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Both sheep and goats can solve inferential by exclusion tasks

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Abstract

Despite the domestication of sheep and goats by humans for several millennia, we still lack comparative data on their cognitive capacity. Comparing the cognitive skills of farm animals can help understand the evolution of cognition. In this study, we compared the performances of sheep and goats in inference by exclusion tasks. We implemented two tasks, namely a cup task and a tube task, to identify whether success in solving the task could be attributed to either low level mechanisms (avoiding the empty location strategy) or to deductive reasoning (if two possibilities A and B, but not A, then it must be B). In contrast to a previous study comparing goats and sheep in a cup task, we showed that both species solved the inferential condition with high success rates. In the tube task, performances could not be explained by alternative strategies such as avoiding the empty cup or preferring the bent tube. When applying a strict set of criteria concerning responses in all conditions and controlling for the potential effects of experience, we demonstrate that two individuals, a goat and a sheep, fulfil these criteria. This suggests that sheep and goats are able to make inferences based on deductive reasoning.

Keywords: Domestic animal cognition, Cup task, Tube task, Deductive reasoning, Inference

Introduction

Most of the cognition studies carried out over the last decades have focused on non-human primates, corvids and canids, all of which are considered key species to understand the evolution of cognition in species closely related to humans or in more distant species that are expected to have particularly sophisticated cognitive abilities (Shettleworth 2009). However, the study of species such as farm animals can help to understand the evolution of cognition, because domestication also acts as a driving force that may have influenced overall levels of cognitive skills and/or emotional control (Boissy and Erhard 2014). For instance, with cattle, the significant brain reduction observed in highly selected breeds of dairy and beef cows (*Bos taurus*) compared to extinct wild bovids (aurochs, *Bos primigenius*), shows that exposure to human contact and artificial selection affect brain size (Balcarcel et al. 2021). Similar brain reduction has been reported in other domestic species such as dogs, pigs and sheep, and could be related to the loss of the cognitive skills necessary to survival in the wild (Ebinger 1974; Price 2002). Relative brain size can serve as a proxy for the cognitive abilities of species. However, the cognitive abilities of animals can best be measured through testing them in experimental tasks.

Inferential reasoning tasks are useful tools for comparing cognitive performances across species. Inferential reasoning involves associating perceivable and absent elements to solve a problem (Premack 1995). One type of inferential reasoning, called transitive inference, is the capacity to derive a relation between two elements, based on premises about other elements (If A>B, and B>C, then A>C). Another type of inference, namely inference by exclusion, is the ability to select an option by systematically excluding other alternative options. Two types of hypothesis have been proposed to explain the differences reported between related species in inference by exclusion tasks. The first type favours the role of social factors in the evolution of inference skills. Group living may have fostered the emergence of transitive ability, as group members are more likely to survive in a hierarchically ranked society if they accurately understand this type of organization (Schusterman et al. 2000). It could also be that species differ in their levels of social complexity, as observed in Tonkean macaques (*Macaca tonkeana*), which are more frequently engaged in cooperative and collective behaviours than rhesus macaques (*M. mulatta*), and perform better in inference by exclusion tasks (Petit et al. 2015). The second type of hypothesis emphasizes the role of ecological factors. For instance, cognitive specialization such as caching could account for better skills in inferential tasks in caching birds compared to non-caching birds (Schloegl et al. 2009) – although this is not entirely supported by other experimental work (Shaw et al. 2013). Other authors argue that the

68 better performances of goats (*Capras hircus*) compared to sheep (*Ovis aries*) in the inferential
69 domain are due to differences in foraging selectivity (Nawroth et al. 2014). A higher foraging
70 selectivity in goats compared to sheep would indeed reflect more cognitive flexibility in the
71 former compared to the latter.

72 To test inference by exclusion, researchers usually investigate the response of animals
73 using a "cup task". In this task, subjects face two upturned opaque cups, one of which covers a
74 food item. Subjects are shown the empty cup, and are then offered a choice between the two
75 cups. They must infer from the absence of a cue from under a given cup (e.g., cup A) that the
76 other location (cup B) should be investigated (Völter and Call 2017). Note that this task can
77 involve a visual modality (the cue is a visible reward) and/or an auditory modality (the cue is
78 the sound produced by the presence of a reward). In principle, this task should tap into the
79 deductive reasoning skills of animals (if two possibilities A or B, but not A, then it must be B,
80 Watanabe and Huber 2006; O'Hara et al. 2016) and require high-level cognition mechanisms
81 (Call 2004; Watanabe and Huber 2006; Penn and Povinelli 2007; Danel et al. 2021). However,
82 the task could also be solved with the simple "avoid the empty location" decision rule (Paukner
83 et al. 2009; Jelbert et al. 2015), which involves lower-level cognitive mechanisms. More than
84 thirty different species (primates, birds, canids, dolphins, small ruminants) have been shown to
85 successfully solve inference by exclusion tasks (Call and Carpenter 2001; Call 2004, 2006; Aust
86 et al. 2008; Sabbatini and Visalberghi 2008; Schmitt and Fischer 2009; Paukner et al. 2009;
87 Schloegl et al. 2009; Hill et al. 2011; Nawroth et al. 2014; Völter and Call 2017; Danel et al.
88 2021), but it is generally not clear whether this was through deductive reasoning or by learning
89 to avoid the empty location (Jelbert et al. 2015). An alternative way to evaluate if decisions are
90 made based on reasoning or alternative mechanisms is the tube task initially developed by Call
91 and Carpenter (2001) for studies in primates. This task has also been used for birds by Shloegl
92 and colleagues (2009), and was recently adapted by Jelbert and colleagues (Jelbert et al. 2015).
93 In this task, subjects are presented with two tubes, one straight and one bent (Figure 1). If the
94 food is located in the bent part of the tube (thus invisible), subjects only see an empty straight
95 tube and an empty part of the bent tube (the part facing them). They must therefore infer that
96 the only plausible place for the food is in the bent section of the bent tube, i.e., the part to which
97 they have no visual access. Individuals must therefore choose one of the two options, and
98 avoiding the empty location is no longer an effective strategy. Compared to the cup task, the
99 tube task reduces the risk of adopting an alternative strategy such as "avoid the empty tube",
100 and is thus more suitable for testing inference by exclusion skills.

