Lee et al. 1999; Stathopoulos et al. 2011; Dilley et al.
2013). We hypothesized that horses could differentiate human adults from children too, and that
they could use these differences in facial and vocal features to do so as they are known to use these
types of cues to recognize individual humans or human emotions (Proops and Mccomb 2012; Lampe
and Andre 2012; Trösch et al. 2019a).

74 We investigated the capacity of horses to differentiate human adults and children based on 75 facial and vocal cues using a cross-modal paradigm. Cross-modal paradigms aim to determine 76 whether animals have mental representations of subjects or objects that combine different 77 modalities, which implies discrimination between these subjects or objects. These paradigms consist 78 in presenting animals with stimuli from different modalities, either two pictures accompanied by a 79 sound that corresponds to one of the pictures (preference looking paradigms) or different 80 combinations of a picture and a sound presented together that may or may not correspond to each 81 other (expectancy violation paradigms). For example, horses, dogs, and cats were presented with 82 vocal and visual stimuli from individual humans (e.g., they could see two people while one of these 83 people's voices was broadcast). They looked for a different amount of time at the person whose voice they were hearing compared to the other person. This showed that they had mental 84 85 representations of these individual humans that combined their vocal and visual characteristics 86 (Adachi et al. 2007; Proops and Mccomb 2012; Takagi et al. 2019). Similarly, horses, dogs and cats 87 reacted differently to images of humans expressing anger and joy, according to their congruence 88 with (i.e., whether they matched) the vocalization that was played (Albuquergue et al. 2016; 89 Nakamura et al. 2018; Trösch et al. 2019a; Quaranta et al. 2020). For example, horses looked longer 90 at the images that were incongruent with the sound, suggesting that they were surprised by the 91 contradictory information (Trösch et al. 2019a). This showed that these animals have multimodal 92 mental representations of human emotions. In the present study, we implemented this type of 93 procedure to examine whether horses form multimodal mental representations of human adults and

94 children by showing horses images of adults' and children's faces while playing adults' or children's95 voices.

96 We measured the horses' heart rates as a potential indicator of change in arousal or 97 emotional valence felt by the horses (Jardat et al. 2022). Indeed, a rise in heart rate has been 98 observed in horses in response to human voices expressing anger (Trösch et al. 2019a) or using pet-99 directed speech (Jardat et al. 2022), in fearful or stressful situations (Lansade et al. 2008; Munsters et 100 al. 2012), and in a positively arousing situation (Briefer et al. 2015a). Similar reactions have been 101 observed in other mammals (Forkman et al. 2007), with for example dogs' heart rates fluctuating in 102 response to different human facial expressions (Siniscalchi et al. 2018), and goats' heart rates 103 increasing in a positively arousing situation (Briefer et al. 2015b).

104 Horses were simultaneously shown images of two human faces (one of an adult and one of a 105 child) while the voice of an adult or a child was played over a speaker. We hypothesized that the 106 horses would look at the videos for different amounts of time according to their congruency with the 107 voice heard and would possibly spend longer looking at the incongruent video, as reported for a 108 similar protocol in horses (Trösch et al. 2019a). Moreover, we hypothesized that the horses would 109 react differently to child than to adult stimuli (as suggested in other species - Brensing and Linke 110 2003; Wanser et al. 2020, 2021) and that consequently, their heart rates would vary differently in 111 response to children's voices than in response to adults' voices.

### 112 Materials and Methods

#### 113 Ethics statement

114 Our experiment was approved by the Val de Loire Ethical Committee (CEEA VdL, Nouzilly, France,

authorization number CE19-2022-1503-1). Animal care and experimental treatments complied with

the French and European guidelines for housing and care of animals used for scientific purposes

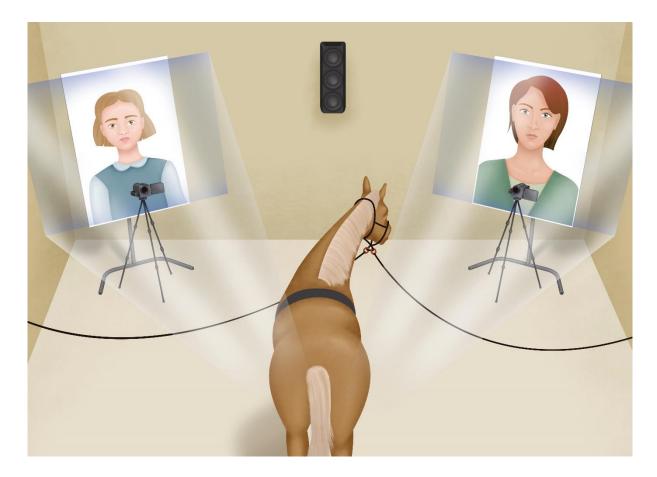
117 (European Union Directive 2010/63/EU) and were performed under the authorization and

