



**HAL**  
open science

## Distribution of non-synonymous Vkorc1 mutations in roof rats (*Rattus rattus*) in France and in Spain - consequences for management

Marlène Damin-Pernik, Abdessalem Hammed, Ludivine Giraud, Joffrey Goulois, Etienne Benoit, Virginie Lattard

### ► To cite this version:

Marlène Damin-Pernik, Abdessalem Hammed, Ludivine Giraud, Joffrey Goulois, Etienne Benoit, et al.. Distribution of non-synonymous Vkorc1 mutations in roof rats (*Rattus rattus*) in France and in Spain - consequences for management. *Pesticide Biochemistry and Physiology*, 2022, 183, pp.105052. 10.1016/j.pestbp.2022.105052 . hal-03882401

HAL Id: hal-03882401

<https://hal.inrae.fr/hal-03882401v1>

Submitted on 22 Jul 2024

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution - NonCommercial - NoDerivatives 4.0 International License

1     **Distribution of non-synonymous *Vkorc1* mutations in roof rats (*Rattus rattus*) in France**  
2                                     **and in Spain - Consequences for management.**

3

4

5     Marlène Damin-Pernik<sup>1,2</sup>, Abdessalem Hammed<sup>1</sup>, Ludivine Giraud<sup>1</sup>, Joffrey Goulois<sup>1,2</sup>,  
6     Etienne Benoît<sup>1</sup> and Virginie Lattard<sup>1</sup>

7

8     <sup>1</sup> USC1233 RS2GP, INRAe, VetAgro Sup, Univ Lyon, F69 280 Marcy-l'Étoile, FR

9     <sup>2</sup> Liphatech, Bonnel, 47480 Pont du Casse, France

10

11

12     Corresponding author: Virginie Lattard

13     USC 1233 INRAe-Vetagro Sup 69280 Marcy l'Etoile, France

14     Email: virginie.lattard@vetagro-sup.fr, Phone: +33-(0)4 78 87 27 27; Fax: +33-(0)4 78 87 05

15     16

16

17

18

19

20

## 21 **Abstract**

22 Rodent control is mainly done using anticoagulant rodenticides leading to the death of rodents  
23 through internal bleeding by targeting the VKORC1 protein. However, mutations in  
24 VKORC1 can lead to resistance to anticoagulant rodenticides that can cause treatment failure  
25 in the field. This study provides the first insight into the distribution, frequency and  
26 characterization of *Vkorc1* mutations in roof rats (*Rattus rattus*) in France and in three  
27 administrative areas of Spain.

28 The roof rat is present in France while it was thought to have almost disappeared with the  
29 expansion of the brown rat. Nevertheless, it has been found mainly in maritime areas. 151  
30 roof rats out of 219 tested presented at least one missense mutation in the coding sequences of  
31 *Vkorc1* gene (*i.e.* 69.0% of the rat). Nine *Vkorc1* genotypes were detected (Y25F, A26P,  
32 R40G, S57F, W59C, W59R, H68N, Y25F/K152T and Y25F/W59R. Biochemical  
33 characterization of the consequences of these different genotypes proved that these various  
34 genotypes did not induce severe resistance to anticoagulant rodenticides.

35 Even if many mutations of the *Vkorc1* gene are present in roof rat populations in France, their  
36 management may be based in a first approach, considering the low levels of resistance  
37 induced, on the use of first-generation anticoagulants less dangerous for wildlife. The use of  
38 second-generation may be considered when treatment failure is observed or when bait  
39 consumption is limited

40

## 41 **Keywords**

42 *Rattus rattus*; Anticoagulant rodenticide; VKORC1; Mutation; Resistance, VKOR activity  
43 assay

44

## 45 **Abbreviations**

46 AR, anticoagulant rodenticide; VKORC1, Vitamin K epoxyde reductase complex subunit 1;  
47 PCO, pest control operator

## 48 **1. Introduction**

49 Rodents, by sharing our environment, can transmit to humans, directly or indirectly, more  
50 than 40 zoonotic pathogens, such as *Yersinia pestis*, *Leptospira sp.*<sup>1</sup> Furthermore, the roof rat,  
51 as vector of *Yersinia pestis* is the responsible for the black plague, causing death to more than  
52 a third of Europeans in the fourteenth century. They can also cause the destruction or  
53 degradation of important quantity of crops, corresponding approximatively to 10% of the  
54 grain crops in the world.<sup>1,2</sup> Moreover, rodents also damage substructures and electric or  
55 electronic networks.

56 To manage these populations of rodents, chemical controls have been organized since 1950  
57 by using anticoagulant rodenticides (ARs), the only effective molecules. Thus, their delayed  
58 action, with death occurring 3–7 days after bait consumption, obviates the alimentary aversion  
59 problem, which is a very important behavioral trait among rodents. Indeed, ARs, by inhibiting  
60 the VKORC1-dependent vitamin K epoxide reductase enzyme,<sup>3,4</sup> lead to the progressive  
61 reduction of the pool of vitamin K necessary for the activation of clotting factors II, VII, IX,  
62 and X.<sup>5,6</sup> Therefore, prolonged or repeated exposure to ARs lead to the death of rodents by  
63 hemorrhage. Unfortunately, quickly after the first use of ARs, resistant rodents are described  
64 in Europe<sup>7,8</sup> and in the United States.<sup>9</sup> Later, it was also described everywhere in the world,  
65 such as Canada,<sup>10</sup> Australia<sup>11</sup> and Japan<sup>12</sup>. The emergence of such resistance to anticoagulants  
66 belonging to the first generation (*i.e.*, warfarin, diphacinone, coumatetralyl, chlorophacinone)  
67 led to the development of new Ars belonging to the second generation (*i.e.*, bromadiolone,  
68 difenacoum, flocoumafen, brodifacoum and difethialone) in the 1970's and 1980's. Two main  
69 resistance mechanisms have since been described. The first, metabolic mechanism due to an  
70 overexpression of cytochrome P-450 3A, is essentially described in Japan.<sup>12,13</sup> The second, the  
71 most common resistance mechanism, is a result of single-nucleotide polymorphisms (SNPs)  
72 in the *Vkorc1* gene, leading to an enzyme that is less sensitive to the action of Ars.<sup>3,4</sup> This  
73 mechanism of resistance has been extensively studied in Europe and around the world in  
74 brown rats,<sup>14–18</sup> house mice<sup>17–20</sup> and humans.<sup>21</sup> It is thus described to be highly prevalent in  
75 brown rats and mice. However, this mechanism has been less studied in the roof rat,  
76 especially in Europe where this rodent specie is considered to have disappeared. Only few  
77 studies described mutations in the *Vkorc1* gene in the roof rat in Europe<sup>17,22</sup> or worldwide.<sup>23–25</sup>  
78 In this paper, we report the different mutations of *Rattus rattus Vkorc1* gene observed in  
79 different parts of France and Spain. Using recombinant VKORC1 protein, we thus analyzed  
80 the catalytic consequences of all the different mutations described to date in roof rat in order

81 to evaluate the resistant phenotype associated with these mutations. This characterization  
82 allowed us to better understand the origin of the resistance described by the pest management  
83 operator in the field.

