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1 EXTRACTION OF EXTRACELLULAR POLYMERIC SUBSTANCES FROM DAM

2 LAKE FRESH SEDIMENTS DERIVED FROM CRYSTALLINE BEDROCK

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10		HIGHLIGHTS			
11	•	Nine methods and three S/L ratios tested to achieve sediment EPS extraction			
12	•	Extracted organic carbon amount and composition depend on the S/L ratio and method			
13	٠	Physical methods and CER extract mainly EPS, but in small quantities at S/L=1/40			

- Chemical extractions give larger EPS amounts, but are not recommended
- Chemical methods lead to contamination by salts, intracellular, and humic materials

ABSTRACT

18 Extracellular polymeric substances (EPS) produced by microorganisms have a key role in the 19 sedimentary compartment, e.g. promoting aggregation and biostabilisation of sediment 20 particles and increasing chemical reactivity at the water/sediment interface. Therefore, proper 21 extraction methods are needed to study this EPS matrix. In this work, nine extraction methods 22 based on physical (centrifugation, sonication), chemical (sodium hydroxide, sodium 23 pyrophosphate, sodium tetraborate), and both chemical and physical (cation exchange resins, 24 i.e. CER) treatments and their combinations, as well as the solid:liquid ratio used for extraction, were compared based on the quantity and compositions of extracted EPS. The 25 26 organic carbon extracted was quantified and the nature of biochemical macromolecules 27 (proteins, polysaccharides, and humic-like compounds) was evaluated using colorimetric methods. The amount of ATP was used as an indicator of cell lysis and showed contamination 28 29 with intracellular materials in EPS extracted with chemical methods. Moreover, chemical 30 extraction presented a large quantity of impurities due to non-removal of reactant salts by 31 ultracentrifugation. For the nine methods tested, humic-like substances represented the main 32 fraction of the extracted EPS, but for chemical extraction, the presence of humic materials 33 from the sediment organic fraction was due to non-specific extraction of the EPS fraction. 34 Therefore, chemicals methods are not recommended to extract EPS from sediment. Despite 35 their low extraction efficiency, physical methods and CER, *i.e.* 'soft' extraction methods, are 36 preferred using a solid:liquid ratio 1:40.

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- 38

Keywords: EPS, sediment, biofilm, biochemical composition, physical and chemical
extraction.

41 **1. INTRODUCTION**

42 Sediments of continental aquatic ecosystems are formed by a wide variety of organic 43 materials of autochthonous and allochthonous origin (Callisto and Esteves, 1996). Their composition and distribution vary with the rock type, vegetation, topography, and 44 45 meteorology of the site where they are formed. Other factors, such as chemical and biological, limnological, and/or hydrological ones, including the effect of water mass transportation, also 46 47 influence their formation and distribution (Ward, 1992). In these continental environments, 48 dam reservoirs are areas where sediment accumulation is favoured (up to several centimetres 49 per year) (Arnason and Fletcher, 2003). Dams are artificial constructions that interfere with 50 sediment transport due to the change in flow conditions caused by the strong erosion capacity 51 of the transitory flow and the morphological evolution of the channel bed (Cao et al., 2004). 52 These high sediment accumulations represent an important sedimentary record of current 53 sedimentary processes and events and may have an impact on the environmental quality 54 through interactions with the water column and organisms (Pestana et al., 2019).

55 Sediments are used by microorganisms as a substrate, and microorganisms play an important 56 role in the biogeochemical processes of sediment, such as the biodegradation of organic 57 matter and cycling of nutrients (Fang et al., 2017). These communities of autotrophic and 58 heterotrophic organisms can attach and grow in the sediment through the production of a 59 biofilm where they are embedded in a matrix of extracellular polymeric substances (EPS) (Romaní et al., 2013). In intact sediment samples, Grant et al. (1986) were able to examine 60 61 the stabilisation of intertidal sands by diatomaceous mucus films by characterisation of the 62 colloids, polysaccharides, and chlorophyll contents and by scanning electron microscopy. 63 This self-produced three-dimensional structure derived from the metabolism of these 64 microorganisms and environmental inorganic constituents (Mori et al., 2018) affects biostabilisation and deposits of sediment (Fang et al., 2015). The presence of EPS plays an 65

important role in interactions between the sediments and other components of the 66 67 environment (water, dissolved elements, and microorganisms) (Gerbersdorf and Wieprecht, 2015; Shang et al., 2014). This importance is related to the increased chemical reactivity that 68 the presence of this biofilm develops through the biological interface, promoting 69 70 aggregation/biostabilisation of sediment particles (van de Lageweg et al., 2018) and 71 increasing the chemical reactivity and interaction between the soluble phase and the minerals 72 (Donlan, 2002). This increase in reactivity may be responsible for the change in transport of 73 contaminants such as metals (Comte et al., 2008; Labrenz and Banfield, 2004; Walter, 1997) 74 and organic compounds (Edwards and Kjellerup, 2013). Therefore, understanding the 75 chemical and physical reactivity of the EPS, as well as its composition, is of prime interest.