118 supervision of official veterinary services (agreement number F371752 delivered to the UEPAO

- animal facility by the veterinary service of the Département d'Indre et Loire, France). The animals
- 120 lived in groups, were not food deprived during the experiment and did not undergo any invasive
- 121 procedures. All methods were carried out in accordance with the relevant guidelines and regulations
- 122 for direct human involvement in the study. All the people participating in the study provided
- informed consent.
- 124
- 125 Subjects
- The study involved 31 Welsh mares (*Equus caballus*) aged 8.9 ± 2.4 years (mean ± sd) reared at the
   Animal Physiology Experimental Unit PAO (UEPAO, 37380 Nouzilly, France, DOI:
- 128 10.15454/1.55738963217 28955E12), INRAE. They lived in groups in indoor stalls on straw and had
- 129 free access to an outdoor paddock. Hay and water were available ad libitum. These horses are used
- 130 only for research purposes and are handled daily by human adults. They interact with children on
- 131 rare occasions (approximately twice a month for less than an hour).
- 132

#### 133 Experimental setup

- 134 The experimental setup was based on that used to investigate cross-modal recognition of human
- emotions in horses (Trösch et al. 2019a). The experiment took place in a large familiar stall (3.5 m
- large, 4.5 m long, Fig. 1). Before the beginning of the experiment, the experimenters made sure that
- 137 no external sound (horse neighs, human voices or machine noises) was audible from the inside of the
- 138 stall. The horses were placed in the middle of the stall and attached by two loose ropes. The videos



**Fig. 1** Schematic representation of the experimental setup. Two simultaneous muted videos of a child and an adult saying the same neutral sentence were projected on the screens, while the sound of an adult's or child's voice speaking this sentence was played over a loudspeaker.

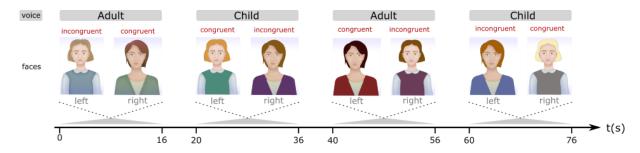
139	were projected on two projection screens (1x2 m) placed vertically on both sides of the horse (Fig. 1).
140	For safety reasons, an assistant stayed with the horse to ensure that it did not panic or become
141	entangled in the ropes. They stood along the wall at the level of the horse; the side of the horse on
142	which they stood was counterbalanced among the horses. The assistant never interacted with the
143	horse during the tests but remained still with their head down, looking neither at the screen nor at
144	the horse. The assistant was instructed to stop the test if the horse panicked, but that never
145	happened. The experiment was filmed by three cameras (Sony HDR CX450), one in front of each
146	screen (Fig. 1) and one at the back, above the horse. An overview camera (GoPro Hero Black) allowed
147	the experimenter to follow the running of the experiment from outside the stall and control the
148	projection accordingly. The horses were equipped with a heart monitor system composed of a captor
149	placed on the horse and a watch showing and recording real-time heart rate values (Polar Equine
150	RS800CX Science, Polar Oy, Finland).

151

#### 152 Stimuli

153 During the test sessions, a muted video of a child and a muted video of an adult were played 154 simultaneously, one on each projection screen. At the same time, the voice of another person (child 155 or adult) was played over a loudspeaker placed between the projection screens (Fig. 1). The voice 156 played was not the original voice of either of the two projected videos to prevent the horses from 157 matching the heard voice to the mouth movements of any of the videos. The faces were projected at 158 a height of approximately 160 cm and were approximately twice the size of a real human's face (as in 159 Trösch et al. 2019a). The sound was broadcast from a loudspeaker placed between the screens and 160 in front of the horse (approximately 60 dB from where the horse's head was positioned). 161 Since horses may differentiate male and female faces and voices of humans, we used only female 162 stimuli in this experiment. Four young girls aged 6 to 9 years and four women aged 46 to 58 years 163 who the horses had never seen or heard were filmed. They were recorded in similar conditions, 164 against a white background and with the face centered and the shoulders visible. They said a 165 predefined neutral sentence that was identical for all participants ("J'aime la glace à la pistache; 166 j'aime la glace au chocolat", meaning "I like pistachio ice cream; I like chocolate ice cream"), their 167 facial expression was neutral. The acoustic characteristics of the sounds were obtained using Praat 168 V6.1.16 (http://www.fon.hum.uva.nl/praat/); with a mean pitch of 269±36 Hz for children's voices 169 and 227±15 Hz for adults' voices, and a pitch range of 392±18 Hz for children's voices and 416±1 Hz for adults' voices. 170

The videos shown during the tests lasted 76 seconds and were divided into four sections, two with a child's voice and two with an adult's voice (Fig. 2). Each section was composed of the sentence being repeated 4 times in a row and lasted 16 seconds. Four different video stimuli were made up, each with the faces of the four women and the four young girls, two voices of each type, twice the adult face on the right, and twice the congruent face on the right (Fig 2). The horses were randomly assigned one of the stimuli, so that the order in which the people were seen and the side on which



**Fig. 2** Example of the composition of the stimuli shown to a horse. Eight different faces were shown, and four different voices were heard.