84

## 85 **2. Materials and Methods**

### 86 **2.1. Materials**

87 Vitamin K1 (Phylloquinone-2-methyl-3-[(E,7R,11R)-3,7,11,15-tetramethylhexadec-2-  
88 enyl]naphthalene-1,4-dione) was converted to vitamin K<sub>2</sub> according to Tishler et al. [12].  
89 Purity was estimated by LC/MS and was higher than 99%. Sodium warfarin (3-( $\alpha$ -  
90 Acetylbenzyl)-4-hydroxycoumarin sodium salt, 4-Hydroxy-3-(3-oxo-1-  
91 phenylbutyl)coumarin), difenacoum 3-(3-Biphenyl-4-yl-1,2,3,4-tetrahydro-1-naphthyl)-4-  
92 hydroxycoumarin, 3-(3-Biphenyl-4-yl-1,2,3,4-tetrahydro-naphthalen-1-yl)-4-  
93 hydroxychromen-2-one) and brodifacoum (3-(3-(4'-bromobiphenyl-4-yl)-1,2,3,4-tetrahydro-  
94 1-naphthyl)-4-hydroxycoumarin) were purchased from Sigma–Aldrich (Saint Quentin  
95 Fallavier, France) with a purity higher than 98% (Figure 1). Chlorophacinone (2-[(4-  
96 chlorophenyl)phenylacetyl]-1H-indene-1,3(2H)-dione), bromadiolone (3'[3-(4'-  
97 bromobiphenyl-4-yl)-3-hydroxy-1-phenylpropyl]-4-hydroxycoumarin) and difethialone (3'[3-  
98 (4'-bro'o[1,1'-biphenyl]-4-yl)-1,2,3,4-tetrahydro-1-naphthalenyl]-4-hydroxy-2H-1-  
99 benzothiopyran-2-one) were supplied by Liphatech (Pont de CFranceFrance) with a purity  
100 higher than 98% (Figure 1). Methanol HPLC grade, and acetic acid (analysis grade) were  
101 obtained from Merck (Germany).

102

103 **2.2. Rats tissue sampling** *Rattus rattus* were collected from the national network of pest  
104 control operators (PCOs) in 15 out of 95 departments (French administrative areas) covering  
105 all the country and in 3 out of 47 Spanish administrative areas. This study was not considered  
106 to be an “experimental procedure” as defined by the French legislation (Rural Code, Article  
107 R214–89) and was therefore not subject to an ethical committee approval. This study  
108 complied with the ethical standards of European regulations governing the care and use of  
109 animals in research (Directive 2010/63/EU) and it did not involve any endangered or  
110 protected species, or protected areas.

111 Only rats captured with lethal traps were included in the study, rats found dead during  
112 chemical treatment campaigns were excluded. The tails of dead rats were cut by PCOs, and  
113 the samples were sent to the laboratory by mail in individual tubes with 70° alcohol. They  
114 were frozen at -20°C until analysis. For each tail, PCO filled a questionnaire indicating the  
115 site where the rat was trapped, details on the trapping method (use and nature of attractant,  
116 nature of the lethal trap), and if applicable, chemical methods used in the last 6 months prior to  
117 trapping.

118

### 119 **2.3. Species determination**

120 Two microliters of genomic DNA extract were amplified by PCR using specific primers of  
121 *cytochrome b*.<sup>26</sup> The sequence of the sense primer cytb-S and the antisense primer cytb-AS  
122 were (5'-TCTCCATTTCTGGTTACAAGAC-3') and (5'-  
123 AACAAATGACATGAAAAATCATCGTT-3'), respectively. *Cytochrome b* amplification was  
124 performed using cytb-S and cytb-AS (10 pmol), GoTaq polymerase (1 unit, Promega) in a 25  
125 µl reaction volume containing 2 µl DNA, 5 µl 5X GoTaq buffer and 200 µM of each  
126 deoxynucleotide triphosphate. The amplification was performed at 94°C for 3 min followed  
127 by 35 cycles at 94°C for 30 s, 50°C for 30 s, 72°C for 90 s, and a final extension step at 72°C  
128 for 10 min. The amplified product was sequenced on both strands; the resulting sequence was  
129 submitted to blast analysis.

130

### 131 **2.4. *Vkorc1* sequencing**

132 Two microliters of genomic DNA were amplified using specific primers of *Vkorc1* gene. In  
133 order to sequence the totality of the *Vkorc1* gene, two sets of primers were used. The  
134 sequences of the first set of primers rVKOR-S1 and rVKOR-AS1 were (5'-  
135 GGTTCTTCCCTCTTGTGTCTG-3') and (5'-GGGTCACCAAGACATGAGGTG-3'),  
136 respectively, and were used to amplify rat *Vkorc1* gene from nucleotide -36 to nucleotide  
137 +1141 (according to *R. rattus frugivorus Vkorc1* – accession n° HM181985). The sequences  
138 of the second set of primers rVKORC1-S2 and rVKORC1-AS2 were (5'-  
139 ACTTGGGCAAGGCTCATGTG-3') and (5'-AAGAGTAGGGGACAAGGTGGC-3'),  
140 respectively, and were used to amplify the rat *Vkorc1* gene from nucleotide +848 to  
141 nucleotide +2183. Rat *Vkorc1* amplifications were performed using rVKOR-S1 and rVKOR-  
142 AS1 or rVKOR-S2 and rVKOR-AS2 (10 pmol), Accuprime polymerase (1 units, Invitrogen)

143 in a 25  $\mu$ l reaction volume containing 2  $\mu$ l DNA, 2.5  $\mu$ l 10X Accuprime buffer and 200  $\mu$ M of  
144 each deoxynucleotide triphosphate. The amplification was performed at 94°C for 3 min  
145 followed by 40 cycles at 94°C for 20 s, 59°C for 20 s, 68°C for 120 s, and a final extension  
146 step at 68°C for 10 min. The amplified products were sequenced on both strands; the obtained  
147 sequences were compared to *Rattus rattus* or *Rattus norvegicus Vkorc1* sequences published  
148 in Genbank (accession number HM181985 and HM181979).

149

## 150 **2.5. Heterologous expression of mutated *R. rattus* VKORC1**

151 The coding sequence corresponding to the *R. rattus* VKORC1 fused with a c-myc tag *via* a  
152 flexible (GGG)<sub>3</sub> in its 3'-extremity was optimized for heterologous expression in yeast and  
153 synthesized by GenScript (Piscataway, NJ, USA). The synthesized nucleotide sequence  
154 included EcoRI and XbaI restriction sites at its 5'- and 3'- extremities, respectively. This  
155 nucleotide sequence was subcloned into pPICZ-B (Invitrogen, Cergy Pontoise, France) and  
156 sequenced on both strands.

157 Construction of rVKORC1 mutant was carried out using pPICZ-rVKORC1 as template with  
158 the Quickchange site directed mutagenesis kit (Stratagene) according to the manufacturer's  
159 recommendations. Mutant was checked by sequencing and was thus expressed in *P. pastoris*  
160 as described below. Recombinant mutant VKORC1 proteins were expressed in *Pichia*  
161 *pastoris* as described previously by Hodroge *et al.*<sup>14</sup> Yeast microsomes were prepared from  
162 thawed yeast cells by differential centrifugation, as described previously.<sup>27,28</sup> Protein  
163 concentrations were evaluated by the method of Bradford using bovine serum albumin as a  
164 standard. Microsomes were frozen at -80 °C and used for kinetic analysis and immunoblot  
165 analysis.

