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# Early Phenotypical Changes Induced By Transfer of Human Fecal Microbiota from Colorectal Cancer Patients to Germ-Free Mice

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## Abstract

Feces from patients with colorectal cancer (HK) and healthy individuals (HN) were inoculated orally into germ-free mice under azoxymethane (AOM) or vehicle. The fecal microbiota remained stable in germ-free (GF) mice up to 42 days after fecal transplantation. Numbers of aberrant crypt foci, levels of MATH1, and HES1 mRNAs in the colonic mucosa, were higher in HK-GF than in HN-GF mice with highest levels observed in HK-GF mice treated with AOM and significantly associated with higher *Bacteroides* and lower *Coprococcus* bacteria in stools. Thus, CRC patients' fecal microbiota can induce early precancerous changes in the colonic mucosa in germ-free mice.

**Keywords:** Colorectal cancer; Fecal microbiota; Gene expression; Dysbiosis; Azoxymethane; Germ-free mice; Aberrant crypt foci

**Abbreviations:** CRC: Colorectal Cancer; AOM: Azoxymethane; ACF: Aberrant Crypt Foci; GF: Germ-Free; HN: Human Healthy Individuals; HK: Human patients with colorectal cancer; HES1: Hairy and Enhancer of Split-1; KLF4: Kruppel-Like Factor 4; MATH1: Murine Atonal Homolog-1, ELF3: E74-Like Factor.

## Introduction

The incidence of many cancers, including colorectal cancer (CRC) has dramatically increased over these past decades. They are so called sporadic and considered to be favored by environmental factors<sup>[1-6]</sup>. Colonic tumorigenesis is a multiple-step process resulting from the accumulation of genetic and/or chromosomal alterations which lead to the development of the adenoma-cancer sequence<sup>[7]</sup>. In animal experimental models and in human, occurrences of aberrant crypt foci (ACFs)

are considered to be early colorectal pre neoplastic lesions<sup>[8-10]</sup> in patients with high risk of CRC. Quantitative changes in cell proliferation prior to the occurrence of mucosal abnormality and accumulated alterations in intracellular signaling pathways, including Wnt/ $\beta$ -catenin signaling, Ras signaling, and p53 signaling contribute to the initiation of the ACF-adenoma-carcinoma sequence<sup>[11]</sup>. PPAR $\gamma$  has also been shown to be involved in chronic inflammation and in CRC progression via the COX-2-derived PGE2 signaling axis that mediates cross-talk between tumor epithelial cells and macrophages<sup>[12]</sup>.

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The human intestinal microbiota contributes to the maturation of the immune system, provides a direct barrier against pathogens<sup>[13]</sup> and is involved in the metabolism of xenobiotics including pro-carcinogens and carcinogens metabolites<sup>[14]</sup>. Recent studies have suggested the contribution of the intestinal microbiota to various metabolic and inflammatory diseases<sup>[15-17]</sup>. In spite of large variations in healthy individuals<sup>[15,16]</sup>, the link between western diet and higher risk of colon cancer in one hand and changes in microbiota, so-called dysbiosis in the other hand, is clearly established<sup>[6]</sup>. Recently, high throughput sequencing techniques have been used to demonstrate changes in the bacterial phylogenetic core in normal individuals and in CRC patients<sup>[18]</sup> and also demonstrate a metabolic shift in the fecal microbiota associated with CRC<sup>[19-22]</sup>. Altogether these results suggest that colorectal cancer could be affected by the environment through the intestinal microbiota<sup>[23]</sup>.

However, whether dysbiosis should be considered as an early promoter for colorectal carcinogenesis or as a result of changes in the tumor microenvironment remains to be investigated. Thus, to investigate early tumour related events, we analyzed short term effects of “specific” human colorectal cancer microbiota on the intestinal mucosa by colonizing germ-free (GF) mice in basal conditions and under azoxymethane (AOM), taken as a chemical carcinogenic agent, in comparison to “human healthy” Microbiota.

## Materials and Methods

### Ethics Statement

All investigations were conducted according to the Animal Research Reporting of *In Vivo* Experiments (ARRIVE) and the French ethical legislation on animal experimentation and the protocol was approved by ethical committee of Henri Mondor Hospital (CCPPRB n°04-004). Written informed consent for fecal microbiota transfer and DNA analysis for study purposes was obtained from all patients.

### Collection of human gut microbiota from patients with CRC and healthy individuals

Fresh human feces were obtained from three male healthy individuals (HN) and two male patients with CRC (HK). Feces were transported at 4°C in special sterile flasks from hospital to the laboratory and used to colonize GF adult mice, at day 0. All individuals were selected based on their colonoscopy results. None of them had antibiotics therapy, chemotherapy or bowel cleansing for colonoscopy within two weeks prior to fecal sample collection.

### Animals and experimental designs

First, comparative effects of HK and HN microbiota were designed with C3H/HeN GF 8-weeks old mice receiving either colon cancer patients fresh fecal sample (HK-GF; n = 27) or normal individual fresh fecal sample (HN-GF; n = 23). Human fecal sample were homogenized in sterile saline solution and 10<sup>8</sup> to 10<sup>9</sup> bacteria were given orally to the mice that were kept in their gnotobiotic isolators up to the time of sacrifice at day 42 (“[Figure S1](#)”). Density of bacteria in the liquid given orally to animals was determined based on spectrophotometer measurement which has been calibrated according to CFU/mL for *E. Coli* cultivated on appropriate media. Additional effect of

AOM (Sigma Chemical Co., St. Louis, MO) 8 mg/kg of body weight or NaCl solutions, administered intraperitoneally at days 14, 21, 28 and 35, was investigated HK-GF and HN-GF mice to induce ACFs without mortality as described<sup>[24]</sup>. Overall, four sets of gnotobiotic mice were then maintained in four separated gnotobiotic isolators under a strict 12 h light cycle (ANAXEM platform, INRA, Jouy-en-Josas, France) for seven weeks: one week adaptation to the laboratory conditions, followed by 6 weeks experimental period. Every week, animals were weighed and fecal samples were collected for DNA extractions and microbiota analyses. At day 42, mice were anesthetized and after sacrifice, blood, spleen tissue samples were collected. Length and thickness of the small and large bowel were measured, the colon opened, and the totality of colonic mucosa carefully pinned flat and compared in between animals by using high magnification slides. Blood Biochemical parameters were assessed at the end of the study protocol.

### Histology and Pathology

Representative samples from proximal and distal ileum and from mid-sided and right-sided colons of each animal were examined. Specimens were fixed in 10% buffered formalin, embedded in paraffin, cut with a microtome at 3 – 4 µm and stained with haematoxylin-eosin (HE) and periodic acid-Schiff and Alcian blue to appreciate the amount of mucus producing cell which have been evaluated semi quantitatively on well oriented tissue slides at magnification 40 using optic microscope. Numbers of mono- or polynuclear cells, mucin cells and lymphoid follicles per animal were expressed per cm of colon. Pathological analyses were assessed by two pathologists who were blinded regarding the experimental data. Discrepancies were resolved by simultaneous reexamination of the slides using a double-headed microscope.