76 EPS consists of different classes of macromolecules that are usually measured after extraction, such as polysaccharides, proteins, and minor traces of nucleic acids and lipids 77 78 (Flemming and Wingender, 2001), and the relative proportion of each fraction depends on the 79 origin of the biofilm considered (Liu and Fang, 2003; Nouha et al., 2018; Sheng et al., 2010). 80 Moreover, for Decho (2011), as EPS can be defined as relatively 'large' molecules contained 81 in the extracellular matrix in the vicinity of cells. A large portion of the EPS fraction does not fit into classical chemical categories and cannot be defined only as proteins, carbohydrates, or 82 83 lipids. EPS extracted from multispecies natural biofilms contain an important fraction of 84 poorly characterised compounds called humic-like substances due to their similar reactivity to 85 humic materials due to their phenol groups. Bourven et al. (2015) also underlined the 86 presence of glycoproteins and sulphated proteoglycan-like in EPS extracted from anaerobic 87 granular sludge. EPS extract from waste water treatment sludges also contains a mineral 88 fraction bound to the EPS organic matter that structures the EPS matrix, as shown by d'Abzac 89 et al. (2010) in sludges.

90 To perform detailed analyses of the reactivity and composition of these biofilm components, 91 one approach consisting in the extraction of macromolecules from the EPS matrix can be 92 performed to separate it from other components of the sediments. EPS extraction protocols 93 created for soil and biological sludge are highly documented (Comte et al., 2006; D'Abzac et 94 al., 2010; Liu and Fang, 2002; Redmile-Gordon et al., 2014) and have been applied to 95 sediments in a few cases (Takahashi et al., 2009). However, methods applied to extract EPS 96 from sediment have not deeply investigated the effect of the extraction method on the quantity 97 and composition of the extracted EPS (Battin and Sengschmitt, 1999; Gerbersdorf and 98 Wieprecht, 2015; Taylor et al., 2013). Thus, a better assessment of the impact of several 99 extraction methods (physical and/or chemical) on the nature of EPS extracted from 100 continental surface sediments is needed. Indeed, some properties of the EPS extract, for 101 example, the ability to bind metals, depend on its composition (Comte et al., 2006; Guibaud et 102 al., 2003). Therefore, differences in extracted EPS features such as extracted amount of EPS, 103 composition, or contamination caused by the extractant solution could affect the measurement 104 of EPS reactivity (Bourven et al., 2012).

105 A first parameter to address the quality of the extracted EPS consists of assessing 106 contamination by the extractants themselves, as suggested by Comte et al. (2006). However, 107 as the extractants used in this study were carbon-free, this point will not be discussed in depth 108 here since it will not impact our measurements based on organic carbon content. Another 109 parameter of assessment of the quality of the extraction method is the amount of cell lysis. 110 The cell lysis rate can be estimated, as presented by Redmile-Gordon et al. (2014) for EPS 111 extracted from soils, by comparing the amount of ATP in the EPS extract to the total ATP 112 content of the sample, thus reflecting the amount of altered cellular biomass.

In this study, physical (ultracentrifugation, sonication), chemical (sodium hydroxide,
tetraborate, pyrophosphate) and both chemical and physical (cation exchange resin, i.e. CER)

115 extraction methods were performed on three samples of freshwater dam reservoir sediments 116 derived from acidic crystalline bedrock with different contents of organic matter and grain size distributions. Three ratios of sediment mass to extractant were also tested on one 117 118 sediment to better specify the effect of physicochemical parameters such as the solid:liquid 119 ratio on the composition of EPS extracted from the sediments. The EPS extraction amount 120 was measured by the amount of organic carbon extracted from sediment biofilms, while the 121 effects of the extraction method on the EPS composition were evaluated based on the contents 122 of polysaccharides, proteins, and humic-like substances. The extraction quality regarding the 123 non-extraction of intracellular material was assessed by estimating cellular lysis by measuring 124 the release of ATP in the extract.

125 2. MATERIAL AND METHODS

126 2.1. ORIGIN, SAMPLINGAND CHARACTERISTICS OF SEDIMENTS

Samples were collected from the surface sediments of three dam reservoirs. Sampling sites are located in the eastern (Champsanglard – CSG) and southern (Charpal – CPL; Villefort – VF) edges of the Massif Central (central France). The watershed geology consists essentially of Paleozoic crystalline bedrock (> 85% granitic and/or metamorphic) with minor sandstones or quaternary alluvial deposits derived from the acidic bedrock. The main characteristics of the lakes and their catchments are summarised in Table 1.