166

## 167 **2.6. Immunoblot Analysis**

168 Expression of VKORC1 proteins in microsomal fractions was determined by Western blotting  
169 as described previously.<sup>25</sup>

170

## 171 **2.7. VKOR Activity Assays and Kinetics**

172 Microsomal vitamin K epoxide reductase (VKOR) activity was assayed as described  
173 previously.<sup>17</sup>  $K_m$ , and  $K_i$  values were obtained from at least three separate experiments  
174 performed on two different batches of protein. The estimation of  $K_m$  values was achieved by  
175 the incubation of at least 9 different concentrations of vit K>O (from 0.003 to 0.2 mM) to the

176 standard reaction. Incubations were performed in duplicate. Data were fitted by nonlinear  
177 regression to the Michaelis-Menten model using GraphPad Prism 6. In order to evaluate the  
178 inhibiting effect of warfarin on VKOR activity,  $K_i$  were determined after addition of various  
179 concentrations of anticoagulant to the standard reaction in the presence of increasing amounts  
180 of vit K>O (from 0.003 to 0.2 mM) using anticoagulant concentrations from about 0.05 to  
181  $20 \times K_i$ . Data were fitted by non-linear regression to the non-competitive inhibition model  $v =$   
182  $(V_{max}/(1+(I/K_i))) * (S/(K_m+S))$  using GraphPad Prism 6.

183

### 184 **3. RESULTS**

#### 185 **3.1. *Vkorc1* missense mutations in French and Spanish *Rattus rattus***

186 A total of 219 tail samples (181 from France and 38 from Spain) were sent by PCO from 18  
187 administrative departments (15 French and 3 Spanish administrative areas) (Figure 1) in 40  
188 different locations (35 in France and 5 in Spain). All samples were molecularly confirmed by  
189 *cytochrome b* amplification as having been collected from *Rattus rattus*. Among the collected  
190 samples, only 68 samples presented no missense mutation in the coding sequences of *Vkorc1*  
191 gene (*i.e.* 31.0% of the rat tails sent by PCO). 151 samples presented at least one missense  
192 mutation in the coding sequences of *Vkorc1* gene (*i.e.* 69.0% of the rat tails sent by PCO).  
193 From the 151 French and Spanish rat carriers for *Vkorc1* missense mutations, 86.6% were  
194 homozygous and only 13.4% were heterozygous.

195 Eight different missenses mutations were found in the *Vkorc1* gene in the French and Spanish  
196 rats and led to a single mutation in the corresponding VKORC1 protein. (Figure 1 and Table  
197 1). In exon 1, 4 mutations were detected. These mutations were located at nucleotide 74  
198 (g.74A>T), 76 (g.76G>C), 118 (g.118C>G) and 170 (g.170C>T) and led to mutations Y25F,  
199 A26P, R40G and S57F, respectively. In exon 2, 3 missenses mutations were detected at  
200 nucleotide 982 (g.982T>A), 984 (g.984G>T) and 1009 (g.1009C>A) and led to mutations  
201 W59R, W59C and H68N, respectively. In exon 3, one mutation was detected at nucleotide  
202 2109 (g.2109A>C) and led to the mutation K152T. The other genotypes led to *Vkorc1* with  
203 two mutations. The g.74A>T mutation (exon 1) was found to be associated with the  
204 g.982T>A mutation (exon 2) or the g.2109A>C mutation (exon 3) leading to proteins with  
205 two combined mutations, the Y25F and W59R or the Y25F and K152T, respectively.

206 In our sampling in France, the observed allelic frequencies of Y25F, R40G, S57F, W59C,  
207 W59R and H68N were 3.0%, 6.6%, 1.1%, 21.8%, 22.1% and 0.8%, respectively. The allelic



208 frequencies of these mutations were different between geographical areas. The two combined  
209 mutations Y25F and W59R were specifically found in the North of France.

210 In Spain, the observed allelic frequencies of A26P, W59R and Y25F were 39.5%, 31.6% and  
211 23.7%, respectively (Table 2). The two combined mutations Y25F and K152T were found in  
212 Zaragoza (Spain province) only. However, the sampling in Spain is insufficient for giving  
213 accurate results and the investigation should be continued.

214

### 215 **3.2. Functional consequences of Vkorc1 mutations**

216 Functional consequences of French and Spanish single and double detected mutations on  
217 VKOR activity were characterized. The single mutation K152T was also characterized. All  
218 proteins were efficiently expressed in *P. pastoris* with the same expected molecular mass of  
219 approximately 20-kDa (Figure 2). The ability of each membrane protein to catalyze the  
220 reduction of K<sup>></sup>O to K was determined. Four single or double mutants (*i.e.* S57F, W59C,  
221 W59R and Y25F/W59R) presented less than 2% of the VKOR activity determined for wild  
222 type VKORC1 preventing additional studies (Table 3). The other mutants were all able to  
223 reduce the vitamin K epoxide with  $K_m$  similar to wild type VKORC1 (Table 3). All ARs (*i.e.*,  
224 warfarin, chlorophacinone, bromadiolone, difenacoum, difethialone or brodifacoum) were  
225 able to inhibit the VKOR activity catalyzed by the active mutants (*i.e.*, Y25F, A26P, R40G,  
226 H68N, K152T and Y25F/K152T). Nevertheless, resistance factors, corresponding to the ratio  
227 between the  $K_i$  obtained for the mutated protein and the  $K_i$  obtained for the wild type protein,  
228 were different for each mutants towards each AR (Figure 3). Y25F, A26P, and R40G resulted  
229 in moderate resistance to FGAR with resistance factors ranging from 2 to 15. R40G and  
230 H68N resulted in slight resistance to SGAR with resistance factors of 2 to 4.

231

## 232 **4. Discussion**

### 233 **4.1. Distribution of roof rats in France**

234 This study demonstrates the presence of roof rats in France while this species was considered  
235 to have disappeared on the French territory after the expansion of brown rat in the 18<sup>th</sup>  
236 century. 181 roof rats were trapped from 15 administrative departments over a 2-year period  
237 (2014-2015). However, this sampling remains limited, suggesting a low abundance of this  
238 species in France. Furthermore, the distribution of the roof rat in France seems very

239 heterogeneous. Indeed, the roof rats were mainly trapped in fluvial or maritime port areas  
240 (Brest, Saint-Nazaire, La Rochelle, Dunkirk, Strasbourg) and insular areas (Islands of  
241 Marseille) whereas the sampling was planned for the whole territory. This apparent  
242 distribution could be a bias due to the sampling method based on participatory research.  
243 Indeed, the samples analyzed were supplied by PCOs from rodents trapped on their various  
244 control sites. It is nonetheless important to note that many French PCOs representative of all  
245 French regions were contacted at the beginning of the study and that the sampling of roof rats  
246 was carried out in parallel with the sampling of domestic house mice which allowed the  
247 evaluation of the prevalence of mutations in the latter in the previously published study.<sup>17</sup> The  
248 PCOs were requested to collect samples from both species (domestic mice and roof rats) at  
249 the same time. During the sampling period, 266 samples of mice were collected in 27  
250 departments while the 181 samples of roof rats were collected from only 15 departments. It is  
251 therefore conceivable that PCOs that have sent in samples of mice but not roof rats, are not  
252 dealing with proliferations of roof rats even though they are more difficult to capture than  
253 mice due to their excessive neophobia and are often mistaken for brown rats. Those PCOs  
254 were in the South-East and the North of France, in and around Paris and in inland of Brittany.  
255 Despite these issues, distribution of roof rats observed in France in this study seems to be  
256 coherent with the studies published by Buckle<sup>1</sup> describing the presence of roof rat mainly in  
257 port areas in different countries (such as Iraq and Turkey). The use of containers on modern  
258 ships could favor the dispersion of roof rats from ports to ports and their presence in ports.