A recent study by Nawroth et al. (2014) compared the performances of sheep and goats in an inference by exclusion task that used a cup task involving a visual clue (Nawroth et al. 2014). In a first version of the task, sheep and goats performed poorly (less than 50% of success in the inference by exclusion condition), but when the experiment was repeated with new conditions designed to control for the possible occurrence of local enhancement (i.e. drawing attention to a particular cup by manipulating it differently than the other), goats reached 65% success levels. This result is better than that obtained in sheep, which performed at random (Nawroth et al. 2014). According to the authors, this could be explained by a difference in cognitive flexibility and food selectivity. Indeed, sheep are generalist grazers while goats are more selective. The need to search for unexpectedly distributed food could impose a greater cognitive challenge (De Petrillo and Rosati 2020). However, the results of a study exploring this question in two species of lemur (Ruffed lemur, *Varecia variegata* and Sifaka, *Propithecus verreauxi*) did not support this hypothesis (De Petrillo and Rosati 2020). Alternative explanations can be proposed for the improved success of goats between the first experiment and the second. For example, goats may have learned to solve the task through repeated testing (Völter and Call 2017). This type of resolution involves low-level cognitive mechanisms rather than deductive reasoning, but the cup task does not allow differentiation between the mechanisms involved. Sheep may simply be unable to solve this type of task (even through low-level cognitive mechanisms). However, this failure may not be related to differences in feeding selectivity, but could rather be due to alternative factors such as shyness and lack of experience with cognitive tests, and/or different living conditions compared to the goats compared in this study. Indeed, the goats used by Nawroth et al. (2014) had already participated in cognitive studies, and the two species were tested at different sites with very different general living conditions. To fully compare these two species in terms of inference ability and confirm that goats perform better than sheep, it is necessary to replicate the experiment of Nawroth et al. (2014) by studying goats and sheep raised under similar conditions and with the same level of expertise for experimental testing procedures. The studies should also use a tube task to verify whether the decisions are explained by low-level (i.e., avoidance of the empty location) or inferential strategies.

Sheep and goats are small ruminants that are morphologically, physiologically and phylogenetically close. Both species have undergone domestication for a period of 10,000 years (Larson and Fuller 2014) and have a long history of dependence on humans, who have selected individuals to obtain animals that are as docile, robust and cohesive as possible. Goats and sheep exhibit contrasting behaviours; goats are often considered smarter and more inquisitive

than sheep, which are seen to be shy and fearful animals that tend to follow others (Miranda-de la Lama and Mattiello 2010; Houpt 2018). These differences have not yet been rigorously measured. In the domain of physical cognition, it is known that sheep have good spatial memory (Hunter et al. 2015), and that goats can form categories based on abstract symbols, solve a detour task and understand object permanence (Nawroth et al. 2019). However, studies directly comparing their behaviour and cognition are scarce (Lyons et al. 1993; Greaves and Wedderburn 1995). In the domain of social cognition, we know that sheep distinguish between familiar faces (Kendrick et al 1996) and naïve lambs can learn to drink from an artificial teat faster when exposed to expert lambs (Veissier and Stefanova 1993), but there is little knowledge about other socio-cognitive skills. Goats can locate food based on human cues such as touching or pointing, but not by following the direction of gazing; although they evaluate human attentional states and can take the perspective of others into account (Kaminski et al. 2006; Nawroth 2017), they mostly learn from their own experience to solve a food puzzle despite being exposed to other, experienced individuals (Briefer et al. 2014).

We still lack comparative data on the behaviour and cognitive abilities of sheep and goats, despite having lived in proximity with them for eleven millennia. To investigate the inferential skills of these two species, we conducted two inferential by exclusion reasoning experiments involving individuals from both species living in similar conditions, location and routines. We used a standard inference by exclusion task (with cups that were either lifted or shaken, Nawroth et al. 2014) and an adapted version of the tube task (Jelbert et al. 2015) to control for alternative resolution strategies to deductive reasoning. If our results are consistent with those of Nawroth et al.'s (2014) study and confirm common beliefs about the respective abilities of sheep and goats, we can expect better performances on inference by exclusion tasks in goats. There is however a paucity of quantitative comparisons between the two species and we should remain open to any other possible outcome. If the cognitive abilities of sheep and goats are similar, we should not find significant contrasts in their performances in inference by exclusion tasks.

Methods

1) Subjects and conditions

Ten goats aged 1-12 years (4 females, 6 males) and ten sheep aged 2-10 years (2 females, 8 males) participated in the study (Table 1). The sheep and goats were housed together in the Friedel animal park in Illkirch-Graffenstaden, France, which is an animal sanctuary open to the public. They lived in groups with other animals (ponies, chickens, pigs, peacocks, cows). All

had free access to a wooded enclosure, with the possibility of sheltering at several sites with roofs and straw bedding. They were fed every morning (hay, straw, wheat flour), but could also graze on grass in the enclosure, and received varied food enrichment (fresh fruits and vegetables, branches) at other times of the day. Water was available ad libitum. The sheep and goats had no prior experience of participating in cognitive tests. At the time of testing, the enclosure was not open to the public.

The tests were conducted in one of the shelters where the animals sleep at night. It is 7 x 7 m square, is covered with a roof and has wooden board fences that are 1.20 m high, thus allowing an individual standing on hind legs to look outside. The animals were familiar with this site, which partially prevented them from seeing their counterparts whilst continuing to hear them. Sheep and goats were tested every afternoon from February 2021 to May 2021, from 1:30 to 5:00 pm. It was not always possible to test all 20 individuals every day. On one occasion, towards the end of the study, two subjects were tested twice on the same day, with at least two hours between each test session.

2) Material and food choice tests

The experimenter was seated behind a table (Height: 50 cm, Length: 52 cm, Width: 80 cm) equipped with a grid to reduce access to the table by the subject. Subjects could insert their snout though the bars of the grid when facing a choice test. The two containers (cups or tubes) were placed on the table, approximately 30 cm apart (Figure 1a). For the “cup task”, the study material was composed of opaque cups, transparent cups, larger opaque cups that could cover the smaller cups, and a sheet of cardboard to hide the handling of the cups by the experimenter (Figure 1b). For the “bent tube task”, the material was composed of bent tubes, an opaque over-cup, straight tubes, and a sheet of cardboard to hide the handling of these items by the experimenter (Figure 1c).

