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Laure Moiroux-Arvis, Christophe Cariou, Jean-Pierre Chanet. Evaluation of LoRa technology in 433-MHz and 868-MHz for underground to above ground data transmission. Computers and Electronics in Agriculture, 2022, 194, pp.106770. 10.1016/j.compag.2022.106770. hal-03790419

HAL Id: hal-03790419 https://hal.inrae.fr/hal-03790419v1

Submitted on 22 Jul 2024

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$_{\scriptscriptstyle 1}$ Evaluation of LoRa technology in 433-MHz and 868-MHz

² for underground to aboveground data transmission

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Abstract

The development of Wireless Underground Sensor Networks (WUSNs) is currently recei-8 ving significant attention to collect data underground all along the year without impacting aboveground activities. Although the opportunities are promising for sectors as agriculture 10 and environment monitoring, the task is particularly challenging as the radio waves are 11 significantly more attenuated in the soil in comparison with in the air. In addition, the 12 communication ranges are highly impacted by some operating and environmental conditions 13 as the soil moisture, its composition and compaction as well as the burial depth of the nodes. 14 In this paper, we developed two sets of nodes operating at 433 MHz and 868 MHz based 15 on the LoRa technology which is the physical layer of the Low Power Wide Area Network 16 LoRaWAN and initially developed for aboveground IoT applications. We successively tested 17 these nodes in real conditions on underground to above ground (UG2AG) data transmissions 18 and with various operating conditions and radio parameters. First results highlighted the 19 interest of the 868 MHz radio modules tuned at the maximal allowed transmit power in 20 Europe (+14 dBm/25 mW), in comparison with the 433 MHz radio modules (+10 dBm/10 m)21 mW). Next results enabled to point out the importance of the inclination of the receiving 22 antenna but also the impact of the burial depth of the emitting node, as well as the interest to 23 place the emitting antenna directly in contact with the soil. The best configuration enabled 24 to reach UG2AG ranges of more than 275 meters long with low depth buried nodes (15 to 25 30 cm), that clearly enables to envision agriculture and environment monitoring applications 26 based on such radio modules. 27

Keywords: Wireless Underground Sensor Networks, IoUT, LoRa technology, environmental
 monitoring, precision agriculture.

30

31 1 Introduction

In the coming years, Wireless Underground Sensor Networks (WUSNs) are expected to play 32 a major role in the environment monitoring and real time decision making (Sardar et al., 2019, 33 Sambo et al., 2020). The applications range from smart irrigation and precision farming to 34 minimize water losses and optimize the use of agricultural resources (Silva and Vuran, 2010) 35 to the detection of pesticide residues in soil near rivers (Akyildiz and Stuntebeck, 2006) and 36 early population warning against risks of landslides (Ferreira et al, 2019). Other potential 37 applications are underground infrastructure monitoring (e.g. pipes, storage tanks), sport field 38 monitoring and detection of people or vehicles aboveground (Zaman and Forster, 2018). These 39 applications are based on the development of nodes composed of sensors, radio communication 40 devices and antennas, all buried at a few dozens of centimeters deep to collect data directly 41

from the underground environment (e.g. moisture, temperature, salinity, vibrations) without impacting the aboveground activities and all along the year. The interest to conceal the nodes underground is also to protect them from potential damages caused by humans, animals and machines (Huang et al., 2020). The data collected in the field are next transferred to the cloud, leading to the new paradigm of Internet of Underground Things (IoUT) (Saeed and al., 2019, Salam and Raza, 2020).

As depicted on Figure 1, three communication links, called underground to underground 48 (UG2UG), underground to above ground (UG2AG) and above ground to underground (AG2UG). 49 are possible in a wireless underground sensor network (Silva et al., 2014). The establishment of 50 the communication between the different nodes is however challenging as the radio electroma-51 gnetic waves are significantly more attenuated in the soil in comparison with in the air (20-300 52 times worse) (Da Silva et al., 2014). In particular, the UG2UG link is difficult to obtain, the 53 communication ranges reported in the literature being generally lower than a few meters. More-54 over, compared with in the air, many environmental factors affect the inter-node communication 55 distance in a WUSN, especially the Volumetric Water Content (VWC) or soil moisture which 56 drastically attenuates the propagation of the radio signals underground (Bogena et al., 2009). In 57 UG2UG, the strength of the received signals can decrease of several dozens of decibel-milliwatts 58 on wet soil compared to a dry soil (Vuran and Silva, 2009). The composition of the soil, i.e. the 59 percentage of sand, silt and clay (Foth, 1990), impacts also indirectly the inter-node connectivity 60 with greater or lesser water holding capacity. The topology of the terrain is also an important 61 point to be considered to adequately place the nodes in the field, as well as the burial depth (i.e. 62 the distance between the antenna and the surface) which impacts the strength of the received 63 signal for the UG2AG/AG2UG links (Sambo et al., 2020), but also for the UG2UG links which 64 are affected by the reflection of the radio waves by ground surface (Vuran and Silva, 2010). The 65 roots of plants and trees as well as the stage of vegetation aboveground can also impact the 66 UG2AG/AG2UG communications (Vuran and Silva, 2009). Several work aim to characterize, 67 modelize and simulate the propagation of radio waves in soil face to such varying environmental 68 conditions (Vuran and Akvildiz, 2010, Silva et al., 2015).



