



HAL
open science

Editorial: Physiology and Physiopathology of Breath-Holding Activity

Frédéric Lemaître, François Billaut, Fabrice Joulia

► **To cite this version:**

Frédéric Lemaître, François Billaut, Fabrice Joulia. Editorial: Physiology and Physiopathology of Breath-Holding Activity. *Frontiers in Physiology*, 2022, 13, pp.1-3. 10.3389/fphys.2022.858371 . hal-03782559

HAL Id: hal-03782559

<https://hal.inrae.fr/hal-03782559>

Submitted on 21 Sep 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution 4.0 International License



Editorial: Physiology and Physiopathology of Breath-Holding Activity

Frédéric Lemaître^{1,2*}, François Billaut³ and Fabrice Joulia^{4,5}

¹ CETAPS EA 3832, Faculty of Sports Sciences, University of Rouen, Rouen, France, ² CRIOBE UAR 3278, CNRS-EPHE-UPVD, Papeete, French Polynesia, ³ Department of Kinesiology, Laval University, Quebec, QC, Canada, ⁴ C2VN, INRAE 1260, INSERM 1263, Aix Marseille Université, Marseille, France, ⁵ UFRSTAPS, Université de Toulon, Toulon, France

Keywords: hypoxia, cardiovascular, pulmonary, training, sport

Editorial on Research Topic

Editorial: Physiology and Physiopathology of Breath-Holding Activity

Research into voluntary apnea is becoming increasingly popular in varied laboratories and in the field around the world. In the last 10 years, as many articles have been published than between 1954 and 2011. Breath-hold diving is truly an antique practice; for example, Alexandre Legrand employed professional breath hold divers called “Urinator” in his army, and Japanese Ama and Philippine Bajau people have been known to dive for hundreds of years to gather food. More recently, apnea diving became a high-level competitive sport with impressive performances (e.g., world record for static apnea of 11 mins 35 s and for depth No-Limit diving –214 m), but although constant progress is made, human performance is still far from the prowess of marine mammals (e.g., *Ziphius cavirostris*, apnea duration: 137 mins and 2,992 m depths). Despite major anatomical and physiological differences between humans and marine mammals, humans present with an interesting physiological response to apnea to limit the effects of hypoxia and/or pressure increase, in which the main physiological functions such as pulmonary, cardiovascular, and nervous functions can be modulated acutely and chronically. The Research Topic focuses on these varied facets but also their trainability and their consequences on health in relation to some hypoxia-related pathologies such as sleep apnea syndrome, pulmonary edema and decompression sickness.

THE RESEARCH TOPIC ARTICLES

Freedivers (Tetzlaff et al.) and spearfishermen (Diniz et al., 2014) present an increase in total lung capacity and a decrease in residual volume because of their specific ventilatory training. This allows them to increase their performance in duration and depth, pushing back the physiological breaking point and favouring the equalization (McCulloch et al.). Apnea training is therefore a relevant hypoxia training for several physical activities since it induces hypoxia and hypercapnia despite a low intensity of exercise (Joulia et al., 2003; Lemaître et al., 2009; Guimard et al.; Pla et al.) Grossman et al. reported how swimmers should better manage their ventilation per arm cycle

OPEN ACCESS

Edited and reviewed by:

Richard D. Boyle,
National Aeronautics and Space
Administration (NASA), United States

*Correspondence:

Frédéric Lemaître
frederic.lemaitre@univ-rouen.fr

Specialty section:

This article was submitted to
Environmental, Aviation and Space
Physiology,
a section of the journal
Frontiers in Physiology

Received: 19 January 2022

Accepted: 21 January 2022

Published: 17 February 2022

Citation:

Lemaître F, Billaut F and Joulia F
(2022) Editorial: Physiology and
Physiopathology of Breath-Holding
Activity. *Front. Physiol.* 13:858371.
doi: 10.3389/fphys.2022.858371