The CSG superficial sediment was sampled in November 2016 with the use of an Ekman tipper from 0 to 7 cm depth, and the CPL and VF superficial sediments were sampled in November 2017 with a shovel from 0 to 20 cm in depth. The samples were kept underwater at 4°C inside hermetically sealed containers until performing the experiment. The physical and chemical characteristics of the three sediments sampled from dam lakes are presented in Table 1.

139 The loss on ignition (LOI) was obtained by weighing previously dried sediment (105°C for 24 h) before and after ignition in an oven at 550°C for 2 h. The concentrations of major elements 140 141 (Si, Al, Fe, K, Mg, and Ca) were measured using an energy dispersive X-ray fluorescence 142 spectrometer (ED-XRF Spectro-XEPOS, Xep05) and were in a similar range between 143 samples. Also, all sediments displayed a neutral pH (Soil Survey Division Staff, 2009). The C 144 content, measured using a Thermo Fisher 2000 elementary analyser, is also presented and was 145 essentially associated with the organic matter in the three samples, since the presence of 146 carbonate minerals was not detected (AFNOR norm NF ISO 10693 (X31-105)). Based on the 147 grain size distribution measured by using a laser diffraction grain size analyser (Malvern-148 Panalytical Mastersizer 3000) and plotted in a Shepard diagram, the CSG sediment was

classified as a silt, while CPL and VF were sandy silts. The CSG and CPL sediments presented a high organic content (LOI: 18.1% and 19.7%, respectively) whereas the VF sediment organic content was lower (13.1%). More details on the sampling and sediment

152 characteristics can be found in Bascle et al. (2020).

Samples		Champsanglard	Charpal	Villefort	
		Dam lake main characteristics			
Abbreviation		CSG	CPL	VF	
Latitude		46.2605	44.6225	44.463	
Longitude		1.8824	3.5632	3.9251	
Dam lake surfa	ice km ²	0.6	1.9	1.3	
French Region		Nouvelle Aquitaine	Occitanie	Occitanie	
Size of watersh	ned km ²	1055	26	128	
Watershed surf	face area with slope >10%	43	22	91	
Major land use		Pastureland	Coniferous	Copse	
-			forest	-	
		Sediment composition			
Global	pH _{water}	6.8	7.0	6.7	
parameters	% LOI (loss on ignition)	18.1 ± 0.1	19.7 ± 0.2	13.1 ± 0.1	
	% Sand $(63 \mu\text{m to } 2\text{mm})$	9.0 ± 1.0	30.0 ± 1.0	25.0 ± 1.0	
Granulometry	% Silt (2 to 63 μ m)	90.0 ± 1.0	67.0 ± 1.0	73.0 ± 1.0	
	% Clay (< 2 μm)	1.0 ± 0.1	3.0 ± 0.1	2.0 ± 0.1	
	% C	8.0 ± 0.3	9.2 ± 0.3	3.5 ± 0.5	
	% Si	27.9 ± 0.1	24.4 ± 0.1	23.3 ± 0.1	
Flomontol	% Al	8.7 ± 0.1	8.7 ± 0.1	6.2 ± 0.1	
	% Fe	3.8 ± 0.1	4.5 ± 0.1	6.0 ± 0.1	
composition	% K	4.3 ± 0.1	2.0 ± 0.1	1.8 ± 0.1	
	% Mg	0.8 ± 0.1	0.9 ± 0.1	0.5 ± 0.1	
	% Ca	0.4 ± 0.1	0.6 ± 0.1	0.1 ± 0.1	
	Carbonates (g kg ⁻¹)	< 1	<1	<1	

153

154 *Table 1: Dam lake main and watersheds characteristics and physico-chemical* 155 *characterisation of sampled sediments*

156 2.2. EPS EXTRACTION METHODS

157 The samples stored in the containers were sieved at 2 mm (in wet conditions) and the $\leq 2 \text{ mm}$

158 size fractions were immediately pre-treated by centrifugation at 1000 G for 10 min

159 (HeraeusTM MultifugeTM X3, Thermo ScientificTM, rotor Fiberlite F13-14x50cy) to remove the

160 excess water from the sample before the application of the different extraction protocols.