Figure 1: Communication links in WUSN and main inluencing factors

The choice of the operating frequency for the radio transceivers is also essential as the attenuation in soil increases with the frequency value. Several studies highlighted however that the 300-900 MHz frequency band is particularly relevant for WUSNs as it leads to higher communication ranges compared for example with the 2.4 GHz (Silva et al., 2015) and it enables to use reasonable sizes of antennas (a quarter of the signal wavelength). The antennas can be directly in contact with the soil or inside a container, and of different types (e.g. monopoles or dipoles antennas) (Tiusanen, 2009, Salam et al., 2019). The maximum transmit power is limited
by the regulations in the country where the WUSNs are deployed. A compromise has also to be
found between the transmit power and energy consumption of the buried nodes to obtain run
times ideally for several months or years without battery replacement.

In Europe, the 433.05-434.79 MHz and 863-870 MHz are licence free bands particularly rele-80 vant to develop WUSNs. They are already used for IoT applications by the Low Power Wide 81 Area Networks (LPWANs), as SigFox, NB-IoT and LoRaWAN, for sending small data packages 82 over long distances with low energy consumption on battery powered nodes. With its open pro-83 tocol, the interest of LoRaWAN (LoRa Alliance, 2018) is its physical layer, the LoRa technology, 84 developed in 2014 by the French start-up company Cycleo and today managed by Semtech. This 85 technology is based on a Chirp Spread Spectrum (CSS) modulation technique, which encodes 86 information using frequency chirps having a linear variation of frequency over time (Augustin 87 et al., 2016). In the air, this modulation leads to a certain immunity against interferences and 88 multi-paths (Staniec and Kowal, 2018). Several LoRa radio modules are today off the shelf 89 at 433 MHz and 868MHz. In the regard of European regulations, 433 MHz transmissions are 90 allowed at +10 dBm/10 mW whereas 868 MHz transmissions are allowed at +14 dBm/25 mW91 for the specific 868.0-868.6 MHz frequency band. In addition to the operating frequency and 92 transmit power, several parameters can be configured on the LoRa radio modules, in particular 93 the spreading factor (SF), the coding rate (CR) and the bandwith (BW), see (Augustin et al., 94 2016). A compromise has to be found between these parameters: high SF values lead to high 95 sensitivity and long communication range to the detriment of airtime and thus energy consump-96 tion. High CR values lead to increase the robustness of transmission to the detriment of the 97 airtime and thus also energy consumption. High BW values lead to high data rate and short 98 airtime, but low sensitivity (Zorbas et al., 2018). 99

In the literature, still very few work have investigated the performance of LoRa communica-100 tion for WUSNs in real conditions. In (Hardie and Hoyle., 2019), LoRa nodes operating at 433 101 MHz are buried in the soil from 15 to 30 cm deep with different combinations of LoRa para-102 meters. The obtained transmission distances UG2AG with a transmit power of +25 dBm in SF 103 12 in relatively dry soil were about 100-200 m, depending on the chosen configuration and soil 104 composition. This work highlighted the difficulty to reach more than a few meters in UG2UG 105 that leads to question the interest of this link in an agricultural context. The issue of power 106 consumption for the buried node is also pointed out. In (Ebi et al., 2019), the radio transmission 107 performances in LoRa and LoRaWAN are evaluated at 868 MHz to monitor an underground 108 infractructure. They highlighted the interest to first use the LoRa technology for the UG2AG 109 communication, in order to obtain reliable packet delivery, to next use the LoRaWAN protocol 110 for the aboveground communications. In (Lin et al., 2019), the link quality of the UG2AG LoRa 111 communication is investigated, in particular with respect to the burial depth and the internode 112 distance. No packet loss was observed if the RX node is located at the vicinity of the TX node, 113 even at the maximum burial depth (0.8 m). This was also the case with an internode distance of 114 50 m when TX nodes are buried at 0.4 m. For Tx nodes buried more deeply (0.6 m and 0.8 m), 115 the packet loss increased progressively with the internode distance to be total at respectively 116 28 m and 22 m. In (Gineprini et al., 2020), less than one percent of the transmitted packets 117

