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### ▶ To cite this version:

Loup Rimbaud, Julien Papaïx, Jean-François Rey, Benoît Moury, Luke Barrett, et al.. Durable resistance or efficient disease control? Adult Plant Resistance (APR) at the heart of the dilemma. 2022. hal-03775182

## HAL Id: hal-03775182 https://hal.inrae.fr/hal-03775182v1

Preprint submitted on 12 Sep 2022

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## Durable resistance or efficient disease control? Adult Plant Resistance (APR) at the heart of the dilemma

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#### 11 **ABSTRACT**

Adult plant resistance (APR) is an incomplete and delayed protection of plants against pathogens. At first glance, such resistance should be less efficient than classical major-effect resistance genes, which confer complete resistance from seedling stage, to reduce epidemics. However, by allowing some 'leaky' levels of disease, APR genes are predicted to be more durable than major genes because they exert a softer selection pressure on pathogens towards adaptation to resistance. However, the impact of partial efficiency and delayed mode of action of APR on the evolutionary and epidemiological outcomes of resistance deployment has never been tested.

Using the demogenetic, spatially explicit, temporal, stochastic model *landsepi*, this study is a first attempt to investigate how resistance efficiency, time to resistance expression and target pathogenicity trait jointly impact resistance durability and disease control at the landscape scale. Our numerical experiments explore the deployment of APR in a simulated agricultural landscape, alone or together with a major resistance gene. As a case study, the mathematical model has been parameterised for rust fungi (genus *Puccinia*) of cereal crops, for which extensive data are available.

Our simulations confirm that weak efficiency and delayed expression of APR genes reduce the selection pressure applied on pathogens and their propensity to overcome resistance, but do not confer effective protection. On the other hand, stronger APR genes (which increase selection pressure on the pathogen) may be quickly overcome but have the potential to provide some disease protection in the short-term. This is attributed to strong competition between different pathogen genotypes and the presence of fitness costs of adaptation, especially when APR genes are deployed together with major resistance gene via crop mixtures or rotations.

*Keywords*: adaptation, adult plant resistance, disease control, immunity, mature plant resistance,
 ontogenic, puccinia, resistance, resistance durability, rust, simulation modelling.

#### 34

#### Introduction

35 In plant pathology, durable resistance and efficient disease control are two important considerations in the use of genetically controlled plant resistance to manage crop diseases (Burdon JJ 36 37 et al., 2016). Indeed, strategies to deploy plant resistance should first be as efficient as possible to 38 mitigate epidemics and preserve crop health. However, the high evolutionary potential of many plant 39 pathogens means that they can adapt and overcome such resistance, sometimes quickly after 40 deployment in the field (Johnson R, 1983; Parlevliet JE, 2002; García-Arenal F & BA McDonald, 2003). 41 Resistance breakdown results in potentially destructive epidemics and economic losses, leading to 42 increased reliance on pesticides and acceleration of associated environmental issues. In addition, 43 resistance breakdown also means the loss of precious and non-renewable genetic resources, and the 44 need to develop new resistant cultivars, a long and costly process (Zhan J et al., 2015). Therefore, in 45 addition to the provision of efficient crop protection in the short-term, resistance must also be durable, 46 even if these two goals are not necessarily compatible (van den Bosch F & CA Gilligan, 2003; Papaïx J 47 et al., 2018; Rimbaud L et al., 2018a). In this context, simulation models provide powerful tools to 48 explore and evaluate different crop deployment strategies with respect to their epidemiological and 49 evolutionary outcomes, while circumventing the logistical challenges associated with field experiments 50 at large spatio-temporal scales (Rimbaud L et al., 2021).

51 Plant breeding has typically focused on resistance conferred by major-effect genes, which often 52 confer complete resistance, such that pathogens are unable to infect cultivars carrying those genes. Most major genes encode for an immune receptor of the nucleotide-binding leucine-rich repeat (NLR) 53 54 protein family, which triggers the immune response (often involving a hypersensitive reaction) after 55 recognition of a pathogen effector (de Ronde D et al., 2014; Gallois J-L et al., 2018). Nevertheless, 56 pathogens may escape this recognition after mutation or suppression of this effector, leading to the 57 restoration of infectivity and resistance breakdown. In these cases, the plant-pathogen genetic 58 interaction is best described by the 'gene-for-gene' (GFG) model, according to which the occurrence 59 of disease depends on whether or not the plant carries a resistance gene, and whether or not the pathogen possesses the matching effector (Flor HH, 1955). The scientific literature describes numerous 60 61 examples of major resistance genes being rapidly overcome by fungi (Johnson R, 1983, 1984; 62 McDonald BA & C Linde, 2002; Parlevliet JE, 2002; Stuthman DD et al., 2007; Park RF, 2008; Burdon JJ & PH Thrall, 2014), bacteria (McDonald BA & C Linde, 2002; Parlevliet JE, 2002), viruses (García-Arenal 63 F & BA McDonald, 2003; Lecog H et al., 2004; Moury B et al., 2010), and nematodes (McDonald BA & 64 65 C Linde, 2002), although some of them have maintained effectiveness for many years. Such resistance 66 breakdown results from the high selection pressure experienced by pathogen populations in the 67 presence of such resistance, since only adapted individuals can infect resistant hosts. 'Resistancebreaking' mutants may be initially present in the population at low frequency, derive from other 68 69 pathogen genotypes by mutation or recombination, or be introduced from distant areas through 70 migration. In such cases, the frequency of the mutant genotype increases as it will be strongly favoured 71 by selection and the whole host population may end up infected (Johnson R, 1983, 1984; Lecog H et 72 al., 2004; Moury B et al., 2010).

Resistance is, however, not always complete or continuous in time. Whether they may be insufficiently expressed, dependent on environmental conditions or simply weak, resistance genes sometimes confer only partial protection to pathogens. In this context, 'resistance efficiency' is a key component of partial resistance, and describes how well the infectious cycle of the pathogen is 77 mitigated, i.e., the extent of reduction of one or several pathogenicity traits, such as infection rate, latent or infectious period durations, and reproduction rate (Parlevliet JE, 1979; Lannou C, 2012). 78 79 Resistance may also be specific to certain host developmental phases (Barrett LG & M Heil, 2012), such 80 as is the case for adult plant resistance (APR, also called 'mature plant resistance'; Develey-Rivière M-81 P & E Galiana, 2007). APR genes are often described as being only expressed in adult plants (Burdon JJ 82 et al., 2014; Niks RE et al., 2015), with an efficiency varying from 0% to 100% and depending on plant 83 age and environment (Krattinger SG & B Keller, 2016). However, moderate levels of expression of APR genes can sometimes be detected in young plants (Park RF & RG Rees, 1989; Cromey MG, 1992; Broers 84 85 LHM, 1997; Sandoval-Islas JS et al., 2007; Qamar M et al., 2012). This expression tends to increase 86 progressively and the date after which APR genes are fully active (referred to as 'time to resistance 87 expression' hereafter) depends on the resistance gene and may occur as late as the anthesis stage (Ma 88 H & RP Singh, 1996). Many APR genes against rust fungi have been documented in cereal crops (Burdon JJ, 1987 p56; McIntosh RA et al., 1995; Boyd LA, 2005). They can impact all pathogenicity traits 89 90 associated with the pathogen infectious cycle: infection rate (e.g. Lr34-Yr18; Qamar M et al., 2012), 91 latent period (Lr16-Lr18, Lr34-Yr18; Tomerlin JR et al., 1983; Elahinia SA & JP Tewari, 2005; Qamar M 92 et al., 2012), sporulation rate (Lr16-Lr18; Tomerlin JR et al., 1983), sporulation duration (Lr16-Lr18; 93 Tomerlin JR et al., 1983). Nonetheless, a wide panoply of molecular mechanisms may underpin APR resistance and these are poorly known (Develey-Rivière M-P & E Galiana, 2007; Krattinger SG & B 94 95 Keller, 2016). Exceptions include three resistance genes against leaf, stem and yellow rusts of wheat: 96 Lr67 encoding a hexose transporter (Moore JW et al., 2015); Lr34 encoding an ATP-binding cassette 97 (ABC) transporter (Krattinger SG et al., 2009); and Yr36 encoding a chloroplast-localised kinase protein 98 involved in detoxification of reactive oxygen species (Fu D et al., 2009, see also Develey-Rivière M-P & 99 E Galiana, 2007 for resistances against other pathogens).

100 To the best of our knowledge, the role of delayed expression of plant resistance in disease 101 management and pathogen evolution has never been investigated in simulation models (Rimbaud L et 102 al., 2021), despite its supposed potential to promote resistance durability. Complete resistance is often 103 assumed in modelling studies, and always considered active from the seedling stage. Yet, hosts are 104 thought to generate different selective pressures on pathogens if they express complete, partial or 105 delayed resistance (Stuthman DD et al., 2007; Pilet-Nayel M-L et al., 2017). While complete resistance 106 exerts hard selection on the pathogen to restore infectivity, the pressure imposed by partial and 107 delayed resistances (such as the one conferred by APR genes) is likely lower since they allow some 108 'leaky' levels of disease. Partial and delayed resistances can thus be seen as soft selection mechanisms 109 that slow down the speed of pathogen evolution compared to typical major resistance genes. This 110 slower pathogen evolution comes nonetheless at the price of weaker protection against disease, hence the potential of such resistance for disease management is still intriguing, particularly when deployed 111 112 in conjunction with major gene resistance.