In a preliminary step, food preference tests were conducted (1) to familiarize subjects with the set up, the test and the presence of a human, and (2) to determine the most palatable reward for both species. The food choice tests were performed with two transparent cups, similar to those used later on in the “cup protocol”. We conducted a session of ten choices between a piece of carrot and a piece of apple, then a session of ten choices between a piece of carrot and a piece of lettuce. All items were equally attractive for the sheep, but the slice of carrot (about 3 mm thick and 2 cm as diameter) was retained as the reward for the tests after goats showed a slight tendency to prefer carrots to other items.

3) Experimental design and testing procedure

We randomly divided subjects into two groups, each composed of 5 sheep and 5 goats. The first group was tested with Nawroth et al.'s (2014) "cup protocol" controlling for solving by local enhancement, then with the "bent tube protocol" controlling for task solving by empty container avoidance. The second group was tested first with the "bent tube protocol" and then with the "cup protocol".

Cup task

Training period

For individuals experiencing the cup task as their first experimental condition, we conducted three preliminary training phases before the actual task began. The first training phase consisted of 16 trials. The first four trials of this phase were shaping trials, during which a carrot slice was placed in the middle of the table then covered with a single transparent cup. This cup was covered with an opaque over-cup. As soon as the subject "pointed" correctly (i.e., as soon as he/she positioned his/her head and inserted his/her snout in front of the over-cup), the over-cup and the cup were lifted and the subject was given the carrot slice. The following 12 trials trained the animals to point through the grid at various locations. We placed a carrot in the middle (4 trials), on the right-hand (4 trials) or on the left-hand (4 trials) side of the table, and covered this carrot with a transparent cup, followed by an opaque over-cup. We brought the cups closer to the individual to allow him/her to point, and then receive the reward. This familiarized the subjects with the experimental material, as well as with the two new possible response locations – left and right. It should be noted that with only one option on the table, individuals always pointed correctly at the right location (i.e., opposite the over-cup). Subjects were then rewarded. This training phase was completed when the individual positioned him/herself correctly within few seconds and pointed with ease without unwanted behaviours (attempting to walk around the table, repeatedly walking from one side of the table to the other, pointing towards the reward but from a distance instead of directly in front of it, pushing the table, head-butting the table, etc.).

In a second training phase, we familiarized the subjects with the fact that they had to choose between two locations, only one of which was baited. We conducted 10 trials in which a carrot was placed either on the left or right-hand side of the table. We ensured that the subject had seen the location of the carrot, which could take between 2 and 5 seconds, then covered it with a transparent cup and placed a similar empty cup – that did not cover a reward – on the opposite side of the table. Both cups were then covered with an opaque over-cup. We

simultaneously moved both cups closer to the individual so that he/she could make a choice. Each side was baited in a pseudo-randomized way in order to place the carrot on each side five times. The individual made his/her choice by pointing correctly through the grid and was rewarded only if he/she had pointed at the right location. The cups and over-cups were then removed from the table before starting the next trial. We considered that the individual had completed this training phase after obtaining a 80 % success rate for two consecutive sessions.

In the third training phase, we familiarized the subjects with the fact that the cups – and not just the over-cups – could either be transparent or opaque. This training proceeded as before, except that the transparent smaller cups were replaced by opaque ones. Once the individual had obtained an 80% success rate for two consecutive sessions, we considered that he/she had completed this phase. The individual results for each of the training phases are shown in Table 1.

For individuals that had already been tested in the tube task first, we conducted just one session of the first phase of training (Training 4), then proceeded as previously described for the two last phases of training (which were then labelled training phase 5 and 6).

Testing period

For the testing period, the baiting protocol was identical to the last phase of training (i.e., a carrot slice covered with transparent or opaque cups, which were covered with opaque over-cups), except that a sheet of cardboard was used to prevent the individual from observing the baiting. The sheet of cardboard was then removed, and the experimenter simultaneously lifted both of the over-cups and showed the cups to the subjects under four different conditions, each providing different degrees of information (Figure 2): (1) Complete information (“both”): the two cups were transparent and the food was clearly visible; (2) Direct information (“baited”): only the baited cup was transparent and the food was clearly visible; (3) Inferential information (“inferential”): the unbaited cup was transparent, so the subject had to infer that the food was in the opaque cup; (4) No information (“control”): both cups were opaque and the subject was not provided with any visual information about the location of the reward. As mentioned in the introduction, the inference condition does not necessarily imply inferential reasoning as it can be solved with lower cognitive level strategies. In total, the subjects participated in 80 trials. 10 sessions of 8 trials each were conducted, presenting each of the 4 conditions twice (with the carrot placed equally often on each side) within a session. Cups could not be consecutively baited more than twice on the same side of the table.

Bent tube task

Training period

For individuals experiencing the tub task as their first experimental condition, we conducted three preliminary training phases before the actual experiment began. The first training phase consisted of 16 trials similar to those described for the cup task above. The first four trials of this phase were shaping trials, during which we first showed the carrot to the subject, placed the carrot in the middle of the table, then moved a straight opaque tube towards the reward and inserted the carrot inside the tube in the same location. The tube was then covered with an opaque over-cup, and as soon as the individual pointed correctly, the over-cup was lifted and the carrot slice was taken from the tube and given to the subject. In the following 12 trials, we placed a carrot slice inside the straight tube, located in the middle (4 trials), on the right (4 trials) or on the left (4 trials), covered the tube with an opaque over-cup, then let the subject point. It should be noted that with only one option on the table (i.e. centre, left or right), individuals always pointed correctly at the right location (facing the over-cup). They were then rewarded. This phase was complete when the individual positioned him/herself correctly within few seconds, and pointed with ease without unwanted behaviours.

In the second training phase, we conducted 10 trials in which a carrot slice was placed on either the left or the right side of the table. We made sure that the subject had observed the location of the reward, which could take between 2 to 5 seconds; we then put it inside the straight tube and placed another (empty) straight tube on the opposite side of the table. We brought them closer to the individual so that he/she could make a choice. Each side was baited in a pseudo-randomized way in order to place the carrot on each side of the table five times. Once the individual reached an 80 % success rate for two consecutive sessions, we considered that he/she had completed this training phase.

In the third training phase, we familiarized subjects with the fact that the tube could be bent. We conducted 12 trials in which we first placed both the straight and bent tubes on the table. The straight tube was placed so that the inside was not visible. The bent tube was placed so that only one part of the tube was visible. We then inserted the carrot slice into one of the tubes – in the case of the bent tube, it was placed in the part that was not directly visible. Both tubes were then rotated in in a single movement, so that whatever tube the reward was hidden in, it became visible to the individual. This exposed the individual to the notion that the food could be there without being visible, but it did not train the individual to make a choice by inference, since the food was visible at the time of choice. Each type of tube was baited equally often in a semi-randomised manner to ensure that the carrot was available with the same

frequency on the right and left sides of the table. Once the individual had reached an 80 % success rate for two consecutive sessions, we considered that he/she had completed this phase. The individual results for each of these training phases are given in Table 1.