¹¹⁸ was lost with an UG2AG communication link composed of 27 m aboveground and from 0.1 m ¹¹⁹ to 0.5 m underground. In (Di Renzone et al., 2021), the performances of data transmission in ¹²⁰ LoRaWAN for different soil compositions was studied for depths up to 0.5 m. The packet loss ¹²¹ was below 2 % whatever the soil composition with the gateway placed at 15 m. In (Wu et al., ¹²² 2019), a simulator was developed to study the impact of soil moisture and burial depth on a ¹²³ LoRaWAN network with a centre frequency ranging from 915 to 928 MHz in New Zealand.

Although UG2UG links are difficult to establish, the UG2AG links with a star topology 124 can be sufficient for numerous applications. This is the case for example of sensors and nodes 125 deployed underground with aboveground collector and repeater nodes. The UG2AG link must 126 however be robust and well-designed. The objective of this paper is to first compare the per-127 formance of LoRa technology at both 433 MHz and 868 MHz frequency bands for this UG2AG 128 link and next establish an adequate configuration, in particular in terms of burial depht, incli-129 nation of the receiving antenna and contact of the emitting antenna with the soil, with the aim 130 to develop future environmental and agricultural monitoring applications. For that, the nodes 131 were built from commercial components. The maximum transmit powers authorized in Europe 132 for each of the frequency bands were used. The experiments were carried out with a spreading 133 factor (SF) ranging from 7 to 12 to highlight the impact of this parameter on the attainable 134 communication range. The impact of the soil moisture on the UG2AG communication range 135 was first studied to determine the preferred choice for the frequency band for the UG2AG link. 136 Next, the impacts of the burial depth, the inclination of the receiving antenna and the way to 137 bury the emitting antenna were investigated, as well as the analysis of the packet loss. 138

¹³⁹ 2 Experimental setup

140 2.1 Presentation

¹⁴¹ The experimentations reported in this paper follow the scheme presented on Figure 2.



Figure 2: Experimental setup

A soil moisture sensor is buried at the deep d_1 in an open field. This sensor is connected to an underground node TX transmitting periodically the data of the probe (humidity, temperature, dielectric permittivity) in LoRa to a receiving node RX located aboveground at the height d_2 and a varying distance d_3 : the RX node is moved until the UG2AG link is disrupted. The data frame are recorded on a computer which is connected to a GPS to georeference the successive positions. First experiments use a set of TX and RX nodes operating at 433 MHz, and second

experiments use a set of TX and RX nodes operating at 868 MHz. The power of the radio 148 transmitters are tuned to the maximum value allowed by the European regulations, respectively 149 +10 dBm/10 mW and +14 dBm/25 mW. On a periodic basis (every 12 s), the TX node picks 150 up the measured values from the sensor and sends a set of six frames with the LoRa parameter 151 SF going from 7 to 12. The RX node waits several minutes on a position enabling to receive 152 several sets of six frames. These experimentations are repeated with different soil moistures, 153 burial depths, RX antenna inclinations and with the TX antenna directly in contact with the 154 soil or inside a PVC container. 155

156 2.2 Materials

A first set of TX and RX nodes is built with RFM98W radio modules operating at 433 157 MHz. A second set uses RFM95W radio modules operating at 868 MHz. These radio modules 158 are manufactured by HopeRF and include the SX1276 transceiver from Semtech with the LoRa 159 technology. The TX nodes, see Figure 3, are composed of a microprocessor ATmega328 running 160 at 8 MHz installed on an Arduino Pro Mini board and a radio module RFM98W/95W with a 161 quarter wave whip antenna (RF FLEXI-SMA90-433, 2 dBi gain, 16.2 cm at 433 MHz and RF 162 FLEXI-SMA-868, 2 dBi gain, 13.6 cm at 868 MHz). The radiation pattern of these antennas 163 is maximum at perpendicular to the whip and close to zero at the end. They will be vertically 164 oriented in the experiments. The TX node is powered with a 3.6 V/8800 mAh Lithium-Ion 165 battery, and connected to a Truebner SMT100 probe. The RX nodes, see Figure 4, are composed 166 of a microprocessor ATmega328 running at 8 MHz installed on an Arduino Pro Mini board and 167 a radio module RFM98W/95W with a quarter wave whip antenna (Siretta Delta 12A, 3 dBi 168 gain, 13 cm at 433 MHz and Taoglas TI.18.3113, 3.2 dBi gain, 39 cm at 868 MHz). The supply 169 of the RX node is delivered by the USB port of the computer on which it is connected. 170