The aim of the present study is to investigate how resistance efficiency, time to resistance expression and target pathogenicity trait of a resistance gene jointly impact resistance durability and epidemiological disease control. Additionally, because deploying different types of resistance is likely a promising approach to benefit from their respective advantages, we also investigate the best strategies to combine a major resistance gene with an APR gene. To study these questions, we use a general simulation framework implemented in the R package *landsepi* (Rimbaud L et al., 2018b). The model is flexible enough to vary parameters related to the deployed resistance genes, and to encompass various pathogen epidemiological traits. Thus, although this work is motivated by rust
 diseases of cereal crops (for which there is considerable empirical data), our broad conclusions may,

to some extent, apply to numerous pathosystems.

123

#### Methods

#### 124 Model overview

125 We used a demogenetic, spatially explicit, temporal and stochastic model developed to explore different plant resistance deployment strategies in agricultural landscapes and evaluate their 126 127 epidemiological and evolutionary outcomes. A description of the mathematical model is detailed in a 128 previous article (Rimbaud L et al., 2018c). Briefly, the model simulates the spread (by wind) and 129 evolution (via mutation) of a spore-borne fungal pathogen in a cropping landscape where susceptible 130 and resistant cultivars are cultivated with controlled proportions and controlled level of spatial 131 aggregation. While the model has the capacity to simulate sexual reproduction for the pathogen, here 132 we assume clonality. In the simulated landscape, resistance genes may be deployed in a single host 133 cultivar as a pyramid, or in different cultivars that can be segregated in a mosaic of fields, combined 134 within the same field as mixtures, or alternated within crop rotations. Resistance genes may target one 135 or several pathogenicity traits (reduction of infection rate, sporulation rate or sporulation duration, 136 lengthening of latent period duration) with complete or partial efficiency. The pathogen has the 137 potential to adapt to each of the deployed resistance genes independently, via single or multiple 138 mutations (leading to the emergence of new pathogen strains), possibly associated with a fitness cost on the susceptible cultivar. The pathogen is disseminated across the landscape using a power-law 139

140 dispersal kernel:  $g(||z'-z||) = \frac{(b-2)(b-1)}{2.\pi.a^2} \cdot \left(1 + \frac{||z'-z||}{a}\right)^{-b}$  with ||z'-z|| the Euclidian distance 141 between locations z and z' in fields i and i', respectively, a the scale parameter and b a parameter 142 linked to the width of the tail. The plant infection and immune status is modelled using a traditional 143 SEIR ('susceptible-exposed-infectious-removed') framework. Plant harvests occur at the end of each 144 cropping season, imposing potential bottlenecks (and thus genetic drift) on the pathogen population.

145 In this study, the *landsepi* model was extended to include resistance genes with a delayed 146 expression (i.e., APR genes). Cultivars that carry an APR gene are susceptible at the beginning of the 147 cropping season and become resistant once the gene activates. The time to resistance expression is 148 drawn from a gamma distribution every year and for every field planted with a cultivar carrying an APR 149 gene. For convenience, this distribution is parameterised with the expectation and variance of the time 150 to expression. Both parameters, as well as the target pathogenicity trait and efficiency of resistance, 151 are assumed to be genetically determined and thus characteristic of a given APR gene.

For the simulation experiments, we parameterised the model using available data from the empirical literature to represent wheat rust infection caused by a range of fungal pathogens in the genus *Puccinia* (**Table 1**, details on model calibration in Rimbaud L et al., 2018c), supporting information). The model is available in the R package *landsepi* version 1.1.1 (Rimbaud L et al., 2018b).

#### 156 Numerical experiments

157 Three successive numerical experiments were carried out to explore APR. Experiment 1 is a 158 baseline scenario destined to evaluate how the deployment of a single APR gene mitigates epidemics

in absence of pathogen evolution (i.e., here epidemics are caused by a single pathogen strain, not adapted to the APR gene). Experiment 2 reproduces the same scenario but includes pathogen evolution, to measure the durability of the APR gene and the epidemiological impact of the possible presence of adapted pathogen genotypes. Finally, Experiment 3 investigates whether APR genes and major resistance genes are competing alternatives or can be complementary to each other via appropriate spatio-temporal deployment strategies. **Table 1** summarises model parameters of interest.

166 In the first two experiments, the landscape (representing approximately 150 fields, total area: 167 2x2 km<sup>2</sup>, see Fig S1 in Rimbaud L et al., 2018c) was composed of a mosaic of a susceptible (1/3 of total 168 surface) and a resistant cultivar (2/3 of total surface) across the simulated landscape. Cultivars were 169 randomly allocated to fields within the landscape either at low or at high degree of spatial aggregation 170 (Fig. 2, left-hand column). The resistant cultivar carried a resistance targeting either infection rate, 171 latent period duration, sporulation rate, or sporulation duration of the pathogen. Analysis of field and 172 greenhouse trials on rust diseases of cereal crops revealed that resistance against these pathogenicity traits measured in different host genotypes can vary from 0% to 100% compared to the most 173 174 susceptible cultivars (Table S1). Thus, in our simulations, resistance efficiency was varied from 0 to 175 100% with increments of 10%. The expected time to resistance expression varied from 0 to 90 days 176 with increments of 10 days; a time to expression of 90 days (the whole epidemic season being 120 177 days) represents the case where the gene activates at anthesis stage. For example, if the resistance 178 gene targets the latent period duration with an efficiency of 75% and a time to expression of 30 days, 179 a non-adapted (i.e., 'wild type', wt) pathogen infecting a resistant cultivar will have an expected latent 180 period of 10 days (see Table 1) until resistance activates, after which latent period is increased by 75% 181 (i.e., 17.5 days) until the end of the cropping season. In the first experiment, the pathogen was not allowed to evolve, whereas in the second, it could adapt to the APR gene through mutation. In this 182 case, the impact of fitness cost of adaptation (where fitness cost was defined in terms of loss of 183 184 pathogenicity on the susceptible cultivar) was studied using three (0.00, 0.25, 0.50) different cost 185 values. Model stochasticity includes field shape and boundaries, cultivar allocation to the different 186 fields within the simulated landscape, time to APR gene expression, pathogen dispersal, mutation, off-187 season survival, and SEIR transitions. To account for this stochasticity, simulations were run on five different landscape structures and replicated 10 times, resulting in 50 replicates for every parameter 188 combination. Thus, the complete factorial design of the first two experiments resulted in a total of 189 190 44,000 and 132,000 simulations, respectively.

191 In the third numerical experiment, a major resistance gene and an APR gene were jointly 192 deployed according to one of four strategies: pyramiding, mixture, rotation or mosaic. The major 193 resistance gene was assumed to target pathogen infection rate with complete efficiency and to be fully 194 expressed from the beginning of the cropping season. Target pathogenicity trait, resistance efficiency 195 and time to expression of the APR gene were varied exactly as in the first two experiments. However, 196 for this experiment, spatial aggregation was fixed at a low value (representing a fragmented 197 landscape), and the fitness cost of pathogen adaptation to 0.50. Indeed, results obtained in the second 198 experiment showed that this parameterisation maximises the interaction between cultivars (in terms 199 of pathogen dispersal and competition between pathogen genotypes) within a spatial deployment 200 strategy. For all deployment strategies, 1/3 of the landscape was composed of the susceptible cultivar. 201 The remaining 2/3 were occupied either by a single cultivar carrying the two genes (pyramid strategy), 202 a mixture (in every field) of two resistant cultivars in balanced proportions (each cultivar carrying one

of the two genes; mixture strategy), a rotation of these two resistant cultivars (every year; rotation
strategy), or a mosaic of the two resistant cultivars in balanced proportions (every cultivar representing
1/3 of the landscape area; mosaic strategy) (Fig. S6). With 50 stochastic replicates, the complete
factorial design resulted in a total of 88,000 simulations.

207 Simulations were run for 120 time-steps per cropping season over a 30-year time period. Initially, only the wild-type pathogen (i.e., not adapted to any resistance), 'wt', was present in 208 209 susceptible hosts, with a probability of any host being initially infected of 5.10<sup>-4</sup>. The wt strain is unable 210 to infect resistant hosts carrying an APR gene only if resistance is both complete and active. In all other 211 situations, the wt strain is able to infect the hosts carrying an APR gene. In any case, a single mutation 212 (with probability 10<sup>-4</sup>, except in the first experiment where evolution did not occur) is required to 213 overcome a resistance gene (should it be a major gene or an APR gene) and restore complete 214 pathogenicity, in conformity with a gene-for-gene interaction.

