Soil order knowledge as a driver in soil properties estimation from Vis-NIR spectral data – Case study from northern Karnataka (India)

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ABSTRACT

Visible and near-infrared (Vis-NIR, 350-2500 nm) laboratory spectroscopy has been proven to provide soil properties estimations, such as clay or organic carbon (OC). However, the performances of such estimations may be dependent on pedological and spectral similarities between calibration and validation datasets. The objective of this study was to analyse how the soil order knowledge can be used to increase regression models performance for soil properties estimation. For this purpose, Random Forest regression models were calibrated and validated from both regional database (called regional models) and subsets stratified by soil order from the regional database (called soil-order models). The regional database contained 482 soil samples belonging to four soil orders (Alfisols, Vertisols, Inceptisols and Entisols) and associated with Vis-NIR laboratory spectra and six soil properties: OC, sand, silt, clay, cation exchange capacity (CEC) and pH. First, regional models provided i) high accuracy of some soil properties estimations when considering the regional strategy in the validation step (e.g., R^2_{val} of 0.74, 0.76 and 0.74 for clay, CEC and sand, respectively) but ii) modest accuracy of these same soil properties when considering subsets stratified by soil order from the regional database in validation step (e.g., R^2_{val} of 0.48, 0.58 and 0.38 over Vertisol for clay, CEC and sand, respectively). So the estimation accuracy appreciation is highly depending on the validation database as there is a risk of over-appreciated prediction

accuracies at the soil-order scale when figures of merit are based on a regional validation dataset. Second, this work highlighted that the benefit of a soil-order model compared to a regional model for calibration depends on both soil property and soil order. So no recommendations for choosing between both models for calibration may be given. Finally, while Vis-NIR laboratory spectroscopy is becoming a popular way to estimate soil physicochemical properties worldwide, this work highlights that this technique may be used discreetly depending on the targeted scale and targeted soil type.

Key words: Visible Near-infrared, regional model, soil-order model, random forest, soil variability, prediction accuracy

1. Introduction

Visible and near-infrared (Vis-NIR, 350–2500 nm) laboratory spectroscopy provides a complementary method to wet chemistry methods for estimating soil properties (e.g., Viscarra Rossel et al., 2006; Demattê et al., 2004; Stenberg et al., 2010; McBride et al., 2022) and is non-destructive, rapid, low-cost, efficient, repeatable and reproducible with an acceptable degree of accuracy. Soil reflectance in the 350–2500 nm spectral region is the result of soil physical, chemical, and mineralogical properties and their compositions (Ben-Dor, 2002; Stenberg et al., 2010) as the soil spectrum is composed of absorption features of chemical constituents (e.g., absorption of OH of water molecules) and overall spectral shape of the physical properties (e.g., texture) (Ben-Dor and Banin, 1995a, 1995b). As explained by Chabrillat et al. (2019) a targeted soil property can be estimated accurately from Vis-NIR data if this targeted property follows the following rules: 'Rule (1.1) the soil property S_i has a specific spectral signature due to a chemical or physical structure (e.g., OH- ion for clay) or

55 Rule (1.2) the soil property S_i is correlated with a soil property S_j having a specific spectral

56 signature due to an associated chemical or physical structure (e.g., cation exchange capacity

57 –CEC- correlated with clay content) (Ben-Dor et al., 2002); and additionally, Rule (1.3) the

58 soil property S_i has to have a quite high amount of variability (Gomez et al., 2012a, b)'.

59   Soil properties are estimated from laboratory Vis-NIR spectroscopy using regression

60 models, such as stepwise multilinear regression (Leone et al., 2012), multivariate adaptive

61 regression splines (Bilgili et al., 2010), memory-based learning (Jaconi et al., 2019; Ng et al.,

62 2022), Partial Least Square Regression (PLSR, Viscarra Rossel and Behrens, 2009; Gupta et

63 al., 2018; Davari et al., 2021), cubist (Viscarra Rossel et al., 2016) and support vector

64 machine (SVM, Stevens et al., 2010; Naibo et al., 2022) and random forest (RF, Hobley and

65 Prater, 2019; Bao et al., 2020; Dharumarajan et al., 2022). Nawar and Mouazen (2019) used

66 the RF model to compare the efficacy of in situ and field Vis-NIR spectroscopy on the

67 estimation of soil properties and confirmed that the RF model could capture maximum

68 variability (R^2=0.65-0.75) under both conditions. Morellos et al. (2016) reported that machine

69 learning techniques, such as RF, are capable of making spectral variable selections more

70 efficiently compared with PLSR. Ghasemi and Tavakoli (2013) studied the performance of

71 the RF algorithm on Vis-NIR spectroscopy with PLSR and nonlinear SVM and concluded

72 that RF performed well and has the potential for modelling linear and nonlinear multivariate

73 calibrations.

74   For more than two decades, Vis-NIR laboratory spectroscopy has been extensively

75 explored in various pedological contexts and based on these regression models to estimate

76 various soil properties, such as pH (e.g., Shepherd and Walsh, 2002), soil organic carbon

77 (SOC) (e.g., Bellon-Maurel et al., 2011; Hedley et al., 2015), texture or particle size fractions

78 (e.g., Gomez et al., 2008), CEC (e.g., Shepherd and Walsh, 2002), exchangeable bases (e.g.,

79 Pinheiro et al., 2017), available nutrients (e.g., Cozzolino and Moron, 2003; Terra et al.,

80 2015) and soil salinity (e.g., Farifteh et al., 2008).

81   Based on the high potential of this technique, Vis-NIR soil spectral libraries covering

82 different extent (local, regional, country, continental, and global extents) have been

83 developed these later years (Shepherd and Walsh, 2006; Vasques et al., 2008; Stevens et al.,

84 2013; Viscarra Rossel et al., 2016). Large soil spectral libraries contain information from a

85 wide variety of soils and benefit from a large range of contents for the targeted soil

86 properties and correlations between soil properties, but they rarely reflect local specificities

87 (Stevens et al., 2013; Gogé et al., 2014) unless they include a high density of spatial

88 sampling (Viscarra Rossel et al., 2016). Numerous studies showed that estimations of soil

89 properties over local areas using a large library can be improved by selecting an appropriate

90 "local" subset from the large library to be used in the calibration step (Zeng et al., 2016).

91 Several ways have been developed to build an "appropriate local subset" based on large

92 libraries and calibrate regression models, such as considering calibration datasets constituted

93 a subset of the large libraries based on i) the geographical locations which have to be close

94 to the validation subset (e.g., Guerrero et al., 2010; Shi et al., 2015), ii) their spectral

95 similarity with the local spectra (e.g., Wetterlind and Stenberg, 2010, Gogé et al., 2012;

96 Nocita et al., 2014) or iii) environmental covariates similar to one of the local targeted

97 samples, such as parent material (e.g., Peng et al., 2013; Xu et al., 2016) and land use type

98 (e.g., Zeng et al., 2016). An additional procedure, called "spiking", considered calibration

99 datasets constituted by both the large library and a subset of local samples (e.g., Brown,

100 2007; Sankey et al., 2008; Nawar and Mouazen, 2017).

101 While some studies have highlighted that local models (e.g., based on land use, parent

102 material or soil groups) may outperform regional models (e.g., Vasques et al., 2010; Liu et

103 al., 2018), the literature also contains studies showing that local models may not exhibit any

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104   advantages over regional models (e.g., Madari et al., 2005; McDowell et al., 2012). For

105   example, Zeng et al. (2016) obtained better soil organic matter predictions for uplands based

106   on local models (using calibration data restricted to land use types or spectral similarity) in

107   comparison with regional models (using calibration data from a regional spectral library);

108   inversely, they obtained better performances for paddy lands based on "regional" models

109   compared to local models. Gomez and Coulouma (2018) showed that prediction models built

110   at a regional database yielded good performances when they were validated at the same

111   regional extent but poor to good performances when they were validated at a local extent

112   (within-field in their case), depending on the model robustness.