Figure 3: Transmitter node TX: a) Sectional drawing b) Battery, node and moisture probe



Figure 4: Receiver node RX: a) Principle scheme, b) Node, c) GPS (u-blox C94-m8p)

171 2.3 Software development

The Arduino Pro Mini boards of the TX nodes are programmed to configure the parameters of the radio module LoRa (frequency, transmit power, spreading factor SF, coding rate CR, bandwith BW) and periodically transmit the data frame presented on Table 1.

Name	Description
Node ID	Node identifiant
\mathbf{SF}	Spreading factor (varying from 7 to 12)
Counter	Number of the frame
Permittivity	Data of the sensor SMT100
Humidity	Data of the sensor SMT100
Temperature	Data of the sensor SMT100

Table 1: Data frame (15 bytes) sent by the TX node to the RX node

Different values for the spreading factor SF are used in order to experimentally quantify 175 its impact on the communication range. Theorically, the higher it is, more the range increases 176 but to the detriment of the data rate as the signal is transmitted over a longer period of time, 177 see Table 2, but also to the detriment of the energy consumption as the radio communication 178 is longer. At each cycle, the TX node reads the data of the SMT100 probe and successively 179 transmits six frames with a spreading factor SF varying from 7 to 12, see the diagram of the 180 TX program on Figure 5a. The output power is constant and tuned at +10 dBm for the 433 181 MHz node and +14 dBm for the 868 MHz node. The coding rate CR is equal to 4/5 and the 182 bandwidth BW is tuned to 125 KHz. 183

Table 2: Transmit time of a frame of 15 bytes with respect to the SF value. BW = 125 KHz, CR = 4/5. Values given by the application "LoRa Modem Calculator Tool" from Semtech.

Spreading factor	RF transmit time (ms)	SNR required (dB)
SF=12	933.9	-20
SF=11	466.9	-17.5
SF=10	299.0	-15
SF=9	149.5	-12.5
SF=8	74.8	-10
SF=7	45.6	-7.5

The RX node waits for data from the TX node. To establish a communication, the value 184 of the spreading factor SF must be identical for both the TX and RX nodes. The RX node 185 is initialized at SF=7 and scans until the reception of a frame, see the diagram of the RX 186 program on Figure 5b. At each received frame, the RX node reads the values RSSI (Received 187 Signal Strength Indication) and SNR (Signal-to-noise Ratio) which qualify the RF signal. It 188 concatenates then the received frame of the TX node and the values of the RF signals to next 189 send this frame to the computer, see Table 3. On the computer, a program in Python reads 190 the data frames and stores them in a dated file with the GPS position. These data are next 191 processed and analysed using Matlab software. 192



Figure 5: Diagrams of the program in the: (a) TX node, (b) RX node

Table 3:	Data	frame	sent	by	the	$\mathbf{R}\mathbf{X}$	node	to	the	compi	iter
Table 3:	Data	frame	sent	by	the	RX	node	to	the	compu	iter

Name	Description
Node ID	Node identifiant
\mathbf{SF}	Spreading factor detected
Counter	Number of the frame
Permittivity	Data of the sensor SMT100
Humidity	Data of the sensor SMT100
Temperature	Data of the sensor SMT100
RSSI	Received Signal Strength Indication
SNR	Signal-to-noise Ratio

3 Experimentations 193

The experiments were carried out at the experimental field of INRAe (French National 194 Research Institute for Agriculture, Food and Environment) presented on Figure 6. This field 195 of 3.8 hectares is an open environment, flat without obstacles. At low depth, the composition 196 of the soil is 81.6 % of sand (72.3 % of fine sand and 9.3 % of coarse sand), 11.3 % of silt 197 (7.1 % of fine silt and 4.2 % of coarse silt) and 7.1 % of clay. The weather conditions were low 198 temperatures (respectively 7.6 °C and 8.7 °C) with cloudy sky. 199



Figure 6: Experimental field: (a) Google Earth © Digital Globe, 46°20'22.35"N, 3°25'44.28"E, 280m (b) Soil texture triangle based on the Unites States Department of Agriculture (USDA) classification

200 3.1 Tests with different soil moistures

A PVC tube of 8 cm diameter is buried on the field with the moisture probe on the side, see Figures 7a and b. It is next covered with soil and compacted. A first set of experiments uses a set of TX and RX nodes operating at 433 MHz. A second set of experiments uses nodes operating at 868 MHz. Two different soil moistures (10 % and 22 %) are investigated. For each experiment, the TX node is installed inside the tube at about $d_1 = 15$ cm deep. The RX node is positionned on a tripod at $d_2 = 2$ m height, see Figures 7c, 7d, 7e, and is straightly moved with steps of 5 m, see Figures 7f anf 7g.