259

#### 260 **4.2. Diversity of *Vkorc1* mutations in roof rats in France and Spain**

261 In Europe, roof rat populations are managed as the brown rat populations by using baits  
262 containing anticoagulant rodenticides. This intensive and long-standing use of ARs (ARs have  
263 been used since the 1950s) has led to a wide selection of resistant brown rat populations with  
264 predominant mutations, the Y139F mutation in France, the Y139C mutation in the East of  
265 Europe, especially Germany, the L120Q mutation in England, all leading to strong resistance  
266 to first and to some second generation ARs. For roof rats, very few studies are available in  
267 Europe, while *Vkorc1* mutations have been described in Japan,<sup>23</sup> New Zealand<sup>24</sup>,  
268 Argentina<sup>17,22</sup>, and USA<sup>18</sup>. Information about resistance are crucial to manage correctly roof  
269 rat populations. Indeed, it is essential to use the right molecules to reach an optimal efficacy,  
270 to avoid selection of resistant populations and to avoid secondary poisoning of wildlife

271 through the misuse of the most persistent and toxic molecules while roof rats are strongly  
272 present in island and agricultural environments.

273 Herein, we detected 9 *Vkorc1* genotypes in *Rattus rattus* in France and Spain: 7 single  
274 mutations (Y25F, A26P, R40G, S57F, W59C, W59R and H68N) and 2 double mutations  
275 (Y25F/K152T and Y25F/W59R). Among these genotypes, 3 single missense mutations and 2  
276 double mutations have never been described: S57F, W59C, A26P, K152T, Y25F/K152T and  
277 Y25F/W59R. Y25F mutation was already described in Spain<sup>29</sup>, New Zealand<sup>24</sup> and USA<sup>18</sup>  
278 and shown to lead to moderate resistance to first generation ARs and limited resistance to  
279 some second generation ARs (*i.e.*, bromadiolone and difenacoum)<sup>29</sup>. The R40G mutation was  
280 already detected in Japan, the H68N in Martinique Island and the W59R in Argentina<sup>17</sup> and  
281 Germany<sup>1</sup>.

282

### 283 **4.3. Functional consequences of *Vkorc1* mutations**

284 The functional consequences of mutations or double mutations detected in this study were  
285 characterized after expression of the recombinant enzyme in *Pichia pastoris*. This system has  
286 already allowed to successfully characterize mutations in brown rats<sup>14</sup> and mice<sup>19</sup> with results  
287 consistent with *in vivo* results. This system has also previously allowed comparison of  
288 sensitivities to ARs in roof rats and brown rats. Based on the  $K_i$  and considering only the  
289 properties of the VKORC1 enzyme, the sensitivity of roof rats to ARs is similar to that of  
290 brown rats<sup>29</sup>. Nevertheless, other factors may cause differences in response, such as  
291 metabolism of ARs, but also behavior and diet. No information is available on the ability of  
292 roof rats to metabolize ARs. However, its diet and behavior are different from those of brown  
293 rats and are certainly responsible for a lower ingestion of baits.

294 This system allowed in this study to obtain a resistance factor for each mutant and towards  
295 each AR by calculating the ratio between inhibition constants of the mutated VKORC1 and  
296 the wild-type VKORC1. Among the identified mutations, the R40G mutation appears to  
297 induce *in vitro* the highest resistance factors with factors ranging from 2 to 15 depending on  
298 the AR. If we compare these factors with those obtained for the most frequently encountered  
299 mutations in brown rats (for Y139F, Y139C, L120Q, resistance factors are higher than 100),  
300 these factors appear rather low, suggesting that rats carrying such mutations remain sensitive  
301 to ARs in the field. Besides, previous *in vivo* laboratory tests have tended to lead to this same  
302 conclusion<sup>23</sup>. Nevertheless, considering that roof rats consume little or no bait in the field due  
303 to neophobia and food competition, such factors could lead to management issues. Similarly,  
304 but to a lesser extent, the H68N mutation appears to induce a slight resistance to ARs

305 belonging to the 4-hydroxycoumarin family. The success in the field of treatments based on  
306 such molecules is therefore certainly dependent on the palatability of baits. The Y25F, A26P,  
307 K152T mutations and the double-mutation Y25F/K152T do not lead to resistance to ARs,  
308 possibly for some (*i.e.*, Y25F and A26P) to a very slight resistance to warfarin, which is no  
309 longer used today as a rodenticide.

310 The other mutations (*i.e.*, W59R, S57F and W59C) seem to lead to a drastic loss of VKOR  
311 activity. When activity is lower than 2% of the VKOR activity of the wild-type enzyme, our  
312 method is not sensitive enough to be able to further characterize the VKOR activity. This  
313 result is surprising because some of these mutations are found in the homozygous state and an  
314 inactivation or severe loss of activity of the enzyme questions the recycling of vitamin K in  
315 individuals carrying such mutations in the homozygous state. Is a compensatory mechanism  
316 present? This result was acquired after characterization of the activity in the presence of  
317 dithiotreitol. The presence of such chemical reductant presence in the assay has been  
318 proposed as a potential disturbance to the measurement of activity. Nevertheless, considering  
319 the knowledge acquired on this reductant, this reducing agent would rather tend to activate an  
320 inactive VKORC1 than inhibit it. Indeed, this reducing agent could possibly directly access  
321 the catalytic site of the enzyme to activate it directly (*i.e.*, C132XXC135), whereas the normal  
322 functioning of the enzyme involves an electron transfer from the luminal loop via the C43 and  
323 C51 present in the luminal loop to the C132 and C135 of the catalytic site present in the  
324 fourth transmembrane domain. The S57F, W59F and W59R mutations are present in the  
325 luminal loop responsible for activation of the catalytic site. It is conceivable that such  
326 mutations could disrupt the folding of the luminal loop preventing activation of the catalytic  
327 site by the latter (the enzyme would then be really inactive) or possibly that their presence  
328 prevents the activation of this loop by dithiotreitol (this loss of activity would then be a bias  
329 due to the method). Another indirect method, the cell-based assay has recently been  
330 developed and would allow to elucidate this loss of activity.<sup>30</sup> Answering this question is  
331 crucial since some of these mutations have been detected in the homozygous state, raising the  
332 question of the viability of these rats. Indeed, the KO of the VKORC1 enzyme is lethal by  
333 hemorrhage<sup>31</sup> due to the lack of an alternative system to recycle the vitamin K necessary for  
334 the activation of coagulation factors II, VII, IX and X. Roof rats carrying inactivated  
335 mutations in the homozygous state would then have an alternative system, possibly an  
336 overexpression of VKORC1L1 in the liver.<sup>32</sup> Nevertheless, this alternative mechanism could  
337 induce possible resistance to ARs. Indeed, the W59R mutation was detected in a German  
338 village where 89% of the animals carried this mutation in the homozygous state. This

339 population was complex to manage with either bromadiolone or difenacoum.<sup>1</sup> But at the same  
340 time the authors reported a low consumption of bait which could alone explain this difficulty  
341 of treatment. Further studies are necessary to elucidate the case of the ‘inactivating’  
342 mutations.