### Pathological analysis and assessment of aberrant crypt foci (ACF)

Number of ACFs was assessed in the totality of the descending colon, in all animals as previously described<sup>[24-26]</sup>. Crypts were considered aberrant if they were at least twice the size of surrounding crypts. The isolated aberrant crypt has been considered as single. Two associated aberrant crypts and three or more aberrant associated crypts were considered as double or multiple ACF, respectively. Inter individual variations in counts were less than 5%. Numbers of ACFs per animal were expressed per cm of colons and means of two observers’ counts were considered.

### Characterization of human bacteria within mice intestinal tract

Mucosal adherent bacteria were studied on frozen sections by using fluorescence *in situ* hybridization (FISH; “[see supplementary information](#)”) as described<sup>[27]</sup>. DNAs from fecal sample were then submitted to 16S rRNA genes targeted pyro sequencing assays for analysis of the abundance and composition of bacteria within the microbiota, respectively according to the primers described elsewhere<sup>[18]</sup>. Raw sequencing reads were cleaned and clustered into OTU at 97% similarity with Lotus software<sup>[28]</sup> using USEARCH v7 as sequences clustering software and 200 nucleotides as minimal sequence length. Real-time qPCR technique were also used to investigate the differ-

ence in bacterial densities as previously described (“table S2”)<sup>[27]</sup>.

### Quantitative RT-PCR of transcription factors

cDNA prepared from total RNA extracted from colon mucosa scrapings of colonized GF mice, were quantified using real-time PCR techniques. The mRNA levels of genes involved in cell renewal (Math1, Klf4, ELF3, and HES1) and those of genes involved in inflammation (TNF $\alpha$ , MIP2, IL10, IL1 $\beta$ , IL6, IL17 and IL17R) were analyzed by using appropriate primers (“table S1”; Eurogentec SA, Angers, France).

### Statistical analysis

All comparisons on data (ACF, inflammatory cells, Lymphocytes, Mucin cells, RNA levels as assessed by qPCR, etc) have been established using X<sup>2</sup>, Mann and Whitney no parametric tests and Anova tests when appropriate with significance set to P value < 0.05. For Microbiota analysis, all statistical analyses were computed with the GNU R software ([www.r-project.org](http://www.r-project.org)). Jensen-Shanon matrix distance (JSD) between samples was computed from the abundance of each genus as described previously<sup>[16]</sup>. The obtained distance matrix was then subjected to a principal coordinate analysis (PCoA) in order to estimate the major variation between samples. Temporal stability of the microbiota in GF mice, was assessed by comparing microbial

composition in mice fecal sample to the baseline (day 0) by using JSD distance metrics. In order to assess the link between host transcription factors and fecal microbiota composition, co-variations analyses were made using multi table functions from the ade4 library<sup>[29]</sup>. Spearman correlations between the principal component and genus proportions were done to test the link between samples and the bacterial composition. GNU R source code and dataset used for statistical analysis of this study are available at <http://github.com/tapj/comica>.

### Results

#### No major phenotypical and metabolic change was observed in the four groups of GF mice

After a 6-weeks colonization period with human fecal microbiota, there was no phenotypical change between HN-GF and HK-GF mice as well as GF mice treated with AOM and NaCl (table 1). No major metabolic change was observed in the 4 groups of GF mice. Nonetheless, there was a 1.2-fold increase in the plasma glucose level in AOM-treated mice and a 1.4-fold decrease in the plasma triglycerides level in HK-GF mice. The specific composition of the fecal microbiota is preserved upon colonization of germ-free mice and remains stable until the end of the experimental period.

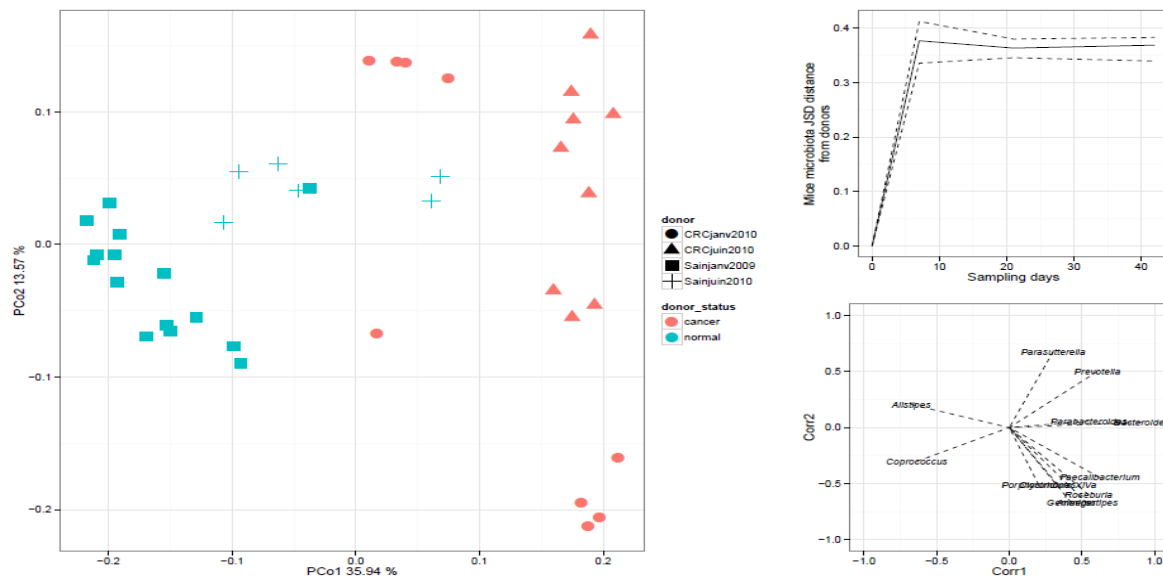
**Table 1: Clinical, biological and histopathological parameters of germ-free mice at week 6 after human microbiota transfer.** Germ-free mice were colonized with human intestinal microbiota from healthy individuals (HN-GF) and age- and gender-matched patients with colorectal cancer (HK-GF) and were treated either with NaCl or AOM treatment. NS: Non significant; AOM: azoxymethane; HDL: high density lipoprotein; SGPT: serum glutamate pyruvate transaminase; SGOT: serum glutamic oxaloacetic transaminase.