113   In this context, the objective of this study was to analyze how the soil order

114   knowledge can be used to increase regression models performance for soil properties

115   estimation. Models were calibrated and validated from both regional database (regional

116   model) and subsets stratified by soil order from the regional database (soil-order model). This

117   work used a soil spectral library composed of 482 soil samples collected from the northern

118   Karnataka Plateau in India, which is characterized by four soil orders.

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121   **2. Materials and methods**

122   **2.1. Study area**

123   The study area extends across seven sub-watersheds belonging to five districts of Karnataka

124   (Gulbarga, Koppal, Yadgir, Bidar and Gadag, Table 1) representing the northern Karnataka

125   Plateau region (Fig. 1). These sub-watersheds cover an area from 1603 ha to 68131 ha. They

126   experience semiarid climatic conditions with average annual rainfall and temperature of 633-

127   866 mm and 22-33º C, respectively and is considered drought-prone. With the exception of

128   August and September, the potential evapotranspiration exceeds the rainfall occurrence

throughout the year. Predominantly, the seven sub-watersheds have the geology of the peninsular gneiss, basalt and schists. The length of the growing period across the studied area varied from <90 days for the Koppal district to 120-150 days for the Yadgir, Kalburgi, and Gadag districts. The major crops grown in the area are sorghum (*Sorghum bicolor*), maize (*Zea mays L*), cotton (*Gossypium sp.*), sunflower (*Helianthus annuus*), groundnut (*Arachis hypogaea*), red gram (*Cajanus cajan*), mango (*Mangifera indica*), pomegranate (*Punica granatum*), marigold (*Tagetes sp.)* and sapota (*Manilkara zapota*) under rainfed conditions. The sequence of dominant soil orders in the northern Karnataka Plateau is Alfisols, Inceptisols, Vertisols and Entisols (NBSS&LUP, 1998), based on the USDA classification system.

**Table. 1. Description of the seven sub-watersheds**

District name	Sub-watershed name	Location		Area (ha)	Number of profiles
Gadag	Belhatti	75.63° E	15.31° N	1603	9
		75.58° E	15.24° N		
	Nilogal	75.69° E	15.13° N	10744	27
		75.58° E	15.02° N		
Koppal	Kavalur & Gudigere	76.34° E	15.49° N	68131	40
		75.87° E	15.16° N		
Yadgir	Kilankeri	77.48° E	16.80° N	60106	16
		77.15° E	16.48° N		
Bidar	Raipalli	77.27° E	17.69° N	3059	31
		77.20° E	17.62° N		
Gulburga	Sonath	77.10° E	17.67° N	3875	12
		77.02° E	17.59° N		
	Padsavali	76.49° E	17.62° N	2873	4
		76.42° E	17.57° N		
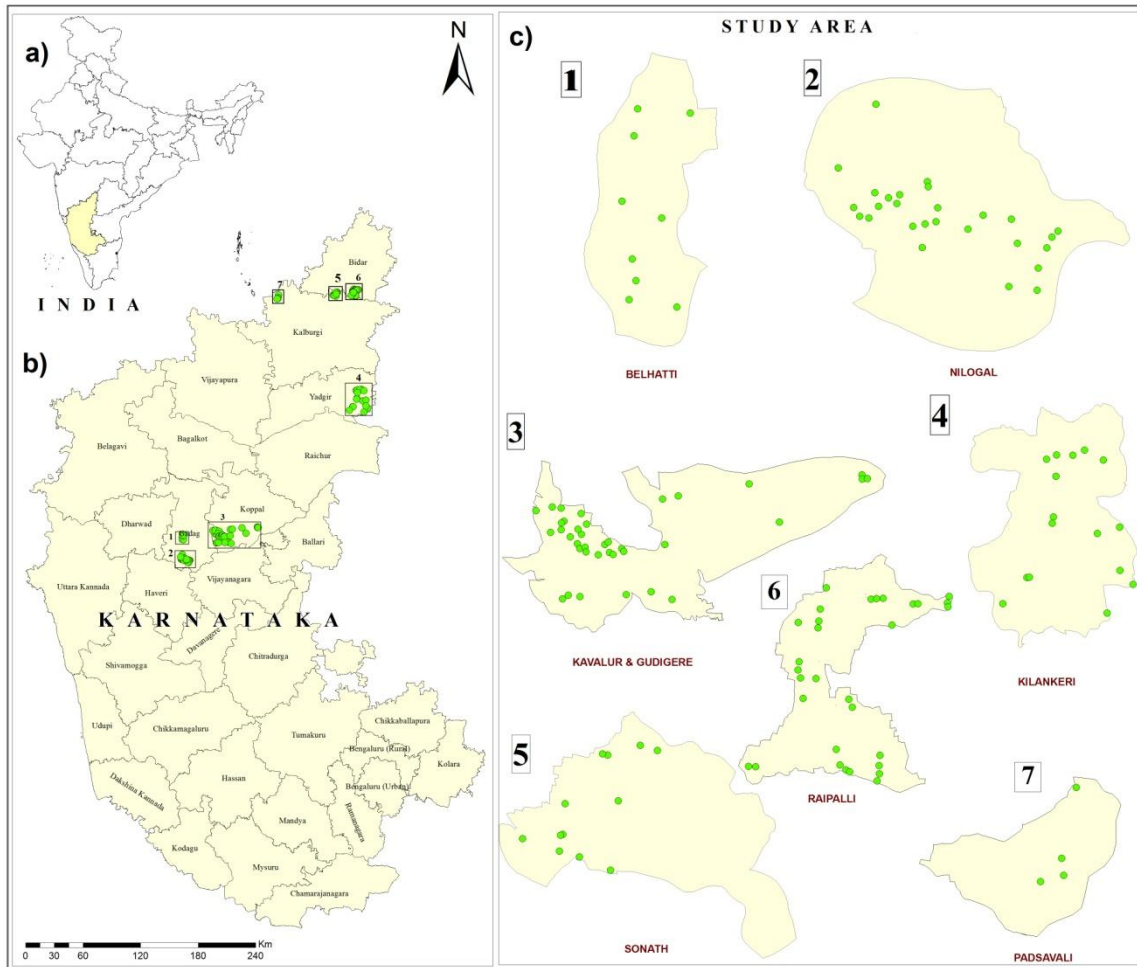
Fig. 1. Location of a) the Karnataka state in India, b) the seven sub-watersheds (black rectangles) over the state of Karnataka and c) the soil profile (green points) over each seven sub-watershed.

2.2 Datasets

Soil profiles collected under the Sujala III project (Hegde et al., 2018) were used for the present study. A total of 139 soil profiles were selected and dug until the hard rock was reached or up to 2 m, whichever occurred first based on the landform, slope and land use variability (Fig. 1b and c). The Belhatti, Nilogal, Kavalur & Gudigere, Kilankeri, Raipalli, Sonath, Padsavali sub-watersheds contain 9, 27, 40, 16, 31, 12, 4 soil profiles, respectively (Table 1) and the number of profiles depends on soil variability in the sub-watershed.

Horizon-wise soil samples (a total of 482 samples) were collected, air-dried, sieved through a 2 mm sieve and analyzed for soil properties. The studied soils were taxonomically grouped into soil orders, namely, Vertisols (20 profiles, 82 samples), Alfisols (59 profiles, 217 samples), Inceptisols (44 profiles, 152 samples) and Entisols (16 profiles, 31 samples), based on their morphological characteristics (Soil survey staff, 2014). Dominant soil characteristics of different soil orders are presented in supplementary information 1.