#### 215 Model outputs

In this work, epidemiological control is defined as the ability of a given deployment strategy to reduce disease impact on the resistant cultivar(s). Here, it is measured by the relative green leaf area (GLA), i.e., the proportion of healthy hosts relative to the total number of hosts, averaged for every cultivar across the whole simulation run. The higher the value of the GLA, the better the epidemiological control.

221 Evolutionary control is guantified here using resistance durability (for experiments 2 and 3), 222 which measures the ability of a given deployment strategy to limit pathogen evolution and delay 223 resistance breakdown (i.e., emergence of the resistance-breaking, 'rb', pathogen). Durability is 224 evaluated using the time when the number of resistant hosts infected by the rb strain exceeds a threshold above which extinction of this strain is unlikely (fixed at 50,000, see Rimbaud L et al., 2018c), 225 226 supporting Text S2 for details). To understand the contribution of the different pathogen genotypes to an epidemic, we also calculate, across the whole simulation run and for every cultivar, the proportion 227 228 of infections due to each pathogen genotype relative to all infections.

Table 1. Model parameter and simulation experiments. See Text S1 in (Rimbaud L et al., 2018c) for calibration details. Parameters of interest (blue cells) were varied

according to a complete factorial design. Every simulation was replicated 10 times x 5 landscape structures to account for stochasticity, resulting in a total of 44,000,
 132,000 and 88,000 simulations for the three numerical experiments, respectively.

Devementer	Experiment 1	Experiment 2	Experiment 3						
Parameter	(single APR gene, no evolution)	(major gene + APR gene)							
	ers								
Dispersal scale parameter (a) <sup>a</sup>		40							
Width of the dispersal kernel tail (b) <sup>a</sup>		7							
Maximal expected infection rate	pected infection rate 0.40 spore <sup>-1</sup>								
Minimal expected latent period duration		10 days							
Variance of the latent period duration		9 days							
Maximal expected sporulation duration		24 days							
Variance of the sporulation duration		105 days							
Maximal expected sporulation rate		3.125 spores.day <sup>-1</sup>							
Initial probability of infection of susceptible hosts		5.10-4							
Off-season survival probability		10 <sup>-4</sup>							
	Landscape organisati	on <sup>b</sup>							
Number of fields in the landscape <sup>c</sup>		155; 154; 152; 153; 156							
Deployment strategy	Mosaic	Mosaic, mixture, rotation, pyramid							
Proportion of landscape area covered by the	1/2								
susceptible cultivar	1/5								
Level of spatial aggregation	low; high	low; high	low						
	Major gene resistar	nce							
Target pathogenicity trait	-	-	Infection rate						
Resistance efficiency (p)	-	-	1.00						
Expected time to resistance expression	O day								
Variance of the time to resistance expression		Equal to the expected tim	e						
Adult plant resistance									
Target pathogenicity trait Infection rate; latent period duration; sporulation rate; sporulation duration									

232 233 234 235 236 237	Resistance efficiency d         0.00; 0.10; 0.20; 0.30; 0.40; 0.50; 0.60; 0.70; 0.80; 0.90; 1.00										
	Expected time to resistance expression e0; 10; 20; 30; 40; 50; 60; 70; 80; 90 days										
	Variance of the time to resistance expression <sup>f</sup>		Equal to the expected time								
	Pathogen evolutionary ability <sup>g</sup>										
	Mutation probability <sup>h</sup>	0	10-4	10 <sup>-4</sup>							
	Fitness cost of adaptation (θ) <sup>i</sup>	-	0.00, 0.25; 0.50	0.50							
238	<sup>a</sup> The mean dispersal distance is given by: $\frac{2a}{(b-3)} = 20 m$ , b	ut long-distance dispersal may also occ	cur due to the heavy-tail shape of t	he power law.							
239	<sup>b</sup> crop cultivars are allocated using an algorithm based on I	atent Gaussian fields to control propo	rtion and level of spatial aggregation	on of each cultivar; see Fig. S1 of (Rimbaud							
240	L et al., 2018a) for illustrations and (Rimbaud L et al., 201	18c) for details on the algorithm.									
241	<sup>c</sup> see Fig S1 in (Rimbaud L et al., 2018c) for illustrations of	landscape structures generated using	g a T-tesselation algorithm, and see	e (Papaïx J et al., 2014a) for details on the							
242	algorithm.										
243	<sup>d</sup> an efficiency of 0.00 is equivalent to the absence of a res	istance gene.									
244	<sup>e</sup> a time of 90 days represents gene activation at anthesis stage.										

<sup>f</sup> when expectation and variance are 0 day, there is no variation in the time to expression.

<sup>g</sup> same value for major gene and adult plant resistance.

<sup>h</sup> probability of a spore changing its phenotype on a resistant cultivar carrying a resistance gene.

<sup>1</sup> paid by adapted pathogens on hosts that do not carry an active resistance – it consists of a reduction in the same pathogenicity trait as the one targeted by the resistance.

#### 249

#### **Results**

250 Three separate numerical experiments were carried out to investigate the epidemiological and 251 evolutionary outcomes of deployment strategies based on APR: the first two experiments were 252 performed with an APR gene alone, and the third with a combination of an APR gene and a major 253 resistance gene. In all these experiments, three parameters were systematically allowed to vary: 254 resistance efficiency, time to resistance expression and target pathogenicity trait. Using this approach, 255 we were able to explore a wide range of situations, from the absence of resistance (if resistance 256 efficiency is 0%, Fig. 1) to a completely efficient major gene (if efficiency is 100% and there is no delay 257 in resistance expression) with all possible intermediate situations (partially-efficient major gene, 258 completely-efficient APR gene, partially-efficient APR gene).



259

260 Figure 1. Conceptual exploration of parameters associated with resistance genes: efficiency and time

to expression. This formal framework encompasses a wide range of situations. MG: major gene ; APR:

adult plant resistance.

#### Experiment 1: Deployment of a single APR gene in a susceptible landscape with no pathogen evolution

Disease control, measured by the Green Leaf Area averaged for every cultivar across the whole simulation run, was first evaluated when a single APR is deployed in the landscape and the pathogen does not have the possibility to overcome the resistant cultivar.

268 As expected, for the resistant cultivar, disease control increases with higher efficiency and 269 shorter time to resistance expression (Fig. 2). Globally, the target pathogenicity trait offering the best 270 level of disease control is the infection rate when resistance is expressed early in the cropping season, 271 whereas it is the sporulation duration when resistance is expressed late (Figs. 2 & S1). On the 272 susceptible cultivar, disease control is globally poor except when the level of spatial aggregation 273 between cultivars is low and the APR carried by the resistant cultivar is almost completely efficient, 274 expresses very early (i.e., it is roughly similar to a major gene), and targets the pathogen infection rate, 275 sporulation rate or sporulation duration (Fig. 2B). This comes at the price of a slightly decreased level 276 of control for the resistant cultivar compared to an aggregated landscape.



277

Figure 2. Simulated landscapes (examples on the left) and heatmaps (on the right) of the level of epidemiological control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') in the absence of pathogen evolution for different levels of resistance efficiency (vertical axis), time to resistance expression (horizontal axis) and target pathogenicity traits (columns), for strong (A) or weak (B) levels of spatial aggregation.

# Experiment 2: Deployment of a single APR gene in a susceptible landscape with pathogen evolution

In this experiment, there were two possible pathogen genotypes: the rb and wt strains,
 respectively adapted and not adapted to the APR, whose performances on the different cultivars are
 summarised in Table 2.

**Table 2.** Plant-pathogen interaction matrix with a single resistance gene. The table shows the coefficients by which the value of the target pathogenicity trait (see **Table 1**) is multiplied (except for latent period duration: 1- $\rho$  is replaced by 1+ $\rho$  and 1- $\theta$  is replaced by 1+ $\theta$ ). The coefficients reflect the relative performance of the different pathogen genotypes on the different cultivars.  $\rho$  is the efficiency of the resistance gene and  $\theta$  is the fitness cost of adaptation.

		Resistant cultivar (APR)					
	Susceptible cultivar	Non-active	Active				
wild type pathogen (wt)	1	1	1-ρ				
resistance-breaking pathogen (rb)	1-θ	1-θ	1				

#### 293 Impact of resistance efficiency and time to expression.

294 Regardless of the target pathogenicity trait, fitness cost and level of spatial aggregation, the 295 results indicate that weak resistance (whether it is inefficient or delayed in expression; bottom right 296 corner of graphics in Figs. 3, S2, S3, S4) is always durable (panels A and B), meaning that rb pathogen 297 genotypes never emerged in the 30-year simulations (panels E and F). However, in this situation, 298 resistance does not confer good epidemiological protection against the wt pathogen, as shown by the 299 second output variable ('Disease control', panels C and D). In contrast, strong resistance (highly 300 efficient and activated early in the growing season; top left corner of graphics in Figs. 3, S2, S3, S4) 301 shows poor durability (panels A and B), indicating that the rb pathogen genotype quickly emerged and 302 invaded the resistant host population (panels E and F). This again results in poor epidemiological 303 control for the resistant cultivar (**panels C and D**). However, when fitness costs are large ( $\theta$ =0.50), there 304 is a critical zone where disease control by the resistant cultivar reaches a higher level, particularly when 305 infection rate is targeted by the APR gene. This zone corresponds to resistance efficiencies higher than 306 60% and time to expression between roughly 30 and 80 days (Fig. 3CD). Fig. S5 illustrates examples of 307 simulations carried out in the three contrasted scenarios described just above (weak resistance, strong 308 resistance, critical zone).

