

# Uncertainties in models predicting critical bed shear stress of cohesionless particles

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1	Uncertainties in models predicting critical bed shear stress of cohesionless
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### 17 ABSTRACT

Our data show a large scatter for the critical Shields stress for initial sediment motion. The main sources of dispersion are related to the methodological procedures defining the inception of movement (i.e., visual observations or extrapolation of sediment transport rate ) and to the estimation of the bed shear stress. The threshold for sediment motion varies with many factors related not only to grain size, but also with bed composition (e.g., presence of fine sediments in a coarse matrix), arrangement (e.g., bed roughness, grain orientation and characteristic lengths

of bed structures) and slope. New models to estimate the critical Shields number are proposed 24 combining both grain size or/and bed slope. Model parameters and uncertainty are estimated 25 through Bayesian inference using prior knowledge on those parameters and measured data. Apart 26 from the uncertainty in observations, two types of uncertainty can be evaluated: one related to the 27 parameter estimation (i.e., parametric) and one related to the choice of the model (i.e., structural). 28 Eventually, a four-parameter model based on both the grain size and bed slope yields the best 29 results and demonstrates a potential interaction between these two parameters. Model uncertainty 30 remains, however, large, which indicates that other input parameters may be needed to improve the 31 proposed model. 32

#### 33 INTRODUCTION

<sup>34</sup> Understanding sediment transport is a major concern in many fluvial and ecohydraulic studies <sup>35</sup> (e.g., riverbed mobility, habitat, water quality) and predicting the critical conditions for incipient <sup>36</sup> particle motion remains a fundamental and practical problem. Bedload increases rapidly and non-<sup>37</sup> linearly with bed shear stress, and large uncertainties in predicting its rate near incipient motion <sup>38</sup> have been observed in gravel-bed rivers (Camenen and Larson 2005; Recking et al. 2008; Camenen <sup>39</sup> et al. 2011). Shields (1936) defined the dimensionless bed shear stress as:

$$\theta = \frac{\tau}{(\rho_s - \rho)gd} \tag{1}$$

with  $\tau$  the bed shear stress,  $\rho_s$  and  $\rho$  the densities of sediment and water, respectively, g the 40 acceleration of gravity, and d the grain size. The criterion for incipient motion of sediment particles 41 is commonly expressed in terms of the critical Shields number  $\theta_{cr}$ . Most sediment transport 42 formulas, generally derived from laboratory experiments on well-sorted sediment mixtures, relate 43 bedload rate  $q_{sb}$  to the excess bed shear stress  $(\theta - \theta_{cr})$  (Meyer-Peter and Müller 1948; Parker et al. 44 1982; van Rijn 1984; Lajeunesse et al. 2010). The validity of these formulas may be questionable 45 when applied to field cases, such as gravel bed rivers with poorly sorted sediment mixtures and 46 complex bed features (Recking 2010). Accurate estimation of the bed shear stress and its critical 47

value for incipient motion is then challenging (Perret et al. 2020).