161 Nine extraction methods were selected from the literature to investigate their efficiency in 162 extracting the EPS of sediments. Ultracentrifugation (U), which was chosen as the control 163 method, is a physical extraction technique and was the last step in all extraction methods. 164 Sonication (S) was the second physical extraction technique. The chemical extraction 165 techniques were sodium hydroxide (NaOH), sodium pyrophosphate (P), sodium tetraborate 166 (T), and 'mixed extraction techniques', where the previously cited chemical extractants were 167 added after a sonication protocol. The fourth method was the CER method, a mixed method 168 using both chemical and physical means if cationic chemical exchange and physical shearing 169 of resin beads are considered. Centrifugation allows for the separation of water-soluble 170 organic compounds, and is commonly considered as a comparative method as it is the least 171 degradative technique and there is no addition of chemical compounds (Comte et al., 2006). 172 The dispersion induced by sonication is known to enhance EPS extraction. The use of NaOH 173 leads to the ionisation of protonated functional groups in EPS, resulting in strong repulsion 174 forces (Rossi et al., 2018). Sodium tetraborate buffer at pH 9.7 is known to extract organic 175 compounds weakly adsorbed to surface minerals by electrostatic or Van der Waals 176 interactions (Lopez-Sangil and Rovira, 2013). Its extraction mechanism is based on anionic 177 exchange and ionisation of functional groups due to alkaline conditions. Sodium 178 pyrophosphate is a chelating reagent mainly used to extract organic material precipitated by 179 multivalent metallic cations (Lopez-Sangil and Rovira, 2013) without affecting amorphous 180 and/or crystalline oxyhydroxides. Therefore, the involved mechanism is the same as for 181 EDTA extraction, which is well-known for EPS extraction from soils (Rossi et al., 2018) and 182 from sludges (Caudan et al., 2012; Comte et al., 2006; D'Abzac et al., 2010) and was initially 183 applied to sediments (Underwood et al., 1995). Since EDTA extraction is known to be a 184 source of extract contamination that is not removed by dialysis purification and that could lead to the overestimation of EPS metal binding capacity (D'Abzac et al., 2010), sodium 185

186 pyrophosphate was tested as an alternative to EDTA. Moreover, using mineral salts (i.e. 187 sodium hydroxide, pyrophosphate, or tetraborate) instead of organic extractants has the 188 benefit of not carrying over organic carbon in the solution, which avoids the overestimation of 189 organic carbon in the EPS extract (Comte et al, 2006). The organic carbon content of the 190 extract is often used to measure the quantity of EPS extracted, and the use of an organic 191 extractant, which would increase the C content, forbids this method. By combining physical 192 (shear) and chemical mechanisms (cation exchange) in 'soft' pH conditions, CER methods 193 are used for EPS extraction from soil (Redmile-Gordon et al., 2014), sludges (d'Abzac 2010) 194 and sediments (Gerbersdorf et al., 2005). The CER method presents the advantage of 195 maintaining the integrity of cells (preventing contamination by the intracellular material of 196 extraction) and avoiding the co-extraction of humified organic material (Redmile-Gordon et 197 al., 2014). The protocols are briefly described in Table 2.

Each extraction was performed in triplicate to calculate the average value and standarddeviation of each parameter investigated to characterise the extracted EPS.

200 In the first step, the effect of the ratio between the sediment and the volume of the extractant 201 solution on the quantity of the EPS was investigated in the CSG sediment. The ratios tested 202 were 1:10, 1:20, and 1:40 (ratio of dry weight of solid at 105°C to liquid, S/L). Note that 203 concerning the extraction with cationic exchange resin, only one solid:liquid ratio was tested, 204 since in the CER method the key parameter is the CER:Volatil Solid ratio, using a 70 g 205 CER/g VS ratio as proposed by Frølund et al. (1996) and Redmile-Gordon et al. (2014). In the 206 second step, the effect of the EPS extraction method was tested on the three sediment samples 207 described above at the optimal solid:liquid ratio among those investigated in the first step 208 (i.e., 1:40; see discussion in Section 3.1).

Extraction Type	Method	Abbreviation	Protocol	Protocol adapted from:
Physical	Ultra Pure Water (UPW) + Ultracentrifugation ¹	U	Addition of volume of UPW based on chosen S/L ratio Agitation for 1 hour Ultracentrifugation	Caudan et al. (2012)
	Ultra Pure Water + Sonication + Ultracentrifugation	S + U	Addition of volume of UPW based on chosen S/L ratio Sonication Agitation for 1 hour Ultracentrifugation	Caudan et al. (2012)
	Sodium Hydroxide + Ultracentrifugation	NaOH + U	Addition of volume of NaOH 0.1M based on chosen S/L ratio Agitation for 16 hours Ultracentrifugation	Comte et al. (2006); Frølund et al. (1996),
	Sodium Hydroxide + Sonication + Ultracentrifugation	NaOH + S + U	based on chosen S/L ratio Sonication Agitation for 16 hours Ultracentrifugation	Comte et al. (2006); Frølund et al. (1996)
	Sodium Pyrophosphate 0.1 M + Sonication + Ultracentrifugation	P + S	Addition of volume of sodium pyrophophate 0.1M buffer (pH =10.2) based on chosen S/L ratio Agitation for 16 hours Ultracentrifugation Addition of volume of sodium	Lopez-Sangil and Rovira (2013); Bascle et al. (2020), D'Abzac et al, 2010
Chemical	Sodium Pyrophosphate 0.1 M + Sonication + Ultracentrifugation	P + S + U	pyrophophate 0.1M buffer (pH =10.2) based on chosen S/L ratio Sonication Agitation for 16 hours Ultracentrifugation	Lopez-Sangil and Rovira (2013); Bascle et al. (2020), D'abzac et al., 2010
	Sodium Tetraborate 0.1 M + Ultracentrifugation	T + U	Addition of volume of sodium tetraborate 0.1M buffer (pH =9.7) based on chosen S/L ratio Agitation for 16 hours Ultracentrifugation	Lopez-Sangil and Rovira (2013); Bascle et al. (2020),
	Sodium Tetraborate 0.1 M + Sonication + Ultracentrifugation	T + S + U	Addition of volume of sodium tetraborate 0.1M buffer (pH =9.7) based on chosen S/L ratio Sonication Agitation for 16 hours Ultracentrifugation	Lopez-Sangil and Rovira (2013); Bascle et al. (2020),
Chemical and physical	Cationic Exchange Resin	CER + U	70 g of CER per gram of volatile solid (Dowex 20–50 mesh, Na+ form, Sigma-Aldrich) added to a volume of buffer solution (2 mM Na ₃ PO ₄ + 4 mM NaH ₂ PO ₄ + 9 mM NaCl + 1mM KCl adjusted to pH 7 with HCl 2M. Agitation for 2 hours Ultracentrifugation	Redmile-Gordon et al. (2014); Frølund et al., 1996,

