

# Exposure to polyethylene microplastics alters immature gut microbiome in an infant in vitro gut model

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AhR: aryl hydrocarbon receptor; ASV: amplicon sequence variant; DSC: differential scanning calorimetry; GAPDH: glyceraldehyde-3-phosphate dehydrogenase; GC-MS: gas chromatography-mass spectrometry; HRP: horseradish peroxydase; HPLC: high-performance liquid chromatography; LDPE: low density polyethylene; LY: lucifer yellow; MP: microplastic; MUC2: mucin 2; MUC5AC: mucin 5AC; M-ARCOL: mucosal artificial colon; OCLN: occludin; Papp: apparent permeability coefficient; PE: polyethylene; PET: polyethylene terephthalate; PS: polystyrene; qPCR: quantitative polymerase chain reaction; SCFA: short chain fatty acid; SPME: solid-phase microextraction; TEER: trans-epithelial electrical resistance; TGA: thermogravimetric analysis; TJ: tight junction; Tm-ARCOL: toddler mucosal artificial colon; VOC: volatile organic compound; ZO-1: zonula occludens-1

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### 24 Abstract

25 Infants are characterized by an immaturity of the gut ecosystem and a high exposure to 26 microplastics (MPs) through diet, dust and suckling. However, the bidirectional interactions 27 between MPs and immature infant intestinal microbiota remain unknown. Our study aims to investigate the impact of chronic exposure to polyethylene (PE) MPs on the gut microbiota and 28 29 intestinal barrier of infants, using the new Toddler mucosal Artificial Colon coupled with a co-30 culture of epithelial and mucus-secreting cells. Gut microbiota composition was determined by 31 16S metabarcoding and microbial activities were evaluated by gas, short chain fatty acid and volatolomics analyses. Gut barrier integrity was assessed via evaluation of intestinal 32 33 permeability, inflammation and mucus synthesis. Exposure to PE MPs induced gut microbial 34 shifts increasing α-diversity and abundance of potentially harmful pathobionts, such as 35 Dethiosulfovibrionaceae and Enterobacteriaceae. Those changes were associated to butyrate 36 production decrease and major changes in volatile organic compounds profiles. In contrast, no 37 significant impact of PE MPs on the gut barrier, as mediated by microbial metabolites, was 38 reported. For the first time, this study indicates that ingestion of PE MPs can induce 39 perturbations in the gut microbiome of infants. Next step would be to further investigate the potential vector effect of MPs. 40

41

### 42 Environmental implication

Plastic production has exploded the last half-century, resulting in environmental pollution and microplastics (MPs) contamination throughout the food chain. This raised concerns for human health, particularly in the highly exposed and at-risk infant population. We investigated for the first time the effects of a chronic exposure to polyethylene MPs on immature infant gut microbiome and intestinal barrier, using a novel approach combining a newly developed infant colon model with co-cultures of enterocytes and goblet cells. This work provides useful data

- 49 on MP interactions with infant microbiota and mucus, considering inter-individualities,
- 50 increasing our knowledge on the health impact of MP pollution.
- 51

# 52 Keywords

- 53 Microplastics, infant, gut microbiota, *in vitro* gut models, cellular models
- 54

### 55 1. Introduction

56 Plastic is a multifunctional material, easy to process, cheap and resistant. These valuable properties have led to massive plastic production, which began in the 1950's and reaching 367 57 58 million tons in 2020 [1]. Various types of plastic are produced around the world, but the most widely manufactured polymer is polyethylene (PE) [1]. Plastic materials are gradually 59 60 accumulating in all ecological environments, which leads to major environmental threats [2– 61 4]. Subject to weathering and (bio)degradation in the environment, plastic waste releases large 62 amounts of smaller particles that could be sorted by size. Frias and Nash (2019) defined 63 microplastics (MPs) as "any synthetic solid particles or polymeric matrices, with regular or 64 irregular shape and with size ranging from 1 µm to 5 mm, of either primary -voluntarily manufactured- or secondary -released from plastic debris weathering- manufacturing origin, 65 66 which are insoluble in water" [5].

67 The ubiquity of MPs throughout the food chain has raised concerns about their potential health effects following ingestion in humans [6–9]. MPs have been detected in air, water and many 68 69 food and beverage [10], as well as in human blood [11], colon [12] and stools [13–15]. Already 70 in the mother's womb, the fetus seems to be exposed to MPs as they have detected in the 71 placenta and meconium of newborns [16,17]. After birth, infants are highly exposed through 72 breathing and ingesting dust during roaming [18], but also during breastfeeding [19] or bottle 73 feeding, since milk [20,21] and baby bottles [22,23] are contaminated with MPs. In addition, 74 the suckling of silicone rubber represents a source of exposure as steam sterilization leads to 75 the release of MPs [24].

Despite this critical exposure, toxicological studies investigating the potential impact of MPs on infant health remain rare [25,26]. While the embryotoxic effect of polystyrene (PS) nano- or micro-sized plastics seems to vary depending on the model used [27–30], a high dose of PS MPs injected intraperitoneally into pregnant mice resulted in immune disturbance and 80 spontaneous abortion [31]. Oral exposure to PS MPs also induced fecal microbiota dysbiosis 81 and gut barrier dysfunction in dams while offspring showed a higher risk of metabolic disorders 82 [32,33]. Importantly, no studies to date have investigated the bidirectional interactions between 83 ingested MPs and the immature and developing infant microbiome, despite its key role in gut homeostasis. Indeed, during the first 1000 days of life, while the digestive physiology is still 84 85 under development, the infant intestinal microbiota (which mainly colonizes the colonic 86 compartment) simultaneously undergoes significant maturation in terms of composition and 87 metabolic activity [34–36]. The gut microbiome acts primarily in the degradation of undigested 88 food, resulting in the production of secondary metabolites such as gases, short-chain fatty acids 89 (SCFAs) [37,38], volatile organic compounds (VOCs) [39] and aryl hydrocarbon receptor 90 (AhR) ligands [40]. SCFAs (i.e., butyrate, propionate and acetate) are byproducts of the 91 fermentation of nondigestible dietary fibers under the action of intestinal bacteria, whereas AhR 92 ligands are primarily derived from the metabolism of tryptophan. Both groups of metabolites 93 are involved in maintaining the integrity of intercellular tight junction in the intestinal 94 epithelium, thus linking gut microbial activity to the maintenance of effective intestinal barrier 95 function. In addition, the gut microbiota plays an important role in the formation of host 96 immunity, in the regulation of gut endocrine and neurological functions, and together with the 97 intestinal mucus and epithelium, it forms a protective barrier against exogenous threats such as 98 pathogens and foreign particles [41-43].

In this context, as an extension of a previous study by us on adult microbiota [44], we investigated for the first time the impact of oral exposure to PE MPs on the infant microbiome and the intestinal epithelium/mucus barrier. Due to major ethical constraints linked to clinical studies on young populations, but also the striking differences between human and rodent digestive physiology -including microbiota- [45], these experiments were carried out using an innovative *in vitro* approach, combining the Toddler mucosal Artificial Colon (Tm-ARCOL, [46]) simulating the colonic ecosystem specific to infants (6 months to 3 years) and a co-culture of intestinal epithelial and mucus-secreting goblet cells (Caco-2 and HT29-MTX cells, respectively). After a 2-week daily exposure to PE MPs, the structure and metabolic activities of the infant microbiome were determined by 16S metabarcoding and measurements of gas/SCFAs/VOCs/AhR activity, respectively. Fecal water was then applied to intestinal cell cocultures to assess the impact of gut microbe metabolites on cell-related inflammatory pathways, mucin synthesis and barrier function.

112 **2.** Materials and methods

### 113 2.1 Microplastics characterization

PE MPs (CPMS-0.96, 1-10 µm - 0.2 g; non-fluorescent particles) were purchased from 114 Cospheric (CA, USA). The initial powder was suspended (2.625 mg/mL) in a solution of sterile 115 116 deionized water with 0.01% (w/v) Tween 80 before use. Particle size was determined using the 117 smileview software from scanning electron microscopy images captured with the JSM 6060LV microscope (Jeol, Japan). In order to determine the polymeric composition, the powder was 118 119 analyzed by differential scanning calorimetry (DSC) and by thermogravimetric analysis (TGA). 120 DSC measurements were performed on a Mettler DSC3<sup>+</sup> device (N.V. Mettler-Toledo S.A., 121 Belgium). The equipment is calibrated with Indium. A few mg of material were placed in an 122 aluminum pan and then subjected to a temperature cycle ranging from 25°C to 200°C under a 123 flow of nitrogen gas. A rate of 10°C/min was applied to heat and cool the material during the experiment. TGA measurements were performed on a Mettler TGA2 device (N.V. Mettler-124 125 Toledo S.A., Belgium). The analysis was performed at a heating rate of 10°C/min under a flow 126 of a nitrogen gas up to 800°C.