Figure 7: Testbed: (a,b) The TX node is buried with the probe at 15 cm depth, (c,d,e) The RX node is installed on a tripod at 2 m height, (f,g) Steps of 5 m are carried out.

In the first set of experiments, the soil moisture is measured by the probe at 10.3 %, the 208 soil relative permittivity at 6.0 and the soil temperature at 9.4 $^{\circ}$ C. The results are presented on 209 the left part of Figure 8: at each inter-node distance RX-TX, the SF graphics highlight if the 210 UG2AG link was successfully established or not for a spreading factor SF varying from 7 to 12. 211 Clearly, the set of nodes operating at 868 MHz reached longer distance (170 m) than with the 212 set at 433 MHz (125 m). These distances were reached by configuring a high spreading factor 213 (SF 12) for the LoRa modules, that enables a high sensitivity and communication range to the 214 detriment of the airtime and high energy consumption. We can observe that until 90 m, the 215 UG2AG link at 868 MHz is maintained for all the SF values, whereas only 55 m for the UG2AG 216 link at 433 MHz. Therefore, although the 433 MHz is theorically more relevant to obtain longer 217 communication range compared with the 868 MHz, the possibility to tune higher the power 218 transmit at 868 MHz (+14 dBm for the 868 MHz and +10 dBm for the 433 MHz in line with 219 the regulations) reverses the results. The values RSSI (Received Signal Strength Indication) 220 and SNR (Signal-to-Noise Ratio) of the RX node decrease slowly with respect to the internode 221 distance. They are however clearly higher at 868 MHz than at 433 MHz enabling to maintain 222 the communication at a longer distance, despite more variations at 868 MHz. 223



Figure 8: Measurements on the UG2AG link obtained with a soil moisture of respectively 10 % (left part) and 22 % (right part)

In the second set of experiments, the soil moisture and permittivity were doubled in comparison with the first experiments (soil moisture 22.8 % and relative permittivity 12.2). The soil temperature was at 11.9 °C. The results are presented on the right part of Figure 8. We can observe that the maximal internode distance with the set of nodes at 868 MHz is slightly affected by the higher soil moisture, leading to communications until 175 m. Moreover, until 80 m, the communications are always possible with all the SF values. The set of nodes at 433 MHz is however more impacted by the higher soil moisture: the maximal internode distance is slighly reduced (from 125 m to 115 m) but the communications are only possible with high SF values, and that from the distance 70 m. The RSSI and SNR values are however more stable at 433 MHz than at 868 MHz which have more variations.

For these tests, we can also notice that the minimal RSSI value for the RX node is measured 234 at -144 dBm, that is close to the sensitivity given in the datasheet of the SX1276 component 235 (-148 dBm). These experiments highlight the importance of this parameter in the choice of 236 the radio component. By comparison, the sensitivity of the first LoRa transceiver SX1272 from 237 Semtech was -137 dBm. In addition, these experiments highlight that as the communication 238 signal is less attenuated at 868 MHz than at 433 MHz, a lower SF can be used to reach the same 239 distance (e.g. at 100 m and soil moisture 22.8 %, the TX node at 868 MHz can use a SF=9240 whereas the TX node at 433 MHz has to use a SF=12). That enables to reduce the transmit 241 time (this time is divided by six from SF=12 to SF=9, see the previously presented Table 2), 242 and therefore limit the energy consumption of the TX node. 243

²⁴⁴ 3.2 Tests with the same transmit power (10 mW)

The previous tests were carried out with TX nodes in line with the European regulations, i.e.

+14 dBm/25 mW at 868 MHz and +10 dBm/10 mW for the 433 MHz, meaning a difference of
4 dBm. To compare the performances with the same transmit power, the transmit power of the
868 MHz TX node was decreased at +10 dBm/10 mW. The results are presented on Figure 9.



Figure 9: Same transmit power

We can observe that, even with the same transmit power, the communication at 868 MHz is less attenuated than at 433 MHz (e.g. at the distance of 100 m, the signal is received at 868 MHZ with SF=8 and SNR=-13 dB whereas at 433 MHz the signal is received with SF=11 and SNR=-18 dB).