The samples were analyzed for particle-size distribution by the International Pipette method (Richards, 1954), and OC was estimated by the Walkley and Black (1934) method. Soil pH in 1:2.5 soil : water suspension and cation exchange capacity (CEC) were determined as described by Jackson (1973). The 482 samples constituted the regional dataset, while the samples stratified by soil order constituted four subsets (one subset per soil order). The correlation between soil properties were analysed using Pearson correlation coefficient.

2.3 Spectral data acquisition

An ASD pro-FR Portable Spectroradiometer (Analytical Spectral Devices Inc., Boulder, CO, USA) was used to measure the Vis-NIR spectral data of the soils under laboratory conditions. The processed soil samples (sieved and dried) were illuminated with four tungsten quartz halogen lamps that were fixed at an angle of 36°. The soil spectral reflectance was recorded with a field of view of 8° using a pistol grip. Between 350 and 1000 nm, the spectral sampling interval of the ASD spectrometer was originally 1.4 nm for a spectral resolution of 3 nm, while from 1000 to 2500 nm, the spectral sampling interval was originally 2 nm for a spectral resolution of 10 nm. The reflectance was oversampled by the ASD software to 1 nm in both spectral ranges, leading to a total number of spectral bands of 2151. White reference spectra were measured with a Spectralon® standard white panel after every 5 samples. A

representative spectrum for each soil sample was obtained by the mean of measurements of the individual samples in triplicate.

2.4. Preprocessing of spectral data

Spectral data were pre-processed to correct for background effects and light scattering and to omit nonlinearities in the spectra (e.g., Nocita et al., 2013; Babaeian et al., 2015). The spectral absorbance obtained at ranges of 350-400 nm and 2450-2500 nm were removed to eliminate noises. All spectral data were first transformed into pseudo absorbance (log [1/reflectance]) values to achieve linearization between the spectra and soil properties by highlighting the edges of absorption (Stenberg et al., 2010). Then, the Savitzky–Golay filter was applied to eliminate high-frequency noise and pass low-frequency signals to achieve smooth soil spectra (Delwiche, 2010). This filter fits successive subsets (windows) of adjacent data points (7 nm) with a low-degree polynomial through the use of linear least squares.

2.5 Spectroscopic modelling

Random forest regression (RF) was used for soil property predictions from Vis-NIR spectra. The RF regression works on the principle of assemblages of a number of decision trees where random vectors are independently selected and equally distributed among all the trees (Breiman, 2001; Zeraatpisheh et al., 2021). The number of trees (n_{tree}), minimum number of samples at the terminal node n_{min} and the number of predictors used for fitting the tree (M_{try}) are the three parameters that decide the fitting of RF. A Random Forest 4.6 package in an R environment was used for the estimation of soil properties. The RF parameters were optimised using the *tune* function, and the parameters used for running the model are presented in Supplementary Information 2. The accuracy of the model is set by the mean

204   square error (MSE_{OOB}) of the aggregated out-of-bag (OOB) predictions generated from the

205   bootstrap subset and is calculated as follows:

$$MSE_{OOB} = n^{-1} \sum_{i=1}^{n} (z_i - \hat{z}_i^{OOB})^2 \qquad (1)$$

207   where *n* is the number of observations, z_i is the average prediction of the ith

208   observation and $\hat{z}_i^{OOB}$ is the average prediction for the ith observation from all trees for which

209   the observation was OOB.

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2.6 Bootstrap procedure

212   A bootstrap procedure was applied to each dataset (the entire dataset and the four subsets

213   stratified by soil order) to define *N* sets of calibration and validation subsets, where *N* is equal

214   to 50 (Efron and Tibshirani, 1993). Bootstrapping involved repeated random sampling for

215   calibration and validation data. Each subset stratified by soil order was divided randomly into

216   thirds; two third of the subset was used for calibration (providing four calibration subsets

217   called *BD_cal_Ver*, *BD_cal_Alf*, *BD_cal_Inc* and *BD_cal_Ent*) and one third of the subset

218   was used for validation (providing four validation subsets called *BD_val_Ver*, *BD_val_Alf*,

219   *BD_val_Inc* and *BD_val_Ent*) (Fig. 2). Then, these four calibration subsets and four

220   validation subsets were aggregated to constitute the *BD_Cal_Regional* dataset containing 328

221   samples and the *BD_Val_Regional* dataset containing 154 samples, respectively (Fig. 2).

222   For each bootstrap iteration, a regional RF model was fitted for predicting each soil

223   property, based on the *BD_Cal_Regional* and validated using the *BD_Val_Regional* dataset

224   and the four validation subsets stratified by soil order (*BD_val_Ver*, *BD_val_Alf*, *BD_val_Inc*

225   and *BD_val_Ent*). As well, for each bootstrap iteration, a soil-order RF model for each soil

226   property was built based on each calibration subset stratified by soil order (*BD_cal_Ver*,

227   *BD_cal_Alf*, *BD_cal_Inc* and *BD_cal_Ent*) and validated on the validation data of the same

228   order.

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2.7 Model evaluation

The performance of the RF models was evaluated based on the 50 iterations for each

validation dataset using four accuracy estimates (Bellon-Maurel et al., 2010), the coefficient

of determination (R^2_{val}), root mean square error ($RMSE_{val}$), mean error (ME_{val}), and ratio of

performance to interquartile distance ($RPIQ_{val}$), based on the following equations:

$$R^2_{val} = 1 - \frac{\sum_{i=1}^{n}(p_i - o_i)^2}{\sum_{i=1}^{n}(o_i - \overline{o_i})^2} \quad (2)$$

$$ME_{val} = \frac{1}{n}\sum_{i=1}^{n}(o_i - p_i) \quad (3)$$

$$RMSE_{val} = \sqrt{\frac{1}{n}\sum_{i=1}^{n}(o_i - p_i)^2} \quad (4)$$

where p_i and o_i are the predicted and observed values, respectively and $\overline{o_i}$ is the means of the

observed values.

$$RPIQ_{val} = \frac{IQ}{RMSE_{val}} \quad (5)$$

where IQ is the difference between the third quartile Q3 and the first quartile Q1. A larger

RPIQ value indicates improved model performance. The reliability of the prediction was

evaluated based on the RPIQ, for which a RPIQ lower than 1.5 may be consider as a poor

performance, RPIQ from 1.5 to 3.0 may be consider as a acceptable performance, and RPIQ

up to 3.0 may be consider as a good performance (Veum et al., 2015).