	HN-GF			HK-GF			P Value for HN-GF vs. HK-GF	P value for NaCl treatment vs. AOM treatment
	NaCl (n = 10)	AOM (n = 13)	P	NaCl (n = 13)	AOM (n = 14)	P		
<b>Clinical parameters</b>								
Weight gain (grams)	2.0 ± 0.8	3.6 ± 1.1	0.15	3.5 ± 1.1	3.5 ± 1.4	0.99	0.61	0.52
Length of small intestine (cm)	31.0 ± 6.6	33.7 ± 3.6	0.28	35.1 ± 2.1	35.4 ± 1.8	0.82	0.11	0.28
Length of large intestine (cm)	8.6 ± 0.7	8.7 ± 0.9	0.75	9.2 ± 0.7	8.5 ± 0.1	0.06	0.33	0.62
<b>Biological parameters</b>								
triglycerides (mmol/L)	2.38 ± 0.18	2.55 ± 0.63	0.57	1.86 ± 0.78	1.73 ± 0.46	0.60	0.001	0.91
total cholesterol (mmol/L)	3.79 ± 0.31	3.76 ± 0.40	0.84	3.76 ± 0.44	3.61 ± 0.48	0.28	0.36	0.36
HDL cholesterol (mmol/L)	2.62 ± 0.23	2.66 ± 0.37	0.89	2.90 ± 0.25	2.67 ± 0.54	0.41	0.56	0.45
Glucose (mmol/L)	5.96 ± 1.22	7.15 ± 1.89	0.20	5.71 ± 1.31	6.64 ± 1.44	0.09	0.34	0.03
SGPT (U/I)	203.8 ± 132.1	163.4 ± 102.7	0.58	197.6 ± 70.9	213.3 ± 132.9	0.70	0.48	0.90
SGOT (U/I)	576.4 ± 122.0	681.4 ± 618.6	0.65	761.4 ± 590.9	666.9 ± 393.1	0.63	0.67	0.81
<b>Histopathological parameters</b>								
Local inflammation (%)	40%	54%	0.68	31%	69%	0.13	0.99	0.57
Decreased amount of goblet cells (%)	10%	39%	0.18	23%	64%	0.05	0.02	0.24
Aberrant crypt foci (per cm of colonic mucosa)	0.08 ± 0.13	0.39 ± 0.58	0.11	0.44 ± 0.66	0.88 ± 0.82	0.13	0.02	0.05

Comparison of continuous variables was performed using Mann-Whitneyt-test and comparison, of qualitative variables was performed using chi<sup>2</sup>-test whenever appropriate.

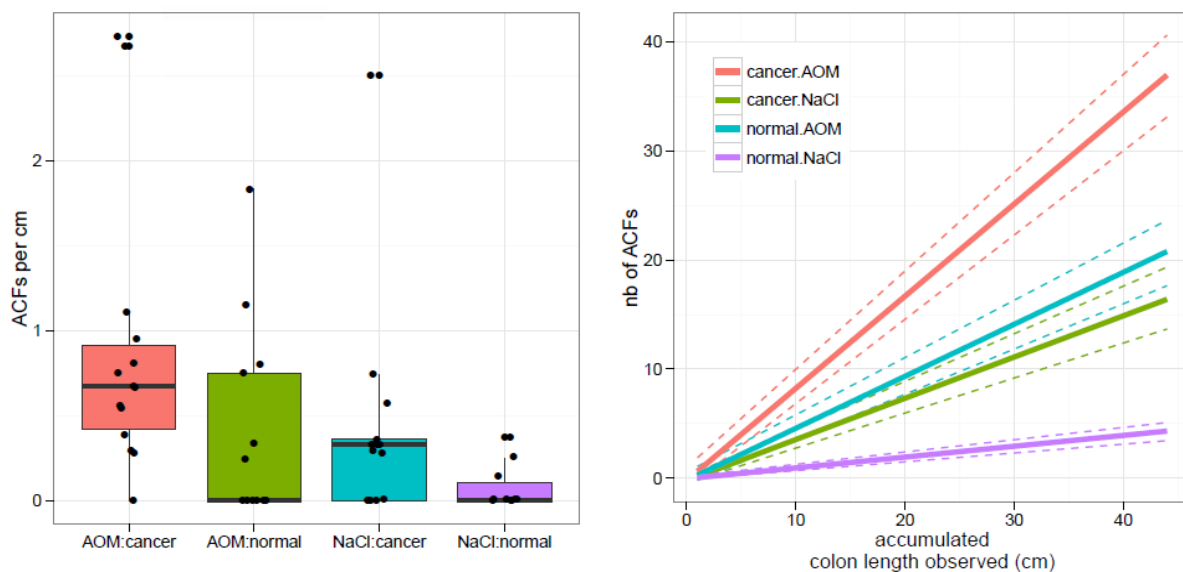
Principal Coordinate Analysis (PCoA) based on 16S rRNA genes reads out (n = 377,355 reads) revealed that the composition of the intestinal microbiota of patients with CRC significantly differs from healthy individuals (p < 0.05, Wilcoxon test on PCo1). The clinical status (HN-GF vs. HK-GF) was the main factor of variations accounting for 35.9% (PCo1) of the analysis while the second coordinate (PCo2) accounting for 13.6% of the analysis (figure 1, left panel).The 15 bacterial genera which significantly

correlated to PCo1 explained differences between HN-GF and HK-GF microbiota (figure 1, bottom right panel). Among these, thirteen were over represented in HK-GF mice (figure 1). *Bacteroides* and *Coprococcus* were the most associated drivers to the separation between HK-GF and HN-GF mice; *Bacteroides* in favors of patients with CRC and *Coprococcus* in favors of healthy individuals.



**Figure 1: Bacterial genera abundance differentiates HK and HN patients and GF mice.** (Left Panel) Principal coordinates analysis (PCoA), based on the 16S rRNA gene pyrosequences and was carried out with 42 samples using Jensen-Shannon divergence (JSD) metrics. Composition of microbiota in HK-GF animals was different than those of HN-GF animals. The first principal coordinate (PCo1 = 35% of inertia) allows to separate HK-GF microbiota as (red symbol) from HN-GF microbiota (blue symbol). (top right panel) Comparison dynamics between microbiota recipient mice (n = 3 by time points per donors) and their donors (n = 4), using JSD distance over time showed that major variation at early stage after transplantation (day 0 to 14) remained unchanged afterward. (bottom right panel) Bacterial genera responsible of this separation are highlighted by significant correlation (P < 0.05) between their abundance and principal components.

The Jensen-Shannon distance metrics showed a larger distance between day 0 (donors) and day 14 and this distance remained stable until the end of the 6-week experimental period (figure 1, top right panel). Quantitative PCR assessment confirmed that the amount of all-bacteria and of some main dominant and sub-dominant bacterial groups was stable over the 6-week experimental period in the 4 groups of mice. Independently of the composition of the fecal microbiota transplanted and of the AOM/NaCl treatment, a trend for a decrease in the *Bifidobacterium* genus was observed in all mice (table S2: primers for qPCR and results of qPCR; for expression of results after normalization, please see Ref [18]) that could have contributed to the variation of the Jensen-Shannon distance index.



**Figure 2: ACFs in the colonic mucosa in mice.** Aberrant crypt foci (ACFs) were observed under optical microscope in formalin-fixed colon tissue from human microbiota (HN or HK) GF mice treated with saline or AOM. Number of ACFs was counted in the colonic mucosa of normal (HN) and colon cancer (HK) individuals' microbiota in GF mice. The data are expressed as numbers of ACFs per cm of mouse colon (left panel). The number of ACFs was plotted as function of colon length observed. Dashed lines represented 95<sup>th</sup> confidence intervals from 100 bootstrap replicates (right panel).