For individuals who had already been tested in the cup task first, we conducted only one session of the first phase of training (now labelled training 4), then proceeded as previously described for the two last phases of training (which were then labelled training phase 5 and 6).

Testing period

For the testing period, the baiting protocol was identical to Phase 3 of training, except that a cardboard screen prevented the subject from observing the baiting. Once the cardboard was lifted, the subject was exposed to both types of tubes under 10 different configurations, offering a total of 5 conditions providing different levels of information (Figure 2). In the Visible condition (configurations 1 to 4), the carrot slice was always placed in a visible part of the tubes. In the first inferential condition (configuration 5), the straight tube was visible and empty, and the carrot was in the bent tube, with no part of the bent tube visible. In the second inferential condition (configuration 6), the straight tube was empty, and the carrot was placed in the invisible part of the bent tube, with the alternative part of the bent tube visible and empty. In the incomplete information condition (configurations 7 and 8), the straight tube was presented horizontally and only the straight part of the bent tube was visible. In the no information condition (configurations 9 and 10), the straight and the bent tubes were presented horizontally and no part was visible (Figure 2). In total, the subjects took part in 120 trials. 12 sessions of 10 trials each were conducted with the requirement to present each of the 10 configurations twice (with the carrot placed an equal number of times on each side) within a set of two sessions. Neither side could be baited more than twice in a row. A single experimenter (JD) conducted all phases of this study (training and testing), and all choices could be classified as correct or incorrect without ambiguity. Inter-observer reliability tests were therefore unnecessary.

4) Statistics

In a first analysis (GLMM1), we compared the performances of the two species in both tasks. We sought to identify which of the following variables best explained the number of correct responses: Species (goat, sheep), Task type (cup, bent tube), Task order (cup-tube, when the cup task was the first task experienced, or, tube-cup when the tube task was the first task

experienced), Side (left, right), Experience within a task (first half or second half of a given task), and Condition (both, baited, inferential, control). For Condition, the 10 configurations of the tube task were grouped as follows to match the conditions of the cup task: Configurations 1 and 2 were grouped as an equivalent of the "both" condition of the cup task. Configurations 3 and 4 were grouped in the "baited" conditions. Configurations 5 and 6 were grouped in the "inferential" condition. Configurations 7-10 were grouped in the "control" condition. The full model also took into account the possible interactions between the factors Condition x Order of testing (to detect a potential increase in performance in the inferential condition in the second task compared to the first) and between the factors Condition x Species (to detect a potential difference between species in the inferential condition). We added subjects as a random factor. We first ran a GLMM model with a logit link function and binomial distribution (package *lme4*, in *R*). This model was compared with a null model. The post-hoc analysis of main effects was conducted using package *LSmean* with a Bonferroni correction (Lenth 2016).

A second analysis (GLMM2) focused in more detail on the responses of the two species in the tube task in order to investigate the type of cognitive strategy (deductive/low level) involved. We then performed a similar analysis, with the full model including the variables Species, Task order, Experience within a task, and Configuration. The full model also took into account the possible interactions between the factors Configuration x Task order (to detect a potential increase in performance in the inferential configurations according to whether the tube task was the second protocol experienced by subjects or the first) and between the factors Configuration x Species (to detect a potential difference between species in the inferential configurations).

A third analysis (GLMM3) assessed the effect of the conditions (inferential 5, inferential 6, incomplete information, no information) on the variable "Preference for the bent tube", with Subjects as random factors. We predicted that if success in inferential conditions was due to a preference for the bent tube, there should be no differences in the choice of the bent tube between the two inferential conditions and the two control conditions.

Finally, we evaluated individual response in each task based on a success criterion that would be significantly different from random choices, as estimated by a binomial two-tailed test (see Jelbert et al. 2015; Danel et al. 2021 for similar procedure) in the inferential conditions, but not in the control conditions (where individuals have no way to predict the correct location). For the result to be statistically significant, individuals had to display success rates of 75 % or more in the cup task (15 tests out of 20 to reach significance, with $p < 0.05$). In the tube task, a success rate of 83 % or more was required to reach this criterion (10 out of 12 tests). We can

expect the following performances in the tube task: (1) Reasoning by exclusion: if subjects solve the task by making inferences, they should perform well in inferential configurations 5 and 6 (more than 83 % correct choices), and they should choose at random in incomplete or no information configurations (configurations 7 to 10). (2) Avoiding empty tubes: configurations 5 and 6 are both inferential configurations but, unlike configuration 6, configuration 5 is solvable with a strategy based on avoiding empty tubes: subjects using this strategy should therefore perform significantly above chance for configuration 5, but at random for configuration 6; they should also show 100 % success in configuration 7, 0% in configuration 8, and 50 % in configurations 9 and 10. (3) Preference for bent tubes: subjects may show a preference for the bent tubes, which contained rewards more often than the straight tubes (by experimental design, six times out of ten): in this case, subjects should succeed in both inferential configurations, never succeed at configurations 7 and 9, and always succeed in configurations 8 and 10.

Results

The first analysis (GLMM1) compared the performances of each species in both tasks and under the different conditions. The results of the GLMM indicated that the number of correct responses was affected by the variables Condition ($F_{(3)} = 261$, $p < 0.001$), Task order ($F_{(1)} = 9.5$, $p = 0.002$), and the interaction Condition x Task order ($F_{(3)} = 14$, $p < 0.001$). The variables Species ($F_{(1)} = 0.3$, $p = 0.6$), Experience within the task ($F_{(1)} = 0.4$, $p = 0.5$), Type of task ($F_{(1)} = 0.8$, $p = 0.4$), Side ($F_{(1)} = 0.3$, $p = 0.6$), and the interaction Species x Condition ($F_{(3)} = 0.8$, $p = 0.5$) did not significantly affect the number of correct responses. This model was better than the null model (likelihood ratio test: GLMM1 model vs null model: Df = 14, Chi-square = 1478.7, $p < 0.001$). Performances were better in the second task to which subjects were exposed (cup-tube or tube-cup) compared to their first task ($M_{(\text{first task})} = 74$ % of success, $M_{(\text{second task})} = 79$ %) (see Online resource, Supplementary Table 1 for pairwise comparisons). The subjects performed better in the "both" ($M_{(\text{both})} = 96$ %), "baited" ($M_{(\text{baited})} = 97$ %), and "inferential" ($M_{(\text{inferential})} = 89$ %) conditions compared to the control conditions ($M_{(\text{control})} = 42$ %) (Online resource Supplementary Table 1). For the Task order x Condition interaction, a pairwise comparison with a Bonferroni correction indicated that in the first task (cup-tube or tube-cup), performances in the inferential condition were high ($M_{(\text{inferential})} = 81$ %), but were significantly lower than in the "baited" and "both" conditions ($M_{(\text{both})} = 95$ %; $M_{(\text{baited})} = 95$ %) (Online resource Supplementary Table 1). Performances in the "inferential" condition in the first task were also significantly lower than in the second task ($M_{(\text{inferential-task 1})} = 81\%$; $M_{(\text{inferential-task 2})}=$