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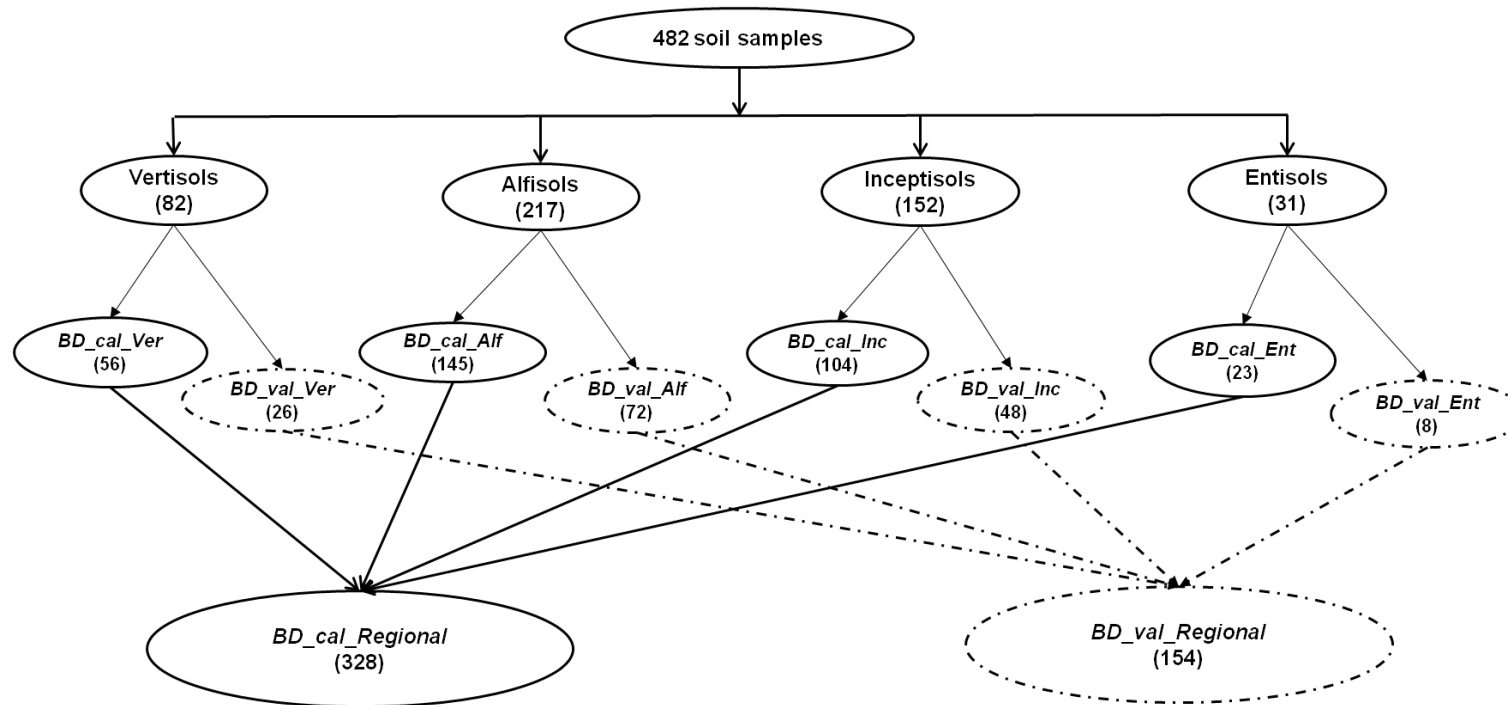
Fig. 2. Construction of calibration and validation datasets for regional and soil-order models (number of samples in parentheses)

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3. Results

3.1 Preliminary analysis of soil properties and spectra

3.1.1 Based on the entire dataset

The clay, sand and silt of the entire soil dataset (482 samples) ranged from 1.2 to 77.2%, 2.7 to 93.4% and 2.4 to 39.4%, respectively, with means of 42.8, 40.8 and 16.3%, respectively (Table 2). The soil pH ranged from 4.7 to 11.2 with mean of 8.0. The SOC content ranged from 0.03 to 1.6% with a mean of 0.6%. The mean CEC of the northern Karnataka Plateau soils was 29.5 cmol (+) kg^{-1}, with a 66.4% coefficient of variation.

Based on the entire soil dataset, clay had a high negative correlation with sand ($r = -0.95$), a high positive correlation with CEC ($r = 0.71$) and a modest correlation with silt ($r = 0.42$) (Supplementary Information 3). Sand had a high negative correlation with silt ($r = -0.68$). CEC had a positive correlation with silt ($r = 0.64$) and a negative correlation with sand ($r = -0.79$). Finally, no correlations existed between the other properties of the overall soil dataset. The sand content was positively correlated with the average reflectance along the Vis-NIR spectral range, while the clay content was negatively correlated with the average reflectance along the Vis-NIR spectral range (Fig. 3). The CEC and silt content also followed correlation patterns similar to clay along the Vis-NIR spectral range. Finally, there was no significant correlation between pH and OC with the average reflectance.

277 Table 2. Statistical summary of soil properties for the entire dataset and each subset stratified

278 per soil order.

|		sand (%)	silt (%)	clay (%)	pH	SOC (%)	CEC (cmol (+) kg^{-1})
Entire samples (N=482)	Min	2.7	2.4	1.2	4.7	0.03	1.7
	Max	93.4	39.4	77.2	11.2	1.60	80.9
	Mean	40.8	16.3	42.8	8.0	0.58	29.5
	SD	23.4	7.9	19.0	1.1	0.27	19.6
	CV (%)	57.4	48.5	44.4	13.8	47.5	66.4
Vertisols (N=82)	Min	2.7	10.5	37.7	6.7	0.16	10.2
	Max	51.8	36.5	77.2	9.5	1.29	80.9
	Mean	15.5	22.1	62.4	8.5	0.59	51.5
	SD	10.6	5.2	8.75	0.6	0.25	17.9
	CV (%)	68.4	23.4	14.0	6.6	43.1	34.8
Alfisols (N=217)	Min	6.3	2.4	2.3	4.7	0.12	1.7
	Max	93.4	34.5	76.1	9.9	1.55	54.0
	Mean	49.3	12.0	38.6	7.5	0.56	18.1
	SD	19.3	6.2	17.7	1.1	0.26	9.8
	CV (%)	39.2	51.6	45.9	14.7	46.2	54.1
Inceptisols (N=152)	Min	3.2	3.8	4.6	5.4	0.08	3.4
	Max	88.4	37.9	73.3	11.2	1.26	80.4
	Mean	39.8	19.2	41.1	8.6	0.55	35.4
	SD	22.5	7.2	18.0	1.0	0.28	18.8
	CV (%)	56.5	37.5	43.8	11.6	51.0	53.1
Entisols (N=31)	Min	9.97	2.6	1.2	6.0	0.03	2.03
	Max	94.0	39.4	58.3	8.7	1.60	51.9
	Mean	53.2	17.6	29.1	7.6	0.61	21.5
	SD	28.0	10.6	18.3	0.8	0.33	16.7
	CV (%)	52.8	60.2	62.9	10.7	52.4	77.7