### Number of ACFs in mice colonic mucosa increased with cancer patient fecal sample

Rare ACFs were observed in NaCl-treated HN-GF mice whereas higher numbers of ACFs particularly of single type were observed in the other three groups with rare double or multiple ACFs (figure 2, left panel). HK-GF mice significantly disclosed an increased number of ACFs per cm of colon as compared with HN-GF mice ( $p = 0.02$  for both mice treated with NaCl and AOM) (figure 2, left panel). AOM-treated mice also disclosed a trend an increased number of ACFs per cm of colon as compared with NaCl-treated mice ( $p = 0.05$ ) especially in HK-GF mice ( $p = 0.26$  in HN-GF mice and  $p = 0.01$  in HK-GF mice). Taking the colon length observed, there was no difference AOM-treated HN-GF mice and NaCl-treated HK-GF mice. Difference between AOM-treated HK-GF and NaCl-treated HN-GF mice was significant when only 10 cm of colon was observed (figure 2, right panel).

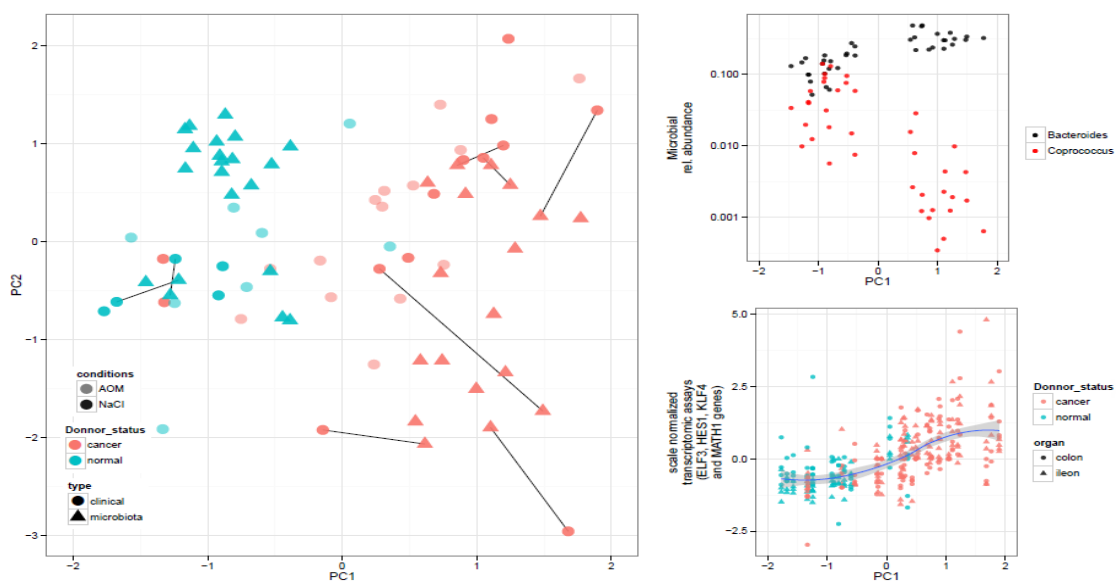
### Intestinal epithelium renewal associated with gut microbiota composition.

Levels of MATH1, HES1, KLF4 and ELF3 mRNAs in the ileum mucosa were significantly 2.5-fold, 3.1-fold, 1.7-fold and 2.2-fold increase in HK-GF as compared with HN-GF mice (table 2). A similar increase was observed in the colon for Math1 and HES1 mRNAs (1.7-fold and 1.9-fold, respectively) but not for KLF4 and LF3 mRNAs.

**Table 2: mRNAs transcriptional parameters of germ-free mice at week 6 after human microbiota transfer.** Real-time quantitative PCR were performed using the Light Cycler 480 System (Roche Diagnostics, Saint-Egreve, France) according to the manufacturer's instructions (table S1; Eurogentec SA, Angers, France). Three housekeeping genes (GADPH, HRPT1, and TBP) were used. The comparative  $\Delta\Delta CT$ -method was used for relative mRNA quantification of target genes, normalized to GAPDH and a relevant control equal to  $2^{-\Delta\Delta CT}$ . Relative values for each PCR product were expressed as a ratio of the target transcript normalized to references genes described above. Mann and Whitney non parametric U test were used.

	HN-GF			HK-GF			P Value for HN-GF vs. HK-GF	P value for NaCl treatment vs. AOM treatment
	NaCl (n = 10)	AOM (n = 13)	P	NaCl (n = 13)	AOM (n = 14)	P		
<b>Ileal samples (mRNAs relative expression)</b>								
HES1	1	2.2 ± 2.2	0.23	5.6 ± 3.0	5.1 ± 2.3	0.66	0.001	0.82
MATH1	1	1.7 ± 1.6	0.36	4.1 ± 3.9	3.1 ± 1.9	0.40	0.004	0.45
KLF4	1	1.1 ± 0.5	0.48	1.8 ± 0.6	1.7 ± 0.9	0.76	0.006	0.74
ELF3	1	2.1 ± 1.3	0.11	3.9 ± 1.8	3.8 ± 2.0	0.89	< 0.001	0.90
<b>Colonic samples (mRNAs relative expression)</b>								
HES1	1	1.6 ± 0.8	0.18	3.9 ± 1.8	3.8 ± 2.0	0.22	0.02	0.34
MATH1	1	1.3 ± 0.9	0.56	1.9 ± 0.6	1.7 ± 0.9	0.84	0.05	0.86
KLF4	1	0.8 ± 0.2	0.13	1.9 ± 1.5	2.0 ± 1.3	0.77	0.90	0.23
ELF3	1	1.0 ± 0.6	0.90	3.1 ± 1.9	2.2 ± 1.5	0.36	0.07	0.32

Results of sPCR have been compared in between groups by using non parametric Mann & Whitney test.



**Figure 3:** Gut microbiota composition co-variations with clinical mice analysis. (Left panel) Co-inertia analysis component between gut microbiota genera composition and clinical data (ACFs number, mRNA levels and blood parameters) was plotted. Dots and square accounted for clinical and microbiota data respectively. Paired analyzed samples were linked with straight black lines. Blue and red color accounted for donor status, normal (HN) and cancer (HK) respectively. AOM-treated mice were pictured with grey dots. The first component from the co-inertia analysis was plotted as function of *Bacteroides* and *Coprococcus* relative abundance (top right panel) and as a function of ELF3, HES1, KLF4 and Math1 gene expression levels normalized in ileac and colonic tissue (Bottom left panel).