127 **2.2 Fecal sample collection and treatment** 

128 Fecal samples were collected from four healthy infants (two boys aged 30 and 20 months -

129 Toddlers 1 and 3, and two girls aged 25 and 22 months -Toddlers 2 and 4-) were collected. All

donors had no history of antibiotic treatment or probiotic consumption during the month prior
sample collection. Immediately after defecation, the fecal samples (or diapers) were transferred
to a sterile container placed in an airtight anaerobic box (GENbag anaer gas pack systems,
Biomerieux, France), transported at ambient temperature and processed in the laboratory within
6 h. In an anaerobic chamber (COY laboratories, USA), 11 g of each fresh stool sample were
suspended into 110 mL of 30 mM sterile sodium phosphate buffer and filtered (500 µm stainless
steel sieve).

### 137 2.3 Description and set-up of the colonic Tm-ARCOL model

138 The Tm-ARCOL is a one-stage fermentation system (MiniBio, Applikon, The Netherlands), 139 operated under continuous conditions, which simulates both physicochemical (pH, retention 140 time, ileal effluents supply and anaerobiosis) and microbial conditions (lumen and mucus 141 associated microbiota) encountered in the colon of young infant [46]. This model is composed 142 of a main bioreactor (luminal compartment) connected to an external glass compartment (mucosal compartment) containing mucin-alginate beads (Fig. 1a). These beads were prepared 143 144 with type II porcine stomach mucin (Sigma-Aldrich, USA) and sodium alginate (Sigma-145 Aldrich, USA), as previously described [47]. The mucin-alginate beads were stored at 4°C 146 before introduction into the hermetically sealed glass compartment (total beads area of 556 cm<sup>2</sup>) 147 connected to the bioreactor. Tm-ARCOL was set-up, based on in vivo data, to reproduce the 148 average conditions found in the colon of a healthy toddler, as previously described [46]. Briefly, 149 the composition of the ileal effluents was adjusted to reflect the specificities of the infant diet 150 (Fig. 1a). The temperature of the main bioreactor and mucin-alginate bead compartment was 151 maintained at 37°C, the average retention time was set at 19 h and the pH was maintained at a 152 constant value of 6.1 by addition of 2M NaOH. After an initial N<sub>2</sub> flush upon introduction of 153 the fecal inoculum, anaerobiosis was maintained inside the bioreactor by the activity of the 154 resident microbiota alone, in order to faithfully reproduce the in vivo situation. The mucinalginate beads were renewed every two days while maintaining the external compartment inanaerobiosis thanks to a constant flow of CO<sub>2</sub>.

## 157 2.4 Experimental design and sampling during *in vitro* fermentations

158 The experimental design and sampling are summarized in Fig. 1b. Four Tm-ARCOL 159 bioreactors were inoculated with fecal samples from the four infant donors and operated in 160 parallel. Fermentations were carried out under continuous conditions for 22 days, after a 24-h 161 batch microbial amplification. An eight-day microbiota stabilization phase was applied and the 162 three last days of this period (days 6, 7 and 8) were chosen as control. Then, fourteen days of 163 daily exposure to PE MPs (21 mg of MPs in 8 mL of a solution of deionized water containing 164 0.01% (w/v) Tween 80) were carried out and the three last days (days 20, 21 and 22) were 165 chosen as exposure days. Samples from the main bioreactors, termed luminal microbiota, were 166 collected daily for microbiome characterization (storage at -80°C) and SCFA analysis (storage 167 at -20°C). Additional samples from the mucin-alginate bead compartment, termed mucosal microbiota, were collected every other day (when mucin-alginate beads were replaced) for 168 169 microbiota characterization. Beads were washed twice in sterile phosphate buffer saline -PBS-170 (pH 7.1) and stored at -80°C before downstream analyses. The medium surrounding mucin-171 alginate beads was also collected at the same times in case of insufficient amounts of DNA on 172 the mucin-alginate beads. Additional luminal fermentation media were collected on day 8 173 (control) and day 22 (exposure) for cell culture experiments, AhR activity measurement, 174 scanning electron microscopy, Raman spectroscopy and volatolomic analysis. Samples were 175 also collected daily from the atmospheric phase of the bioreactors to verify anaerobic conditions 176 and determine gas composition. The daily extra volume of gas produced by microbial 177 fermentation was also measured using a syringe connected to the gas bag.

### 178 **2.5 DNA extraction**

179 Genomic DNA was extracted from luminal samples and mucin-alginate beads (or surrounding 180 medium) using the QIAamp Fast DNA Stool Mini Kit (12830-50, Qiagen, Germany) following 181 the manufacturer's instructions with minor adjustments. Prior to DNA extraction, luminal 182 samples were centrifuged (2000 g, 10 min, 4°C) and the pellet was mechanically disrupted 183 using a bead beater (5 min, 20 beat/sec) with 300 mg of sterile glass beads (diameter ranging 184 from 0.1 to 0.6 mm), incubated (70°C, 5 min) and centrifuged (12000 g, 1 min, 4°C). Mucin-185 alginate beads were subjected to the following modifications prior to DNA extraction: 10 min of incubation with citrate buffer (37°C), as previously described [48], before vortexing 186 187 (maximal speed, 3 min) and centrifugation (8000 g, 1 min). DNA integrity was verified by agarose gel electrophoresis and Nanodrop 2000 analysis (Thermo Fisher Scientific, USA). 188 189 DNA quantity was assessed using the Qubit dsDNA Broad Range Assay Kit (Q32851, 190 Invitrogen, USA) with a Qubit 2.0 Fluorometer (Invitrogen, USA). Samples were stored at -191 20°C before gut microbiota analysis (qPCR and 16S metabarcoding).

192

## 2.6 Total bacteria quantification by qPCR

193 Total bacteria were quantified by qPCR using primers BAC338R and BAC516F with an 194 hybridization temperature set at 58°C [49] (Table 1). Real-time PCR assays were performed 195 on a Biorad CFX96TM Real-Time System (Bio-Rad Laboratories, USA) using the Takyon<sup>™</sup> 196 Low Rox SYBR<sup>®</sup> 2X MasterMix blue dTTP kit (B0701, Eurogentec, Belgium). Each reaction 197 was performed in duplicate in a final volume of 10 µL with 5 µL of Master Mix, 0.45 µL of 198 each primer (10  $\mu$ M), 1  $\mu$ L of DNA sample (10 ng/ $\mu$ L) and 3.1  $\mu$ L of ultra-pure water. The 199 amplifications were performed as follows: 1 cycle at 95°C for 5 min, followed by 40 cycles at 200 95°C for 30 s and 60°C for 1 min. A melting step was added to ensure the specificity of the 201 primers. The standard curve was generated from 10-fold dilutions of bacterial DNA as 202 described in [47].

### 203 2.7 16S metabarcoding and data analysis

The bacterial V3-V4 region of the 16S ribosomal DNA (rDNA) was amplified using primers V3\_F357\_N and V4\_R805 for bacterial fraction and Arch349F and Arch806R for methanogenic Archaea. Amplicons were generated using a Fluidigm Access Array followed by high-throughput sequencing on an Illumina MiSeq system performed at the Carver Biotechnology Center of the University of Illinois (Urbana, USA).

209 Bioinformatics analysis was performed by GeT-Biopuces platform (INSA/Toulouse 210 Biotechnology Institute, Toulouse, France) with R software version 4.1.1 (2021-08-10) and 211 rANOMALY package [50]. The demultiplexed raw sequence data were filtered by quality and 212 the denoising process performed using DADA2 version 1.20.0 [51]. Reads with N bases or low phred quality score (under 2) were eliminated and reads under 100 pb length removed. 213 214 Decontamination steps were carried out to filter out sequences corresponding to PhiX DNA 215 used as a spike-in control for MiSeq runs and chimeric sequences were filtered out. Taxonomic 216 affiliation of all amplicon sequence variants (ASVs) was performed with idtaxa function from 217 DECIPHER package version 2.20.0 [52] using SILVA release 138 [53] and GTDB 218 bac120 arc122 [54] databases (60% bootstrap cut-off). To improve completeness of taxonomic 219 affiliation, alignments were carried out using BLAST [55] (98% identity and coverage) on 220 representative sequences of unassigned ASVs or assigned with incomplete taxonomy. A 221 phylogenetic tree was constructed based on the representative ASVs sequences using functions 222 from phangorn package version 2.7.1 [56].  $\alpha$ - and  $\beta$ -diversity indexes were calculated using the 223 diversity alpha fun function from rANOMALY package [50]. The across-sample microbiota 224 diversity was described using redundancy analysis (RDA) based on Bray-Curtis dissimilarities using vegan R-package version 2.5-7 [57]. The impact of the different parameters analyzed 225 226 (total gas and SCFA production, gas and SCFA composition, luminal or mucosal sample types, 227 time and sex of donors) on dissimilarities among groups was evaluated using PERMANOVA 228 permutation tests (999 permutations).