96 %) (Supplementary Table 1). In the second task (cup-tube or tube-cup), performances between inferential and baited or both conditions did not differ significantly from each other and were close to 100 % of success ($M_{(inferential)}$ = 96 %; $M_{(both)}$ = 98 %; $M_{(baited)}$ = 99 %, Online resource Supplementary Table 1, Figure 3). At the individual level, note that for their first four inferential trials four goats and two sheep responded correctly in only half of the trials (in goats: Eden, Oscar, Raspoutine and Riesling; in sheep: Nanook and Schtroumpf). Except for Oscar, these individuals were also the worst performers in their first task (either cup or tube task) in inferential trials (Table 2). Four goats and six sheep responded correctly in 75 % of the trials (goats: Elvis, Oreo, Petit Oscar and Prisca; sheep: Candy, Domino, Hector, Igor, Neige and Popeye). Two goats and two sheep responded with 100 % of success (goats: Ella and Satine; sheep: Bidule and Praline).

We then focused on the responses in the tube task, designed to discriminate between low- and high-level cognitive solutions for the inferential task. If high-level cognitive mechanisms were involved, responses at the inferential configurations 5 and 6 should be similar from responses to the "visible configurations" (configurations 1 to 4, where 100% of success was expected) and different from the control configurations (configurations 7 to 10, where random choices were expected). We ran the same GLMM as above on the responses in the tube task, but removed the "Type of task" variable (GLMM2). The full model was better than the null model (likelihood ratio test: GLMM2 vs null model: Df = 31, Chi-square = 1024.7, $p < 0.001$). The variable Configuration ($F_{(9)} = 42.7$, $p < 0.001$), and the interaction Configuration x Task order ($F_{(9)} = 2.5$, $p = 0.007$) influenced the number of correct responses. The variables Species ($F_{(1)} = 0.05$, $p = 0.81$), Experience within the task ($F_{(1)} = 0.19$, $p = 0.65$), Side ($F_{(1)} = 0.0006$, $p = 0.98$), and the interaction Species x Configuration ($F_{(9)} = 0.49$, $p = 0.88$) did not affect the number of correct responses significantly.

For the Configuration x Task order interaction, a pairwise comparison with a Bonferroni correction indicated that subjects had a lower success rate during configuration 5 if they experienced the tube task first, compared to when it was the second task they experienced (configuration 5, $M_{(tube\ task\ first)}$ = 73 %, $M_{(tube\ task\ second)}$ = 98 %) (Online resource supplementary Table 2). If they experienced the tube task first, success rates were also significantly lower for configuration 5 compared to all configurations where visual information was accessible ($M_{(configurations\ 1\ to\ 4)}$ = 98 %, $M_{(configuration\ 5)}$ = 73 %) (Online resource supplementary Table 2). However, success rate for configuration 5 was significantly higher than success rates for the control configurations except for configuration 8 ($M_{(configuration\ 7)}$ = 32 %, $M_{(configuration\ 8)}$ = 54 %, $M_{(configuration\ 9)}$ = 41 %, $M_{(configuration\ 10)}$ = 47 %; Supplementary Table 2). When experiencing the

tube task as the second task (i.e., after the cup task), the performances of both configurations 5 and 6 were similar to the performances in configurations where visual information was given (configurations 1 to 4) and significantly different from all control configurations. For configuration 6, regardless of the order of the task (tube task first or second), success rate was not significantly different from the configurations where visual information was given (configurations 1 to 4) (Figure 4).

To examine whether subjects solved the task due to a preference for the bent tubes, we performed a third GLMM analysis (GLMM3) to compare their preference for the bent tube in inferential configurations and control conditions. This model was better than the null model (likelihood ratio test: GLMM3 model vs null model: Df = 3, Chi-square = 159.45, $p < 0.001$). The results showed an effect of condition ($F_{(3)} = 43.5$, $p < 0.001$). Choices of the bent tube did not differ between configurations 5 ($M_{(\text{configuration 5})} = 86$ %) and 6 ($M_{(\text{configuration 6})} = 88$ %). The bent tube was chosen significantly more often in both inferential configurations compared to the other control conditions ($M_{(\text{incomplete information})} = 62$ %, $M_{(\text{no information})} = 51$ %) (Online resource supplementary Table 3). A significant difference also appeared between the two control conditions, with the bent tube chosen slightly more often in the incomplete information condition than in the no information condition, however the choices of the bent tube remained close to 50 % in these two conditions.

Individual responses to predictions

We summarize the results of each individual in Table 2. The goal was to establish whether some individuals met all the criteria required to fulfil the high-level cognitive resolution of the inference tasks, or if the subjects showed alternative strategies. Most subjects successfully met the 75 % success criteria in the cup task. One goat (Raspoutine) and one sheep (Schtroumpf) did not meet this criterion (with 65% of correct choices in the inferential condition) and another goat (Elvis) succeeded above chance level in the inferential condition but did not chose randomly at the control condition. The cup task was the first task for these three individuals. In the tube task, 6 sheep and 6 goats met the 83 % success criteria, i.e. with success at both inferential configurations. However, 4 of these individuals (3 sheep, 1 goat) had to be removed because at least one of their controls differed significantly from a 50 % choice, as assessed with a binomial test. A total of 3 sheep and 5 goats fulfilled all criteria in the tube task.