Co-inertia analysis revealed that clinical data (ACFs, mRNA levels and blood assays) was associated with fecal microbiota data (figure 3, left panel). The first component of the analysis allowed a significant separation between HN-GF and HK-GF mice driven by both microbiota and clinical factor. This first component was significantly associated linearly with *Bacteroides* and *Coprococcus* genera (figure 3, top right panel). Associations with clinical data was mainly driven by gene expression associated with cell renewal (Math1, HES1, ELF3 and KLF4) which co-varied together from ileum and colon tissues allowing a significant separation between HK-GF and HN-GF mice (figure 3, bottom right panel).

### Mucosa-adherent bacteria analysis, inflammation and metabolism

Bacteria were shown to be adherent to the intestinal mucosa as assessed by FISH staining (“Figure S2”). Mucin producing goblet cells were significantly decreased in AOM-treated mice with also a trend for a decrease in HK-GF mice as compared with HN-GF mice. Although no difference was found in the amount of mono- or polynuclear cells, lymphoid follicles were increased in the ileal and colonic mucosa of HK-GF mice as compared with HN-GF mice. No difference was found in the levels of IL1 $\beta$ , IL6, IL17, IL17R, MIP2, TNF $\alpha$  mRNAs in the ileum, while in the colonic mucosa, a trend for an increase IL17 and IL17R expression were observed in HK-GF mice as compared with HN-GF mice “Figure S3” (data not shown).

**Table 3:** qPCR-based composition of the fecal microbiota for dominant and sub dominant bacteria groups of germ-free mice at days 7, 21 and 42 after human microbiota transfer.

	Tranplanted human microbiota	Condition	Day 7	Day 21	Day 42
<b>All bacteria</b>	HN	Saline	11.61 $\pm$ 0.36	11.87 $\pm$ 0.32	12.11 $\pm$ 0.52
		AOM	11.68 $\pm$ 0.18	11.75 $\pm$ 0.26	11.66 $\pm$ 0.36
	HK	Saline	11.62 $\pm$ 0.25	11.78 $\pm$ 0.24	11.85 $\pm$ 0.30
		AOM	11.69 $\pm$ 0.31	11.65 $\pm$ 0.24	11.91 $\pm$ 0.20
<b><i>Escherichia coli</i> species</b>	HN	Saline	-4.59 $\pm$ 1.67	-4.21 $\pm$ 0.94	-5.09 $\pm$ 1.04
		AOM	-5.11 $\pm$ 0.98	-5.35 $\pm$ 1.14	-4.59 $\pm$ 1.71
	HK	Saline	-5.13 $\pm$ 0.87	-5.03 $\pm$ 0.72	-4.98 $\pm$ 0.70
		AOM	-4.92 $\pm$ 1.24	-5.89 $\pm$ 0.61	-5.24 $\pm$ 1.06
<b><i>Bifidobacterium</i> genus</b>	HN	Saline	-4.61 $\pm$ 1.26	-6.23 $\pm$ 0.91	-5.09 $\pm$ 1.04
		AOM	-4.41 $\pm$ 1.40	-3.52 $\pm$ 2.51	-4.59 $\pm$ 1.21
	HK	Saline	-4.77 $\pm$ 0.87	-5.03 $\pm$ 0.72	-4.98 $\pm$ 0.70
		AOM	-4.56 $\pm$ 1.74	-4.81 $\pm$ 1.74	-4.88 $\pm$ 1.41
<b><i>Lactobacillus/leuconostoc/Pediococcus</i></b>	HN	Saline	-5.32 $\pm$ 0.70	-5.39 $\pm$ 0.82	-6.15 $\pm$ 0.95
		AOM	-5.61 $\pm$ 0.85	-5.73 $\pm$ 0.59	-5.49 $\pm$ 0.62
	HK	Saline	-5.35 $\pm$ 0.74	-5.41 $\pm$ 0.91	-5.47 $\pm$ 0.92
		AOM	-5.52 $\pm$ 0.95	-5.52 $\pm$ 0.90	-5.52 $\pm$ 0.98
<b><i>Blautiacoccoides</i> group</b>	HN	Saline	-1.48 $\pm$ 0.50	-1.67 $\pm$ 0.84	-1.40 $\pm$ 0.55
		AOM	-1.49 $\pm$ 0.22	-0.91 $\pm$ 0.23	-0.64 $\pm$ 0.22
	HK	Saline	-1.99 $\pm$ 1.26	-1.83 $\pm$ 0.47	-2.04 $\pm$ 1.25
		AOM	-1.64 $\pm$ 0.58	-1.74 $\pm$ 0.31	-1.73 $\pm$ 0.44
<b><i>Clostridium leptum</i> group</b>	HN	Saline	-0.85 $\pm$ 0.47	-0.56 $\pm$ 0.20	-0.58 $\pm$ 0.29
		AOM	-0.97 $\pm$ 0.45	-0.82 $\pm$ 0.41	-0.62 $\pm$ 0.33
	HK	Saline	-1.16 $\pm$ 0.33	-1.10 $\pm$ 0.47	-0.98 $\pm$ 0.50
		AOM	-3.79 $\pm$ 1.89	-3.79 $\pm$ 2.15	-3.10 $\pm$ 1.52
<b><i>Faecalibacterium prausnitzii</i> species</b>	HN	Saline	-2.11 $\pm$ 1.46	-1.41 $\pm$ 0.51	-2.43 $\pm$ 2.26
		AOM	-2.49 $\pm$ 1.76	-3.21 $\pm$ 1.70	-2.45 $\pm$ 1.94
	HK	Saline	-4.47 $\pm$ 2.16	-3.58 $\pm$ 2.12	-3.36 $\pm$ 2.05
		AOM	-1.07 $\pm$ 0.20	-1.00 $\pm$ 0.36	-0.87 $\pm$ 0.43
<b><i>Bacteroides/Prevotella</i> group</b>	HN	Saline	-0.99 $\pm$ 0.29	-0.81 $\pm$ 0.21	-0.64 $\pm$ 0.19
		AOM	-0.88 $\pm$ 0.22	-0.91 $\pm$ 0.23	-0.62 $\pm$ 0.22
	HK	Saline	-0.85 $\pm$ 0.24	-0.75 $\pm$ 0.20	-1.01 $\pm$ 0.34
		AOM	-0.72 $\pm$ 0.33	-0.81 $\pm$ 0.23	-0.87 $\pm$ 0.23

Comparison of continuous variables was performed using Student T-test or Wilcoxon test whenever appropriate. All-bacteria results obtained by qPCR were expressed as mean of the log<sub>10</sub> value  $\pm$  SD. Results were expressed as mean of normalized values  $\pm$  SD, calculated as the log number of targeted bacteria minus the log number of all-bacteria. *Faecalibacterium prausnitzii* is the major component of *Clostridium leptum* group.