#### 229 2.8 Gas analysis

230 The analysis of O<sub>2</sub>, N<sub>2</sub>, CO<sub>2</sub>, CH<sub>4</sub> and H<sub>2</sub> gases produced during the fermentation process in the 231 atmospheric phase of the main bioreactor was performed using a HP 6890 gas chromatograph 232 (Agilent Technologies, USA) coupled to a micro-TCD detector (Agilent Technologies, USA). 233 Two series of columns, Molecular Sieve 5A and Porapack Q (Agilent Technologies, USA) were 234 used. The composition of the gases was determined using calibration curves produced from ambient air (78.09 % N<sub>2</sub>, 20.95 % O<sub>2</sub>, 0.04 % CO<sub>2</sub>) and 3 gas mixtures A (5 % CO<sub>2</sub>, 5 % H<sub>2</sub>, 235 236 90 % N<sub>2</sub>), B (19.98 % CO<sub>2</sub>, 80.02 % H<sub>2</sub>) and C (19.89 % CO<sub>2</sub>, 19.88 % CH<sub>4</sub>, 20 % H<sub>2</sub>, 40.23 % N<sub>2</sub>). 237

#### 238 Analysis of short chain fatty acid composition 2.9

239 2 mL of each luminal sample was centrifuged (5000 g, 15 min, 4°C) and 900 µL of the 240 supernatant was diluted at 1/10 in 0.04 M H<sub>2</sub>SO<sub>4</sub> mobile phase, vortexed and filtered (pore size 241 0.22 µm). The three major SCFAs (acetate, propionate and butyrate) were quantified by high-242 performance liquid chromatography (HPLC) (Elite LaChrom, Merck HITACHI, USA) coupled to a DAD diode. The HPLC column (150 x 7.8 mm) contained a negatively charged sulfonic-243 244 grafted polystyrene divinylbenzene stationary phase and carried an eluent containing acidified 245 water. Data were obtained and analyzed by the EZChrom Elite software at 204 and 205 nm. 246 SCFAconcentrations were calculated from calibration curves established from solutions of 247 known increasing concentration of acetate, propionate and butyrate (0, 10, 25 and 40 mM).

248 2.10

### Measurement of AhR activity

249 The AhR activity of Tm-ARCOL luminal supernatants after exposure to PE MPs (day 22) 250 relative to control conditions (day 8) was measured using a luciferase reporter assay method, 251 as previously described [58]. H1L1.1c2 mouse hepatocellular carcinoma cells, containing a

stably integrated dioxin response element-driven firefly luciferase reporter plasmid 252 pGudLuc1.1, were seeded in 96-well plates at 10<sup>5</sup> cells/well in Minimum Essential Medium 253 254 Eagle Alpha Modification (MEM- $\alpha$ ) medium (with 10% (v/v) heat-inactivated fetal calf serum 255 -FCS, 1% (v/v) penicillin/streptomycin, 1% (v/v) Geneticin G418) and cultured (37°C, 5% 256 CO<sub>2</sub>) 24 h before stimulation with the Tm-ARCOL supernatants for 24 h. After incubation, the 257 wells were washed with 100 µL PBS (pH 7), and 50 µL of Promega lysis buffer (pH 7.8) was 258 added to each well. The plates were shaken for 1 h for cell lysis. After addition of 100 µL of 259 luciferase reagent (Promega, France), the luciferase activity was measured using a luminometer (Tecan, Switzerland). The experiments were performed in triplicate. All values were 260 261 normalized for sample cytotoxicity using the Lactate Dehydrogenase Activity Assay (Promega, 262 USA).

### 263 2.11 Volatolomic analysis

264 Volatile organic compounds (VOCs) from control (day 8) and exposed (day 22) luminal 265 samples were analyzed by solid-phase microextraction (SPME) coupled with gas 266 chromatography-mass spectrometry (GC-MS), as previously described [59,60]. Briefly, the day 267 before analysis, 2.2 mL of saturated NaCl solution (360 g/L) was added to each sample (0.8 268 mL). The mixing vials were closed under a N<sub>2</sub> flow, vortexed for homogenization and thawed 269 for 24 h at 4°C. The following steps were then performed with an automated sampler (AOC-270 5000 Shimadzu, Japan): (i) preheating of the sample to 40°C for 10 min in the shaker (500 rpm), 271 (ii) SPME trapping (75 µm carboxen/polydimethylsiloxane, 23-gauge needle, Supelco, USA) 272 of the VOCs for 30 min at 40°C, and (iii) thermal desorption at 250°C for 2 min in splitless 273 mode in the GC inlet. Further analysis of VOCs was performed by GC/MS-full scan (GC2010, 274 QP2010+, Shimadzu, Japan). VOCs were injected in a DB-5MS capillary column ( $60 \text{ m} \times 0.32$ 275 mm  $\times$  1 µm, Agilent, USA) according to the GC-MS parameters used by Defois and 276 collaborators [59,60]. Provisional identification of VOCs was performed on the basis of mass spectra, by comparison with mass spectra libraries (Wiley Registry 12th Edition / NIST 2020),

and of retention indices (RI), by comparison with published RI values and with those of our in-

house database. The peak area of the tentatively identified compounds was determined for each
of the targeted molecules using a mass fragment selected for its specificity and lack from coelution.

### 282 2.12 Scanning electron microscopy analysis

283 The luminal and mucosal samples of the Toddlers 2 and 3, one girl and one boy, were deposited 284 on SEMPore filters (Jeol, Japan) and fixed for 12 h at 4°C in 0.2 M sodium cacodylate buffer at pH 7.4 containing 4% (w/v) paraformaldehyde and 2.5% (v/v) glutaraldehyde. The filters 285 286 were washed for 10 min in sodium cacodylate buffer (0.2 M, pH 7.4) and post-fixed for 1 h 287 with 1% (w/v) osmium tetroxide in the same buffer. The filters were then washed 20 min in 288 distilled water. Gradual ethanol dehydration was performed from 25° to 100° (10 min each) and 289 completed in hexamethyldisilazane (HMDS) for 10 min. The samples were mounted on stubs using adhesive carbon tabs and sputter-coated with gold-palladium (JFC-1300, Jeol, Japan). 290 291 The analysis was carried out using a scanning electron microscope JSM-6060LV (Jeol, Japan) 292 at 5kV in high vacuum mode.

293 2.13 Raman spectroscopy mapping

294 Mapping by Raman spectroscopy was carried out using a Raman spectrometer (Alpha300R 295 Apyron, Witec, Germany) equipped with a confocal microscope. Exposed luminal samples (day 296 22, Toddler 3) were vacuum filtered using an Anodisc filter (pore size 0.2 µm, diameter 25 297 mm). The filters were excited with a Coherent sapphire laser (532 nm, laser power: 10 mW) 298 and Raman spectra were recorded in backscattering configuration using a 50X objective (Zeiss, 299 Germany) with a numerical aperture of 0.55 to achieve a theoretical spatial resolution of 590 300 nm in the focal plane. Individual Raman spectra were recorded 10 times with an integration 301 time of 1 s. For each filter, maps between 900 and 2500 spectra were recorded with a step of 302 1.33  $\mu$ m and 2  $\mu$ m, respectively. For each mapping, the recorded signal (Raman signal plus 303 background) was integrated over a 20 cm<sup>-1</sup> spectral range of centered at 1294 cm<sup>-1</sup> 304 corresponding to the CH<sub>2</sub> twisting vibration band of PE. The signal value was then 305 automatically transformed by the software in a color scale (from black to bright yellow).

### 306 2.14 Intestinal cell lines and cell culture conditions

307 The human colon adenocarcinoma Caco-2 cell line was obtained from the European Collection 308 of Cell Cultures (ECACC, UK) and used at passage 58-62. The mucus-secreting colon 309 adenocarcinoma HT29-MTX cell line was kindly provided by Dr. Thécla Lesuffleur (INSERM 310 Lille, France) and used at passage 10-16. Both cell lines were maintained in cell culture medium 311 (Dulbecco/Vogt modified Eagle's minimal essential medium -DMEM- without phenol red and 4.5 g/L glucose), supplemented with 1% (v/v) penicillin/streptomycin, 1% (v/v) Non-Essential 312 313 Amino Acids –NEAA-, 1% (v/v) Glutamax, and 10% (v/v) heat-inactivated fetal bovine serum 314 -FBS- at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>/95% air. The medium was changed every two to three days and cells were split at 80% of confluence using Tryple express. The intestinal 315 316 cells (90% Caco-2/10% HT29-MTX) were seeded in 24-well inserts with 1-µm pore size 317 (Millipore, Merck Millipore SAS, France) and maintained in the culture medium. From day 17, FBS of the culture medium was replaced by 1% (v/v) Insulin Transferrin Selenium (ITS) in 318 319 order to limit any interference with the samples to be tested. After 18 days, Caco-2/HT29-MTX 320 co-cultures were incubated apically for 24 h with Tm-ARCOL luminal samples taken on day 8 321 (control) and day 22 (exposed), centrifuged (5000 g, 15 min, 4°C) and diluted 20-foldin cell 322 culture medium (Fig. 1c). Experiments were performed with 2 biological replicates and 5 323 technical replicates for each donor.