343

#### 344 **4.4. Consequences in term of rodent management**

345 While in brown rats, 3 mutations associated with severe resistance to first-generation ARs or  
346 even some second-generation ARs are predominant and found at very high allelic frequencies  
347 in Europe<sup>16,19,28,33–35</sup>, mutations in the *Vkorc1* gene found in roof rats in France and Spain are  
348 more diverse, seem to reach lower frequencies if considered on a broad scale (of the order of  
349 10% or less than 10% for mutations with significant activity) and induce little or no  
350 resistance. That is surprising while the management of the roof rat has been done in the same  
351 way as that of the brown rat. In the brown rat, management has resulted in a major selection  
352 of the most resistant populations. In the roof rat, it is possible that this selection was never  
353 intense due to low bait consumption as described by Buckle.<sup>1</sup> Indeed, the baits marketed are  
354 baits developed mainly for the management of brown rats. However, the diet of these two  
355 species is different: the roof rat is less omnivorous than the brown rat,<sup>36</sup> and animal food is  
356 less significant to it. The roof rat preferred fruits, seeds and grain (51–59% of its diet).  
357 Moreover, roof rats prefer the food they are familiar with. More adapted baits could increase  
358 the success of the roof rat management. Nevertheless, the obligatory use of bait boxes in  
359 Europe could aggravate this non or low consumption and therefore this difficulty to manage  
360 because the roof rat is very reluctant to enter in these boxes. A second hypothesis to the non-  
361 selection of resistant VKORC1 mutation is the absence at the beginning of the use of ARs, of  
362 rare mutations conferring resistance to ARs. So, there was nothing to select. A third  
363 hypothesis is the presence of other resistance mechanisms in roof rats not supported by  
364 VKORC1 mutations. Metabolic resistance is conceivable as it has already been described in  
365 Japan for this species.<sup>37,38</sup> Diet-based resistance is also possible, as described for voles<sup>39</sup>.  
366 Further studies will be necessary to evaluate the distribution of such resistance in roof rats in  
367 Europe.

368 In view of the results obtained in this study, the use of first-generation ARs to manage roof  
369 rats in France seems entirely conceivable in view of the prevalence of mutations in the *Vkorc1*  
370 gene and their functional consequences. It may be necessary to improve the palatability of  
371 baits for this species to ensure enough bait ingestion. The use of second-generation ARs may  
372 be considered when treatment failure is observed or when bait consumption is limited.

373

374 **ACKNOWLEDGEMENTS**

375 This work was supported by grants ISI n°I1301001W “NEORAMUS” from Bpi France.

376 Authors would like to thank all PCO who sent samples and Liphatech for its assistance and its

377 network.

378 **REFERENCES**

- 379 1 Buckle AP and Smith RH, eds., Rodent pests and their control, 2nd edition, CAB,  
380 Wallingford, Oxfordshire ; Boston, MA (2015).
- 381 2 Battersby DSA, Public health policy – can there be an economic imperative? An  
382 examination of one such issue, *J Environ Health Res* **3**:19–28 (2004).
- 383 3 Li T, Chang C-Y, Jin D-Y, Lin P-J, Khvorova A, and Stafford DW, Identification of  
384 the gene for vitamin K epoxide reductase, *Nature* **427**:541–544 (2004).
- 385 4 Rost S, Fregin A, Ivaskevicius V, Conzelmann E, Hörtnagel K, Pelz H-J, *et al.*,  
386 Mutations in VKORC1 cause warfarin resistance and multiple coagulation factor  
387 deficiency type 2, *Nature* **427**:537–541 (2004).
- 388 5 Suttie JW, Vitamin K-Dependent Carboxylase, *Annu Rev Biochem* **54**:459–477  
389 (1985).
- 390 6 Furie B and Furie BC, The Molecular Basis of Blood Coagulation, *Cell* **53**:505–518  
391 (1988).
- 392 7 Boyle CM, Case of apparent resistance of *Rattus norvegicus berkenhout* to  
393 anticoagulant poisons., *Nature* **188**:517 (1960).
- 394 8 Dodsworth E, Mice are spreading despite such poisons as warfarin, *Munic Eng Lond*  
395 **3746**:1668 (1961).
- 396 9 Jackson WB and Kaukeinen D, Resistance of Wild Norway Rats in North Carolina to  
397 Warfarin Rodenticide, *Science* **176**:1343–1344 (1972).
- 398 10 Siddiq Z and Blaine WD, Anticoagulant resistance in house mice in Toronto, Canada.,  
399 *Environ Health Rev* **32**:49–51 (1982).
- 400 11 Saunders GR, Resistance to warfarin in the roof rat in Sydney, *New South Wales*  
401 *Search* **9**:39–40 (1978).
- 402 12 Ishizuka M, Okajima F, Tanikawa T, Min H, Tanaka KD, Sakamoto KQ, *et al.*,  
403 Elevated Warfarin Metabolism in Warfarin-Resistant Roof Rats (*Rattus rattus*) in  
404 Tokyo, *Drug Metab Dispos* **35**:62–66 (2007).
- 405 13 Sugano S, Kobayashi T, Tanikawa T, Kawakami Y, Kojima H, Nakamura K, *et al.*,  
406 Suppression of CYP3A2 mRNA expression in the warfarin-resistant roof rat, *Rattus*  
407 *rattus*: possible involvement of cytochrome P450 in the warfarin resistance  
408 mechanism, *Xenobiotica* **31**:399–407 (2001).