It must also be noted that mean success levels of performances at control conditions were significantly below 50% (individuals made the wrong choice in 65% of the control trials, exact binomial test, $p < 0.001$). In the cup condition, only 4 out of 20 individuals reached success

rates of 50% or more at the control condition, but most of the individuals' choices remain close to 50% (mean = 42%). In the tube task, for control configuration 7, only 1 in 20 individuals reached a 50% success rate, and the mean percentage of success was very low (30%). If we combine this information with the observation that subjects were often below 50% in configuration 9 (13 subjects), and above 50% in configuration 8 (15 subjects), there might be a possible explanation for this response pattern, i.e. a tendency to avoid tubes that were presented sideways (i.e., when the side of the tube is facing them rather than the opening). This potential bias is not necessarily present in all individuals, but means we should only retain those individuals whose performance in the control configurations did not differ significantly from chance, as we did above.

We also detected an effect of the task order, as inferential performances were better in the second task compared to the first one (regardless of the type of task they were exposed to first, cup or tube). To limit the risk of a learning bias, we refined the criteria to only include individuals who experienced the tube task first. In this case, one sheep (Hector) and one goat (Oreo) still achieved 83 % success in both inferential configurations, and showed responses at control configurations that did not differ significantly from random. Note that 8 individuals (5 sheep, 3 goats) tested with the tube task first met the 83 % criteria in configuration 6 (the high-level cognition condition), but only 2 of them meet the criteria in both configurations 5 and 6.

When tested with the tube as a second task, all individuals of each species met the 83 % criteria in both conditions 5 and 6. Among them, 2 sheep and 4 goats also had correct controls, i.e. not different from random.

Discussion

Our results show that both sheep and goats solved the inferential conditions of the cup and tube tasks with high percentages of success, and performed slightly better in their second task. Their performances in the tube task cannot be explained by alternative strategies such as avoiding the empty cup or preferring the bent tube. If we apply a strict set of criteria requiring unbiased responses at controls and success in both inferential conditions in the tube task when experienced first, we find that two individuals, a goat (Oreo) and a sheep (Hector), fulfil these criteria. This suggests that sheep and goats are able to make inferences based on deductive reasoning.

The results for sheep and goats in our study are generally better than those reported by Nawroth et al. (2014). In their study, both species were tested in a cup task and only two goats

out of 11 (and none of the six sheep) solved the inferential condition. In comparison, all sheep and goats solved the inferential condition of the cup task in our study (whether tested first or second), and there were no differences between species. None of the sheep and goats in our study had previous experience of cognitive testing. This was true of the sheep in Nawroth's study but not for the goats. However, our sheep and goats were very familiar with humans and could choose to approach or avoid park visitors. They also had good control of their daily activities, with freedom of movement from 11 am to 5 pm daily. In cognitive terms, these enriched welfare conditions can be expected to be more stimulating than standard husbandry conditions (Franks 2018). The goats studied by Nawroth et al. (2014) were housed in a research centre with various enrichments and had previous experience with cognitive tests, but the sheep were housed in a more conventional breeding facility, where animals may have had limited control over their environment. Differences between environmental conditions – enriched versus standard – could explain the differences reported between sheep and goats in Nawroth's experiments, and more generally the poorer performances of their sheep compared to ours. If this hypothesis is confirmed, it could have strong implications for the management of well-being in breeding facilities, where the housing conditions for livestock should be enriched to provide opportunities for animals to express a wider range of cognitive skills.

One of the main problems with inferential tasks is ensuring that subjects do not use alternative strategies to solve the task (Paukner et al. 2009; Jelbert et al. 2015; Völter and Call 2017). In the standard cup task, individuals can learn to avoid the transparent cup. Using the tube task is a way to control for this possibility, as both tubes are visibly empty in the inferential conditions. Avoiding the empty tube is not, therefore, a strategy that can explain success in our tube task. An alternative explanation could be that individuals learned the following rule: "choose the bent tube if it is next to an empty straight tube". This alternative explanation would require individuals to learn this rule in the course of the experiment and is unlikely, as the GLMM2 detected no effect of testing experience within the tube task, indicating that there were no significant differences in performances between the first half and the second half of the trials. However, while 8 of 10 individuals tested with the tube task first succeeded in inferential configuration 6, only 2 of these successful individuals also performed above chance on inferential configuration 5. It is unclear why configuration 5 proved difficult for them. This effect was not detected when the tube task was the second task to be experienced. Poor performance on this inferential condition cannot be explained by a particular bias. However, we cannot exclude that individuals who performed accurately in condition 6 and slightly less accurately in condition 5 may have experienced minor biases that were not expressed

consistently throughout the study. Nevertheless, considering responses to both inferential configurations and control configurations did not identify a particular strategy of response that would preclude reasoning. In New Caledonian crows (*Corvus moneduloides*), 7 out of 8 birds chose the correct location above chance level in configuration 5, but only 3 birds out of 8 did so in configuration 6 (Jelbert et al. 2015). Most bird performances could be explained by mixed strategies, some of which included the avoidance of empty tubes, but two birds showed results that were consistent with high-level inferential reasoning. These performances are similar to those reported for sheep and goats. Note that in the initial versions of the tube task, subjects were allowed to check the contents of the tube before picking them up (Schloegl et al. 2009; Völter and Call 2017). Crucially, if the individuals first visually check the empty straight tube, subjects using inferential reasoning should infer the correct location of the food in the alternative tube and choose this second location without checking inside. This occurred in chimpanzees (*Pan troglodytes*) but was infrequent, as they still checked about 60 % of the time (Call and Carpenter 2001). Corvids (*Corvus corvax* and *Corvus monedula*) checked between 80 and 90 % of the time, and psittacines such as keas (*Nestor notabilis*) checked 95 % of the time (Schloegl et al. 2009; Schloegl 2011). As applied by Jelbert et al. (2015), our procedure used bent tubes to control for the "avoiding the empty tube" strategy. Subjects could see the part of the tube they were facing, but we did not allow them to look inside at the invisible parts of the tube. Indeed, it would have been difficult to let the sheep and goats move around the tubes, and given their wide vision field angle, it would have been impossible to detect if or when they had indeed looked inside and checked.