## Discussion

In the present study, we showed that transplantation of human intestinal microbiota from CRC patients to GF mice, lead to enhanced development of ACF and an early preneoplastic lesion and to carcinogenic functional changes, as compared with healthy individuals. We also showed that the latter early changes occur additively to those observed in mice treated with AOM, a known chemical carcinogenic agent<sup>[24]</sup>. Using fecal 16S RNA genes pyrosequencing analysis, we showed that human intestinal transplantation was stable over a 6-week experimental period, although a decrease in the relative amount of the *Bifidobacterium* genus was observed in all recipient mice. These account for *in vivo* evidence of Koch's principle on the hypothesis that human intestinal microbiota may favor occurrence of CRC likely through interaction with the environment. This *in vivo* experimental study is the first demonstration on "causal" effect of unselected colon cancer associated human Microbiota in an animal model as compared to normal human Microbiota.

Colon carcinogenesis is a multistep progressive process characterized by the accumulation of DNA alterations within the colonic epithelial cells<sup>[7]</sup>. The contribution of the human intestinal microbiota which interplays with the host mucosa and immune system and the environment, has been recently highlighted by we and others<sup>[5]</sup>. Indeed, patients with CRC are characterized by a specific shift in the composition of their intestinal microbiome, so-called dysbiosis, as compared with healthy individuals<sup>[18-20]</sup>. Moreover, the latter dysbiosis mediates functional changes that could promote either the emergence or the expansion of colorectal neoplasia. Causative effects of some bacterial strains have been also shown, from the *Escherichia coli* and *Bacteroides fragilis* producing genotoxins to *Fusobacterium nucleatum* that promotes colorectal carcinogenesis<sup>[21,30-32]</sup>. Mechanisms involved in colon carcinogenesis and bacteria effect has been conducted particularly with *Fusobacterium nucleatum* which should not be considered as a starter candidate<sup>[21,30]</sup>.

Taking in consideration that human intestinal microbiota interplays with the host mucosa at its immune system and the environment<sup>[13,14]</sup>, we transplanted human fecal microbiota to GF mice. Bacteria were found in contact with the mucosa as determined by FISH staining (supplementary data) and could be accounted for cell stimulation in the intestinal mucosa as shown in the current study and by others<sup>[33]</sup>. We assumed if CRC patient's microbial dysbiosis<sup>[19,34]</sup> had causal effect, it might induce early intestinal mucosal changes fitting with carcinogenesis pathways in the recipient. Consequently, upon a short term experimental design we quantified transcriptional changes of 4 main activators of the proliferation and cell differentiation into the main four differentiated lineages (Absorptive enterocytes, Goblet cells, Paneth cells and Entero endocrine cells)<sup>[35,36]</sup>. The fact that higher expression of HES1, MATH1, ELF3 and KLF4 could be documented in HK-GF mice treated with AOM is consistent with an up regulation of the Wnt/ $\beta$ -catenin and Notch signaling pathways in the intestinal mucosa although inflammation and metabolism pathways<sup>[12,37]</sup> require further investigations. Stability of the fecal microbiota composition during the study period supports the contribution of the intestinal microbiota to the observed changes. Of note, a relative abundance loss in the *Bifidobacterium* genus was observed after transplantation but occurred in all the experimental groups suggesting a host effect selection

on the human intestinal microbiota composition. Interestingly, we showed that 13 bacterial genera were enriched in HK-GF microbiota which could influence carcinogenesis in mice with *Bacteroides* as the strongest associated driver (Figure 1). However, whether bacteria or bacterial products are the mediator of those early changes require further investigation.

Nevertheless, various mechanisms such as inflammation<sup>[37]</sup> epithelium maturation, gut epithelial cell proliferation<sup>[38]</sup> energy metabolism, or mucosal immune responses<sup>[39,40]</sup> might be involved. Although the present experimental has been designed to identify very early significant changes depending on HK or HN microbiota, a trend to higher recruitment of immune cells has been observed and could be linked to CRC-related dysbiosis. These have been shown characterizing HN-GF but not HK-GF microbiota. By contrast, we did not detect a significant over-representation of *Fusobacterium* species which is a passenger bacteria with the tumoral mucosa<sup>[30,41,42]</sup> meaning it is likely involved in late stage of carcinogenesis.

## Conclusion

In conclusion, we showed for the first time that transplantation of human intestinal microbiota from CRC patients to GF mice induced early carcinogenic changes through activation of Notch, Wnt/ $\beta$ -catenin pathways. Further works are warranted to clarify putative mechanisms (host gene alteration, inflammation, metabolic, etc) involved in this fecal microbiota-related CRC predisposition to the recipient mice.

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**Competing Interest:** All the investigators have read all reports, including the present manuscript, in entirety. All authors certify that the study has been entirely supported by public funds and no one has any conflict of interest with private nutritional or pharmaceutical company.

## Supplementary information

**Text S1:** Supporting information for pyrosequencing analysis.

Bacterial DNA samples of donors (day 0) and of HN-GF and HK-GF at days 14, 28 and 42 were used to construct pyrosequencing DNA libraries. Universal 16S rRNA gene primers were used for the PCR reaction: V3F (TACGGRAGGCAG-CAG) and V4R (GGACTACCAGGGTATCTAAT) to target the V3-V4 region. Barcode sequences (GsFLX key) TCAG and MIDGsFLX (12 nucleotides) were attached between the 454 GsFLX adaptor sequence and the forward primer V3F. The GsFLX key and the 454 GsFLX adaptor were attached to the reverse primer. The concentration and quality of the PCR prod-



ucts were determined with Picogreen method and to obtain equal amounts of each of the samples. 16S rRNA gene amplicons were sequenced on a Roche GS FLX 454 sequencer (Genoscreen, Lille, France) and processed with standard protocol from manufacturer. Data available on line : <http://github.com/tapj/comica>.

**Text S2:** Supporting text for ACFs assessment.

In the present study, at day 42, large intestines were taken, opened, and left segments were carefully pinned flat, without folds, to examine the totality of colonic mucosa. Crypts were

considered aberrant if they were at least twice the size of surrounding crypts. They have usually elongated openings, lining epithelial cells more prominent and more intensely stained than surrounding epithelial cells, and increased peri cryptal space. The isolated aberrant crypt has been considered as single; two associated aberrant crypts and three or more aberrant associated crypts were considered as double or multiple ACF, respectively. Inter individual variations in counts were less than 5%. Lengths of segments were again measured after fixation. Numbers of ACFs per animal were expressed per cm of colons and means of two observers' counts were considered. **“Back to Top”**

**Table S1:** Transcription factors and cytokines primers for quantification by using real-time PCR

Gene	Reverse primer	Forward primer
<b>Klf4</b>	CAGCTGGCAAGCGCTACA	CCTTTCTCTGATTATCCATTCA
<b>Elf3</b>	GGCCAGAAGAAGAAGAACAG	CCTTAATCCGACTCTCTCC
<b>Hes1</b>	AGCTGGAGAGGCTGCCAAGGTTT	ACATGGAGTCCGAAGTGAGCGAG
<b>IL-17A</b>	GCTCCAGAAGGCCCTCAGA	AGCTTTCCCTCCGCATTG
<b>IL-17RA</b>	TGAAGATGACTACCTCATGCGT	TGACATTAGCTCGCTGATGGAA
<b>IL-1 β</b>	CCTTCCAGGATGAGGACATGA	CGTCACACACCAGCAGGTTAT
<b>IL-6</b>	TGCCTTCTGGGACTGATGCT	TATCTCTCTGAAGGACTCTGG
<b>TNFα</b>	CATCTTCTCAAATCGAGTGACAA	GGGAGTAGACAAGGTACAACCC
<b>MIP-2</b>	TTTTTGACCGCCCTTGAGAGT	CATCCAGAGCTTGAGTGTGAC
<b>GAPDH</b>	GTGTCCGTCGTGGATCTGA	CCTGCTTACCACCTTCTTG
<b>TBP</b>	CAAACCCAGAATTGTTCTCCTT	ATGTGGTCTTCTGAATCCCT
<b>HRPT1</b>	CAGATTCAACTTGCCTCATC	CAAACCCAGAATTGTTCTCCTT

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**Table S2:** Group and species-specific 16S rRNA gene-targeted primers and probes.