- 409 14 Hodroge A, Longin-Sauvageon C, Fourel I, Benoit E, and Lattard V, Biochemical  
410 characterization of spontaneous mutants of rat VKORC1 involved in the resistance to  
411 antivitamin K anticoagulants, *Arch Biochem Biophys* **515**:14–20 (2011).
- 412 15 Pelz H-J, Rost S, Hünnerberg M, Fregin A, Heiberg A-C, Baert K, *et al.*, The Genetic  
413 Basis of Resistance to Anticoagulants in Rodents, *Genetics* **170**:1839–1847 (2005).
- 414 16 Grandemange A, Lasseur R, Longin-Sauvageon C, Benoit E, and Berny P,  
415 Distribution of VKORC1 single nucleotide polymorphism in wild *Rattus norvegicus*  
416 in France, *Pest Manag Sci* **66**:270–276 (2010).
- 417 17 Rost S, Pelz H-J, Menzel S, MacNicoll AD, León V, Song K-J, *et al.*, Novel mutations  
418 in the VKORC1 gene of wild rats and mice – a response to 50 years of selection  
419 pressure by warfarin?, *BMC Genet* **10**:4 (2009).
- 420 18 Díaz JC, Kohn MH, A VKORC1-based SNP survey of anticoagulant rodenticide  
421 resistance in the house mouse, Norway rat and roof rat in the USA, *Pest Manag Sci.*  
422 **77**:234-242 (2021).
- 423 19 Goulois J, Lambert V, Legros L, Benoit E, and Lattard V, Adaptive evolution of the  
424 *Vkorc1* gene in *Mus musculus domesticus* is influenced by the selective pressure of  
425 anticoagulant rodenticides, *Ecol Evol* **7**:2767–2776 (2017).
- 426 20 Pelz H-J, Rost S, Müller E, Esther A, Ulrich RG, and Müller CR, Distribution and  
427 frequency of VKORC1 sequence variants conferring resistance to anticoagulants in  
428 *Mus musculus*, *Pest Manag Sci* **68**:254–259 (2012).
- 429 21 Watzka M, Geisen C, Bevans CG, Sittinger K, Spohn G, Rost S, *et al.*, Thirteen novel  
430 VKORC1 mutations associated with oral anticoagulant resistance: insights into  
431 improved patient diagnosis and treatment: New VKORC1 mutations, *J Thromb*  
432 *Haemost* **9**:109–118 (2011).
- 433 22 Diaz JC, Song Y, Moore A, Borchert JN, and Kohn MH, Analysis of *vkorc1*  
434 polymorphisms in Norway rats using the roof rat as outgroup, *BMC Genet* **11**:1–11  
435 (2010).
- 436 23 Tanaka KD, Kawai YK, Ikenaka Y, Harunari T, Tanikawa T, Ando S, *et al.*, The  
437 genetic mechanisms of warfarin resistance in *Rattus rattus* found in the wild in Japan,  
438 *Pestic Biochem Physiol* **103**:144–151 (2012).
- 439 24 Cowan PE, Gleeson DM, Howitt RL, Ramón-Laca A, Esther A, and Pelz H-J, *Vkorc1*  
440 sequencing suggests anticoagulant resistance in rats in New Zealand: *Vkorc1*  
441 sequencing suggests anticoagulant resistance in rats in New Zealand, *Pest Manag Sci*  
442 **73**:262–266 (2017).



- 443 25 Marquez A, Khalil RA, Fourel I, Ovarbury T, Pinot A, Rosine A, *et al.*, Resistance to  
444 anticoagulant rodenticides in Martinique could lead to inefficient rodent control in a  
445 context of endemic leptospirosis, *Sci Rep* **9**:13491 (2019).
- 446 26 Hodroge A, Matagrín B, Moreau C, Fourel I, Hammed A, Benoit E, *et al.*, *VKORC1*  
447 mutations detected in patients resistant to vitamin K antagonists are not all associated  
448 with a resistant VKOR activity: *VKORC1 mutations and resistance to oral*  
449 *anticoagulants*, *J Thromb Haemost* **10**:2535–2543 (2012).
- 450 27 Bodin L, Horellou MH, Flaujac C, Loriot MA, and Samama MM, A vitamin K  
451 epoxide reductase complex subunit-1 (VKORC1) mutation in a patient with vitamin K  
452 antagonist resistance, *J Thromb Haemost* **3**:1533–1535 (2005).
- 453 28 Haniza MZH, Adams S, Jones EP, MacNicoll A, Mallon EB, Smith RH, *et al.*, Large-  
454 scale structure of brown rat (*Rattus norvegicus*) populations in England: effects on  
455 rodenticide resistance, *PeerJ* **3**:e1458 (2015).
- 456 29 Goulois J, Chapuzet A, Lambert V, Chatron N, Tchertanov L, Legros L, *et al.*,  
457 Evidence of a target resistance to antivitamin K rodenticides in the roof rat *Rattus*  
458 *rattus*: identification and characterisation of a novel Y25F mutation in the *Vkorc1*  
459 gene: Target resistance to rodenticides in *Rattus rattus*, *Pest Manag Sci* **72**:544–550  
460 (2016).
- 461 30 Tie J-K, Jin D-Y, Tie K, and Stafford DW, Evaluation of warfarin resistance using  
462 transcription activator-like effector nucleases-mediated vitamin K epoxide reductase  
463 knockout HEK293 cells, *J Thromb Haemost* **11**:1556–1564 (2013).
- 464 31 Spohn G, Kleinridders A, Wunderlich FT, Watzka M, Zaucke F, Blum-bach K, *et al.*,  
465 VKORC1 deficiency in mice causes early postnatal lethality due to severe bleeding,  
466 *Thromb Haemost* **101**:1044–1050 (2009).
- 467 32 Hammed A, Matagrín B, Spohn G, Prouillac C, Benoit E, and Lattard V, VKORC1L1,  
468 an Enzyme Rescuing the Vitamin K 2,3-Epoxy Reductase Activity in Some  
469 Extrahepatic Tissues during Anticoagulation Therapy, *J Biol Chem* **288**:28733–28742  
470 (2013).
- 471 33 Baert K, Stuyck J, Breyne P, Maes D, and Casaer J, Distribution of anticoagulant  
472 resistance in the brown rat in Belgium, *Belg J Zool* **142**:39–48 (2012).
- 473 34 Pelz H-J, Spread of resistance to anticoagulant rodenticides in Germany, *Int J Pest*  
474 *Manag* **53**:299–302 (2007).
- 475 35 Meerburg BG, van Gent-Pelzer MP, Schoelitsz B, and van der Lee TA, Distribution of  
476 anticoagulant rodenticide resistance in *Rattus norvegicus* in the Netherlands according

477 to *Vkorc1* mutations: Distribution of rodenticide resistance in *R. norvegicus* in the  
478 Netherlands, *Pest Manag Sci* **70**:1761–1766 (2014).

479 36 Yabe T, The relation of food habits to the ecological distribution of the Norway rat  
480 (*Rattus norvegicus*) and the roof rat (*R. rattus*), *Jpn J Ecol* **29**:235–244 (1979).

481 37 Takeda K, Ikenaka Y, Tanikawa T, Tanaka KD, Nakayama SMM, Mizukawa H, *et al.*,  
482 Novel revelation of warfarin resistant mechanism in roof rats (*Rattus rattus*) using  
483 pharmacokinetic/pharmacodynamic analysis, *Pestic Biochem Physiol* **134**:1–7 (2016).

484 38 Takeda K, Ikenaka Y, Tanaka KD, Nakayama SMM, Tanikawa T, Mizukawa H, *et al.*,  
485 Investigation of hepatic warfarin metabolism activity in rodenticide-resistant black rats  
486 (*Rattus rattus*) in Tokyo by in situ liver perfusion, *Pestic Biochem Physiol* **148**:42–49  
487 (2018).

488 39 Abi Khalil R, Barbier B, Fafournoux A, Mahamat AB, Marquez A, Poissenot K,  
489 Keller M, Desvars-Larrive A, Fernandez-De-Simon J, Coeurdassier M, Benoit E,  
490 Lefebvre S, Pinot A, Lattard V, Seasonal diet-based resistance to anticoagulant  
491 rodenticides in the fossorial water vole (*Arvicola amphibius*). *Environ Res.*  
492 **200**:111422 (2021).