#### 309 Impact of fitness cost of adaptation.

Decreasing the loss of pathogenicity of the rb pathogen on the susceptible cultivar (effect of columns in **Figs. 3, S2, S3, S4**) tends to decrease both durability and disease control (at intermediate resistance efficiency and with delayed expression, rb genotypes emerge more often and cause more damage). In particular, when there are no fitness costs of adaptation, the critical zone previously described disappears completely.

#### 315 Impact of the level of field spatial aggregation.

316 The strongest impact of spatial aggregation is on the genetic composition of the pathogen 317 population and the associated epidemic damage (Figs. 3, S2, S3, S4, panels E and F). The susceptible 318 cultivar is mostly infected by the wt pathogen in aggregated landscapes, leading to severe epidemics. 319 In contrast, for strong resistance (highly efficient or activated early in the growing season) and in 320 presence of fitness costs of adaptation, the susceptible cultivar is mostly infected by the rb pathogen in fragmented landscapes, resulting in moderate to good epidemiological control (due to the fitness 321 322 penalty). Conversely, epidemiological control for the resistant cultivar seems slightly better in 323 aggregated landscapes (especially when resistance is strong but considerably delayed in the cropping 324 season, top right corner of heatmaps, Fig. 3CD). In the absence of fitness costs of adaptation or for 325 weak resistance (inefficient or activated late in the growing season), the genetic composition of the 326 pathogen is similar on the two cultivars, and the associated damage is high.

#### 327 Impact of the target pathogenicity trait.

All the previous results hold qualitatively with the different pathogenicity traits targeted by 328 329 resistance. When resistance targets sporulation rate or the duration of the sporulation period, the 330 genetic composition of the pathogen population and the level of evolutionary control (resistance 331 durability) are similar to what was observed for the infection rate (Figs. S3, S4). There are, however, 332 quantitative changes in the epidemiological outcome, as size and location of the critical zone are slightly different depending on the target pathogenicity trait. For infection rate, as mentioned before, 333 334 the critical zone of good disease control corresponds to resistance efficiencies higher than 60% and expression between 30 and 80 days. For sporulation rate (or sporulation duration), the critical zone 335 336 corresponds to efficiencies higher than 80% (respectively 90%) and expression after 50 days

(respectively 80 days). Resistances increasing the duration of the latent period and havinga high efficiency and a delayed expression (more than 30 days, **Fig. S2**, top right corner of graphics) are more durable than those targeting the other traits. This is a consequence of the absence of emergence of the rb pathogen. However, the level of epidemiological control is poor in comparison to the other target traits, and the size of the critical zone is considerably reduced (restricted to resistance efficiencies between 80 and 100% and times to expression of less than 20 days).





Figure 3. Heatmaps of the levels of evolutionary control (resistance durability as measured by the number of years before the emergence of the resistance-breaking ('rb') pathogen genotype; panels A and B), epidemiological control (i.e., disease limitation, measured by the Green Leaf Area ('GLA') on the susceptible ('S') and the resistant ('R') cultivars; panels C and D) and average frequency of the rb pathogen (panels E and F) for different levels of resistance efficiency (vertical axis), time to resistance expression (horizontal axis) and fitness cost of pathogen adaptation (columns), for strong (panels A, C, E) or weak (B, D, F) levels of spatial aggregation. The target pathogenicity trait is the infection rate.

351 Circled numbers refer to example simulations in Fig. S5.

#### 352 Experiment 3: Simultaneous deployment of a major resistance gene and an APR gene in a 353 susceptible landscape

354 In a third numerical experiment, resistance durability and disease control were evaluated when 355 a major resistance gene and an APR gene were simultaneously deployed across a landscape, either 356 within the same cultivar ( $R_{12}$ , pyramiding strategy) or in two distinct cultivars ( $R_1$  and  $R_2$ , respectively) 357 which could be cultivated in different fields (mosaic strategy), within the same field as mixtures, or 358 alternated in time through crop rotations (see Fig. S6 for examples of simulated landscapes). In this 359 experiment, there are four possible pathogen genotypes, whose performances on the different cultivars are summarised in Table 3. Here, the level of spatial aggregation is fixed at a low value 360 (fragmented landscape), and the fitness cost is 0.50. 361

- Table 3. Plant-pathogen interaction matrix with two resistance genes, giving the coefficients by which
   the value of the target pathogenicity trait (see Table 1) is multiplied (except for latent period duration:
   1-ρ is replaced by 1+ρ and 1-θ is replaced by 1+θ). It reflects the relative performance of the wild-type
- 365 (wt) and the resistance-breaking (rb<sub>1</sub>, rb<sub>2</sub>, rb<sub>12</sub>) pathogen genotypes on the susceptible (S) and resistant
- 366 cultivars carrying a major resistance gene (MG; cultivar  $R_1$ ), an APR gene ( $R_2$ ) or both ( $R_{12}$ ).  $\rho_1$  and  $\rho_2$
- 367 are the efficiencies of the resistance genes, and  $\theta_1$  and  $\theta_2$  are the fitness costs of adaptation.

	c		R <sub>2</sub> (API	R)	R <sub>12</sub> (MG+APR)			
	3	R1 (IVIG)	Non-active	Active	Non-active	Active		
wt	1	0	1	1-p2	0	0		
rbı	<b>1-</b> θ <sub>1</sub>	1	1-θ1	1-p2	1	1-ρ2		
rb <sub>2</sub>	<b>1-</b> $\theta_2$	0	1-θ2	1	0	0		
<b>rb</b> 12	<b>(1-θ</b> <sub>1</sub> )(1-θ <sub>2</sub> )	1-θ2	<b>(1-θ</b> <sub>1</sub> )(1-θ <sub>2</sub> )	<b>1-θ</b> <sub>1</sub>	<b>1-θ</b> <sub>2</sub>	1		



369

370 Figure 4. Heatmaps showing the levels of A) evolutionary control (resistance durability, measured by 371 the number of years before the emergence of resistance-breaking genotypes) and B) epidemiological 372 control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') on a susceptible cultivar 'S', a resistant cultivar 'R1' carrying a completely efficient major gene ('MG') and a resistant cultivar 'R2' 373 374 carrying an APR gene, for different levels of APR efficiency (vertical axis), time to APR expression 375 (horizontal axis) and deployment strategies (columns; note that for pyramiding, R1 and R2 refer to the 376 same cultivar). The target pathogenicity trait of the APR gene is the infection rate, the level of spatial 377 aggregation is low, and the fitness cost is 0.50.



378

Figure 5. Average frequency of the different pathogen genotypes (see Table 3 for notations) on a susceptible cultivar 'S', a resistant cultivar 'R1' carrying a completely
 efficient major gene and a resistant cultivar 'R2' carrying an APR gene, for different levels of APR efficiency (vertical axis), time to APR expression (horizontal axis)

and deployment strategies (columns; note that for pyramiding, R1 and R2 refer to the same cultivar). The target pathogenicity trait of the APR gene is the infection

rate, the level of spatial aggregation is low, and fitness cost is 0.50.

#### 383 Impact of resistance efficiency, time to expression and deployment strategy.

384 Regardless of the characteristics of the APR gene (efficiency, time to expression, target pathogenicity trait), the major gene is always overcome quickly after deployment (Figs. 4, S7, S8, S9), 385 except when it is pyramided with a very efficient APR gene that is activated early in the growing season 386 387 (which is essentially the same as a pyramid of two major resistance genes). This rapid breakdown is 388 mostly attributed to the emergence of the single mutant 'rb1' (except when the major gene is pyramided with a strong APR, in which case the breakdown is due to the double mutant 'rb12', Fig. 5). 389 390 With respect to the durability of the APR gene and the level of protection it confers on the associated 391 cultivar (R2), weak resistance (i.e., inefficient or delayed in expression) is durable (neither the 'rb2' nor 392 the 'rb12' genotypes emerged) but offers poor protection against the 'wt' and 'rb1' genotypes (Fig. 4 & 5), similar to the results for Experiment 2. When resistance is strong (very efficient and activated 393 394 early), it is quickly overcome (Fig. 4), either by 'rb2' in mosaics and mixtures, or by 'rb12' in rotations 395 and pyramids (Fig. 5). In mosaics, this leads to the same critical zone previously described for 396 Experiment 2. In contrast, in mixtures and rotations, the level of control stays high for a large range of 397 resistance efficiencies and times to expression. In pyramids, there is a good level of control only for highly efficient resistances (Fig. 4). For the resistant cultivar carrying the major gene (R1), disease 398 399 control shows contrasting results depending on the deployment strategy. It is globally poor in mosaics 400 and globally good in rotations. In mixtures, it is good only when the second resistant cultivar (R2) 401 carries a strong APR gene that is expressed early. In pyramids, it is good as long as the APR has a strong 402 efficiency. For the susceptible cultivar, a good level of disease control can be obtained if the APR 403 (deployed in cultivar R2) has a strong efficiency and early expression, especially if pyramided with a 404 major gene. In this situation the susceptible cultivar is invaded by both the 'wt' and the 'rb12' pathogen 405 genotypes (Fig. 5).