Target organism	Primer and probe	Sequence 5' - 3'
<b>All-bacteria (*)</b>	F_Bact 1369	CGG TGA ATA CGT TCC CGG
	R_Prok1492	TAC GGC TAC CTT GTT ACG ACT T
	P_TM1389F	6FAM-CTT GTA CAC ACC GCC CGT C
<b>C. leptum</b>	F_Clept 09	CCT TCC GTG CCG SAG TTA
	R_Clept 08	GAA TTA AAC CAC ATA CTC CAC TGC TT
	P-Clep 01	6FAM-CAC AAT AAG TAA TCC ACC
<b>Bifidobacterium</b>	F_Bifid 09c	CGG GTGAGT AAT GCG TGA CC
	R_Bifid 06	TGA TAG GAC GCG ACC CCA
	P_Bifid	6FAM-CTC CTG GAA ACG GGT G
<b>C. coccoides</b>	F_Ccoc 07	GAC GCC GCG TGA AGG A
	R_Ccoc 14	AGC CCC AGC CTT TCA CAT C
	P_Erec482(*)	VIC-CGG TAC CTG ACT AAG AAG
<b>Bacteroides/Prevotella</b>	F_Bacter 11	CCT WCG ATG GAT AGG GGT T
	R_Bacter 08	CAC GCT ACT TGG CTG GTT CAG
	P_Bac303(*)	VIC-AAG GTC CCC CAC ATT G
<b>E. coli</b>	E.coli F	CAT GCC GCG TGT ATG AAG AA
	E.coli R	CGG GTA ACG TCA ATG AGC AAA
<b>Lactobacillus/Leuconostoc/Pediococcus</b>	F_Lacto 05	AGC AGT AGG GAA TCT TCC A
	R_Lacto 04	CGC CAC TGG TGT TCY TCC ATA TA
<b>F. prausnitzii</b>	Fprau 07	CCA TGA ATT GCC TTC AAA ACT GTT
	Fprau 02	GAG CCT CAG CGT CAG TTG GT

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(\*) modified from reference

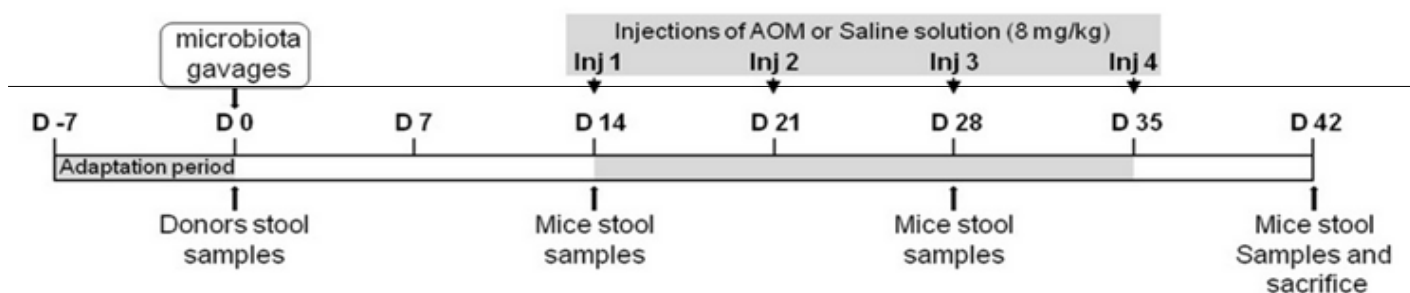
Primers and probes were constructed to target all dominant and subdominant bacterial species in accordance to the pyrosequencing results. Methods have been previously described<sup>[27]</sup>. Probe sequences are in bold.

Results of qPCR quantification of bacteria (for expression of results, see Ref<sup>[27]</sup>)