¹Ultracentrifugation was carried out for 20 minutes, 10,000 g and at 4°C and sonication was performed at 120 W and 20 kHz for six intervals of 30 seconds, separated by 30 seconds of cooling in ice using a Sonopuls GM 70 (Bandelin) device.

212 Table 2 : Brief description of extraction protocols.

213 2.3. CHARACTERISATION OF EPS

For each extraction protocol tested, the EPS content was evaluated by measurement of the supernatant total organic carbon (Thermo Scientific Flash 2000/TOC-L TNM-L, Shimadzu).

The determination of carbohydrates was performed by the method proposed by Dubois et al. (1956) using the phenol-sulfuric acid reaction and colorimetry (Supplementary material, SM1). Proteins and humic-like compounds of the supernatant were determined using the Lowry procedure described by Frølund et al. (1995). The quantification was carried out by colorimetry with and without the addition of CuSO₄ to omit the colour development due to humic compounds and chromogenic amino acids. For each protocol and ratio, analyses were performed in triplicate.

The quantification of ATP performed on the EPS extract is an indication of the amount of intact cellular biomass (Redmile-Gordon et al., 2014). For the validation of the methods, the total quantities of ATP extractable were measured and compared with the measured ATP contents of each extract for the three sediments. The total ATP extraction was carried out by mixing 100 mg of sediment in 1 mL of cell lysis buffer (assay buffer from ADP/ATP Ratio Assay kit, Sigma-AldrichTM). After 1 hour of agitation, the supernatant was collected for analysis of the ATP content.

ATP quantification was performed using an ATP fluorometric assay kit (Sigma-AldrichTM) with the methodology recommended by the supplier. In brief, 10 µL of sediment extract was added into each well with 0.2 µL of ATP Probe and 2 µL of ATP converter and developer mix. The volume was made up to 100 µL with ATP assay buffer. After development, ATP was measured by fluorometry (FLUOstar Omega, BMG Labtech), with λ_{ex} = 535 nm and λ_{em} = 587 nm.

236 2.4. STATISTICAL ANALYSIS

The results obtained from the mean of triplicate samples were submitted to analysis of variance and the means were compared by Tukey's test ($p \le 0.01$). Statistical tests were

239 performed with XLStat software (Addinsoft, France).

240 **3. RESULTS AND DISCUSSION**

241 3.1. CHOICE OF AN EXTRACTION RATIO (solid/liquid) FOR THE EXTRACTION242 METHODS

The choose on a solid liquid ratio to extract EPS was determined according to the quantity of organic carbon extracted and the distribution of three targeted macromolecules, i.e. proteins, polysaccharides, and humic-like substances. It was carried out on CSG sediment due to its relatively high organic content (18.1%), which should ensure that enough matter is extracted for further analysis.

248 The extracted organic carbon content, obtained by physical methods (ultracentrifugation and 249 sonication + ultracentrifugation) was not statistically different (Tukey test, p-value ≤ 0.01) for the three tested liquid:solid ratios. However, for all chemical methods, the ratio used for the 250 251 extractions were responsible for a statistically significant difference in the extracted organic 252 content (Tukey test, p-value ≤ 0.01). The highest contents obtained were extracted by the ratio 253 of 1:40, followed by 1:10, and 1:20 (Figure 1). The greater liquid phase makes it possible to 254 strongly increase the quantity of the organic carbon extracted content, thereby enhancing 255 particle dispersion and improving EPS extraction. The maximum extraction rate was obtained for sodium pyrophosphate coupled with ultracentrifugation (26 mg C g⁻¹ dry sediment), which 256 represented *c.a.* 30 wt% of the total C content (80 mg C g^{-1} dry sediment, Table 1). 257



258

Figure 1: Extracted organic carbon content (mgC g⁻¹ sediment) extracted from CSG sediment with different extraction ratios by the nine extraction methods (means \pm standard derivation; n = 3; means followed by the same letter indicate that organic carbon content extracted in different ratios for each method do not differ statistically by Tukey's test ($p \le 0.01$)). Complete data are available in supplementary material SM2.