#### 406 Impact of targeted pathogenicity trait.

The results are qualitatively the same when sporulation rate and sporulation duration are targeted by the APR gene instead of the infection rate (**Figs. S8 & S9**). When resistance conferred by the APR gene increases the length of the latent period (**Fig. S7**), it is durable for a larger range of parameter values (i.e., resistance efficiency and time to expression) compared with the other target traits. However, in this situation the level of epidemiological control for the different cultivars is poor in comparison to the other target traits.

413

#### Discussion

414 To the best of our knowledge, adult plant resistance (APR) has never been explored in 415 mathematical models dealing with plant resistance deployment (Rimbaud L et al., 2021), despite its 416 presence in numerous resistant cultivars of cereals and other crops (Burdon JJ, 1987 p56; McIntosh RA 417 et al., 1995; Boyd LA, 2005; Chen XM, 2005; Develey-Rivière M-P & E Galiana, 2007; Chen W et al., 418 2014). Therefore, and because APR may affect different pathogenicity traits, in a delayed and 419 potentially incomplete manner, we used the mathematical model implemented in the R package 420 *landsepi* (Rimbaud L et al., 2018c) to explore three parameters associated with this type of resistance: 421 target pathogenicity trait, efficiency and time to expression. The main objective was to evaluate the impact of these parameters on resistance durability (evolutionary pathogen control) and disease 422 423 limitation (epidemiological control). We designed numerical experiments to explore three scenarios: 424 the deployment of a single APR gene in a susceptible landscape, firstly without and secondly with 425 pathogen evolution. The third experiment assessed the deployment of an APR gene together with a

major resistance gene according to different spatiotemporal deployment strategies (Table 1). Although 426 427 this work was motivated by rust fungi of cereal crops, the generality of the model makes our results 428 likely applicable to other pathosystems. Adult plant resistance has also been described in viruses 429 (whilst rather called "mature plant resistance"). For instance, a cultivar of Nicotiana edwardsonii, 430 expresses a delayed monogenic resistance against Tobacco mosaic virus, Tobacco necrosis virus and 431 Tobacco bushy stunt virus (Cole AB et al., 2004). Mature plant resistance has also been demonstrated 432 in the greenhouse against Cucumber mosaic virus with a complete restriction of viral movement and 433 systemic colonisation in mature bell pepper plants (Garcia-Ruiz H & JF Murphy, 2001) and against 434 Potato virus Y with a restriction of tuber infection in potato (Kumar P et al., 2022).

#### 435 Favouring competition offers good epidemiological control in spite of pathogen adaptation

436 Globally, our results show that an APR gene is never overcome when it is inefficient with respect 437 to reducing the target pathogenicity trait or is expressed late in the cropping season (Figs. 3AB, 4A). 438 This is due to the small selection pressure applied to the pathogen population, given that the wt 439 genotype can thrive on cultivars carrying such resistance genes almost as if they were susceptible. This 440 is in accordance with results obtained via different simulation models (Carolan K et al., 2017; Crété R 441 et al., 2020) and confirms one of the mechanisms according to which partially efficient resistance is 442 generally predicted to be more durable than complete resistance (Lecoq H et al., 2004; Stuthman DD 443 et al., 2007; Zhan J et al., 2015). Such phenomena have also been described for pest adaptation to 444 chemicals, where small application doses were shown to slow down the emergence of adapted 445 genotypes (Hobbelen PHF et al., 2014). Partial resistance with low efficiency or delayed expression, 446 however, results in severe epidemics and a weak level of epidemiological control (Figs. 2, 3CD, 4B). In 447 contrast, when resistance strongly reduces the target pathogenicity trait of the wt pathogen, 448 particularly when this happens early in the cropping season, it has a high potential to protect the 449 resistant cultivar (Experiment 1, Fig. 2), as expected in absence of pathogen evolution and already 450 shown in demographic models (e.g., Papaïx J et al., 2014b). However, if pathogen evolution is possible, 451 the high selection pressure leads to the rapid emergence of a rb pathogen which invades the resistant 452 host population, resulting in both low durability and disease control (Experiment 2, Fig. 3). This is 453 similar to a scenario where a single major gene (i.e., complete resistance) is deployed in the landscape 454 and quickly overcome (Rimbaud L et al., 2018c).

455 There is, however, an intermediate region of the parameter space where the APR gene is broken 456 down but still confers a good level of epidemiological protection. This occurs in presence of pathogen 457 evolution only (i.e., in Experiment 2 but not in Experiment 1), and when resistance is delayed in the 458 cropping season but has sufficiently high efficiency once activated. The delay in resistance expression 459 allows the wt genotype to infect resistant hosts early in the season, more efficiently than potential rb 460 genotypes which suffer a fitness cost while resistance is inactive. As soon as it activates, resistance is 461 strong enough to select for rb genotypes, but many hosts are, at this time, already infected by the wt 462 genotype. The ensuing strong competition between the wt and rb genotypes (Experiment 2, Fig. 3 & 463 S5) explains the limitation on epidemic development (Keesing F et al., 2006). In this context, a resistant 464 crop carrying an APR may conceptually be seen as a within-season rotation between a susceptible and 465 a resistant cultivar. The size of the critical zone (i.e., the range of parameter values leading to optimal 466 epidemiological control for the resistant cultivar) is amplified whenever such competition between pathogen genotypes is stimulated. In our results, this is the case for high fitness costs of pathogen 467 468 adaptation, which increases the penalty for rb genotypes on both susceptible hosts and hosts with still 469 inactive APR gene and reduces the performance of these genotypes relative to the wt genotype. This 470 corroborates other modelling studies showing that high fitness costs decrease epidemic severity

(Pietravalle S et al., 2006; Djidjou-Demasse R et al., 2017; Rimbaud L et al., 2018a; Watkinson-Powell 471

472 B et al., 2020). In the absence of pathogen adaptation (i.e., when there is only one pathogen genotype,

473 Experiment 1, Fig. 2) or fitness cost (Experiment 2, Fig. 3) this effect completely disappears.

474

#### The level of spatial aggregation of the landscape impacts interactions between cultivars

475 A high level of spatial aggregation between cultivars in the landscape (e.g. Fig. 2A) isolates 476 cultivars and the respective pathogen genotypes that infect them. In terms of epidemiological control 477 of a susceptible cultivar, it results in severe epidemics caused by the wt pathogen genotype (Figs. 2A, 478 3C). Conversely, in a fragmented landscape (weak level of aggregation, e.g. Fig. 2B), the increased 479 connectivity between different cultivars favours pathogen migration from one cultivar to another (Taylor PD et al., 1993). This reduces epidemics on the susceptible cultivar as a result of two different 480 481 mechanisms which our two first experiments help disentangle. First, there is a dilution effect (Mundt 482 CC, 2002; Rimbaud L et al., submitted), especially in the presence of a cultivar carrying a very strong 483 resistance expressed early in the cropping season. Indeed, in this situation, spread of the wt genotype 484 across susceptible fields is mitigated by the intervening presence of resistant hosts (Experiment 1, Fig. 485 **2B**). This is similar to non-host plants that act as propagule sinks and thus decrease epidemic spread 486 on susceptible plants (Suzuki SU & A Sasaki, 2011; Papaïx J et al., 2014b). Second, competition occurs 487 between different pathogen genotypes when the resistant cultivar has an intermediate to strong 488 efficiency and a delayed expression (as described previously). In this case, rb genotypes emerging in 489 resistant fields disperse to susceptible fields (Experiment 2, Fig. 3D). This leads to a reduction in the 490 damage caused to the susceptible cultivar (provided that rb genotypes suffer a fitness cost compared to the wt genotype) (Watkinson-Powell B et al., 2020). The side-effect of such a protective effect of 491 492 the susceptible cultivar by the resistant cultivar is a slightly reduced level of disease control on the 493 resistant cultivar when resistance is expressed late in the cropping season because it is more exposed 494 to wt pathogen genotypes emerging from susceptible fields. Indeed, wt genotypes are fitter than rb 495 genotypes on the resistant cultivar as long as resistance is inactive, due to the presence of fitness costs. 496 Spatial aggregation has been previously demonstrated to have an ambivalent effect on disease 497 management. In fact, earlier modelling work showed that fragmented landscapes better mitigate 498 epidemics on susceptible crops but are more prone to resistance breakdown, compared to aggregated 499 landscapes (Papaïx J et al., 2018; Rimbaud L et al., 2018a).