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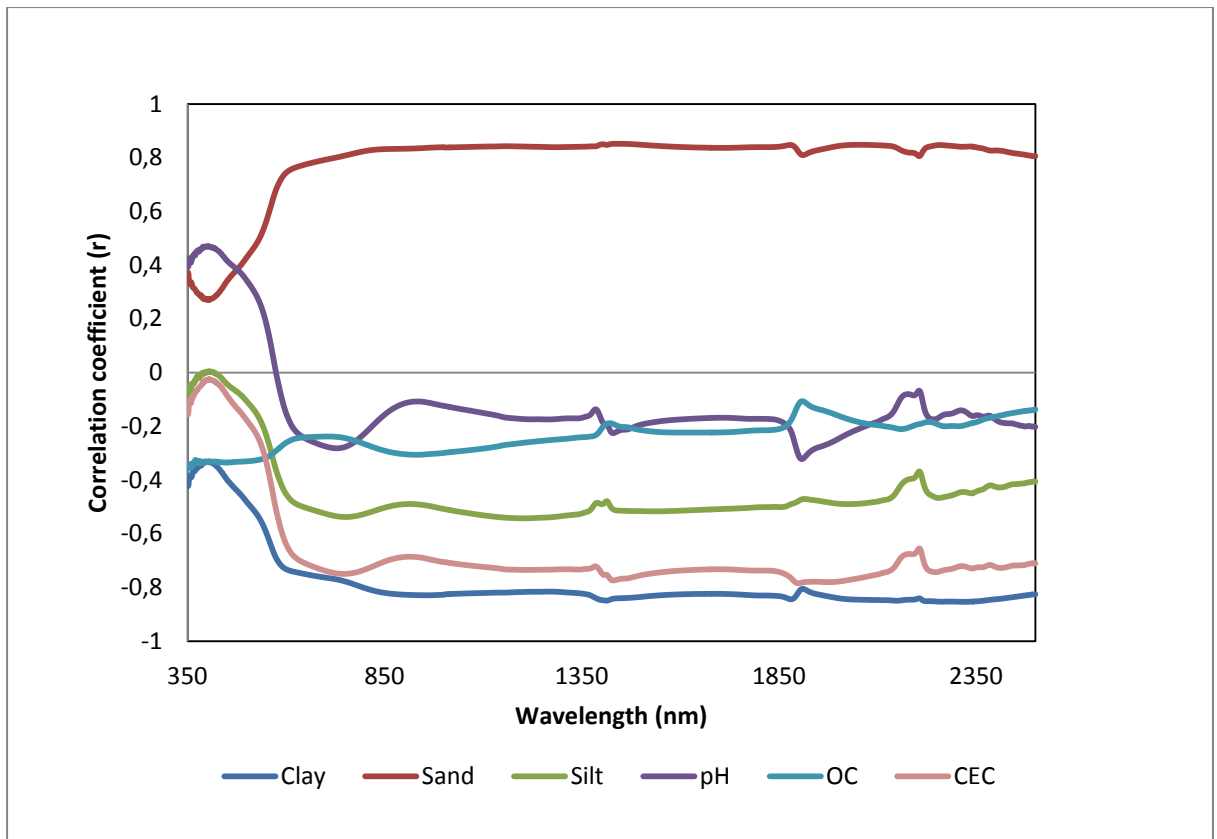
Fig. 3. Correlation coefficient (*r*) between soil properties and mean reflectance at each wavelength based on the entire dataset.

3.1.2 Based on subsets stratified per soil order

The Vertisols and Inceptisols were characterized by a higher content of clay (mean > 40%), CEC (mean > 35 cmol (+) kg^{-1}) and pH (mean > 8.5) than Alfisols and Entisols (Table 2). The high CEC in Vertisols and Inceptisols may be due to the presence of highly weatherable minerals derived from basaltic parent materials and these soils have abundant 2:1 type clay minerals. The Alfisols and Entisols were characterized by high contents of sand (mean > 49%) and CEC (mean of 18.1 and 21.5 cmol (+) kg^{-1}, respectively). The SOC range and distribution were similar from one soil order to another (Table 2).

298   Regardless of the soil order, clay had a high negative correlation ($r < -0.87$) with sand

299   (Supplementary Information 4 to 7). Clay and CEC had a high positive correlation in

300   Inceptisols and Entisols ($r > 0.89$) and a modest correlation in Alfisols and Vertisols (r from

301   0.43 to 0.46). Clay and silt were highly correlated in Entisols ($r = 0.85$), slightly correlated in

302   Inceptisols ($r = 0.50$), and had no correlation in either of the other soil orders. OC and pH had

303   a modest negative correlation in Vertisols and Inceptisols (r of -0.57 and -0.56, respectively)

304   and poor correlations in the other soil orders.

305   *3.1.3 Vis-NIR spectra per soil order*

306   The mean spectra measured for Entisols and Alfisols presented the highest absorption band

307   centred at 2207 nm (Fig. 4), which corresponds to the combination of OH stretching and OH-

308   Al bending modes observed in clay (Chabrillat et al., 2002). Vertisols recorded relatively

309   poor reflectance irrespective of the bandwidth, which might be due to the presence of

310   smectite clay minerals in Vertisols and high moisture-holding capacities (Baumgardner et al.,

311   1985; Demattê et al., 2017). The higher reflectance of Entisols and Alfisols might be

312   attributed to the predominance of highly weatherable minerals (Poppiel et al., 2018) and sand

313   contents (Viscarra Rossel et al., 2006) which may have increased their albedo. Alfisols and

314   Entisols had broad absorption features between 850-1100 nm related to the specific

315   absorption shoulder of goethite and haematite (Srivastava et al., 2004). These particular iron

316   oxide absorption bands were not observed in the reflectance spectra of other soil orders

317   because iron oxides are underdeveloped in Inceptisols and Vertisols (Poppiel et al., 2018).

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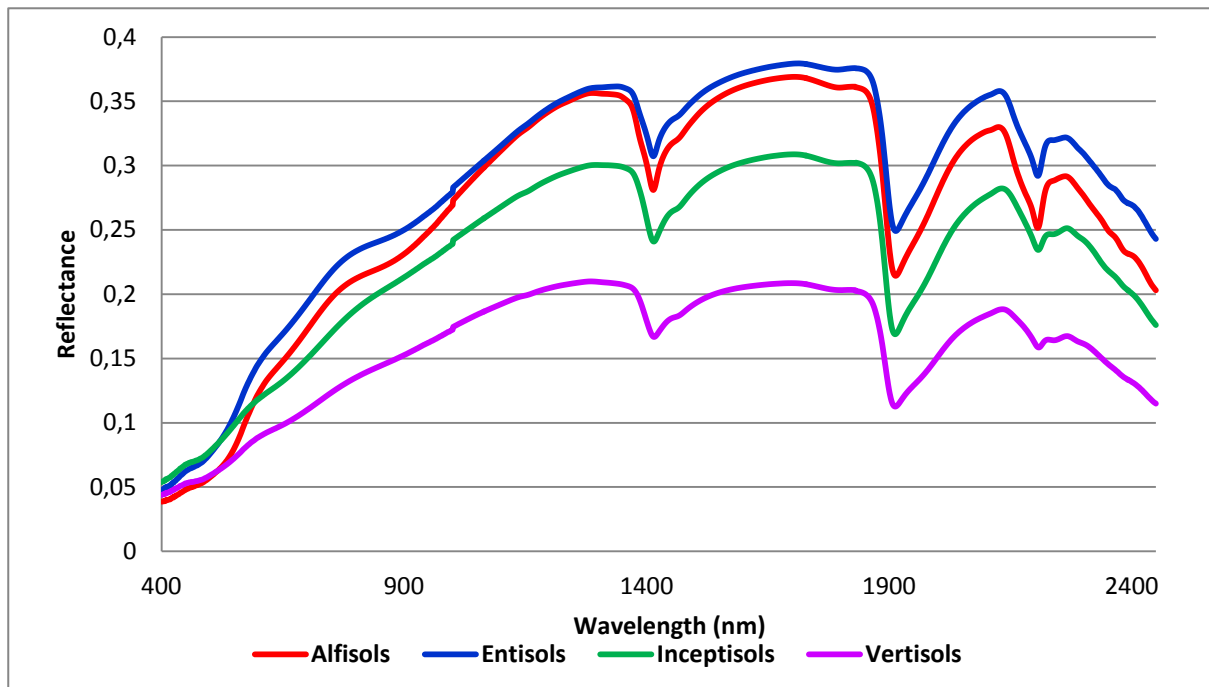
**Fig. 4.** Mean spectral reflectance of soil samples stratified per soil order.