According to Nawroth et al. (2014), the cognitive differences between sheep and goats could be explained by the adaptive specialization hypothesis (de Kort and Clayton 2006), which posits that species-specific adaptations to a particular feeding ecology may lead to the evolution of different cognitive abilities (Paukner et al. 2009; Schloegl et al. 2009). A study by Hosoi and colleagues (Hosoi et al. 1995) also showed that goats avoid high-fibre food if they have the choice to opt for lower-fibre food, which is not the case for sheep. Thus, goats have more dietary flexibility than sheep, and this may be underpinned by higher cognitive flexibility in goats. Goats also adjusted faster to a modified detour task than sheep did, which provides an additional argument in favour of a greater cognitive flexibility in goats compared to sheep (Raoult et al. 2021). Our results do not support this hypothesis because both species performed equally well, even in a more controlled inferential task, the tube task. Contrary to expectations, we did not find that goats performed better than sheep. One might also assume that domestication has levelled the cognitive abilities of both species towards lower performances. We know that the

reduction in brain size reported in several domestic species has also occurred in sheep (Ebinger 1974; Price 2002; Balcarcel et al. 2021). However, brain size reduction may not have an impact on cognitive abilities such as inferential reasoning. In fact, some authors suggest that the limbic system has suffered the most drastic reduction (Balcarcel et al. 2021), which would affect emotion regulation more than high-level executive functions. However, performances in our tests were quite good and were comparable to many species tested. Pigs also experienced brain size reduction (Ebinger 1974) and nevertheless often demonstrate advanced cognitive skills in both the physical and social domain (Held et al. 2002; Mendl et al. 2010). Additional studies are needed to extend our knowledge of how domestication affects cognitive and emotional skills in farm animals.

It should be noted that small ruminants are still often maintained with access to outdoor areas and fields with many conspecifics; i.e., they have a fairly enriched environment. Intensive breeding in environmentally poor facilities is a relatively recent practice compared to 10,000 years of extensive breeding. The cognitive skills of sheep and goats may not therefore be very different from those of their feral and wild counterparts (e.g., mouflon: *Ovis aries musimon*; ibex: *Capra aegagrus*). Further studies should assess how far they may have diverged in other aspects than reasoning abilities. In addition, we might expect "breed" effects in the expression of cognitive skills, with breeds that have been subject to the highest level of selection by humans being the less likely to perform well. Our study groups were composed of several breeds, some hardy (i.e. regional races, able to breed without human help) and some not (races more recently selected to increase the production of meat or milk). Although this combination gave us a good overview of the general abilities of sheep and goats, it did not allow us to test for breed effects. It will be necessary to evaluate the effect of strong *versus* low genetic selection on the performances of small ruminants. Indeed, strong artificial selection combined with poor welfare conditions could affect performances.

An enriched environment characterized by physical and social complexity is conducive to a higher degree of behavioural diversity in livestock (van de Weerd and Day 2009). These solicitations and enrichments could induce positive emotional states in farm animals, improving their well-being (Dantzer 2002; Greiveldinger et al. 2007; Manteuffel et al. 2009; Boissy and Erhard 2014). Cognitive challenges, whether natural or artificial, combined with rewards and positive reinforcement, also elicit positive emotional states (Dantzer 2002; Greiveldinger et al. 2007). Since small ruminants such as sheep and goats can successfully use inferential reasoning, we should strive to enrich their environment in a manner that will give them the possibility to express their cognitive skills.

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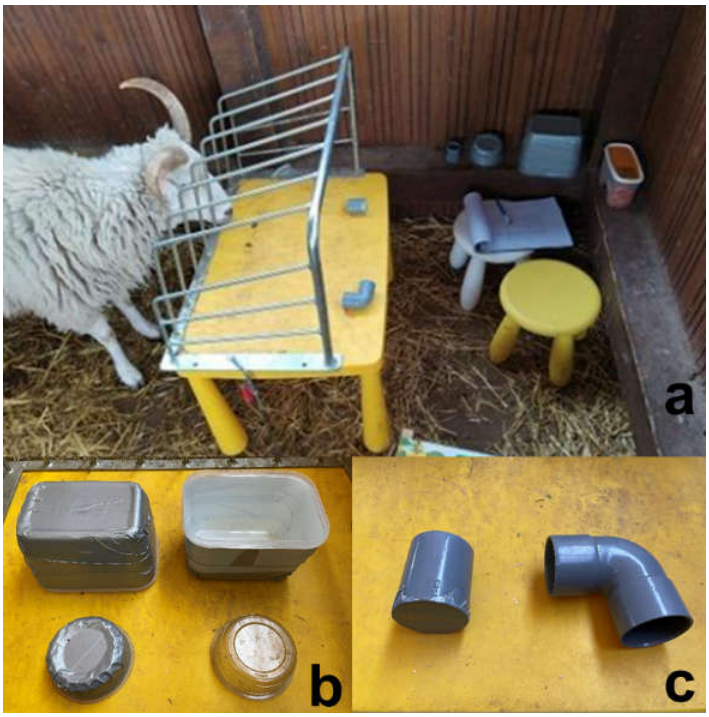


Fig. 1: a. General set-up for both tasks (here, the tube task) with a sheep facing a grid, fixed on the table. To make a choice, individuals must insert their snout in front of the chosen location. **b.** Material used for the cup task, with small opaque (front left) or transparent (front right) cups, and larger opaque over-cups. **c.** Material used for the tube task, with a straight tube and a bent tube.