#### 500 Optimal efficiency and time to expression of APR genes depend on the target pathogenicity trait

501 A recent opinion published by Saubin M et al. (2022) states that life history traits targeted by 502 resistance influences its durability. In fact, in the present work, the size and location of the critical zone 503 in parameter space depends on the pathogenicity trait targeted by the APR gene. When sporulation 504 rate or duration of the sporulation period are targeted, the critical zone is shifted towards higher 505 resistance efficiencies and longer times to resistance expression compared to the situation where 506 infection rate is targeted (top right of Figs. 3CD, S3CD, S4CD). This shift occurs probably because 507 sporulation takes place later than infection in the pathogen infectious cycle. Therefore, more time is 508 required for the wt pathogen genotype to generate sporulating lesions in the resistant host population 509 before resistance expression (which will favour rb genotypes). APR genes targeting the latent period 510 duration seem very durable, but offer poor disease control in comparison to APR genes targeting other 511 traits (Fig. S2). This is because even when resistance is fully efficient (i.e., latent period is multiplied by 512 2), pathogen spread is still possible, which imposes soft selection pressure in favour of rb genotype but 513 provides weak protection against the wt pathogen. This conclusion contrasts with published literature 514 suggesting that latent period duration should be the most influent component of pathogen

aggressiveness because it determines the number of possible infection cycles on a crop (Parlevliet JE, 515 516 1979; Leonard KJ & CC Mundt, 1984; Sandoval-Islas JS et al., 2007). Nevertheless, sensitivity analyses of models simulating epidemics of wheat leaf rust (Kulkarni RN et al., 1982) and potato late blight (Van 517 518 Oijen M, 1992) have shown that latent period duration was equally or even less influential on disease 519 spread and severity than other pathogenicity traits. These contrasted results highlight the crucial 520 importance of the width of parameter variation ranges in numerical experiments. In our work, the 521 range of variation for resistance efficiency was based on available data for rust fungi. Analysis of the minimal and maximal possible values of the pathogenicity traits measured on different cultivars of 522 523 cereal crops (Table S1) showed that these traits may vary from about 0% to -100% (0% to +100% for 524 latent period duration) relative to the most susceptible cultivar (except sporulation duration, for which 525 there is little data). We thus allowed resistance efficiency to vary from 0 to 100% for all pathogenicity 526 traits.

#### 527 Major resistance genes and APR genes can be combined at landscape scale

528 The deployment of a single major resistance gene in a landscape results in rapid breakdown by 529 the corresponding rb1 pathogen and severe epidemics on both susceptible and resistant cultivars (the 530 bottom line of heatmaps in Fig. 4 shows the situation where the APR is absent, its efficiency being 0%). 531 Combining a major gene with an APR gene in the landscape generally does not prevent the major gene from being overcome, however it may have interesting synergies in terms of epidemiological control 532 533 depending on the deployment strategy (Fig. 4). As discussed earlier, one of the greatest benefits of 534 APR genes is the limitation of epidemics due to competition between pathogen genotypes. Therefore, 535 the presence of different sources of resistance in the landscape, should they be overcome, increases 536 the number of pathogen genotypes present and thus the number of competitors. Globally, this decreases epidemic damage on all cultivars (Mikaberidze A et al., 2015). 537

538 More specifically, when a cultivar carrying a major gene is planted in mixtures (i.e., in the same 539 field) with a cultivar carrying an APR gene, the first cultivar benefits from a dilution effect (since only 540 rb1 genotypes can infect it) conferred by the presence of the second one, which itself benefits from 541 strong competition between the wt, rb1 and rb2 genotypes. While to some extent this should also 542 occur in mosaics (i.e., different cultivars segregated in different fields), our results do not show such 543 synergies for the mosaic strategy. This is probably because of the model assumption that the pathogen 544 was initially present in all susceptible fields of the landscape, added to the fact that pathogen dispersal 545 is mostly at the intra-field scale in our parameterisation (Table 1). The impact of landscape 546 heterogeneity on epidemic spread via competition and dilution effects might be stronger for 547 pathogens with different life histories (Mundt CC, 2002). Here, the best epidemiological control is 548 obtained when crop cultivars are mixed at the finest spatial grain. Indeed, optimal disease control 549 requires that the spatial scale of disease management matches the scale of pathogen dispersal (Gilligan 550 CA, 2008). When the two resistant cultivars are rotated over time (rotation strategy), pathogen 551 genotypes are confronted by an alternation of hard selection towards the rb1 genotype (when the 552 cultivar carrying the major gene is cultivated) and hard or soft selection towards the rb2 genotype (when the cultivar carrying the APR gene is cultivated). If the APR is not too strong or has a delayed 553 554 expression, selection towards rb2 is soft, which allows competition between wt, rb1 and rb2 genotypes 555 and reduces epidemics. Otherwise, selection is strong and the genotype that performs best in the 556 system is the double mutant rb12 (generalist genotype able to infect all cultivars). However, this 557 genotype is penalised by severe fitness costs (Table 3), which reduces epidemic damage as well. This 558 is in line with a previous modelling study comparing mosaics, mixtures, rotation and pyramids of major 559 resistance genes: rotation had the best epidemiological outcome once all resistances had been

overcome (i.e., in the presence of rb genotypes) (Rimbaud L et al., 2018a). Finally, if the major gene 560 561 and the APR gene are pyramided in the same cultivar and the efficiency of the APR gene is strong enough, the delayed action of the APR gene triggers competition between the single mutant rb1, 562 563 selected for as long as the APR is inactive, and the double mutant rb12, selected for as soon as the APR 564 expresses. This competition reduces epidemic damage on the pyramid cultivar. However, the presence 565 of the APR gene does not prevent the major gene from being overcome, unless it is expressed very early in the cropping season. This is in agreement with previous modelling results: durability of a major 566 567 gene was greater when pyramided with a quantitative resistance (expressed from the beginning of the 568 cropping season), but only if the latter exhibited strong efficiency (Rimbaud L et al., 2018c).

#### 569 General conclusions, limits and perspectives

570 There are several nonexclusive arguments for why APR genes are thought to be more durable 571 than traditional major genes. Firstly, it could be inherent to the molecular mechanism of APR genes, 572 that may be more difficult for the pathogen to overcome than classical NLR proteins frequently encoded by major genes (Oliva R & IL Quibod, 2017; Mundt CC, 2018). As described in the Introduction, 573 574 the mechanisms of a few APR genes have been elucidated, such as Lr67, Lr34 and Yr36, which encode 575 for a sugar transporter (Moore JW et al., 2015), an ATP-binding cassette transporter (Krattinger SG et al., 2009), and a detoxification protein (Fu D et al., 2009), respectively. Secondly, it could result from 576 577 the fact that APR genes are rarely alone in a susceptible host genetic background but may be shielded 578 by major genes. Finally, it could be due to the smaller selection pressure applied by APR genes on 579 pathogens (since they allow some infection by wt pathogens by being only partially efficient and 580 delayed in the season) (Mundt CC, 2018).

581 In the absence of relevant quantitative data concerning the first hypothesis, our 582 parameterisation of the model gives the same mutation probability to overcome major genes and APR 583 genes. Hence, the present study explores the latter two hypotheses. The possibility for APR genes to 584 be shielded by major genes has been tested in Experiment 3 while the effect of selection pressure is 585 highlighted by the difference between Experiments 1 and 2. The mutation probability to overcome the 586 resistances was set at a high value, which could explain why, in our simulations, the combination of an 587 APR gene with a major gene in a pyramided cultivar did not affect the durability of the APR gene in 588 comparison to a cultivar that carried the APR gene only. Future work could investigate the potential of 589 such pyramids with a lower mutation probability. On the other hand, our work emphasizes how shifts 590 in selection pressure influence resistance durability. Indeed, APR genes were found to be very durable 591 when they have a small efficiency and late expression. It may explain why some APR genes like Yr18, 592 which has a small to moderate efficiency against stripe rust (Elahinia SA & JP Tewari, 2005; Qamar M 593 et al., 2012) have shown high durability in the field (Krattinger SG et al., 2009). The efficiency of other 594 APR genes like Lr12, Lr13, Lr22, Lr34, Lr35 and Lr37 have been measured between 80% and 90% against 595 leaf rust (Burdon JJ, 1987 p56; McIntosh RA et al., 1995; Smale M et al., 1998). With such high 596 efficiency, our simulations predicts that these genes could be quickly overcome. Nevertheless, 597 depending on the time to resistance expression and the target pathogenicity trait, even if these genes 598 were broken down, the resulting harsh competition between the different pathogen genotypes has 599 the potential to provide some disease limitation, especially when deployed together with major 600 resistance genes in mixture or rotation strategies. However, this conclusion strongly depends on the 601 presence of fitness costs of pathogen adaptation to resistance. Furthermore, our results must be 602 nuanced by the fact that we assumed that rb pathogens were penalised by a fitness cost on inactive 603 APR genes, exactly as if the associated cultivars were susceptible. Experiments could be carried out in 604 controlled conditions to test this hypothesis. We also assumed that APR genes switch suddenly from

605 being inactive to active, whereas some rare available data rather indicate a gradual expression of APR 606 genes (Ma H & RP Singh, 1996). Finally, while in our simulations, APR genes could target only one 607 pathogenicity trait at a time, in the real world pathogenicity traits often vary in association (Parlevliet JE, 1979; Sache I & C de Vallavieille-Pope, 1995; Leclerc M et al., 2019). For example, Lr16-Lr18 targets 608 latent period duration as well as sporulation rate and duration (Tomerlin JR et al., 1983) and Lr34-Yr18 609 affects both infection rate and latent period (Qamar M et al., 2012). Regardless, our study represents 610 a first attempt to numerically explore evolutionary and epidemiological outcomes of the deployment 611 of adult plant resistance for the management of plant diseases. 612

613 Acknowledgements

The authors thank Marta Zaffaroni and Jean-Loup Gaussen for their contribution to improve the R package *landsepi*, Loïc Houde for computing assistance and Jeremy Burdon for stimulating discussions.