3.2 Prediction performance of regional models

3.2.1. Analysis based on the entire database

Fifty regional models were built from a *BD_Cal_Regional* dataset for each soil property and validated using a *BD_Val_Regional* dataset. The RF regional models for CEC estimates provided good performances, with R^2_{val} and $RPIQ_{val}$ values of 0.76 and 3.00, respectively (Fig. 5c), as the RF regional models for clay and sand which provided good performances with R^2_{val} values of 0.74 and $RPIQ_{val}$ values of 3.17 and 3.14, respectively (Fig. 5a and b). The RF regional models for silt and pH estimates provided modest performances, with R^2_{val} and $RPIQ_{val}$ values above 0.5 and 1.5, respectively (Fig. 5d and e). Finally, the regional models for SOC estimates yielded poor performances, with R^2_{val} value lower than 0.5 (Fig. 5f). The variations in performances based on 50 iterations (standard deviation) were modest, regardless of the studied soil property (Supplementary Information 8).
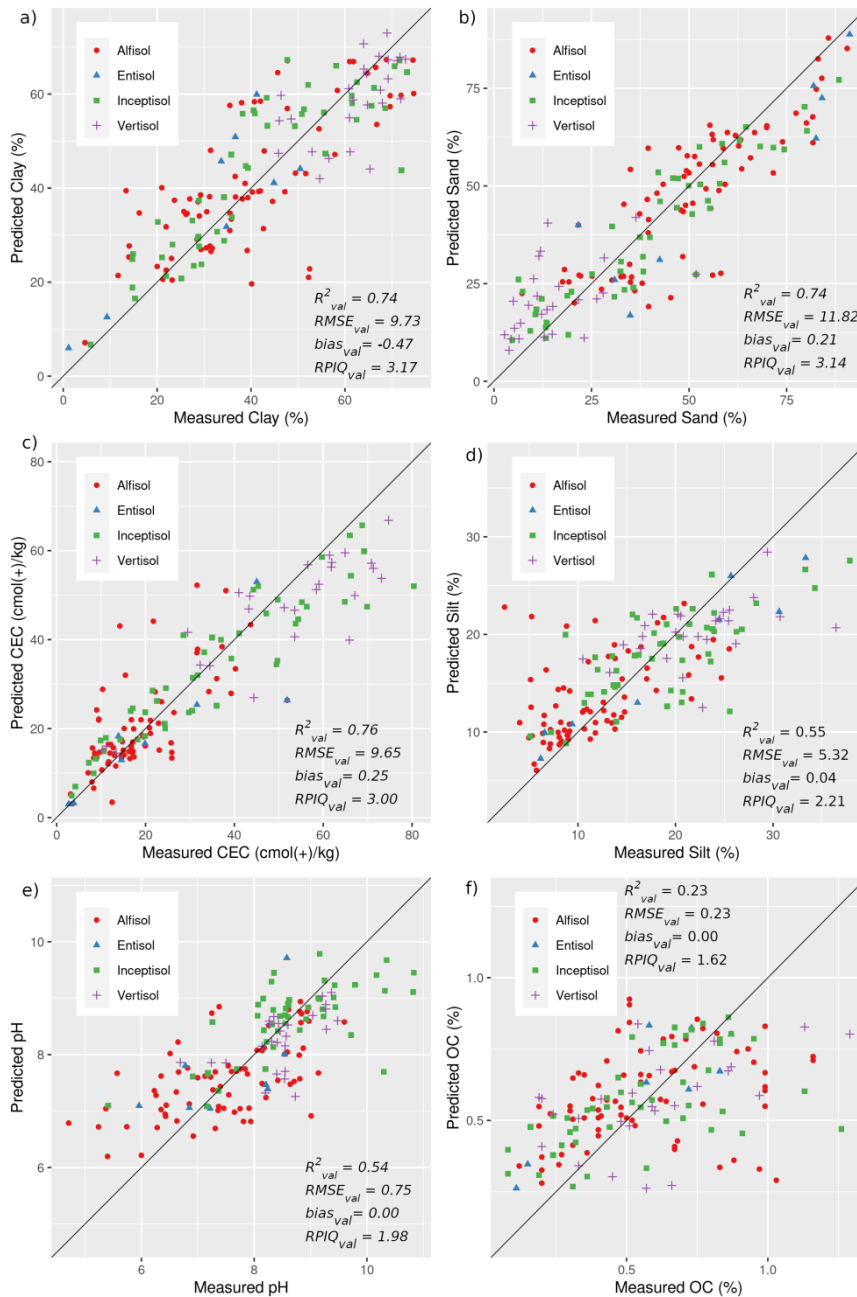
Fig. 5. Scatter plots of predicted versus observed soil properties obtained for the

BD_Val_Regional datasets.

3.2.2. Analysis based on the soil order subsets

The 50 regional models built from the samples of *BD_Cal_Regional* for each soil property

were then tested on samples of specific soil orders: *BD_val_Ver, BD_val_Alf, BD_val_Inc,*

BD_val_Ent. While the regional models for clay and sand prediction provided good

performances over the entire dataset (Fig. 5a and b), both models yielded acceptable (R^2_{val} >

347   0.50, $RPIQ_{val} > 1.50$) to good ($R^2_{val} > 0.70$, $RPIQ_{val} > 3.00$) performances for soil samples

348   belonging to Alfisols, Inceptisols and Entisols (Table 3, Fig. 5a and b) and poor performances

349   for Vertisols ($R^2_{val} < 0.50$, Table 3, purple points on Fig. 5a and b), which were characterized

350   by the smallest clay and sand ranges among the four soil orders (SD of 8.75% and 10.6%,

351   respectively, Table 2). Additionally, while the regional models for CEC prediction provided

352   good performances over the entire dataset (Fig. 5c), it yielded acceptable ($R^2_{val} > 0.50$,

353    $RPIQ_{val} > 1.50$) performances for Vertisols (Table 3, purple points on Fig. 5c), good

354   performances ($R^2_{val} > 0.70$, $RPIQ_{val} > 3.00$) for Inceptisols and Entisols (Table 3, green and

355   blue points in Fig. 5c) and poor performances for Alfisols (Table 3, red points on Fig. 5c).

356   The regional models for silt prediction yielded acceptable ($R^2_{val} > 0.50$,

357    $RPIQ_{val} > 1.50$) to good ($R^2_{val} > 0.70$, $RPIQ_{val} > 3.00$) performances for soil samples belonging

358   to Inceptisols and Entisols (Table 3, Fig. 5d), but performed poorly over Vertisols and

359   Alfisols (Table 3, Fig. 5d) where the silt range was small (SD of 5.2 and 6.2%, respectively,

360   Table 2). Finally, the regional models for the prediction of pH and SOC yielded poor

361   performances regardless of the soil order (Table 3, Fig. 5e and f). Therefore, although the

362   regional models for pH prediction provided acceptable performances over the entire dataset

363   (Fig. 5e), it did not provide accurate predictions at the soil-order level (Table 3).

364

3.3 Prediction performance of soil-order model

366   Fifty soil-order models were built from calibration samples of each soil order (*BD_Cal_Ver,*

367   *BD_Cal_Alf, BD_Cal_Inc and BD_Cal_Ent*, Fig. 2) for each soil property and validated

368   using validation samples for each soil order (*BD_Val_Ver, BD_Val_Alf, BD_Val_Inc and*

369   *BD_Val_Ent*, Fig. 2). The soil-order models for clay and CEC estimates built from Vertisols

370   and Alfisols and tested on the same soil order yielded acceptable predictions ($R^2_{val} > 0.50$,

371     $RPIQ_{val} > 1.50$), while the soil-order models built from Inceptisols and Entisols for clay and

372   CEC resulted in good predictions ($R^2_{val} > 0.70$, $RPIQ_{val} > 3.00$) (Table 3).

373   The soil-order models for sand estimation built from Alfisols and tested on the same

374   soil order yielded acceptable predictions ($R^2_{val} > 0.50$, $RPIQ_{val} > 1.50$), while those built from

375   Inceptisols and Entisols and tested on these same two soil orders yielded good predictions

376   ($R^2_{val} > 0.70$, $RPIQ_{val} > 3.00$) (Table 3). For Vertisol, the soil-order models for sand

377   estimation and tested on this same soil order yielded poor predictions ($R^2_{val} < 0.50$, $RPIQ_{val} <$

378   1.50) (Table 3). The soil-order models built from Entisols predicted silt content with

379   acceptable accuracy ($R^2_{val} > 0.50$, $RPIQ_{val} > 1.50$), and the three other soil-order models built

380   for silt estimation provided poor performances (Table 3). Regardless of the soil order, the

381   soil-order models for SOC yielded poor predictions ($R^2_{val} < 0.50$, $RPIQ_{val} < 1.50$) (Table 3).