264

The profiles of the extracted macromolecules show an impact of the S/L ratio on the distribution of proteins, polysaccharides, and humic-like substances as a function of the solid:liquid ratio. Indeed, polysaccharides are not or slightly affected by the solid:liquid ratio. A small increasing trend of protein ratio was noticed according to the increase in the solid:liquid ratio. In contrast, a small decreasing trend in the relative abundance of humic-like substances was noted (Figure 2) when increasing the solid:liquid ratio.

271 Due to better picture of the bacterial exo-synthesis activity in link with proteins and 272 polysaccharides contents of the extracts, a greater quantity of organic carbon extracted. 273 Additionally, due to the quantity of sediment required for extraction, the 1:40 ratio was 274 chosen for the extraction of the three sediment EPS by the nine proposed methods.





Figure 2: Distribution of proteins, humic-like substances, and polysaccharides from CSG sediment extracts with different extraction ratios for the nine extraction methods tested.

The extracted organic matter, which is more or less enriched in EPS from organic matter, wasstudied with for the three sediments.

282 3.2. EFFICIENCY OF EXTRACTION METHODS ON THE QUANTITY OF EPS283 EXTRACTED

Section 3.1 shows that a solid:liquid ratio of 1:40 is suitable to extract organic carbon from sediment, but it is important to specify if all organic carbon extracted is EPS or contains a portion of humic materials from sediment, as shown in extractions from soils (Redmile-Gordon et al., 2014, Bérard et al., 2020). To specify and discuss their effects on the quality and purity of extracted EPS, the nine selected extraction methods were tested with the three sediments (CSL, CPL, and VF) at a solid:liquid ratio of 1:40.



Figure 3: Amount of EPS measured by the organic carbon content (mgC g⁻¹ sediment) extracted from the CSG, CPL, and VF sediments by the nine methods tested (means followed by the same letter do not differ statistically among themselves for each treatment by Tukey's test (p-value ≤ 0.01)). Data available in supplementary material SM3).

296

291

297 According to the trend of organic carbon extracted (Figure 3), the extraction yields of EPS 298 vary according to the extraction protocol, which can be divided into two groups: 'soft 299 extraction' based on physical methods (centrifugation and sonication) or mixed extraction, i.e. 300 the CER method, and 'strong extraction' using chemical extractants such as sodium 301 hydroxide, sodium pyrophosphate, or sodium tetraborate. As previously shown (Figure 1), 302 chemical methods extract the more organic carbon from sediment. If sonication coupled with 303 centrifugation enhances the extraction yield for the physical method, coupled with chemical extractant, the benefit of sonication was not consistent and was only present in a few cases 304 305 (Tukey test, p-value ≤ 0.01) (Figure 3). Nevertheless, the better efficiency of chemicals 306 methods for the extraction of EPS needs to be re-evaluated after considering the following 307 discussion.

308 Firstly, these tendencies are offset by purity considerations, since the use of chemical 309 extractors leads to the presence of a large amount of salts in the dried extracts, as shown by 310 the predominance of inorganic contents in the extract dry matter (data available in the 311 supplementary material SM2). Thus, the implementation of purification steps may be needed 312 for further characterisation and/or use. Physical and CER methods can avoid these issues, as 313 already discussed by Bérard et al. (2020), but only allow for the extraction of small quantities 314 of EPS (the C content from EPS is less than 4% of the C content from sediment) compared to 315 the others (e.g. up to 30% with tetraborate). Secondly, according to literature on EPS 316 extraction from soils (Redmile-Gordon et al., 2014, in Bérard et al., 2020), chemical reagents 317 can involve non-specific extraction of non-bacterial humic materials from sediment, which 318 can artificially increase the amount of EPS extracted. The extraction specificity needs to be 319 analysed experimentally with other characterisation methods with the comparison of EPS 320 characteristics extracted by soft methods.

For the physical and the CER extraction methods, where EPS extraction specificity is shown in the literature (Redmile-Gordon et al., 2014), we observed that the CSG sediment was the one from which the most EPS has been extracted, while VF and CPL had similar values despite a significant difference in the organic matter content. This suggests that the amount of extractable EPS depends primarily on the nature and/or accessibility of these organic compounds, rather than the amount of organic matter in sediments.





Figure 4: Contents of proteins, polysaccharides and humic-like substances, in the EPS fraction extracted from the CSG, CPL, and VF sediment 330 extracted with different methods at an S/L ratio of 1:40. Means with different letters differ statistically for each data set when comparing the 331 extraction methods (Tukey p < 0.01).