during aerobic events to avoid consuming too much oxygen (Grossman et al.). Moreover, Guimard et al. demonstrated that performing dynamic apnea at 30% of maximal aerobic power is the best compromise between exercise intensity and apnea duration to induce a sufficient diving reflex in non-apnea trained participants. In fact, as long as the exercise intensity is mild, the diving reflex can overwhelm the muscle metaboreflex (Di Giacomo et al.). Dynamic apnea can therefore be used in other physical activities to induce hypercapnia, hypoxia and increased lactatemia even during low intensity efforts (Guimard et al.). During static and dynamic apneas, the dive reflex decreases oxygen consumption through bradycardia and peripheral vasoconstriction, and preserves oxygen-dependent organs through increased cerebral and cardiac perfusion (Joulia et al., 2009; Elia et al., 2021). The diving reflex is accentuated with face immersion, but there is no significant difference when apnea was performed only with the face immersed (dry condition) or the total body immersed (Nordine et al.). Those adaptive responses have been described in both spearfishermen and freedivers, and are correlated with a high adenosine release which exerts powerful bradycardia and vasodilation in coronary and cerebral blood vessels (Marlinge et al.). Moreover, an increase in serum heat shock protein levels in response to higher nitric oxide levels could improve cardiovascular adaptation to hypoxia in elite freedivers (Solich-Talanda et al.). Despite a low production of free radicals observed in freedivers during exercise and dynamic apnea (Joulia et al., 2002), it seems that there are no genetic predispositions to limit the oxidative stress in freedivers (Cialoni et al.). Joulia et al. (2002) had previously shown that the blood lactate concentrations recorded during dynamic apneas were lower in freedivers compared to non-divers despite the same exercise intensity. In addition, it has been shown that this increase in lactate concentration are accentuated when apneas were performed with face immersion (Bouten et al.). Despite diving reflex allows the freedivers to be more economical with oxygen, they become hypoxic depending on the duration of apnea and the intensity of exercise performed during dynamic apneas. These hypoxias can induce arrhythmias in both humans and marine mammals (Kjeld et al.; Costalat et al., 2021). Even if these cardiac rhythm disorders are reversed after the end of apnea their long-term effects remained unknown. Repetitive dives in apnea are not without risk since they can contribute to an increase in nitrogen dissolution in the tissues and then favouring decompression sickness (Kohshi et al.). Interestingly, for freedivers, decompression illness is poorly understood and unlike in scuba diving, it is only cerebral in nature (Kohshi et al.). This suggests different mechanisms may occur within the spinal cord or skin (Edge and Wilmshurst, 2021) or in distal arteries (Arieli, 2021). It is also possible that a pulmonary shunt is created artificially due to the movement of blood during diving which increases the pulmonary artery pressure (Kohshi et al.). Both deep and repetitive breath-hold diving can lead to endothelial dysfunction (Eichhorn et al., 2017) that may play

an important role in the genesis of neurological decompression sickness (Barak et al., 2020). The freediver “narcosis” has also recently been hypothesized but is still very poorly understood today (Tetzlaff et al.). The mechanisms put forward appear to favor a mechanical hypothesis via increases in cerebral blood flow and disturbances in cerebral autoregulation as described in elite freedivers (Moir et al., 2019). Depth induces haemoptysis in freedivers (Barković et al., 2021) and increases the extravascular lung water (Boussuges et al., 2011). Some freedivers present a genetic predisposition to develop haemoptysis during breath hold diving (Cialoni et al., 2015). Haemoptysis affects up to 25% of freedivers during championships, and in addition to its physiopathology of barotraumatic origin, this phenomenon may reduce oxygenation and increase the risk of syncope. Its characterization and prevention are therefore essential for the safety of freedivers. Beyond all these concepts, the use of apnea as a physiological model also appears relevant in the context of other pathologies such as, for example, sleep apnoea (Taylor et al.). Taylor et al. tested the hypothesis that individuals with documented obstructive sleep apnea (OSA) exhibit greater muscle sympathetic nerve discharge and synchronous blood oxygen level-dependent signal responses during volitional simulation of central or OSA by Mueller Maneuvers (MM) and apneas. The hemodynamic and neural responses to both apneas and MMs were in fact essentially identical in participants with and without OSA, indicating that recurring episodes of cyclical apnea during sleep does not alter cortical or peripheral neural responsiveness.

CONCLUSIONS

Past research on apnea as well as the recent advances published in the current Research Topic really highlight breath-holding as an excellent model for studying the interactions between key physiological functions. It allows understanding how our body responds and adjusts to different chemical (*via* chemoreceptors) and mechanical (*via* the baroreflex) stimuli to maintain its homeostasis. Breath-holding is also an excellent stimulus to generate natural hypoxia. This poikilocapnic hypoxia may be incorporated into training periodization to prepare the organism for the lack of oxygen during a trip to altitude or simply to improve performance at sea level. Apnea may also be considered as preconditioning stimulus to better withstand health-damaging hypoxic situations, for example during a heart attack or stroke. Future studies are warranted in this area to refine the exercise characteristics and hypoxic dose of such apneic training for achieving the desired physiological outcome.

AUTHOR CONTRIBUTIONS

FL, FB, and FJ wrote together the editorial. All authors contributed to the article and approved the submitted version.