617 Fundings

This work benefited from GRDC grant CSP00192, ANR project "ArchiV" (2019–2023, grant n°ANR-18-CE32-0004-01), AFB Ecophyto II-Leviers Territoriaux Project "Médée" (2020–2022), and the CSIRO/INRAE linkage program.

621 Conflict of interest disclosure

The authors declare they have no conflict of interest relating to the content of this article. BenoîtMoury is recommender for PCI Evol. Biol.

624 Data, script and code availability

The model is available in the open-access R package *landsepi* (Rimbaud L et al., 2018b; webpage: <u>https://csiro-inra.pages.biosp.inrae.fr/landsepi/</u>). Simulations were performed on the BioSP computational cluster from INRAE (<u>https://biosp-cluster.mathnum.inrae.fr/</u>). Simulation results are available in supplementary information.

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862	Supplementary information
863	Figure S1. Heatmaps of the optimal pathogenicity trait targeted by an APR gene.

- Figure S2. Heatmaps of the levels of evolutionary and epidemiological control, and average genotype
   frequencies in Experiment 2 when the target pathogenicity trait is the latent period duration.
- Figure S3. Heatmaps of the levels of evolutionary and epidemiological control, and average genotype
   frequencies in Experiment 2 when the target pathogenicity trait is the sporulation rate.

Figure S4. Heatmaps of the levels of evolutionary and epidemiological control, and average genotype
 frequencies in Experiment 2 when the target pathogenicity trait is the sporulation duration.

- Figure S5. Epidemiological outcome and dynamics of pathogen genotype frequencies in threeexamples of simulations.
- Figure S6. Example of simulated fragmented landscapes used in Experiment 3.
- Figure S7. Heatmaps of the levels of evolutionary and epidemiological control in Experiment 3 whenthe target pathogenicity trait is the latent period duration.
- Figure S8. Heatmaps of the levels of evolutionary and epidemiological control in Experiment 3 whenthe target pathogenicity trait is the sporulation rate.
- Figure S9. Heatmaps of the levels of evolutionary and epidemiological control in Experiment 3 whenthe target pathogenicity trait is the sporulation duration.
- 879 **Table S1.** Observed ranges of infection rate, latent period duration, sporulation rate and sporulation880 duration for rust fungi.
- 881 **Raw data**. Dataset of simulation results used in this study.



**Figure S1.** Heatmaps indicating the optimal pathogenicity trait targeted by an APR gene with respect to the level of epidemiological control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') on the resistant cultivar in the absence of pathogen evolution for different levels of resistance efficiency (vertical axis) and time to resistance expression (horizontal axis), for strong (top) or weak (bottom) levels of spatial aggregation.



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Figure S2. Heatmaps of the levels of evolutionary control (resistance durability as measured by the 889 890 number of years before the emergence of the resistance-breaking ('rb') pathogen genotype, panels A 891 and B), epidemiological control (i.e. disease limitation, measured by the Green Leaf Area ('GLA') on the 892 susceptible ('S') and the resistant ('R') cultivars, panels C and D) and average frequency of the rb pathogen (panels E and F) for different levels of resistance efficiency (vertical axis), time to resistance 893 894 expression (horizontal axis) and fitness cost of pathogen adaptation (columns), for strong (panels A, C, E) or weak (B, D, F) levels of spatial aggregation. The target pathogenicity trait is the latent period 895 896 duration.



Figure S3. Heatmaps of the levels of evolutionary control (resistance durability as measured by the number of years before the emergence of the resistance-breaking ('rb') pathogen genotype, panels A and B), epidemiological control (i.e. disease limitation, measured by the Green Leaf Area ('GLA') on the susceptible ('S') and the resistant ('R') cultivars, panels C and D) and average frequency of the rb pathogen (panels E and F) for different levels of resistance efficiency (vertical axis), time to resistance expression (horizontal axis) and fitness cost of pathogen adaptation (columns), for strong (panels A, C, E) or weak (B, D, F) levels of spatial aggregation. The target pathogenicity trait is the sporulation rate.



906 Figure S4. Heatmaps of the levels of evolutionary control (resistance durability as measured by the 907 number of years before the emergence of the resistance-breaking ('rb') pathogen genotype, panels A 908 and B), epidemiological control (i.e. disease limitation, measured by the Green Leaf Area ('GLA') on the 909 susceptible ('S') and the resistant ('R') cultivars, panels C and D) and average frequency of the rb 910 pathogen (panels E and F) for different levels of resistance efficiency (vertical axis), time to resistance 911 expression (horizontal axis) and fitness cost of pathogen adaptation (columns), for strong (panels A, C, E) or weak (B, D, F) levels of spatial aggregation. The target pathogenicity trait is the sporulation 912 913 duration.



Figure S5. Epidemiological outcome (represented by the relative Green Leaf Area, top line) and dynamics of pathogen genotype frequencies (bottom line, 'wt' refers
 to the wild-type and 'rb' to the resistance-breaking pathogen genotype) in three examples of simulations where a single APR is deployed in a susceptible landscape
 with low level of spatial aggregation. Situations 1, 2 and 3 are pointed in Figure 3. The pathogenicity trait targeted by resistance is the infection rate and the fitness
 cost of adaptation is θ=0.50.



- Figure S6. Example of simulated fragmented landscapes used in Experiment 3 (APR + MG). For all
   deployment strategies, 1/3 of the landscape was composed of the susceptible cultivar. The remaining
   a (2)
- 922 2/3 were occupied either by: A) a single cultivar carrying the two genes (pyramid strategy); B) a mixture
- 923 (in every field) of two resistant cultivars in balanced proportions (each cultivar carrying one of the two
- genes); C) a rotation of these two resistant cultivars (every year); or D) a mosaic of the two resistant
- 925 cultivars in balanced proportions (every cultivar representing 1/3 of the landscape area).



927 Figure S7. Heatmaps showing the levels of A) evolutionary control (resistance durability, measured by 928 the number of years before the emergence of resistance-breaking genotypes) and B) epidemiological control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') on a susceptible cultivar 'S', 929 a resistant cultivar 'R1' carrying a completely efficient major gene ('MG') and a resistant cultivar 'R2' 930 carrying an APR gene, for different levels of APR efficiency (vertical axis), time to APR expression 931 (horizontal axis) and deployment strategies (columns; note that for pyramiding, R1 and R2 refer to the 932 same cultivar). The target pathogenicity trait of the APR gene is the latent period duration, the level of 933 934 spatial aggregation is low, and the fitness cost is 0.50.



Figure S8. Heatmaps showing the levels of A) evolutionary control (resistance durability, measured by 936 937 the number of years before the emergence of resistance-breaking genotypes) and B) epidemiological 938 control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') on a susceptible cultivar 'S', a resistant cultivar 'R1' carrying a completely efficient major gene ('MG') and a resistant cultivar 'R2' 939 940 carrying an APR gene, for different levels of APR efficiency (vertical axis), time to APR expression 941 (horizontal axis) and deployment strategies (columns; note that for pyramiding, R1 and R2 refer to the same cultivar). The target pathogenicity trait of the APR gene is the sporulation rate, the level of spatial 942 943 aggregation is low, and the fitness cost is 0.50.



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Figure S9. Heatmaps showing the levels of A) evolutionary control (resistance durability, measured by 945 946 the number of years before the emergence of resistance-breaking genotypes) and B) epidemiological 947 control (i.e., disease limitation, measured by the Green Leaf Area, 'GLA') on a susceptible cultivar 'S', 948 a resistant cultivar 'R1' carrying a completely efficient major gene ('MG') and a resistant cultivar 'R2' 949 carrying an APR gene, for different levels of APR efficiency (vertical axis), time to APR expression 950 (horizontal axis) and deployment strategies (columns; note that for pyramiding, R1 and R2 refer to the 951 same cultivar). The target pathogenicity trait of the APR gene is the sporulation duration, the level of 952 spatial aggregation is low, and the fitness cost is 0.50.

**Table S1.** Observed ranges of infection rate, latent period duration, sporulation rate and sporulation duration for rust fungi (genus *Puccinia*) measured in different cultivars of wheat and barley. For a given study, different lines refer to different trials carried out in different conditions (time, temperature, pathogen genotype, leaf stage). Footnotes indicate when the measured variable is not exactly the same as the one used in the *landsepi* model (and of which a definition is given in the first line of the table).