Face to such results, we decided to continue the investigations on the UG2AG communication link using exclusively the 868 MHz frequency band. We investigated different configurations for the deployment of the nodes which can impact the communication range. The following section addresses the impact of the inclination of the RX antenna on the communication range.

256 3.3 Tests with different RX antenna inclinations

The objective is here to study the impact of the inclination of the RX antenna on the communication range, see Figures 10a and 10b. Preliminary tests enabled to select two relevant inclinations of the RX antenna for the field experiments, i.e. a vertical RX antenna and an inclined RX antenna at 45°. The RX antenna is pointed towards the buried TX node. As previously, the TX node is placed in a PVC tube and buried at 15 cm deep. The RX node is placed at 2 m above the soil. The experimental conditions were permittivity 9.0, humidity 16.85 %, temperature 6.8 °C.



Figure 10: a) Experimental setup, b) Inclination of the Rx antenna pointed toward the TX node

The results are presented on Figure 11. They highlight that the inclination of the RX antenna enables to maintain the communications with low SF (e.g. at 250 m, SF=9 when the RX antenna is inclined, and SF=12 when the RX antenna is vertical) and significantly reduce the attenuation of the signal: the RSSI signal is about 10 to 15 dBm higher when the RX antenna is inclined in comparison when the RX antenna is vertical. The SNR signal remains moreover positive on a distance largely superior when the RX antenna is inclined (positive until 150 m) in comparison when the RX antenna is vertical (positive until 50 m).



Figure 11: Tests with a vertical and inclined (45°) RX antenna

271 3.4 Tests with different burial depths for the TX node

After have highlighted the interest of the 868 MHz frequency band and the inclination of the RX antenna, the objective of the following experimentations were to evaluate the impact of the depth of the buried node on the UG2AG communication. For that, two burial depths were considered, respectively 15 cm and 30 cm as depicted on Figure 12. The RX node is still placed at 2 m above the soil, and the measurements are carried out successively with a vertical RX antenna and an inclined RX antenna. The experimental conditions were permittivity 12.45, humidity 23.36 %, temperature 8.7 °C. The results are presented on Figure 13.



Figure 12: Experimental setup

278

When the RX antenna is vertical, the communication range was 250 m when the TX node is at 15 cm deep. At 30 cm deep, the range is reduced to 175 m. The values of RSSI and SNR are however similars until 175 m, but when the TX node is at 15 cm, the RSSI signal is maintained



Figure 13: Measurements on the UG2AG link obtained with a burial depth of respectively 15 cm and 30 cm with a vertical RX antenna (left part) and inclined RX antenna (right part)

about -142 dBm until 250 m. When the RX antenna is inclined, the RSSI signal decreases of about 7dBm when the TX node is at 30cm deep in comparison with 15 cm. The SNR signal is positive until 100 m for the TX node at 30 cm deep, and until 150 m for the TX node at 15 cm deep (in comparison, the SNR signal is only positive until 50 m for the two burial depths when the Rx antenna is vertical). These results highlight the negative impact on the UG2AG link of the burial depth. However, the performances remain acceptable, even at 30 cm deep, that enables to envision environmental and agricultural monitoring applications.

3.5 Tests with the Tx antenna inside a container (PVC tube) or directly in contact with soil

In all the experimentations presented in the previous sections, the TX antenna was located 291 inside a PVC tube of 8 mm diameter. However, in order to check if this container had an 292 impact on the quality of the communication, some comparative tests were carried out with 293 the TX antenna directly in contact with the soil and the TX antenna in the PVC tube, see 294 the principle scheme and materials on Figure 14. For each of these two configurations, the 295 measurements were carried out with respectively 15 cm and 30 cm burial depths, and with 296 respectively a vertical and inclined RX antenna. The experimental conditions were permittivity 297 12.45, humidity 23.36 %, temperature 8.7 °C. Figures 15 and 16 present the results, and Tables 298 4 and 5 highlight particular points. 299



Figure 14: a) Experimental setup b) TX antenna directly in contact with the soil



Figure 15: With vertical RX antenna

		150	m	250 m		
		RSSI (dBm)	SNR (dB)	RSSI (dBm)	SNR (dB)	
15 cm	Ground	-132	-7	-139	-14	
$15~\mathrm{cm}$	Tube	-135	-10	-144	-19	
30 cm	Ground	-134	-10	-	-	
$30 \mathrm{~cm}$	Tube	-142	-17	-	-	