- 177 the congruent face was presented with each voice were counterbalanced among the horses. The
- 178 videos were validated by 20 persons with 100% accuracy in categorizing the age group.
- 179

#### 180 Habituation

181 In the habituation phase, the horse was led to the middle of the stall facing the screens. It was then 182 attached with two loose ropes, the assistant took their place, and the habituation phase began. Identical scenes of nature accompanied by birdsongs were projected on both screens, while the 183 184 assistant watched the horse's heart rate on the Polar watch. In this phase, the assistant could 185 reposition the horse if it had its back to the screen. Once the horse was calm (not neighing, pulling on 186 the ropes with her head, or trying to turn around or leave) and her heart rate had stayed below 100 187 bpm for two consecutive minutes, the test phase began immediately. If this criterion was not met 188 after five minutes, the session ended, and a new one was scheduled for the next day. All the horses 189 but three met the criterion on day 1 and therefore continued with the test session on the same day. 190 The three other horses needed a second habituation session; they met the habituation criterion in 191 this second session and continued with the test session on the same day. 192

193 Tests

194 Immediately after the horse met the habituation criterion, two videos of adults' and children's faces 195 accompanied by adults' and children's voices (see **Stimuli** section above) were projected. The 196 conditions were the same as those during habituation, but the assistant never intervened. The

assistant was unaware of the side on which each video appeared (adult or child, congruent orincongruent). At the end of the test, the horse was led directly back to its stable.

199

#### 200 Behavioral and physiological analyses

201 The videos of the tests from the front cameras were analyzed by the same coder without sound and 202 with the screens not visible in the videos so that the coder did not know which type of voice was 203 being broadcast (adult or child) or the side on which the congruent video appeared. All occurrences 204 of defecations, head shakes, scratching the ground and rearing were noted. The total time spent 205 looking at each video (on the right or left of the horse) was quantified. The horse was considered to 206 be looking at the right screen when her left eye was visible from the camera placed under the right 207 screen, and vice versa. Then, according to the type of video (congruent or incongruent) that was 208 playing at each moment of the test, the total time spent looking at each type of video was quantified. 209 A second observer gauged the time spent looking at each screen in 20% of the videos. The 210 interobserver reliability was evaluated by an interclass correlation coefficient (ICC). The lower bound 211 was 0.95, and the estimate was 0.97; thus, interobserver reliability was considered excellent (Koo 212 and Li 2016).

213 The total time spent looking at the stimuli during a section was highly variable among horses (from 1 214 to 16 seconds over the 16-second sections). To take this variability into account, we calculated, for 215 each horse, a preference index measuring the propensity to look more at the incongruent video in 216 each section relative to the total time spent looking at the videos. The index was defined as (INC – 217 CON)/(INC + CON) with INC being the time spent looking at the incongruent video and CON the time 218 spent looking at the congruent video. Then, for each horse, the mean of this index over the four 219 sections was calculated. The index varied from -1 to 1, with positive values indicating that a horse 220 looked more at the incongruent videos and negative values the opposite.

Some horses tried to keep the exit door, situated behind them, in their field of vision
throughout the duration of the test (probably because they were not comfortable being alone in the

stall). Consequently, they almost never looked at either the right or the left screen, regardless of the
congruence of the video and the voice heard. For this reason, these horses were excluded: fifteen
horses which looked at one side for less than two seconds on average per trial were not taken into
account in the analysis (a duration of 2 s had previously been used in the literature – Gácsi et al.
2004; Pattison et al. 2010; Somppi et al. 2012; Trösch et al. 2020a).

228 Heart rate data were extracted from the Polar recordings. A visual correction was applied to 229 eliminate artifactual beats (as recommended by von Borell et al., 2007). The difference in heart rate 230 (beats per minute - bpm) between the last and first three seconds of each section was calculated. 231 Then, for each horse, the mean of this difference over the two sections of each voice type (adult or 232 child) was calculated. Three horses neighed during the tests, with their abdominal and thoracic 233 movements interfering with the heart rate signal, so these individuals were excluded from this 234 analysis. Data were missing for two other individuals due to technical issues with the heart rate 235 monitor. Therefore, the heart rate data analysis concerned 11 individuals.

236

#### 237 Statistical analyses

All statistical analyses were performed using R 4.1.1 (R Core Team, 2013). Due to the sample size, nonparametric tests were used. The significance threshold was fixed at  $\alpha \le 0.05$ .