493

494

495

496

497

498

499

500

501

502

503 **LEGENDS OF FIGURES**

504 **Figure 1:** Map of France and Spain showing the SNPs.

505 Areas in white are those from where no sample was received. Numbers and letters correspond  
506 to the French and Spanish (respectively) administrative area and are correlated with Table 3.

507

508 **Figure 2:** Analysis of the expression of wild type or mutated rrVKORC1 proteins in yeast  
509 microsomes by Western blot.

510 Membrane proteins containing VKORC1-W59R (lane 1, 15 µg), VKORC1-W59C (lane 2, 15  
511 µg), VKORC1-A26P (lane 3, 15 µg), VKORC1-Y25F/K152T (lane 4, 15 µg), VKORC1-  
512 K152T (lane 5, 15 µg), VKORC1-Y25F (lane 6, 15 µg), VKORC1-R40G (lane 7, 15 µg),  
513 VKORC1-H68N (lane 8, 15 µg), VKORC1-S57F (lane 9, 15 µg) and wild type VKORC1  
514 (lane 10, 15 µg) were probed with primary antibody directed against the c-myc tag.

515

516 **Figure 3:** Inhibition effect of various anticoagulants on mutated rrVKORC1 expressed in  
517 yeast microsomes, comparatively to the *R. rattus* VKORC1<sup>WT</sup>. Dotted lines indicate  
518 resistance factors for the mutated VKORC1, of 1, 5 and 10.