382   In accordance with the R^2_{val} and $RMSE_{val}$ values, these models calibrated from subsets

383   stratified by soil orders for clay prediction outperformed the regional model when applied to

384   each validation dataset of the corresponding soil order (Table 3). Similarly, the soil-order

385   models for Vertisols, Alfisols and Inceptisols performed better than the regional models for

386   the prediction of CEC. Although both regional and soil-order models performed well for the

387   prediction of the sand contents of Alfisols, Inceptisols and Entisols, with respect to RPIQ, the

388   soil-order model ($RPIQ_{val}$ of 2.12) slightly outperformed the regional model ($RPIQ_{val}$ of 2.04)

389   for Alfisols (Table 3). In addition, the regional models outperformed the soil-order models in

390   all other situations.

391 **Table 3.** Performance of regional and soil-order models (50 iterations) for the prediction of soil properties of different orders (standard deviation in parenthesis). (Models
392 that yielded R^2_{val} values from 0.50 to 0.70 are highlighted in bold. Models that yielded R^2_{val} values above 0.70 are highlighted in bold and underlined).

Properties	Model	Validation Dataset															
		BD_val_Ver(26)				BD_val_Alf(72)				BD_val_Inc(48)				BD_val_Ent(8)			
		R^2_{val}	RMSE val	bias val	RPIQ val	R^2_{val}	RMSE val	bias val	RPIQ val	R^2_{val}	RMSE val	bias val	RPIQ val	R^2_{val}	RMSE val	bias val	RPIQ val
clay (%)	regional models	0.48 (0.10)	9.09 (1.48)	-5.21 (1.41)	1.25 (0.26)	**0.63** **(0.06)**	**10.65** **(0.91)**	**-0.49** **(1.26)**	**1.95** **(0.17)**	**0.78** **(0.05)**	**8.52** **(0.92)**	**1.25** **(0.86)**	**3.24** **(0.37)**	**0.84** **(0.06)**	**8.85** **(2.12)**	**4.77** **(2.18)**	**3.43** **(1.29)**
	soil-order models	**0.54** **(0.11)**	**6.17** **(0.93)**	**0.40** **(1.15)**	**1.83** **(0.31)**	**0.64** **(0.06)**	**10.47** **(0.71)**	**-0.54** **(1.39)**	**1.98** **(0.14)**	**0.79** **(0.04)**	**8.42** **(0.88)**	**-0.43** **(1.18)**	**3.28** **(0.37)**	**0.80** **(0.08)**	**8.43** **(1.98)**	**-0.13** **(2.80)**	**3.63** **(1.47)**
CEC (cmol (+) kg⁻¹)	regional models	**0.58** **(0.14)**	**12.69** **(1.66)**	**-4.46** **(1.64)**	**1.90** **(0.29)**	0.46 (0.09)	8.96 (1.42)	2.78 (1.08)	1.17 (0.18)	**0.82** **(0.04)**	**8.31** **(0.77)**	**-1.45** **(0.89)**	**3.88** **(0.37)**	**0.72** **(0.21)**	**9.88** **(4.20)**	**3.12** **(2.64)**	**3.04** **(1.61)**
	soil-order models	**0.51** **(0.14)**	**12.90** **(1.58)**	**0.24** **(2.08)**	**1.86** **(0.21)**	**0.61** **(0.05)**	**6.21** **(0.69)**	**-0.01** **(0.73)**	**1.67** **(0.19)**	**0.83** **(0.04)**	**7.94** **(0.83)**	**0.11** **(1.23)**	**4.07** **(0.47)**	**0.68** **(0.14)**	**9.45** **(2.02)**	**-0.10** **(2.69)**	**2.85** **(0.94)**
sand (%)	regional models	0.38 (0.13)	11.61 (2.25)	6.46 (1.98)	0.99 (0.30)	**0.59** **(0.06)**	**12.78** **(1.00)**	**-1.21** **(1.33)**	**2.04** **(0.15)**	**0.79** **(0.05)**	**10.32** **(1.08)**	**-0.42** **(1.28)**	**3.48** **(0.38)**	**0.87** **(0.06)**	**10.78** **(2.01)**	**-3.42** **(2.44)**	**4.31** **(1.44)**
	soil-order models	0.45 (0.13)	8.25 (1.52)	0.13 (1.97)	1.39 (0.32)	**0.60** **(0.06)**	**12.29** **(0.76)**	**0.28** **(1.32)**	**2.12** **(0.14)**	**0.76** **(0.05)**	**11.18** **(1.07)**	**0.07** **(0.14)**	**3.21** **(0.34)**	**0.75** **(0.08)**	**14.05** **(2.60)**	**0.37** **(4.45)**	**3.26** **(0.97)**
pH	regional models	0.43 (0.11)	0.53 (0.07)	-0.22 (0.08)	1.44 (0.23)	0.41 (0.08)	0.85 (0.06)	0.13 (0.07)	2.00 (0.13)	0.50 (0.08)	0.69 (0.07)	-0.09 (0.08)	1.47 (0.17)	0.30 (0.21)	0.76 (0.14)	0.15 (0.19)	1.93 (0.49)
	soil-order models	0.45 (0.11)	0.45 (0.06)	0.00 (0.08)	1.69 (0.27)	0.39 (0.08)	0.86 (0.07)	-0.01 (0.07)	1.99 (0.15)	0.41 (0.08)	0.76 (0.07)	0.02 (0.10)	1.34 (0.15)	0.12 (0.14)	0.79 (0.09)	-0.03 (0.13)	1.74 (0.26)
SOC (%)	regional models	0.32 (0.11)	0.21 (0.02)	0.01 (0.03)	1.34 (0.17)	0.16 (0.06)	0.24 (0.02)	0.01 (0.02)	1.52 (0.11)	0.30 (0.07)	0.24 (0.02)	-0.02 (0.02)	1.83 (0.17)	0.44 (0.30)	0.26 (0.14)	-0.05 (0.07)	1.56 (0.77)
	soil-order models	0.34 (0.10)	0.21 (0.02)	0.00 (0.03)	1.38 (0.17)	0.14 (0.06)	0.24 (0.02)	0.00 (0.02)	1.50 (0.12)	0.28 (0.08)	0.24 (0.02)	0.00 (0.03)	1.81 (0.17)	0.40 (0.28)	0.28 (0.10)	0.00 (0.10)	1.27 (0.48)
silt (%)	regional models	0.19 (0.12)	4.97 (0.66)	-1.18 (0.53)	1.50 (0.21)	0.31 (0.10)	5.44 (0.53)	1.30 (0.50)	1.20 (0.10)	**0.50** **(0.08)**	**5.29** **(0.46)**	**-0.89** **(0.56)**	**1.77** **(0.18)**	**0.86** **(0.07)**	**5.11** **(1.02)**	**-1.78** **(1.02)**	**3.35** **(0.90)**
	soil-order models	0.27 (0.13)	4.53 (0.63)	0.15 (0.69)	1.65 (0.24)	0.30 (0.13)	5.25 (0.55)	-0.24 (0.41)	1.25 (0.14)	0.41 (0.08)	5.62 (0.46)	0.00 (0.78)	1.67 (0.16)	**0.53** **(0.17)**	**7.84** **(1.79)**	**0.48** **(2.31)**	**2.24** **(0.93)**

21

4. Discussion

4.1. Predictions at the regional scale based on regional models

The soil properties which were successfully predicted based on regional models, were characterized by i) a high variability (e.g., clay contents from 1.2 to 77.2% with a SD of 19%; Table 2) and ii) either a spectral response due to physicochemical responses (e.g., clay which is characterized by a absorption band at 2208 nm corresponding to the combination of OH stretch and OH-Al bending modes, Chabrillat et al., 2019) or a correlation to one property which was successfully predicted (e.g., sand which was correlated to clay, Supplementary Information 3). These results are in accordance with the three rules defined by Ben-Dor et al. (2002) and then Gomez et al. (2012a, b), presented in Chabrillat et al. (2019) and recalled in our Introduction section. Conversely, soil properties characterized by a short variability of values (e.g., SOC with a mean of 0.6% and SD of 1.1%, Table 2) were poorly predicted at the regional scale by the regional models (Fig. 5f).