Buffington and Montgomery (1997) reported a large dataset for the critical Shields number and 49 the Soulsby and Whitehouse (1997) equation provides a rough fit of  $\theta_{cr}$  expressed in terms of the 50 dimensionless grain size  $d_* = d_{50}[g(s-1)/\nu^2]^{1/3}$  (with  $s = \rho_s/\rho$  the relative sediment density, 51 v the kinematic viscosity of the fluid, and  $d_{50}$  the median grain size). Still, a significant scatter 52 in the data exists, as for a given  $d_*$ -value,  $\theta_{cr}$  can vary more than one order of magnitude. Data 53 scatter may result from the experimental set-up conditions (e.g., initial bed arrangement) and from 54 the methodological procedures used to define the concept of incipient motion and to compute  $\theta_{cr}$ 55 (Buffington and Montgomery 1997). The scatter in the data may also reflect that  $\theta_{cr}$  depends not 56 only on grain size (Garcia 2008) but also on bed slope (Recking 2009), hiding/exposure of grains 57 (Wilcock and Crowe 2003), particle imbrication, and degree of clogging (Perret et al. 2018). 58 Several studies have put forward the dependence of the critical bed shear stress on bed arrange-59 ment (Tait 1993; Haynes and Pender 2007; Yager et al. 2018; Perret et al. 2020; Hassan et al. 60 2020; Hodge et al. 2020) which has been described through many indicators, such as the roughness 61 height of grains, their shape (Lane and Carlson 1954; Li and Komar 1986; Petit 1989), emergence 62 (Fenton and Abbott 1977), orientation and imbrication (Laronne and Carson 1976; Reid et al. 1980; 63 Brayshaw et al. 1983), the degree of bed armouring, and the characteristic lengths of bed clusters/ 64 structures (Church et al. 1998; Venditti et al. 2017). Because the antecedent flow conditions impact 65 the arrangement of the bed surface,  $\theta_{cr}$  is thus related to the stress history (Haynes and Pender 66 2007). The critical Shields number of coarse particles can also vary by several percent (Perret et al. 67 2018) according to the proportion of matrix fines (cohesive or not) (Reid et al. 1985; Curran 2007; 68 Jain and Kothyari 2009; Barzilai et al. 2013; Kuhnle et al. 2013; Wren et al. 2014; Perret et al. 69 2018), i.e., fine sand can increase bedload by lubrication, whereas the opposite effect is observed 70 with silt and clay due to consolidation effect. Finally, hiding and exposure modify the critical 71 Shields number for each size class in mixtures of non-cohesive sediment particles (Jackson and 72 Beschta 1984; Ikeda and Iseya 1988; Wilcock and Crowe 2003; Curran 2007; Kuhnle et al. 2013; 73 Wren et al. 2014; Perret et al. 2018). Nevertheless, the effects of hiding/exposure can be quantified 74

<sup>75</sup> based only on a reference critical bed shear stress for unisized material or based on the median
 <sup>76</sup> grain size - the focus of the present study.

Several have observed that  $\theta_{cr}$  increases with mild slopes longitudinal bed slope S (0.001  $\leq$ 77  $S \le 0.05$ ) (Shvidchenko and Pender 2000; Mueller et al. 2005; Lamb et al. 2008; Recking 2009). 78 For very steep slopes (S > 0.05), Chiew and Parker (1994) demonstrated that  $\theta_{cr}$  decreases with S. 79 The reasons for the increase in  $\theta_{cr}$  with  $S \leq 0.05$  remains partially explored. When S increases, 80 stable bed structures appear, leading to morphologic changes and less available shear stresses 81 for bedload. The slope effect could in fact be a drag effect due to bed re-arrangement. However, 82 detailed experiments by Shvidchenko and Pender (2000) with well-sorted materials indicate that bed 83 arrangement cannot entirely explain the increase in  $\theta_{cr}$ . Indeed, the slope effect can be associated 84 with changes in relative roughness  $k_s/h$ , (with  $k_s$  the bed roughness height and h the flow depth), 85 i.e.,  $k_s/h$  increases with S (Lamb et al. 2008; Recking 2009; Camenen 2012). In a larger extent, 86 the hydrograph can be related to the bed slope (steeper for high slopes) and flow acceleration may 87 have an impact on friction, and thereby on bed shear stress (Camenen and Larson 2010). However, 88 only steady flows will be considered in our study, in which case the slope effect can be regarded 89 as a combination of at least the following two factors: bed arrangement and relative roughness. 90 As the direct parameters describing bed arrangement are often not reported in previous studies, we 91 explore bed arrangement only through the effect of bed slope on the critical shear stress. 92

This study aims at discussing the estimation of the critical Shields number  $\theta_{cr}$  and associated 93 uncertainty. The paper is organised as follows: Section 2 is a review of existing methodologies 94 for computing bed shear stress and critical value for inception of motion. Data collection and 95 three  $\theta_{cr}$ -predictive models based on  $d_*$ , S or both are presented in Section 3 together with the 96 Bayesian framework for uncertainty quantification. In Section 4, model parameters are estimated 97 through Bayesian inference using prior knowledge on those parameters and observational data. The 98 final estimation of  $\theta_{cr}$  is then associated with a parametric uncertainty (related to the parameter 99 estimation) and with a structural uncertainty (related to the choice of the model), which enables 100 the evaluation of performance of the models. Results are discussed in Section 5, followed by 101

<sup>102</sup> concluding remarks in Section 6.