Pathogen	Host	Nb of host genotypes	Nb of pathogen genotypes	(Prop. of in	Infection rate oculated spor in a lesion)	e res resulting	(Nb days of 50%	Latent pe from inocu of sporula	<b>riod</b> lation to onset ting lesions)	(Nb	Sporulation spores/les	<b>n rate</b> sion/day)	<b>Sp</b> o (Nb da period	Sporulation duration (Nb days from end of late period to end of sporulati		Reference <sup>a</sup>
			•	Max	Min	Effect size	Min	Max	Effect size	Max	Min	Effect size	Max	Min	Effect size	
P. hordei	Barley	6	1			-60% <sup>b</sup>			95%			-50%			-30%	Parlevliet JE, 1979, table 4
P striiformis	Wheat	10	1	5,85 <sup>b</sup>	0,33 <sup>b</sup>	-94%										Broers I HM et al. 1996 Table 6
1.30110011113	wheat	10	1	8,63 <sup>b</sup>	0,67 <sup>b</sup>	-92%										
				13,20 <sup>b</sup>	3,30 <sup>b</sup>	-75%	10,3	21,0	104%							
P. striiformis	Wheat	22	1	13,60 <sup>b</sup>	4,70 <sup>b</sup>	-65%	8,5	14,4	69%							Qamar M et al., 2012, Tables 1 & 2
				17,00 <sup>b</sup>	11,90 <sup>b</sup>	-30%	8,2	11,9	45%							
				36,70 <sup>b</sup>	8,20 <sup>b</sup>	-78%	9,3	18,7	101%							
P. triticina	Wheat	22	1	45,00 <sup>b</sup>	11,30 <sup>b</sup>	-75%	8,9	12,7	43%							Qamar M et al., 2012, Tables 3 & 4
				46,70 <sup>b</sup>	26,30 <sup>b</sup>	-44%	8,2	10,0	22%							
P. triticina	Wheat	16	2	0,1303	0,0002	-100%	198,2 <sup>d</sup>	296,1 <sup>d</sup>	49%							Denissen CJM, 1993, Table 4
P. striiformis	Barley	11	1	17,50 °	1,20 b	-93%	12,1	14,9	23%	0,79 <sup>n</sup>	0,29 <sup>n</sup>	-63%				Richardson KL et al., 2006, Fig 3
			1	0,849	0,143	-83%	156,4 <sup>e</sup>	214,0 <sup>e</sup>	37%	15,83 '	5,12 '	-68%				
P. triticina	Wheat	8	1	0,696	0,101	-85%	153,9 °	210,9 °	37%	17,73	5,13	-71%				Azzimonti G et al., 2013, Table 3
		_	1	0,830	0,281	-66%	157,0 °	193,2 °	23%	20,85	7,72 '	-63%				
P. striiformis	Wheat	5	1	4,10 <sup>5</sup>	2,10 <sup>b</sup>	-49%	12,6 '	13,9 '	10%	0 54 h	o or h	540/				Cromey MG, 1992, Table 1 & 2
		/	1	3,50 °	0,60 °	-83%	12,2 '	16,5 '	35%	0,51 "	0,25 "	-51%				
	Wheat		1	0,684 °	0,631 °	-8%	12,03 '	13,20 <sup>+</sup>	10%							
P. striiformis		3		0,597°	0,565 °	-5%	14,11 ·	17,18 ·	22%							Elahinia SA & JP Tewari, 2005, Tables 1 & 2
			1	0,673	0,623 °	-1%	12,40 ·	13,51 ·	9%							
				0,593 °	0,558°	-0%	14,27 ·	17,40°	22%	205 i	100 i	25%				
D triticing	Wheat	1	2	18 °	15 °	-28%	147,7 *	150,5 -	0%	305 ·	198	-35%	-			Pariaud Platal 2000 Table F
F. unucina			5	80 p	22 b	-17%				33	47	-4578				Falladd B et al., 2009, Table 5
				5.80 b	3.60 b	-39%				0.44 i	0 17 <sup>i</sup>	-61%				
				5,00 b	1.60 b	-72%				0.82 i	0.38 i	-54%				-
				5,70 b	0.40 b	-92%				0.91 i	0.20 i	-78%	ł – –			-
				1.36 <sup>b</sup>	0,40 0.18 <sup>b</sup>	-87%				1.70 <sup>i</sup>	0,20	-56%				
P araminis	Wheat	7	3	1 57 <sup>b</sup>	0,10	-96%				1.87 <sup>i</sup>	0.16 <sup>1</sup>	-91%				Mortensen K & GJ Green, 1978, Tables 3, 4, 5,
r : grannis	Wheat		5	1,18 <sup>b</sup>	0,01 b	-99%				2.62	0.08 i	-97%				6, 7
				3.18 b	0.13 b	-96%				_/	-,					
				2.65 <sup>b</sup>	0.06 <sup>b</sup>	-98%										
				2,12 <sup>b</sup>	0,01 <sup>b</sup>	-100%										
				23,10 <sup>b</sup>	0,01 <sup>b</sup>	-100%	8,6	9,5	10%							
P. striiformis	Barley	16	1	14,00 <sup>b</sup>	0,00 <sup>b</sup>	-100%	15,7	24,4	55%							Sandoval-Islas JS et al., 2007, Tables 6, 7, 8
,	Survey	10	-				16,4	26,0	59%							
				23,00 <sup>b</sup>	0,00 <sup>b</sup>	-100%	10,7	13,5	26%	60 <sup>h</sup>	15 <sup>h</sup>	-75%				
D stallformal	14/b c = t	10		14,60 <sup>b</sup>	0,10 <sup>b</sup>	-99%	13,7	16,8	23%	93 <sup>h</sup>	26 <sup>h</sup>	-72%				
P. striiformis	wneat	10	1				13,5	19,1	41%	97 <sup>h</sup>	13 <sup>h</sup>	-87%				Broers LHIVI, 1997, Tables 1, 3, 4
							12,6	19,6	56%	138 <sup>h</sup>	18 <sup>h</sup>	-87%				]
D striiformis	Wheat	12	1				11,4 <sup>f</sup>	13,8 <sup>f</sup>	21%							Park PE & PC Page 1080 Table E
F. SUIIJUIIIIS	wheat	12	T				11,2 <sup>f</sup>	14,5 <sup>f</sup>	29%							

					11,8 <sup>f</sup>	14,5 <sup>f</sup>	23%								
					12,5 <sup>f</sup>	18,2 <sup>f</sup>	46%								
D striiformis	Wheat	3	1		12,5 <sup>f</sup>	17,8 <sup>f</sup>	42%							Quan Watal 2012 Table 2	
P. Strijorins	wheat				13,2 <sup>f</sup>	19,2 <sup>f</sup>	45%							Qualitive et al., 2015, Table 5	
		5	1		12,5 <sup>f</sup>	18,8 <sup>f</sup>	50%								
D striiformis	Wheat	11	1		319,2 <sup>g</sup>	460,8 <sup>g</sup>	44%	64,86 <sup>h</sup>	19,45 <sup>h</sup>	-70%				Seronson CK at al. 2014 Eig 2	
P. Strijoriiis	wheat	11	1		319,2 <sup>g</sup>	465,6 <sup>g</sup>	46%	71,35 <sup>h</sup>	10,81 <sup>h</sup>	-85%				Sørensen ek et al., 2014, fig 2	
P striiformis	W/boot	11	11 1		12,5 <sup>f</sup>	20,1 <sup>f</sup>	61%							Ma H & PP Singh 1996 Table 2	
F. Strijorinis	wheat	11		1	-		12,6 <sup>f</sup>	17,5 <sup>f</sup>	39%						
					8,3	8,8	6%	600,22 <sup>j</sup>	214,51 <sup>j</sup>	-64%	17,8	14,2	-20%		
P. recondita	Wheat	3	1		10,1	11,6	15%	83,69 <sup>j</sup>	19,91 <sup>j</sup>	-76%	37,3	33,9	-9%	Tomerlin JR et al., 1983, Table 1 & 2	
					8,3	9,1	10%	160,78 <sup>j</sup>	48,85 <sup>j</sup>	-70%	21,4	18,3	-14%		
P. triticina	Wheat	4	1		149 <sup>d</sup>	177 <sup>d</sup>	19%							Rimé D et al., 2005, Fig 1	
P. triticina	Wheat	5	7		7,75	12,60	63%							Lehman JS & G Shaner, 1998, Fig 1	

<sup>a</sup> in black a review of the literature, in green and blue experiments carried out in field and greenhouse conditions, respectively.

<sup>b</sup> lesion density (nb lesions/surf. unit).

<sup>c</sup> germination rate (prop. germinated spores).

<sup>d</sup> latent period measured in hours.

<sup>e</sup> latent period measured in degree-days.

<sup>f</sup> latent period measured with the nb. of days until first sporulating lesions.

g latent period measured with the nb. of hours until first sporulating lesions.

<sup>h</sup> lesion size (surface or distance unit).

<sup>i</sup> total sporulation per lesion (mass unit of spores/lesion).

<sup>j</sup> computed from total sporulation per lesion / sporulation duration (nb spores/lesion/day).