324 2.15 Cell viability

In order to determine the potential cytotoxic effect of Tm-ARCOL luminal supernatants on
 Caco-2/HT29-MTX cells and to establish subtoxic conditions for testing on inserts, different

dilutions (1/2, 1/5, 1/10, 1/20, 1/30 and 1/50 in cell culture medium) were evaluated. Cell viability was measured in triplicate with CytoTox 96<sup>®</sup> non-radioactive cytotoxicity test (Promega, France) after 24 h of exposure. In 96-well plates, 25  $\mu$ L of apical cell culture supernatants were diluted in 25  $\mu$ L of 1% (v/v) ITS culture medium and mixed with 50  $\mu$ L of substrate. The plates were then incubated for 15 min at room temperature in the dark. The reaction was stopped with 50  $\mu$ L of stop solution (1M CH<sub>3</sub>COOH) and absorbance at 490 nm was measured with a Spark spectrophotometer (Tecan, Switzerland).

334 **2.16** Intestinal permeability

Starting on day 12, trans-epithelial electrical resistance (TEER) was continuously monitored in 335 336 real time using the CellZScope2 device (NanoAnalytics, Germany). In addition, after a 24-h 337 contact time with the 20-fold diluted luminal supernatants of Tm-ARCOL, the inserts were 338 transferred to a new 24-well plate containing 1 mL of Hank's balanced salt solution -HBSS. 339 Lucifer yellow -LY- (0.4 mg/mL, molecular weight 457 g/mol) and horseradish peroxydase -340 HRP- (0.4 mg/mL, molecular weight 44,000 g/mol) were dissolved in HBSS and added to the 341 apical compartment. After 2 h at 37°C under an atmosphere of 5% CO<sub>2</sub>/95% air, samples were 342 taken from the apical and basolateral compartments. For LY measurement, samples were analyzed in duplicate using a Spark multimode plate reader (Tecan, Switzerland). Excitation 343 344 and emission wavelengths were 405 nm and 535 nm, respectively. Total HRP was determined 345 by an ELISA assay. Briefly, 96-well black flat-bottom plates (Greiner, Dutcher, France) were 346 coated overnight at 4°C with 50 µL of 10 µg/mL HRP mouse polyclonal (Abcam, France) in 347 PBS. Plates were blocked with PBS-1% bovine serum albumin (BSA) before incubation with 348 apical and basolateral samples collected from inserts. Rabbit polyclonal anti-HRP biotin 349 (Abcam, France) was added at a concentration of 10 µg/mL before adding Fluorescein-5-350 isothiocyanate -FITC- conjugated streptavidin (Becton Dickinson, France) for 20 min and 351 fluorescence intensity was measured at 485 nm/525 nm using a Spark microplate reader (Tecan,

Switzerland). The apparent permeability coefficient (Papp in cm/s) was determined by the following equation: Papp=Cb/(SxC0), where Cb represents the level of accumulation of LY or HRP in the basolateral compartment after 2 h, S represents the surface of the membrane (0.33 cm<sup>2</sup>) and C0 is the initial concentration of the marker (0.4 mg/mL -LY- or -HRP-) in the apical compartment.

### 357 2.17 Interleukin-8 level measurement

358 The apical and basolateral media of the co-cultures were collected after the contact time of 24 359 h with the 20-fold diluted luminal supernatants of Tm-ARCOL and stored at -20°C until 360 analysis. Interleulin-8 (IL-8) levels were measured using the Quantikine Human IL-8 ELISA 361 kit (DY208-05, R&D Systems, USA) following the manufacturer's instructions. Briefly, in Maxisorp 96-well plates (Nunc, France), biotinylated Goat polyclonal anti IL-8 was added at a 362 363 concentration of 10 ng/mL before adding HRP-conjugated streptavidin (Becton Dickinson, 364 France) for 20 min. Absorbance intensity was measured at 450 nm and 540 nm using a Spark 365 microplate reader (Tecan, Switzerland).

## 366 2.18 Intestinal cell gene expression

367 Total RNAs were extracted from cells for each insert using the AllPrep RNA Mini kit (Qiagen, France) according to the manufacturer's instructions. Quantity and quality of extracted RNA 368 369 samples were evaluated using Nanodrop (Nanophotometer Implen-Dutscher, France) and 370 Bioanalyzer (Agilent Technologies, USA), respectively. RNAs were reverse transcribed using 371 enzyme IScript reverse transcription supermix (Biorad, France). Sample concentration was 372 adjusted to 50 ng/µL. 384-well plates were filled by an Agilent Bravo Automated Liquid 373 Handling Platform (Agilent Technologies, France). All wells contained 5 µL of the following 374 mix: 2.5 µL of IQ SYBR green Supermix (Biorad, France), 1.5 µL of each primer set and 1 µL of cDNA sample. Amplification was performed using a ViiA7 Real-Time PCR System 375 376 (Applied Biosystems-ThermoFisher Scientific, France). Thermal cycling conditions were as

follows: 3 min denaturation at 95°C followed by 40 cycles at 95°C for 15 s and 45 s at 60°C,
and a melting curve step. The primer sequences of the targeted genes (genes encoding tight
junction (TJ) proteins (*ZO-1*, *OCLN*) and genes involved in mucus synthesis (*MUC2*, *MUC5AC*) are listed in **Table 1**. Raw data that passed quality control were analyzed with
LinRegPCR (version 2021.2) and then normalized against the expression of glyceraldehyde-3phosphate dehydrogenase (*GAPDH*), a reference housekeeping gene.

### 383 2.19 Statistical analysis

384 Statistical analyses on gut microbiota activity (gas, SCFAs, AhR activity),  $\alpha$ -diversity indexes 385 (number of observed ASVs and Shannon index) from metabarcoding data, as well as results 386 from cell culture experiments, obtained in control and exposed groups, were performed using 387 GraphPad Prism software version 9.3.1 for Windows (GraphPad Software, USA). Data normal 388 distribution was verified by combining Anderson-Darling, D'Agostino & Pearson, Shapiro-389 Wilk and Kolmogorov-Smirnov tests and homoscedasticity was checked using the Fisher test. 390 Then, appropriate statistical analysis was applied (either one-way ANOVA, Kruskal-Wallis or 391 Welch's tests) and significance was considered for p < 0.05. For gut microbiota results, 392 differential analyses (DESeq2, metagenomeSeq, metacoder) were performed on ExploreMetabar software using rANOMALY package [50,61]. Principal coordinate analysis 393 394 (PCoA) of unweight UniFrac distances was performed and significance between groups was 395 assessed with a permutational multivariate analysis of variance (PERMANOVA) using 396 ADONIS (999 permutations) with non-parametric tests. Volatolomics data were processed 397 using the Statistica Software (v.13) (StatSoft, France). Student's t-tests (p < 0.05) were carried 398 out for the VOC abundances determined in control (day 8) and exposed (day 22) groups and principal component analyses (PCA) were performed on the discriminant VOCs selected to 399 400 visualize the structure of the data.

401 **3. Results** 

# 402 **3.1** Characteristics of PE MPs and interactions with the infant gut microbiota

403 DSC and TGA analysis of PE MPs showed the purity of the PE powder and characterized it as 404 low-density PE. PE MP microscopy count indicated a size between 1 and 2 µm for 50% of 156 405 beads analysed [44]. Scanning electron microscopy of samples collected in the luminal phase 406 and mucosal beads in Tm-ARCOL (Fig. 2a) showed colonization of the surface of PE MPs by 407 various bacteria. In addition, Raman spectroscopy mapping of PE MPs in the luminal medium 408 (Fig. 2b) after exposure provided the same spectrum as that obtained for the initial PE particles, 409 indicating the absence of physicochemical changes to the MP surface during fermentation in 410 Tm-ARCOL.