### Analysis of microbiota in mice stools

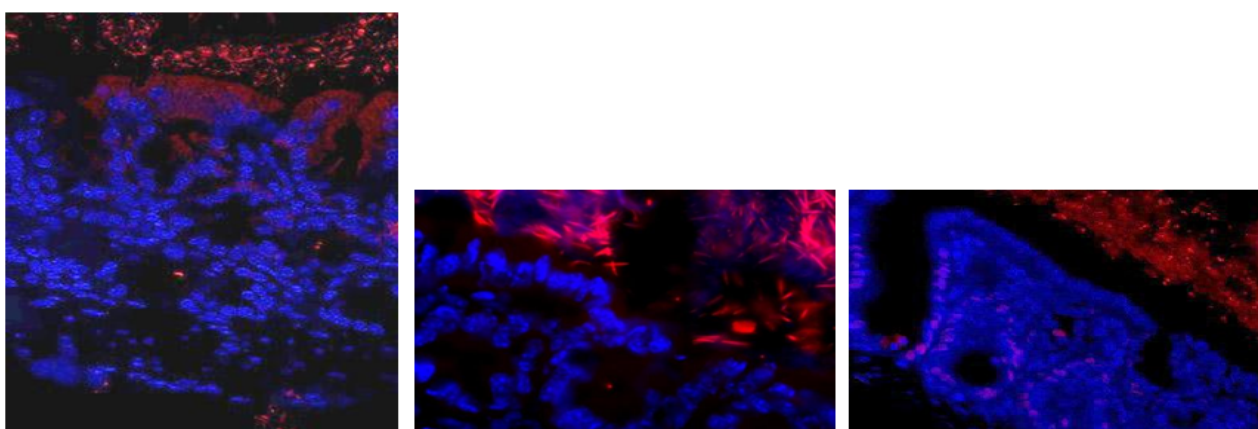
	Temp:	N	<i>All bac</i> <i>eria</i>	<i>Cleptum</i>	<i>Coxsoider</i>	<i>Biferoide</i>	<i>Bifobacterium</i>	<i>E.coli</i>	<i>lactobacillus</i>	<i>Fpsuentici</i>
CRC + AOM	T0	19	11,69 ± 0.31	-1,07 ± 0.20	-1,64 ± 0.38	-0,72 ± 0.33	-4,96 ± 1,74	-4,92 ± 1,24	-5,52 ± 0,95	-3,79 ± 1,89
	T14	19	11,65 ± 0,24	-1,00 ± 0,36	-1,74 ± 0,31	-0,81 ± 0,23	-4,81 ± 1,74	-5,89 ± 0,61	-5,52 ± 0,90	-3,79 ± 2,15
	T28	19	11,91 ± 0,20	-0,87 ± 0,43	-1,73 ± 0,44	-0,87 ± 0,23	-4,88 ± 1,41	-5,24 ± 1,06	-5,52 ± 0,98	-3,10 ± 1,52
CRC + NaCl	T0	18	11,62 ± 0,25	-1,16 ± 0,33	-1,59 ± 1,25	-0,85 ± 0,24	-4,77 ± 1,74	-5,13 ± 0,87	-5,35 ± 0,74	-4,47 ± 2,15
	T14	18	11,78 ± 0,24	-1,10 ± 0,47	-1,83 ± 0,42	-0,75 ± 0,20	-4,65 ± 1,33	-5,03 ± 0,72	-5,41 ± 0,91	-3,58 ± 2,12
	T28	18	11,85 ± 0,30	-0,98 ± 0,50	-2,04 ± 1,25	-1,01 ± 0,34	-5,46 ± 1,54	-4,98 ± 0,70	-5,47 ± 0,92	-3,36 ± 2,05
Control + AOM	T0	13	11,68 ± 0,18	-0,97 ± 0,45	-1,49 ± 0,45	-0,88 ± 0,22	-4,41 ± 1,40	-5,11 ± 0,98	-5,61 ± 0,85	-2,49 ± 1,75
	T14	13	11,75 ± 0,25	-0,82 ± 0,41	-1,27 ± 0,72	-0,91 ± 0,23	-3,52 ± 2,51	-5,35 ± 1,14	-5,73 ± 0,59	-3,21 ± 1,70
	T28	13	11,66 ± 0,35	-0,62 ± 0,33	-1,63 ± 0,58	-0,64 ± 0,22	-5,86 ± 1,15	-4,59 ± 1,21	-5,49 ± 0,62	-2,45 ± 1,94
Control + NaCl	T0	10	11,61 ± 0,35	-0,85 ± 0,47	-1,48 ± 0,50	-0,99 ± 0,29	-4,61 ± 1,35	-4,59 ± 1,67	-5,32 ± 0,70	-2,11 ± 1,45
	T14	10	11,87 ± 0,32	-0,95 ± 0,20	-1,67 ± 0,84	-0,81 ± 0,21	-6,23 ± 0,91	-4,21 ± 0,94	-5,39 ± 0,82	-1,41 ± 0,51
	T28	10	12,11 ± 0,52	-0,58 ± 0,29	-1,40 ± 0,55	-0,64 ± 0,19	-6,61 ± 1,02	-5,09 ± 1,04	-6,15 ± 0,95	-2,43 ± 2,35

Figure S1



**Figure S1:** Schedule and design of the study. After a week adaptation (day -7 to 0), 8 week old C3H/HeN Germ-Free mice were given orally fresh stools either from healthy donors (HN) or from colon cancer patients (HK). Two weeks later (day 14), the mice were intraperitoneally injected (at days 14, 21, 28 and 35) with AOM (8 mg/kg) or with NaCl solution for four weeks. After 6 weeks (day 42), mice were anesthetized, blood was collected and after sacrifice, tissues were collected. Stool samples were collected at day 0, 14, 28 and 42. Four independent experiments were realized in 53 mice. Details of schedule and experiments are presented in the table 1. ”Back To Top”

Figure S2

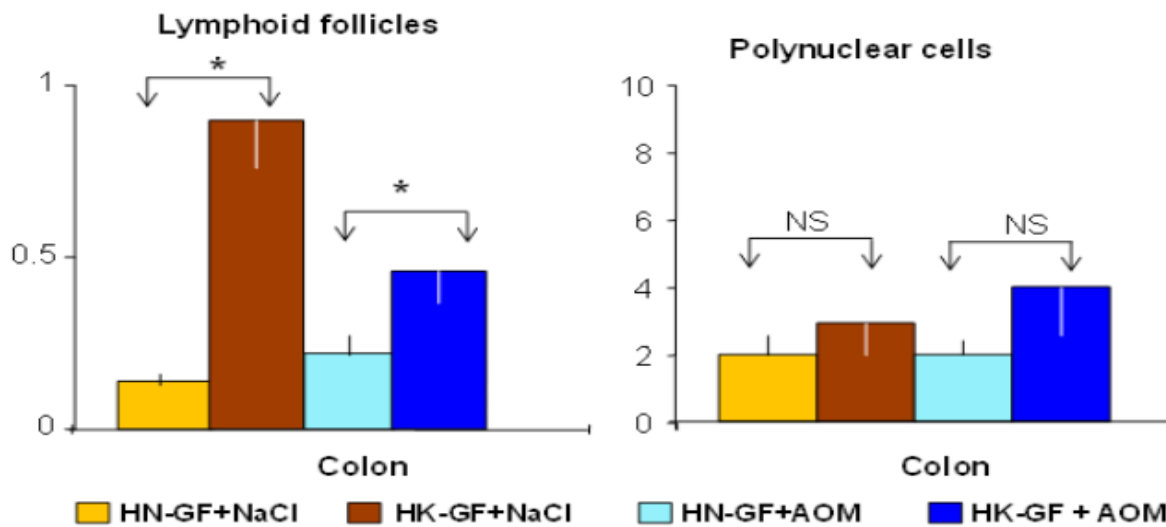


**Figure S2:** Bacteria (red color) were shown within the mucus adherent to the intestinal epithelium (blue color). Briefly, frozen sections were rehydrated in PBS, covered with 3,7% paraformaldehyde in PBS at room temperature for 10 min, rinsed with PBS and covered with a solution of lysozyme at 10 mg/ml in PBS during 10 min at 37°C, washed and incubated 30 min in hybridization buffer (20 mM Tris-HCl pH 8, 0.9 M NaCl, 0.01 % SDS and 30 % Formamide). Slides were incubated overnight in hybridization buffer containing 20 nM of the fluorescent probe (Eub338: GCTGCCTCCCGTAGGAGT) at 42°C, washed in SSC 1X, covered for 10 seconds with DAPI (0.125 g/ml in PBS), and re-washed in PBS before mounted in Prolong Gold Antifade Reagent (Invitrogen). The all-bacteria 16S RNA targeted oligonucleotide probe was covalently linked with Alexa 555 at the 5’-end. Slides were examined under an Olympus BX50 microscope equipped with a CDD camera.

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Figure S3



**Figure S3:** Number of lymphoid follicles and polynuclear per cm of the colonic mucosa. Very rare mono and polynuclear cells infiltrated the colonic mucosa; the number of lymphoid follicles as assessed by histopathology observation appeared to be higher in HK recipient mice. \*:  $p < 0.05$ , NS: non significant.

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