To test whether the preference index was different from 0 at the group level, which would indicate that the horses looked more at one type of video (congruent or incongruent) than at the other, we used a two-tailed Wilcoxon test (n=16, *wilcox.test* function). To test whether the mean differences in heart rate over the child or adult voice sections were different from 0, we used twotailed Wilcoxon tests (n=11, *wilcox.test* function). To check for an effect of the age of the horses, we tested whether the preference index was correlated to the age of the horses, using a Spearman correlation test (n=16, *spearman\_test* function).

- Defecations, head shakes, scratching the ground, rearing and vocalizations were expressed by too few horses to allow a statistical analysis (defecations, n = 2; head shakes, n = 2; scratching the ground, n = 0; rearing, n = 1; vocalizations, n = 3).
- 250

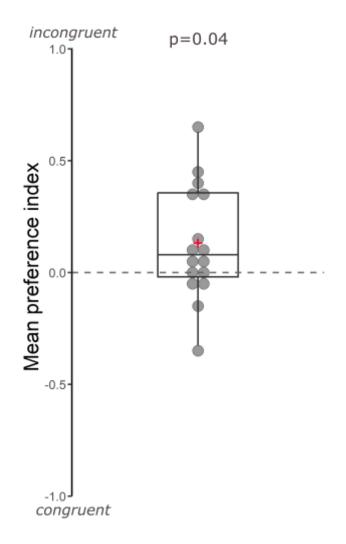
#### 251 Results

252 The mean preference index was significantly different from 0 (Wilcoxon test, V=107, p=0.04, Fig. 3), 253 meaning that the horses looked more at the incongruent video than at the congruent video relative 254 to the total time spent looking at the videos. The mean heart rate variation during the adult 255 vocalization sections was not different from 0 (Wilcoxon test, V=57, p=0.52, Fig. 4), and it was 256 different from 0 during the child vocalization sections (Wilcoxon test, V=79, p=0.05, Fig. 4), indicating 257 that the horses' heart rates increased during the children's vocalizations but not during the adults' 258 vocalizations. There was no correlation between the preference index and the age of the horses 259 (Spearman test, Z=0.18, p=0.86).

#### 260 Discussion

Our results show that at the group level, the horses which looked at both screens during the
experiment differentiated the videos of children and adults based on their congruence with the voice
they were hearing. Moreover, their heart rates increased when they heard children's voices but not
when they heard adults' voices.

In line with our hypothesis, the horses looked more at the video that was incongruent with the vocalization played. In other words, they differentiated between human adults and children on the videos based on their congruence or incongruence with the voice they heard at the same time, which shows that horses can differentiate human adults and children. This finding is consistent with previous studies on dolphins and dogs (Brensing and Linke 2003; Wanser et al. 2020, 2021). Moreover, these results show that horses can match a face and voice when they come from the same age group (adults or children), which suggests that they bear mental representations of adults



**Fig. 3** Mean preference index. (INC - CON) / (INC + CON), with INC being the time spent looking at the incongruent video and CON the time spent looking at the congruent video. Boxplot: median, 1<sup>st</sup> and 3<sup>rd</sup> quartiles. Gray dots: individual indexes. Red cross: mean group index. p-value: comparison to 0, Wilcoxon test.

and children in which vocal and facial features are associated (Albuquerque et al. 2016; Quaranta et

al. 2020). The horses were not trained prior to the study, and the videos and vocalizations were from

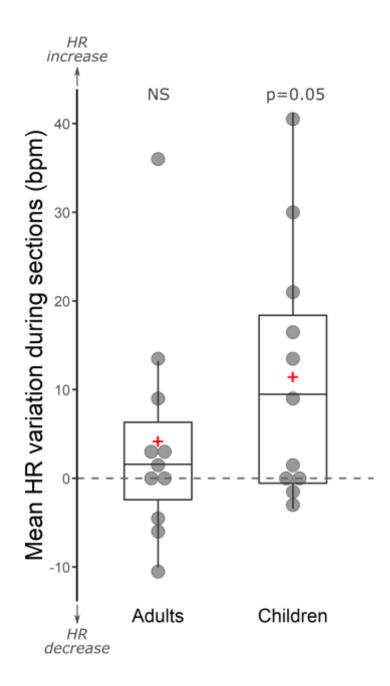
274 people they had never seen before; therefore, the horses could not have recognized particular faces

275 or voices presented in the experiment. Moreover, as the voice that was broadcast was that of a

276 different person from the ones in the videos, there was no correlation between the timing of the

277 facial movements observed and the voice heard. Eight different people whom the horses had never

- 278 seen were used as stimuli, suggesting that this ability is not restricted to a specific person (a bias
- discussed in Trösch et al. 2019a). However, as only women and young girls were used, further study



**Fig. 4** Mean heart rate variation during adults' and children's vocalizations. Boxplot: median, 1<sup>st</sup> and 3<sup>rd</sup> quartiles. Gray dots: individual means. Red cross: mean group variation. p-value: comparison to 0, Wilcoxon test.