The accurate clay estimations might be due to the use of wavelengths in RF models related to clay including the bands around 2208 nm corresponding to the combination of OH stretch and OH-Al bending modes (Chabrillat et al., 2002). The accurate predictions of CEC might be attributed to the correlation between CEC and clay and the large range of CEC values at the regional scale (Table 2), as CEC does not have a primary response to spectral reflectance (Leone et al., 2012; Xu et al., 2018). Similar levels of performance were observed for the various models for the prediction of clay, sand and CEC in the literature. Ahmadi et al. (2021) stated that the mean coefficients of determination (R^2) for various Vis-NIR prediction studies for sand and clay were 0.76 and 0.70, respectively. Terra et al. (2015) emphasised that the promising results of models for the prediction of sand (R^2_{cal} from 0.85 to 0.90) and clay contents (R^2_{cal} from 0.85 to 0.88) may effectively replace the analysis of soil particle size by conventional methods.

Silt content was predicted with reliable accuracy (R^2_{val}=0.55, $RPIQ_{val}$=2.21 and $RMSE_{val}$= 5.32%), which was in agreement with Viscarra Rossel et al. (2006). Additionally, pH was predicted with reliable accuracy (R^2_{val}=0.54, $RPIQ_{val}$=1.98 and $RMSE_{val}$= 0.75), which is difficult to explain because pH does not have any spectral response or correlation to a property having a spectral response due to physical or chemical structures (Supplementary Information 3). The low range for SOC content might be the cause of the poor prediction of SOC (Dalal and Henry, 1986), which was confirmed with Fig. 4, where no significant absorption was observed near 500 and 800 nm (Latz et al., 1984).

4.2. Predictions at the soil order scale based on regional models

Based on regional models, the prediction performances obtained over each subset stratified per soil order differed from those obtained at the regional scale (Table 3 and Fig. 5). While clay and sand contents may be considered correctly predicted at the regional scale (Fig. 5a, b), both soil properties were poorly predicted over Vertisols samples (Table 3), for which these properties were characterized by a small range (SD of 8.75% and 10.6%, respectively, Table 2) and thus do not follow the rule (1.3) stated by Chabrillat et al. (2019). Additionally, while CEC may be considered correctly predicted at the regional scale (Fig. 5c), CEC was poorly predicted for Alfisols samples (Table 3), which was characterized by a small CEC range (SD of 9.8 cmol (+) kg^{-1}, Table 2) and thus does not follow the rule (1.3) stated by Chabrillat et al. (2019).

So models based on the regional database for calibration can be considered as providing high accuracy of some soil properties estimations when considering the regional strategy in the validation step but modest accuracy of these same soil properties when considering subsets stratified by soil order from the regional database in validation step. These results are in accordance with Gomez and Coulouma (2018), who showed that while

443 their prediction models were accurate at a regional scale, the prediction model performances

444 at within-field scales depended on the specific soil property. As the estimation accuracy

445 appreciation is depending on the validation database, the appreciation of prediction

446 accuracies can be done both at regional and soil-order scale to reinforce the performance

447 analysis.

448

449 **4.3. Predictions at the soil order scale based on soil order models**

450 The soil-order models dedicated to Entisols and Inceptisols predict clay contents (R^2_{val} of

451 0.80 and 0.79, respectively, Table 3) with more accuracy than the soil-order models dedicated

452 to Vertisols (R^2_{val} of 0.54, Table 3), as the presence of smectite clay minerals and the high

453 moisture-holding capacity of Vertisols may reduce the relative spectral reflectance at 1300–

454 1400, 1800–1900, and 2200–2500 nm bands (Baumgardner et al., 1985; Babaeian et al.,

455 2015; Demattê et al., 2017). The prediction of CEC was on par with clay for different soil

456 orders, which might be due to a positive correlation between clay and CEC. The trends in

457 CEC prediction for the soil orders were similar to the trends in the correlation coefficients

458 between clay and CEC (Supplementary Information 4-7). The higher performances for sand

459 prediction ($R^2_{val} \geq 0.75$, Table 3) in Inceptisols and Entisols might be explained by the higher

460 sand content in these soils which are at the inception of soil development (Santos et al.,

461 2013). A relatively better prediction of silt content was achieved through a soil-order model

462 for Entisols, which might be attributed to the predominance of highly weatherable minerals in

463 these soils that alter their albedo (Poppiel et al., 2018).

464

465 **4.4. Regional model *versus* soil-order model**

466 For Vertisols, the soil-order models for clay and sand estimates significantly outperformed

467 the regional models (Table 3), while both the soil-order and regional models for other soil

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468 property predictions provided a similar range of performances. For Alfisols, the soil-order

469 model for CEC estimates significantly outperformed the regional model (Table 3), while both

470 the soil-order and regional models for the other soil property predictions provided a similar

471 range of performances. Over Inceptisols, the regional models for pH and silt estimates

472 significantly outperformed the soil-order models (Table 3), while both the soil-order and

473 regional models for other soil property predictions provided a similar range of performances.

474 For Entisols, the regional models for CEC, sand and silt estimates significantly outperformed

475 the soil-order models (Table 3), while both the soil-order and regional models for the other

476 soil property predictions provided a similar range of performances.

477 Therefore, these results did not allow us to conclude whether a regional model or a

478 soil-order model is the best strategy for predicting different properties across different soils.

479 The literature is also not unanimous on this point, as some works have shown that regional

480 models outperform soil-order models (e.g., Vasques et al., 2010; Liu et al., 2018), while other

481 works have shown the opposite (e.g., Madari et al., 2005; McDowell et al., 2012). Therefore,

482 while our results did not enable any recommendations for choosing between a regional or

483 soil-order model, they highlight the risk of overestimating prediction accuracy at the soil-

484 order scale when figures of merit are based on a validation dataset built at the regional scale.

485

486 **5. Conclusion**

487 In the present study, the effectiveness of using Vis-NIR spectroscopy for the prediction of

488 soil properties was analyzed based on soil order knowledge in both calibration and validation

489 steps. While these results did not enable any recommendations for choosing between a

490 regional or soil-order model when validating on soil-order datasets, they highlighted the risk

491 of overestimating prediction accuracy at the soil-order scale when figures of merit are based

492 on a validation dataset built at a regional scale. As large soil spectral libraries are currently

highly developed, this work showed that soil-order knowledge may be useful to avoid

misestimating soil properties. In future, this work could be completed by an analysis of how

land use or other environmental covariates may be used to improve soil properties prediction

models.

Declaration of Competing Interest

The authors declare that there are no known competing interests.

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