### 411 **3.2** Impact of exposure to PE MPs on the infant gut microbiota composition

412 The stool microbiota of toddlers used for Tm-ARCOL inoculation was characterized by 16S 413 metabarcoding (Fig.S1) and showed an absence of methanogenic Archaea and bacterial profiles 414 highly donor-dependent, particularly at the family level. Notably, the stool of Toddler 1 was characterized by a high abundance of Tannerellaceae, while Enterobacteriaceae and 415 416 Akkermansiaceae were found mainly in Toddler 2 and Sutterellacaea and Oscillospiraceae in 417 Toddler 4.  $\alpha$ -diversity was also donor-dependent (data not shown), with the highest values for 418 Toddlers 1 and 4 (107 and 145 ASVs, respectively) and the lowest for Toddlers 2 and 3 (54 and 419 70, respectively). Some of these stool individual specificities was captured in Tm-ARCOL, 420 such as Tannerellaceae in Toddler 1 and Enterobacteriaceae in Toddler 2 (Fig. 3a) and a 421 clearly higher number of ASVs under control conditions of Toddler 4 relative to other toddlers 422 (Fig. 4a). At the end of the stabilization phase in Tm-ARCOL (control), the bacterial abundance 423 profiles at the family (Fig. 3a) and phylum (Fig. S2a) levels clearly indicated that the inter-424 individual variability observed in the initial donor stools was retained in the in vitro model, with 425 the donor effect being the most important factor explaining luminal and mucosal β-diversity 426 (Fig. S2b). These data also highlighted clear differences between lumen and mucus-associated 427 microbiota and, as expected, their stability at the end of the stabilization phase. After 14 days 428 of daily exposure to PE MPs, clear shifts in bacterial populations were observed both in the 429 luminal and mucosal compartments, and both at the phylum (Fig. S2a) and family (Fig. 3a) 430 levels. Those changes were again donor-dependent. For instance, exposure to PE MPs resulted 431 in disappearance of Verrucomicrobia (Akkermansiaceae) in Toddler 2 whereas opposite trends 432 were seen in Toddler 4 and Synergistetes (Dethiosulfovibrionaceae) increased only in Toddler 433 3. Exposure to PE MPs also induced in the luminal phase a loss in *Ruminococcaceae* in Toddlers 434 1 and 2 while Rikenellaceae and Sutterellaceae decreased in Toddlers 2 and 4. In addition, the 435 population of Tannerellaceae population, which was only present in Toddler 1, disappeared 436 from the mucosal phase after exposure to MPs whereas Enterobacteriaceae increased in mucus-437 associated bacteria from Toddlers 2 and 4. Differential analysis of gut microbiota composition 438 in all donors confirmed significant changes in bacterial populations. In the luminal phase, abundance of Dethiosulfovibrionaceae, Planococcaceae, Enterobacteriaceae, Moraxellaceae, 439 440 Acidaminococcaceae and Oscillospiraceae increased significantly (p<0.05, n=4) after exposure 441 to PE MPs, while the proportion of Tannerellaceae, Rikenellaceae and Monoglobaceae 442 decreased (Fig. 3b).

443 Fewer populations were affected in the mucosal compartment, with an increased abundance of 444 Dethiosulfovibrionaceae, Planococcaceae, Acidaminococcaceae and Oscillospiraceae but a 445 loss in Lachnospiraceae and Monoglobaceae after exposure to PE MPs. The impact of such 446 exposure on microbiota structure was confirmed by  $\beta$ -diversity analysis, which showed 447 clustering between control and exposed samples (Fig. 4c), with a greater impact in Toddlers 1, 2 and 3 compared to Toddler 4. Accordingly, MP treatment also resulted in a significant 448 449 increase in observed ASVs (p < 0.01) in the luminal microbiota only for Toddlers 1, 2 and 3. 450 Similarly, in mucosal samples, the number of observed ASVs tended to increase in Toddlers 1

and 2, and significance was reached in Toddler 3 (p<0.05). Finally, RDA analysis of bacterial  $\beta$ -diversity, based on both 16S metabarcoding and metabolic activity data (see 3.3), indicated that exposure to PE MPs remained the most important parameter explaining variabilities.

# 454 3.3 Impact of exposure to PE MPs on the metabolic activities of human infant 455 microbiota

In parallel to microbiota composition, the impact of exposure to PE MPs was also monitored 456 457 via gut microbial activities, first by monitoring fermentation gas and SCFAs daily (Fig. S3). 458 Similarly to the microbiota composition described above, this analysis showed stable gas and 459 SCFA profiles for each donor at the end of the stabilization period, with the exception of 460 Toddler 3 due to technical problems. Exposure to PE MPs had very limited impact on total gas 461 and SCFA production (Fig. 5a and 5b). Regarding the production of major SCFAs (Fig. 5c), 462 acetate tended to increase after exposure to PE MPs for Toddler 1, 2 and 3, while the opposite 463 trend was observed for propionate. Butyrate production dropped after exposure to PE MPs for 464 all donors with significance reached only for Toddlers 1 (p<0.0001) and 4 (p<0.05), and when 465 data were averaged (p < 0.0001, n=4). The ability of the gut microbiota to produce ligands 466 capable to activate AhR was also determined in Tm-ARCOL supernatants after exposure to PE MPs (day 22) compared to control conditions (day 8) (Fig. 6). After exposure to PE MPs, 467 468 luminal contents tended to have a lower ability to activate AhR in all donors except Toddler 2, 469 suggesting a potential defect in the microbiota to produce metabolites known to activate this 470 receptor involved in the regulation of intestinal homeostasis [40,62]. Finally, an additional 471 analysis was performed on the microbial volatolome from the luminal phase (Fig. 7). 184 VOCs 472 were detected in control and exposed samples (data not shown). The 26 discriminating VOCs 473 between the two groups (Table 2) are hydrocarbons and oxygenated compounds (alcohols, 474 aldehydes, ketones and esters). Volatolomic profiles based on these VOCs indicated a profound 475 effect of exposure to PE MPs (Fig. 7a). The abundances of the 8 most discriminant VOCs (p

0.001) are shown in Table 2 and in Fig. 7b. In particular, the 6 hydrocarbon compounds
(pentane, 3-methyl-; cyclopentane, 1,1,3-trimethyl-; 1-heptene, 2,4-dimethyl-; heptane, 2,3dimethyl-; methyl C9-alkane - RI 814, methyl C9-alkane - RI 821 and methyl C9-alkane - RI
861), two heptanone (2-heptanone and 2-heptanone, 4-methyl-), two alcohols (1-hexanol and
1-heptanol) and two aldehydes (heptanal and octanal) were overproduced while 8 out of 12
esters (among which propanoic acid, ethyl ester and butanoic acid) were underproduced after
exposure to PE MPs.

# 483 3.4 Impact of exposure to PE MPs on intestinal epithelium inflammation, permeability 484 and mucin synthesis as mediated by gut microbiota metabolites

485 In order to explore whether alterations in the metabolic activities of the gut microbiota induced 486 by exposure to PE MPs have consequences on the intestinal barrier, we determined the impact 487 of Tm-ARCOL luminal supernatants on Caco2/HT29-MTX cells. The preliminary toxicity 488 assay on intestinal cells with diluted Tm-ARCOL luminal supernatants showed no cytotoxicity 489 for 1/20 and 1/50 dilutions (data not shown). Therefore, in the following cell experiments, the 490 1/20 (v/v) dilution was used. Various parameters were measured to assess the impact of Tm-491 ARCOL luminal supernatants on trans- and para-cellular permeability. Gene expression of tight 492 junction proteins, OCLN and ZO-1, was not significantly altered by Tm-ARCOL luminal 493 supernatants of the exposed microbiota in Tm-ARCOL, although a clear decreasing trend was 494 observed for both genes in Toddler 1 (Fig. S4a). Trans-epithelial electric resistance ( $\Delta T E E R$ ) 495 (Fig. 8a) as well as the translocation of Lucifer yellow (LY) (Fig. 8b) and horseradish 496 peroxydase (HRP) (Fig. 8b), as indicators of para- and trans-cellular permeability, respectively, 497 were unaffected by Tm-ARCOL luminal supernatants of the exposed microbiota. Interestingly, 498 however, HRP and LY translocation tended to increase in Toddler 1, consistent with decreased 499 ZO-1 and OCLN gene expression. Finally, the expression of mucin-related genes, MUC2 and 500 MUC5AC, was measured without demonstrating any significant effect of Tm-ARCOL luminal supernatants of the exposed microbiota (**Fig. S4b**). Nevertheless, *MUC2* gene expression tended to increase in 3 of the 4 donors (not detected in Toddler 4), while *MUC5AC* gene expression appeared to be slightly overexpressed in Toddlers 1 and 3 only. The inflammatory marker IL-8 (**Fig. 8c**) was also measured and the levels (70-100 pg/mL), detected in the apical and basolateral compartments, were not significantly impacted by the Tm-ARCOL luminal supernatants of the exposed microbiota.