- would be necessary to test whether these results can be generalized to men and young boys.
- 281 Similarly, only mares were tested in this experiment, and it would be interesting to test whether the
- results can be generalized to stallions and geldings.
- 283 In this study, the horses looked longer at the videos that did not match the vocalization they
- heard. This is in line with a previous experiment using the preference looking paradigm in horses, in
- which they looked more at incongruent than congruent stimuli when presented with emotional faces
  - 14

286 and voices of humans (Trösch et al. 2019a). Similarly, in expectancy violation paradigms presenting 287 human voices and faces horses, cats and dogs looked more at images which were incongruent with 288 the sound (Adachi et al. 2007; Lampe and Andre 2012; Nakamura et al. 2018; Takagi et al. 2019). 289 These longer looks toward incongruent stimuli can be explained by the animals being intrigued by 290 contradictory information (Trösch et al. 2019a). However, in other experiments on domestic 291 mammals' cross-modal recognition of human individuals and human emotions using the preference 292 looking paradigm, the animals looked more at the congruent stimuli (Proops and Mccomb 2012; 293 Albuquerque et al. 2016; Quaranta et al. 2020). These differences may be explained by variations in 294 experimental conditions, for example, differences in the emotions or level of stress provoked by the 295 presented stimuli.

296 In this study, the horses' heart rates increased when they heard children's voices but not 297 when they heard adults' voices. This difference in physiological reaction to the two types of voices 298 reinforces the idea that horses differentiate children's voices from adults' voices and shows that the 299 children's voices induced a stronger emotional reaction. This is in line with previous findings 300 suggesting that some species react differently to children than to adults: dolphins and dogs seem to 301 interact differently with these two age groups (Brensing and Linke 2003; Wanser et al. 2020, 2021). 302 An increase in heart rate can indicate either increased arousal or a change in the valence of emotions 303 felt by horses (Jardat et al. 2022), and several hypotheses can explain such reactions to children's 304 voices. First, the horses may have reacted to the novelty of this type of voice. Indeed, the horses in 305 this experiment were less familiar with children's voices, as they seldom interact with children but 306 are handled daily by adults. Thus, they could have been surprised by this type of voice, explaining a 307 change in arousal level (Russell and Barrett 1999). Second, the horses may have reacted to the 308 intrinsic characteristics of children's voices. Indeed, children's voices are notably characterized by 309 differences in pitch and other prosodic features, such as speech rate (Dilley et al. 2013). These 310 characteristics could elicit arousal and consequently a rise in heart rate, similar to horses' reaction to 311 pet-directed speech, a type of speech that has specific acoustic characteristics such as a high pitch

and a slow rate of speech (Jardat et al. 2022). Moreover, a change in arousal level or emotional
valence in response to children's voices may be explained by an attraction to juvenile traits.
Attraction to juvenile traits is well known in humans toward both conspecifics and pets (Archer and
Monton 2011; Little 2012). It is thought to be related to parental care (Archer 1997) and could be
shared by other mammal species, such as horses.

317 In conjunction with the first hypothesis (the horses may have reacted to the novelty of 318 children's voices), it would be interesting to investigate the influence of horses' familiarity with 319 children on their physiological reaction to this type of voice and on their reaction to congruent and 320 incongruent presentations of faces and voices of children and adults. In addition, as we 321 demonstrated that horses differentiate human adults from children, it would be interesting to 322 investigate whether they behave differently toward these two age groups, as is commonly reported 323 by horse owners. For example, in our survey posted on social media, 82% of the respondents who 324 said they observed differences in their horses' behavior when interacting with children said that the 325 animal was gentler or calmer toward children than toward adults (see Supplementary Information). 326 Moreover, other types of categorization among humans could be investigated, such as differentiating 327 between men and women.

328 It should be noted that in our experiment, 15 out of the 31 horses that were initially involved 329 had to be excluded from the analysis, because they looked almost exclusively at one projection 330 screen, right or left. This behavior can be explained by the horses trying to keep the exit door which 331 was behind them in their visual field, by turning their head to one side throughout the experiment. 332 Indeed, the horses used in this study live in groups permanently and are highly social, which can 333 cause mild discomfort during social isolation. In future experiments using the same paradigm with 334 highly social horses, a longer adaptation to the experimental set-up may be needed to avoid this 335 issue. Moreover, since this study investigated only females, further research could also test male 336 horses, without specifically hypothesizing on sex-related differences in the results.