#### **SOURCES OF UNCERTAINTY IN MEASUREMENT OF CRITICAL BED SHEAR STRESS**

#### **104** Methods for bed shear stress computation

Various methods are available to compute the bed shear stress  $\tau$  and most of them are reported 105 in Table. 1 (see Supplementary material). The depth-slope equation for uniform flow yields a reach-106 averaged value for  $\tau$ . The 1D Barré Saint-Venant equation (BSV) is preferred for non-uniform and 107 unsteady flows. Friction laws calculate  $\tau$  from the depth-averaged velocity (locally measured) or the 108 cross-sectional-averaged velocity. Local bed shear stresses can also be estimated based on velocity 109 profile measurements using either time-averaged values or fluctuations (Wilcock 1996; Biron et al. 110 2004). Different  $\tau$  values (Shields number  $\theta$  values) probably can be obtained depending on the 111 chosen method. Those differences may explain a part of the scatter in the data of the critical Shields 112 number. 113

Field studies demonstrate that the bed shear stress calculated from the depth-slope equation 114 is generally larger than the one computed from the analysis of velocity profile (Petit 1989). The 115 first method provides a value at the cross-sectional scale  $\tau_t$  that lumps several components of flow 116 friction such as the grain resistance  $\tau'$ , which is responsible for inception of motion and bedload 117 transport, and the bedform resistance  $\tau''$  (i.e.,  $\tau_t = \tau' + \tau''$ ). On the contrary, the velocity profile 118 method yields the local bed shear stress, which can be assimilated to  $\tau'$ . In most existing studies, 119 indication about bedforms are almost missing;  $\tau''$  remains difficult to estimate and can represent 120 10 – 75 % of  $\tau_t$  (Buffington and Montgomery 1997). According to Petit et al. (2005), an 121 uncertainty of 50 % can be obtained for  $\theta_{cr}$  if the calculation is based on the total bed shear stress 122  $\tau_t$ . 123

The major source of uncertainty for the depth-slope equation is mainly due to the estimation of the energy slope. For laboratory cases, the flume can even be too short to observe a water elevation gradient larger than the precision of the measuring device. In field cases, the depth-slope method is often improperly used, leading to large uncertainty, e.g., when the flow is not uniform or by replacing *J* and  $R_h$  by *S* and *h*, respectively.

One of the main difficulties using local methods in small scale laboratory experiments is to 129 define the flow depth h related to the reference bed level  $z_b$ , especially for coarse sediments for 130 which a spatial variability does exist even if the bed is flat. Wilcock (1996) found that measurement 131 uncertainty related to the velocity profile analysis and friction law methods was 5 % and between 132 5 - 15 %, respectively. Biron et al. (2004) ranked the Reynolds stress analysis as the most accurate 133 method for beds with no forms and specific grain arrangement. For complex beds, the turbulent 134 kinetic energy method (TKE) was recommended (Kim et al. 2000). For velocity profile and friction 135 law methods, one major issue is the definition of the roughness length  $Z_0$ . 136

#### **Definition of incipient motion**

One of the main issues related to bedload is the definition of incipient motion. Some exhaustive 138 reviews (Lavelle and Mofjeld 1987; Dey 1999; Beheshti and Ataie-Ashtiani 2008) can be classified 139 in two main categories. The first one is based on sediment flux: the measured bedload rate 140  $q_s$  is extrapolated to zero (Shields 1936), or to a low reference value  $q_{s,ref}$  (U. S. Waterways 141 Experiment Station 1935); the associated bed shear stress refers to incipient motion (i.e., critical 142 bed shear stress). The Shields (1936) method was contested, as sediment motion was measured at 143 conditions below the Shields diagram, which was attributed to fluctuating instantaneous velocities 144 (Paintal 1971). Consequently, it may be more appropriate to consider a bed shear stress that yields 145 a minimum transport rate to determine the incipient motion -  $q_{s,ref} = 1.6 \times 10^{-7} \text{ m}^2/\text{s}$  (U. S. 146 Waterways Experiment Station 1935). Using a dimensional flux is, however, highly sensitive to the 147 type and size of sediment particles: one single gravel particle in motion (representative diameter 148  $d \ge 1$  cm) is sufficient to exceed the U. S. Waterways Experiment Station (1935) reference bedload 149 rate criteria, whereas around 1000 particles are needed if d = 1 mm. Following Einstein (1942)'s 150 definition, the use of an arbitrary dimensionless transport rate  $q_s^* = q_s/(\sqrt{(s-1)gd^3})$  improves the 151 results but remains grain size-dependent. Parker et al. (1982) related the incipient motion to a low 152 dimensionless transport rate  $W_{ref}^* = q_s^*/\theta^{3/2} = 0.002$ , but this criterion is adapted to sand particles. 153 The second category is based on visual observations. The flow discharge (i.e., bed shear stress) 154 is increased progressively until movement of particles is detected. Several have used this method 155