### 507 **4. Discussion**

508 Ingestion of MPs is increasingly recognized as a threat for human health. However, to date 509 available data on the behavior of MPs throughout the human digestive tract are very limited, 510 especially in the infant population. In this study, we showed for the first time, using an *in vitro* colonic model of toddler, that oral exposure to PE MPs alters the composition of gut microbiota 511 512 increasing potentially pathogenic by populations of Dethiosulfovibrionaceae, 513 Enterobacteriaceae, Oscillospiraceae and Moraxellaceae while decreasing Monoglobaceae. 514 These changes in microbial populations have been associated with alterations in metabolic 515 activity, as shown by altered VOC profiles and decreased butyrate production.

516 As an alternative to clinical trials, most of the few studies on the fate of MPs under human simulated digestive conditions have been conducted in in vitro models of the upper 517 518 gastrointestinal tract, i.e. in gastric and small intestinal systems [63-66]. These studies 519 demonstrated that artificial digestive fluids were not able to degrade MPs of five different 520 polymers types (including PE) into smaller fragments or change their shape, size or texture, but 521 described the formation of a biomolecular corona onto the surface of the particle surface 522 [63,64]. Given this lack of physicochemical degradation of MPs in the upper gastrointestinal 523 tract, in the present study we focused on interactions in the lower gut between PE MPs and 524 microbiota, another major component of human gut homeostasis. Yet, only Tamargo and 525 colleagues have studied interactions between human-derived gut microbiota and MPs, but using

526 polyethylene terephthalate -PET- and not PE, also of different size and shape  $(160 \pm 110 \,\mu\text{m})$ , 527 irregular morphology) [66]. We also recently performed a study in the Mucosal Artificial Colon 528 (M-ARCOL) where we assessed the impact of repeated exposure to PE MPs on the composition 529 and activity of the adult gut microbiota [44]. Here, as an extension of this work and in order to 530 be as close as possible to the human situation, we have chosen to evaluate in Tm-ARCOL the 531 effect of a physiological dose of MPs at 21 mg/day according to recent exposure data [67]. PE 532 MPs were administrated daily for 14 days to reproduce chronic exposure, while Tamargo and 533 colleagues evaluated the effect of a single dose of 166 mg PET MPs for 72 h. Finally, their 534 study was carried out in adult digestive conditions whereas we have focused here on toddlers, 535 due to the specificities of their digestive physiology and gut microbiota, which makes them a high-risk population regarding the ingestion of xenobiotics [13,26,68]. During the critical 536 537 period of the first 1000 days, the gut microbiota of infants remains immature in terms of 538 composition and functionalities [35]. This microbiota immaturity has been successfully 539 reproduced in the Tm-ARCOL model [46], with lower microbial diversity, higher abundance 540 of infant-related microbial populations (e.g. Bifidobacteriaceae, Enterobacteriaceaea), higher 541 acetate production and lower butyrate production in toddlers than in adults, consistent with in vivo data [69-71]. Repeated addition of PE MPs resulted in an increased α-diversity in Tm-542 543 ARCOL, as previously reported in mouse fecal microbiota by Li and colleagues after 5-week 544 exposure to MP PEs of 10-150 µm in size [72]. This could be explained by the fact that PE MPs 545 can provide a surface for the formation of biofilm-like microbial communities, as observed here 546 by scanning electron microscopy and previously described with PET MPs in another human 547 colon model [66]. The presence of MPs as providers of adhesion sites but also new carbon 548 sources for microbes has been already described in an environmental context [73–75]. The 549 second option does not seem feasible here since no degradation of MPs was observed using 550 Raman spectroscopy analysis. In contrast to our results, Tamargo and colleagues reported a loss

551 of observed ASVs in the transverse and descending colonic compartments following a single 552 addition of PET MPs [66], suggesting that the effect of MPs on human gut microbiota diversity 553 would be, in addition to the exposure scenario, plastic-dependent (i.e. polymer type, size, 554 shape). In our previous study of the adult microbiota, no change in bacterial diversity was 555 observed after exposure to PE MPs, suggesting that the immature infant gut microbiota may be 556 more sensitive to MPs than the mature microbiota [44]. When mice were exposed to PE MPs, 557 the microbial shifts observed in fecal samples were study-dependent and not comparable to the 558 changes observed in Tm-ARCOL [72,76], certainly due to the large discrepancies between 559 human and rodent digestion and microbiome [45]. Interestingly, the human in vitro study by 560 Tamargo and colleagues [66] on the exposure to PET MPs showed an increase in 561 Proteobacteria and Synergistetes (phylum of Dethiosulfovibrionaceae) as observed in our 562 study, suggesting that these populations could be considered as markers of exposure to MPs in 563 the human gut microbiota. This hypothesis is reinforced by our recent results on the effect of 564 PE MPs on the adult gut microbiota showing an increase, both in the lumen and mucus-565 associated microbiota, of Enterobacteriaceae and Dethiosulfovibrionaceae [44]. For the first 566 time, we also showed in Tm-ARCOL that the impact of MPs can be different between the lumen and mucus-associated microbiota. This is particularly interesting as mucosal microbes appear 567 568 to play an increasing role in human health and disease [77]. In addition, the size of the MPs 569 seems to have a major role on gut microbiota shifts. The use of beads of the same size as in our 570 study (1 to 10 µm) but coming from a different polymer (PS and polyvinyl chloride –PVC-) [78-80] induced more similar effects on mouse fecal microbes (e.g. increase in 571 572 Enterobacteriaceae) than larger PE particles [72,76]. Among the aforementioned cited populations associated to MP exposure, some families, such as Dethiosulfovibrionaceae and 573 574 Enterobacteriaceae, are considered as pathobionts. The Dethiosulfovibrionaceae population has been linked to colorectal cancer [81], while Enterobacteriaceae containing well known 575

576 enteric pathogens, such as Escherichia, Shigella, Campylobacter or Salmonella, may be 577 increased in irritable bowel syndrome -IBS- [82] and intestinal inflammatory diseases in 578 humans [83]. Obviously, not all species in these families are pathogenic but most of them are 579 commensal, highlighting the critical need to better characterize their potential pathogenic 580 characteristics at a lower taxonomic level. It should be noted that the Enterobacteriaceae 581 population appears to have a particular affinity for PE MPs. Indeed, *Escherichia coli* adheres 582 to the surface of PE MPs within 30 s [84]. Similarly, in seawater, Alpha and 583 Gammaproteobacteria have been identified as the core microbiome of biofilms associated with PE MPs [85]. 584

585 Concerning the impact of exposure to PE MPs on the activity of the gut microbiota, apart from our recent study on the adult microbiota in M-ARCOL [44], no in vitro or in vivo study 586 587 involving MPs has been conducted to date. Here, a decrease in butyrate production was notably 588 observed following exposure to PE MPs. This has not been previously shown under adult 589 colonic conditions [44], suggesting an infant-specific effect, which may be associated in this 590 at-risk population with deleterious effects. In particular, the increase in butyrate production 591 during infancy has been described as a key health milestone [69] as the effect of high 592 concentrations of fecal butyrate in one-year old child has been shown to protect against allergies 593 and asthma [86,87]. Of note, AhR activity also tended to be reduced following exposure to PE 594 MPs, suggesting less production of AhR receptor ligands by the gut microbiota. This could be 595 related to the decrease in abundance of some *Tannarellaceae*, like *Parabacteroides distasonis*, 596 which are known to be producers of AhR ligands [88]. The ability of the gut microbiota to 597 produce tryptophan-based AhR ligands influences the integrity of the gut epithelium as well as the development, function, production, and maintenance of several key mucosal immune cells 598 599 and mediators [40,62,89,90]. A deficit in the production of AhR ligands by the microbiota has 600 been reported in inflammatory bowel disease (IBD), celiac disease and obese patients compared 601 to healthy subjects [58,91,92]. As a novel approach, the activity of the gut microbiota was 602 finally investigated in the present study by measuring VOCs. These compounds have important 603 roles in biological interactions between organisms and are currently used as biomarkers of 604 various human diseases, including cancer and gastrointestinal metabolic disorders [93,94]. 605 Marked differences between the abundances of VOCs before and after exposure to PE MPs 606 were highlighted, characterized in particular by an underproduction of 8 ester compounds and 607 an overproduction of 7 hydrocarbons. Again, the shifts in VOC profiles under infant colonic 608 conditions were markedly different from those previously obtained under adult conditions [44]. 609 An increase in fecal esters has been observed in obese patients with non-alcoholic fatty liver 610 disease [39], in IBS patients [95], but also after acute exposure in vitro of human faecal microbiota to benzo[a]pyrene [59]. This suggests that overproduction of esters could be an 611 612 indicator of disturbances in the gut microbiota. Moreover, when detected in the breath, the 613 formation of hydrocarbons/alkanes has advocated as a non-invasive marker of alcoholic 614 hepatitis but also as a measure of lipid peroxidation observed repeatedly in the pathophysiology 615 of IBD [96]. Our study also showed that among other modified VOCs, heptanone (2-heptanone 616 and 2-heptanone, 4-methyl), two alcohols (1-hexanol and 1-heptanol) and two aldehydes 617 (heptanal and octanal) were overproduced after exposure to PE MPs. These compounds were 618 identified during low-density PE photodegradation in the environment [97], suggesting the 619 possibility of PE degradation during our Tm-ARCOL fermentations, but again not consistent 620 with Raman spectroscopy results. In light of these findings, the potential degradation of PE 621 MPs by the human gut microbiota merits further investigation, although the restricted contact 622 time in the human gut during gastrointestinal transit certainly does not promote plastic 623 degradation, compared to longer exposure to soil or water microorganisms in the environment 624 [98–100].