337 Conclusion

338 In this study, horses associated the voices and faces of human adults and children in a cross-modal 339 paradigm. This shows that horses are capable of differentiating human children and adults on the 340 basis of both visual and vocal cues and that they form cross-modal representations of adults and 341 children. Moreover, horses' emotional response to children's voices was stronger than that to adults' 342 voices, which should be further investigated. Whether horses perceive and interact with human 343 adults or children differently can be an important issue for equestrian practice, particularly in riding 344 schools. These results also allow us to better understand the relationship between humans and 345 horses, which has direct implications for horse management and welfare. Declarations 346 347 Data availability: The datasets generated and analyzed during the current study are available in the INRAE data repository from the following link: https://doi.org/10.15454/SKHGKZ 348 349 Compliance with ethical standards: The authors declare no conflicts of interest. All the people 350 participating in the study provided informed consent. Animal care and experimental treatments 351 complied with the French and European guidelines for housing and care of animals used for scientific 352 purposes (European Union Directive 2010/63/EU) and were performed under the authorization and 353 supervision of official veterinary services (agreement number F371752 delivered to the UEPAO 354 animal facility by the veterinary service of the Département d'Indre et Loire, France). This experiment 355 experiment was approved by the Val de Loire Ethical Committee (CEEA VdL, Nouzilly, France, 356 authorization number CE19-2022-1503-1). This study was reported in accordance with ARRIVE 357 guidelines. 358 Funding: This study was funded by Institut Français du Cheval et de l'Equitation (IFCE), grant number 359 32 000809-Cognition Equine. 360 Author contributions: All authors devised the protocol. P.J., C.G., C.P., F.R., L.L. implemented the 361 protocol. P.J., C.G., L.L. coded the videos and analyzed the data from heart rate monitoring and 362 behavior coding. P.J., M.R, S.Y., C.G., R.D., L.C. and L.L. revised the analysis and report.

- 363 References
- Adachi I, Kuwahata H, Fujita K (2007) Dogs recall their owner's face upon hearing the owner's voice.
- 365 Anim Cogn 10:17–21. https://doi.org/10.1007/s10071-006-0025-8
- 366 Albuquerque N, Guo K, Wilkinson A, et al (2016) Dogs recognize dog and human emotions. Biol Lett
- 367 12:20150883. https://doi.org/10.1098/rsbl.2015.0883
- 368 Archer J (1997) Why do people love their pets? Evol Hum Behav 18:237–259.
- 369 https://doi.org/10.1016/S0162-3095(99)80001-4
- 370 Archer J, Monton S (2011) Preferences for Infant Facial Features in Pet Dogs and Cats. Ethology
- 371 117:217–226. https://doi.org/10.1111/j.1439-0310.2010.01863.x
- 372 Brensing K, Linke K (2003) Behavior of dolphins towards adults and children during swim-with-
- dolphin programs and towards children with disabilities during therapy sessions. Anthrozoos
- 374 16:315–331. https://doi.org/10.2752/089279303786992035
- 375 Briefer EF, Maigrot A-L, Mandel R, et al (2015a) Segregation of information about emotional arousal
- and valence in horse whinnies. Sci Rep 5:9989. https://doi.org/10.1038/srep09989
- 377 Briefer EF, Tettamanti F, McElligott AG (2015b) Emotions in goats: Mapping physiological,
- behavioural and vocal profiles. Anim Behav 99:131–143.
- 379 https://doi.org/10.1016/j.anbehav.2014.11.002
- 380 Dilley LC, Wieland EA, Gamache JL, et al (2013) Age-Related Changes to Spectral Voice Characteristics
- 381 Affect Judgments of Prosodic, Segmental, and Talker Attributes for Child and Adult Speech. J
- 382 Speech, Lang Hear Res 56:159–177. https://doi.org/10.1044/1092-4388(2012/11-0199)
- 383 Farkas LG, Hreczko TA, Kolar JC, Munro IR (1985) Vertical and Horizontal Proportions of the Face in
- 384 Young Adult North American Caucasians. Plast Reconstr Surg 75:328–337.
- 385 https://doi.org/10.1097/00006534-198503000-00005