332 3.3. EFFECT OF DIFFERENT EXTRACTION METHODS ON CELL LYSIS

However, before looking at the specificity of each method, their validity was assessed in
terms of the 'non-lysis' of microbial cells during the extraction process of EPS from three
sediment samples.

The EPS matrix contains small quantities of nucleic acid (Flemming et al, 2001), so an increase in the ATP content in the EPS extracts is used as an indicator of cell lysis and cell membrane permeability (Azeredo et al., 2003). In this study, the amount of ATP in the EPS extract from the three sediments obtained by the nine methods was compared to the total ATP value of each sediment (assumed to represent 100% of the sediment ATP) (Table 3).

341 The percentage of cells lysed varied with the type of extractor in the following order: U <
342 S+U = CER << P+U < P+S+U < NaOH+U < T+S+U < NaOH+S+U = T+U.

The physical (ultracentrifugation and ultracentrifugation + sonication) and the mixed CER methods presented ATP contents in the EPS extract that were below 5% of the total ATP present in sediments, indicating that a small number of cells was lysed during the extraction process. In contrast, the chemical extraction methods, with or without sonication, led to higher ATP content in the EPS extract, ranging from 7 to 45% of extractable ATP. This suggests the release of intracellular material and that methods using chemical reagents are not satisfactory from this perspective.