REFERENCES

- Arieli, R. (2021). Diving-related disorders in breath-hold divers could be explained with the distal arterial bubble hypothesis. *Diving Hyperb. Med.* 51, 382–383. doi: 10.28920/dhm51.4.382-383
- Barak, O. F., Janjic, N., Drvis, I., Mijacika, T., Mudnic, I., Coombs, G. B., et al. (2020). Vascular dysfunction following breath-hold diving. *Can. J. Physiol. Pharmacol.* 98, 124–130. doi: 10.1139/cjpp-2019-0341
- Barković, I., Maričić, V., Reinić, B., Marinelli, F., and Wensveen, T. T. (2021). Haemoptysis in breath-hold divers; where does it come from? *Diving Hyperb. Med.* 51, 299–302. doi: 10.28920/dhm51.3.299-302
- Boussuges, A., Coulange, M., Bessereau, J., Gargne, O., Ayme, K., Gavarry, O., et al. (2011). Ultrasound lung comets induced by repeated breath-hold diving, a study in underwater fishermen. *Scand. J. Med. Sci. Sports.* 21, e384–e392. doi: 10.1111/j.1600-0838.2011.01319.x
- Cialoni, D., Marabotti, C., Sponsiello, N., Pieri, M., Balestra, C., Lucchini, V., et al. (2015). Genetic predisposition to breath-hold diving-induced hemoptysis: preliminary study. *Undersea Hyperb. Med.* 42, 75–83.
- Costalat, G., Godin, B., Balmain, B. N., Moreau, C., Brotherton, E., Billaut, F., et al. (2021). Autonomic regulation of the heart and arrhythmogenesis in trained breath-hold divers. *Eur. J. Sport Sci.* 21, 439–449. doi: 10.1080/17461391.2020.1749313
- Diniz, C. M., Farias, T. L., Pereira, M. C., Pires, C. B., Gonçalves, L. S., Coertjens, P. C., et al. (2014). Chronic adaptations of lung function in breath-hold diving fishermen. *Int. J. Occup. Med. Environ. Health.* 27, 216–223. doi: 10.2478/s13382-014-0259-7
- Edge, C. J., and Wilmshurst, P. T. (2021). The pathophysiology of diving diseases. *BJA Educ.* 21, 343–348. doi: 10.1016/j.bjae.2021.05.003
- Eichhorn, L., Dolscheid-Pommerich, R., Erdfelder, F., Ayub, M. A., Schmitz, T., Werner, N., et al. (2017). Sustained apnea induces endothelial activation. *Clin. Cardiol.* 40, 704–709. doi: 10.1002/clc.22720
- Elia, A., Gennser, M., Harlow, P. S., and Lees, M. J. (2021). Physiology, pathophysiology and (mal)adaptations to chronic apnoeic training: a state-of-the-art review. *Eur. J. Appl. Physiol.* 121, 1543–1566. doi: 10.1007/s00421-021-04664-x
- Joulia, F., Lemaître, F., Fontanari, P., Mille, M. L., and Barthelemy, P. (2009). Circulatory effects of apnoea in elite breath-hold divers. *Acta Physiol. (Oxf.)* 197, 75–82. doi: 10.1111/j.1748-1716.2009.01982.x
- Joulia, F., Steinberg, J. G., Faucher, M., Jamin, T., Ulmer, C., Kipson, N., et al. (2003). Breath-hold training of humans reduces oxidative stress and blood acidosis after static and dynamic apnea. *Respir. Physiol. Neurobiol.* 137, 19–27. doi: 10.1016/S1569-9048(03)00110-1
- Joulia, F., Steinberg, J. G., Wolff, F., Gavarry, O., and Jammes, Y. (2002). Reduced oxidative stress and blood lactic acidosis in trained breath-hold human divers. *Respir. Physiol. Neurobiol.* 133, 121–130. doi: 10.1016/S1569-9048(02)00133-7
- Lemaître, F., Seifert, L., Polin, D., Juge, J., Tourny-Chollet, C., and Chollet, D. (2009). Apnea training effects on swimming coordination. *J. Strength Cond. Res.* 23, 1909–1914. doi: 10.1519/JSC.0b013e3181b073a8
- Moir, M. E., Klassen, S. A., Al-Khazraji, B. K., Woehle, E., Smith, S. O., Matuszewski, B. J., et al. (2019). Impaired dynamic cerebral autoregulation in trained breath-hold divers. *J. Appl. Physiol.* 126, 1694–1700. doi: 10.1152/jappphysiol.00210.2019

Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's Note: All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Copyright © 2022 Lemaître, Billaut and Joulia. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.