- 386 Forkman B, Boissy A, Meunier-Salaün MC, et al (2007) A critical review of fear tests used on cattle,
- 387 pigs, sheep, poultry and horses. Physiol Behav 92:340–374.
- 388 https://doi.org/10.1016/j.physbeh.2007.03.016
- 389 Gácsi M, Miklód Á, Varga O, et al (2004) Are readers of our face readers of our minds? Dogs (Canis
- familiaris) show situation-dependent recognition of human's attention. Anim Cogn 24:144–153.
- 391 https://doi.org/10.1007/s10071-003-0205-8
- 392 Glaze LE, Bless DM, Milenkovic P, Susser RD (1988) Acoustic characteristics of children's voice. J Voice
- 393 2:312–319. https://doi.org/10.1016/S0892-1997(88)80023-7
- Jardat P, Calandreau L, Ferreira V, et al (2022) Pet-directed speech improves horses' attention toward
- 395 humans. Sci Rep 12:4297. https://doi.org/10.1038/s41598-022-08109-z
- 396 Jardat P, Lansade L (2021) Cognition and the human–animal relationship: a review of the
- 397 sociocognitive skills of domestic mammals toward humans. Anim Cogn.
- 398 https://doi.org/10.1007/s10071-021-01557-6
- 399 Koo TK, Li MY (2016) A Guideline of Selecting and Reporting Intraclass Correlation Coefficients for
- 400 Reliability Research. J Chiropr Med 15:155–163. https://doi.org/10.1016/j.jcm.2016.02.012
- 401 Lampe JF, Andre J (2012) Cross-modal recognition of human individuals in domestic horses (Equus
- 402 caballus). Anim Cogn 15:623–630. https://doi.org/10.1007/s10071-012-0490-1
- 403 Lansade L, Bouissou MF, Erhard HW (2008) Fearfulness in horses: A temperament trait stable across
- 404 time and situations. Appl Anim Behav Sci 115:182–200.
- 405 https://doi.org/10.1016/j.applanim.2008.06.011
- 406 Lansade L, Colson V, Parias C, et al (2020a) Human Face Recognition in Horses: Data in Favor of a
- 407 Holistic Process. Front Psychol 11:2311. https://doi.org/10.3389/fpsyg.2020.575808
- 408 Lansade L, Colson V, Parias C, et al (2020b) Female horses spontaneously identify a photograph of

- 409 their keeper, last seen six months previously. Sci Rep 10:6302. https://doi.org/10.1038/s41598-
- 410 020-62940-w
- 411 Lansade L, Trösch M, Parias C, et al (2021) Horses are sensitive to baby talk : Pet-directed speech
- 412 facilitates communication with humans in a pointing task and during grooming. Anim Cogn
- 413 5:999–1006. https://doi.org/10.1007/s10071-021-01487-3
- 414 Lee S, Potamianos A, Narayanan S (1999) Acoustics of children's speech: Developmental changes of
- 415 temporal and spectral parameters. J Acoust Soc Am 105:1455–1468.
- 416 https://doi.org/10.1121/1.426686
- 417 Little AC (2012) Manipulation of Infant-Like Traits Affects Perceived Cuteness of Infant, Adult and Cat
- 418 Faces. Ethology 118:775–782. https://doi.org/10.1111/J.1439-0310.2012.02068.X
- 419 Moyse E (2014) Age estimation from faces and voices: A review. In: Psychologica Belgica. Ubiquity
  420 Press Ltd, pp 255–265
- 421 Munsters CCBM, Visser KEK, van den Broek J, Sloet van Oldruitenborgh-Oosterbaan MM (2012) The
- 422 influence of challenging objects and horse-rider matching on heart rate, heart rate variability
- 423 and behavioural score in riding horses. Vet J 192:75–80.
- 424 https://doi.org/10.1016/j.tvjl.2011.04.011
- 425 Nakamura K, Takimoto-Inose A, Hasegawa T (2018) Cross-modal perception of human emotion in
- 426 domestic horses (Equus caballus). Sci Rep 8:8660. https://doi.org/10.1038/s41598-018-26892-6
- 427 Pattison KF, Miller HC, Rayburn-Reeves R, Zentall T (2010) The case of the disappearing bone: Dogs'
- 428 understanding of the physical properties of objects. Behav Processes 85:278–282.
- 429 https://doi.org/10.1016/J.BEPROC.2010.06.016
- 430 Proops L, Grounds K, Smith AV, McComb K (2018) Animals Remember Previous Facial Expressions
- that Specific Humans Have Exhibited. Curr Biol 28:1428-1432.e4.
- 432 https://doi.org/10.1016/j.cub.2018.03.035
  - 20