519

520

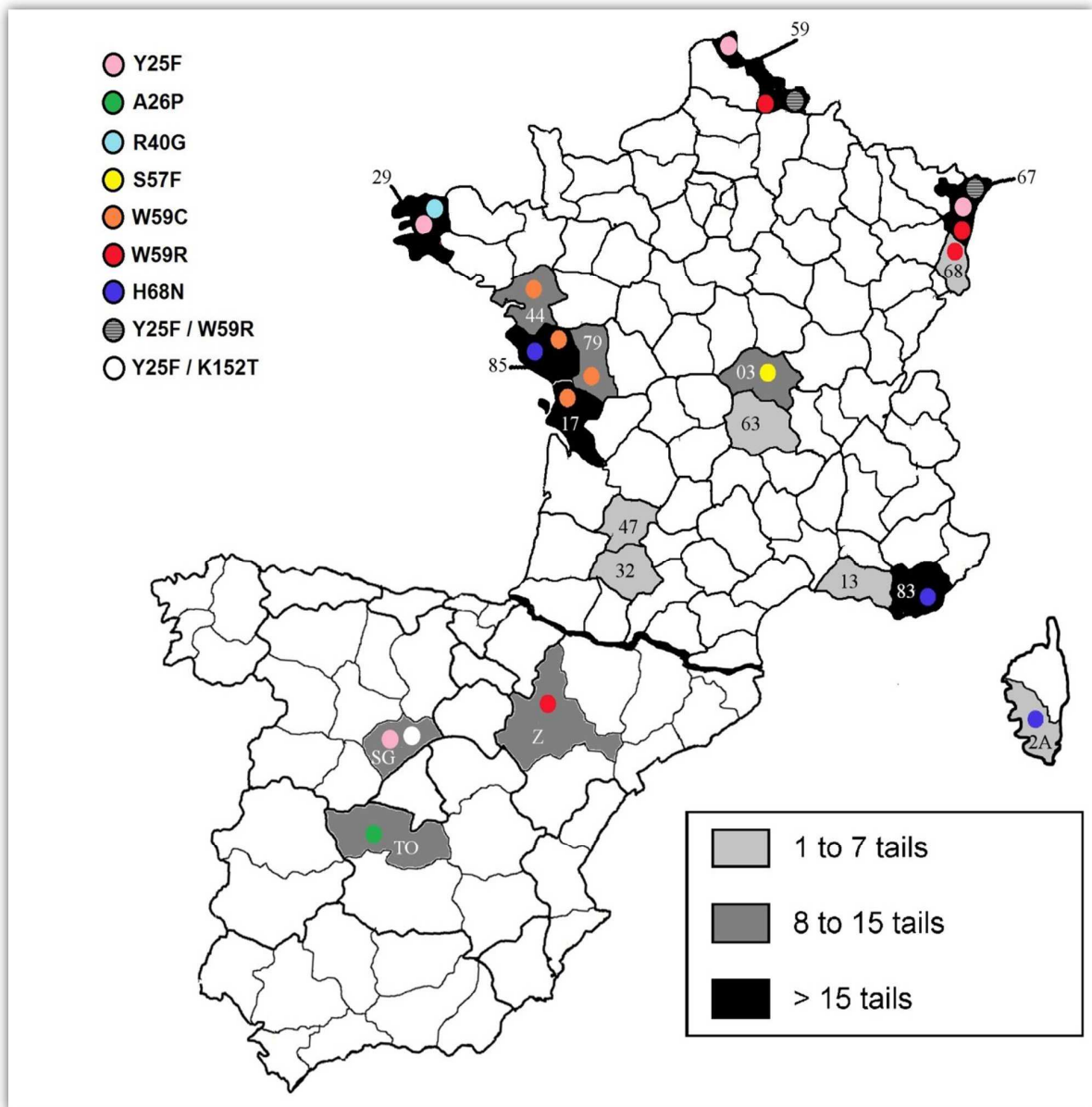
521

522

523

524

525



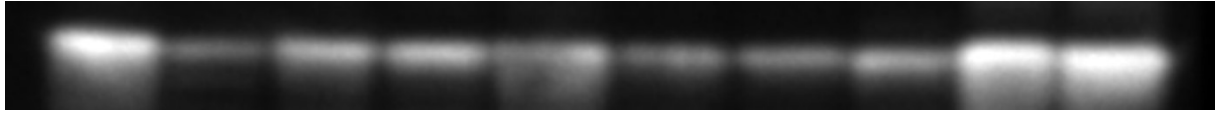
526

527

Figure 1

528

529



530

**Figure 2**

531

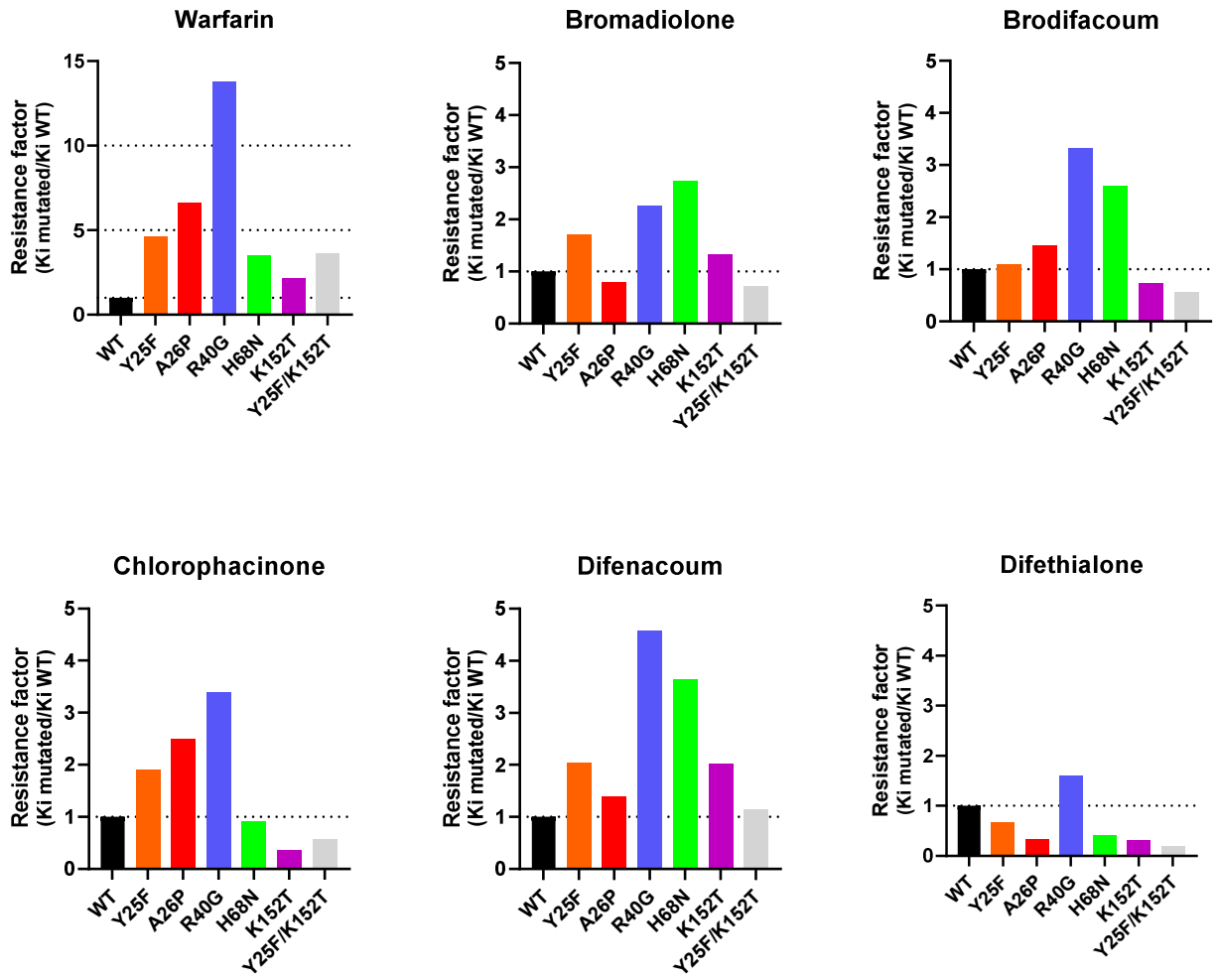


Figure 3

532

533

534

535

536

537

538 **Table 1: Detail of Vkorc1 mutations in Rattus rattus in France and Spain**

Country	Mutation	Position	Codon wild type	Codon mutated	Amino acid wild type	Amino acid mutated
France	Y25F	25	TAC	TTC	Tyr	Phe
	R40G	40	CGC	GGC	Arg	Gly
	S57F	57	TCT	TTT	Ser	Phe
	W59C	59	TGG	TGT	Trp	Cys
	W59R	59	TGG	AGG	Trp	Arg
	H68N	68	CAT	AAT	His	Asn
	Y25F / W59R	25 and 59	TAC and TGG	TTC and AGG	Tyr and Trp	Phe and Arg
Spain	Y25F	25	TAC	TTC	Tyr	Phe
	A26P	26	GCA	CCA	Ala	Pro
	W59R	59	TGG	AGG	Trp	Arg
	Y25F / K152T	25 and 152	TAC and AAG	TTC and ACG	Tyr and Lys	Phe and Thr

539

540

541

542

543

544

545

546

547 **Table 2: Detailed locations and frequencies of *R. rattus Vkorc1* mutations in France (FR)**  
 548 **and Spain (SP)**

Area	No. of samples	Mutation	No. of mutated	% mutation/area	No. of HomoZ	No. of HeteroZ	
FRANCE	2A	9	H68N	1	11	0	1
	3	5	S57F	2	40	2	0
	13	5	-	0	0	-	-
	17	20	W59C	4	20	3	1
	29	18	Y25F	1	72	0	1
			R40G	12		12	0
	32	4	-	0	0	-	-
	44	13	W59C	13	100	13	0
	47	4	-	0	0	-	-
	59	14	Y25F	5	93	2	3
			W59R	6		6	0
			Y25F / W59R	2		Y25F HeteroZ / W59R HeteroZ Y25F HeteroZ / W59R HomoZ	
	63	1	-	0	0	-	-
	67	37	Y25F	3	97	0	3
			W59R	31		31	0
			Y25F / W59R	2		Y25F HeteroZ / W59R HomoZ	
	68	3	W59R	3	100	3	0
	79	12	W59C	10	83	10	0
	83	20	H68N	1	5	0	1
	85	16	W59C	13	87	13	0
H68N			1	0		1	
SPAIN	To	15	A26P	15	100	15	0
	Z	12	W59R	12	100	12	0
	Sg	11	Y25F	9	100	9	0
Y25F / K152T			2	Y25F HomoZ / K152T HeteroZ			
Total							
FRANCE	181		110	61			
SPAIN	38		38	100			

549

550 Each area corresponds to a French or a Spanish administrative area drawn on the map (Fig 4).

551 HomoZ: homozygous; HeteroZ: heterozygous.

552



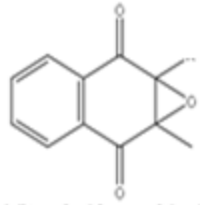
553 **Table 3: Apparent kinetic parameters towards vit K1>O obtained for yeast microsomes**  
 554 **expressing wild type or mutated VKORC1**

	<b>K<sub>m</sub> (μM)</b>
VKORC1 <i>R.rattus</i> WT	63.6 ± 15.3
VKORC1*A26P	82.0 ± 18.1
VKORC1*R40G	31.8 ± 18.5
VKORC1*K152T	58.2 ± 9.5
VKORC1*Y25F/K152T	35.7 ± 17.7
VKORC1*H68N	37.0 ± 22.5
VKORC1*Y25F	18.7 ± 5.7
VKORC1*S57F	VKOR activity < 2% of WT
VKORC1*W59R	VKOR activity < 2% of WT
VKORC1*W59C	VKOR activity < 2% of WT
VKORC1*Y25F/W59R	VKOR activity < 2% of WT

555

556 To determine the VKOR activity, standard reactions were performed in 200 mM Hepes buffer  
 557 (pH 7.4) containing 150 mM KCl and 0.25 to 2 g.l<sup>-1</sup> of microsomal proteins expressing  
 558 membrane wild type or mutant VKORC1. Each data point represents the mean ± SD of three  
 559 individual determinations.

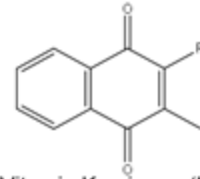
560



Vitamin K epoxide (K>O)

Anticoagulant  
rodenticides

VKORC1



Vitamin K quinone (K)



Roof rat (*Rattus rattus*)

- Y25F
- A26P
- R40G
- S57F
- W59C
- W59R
- H68N
- Y25F / W59R
- Y25F / K152T