Extraction method	Sample site	Average	Standard derivation
	~~~~		%
	CSG	0.4	0.1
Ultracentrifugation	CPL	0.9	0.1
	VF	4.0	2.4
	CSG	0.6	0.1
Sonication	CPL	1.2	0.1
	VF	2.1	0.3
	CSG	21.6	1.1
NaOH + U	CPL	11.8	0.1
	VF	26.6	1.6
	CSG	24.6	1.4
NaOH + S + U	CPL	12.9	0.6
	VF	35.9	8.5
	CSG	13.8	1.0
P + U	CPL	8.7	2.3
	VF	16.3	4.1
	CSG	12.9	0.1
P + S + U	CPL	8.4	0.5
	VF	23.9	9.0
	CSG	24.8	0.7
T + U	CPL	17.8	1.7
	VF	14.2	1.2
	CSG	24.0	2.4
T + S + U	CPL	16.0	0.9
	VF	17.9	5.5
	CSG	0.7	0.2
CER+U	CPL	<0.1	0.0
	VF	1.1	0.5

Table 3: ATP percentage of the three sediment extractions obtained with the nine methods
compared to the total ATP value of each sediment (representing 100% of the sediment ATP).

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#### 354 3.4. MACROMOLECULE PROFILES OF THE EXTRACTION METHODS

The evolution of the content (in mg g⁻¹dw sediment) of proteins, polysaccharides, and humiclike substances obtained from the CSG, CPL, and VF sediment extracts by different methods for EPS extraction is shown in Figure 4. This presentation compares the ability of methods to extract a greater or lesser amount of a type of macromolecule from a sediment, but we need to keep in mind that chemical methods induce contamination with intracellular macromolecules in the EPS extract (Table 3) and the non-specific extraction of humic materials from sediments, according to the literature.

Indeed, Redmile-Gordon et al., 2014, and Bérard et al. underlined the issue of EPS extract 362 363 contamination by humic materials from soil. Some reagents used in our work to extract EPS 364 from sediment, such as NaOH, pyrophosphate, or tetraborate, are also communally used to 365 extract organic matter from soil or sediment, but under different experimental conditions 366 (time, concentration, etc), and as such the issue of contamination of the EPS extract with 367 humic materials need to be considered. In contrast to proteins and polysaccharides, humic-like 368 substances are not macromolecules from cells and the reagents of chemicals method can 369 induce the non-specific extraction of humic material from sediment and contaminate EPS 370 extract with humic-like substances not considered to be associated with the EPS. Chemical 371 methods and methods using sodium hydroxide and pyrophosphate show the highest quantity 372 of EPS extracted (Figure 3) with a high content of humic-like substances (Figure 4). By 373 increasing the solution alkalinity, sodium hydroxide extraction permits the breaking of 374 electrostatic bonds, enhanced ionisation of functional groups (phenolic, carboxylic), and 375 repulsive electrostatic forces, leading to higher extraction of humic-like substances (Dick and 376 Burba, 1999; Stevenson, 1994) from EPS, but also from humic materials of the sediment. 377 Indeed, NaOH is widely used for the extraction of humic substances from soil and humic-like 378 substances from EPS are assumed to have the same chemical properties as humic materials 379 from soils. The use of sodium pyrophosphate as a substitute for EDTA leads to a high 380 extraction content, which could be attributed to the dissolution of calcic humates (Pansu and 381 Gautheyrou, 2006). The mechanism of action of pyrophosphate shows that the humic-like 382 substance fraction of the extract originated from humic-like substances associated with the 383 matrix of EPS, but also from humic materials in the sediment. Sodium tetraborate extracted 384 less EPS (Figure 3) than the two other chemicals reagent used, but due to its mechanism of 385 release of organic matter, it can also be assumed to provide non-specific extraction of humic-386 like substances in EPS. Sodium tetraborate is known to extract organic compounds weakly

387 adsorbed to a mineral matrix by means of electrostatic or Van der Waals interactions, such as 388 hydrogen or cationic bridges with clay particles, through anionic exchange or the ionisation of 389 functional groups (Lopez-Sangil and Rovira, 2013). The specific effects of sodium 390 pyrophosphate and/or sodium tetraborate may be accompanied by more general pH-related 391 effects. This could explain the lower EPS extraction (Figure 3) and lower humic-like 392 substance content (Figure 4) with sodium tetraborate as well as the pH difference (10.2 and 393 pН range corresponds 9.7. respectively). Indeed, this with the polyphenol 394  $pKa[pKa(PhOH/PhO^{-}) = 9.95]$ . The ionisation of these functional groups could vary 395 significantly with pH.

396 The chemical method extracts contained a greater quantity of macromolecules than the 397 physical or CER methods, but from both biofilms and humic materials. Nevertheless, for 398 biological sludges or biofilms, it is well-known that chemical methods are more efficient at 399 extracting EPS than physical or CER methods. In the case of extraction of EPS from 400 sediments, we cannot exclude that more macromolecules from EPS were extracted due to the 401 higher strength of the methods using chemicals. However, it is not currently possible to be 402 confident about this. As highlighted by Seviour et al. (2019), for EPS from a biological 403 matrix, more investigations are required to better understand what is really extracted by EPS 404 extraction methods. This statement may also be applied to EPS extracted from biofilm 405 associated with a mineral and organic matrix such as soils or sediment.

406 Physical and CER methods extracted a similar quantity of organic carbon regardless of the 407 solid:liquid ratio used (Figure 1), but the relative abundance of humic-like substances for the 408 CER method (Figure 2) was the highest. Redmile-Gordon et al. (2014) considered that the 409 CER method does not significantly extract humic materials from soil. We can assume 410 regarding the extracted organic carbon and the humic-like substance content of EPS (Figure 1) and Figure 2), that centrifugation or centrifugation coupled with ultrasonication methods donot extract humic materials from soil.

If we consider the composition of EPS extracts obtained by physical methods and CER, which 413 414 did not induce significant contamination of the extract by humic material or the intracellular 415 content of cells (Table 3), we noted that the major compounds in EPS were humic-like substances. The content of proteins and polysaccharides was low (less than 5 mg g⁻¹dw) and 416 showed no or only a slight difference for the three soft methods. Moreover, it can be 417 418 considered that the humic-like substances and carbohydrate fractions present the same profile 419 while the protein fraction stands out a little more These results differ from the studies of 420 Redmile-Gordon et al. (2014) or Bérard et al. (2020), where EPS was also extracted from soil 421 by the CER method. In these studies, humic-like substances was the main fraction extraction 422 of the extracted EPS, but proteins or polysaccharides were extracted in greater quantities, 423 sometimes with a similar content as humic-like substances. This can be linked to the nature of 424 the biofilm found in the sediment or in the rhizosphere of the soil, but also to the lower 425 content of organic matter in the soils of these studies compared to the high organic matter 426 content of sediment (Table1).

#### 427 **4.** CONCLUSION

The efficiency and characteristics of nine EPS extraction methods were assessed for the first time in three dam reservoir sediments derived from acidic bedrock (magmatic and/or metamorphic). The results highlight the impact of the solid:liquid ratio on the extracted EPS quantities and that increasing the liquid ratio enhanced the extraction of EPS and protein substances through an increase in sediment dispersion. Cell lysis was assessed using ATP measurements and found to be highly dependent on the method used, with a greater prevalence in chemical extractions. Physical extraction methods did not contaminate the EPS extract with extraction reagents, but small amounts of EPS were extracted (< 6 wt% C). In contrast, chemical extraction methods provided a larger amount of EPS (> 16 wt% C ) in appearance, but the EPS extract was contaminated by (i) intracellular material due to significant cell lysis, (ii) a high salt content from the chemical reagent used for extraction and (iii) non-specific humic materials from sediment and from EPS.

440 Chemical extractions appear to be weak candidates for the extraction of EPS from sediments.

441 Physical methods and CER seem to guarantee a more specific extraction of EPS from

442 sediments. These results, coupled with the preservation of cells, favour these methods for EPS

443 extraction from sediments.

#### 444 Author contributions

Thibaut Le Guet: investigation, validation, formal analysis, writing - original draft; Marilia
Camotti Bastos: investigation, validation, formal analysis, writing - original draft; Isabelle
Bourven: conceptualisation, methodology, investigation, writing - review editing, Valentin
Robin: conceptualisation, methodology, writing - review editing; Gilles Guibaud:
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