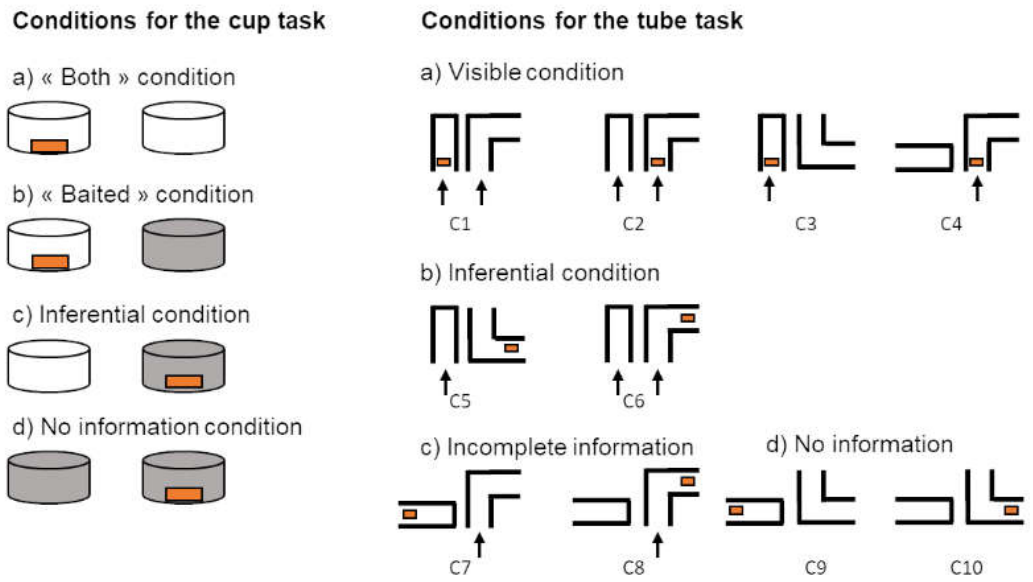


Fig. 2: Illustration of the conditions for each task. In the cup task, when the carrot slice (orange square) is covered with an opaque cup (in grey), it is no longer visible. In the inferential condition c), the task can be solved by making the correct inference (if the carrot is not in the transparent cup then it must be in the opaque cup). It can also be solved by learning to avoid the empty cup. In the tube task, the visible condition consists of four configurations (from C1-C4) where the carrot is always visible. Percentage of success should be close to 100% in these configurations. The inferential conditions comprise the configurations C5 and C6. Configuration 5 can be solved by inferring that if the straight tube is empty, then the carrot should be in the bent tube. This configuration can also be solved by learning to avoid the straight empty tube. Compared to C5, C6 cannot be solved by using this "avoidance of empty locations" strategy. Indeed, both tubes are visibly empty. Thus succeeding at C6 is a better indicator of inferential reasoning than succeeding at C5. The incomplete information condition consists of two configurations (C7, C8) where the information provided (no food in the visible part of the bent tube) cannot help to solve the task. In the no information condition, the two configurations (C9, C10) do not provide any information on the location of the carrot.

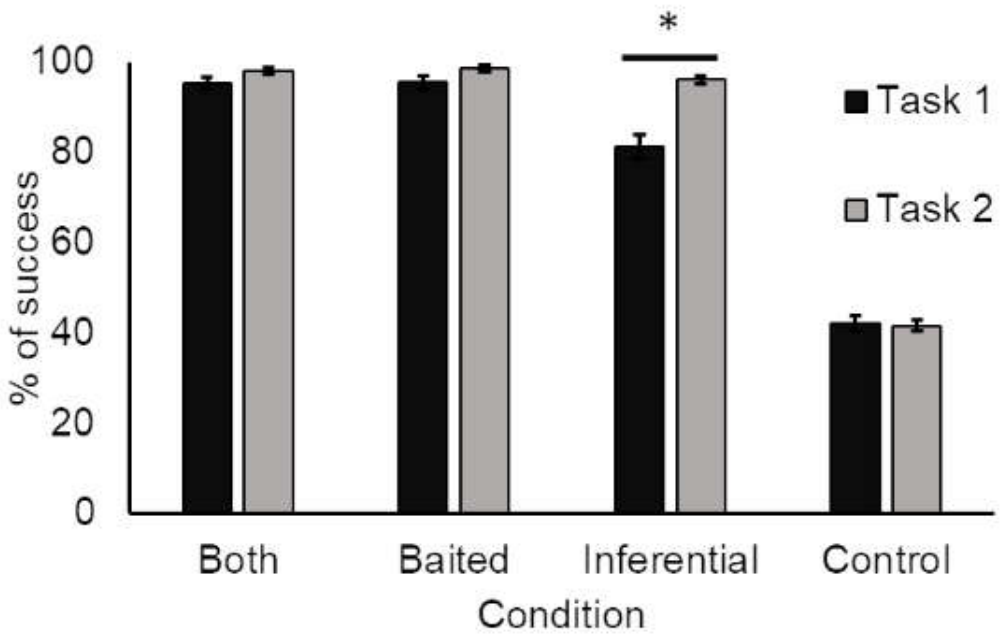
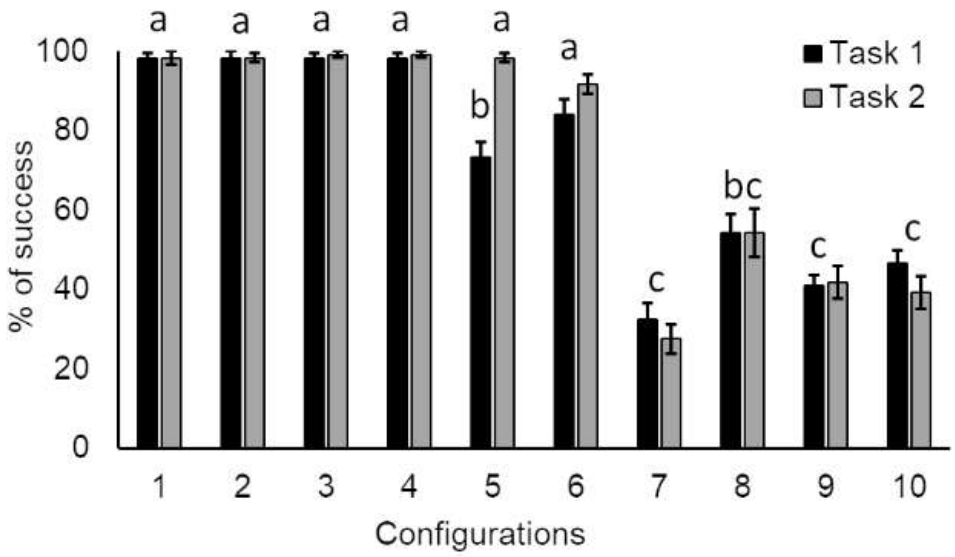


Fig. 3: Percentage of success (+/- SE) according to conditions in the first analysis (GLMM1) which combines results for the cup task and for the tube task. This figure illustrates the effect of the interaction between task order and condition. Subjects perform less accurately on the inferential condition in their first task than in their second, regardless of the task order (cup-tube or tube-cup: half of the individuals started with the cup task, and half started with the tube task). Only the most relevant contrasts are represented (see Supplementary Table 1 for the list of contrasts).



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798 **Fig. 4:** Percentage of success (+/- SE) according to configurations in the tube task alone
799 (GLMM2). This figure illustrates the effect of the interaction between Configuration
800 and Task order. Performance in configuration 5 is poorer than in configuration 6 when
801 the tube task is the first task to which the subjects are exposed. Configurations with no
802 letters in common differ significantly at $p<0.05$ (see supplementary Table 2 for the list
803 of contrasts).
804