for laboratory experiments, but applied their own definition for the incipient motion (Kramer 156 1935; Vanoni 1964). Conducting experiments on a mixture of poorly sorted sand, Kramer (1935) 157 proposed the following four levels of sediment transport: (i) No transport, (ii) Weak transport -158 few of the smallest particles are in motion at isolated spots, (iii) Medium transport - particles of 159 mean diameter are in motion at a small rate; and (iv) General transport - all particles are moving 160 at all spots and at all times over the bed. Recking et al. (2008) merged the second and third levels. 161 Kramer (1935) defined the threshold of motion to be the bed shear stress yielding general transport. 162 The main difficulty of the visual method is the distinction between the above levels. Vanoni (1964) 163 defined the threshold of incipient motion as the condition under which at least one grain is in 164 movement every two seconds at any location. Neill and Yalin (1969) proposed a similar definition 165 based on a dimensionless parameter  $\epsilon = (n\Delta t/A)[\rho d^5/(\rho_s - \rho)g]^{1/2}$ , where *n* is the number of 166 moving particles during a given time of observation  $\Delta t$  on an observed bed area A. According to 167 Neill and Yalin (1969),  $\epsilon = 10^{-6}$  corresponds to the inception of movement ( $\approx 0.8$  grain/m<sup>2</sup>/s). 168 One issue remains: the validity of such criteria for any grain size. 169

These concepts of sediment threshold leads to a large scatter in the dataset and make comparisons difficult. It is obvious that there is no equivalence between the existing definitions. For example, both Vanoni (1964)'s definition and U. S. Waterways Experiment Station (1935)'s criterion do not reflect the same amount of transport rate: for d = 3 mm, Vanoni's definition yields  $q_{s,ref} \approx$  $10^{-8}$  m<sup>2</sup>/s ( $q_{s*,ref} \approx 2 \times 10^{-5}$ ), whereas USWES's criterion yields  $q_{s*,ref} = 1.6 \times 10^{-7}$  m<sup>2</sup>/s ( $q_{s*,ref} \approx 2.5 \times 10^{-4}$ ).

#### 176 Evaluation of uncertainty in measurements of critical Shields number

It is possible to attribute an estimation of uncertainty to each data point  $\theta_{cr}$  according to two uncertainty sources: definition of threshold for sediment motion ( $\Delta_{def}$ ) and methodology for computing bed shear stress ( $\Delta_{\tau}$ ). The final uncertainty on  $\theta_{cr}$  can be written as follows:

$$\Delta\theta_{cr} = \frac{u_{\theta_{cr}}}{\theta_{cr}} = \sqrt{\Delta_{def}^2 + \Delta_{\tau}^2} \tag{2}$$

Table 2 recaps the proposed uncertainties according to the type of data based on expertise and literature. Note that the focus should not be on uncertainty values but rather on how they can be compared to each other. Most values in Table 2 were evaluated during marginal flume tests carried out for Perret (2017) study where critical Shield numbers were estimated with the different methods and definitions. The other values were assumed based on literature review (see Sections 2 and 2).

<sup>185</sup> Uncertainty for field data is expected to be larger than for laboratory data, partly because in-situ <sup>186</sup> measurements are more difficult to achieve, grain size distributions are poorly sorted and often <sup>187</sup> spatially distributed, and cross-sections are irregular with possible bedforms. Also, since field <sup>188</sup> measurements are often achieved over large periods, the studied river section may encounter bed <sup>189</sup> changes. It should be noted that most data based on field experiments used here are from Mueller <sup>190</sup> et al. (2005), who used Parker et al. (1982)'s criteria and depth-slope method.