625 Considering the host response to exposure to PE MPs, we finally combined our in vitro colon 626 model with co-cultured intestinal epithelial cells. Such a coupling has already been carried out 627 to evaluate the cellular toxicity of virgin or digested PS or PE MPs [44,64] in adult but never 628 in infant digestive conditions. Previous studies in mice orally exposed to PE MPs have reported 629 deleterious effects on the intestinal barrier characterized by a low-grade inflammatory state 630 [72,101] and conflicting results regarding the production of mucus [76,101]. This negative 631 impact of MPs on the intestinal barrier was confirmed in vitro. Direct exposure of human 632 intestinal Caco-2 cells to MPs led to oxidative stress for PE MPs [102] and increased epithelial 633 permeability for PS MPs [64]. Here, for the first time under infant conditions, we investigated 634 the potential indirect effect of exposure to PE MPs on human intestinal Caco-2/HT29-MTX cells, i.e. mediated by the metabolites of gut microbes. No significant effect was demonstrated, 635 636 since we only observed for some toddlers a trend towards an increase in the expression of mucin 637 genes as well as a non-significant decrease in the expression of genes encoding for tight junction 638 proteins (occludin and ZO-1). Overall, this absence of significant deleterious effects suggests a 639 mucus-protective effect of our co-culture model [103] and/or a loss of the putative response 640 triggered by gut microbial metabolites on inflammation and disruption of the intestinal barrier due to the dilution of the Tm-ARCOL luminal supernatants. 641

642 Taken together, our results suggest that chronic exposure to PE MPs induced significant 643 perturbations in the composition and activity of toddlergut microbiota that may be related to a 644 "dysbiotic" state of the microbiota, even though increased bacterial diversity does not fit the 645 accepted definition of dysbiosis [104]. This study also highlighted that the impact of PE MPs 646 on the gut microbiota and the intestinal barrier in vitro was highly dependent on the donor. For 647 example, exposure to MPs had no significant effect on the microbial diversity of Toddler 4 and 648 induced greater deleterious effects on intestinal permeability in Toddler 1 than in the others. 649 Such data could help to define which infants are high and low "responders" to ingesting MPs.

650 Our in vitro approach can definitively help for a better mechanistic understanding of the 651 interactions between ingested micro- or even nano-sized plastics, the gut microbiota and mucus, 652 considering inter-individual specificities. Further studies are needed to better investigate the 653 effects of different plastic types, surface properties and sizes [105–108] on the structure and 654 functions of the gut microbiota. The potential of the Tm-ARCOL model should be also 655 extended to the simulation of other specific at-risk populations, such as neonates or pathological 656 situations associated with gut microbial dysbiosis, such as obesity in infants [109]. Finally, 657 since MPs may be the carrier of various chemical pollutants, heavy metals, antibiotics or 658 pathogens [110–112], their bioavailability and/or their survival and biological effects could be 659 studied using our *in vitro* approach, as well as their interactions with the human gut microbiota.

660

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666

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### 676 Financial interests

- 677 The authors declare that the research was conducted in the absence of any commercial or
- 678 financial relationships that could be considered as a potential conflict of interest.

679

# 680 Data Availability Statement

Raw data are available at NCBI under the Sequence Read Archive database in the BioProject

682 n° PRJNA831093.

683

## 684 **Ethics approval**

This study is a non-interventional study with no additions to usual clinical cares. The protocol

does not require approval from an ethics committee according to the French Public Health Law

687 (CSP Art L 1121-1.1).

688

689

690

Tables

# Table 1. Primers used for qPCR for total bacteria load, Illumina sequencing for bacteria and Archaeal populations and RT-qPCR to monitor intestinal gene expression

# 

Gene	Primer sequence 5'-3'	Target	Annealing temperature (°C)	References							
Primers qPCR											
16S	F-GTATTACCGCGGCTGCTG R-ACTCCTACGGGAGGCAG	Total bacteria	58	Yu et al. 2005-							
Primers 16S for Illumina sequencing											
16S	F-CCTACGGGNGGCWGCAG R-GACTACHVGGGTATCTAATCC	Bacteria		Klindworth et al. 2013							
16S archaea	F-GYGCASCAGKCGMGAAW R-GGACTACVSGGGTATCTAAT	Archaea	-	Takai et Horikoshi, 2000							
Primers RT qPCR											
Gene	Primer sequence 5'-3'		Annealing temperature (°C)	References							
GAPDH	F-GTGGAAGGACTCATGACCACAG R-GCAGGGATGATGTTCTGGAGAG	Housekeeping gene	60	This study							
OCLN	F-CTGAAGCAAGTGAAGGGATCTG R-CCAACCATCTTCTTGATGTGTGA	Occludin	60	This study							
ZO-1	F-AGCACAGTGCCTAAAGCTATTCC R-AAGATGGTCATACTGTGGTGGC	Zonula occludens 1	60 This study								
MUC2	F-CAGTGCAGCATCCTCAAA R-GCAGAAGCACTCACAGTC	Mucin 2	60	This study							
MUC5AC	F-CACACATGGGAAGCTGAG R-TCGGCAGTCAAAGAACAC	Mucin 5AC	60	This study							

# 697 Table 2. Volatile organic compounds significantly altered by chronic exposure to PE MPs

698 in Tm-ARCOL

- 699 Values are the mean of abundances ( $\times 10^5$ ) of each candidate marker with its standard deviation
- 700 (in brackets).

Candidate markers	Tentatively identification <sup>a</sup>	m/z <sup>b</sup>	Exp. RI <sup>c</sup>	Ref. RI <sup>d</sup>	CAS number	<i>p</i> value	Control	Exposed	Under/over production compared to the control			
Hydrocarbons												
Pentane, 3-methyl-	MS+RI	71	561	578	96-14-0	***	0.2 (74%)	3.9 (29%)	7			
Cyclopentane, 1,1,3-trimethyl-	MS	97	728	NA	4516-69-2	***	0.2 (51%)	3.3 (34%)	7			
Methyl C9-alkane - RI 814	MS	85	814	NA		***	0.5 (49%)	4.8 (22%)	7			
Methyl C9-alkane - RI 821	MS	71	821	NA		***	3.3 (42%)	17.6 (15%)	7			
1-heptene, 2,4-dimethyl-	MS+RI	70	841	855	19549-87-2	***	5.7 (34%)	23.9 (9%)	7			
Heptane, 2,3-dimethyl-	MS+RI	84	856	850	3074-71-3	***	0.7 (44%)	2.1 (17%)	7			
Methyl C9-alkane - RI 861	MS	71	861	NA		*	1.5 (59%)	5.1 (35%)	7			
Esters												
Propanoic acid, ethyl ester	MS+RI	57	710	708	105-37-3	***	1310.7 (26%)	278.9 (57%)	7			
Propanoic acid, 2-methyl-, ethyl ester	MS+RI	71	757	754	97-62-1	*	15.9 (45%)	4.9 (44%)	7			
Butanoic acid, ethyl ester	MS+RI	88	799	802	105-54-4	***	88.4 (15%)	20.1 (59%)	7			
Propanoic acid, propyl ester	MS+RI	57	807	804	106-36-5	**	203.7 (31%)	42.9 (55%)	7			
Butanoic acid, 3-methyl, ethyl ester	MS+RI	88	851	854	108-64-5	**	1.9 (36%)	0.6 (32%)	7			
Butanoic acid, propyl ester	MS+RI	89	892	899	105-66-8	**	31.4 (37%)	8.7 (30%)	کر ا			
Propanoic acid, butyl ester	MS+RI	75	903	908	590-01-2	**	6.0 (3%)	3.7 (29%)	Ń			
Hexanoic acid, methyl ester	MS+RI	74	923	924	106-70-7	*	3.3 (63%)	26.1 (64%)	7			
Pentanoic acid, propyl ester	MS	71	1006	NA	141-06-0	**	6.2 (17%)	2.5 (41%)	7			
Heptanoic acid, methyl ester	MS+RI	74	1031	1021	106-73-0	*	0.3 (44%)	10.6 (65%)	7			
Heptanoic acid, ethyl ester	MS+RI	88	1093	1097	106-30-9	*	0.5 (67%)	15.1 (74%)	7			
Butanoic acid, hexyl ester	MS+RI	71	1193	1192	2639-63-6	**	0.8 (28%)	1.6 (23%)	7			
Alcohols												
1-hexanol	MS+RI	69	866	867	111-27-3	*	759.7 (27%)	2425.3 (50%)	7			
1-heptanol	MS+RI	70	979	970	111-70-6	**	127.8 (47%	1644.9 (36%)	7			
Aldehydes												
Heptanal	MS+RI	81	900	899	111-71-7	**	6.0 (31%)	14.0 (26%)	7			
Octanal	MS+RI	84	1017	1003	124-13-0	**	4.0 (25%)	9.1 (25%)	7			
Ketones												
2-heptanone	MS+RI	58	887	891	110-43-0	*	17.5 (51%)	75.7 (59%)	7			
2-heptanone, 4-methyl-	MS+RI	58	942	936	6137-06-0	*	2.5 (35%)	4.4 (26%)	7			
2-decanone	MS+RI	58	1197	1192	693-54-9	**	5.6 (16%)	2.5 (28%)	У			
701												