Table 4: Vertical RX antenna: RSSI and SNR values



Figure 16: With inclined RX antenna

		150	m	250 m		
		RSSI (dBm)	SNR (dB)	RSSI (dBm)	SNR (dB)	
15 cm	Ground	-117	3	-125	-1	
$15~\mathrm{cm}$	Tube	-121	0	-136	-10	
30 cm	Ground	-120	2	-130	-6	
$30~{\rm cm}$	Tube	-130	-6	-140	-15	

Table 5: Inclined RX antenna (45°): RSSI and SNR values

The RX antenna was vertical in Table 4, and inclined in Table 5. In the two Tables, the 300 results are given for the inter-node distances of respectively 150 m and 250 m. In Table 4, at 301 150 m, the RSSI value decrease of 3 dBm at 15 cm deep when the TX antenna is placed on 302 the PVC tube (from -132 to -135 dBm), and 8 dBm at 30 cm deep. At 250 m, the RSSI signal 303 is attenuated of 5 dBm at 15 cm deep, and no communication is possible at 30 cm deep. In 304 Table 5, it appears clearly that the RSSI and SNR values are improved when the RX antenna 305 is inclined. In particular, at 150 m, the SNR value remains positive when the RX antenna is 306 directly in contact with the soil at 15 cm and 30 cm. 307

These results highlight clearly the negative impact of the PVC container on the communication. The performances are better when the TX antenna is directly in contact with the soil with RSSI signals about 10 dBm higher. Moreover, when the RX antenna is inclined and the burial depth is 15 cm with the TX antenna in contact with the soil (see the right part of Figure 16), this configuration enables to emit with SF=7 whatever the distance, leading to a short transmit time and energy consumption. The atteinable communication range with this configuration was 275 m. However, the limit of the communication range was not reached and longer distance could certainly be attainable. In fact, at 275 m, we reached the limit of the experimental field. However, such distance is already suited to develop monitoring applications based on UG2AG communications.

318 3.6 Reliability of data transmission

The packet delivery ratio (PDR), i.e. the ratio between the number of received packets (R) to the total number of sent packets (S), is an indicator of the reliability of the communication, see (1).

$$PDR(\%) = \frac{R}{S}.100\tag{1}$$

Experimentations were carried out to determine this ratio at each SF for internode distances going from 50 m to 200 m, see Table 6. The TX node was buried at 15 cm depth with the antenna directly in contact with the soil, and the RX node was located 2 m above the ground with a vertical RX antenna. At each SF, the TX node sends 100 packets and the number of received packets by the RX node was measured as well as the RSSI value.

Table 6: Packet delivery ratio at different SF and RSSI values. Experimental conditions are 868MHz / 25mW, burial depth 15 cm, TX antenna in contact with the soil, vertical RX antenna, soil moisture

RSSI	-115 dBm	-120 dBm	-130 dBm	$-135~\mathrm{dBm}$	
Internode distance	$50 \mathrm{m}$	100 m	$150 \mathrm{~m}$	$200 \mathrm{~m}$	
SF=7	99~%	$100 \ \%$	9~%	0 %	
SF=8	99~%	99 %	30~%	0 %	
SF=9	$100 \ \%$	97~%	90~%	37~%	
SF=10	99~%	97 %	$100 \ \%$	42~%	
SF=11	$100 \ \%$	$100 \ \%$	$100 \ \%$	90~%	
SF=12	99 %	100 %	100 %	100 %	

17~%

The results highlight that for RSSI values up to -120 dBm, that corresponds to an internode distance of 100 m, less than 3 % of packets were lost and the value of SF has little impact. However, from RSSI values below -130 dBm, the value of SF significantly impacts the quality of the link. At SF=7 and -130 dBm, only 9 % of the packets transmitted were received in comparison to 100 % at SF=12. At -135 dBm, no packet was received at SF=7 and still 100 % at SF=12.

333 4 Discussion and conclusions

This paper addresses the issue of the communication range of an UG2AG link in a WUSN 334 to serve as basis for future applications in agriculture and environment monitoring. Based on 335 the LoRa technology, two sets of nodes at 433 MHz and 868 MHz were built and tested in real 336 conditions, i.e. an open field with sandy soil composition, soil moisture of 10 % and 22 %, and 337 burial depht of the nodes at 15 cm and 30 cm. The interest of the 868 MHz radio modules at 338 the maximal allowed transmit power in Europe (+14 dBm/25 mW) was first clearly highlighted 339 with results more relevant in comparison to the 433 MHz frequency at +10 dBm/10 mW. Next, 340 completed with a TX antenna directly placed in contact with the soil, as it was shown that 341 a PVC container significantly attenuates the communication signal, and with an inclined RX 342 antenna at 45° pointed toward the buried node, UG2AG communication ranges of more than 275 343 meters long were reached. At this distance, the LoRa parameter SF can moreover be maintained 344 at a low value enabling to limit the energy consumption of the buried TX node. The benefit to 345 place the TX antenna directy in contact with the soil and incline the RX antenna is highlighted 346 in the Table 7 which is the synthesis of the results at the internode distance of 100 m. 347