As explained in Section 2, using a reference transport rate as an incipient motion definition 191 is more robust than a visual definition ( $\Delta_{def1} < \Delta_{def2}$ , subscripts def1 and def2 correspond to 192 reference transport rate definition and visual definition, respectively). Using the reference transport 193 rate, the uncertainty lies mainly in the arbitrary chosen value for the reference transport rate but 194 also in the reliability of measurements. For example, data collected with a Helley-Smith sampler 195 and averaged throughout the river cross-section can lead to significant uncertainties (Vericat et al. 196 2006; Liu et al. 2008). For laboratory experiments, bedload transport is often measured using a 197 scale positioned at the downstream end of the flume (Aguirre-Pe et al. 2003; Perret 2017). The 198 uncertainty  $\Delta_{def1}$  is evaluated thus equal to 10 %. For field experiments, since bedload transport is 199 usually measured partially by sampling a finite number of points throughout the river section, we 200 evaluate  $\Delta_{defl} = 20\%$ . For laboratory data, we propose an uncertainty using the visual definition 201  $\Delta_{def2}$  = 15 % based on Perret (2017)'s experiments. This was recently confirmed by Vah et al. 202 (2022), who observed that the visual definition generally leads to lower critical bed shear stress 203 compared to other methods. Visual definition is generally not used for field data. There exists a data 204 set from Young and Mann (1985) for which inception of motion was revealed by photo analysis. 205 We set the uncertainty for this case at 30%. 206

Uncertainty associated to the depth-slope method for bed shear stress computation is set for 207 field experiments at 25 %, as the bed slope is generally used instead of the free surface slope and 208 water depth may vary significantly throughout the river cross-section. These values are lower for 209 laboratory studies, where bed conditions are constrained by the flume. The uncertainty for flume 210 study  $\Delta_{\tau,DS}$  is mainly linked to the calculation of the energy slope; we suggest  $\Delta_{\tau,DS} = 15\%$ . This 211 uncertainty may increase for specific cases with relatively coarse sediments and low water depths 212 for which the spatial variability of the water depth is higher. Uncertainties for the other local 213 methods vary according to the topographic complexity of the studied zone (see Section 2). Local 214 techniques require many measurement points to evaluate a spatial and time-averaged bed shear 215 stress. The presence of poorly sorted sediments makes also difficult the evaluation of the roughness 216 length and bed level. Such local measurements are less common in the field. The proposed values 217 in Table. 2 are based on Perret (2017)'s experiments. 218

#### 219 MATERIAL AND METHODS

#### **Data compilation**

We compiled an up-to-date data set for the estimation of  $\theta_{cr}$ . It includes the data collected 221 by Buffington and Montgomery (1997) but excluding data that used the competence function or 222 theoretical developments. Indeed, the latter have not really been validated and lead to substantially 223 different results compared to those obtained by the other methods. It also includes additional data 224 collected by Recking (2009) as well as some additional data from the following studies : Rao and 225 Sitaram (1999), Gregoretti (2000), Shvidchenko et al. (2001), Pilotti and Menduni (2001), Dey and 226 Raju (2002), Dancey et al. (2002), Aguirre-Pe et al. (2003), Mueller et al. (2005), Hoffmans (2010), 227 Prancevic and Lamb (2015), Roušar et al. (2016), Perret et al. (2020). The final data set includes 228 921 points (329 points obtained with the bedload extrapolation definition, and 592 points obtained 229 wih the visual method). Most of these data are from laboratory experiments (867 points). The 230 54 points corresponding to field measurements were mostly obtained from Mueller et al's (2015) 231 study using coupled measurements of flow and bed load transport in 45 gravel-bed streams and 232 rivers in western North America. Even if flumes represent a small patch of the temporal and spatial 233

variability of a natural river that can also be biased due to scale effects, they remain of interest to
 study bedload transport processes since they provide data for controlled conditions with reduced
 uncertainties.

<sup>237</sup> The measurement uncertainties  $\Delta \theta_{cr}$  were estimated for each data point based on values reported <sup>238</sup> in Table 2. Consequently, we obtained  $\Delta \theta_{cr} = 21\%$  for laboratory data using the reference transport <sup>239</sup> rate definition,  $\Delta \theta_{cr} = 25\%$  for laboratory data using the the visual definition, and  $\Delta \theta_{cr} = 35\%$ <sup>240</sup> for field data (using the the reference transport rate definition). Since most of the data are from <sup>241</sup> laboratory experiments, we eventually have an averaged value  $\overline{\Delta \theta_{cr}} = 25\%$ . Figure 1 presents the <sup>242</sup> uncertainty  $u_{\theta_{cr}}$  as a function of the dimensionless grain size  $d_*$ . The largest values are observed <sup>243</sup> for very fine and very coarse sediments since  $\theta_{cr}$  can be over 0.1 for these specific grain sizes.