- 433 Proops L, Mccomb K (2012) Cross-modal individual recognition in domestic horses (Equus caballus)
- 434 extends to familiar humans. Proc R Soc B Biol Sci 279:3131–3138.
- 435 https://doi.org/10.1098/rspb.2012.0626
- 436 Quaranta A, D'ingeo S, Amoruso R, Siniscalchi M (2020) Emotion recognition in cats. Animals
- 437 10:1107. https://doi.org/10.3390/ani10071107
- 438 Ringhofer M, Trösch M, Lansade L, Yamamoto S (2021) Horses with sustained attention follow the
- 439 pointing of a human who knows where food is hidden. Sci Reports 2021 111 11:1–9.
- 440 https://doi.org/10.1038/s41598-021-95727-8
- 441 Ringhofer M, Yamamoto S (2017) Domestic horses send signals to humans when they face with an
- 442 unsolvable task. Anim Cogn 20:397–405. https://doi.org/10.1007/s10071-016-1056-4
- 443 Russell JA, Barrett LF (1999) Core affect, prototypical emotional episodes, and other things called
- 444 emotion: Dissecting the elephant. J Pers Soc Psychol 76:805–819.
- 445 https://doi.org/10.1037/0022-3514.76.5.805
- 446 Siniscalchi M, D'Ingeo S, Quaranta A (2018) Orienting asymmetries and physiological reactivity in
- 447 dogs' response to human emotional faces. Learn Behav 46:574–585.
- 448 https://doi.org/10.3758/s13420-018-0325-2
- 449 Smith AV, Proops L, Grounds K, et al (2018) Domestic horses (Equus caballus) discriminate between
- 450 negative and positive human nonverbal vocalisations. Sci Rep 8:13052.
- 451 https://doi.org/10.1038/s41598-018-30777-z
- 452 Somppi S, Törnqvist H, Hänninen L, et al (2012) Dogs do look at images: eye tracking in canine
- 453 cognition research. Anim Cogn 15:163–174. https://doi.org/10.1007/s10071-011-0442-1
- 454 Stathopoulos ET, Huber JE, Sussman JE (2011) Changes in acoustic characteristics of the voice across
- 455 the life span: Measures from individuals 4-93 years of age. J Speech, Lang Hear Res 54:1011–
- 456 1021. https://doi.org/10.1044/1092-4388(2010/10-0036)
  - 21

457	Sussman JE, Sapienza C (1994) Articulatory, developmental, and gender effects on measures of
458	fundamental frequency and jitter. J Voice 8:145–156. https://doi.org/10.1016/S0892-
459	1997(05)80306-6

- Takagi S, Arahori M, Chijiiwa H, et al (2019) Cats match voice and face: cross-modal representation of
  humans in cats (Felis catus). Anim Cogn 22:901–906. https://doi.org/10.1007/s10071-019-
- 462 01265-2
- Trösch M, Bertin E, Calandreau L, et al (2020a) Unwilling or willing but unable: can horses interpret
  human actions as goal directed? Anim Cogn 23:1035–1040. https://doi.org/10.1007/s10071-
- 465 020-01396-x
- 466 Trösch M, Cuzol F, Parias C, et al (2019a) Horses categorize human emotions cross-modally based on
- 467 facial expression and non-verbal vocalizations. Animals 9:862.
- 468 https://doi.org/10.3390/ani9110862
- 469 Trösch M, Pellon S, Cuzol F, et al (2020b) Horses feel emotions when they watch positive and
- 470 negative horse–human interactions in a video and transpose what they saw to real life. Anim
- 471 Cogn 23:643–653. https://doi.org/10.1007/s10071-020-01369-0
- 472 Trösch M, Ringhofer M, Yamamoto S, et al (2019b) Horses prefer to solicit a person who previously
- 473 observed a food-hiding process to access this food: A possible indication of attentional state
- 474 attribution. Behav Processes 166:103906. https://doi.org/10.1016/j.beproc.2019.103906
- 475 von Borell E, Langbein J, Després G, et al (2007) Heart rate variability as a measure of autonomic
- 476 regulation of cardiac activity for assessing stress and welfare in farm animals A review. Physiol
- 477 Behav 92:293–316. https://doi.org/10.1016/j.physbeh.2007.01.007
- 478 Wanser SH, MacDonald M, Udell MAR (2021) Dog-human behavioral synchronization: family dogs
- 479 synchronize their behavior with child family members. Anim Cogn 24:747–752.
- 480 https://doi.org/10.1007/s10071-020-01454-4

- 481 Wanser SH, Simpson AC, MacDonald M, Udell MAR (2020) Considering Family Dog Attachment
- 482 Bonds: Do Dog-Parent Attachments Predict Dog-Child Attachment Outcomes in Animal-Assisted
- 483 Interventions? Front Psychol 11:2293. https://doi.org/10.3389/FPSYG.2020.566910/BIBTEX

485 FIGURE CAPTIONS

486

487 Fig. 1 Schematic representation of the experimental setup. Two simultaneous muted videos of a child
488 and an adult saying the same neutral sentence were projected on the screens, while the sound of an
489 adult's or child's voice speaking this sentence was played over a loudspeaker.

490

491 Fig. 2 Example of the composition of the stimuli shown to a horse. Eight different faces were shown,492 and four different voices were heard.

- 494 Fig. 3 Mean preference index. (INC CON) / (INC + CON), with INC being the time spent looking at
- the incongruent video and CON the time spent looking at the congruent video. Boxplot: median, 1<sup>st</sup>
- 496 and 3<sup>rd</sup> quartiles. Gray dots: individual indexes. Red cross: mean group index. p-value: comparison to
- 497 0, Wilcoxon test.
- 498 **Fig. 4** Mean heart rate variation during adults' and children's vocalizations. Boxplot: median, 1<sup>st</sup> and
- 499 3<sup>rd</sup> quartiles. Gray dots: individual means. Red cross: mean group variation. p-value: comparison to 0,
- 500 Wilcoxon test.