702

<sup>703</sup> <sup>*a*</sup> MS + RI, mass spectrum and RI agree with literature data; MS, mass spectrum agrees with literature <sup>704</sup> data; <sup>*b*</sup> Mass fragment used for area determination; <sup>(c,d)</sup> Retention indices on a DB5 capillary column <sup>705</sup> from experimental run <sup>(c)</sup> or bibliographic data <sup>(d)</sup>; NA: Non-available;  $\bowtie$ : underproduction compared

to the control;  $\neg$ : overproduction compared to the control; \*p < 0.05; \*\*p < 0.01; \*\*\*p < Bonferroni

707 *corrected values* 

## 709 Figure captions

# 710 Fig. 1. Outline of *in vitro* fermentations and cell culture experiments

711 a) Schematic representation of the Tm-ARCOL gut model and the parameters used to reproduce 712 the toddler colonic conditions. b) Tm-ARCOL experimental design and analysis. Four 713 bioreactors inoculated with fecal samples from four different toddlers were run in parallel. After 714 a stabilization phase, PE MPs were added daily into the bioreactor at a dose of 21 mg for 14 715 days. The last three days of the stabilization period (days 6, 7, 8) were chosen as the control while the last three days of the treatment period (days 20, 21, 22) were selected as exposed 716 717 days. Atmospheric and luminal fermentation media were sampled daily while mucin-alginate 718 beads were collected every other day for further analysis of microbiota structure or activity. c) 719 Cell culture experimental design and analysis. Caco-2 and HT29-MTX intestinal cells in co-720 culture were seeded using inserts. After an 18-day growth period, cells were exposed during 24 h to day 8 or day 22 luminal supernatants of Tm-ARCOL and cytotoxicity, inflammation, mucin 721 722 gene expression and intestinal permeability were evaluated.

723 AhR: Aryl Hydrocarbon Receptor; ELISA: Enzyme-Linked Immunosorbent Assay; GC: Gas

724 Chromatography; HRP: Horse Radish Peroxidase; HPLC: High-Performance Liquid

725 Chromatography; LDH: Lactate Dehydrogenase; LY: Lucifer Yellow; MP: Microplastic;

- 726 MUC2: Mucin 2; MUC5AC: Mucin 5AC; OCLN: Occludin; PE: Polyethylene; SCFA: Short
- 727 Chain Fatty Acid; TEER: Trans-Epithelial Electrical Resistance; ZO-1: Zonula Occludens-1
- 728

# Fig 2. Scanning electron microscopy observations of PE MPs/microbiota interactions in Tm-ARCOL and Raman spectroscopy analysis of PE MPs at the end of exposed period

a) Representative scanning electron microscopy images of luminal samples and mucin-alginate
beads from Toddlers 2 and 3 before and after exposure to PE MPs. For the mucin-alginate
beads, the analysis was performed after 48 h of colonization in the mucosal external
compartment. The right panel shows a typical mucin-alginate bead and its colonization by gut
microbiota (day 8). b) Example of Raman spectroscopy mapping of the surface of PE MP (the
PE MP appears in yellow in the left image) in the day 22 luminal sample of Toddler 3; the
Raman spectrum is compared with that obtained with the initial suspension of PE MPs.

- 738 *PE: Polyethylene*
- 739

# Fig 3. Effect of chronic exposure to PE MPs on luminal and mucosal bacteria in Tm ARCOL

742a) Relative abundance of the 20 main bacterial populations at the family level during the control743and exposed periods for each donor. M represents the medium surrounding the mucin-alginate744beads. b) Differential Analysis (DESeq2, metagenomeSeq, metacoder, p < 0.05) at the family745level between control and exposed days (n=4).

746

# Fig 4. Effect of chronic exposure to PE MPs on α-and β-diversity indexes of bacterial communities in Tm-ARCOL

- 749 Observed ASVs (a) and Shannon (b) indexes for each donor and on average (n=4), expressed
- 750 as means  $\pm$  SEM. Bacterial  $\beta$ -diversity (c) was also represented using a two-dimensional PCoA
- plot, based on the unweighted UniFrac distance matrix on luminal and mucosal samples (left)
- 752 and a distance-based redundancy analysis (RDA), based on both 16S rDNA gene
- 753 metabarcoding and metabolic activity data, applied to luminal samples and excluding the donor
- effect (right). Statistical differences were tested by one-way ANOVA with \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001, \*\*\*\* p < 0.0001.
- p < 0.001, p < 0.001.
- 756 CONT: Control, EXP: Exposed

# Fig 5. Impact of chronic exposure to PE MPs on gas and short chain fatty acid production in Tm-ARCOL

- 759 **a)** Mean  $\pm$  SEM daily gas production in mL and (**b**) mean  $\pm$  SEM total SCFA production in mM, for each donor and in average (n=4). **c**) Mean  $\pm$  SEM daily acetate, propionate and butyrate
- production in mM for each donor and on average (n=4). C) Weah  $\pm$  SEW daily acetate, propriorate and outyrate production in mM for each donor and on average (n=4).

762 one-way ANOVA with \* *p*<0.05, \*\* *p*<0.01, \*\*\*\* *p*<0.0001.

- 763 CONT: Control; EXP: Exposed; SCFA: Short Chain Fatty Acid
- 764

# Fig 6. Impact of chronic exposure to PE MPs on the capacity of Tm-ARCOL luminal supernatants to activate Aryl Hydrocarbon receptor

- AhR activity is expressed as fold changes relative to the luciferase activity of the control period
- and after normalisation based on sample cytotoxicity (lactate dehydrogenase activity assay). All results are given for each donor and on average (n=4) and expressed as means  $\pm$  SEM.
- 770 AhR: Aryl Hydrocarbon Receptor; CONT: Control; EXP: Exposed
- 771

# Fig 7. Impact of chronic exposure to PE MPs on volatile organic compounds in the luminal compartment of Tm-ARCOL

- **a)** Principal component analysis (PCA) of the control and exposed days including the 26 discriminant VOCs. **b)** Mean of abundance of the eight VOCs with the highest discriminating power. Abundances of selected VOCs are expressed in arbitrary units. \*\*\* p<0.01 Bonferroni corrected value.
- 778

# Fig 8. Impact of Tm-ARCOL luminal supernatants before and after chronic exposure to PE MPs on inflammation and intestinal barrier integrity using a Caco-2/HT29-MTX co culture

- **a)** The difference between the average TEER before and after 24-h exposure to Tm-ARCOL luminal supernatants was calculated and termed  $\Delta$ TEER ( $\Omega$ .cm<sup>2</sup>) as an indicator of paracellular permeability of the co-culture before and after exposure to MPs. **b**) LY transport (Papp in cm/s) and HRP Papp (in cm/s) as an indicator of paracellular and transcellular permeability, respectively. **c**) Mean IL-8 concentration (in pg/mL) in the apical and basolateral media of the cell co-culture. All results were given by donors, also on average (n=4) and expressed as means  $\pm$  SEM.
- 789 CONT: Control; EXP: Exposed; HRP: Horse Radish Peroxidase; IL-8: Interleukin-8; LY:
- 790 Lucifer Yellow; Papp: Apparent Permeability coefficient; TEER: Trans-Epithelial Electrical
- 791 Resistance

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