	RX antenna		Stra	aight		Inclined			
	Inside tube Soil contact			Inside tube Soil contact					
Buria	l depth (cm)	15	30	15	30	15	30	15	30
SF=7	RSSI (dBm)	-131	-132	-122	-129	-117	-123	-112	-115
	SNR (dB)	-7	-9	0	-6	4	-1	7	5
SF=8	RSSI (dBm)	-131	-132	-119	-131	-117	-123	-112	-115
	SNR (dB)	-7	-9	0	-7	4	-1	8	6
SF=9	RSSI (dBm)	-131	-133	-119	-129	-117	-124	-112	-115
	SNR (dB)	-7	-11	0	-6	5	-1	7	7
SF=10	RSSI (dBm)	-132	-132	-119	-129	-116	-121	-112	-114
	SNR (dB)	-7	-9	1	-6	4	0	7	7
SF=11	RSSI (dBm)	-131	-131	-120	-129	-117	-121	-112	-115
	SNR (dB)	-6	-7	1	-5	5	0	8	7
SF=12	RSSI (dBm)	-131	-132	-120	-129	-118	-121	-112	-115
	SNR (dB)	-6	-9	1	-5	4	0	8	7

Table 7: Synthesis of results at 868 MHz / 25 mW, internode distance: 100 m

Although these results are already relevant to envision the deployment of such nodes in 348 the field, several improvements could be considered in future work. First, it will be necessary 349 to deploy several TX buried nodes in the field during several seasons to study the behavior 350 and reliability of the communications over different weather conditions and vegetation cover. 351 Moreover, it could be interesting to adapt some parameters of the nodes, as well as the layout 352 of the RX node in the field. In particular, the SF parameter could be tuned with respect to 353 the soil moisture measured by the probe (e.g. low value in dry soil and high value in wet 354 soil). Another way could be to modify the SF value with respect to the RSSI and SNR values 355 measured by the RX node as with the adaptive data rate (ADR) in the LoRaWAN protocol 356 (Li et al., 2018). This approach requires however to implement the AG2UG link and define 357

listening windows for the RX node. During our experiments, we have also observed the benefit 358 for the communication range to orientate the antenna of the RX node towards the TX node. 359 In case of several TX nodes disseminated in the field or a mobile RX node embedded on a 360 vehicle, an approach could be to actuate the orientation of the RX antenna to control and 361 maintain this direction during inter-node communications, e.g. from the knowledge of the GPS 362 coordinates. This approach requires however some memory capacities of the TX nodes and bi-363 directionnal communications with adequate strategies. Another point that could be considered 364 is to investigate the robustness of the UG2AG communication face to the potential presence of 365 interferences. In fact, the experimentations reported in this paper were performed in relatively 366 interference-free areas, i.e. without active transmitters in the immediate vicinity with the same 367 frequency range. Most of the time, this is the case in the considered applications (agriculture 368 and monitoring of natural environments). However, although LoRa is a robust technology 369 which possesses a remarkable immunity to multipath and interferences, in particular when small 370 bandwidths and high spreading factors are used, the presence of interferences in more disturbed 371 environmenst could be studied, with the possibility to adapt both the channel frequency and 372 the coding rate. Finally, other frequency bands could also be advantageously investigated in 373 the WUSN, as the 869.4 - 869.65 MHz which allows transmit powers of 500mW, that means 374 twenty times higher than the transmit power used in this paper. This band is not part of the 375 LPWAN (Low Power Wide Area Networks) and is thus not suited for monitoring applications 376 as it would involve a high energy consumption for the TX buried node, but it could be used as 377 an alternative to send alert messages requiring reliable communications. 378

Acknowledgement: This work was sponsored by a public grant overseen by the French National Research Agency as part of the "Investissements d'Avenir" through the IDEX-ISITE initiative CAP 20-25 (16-IDEX-0001). The authors thank the ConnecSenS community, the Federation of environmental research (FRE), and the employees of the National Research Institute for Agriculture, Food and Environment (INRAE) who were involved in this work.

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