<sup>244</sup> Tab. 2 here.

#### <sup>245</sup> Fig. 1 here.

Again, this evaluation of the measurement uncertainties in data corresponds to a first rough estimation. The impact of the choice for  $\Delta \theta_{cr}$  on the results is discussed in Section 5 using a sensitivity analysis.

#### <sup>249</sup> Models for estimating $\theta_{cr}$

We propose here to test simple models for the estimation of the critical Shields number for inception of movement. First, we assumed the Shields curve can be evaluated as a function of the grain size only (through the input parameter  $d_*$ ) based on the equation of Soulsby and Whitehouse (1997):

$$\widehat{\theta}_{cr}^{(1)} = \frac{v_1^{(1)}}{d_*} + v_2^{(1)} \left[ 1 - \exp(v_3^{(1)} d_*) \right]$$
(3)

254

where  $\hat{\theta}_{cr}^{(k)}$  is the critical Shields number predicted by the model k (here k = 1),  $v_1^{(1)}$ ,  $v_2^{(1)}$ , and  $v_3^{(1)}$ are the parameters to evaluate ( $v_1^{(1)} = 0.24$ ,  $v_2^{(1)} = 0.055$ , and  $v_3^{(1)} = -0.02$  according to Soulsby and Whitehouse (1997)). The Soulsby and Whitehouse (1997) equation was chosen since it is a
continuous, single equation suitable for all grain size while including three fitting parameters only.
As compared to other formulas describing the empirical Shields curve as a function of the grain size
(Iwagaki 1956; van Rijn 1984), the Soulsby and Whitehouse (1997) equation yields very similar
results. Some difference appears for the extrapolation for very fine sediments for which there is a
lack of data for non-cohesive sediments. We assume a critical bed shear stress independent of the
grain size as proposed by Soulsby and Whitehouse (1997).

Following the same idea, the critical Shields parameter can be evaluated as a function of the bed slope only, based on Recking (2009) equation:

$$\widehat{\theta}_{cr}^{(2)} = \nu_1^{(2)} S + \nu_2^{(2)} \tag{4}$$

where  $v_1^{(2)}$  and  $v_2^{(2)}$  are the parameters to evaluate ( $v_1^{(2)} = 0.3$  and  $v_2^{(2)} = 0.04$  according to Recking (2009)).

We propose to use a combination of Eqs. 3 and 4 to evaluate the critical Shields parameter as a function of both grain size and slope:

 $\widehat{\theta}_{cr}^{(3)} = \left(\nu_1^{(3)}S + \nu_2^{(3)}\right) \times \left(\frac{\nu_3^{(3)}}{d_*} + \nu_4^{(3)}\left[1 - \exp(\nu_5^{(3)}d_*)\right]\right)$ (5)

where  $v_1^{(3)}$ ,  $v_2^{(3)}$ ,  $v_3^{(3)}$ ,  $v_4^{(3)}$ , and  $v_5^{(3)}$  are the parameters to evaluate. Eq. 5 is an adjustment of Eq. 3 with an additional slope parameter. Eq. 5 is close to the following equation proposed by Camenen (2012):

275

$$\widehat{\theta}_{cr} = \left(0.5 + 6S^{0.75}\right) \frac{\sin(\phi_s - \arctan S)}{\sin(\phi_s)} \\ \left(\frac{0.24}{d_*} + 0.055 \left[1 - \exp(-0.02d_*)\right]\right)$$
(6)

where  $\phi_s$  is the angle of repose of sediment. It should be noted that Eq. 5 does not include the possible instability due to steep slopes as Eq. 6 does. However, our data set is limited to bed slopes below 30%, above which the term  $\sin(\phi_s - \arctan S)/\sin(\phi_s)$  starts to be significant.

#### Bayesian estimation of predictive models

#### 280 Overview and inference setup

Several sources of uncertainty affect the use of models in Eqs. (3-5). First, their parameters  $v_i^{(k)}$  are unknown and will remain uncertain even after model calibration (parametric uncertainty). Second, model calibration makes use of observed  $\theta_{cr}$  that are uncertain as described in section 3 (observation uncertainty). Finally, the models are not perfect and are not expected to exactly replicate  $\theta_{cr}$  (structural uncertainty).

Bayesian estimation provides a general and rigorous mechanism to estimate the unknown parameters of a model. It combines the information brought by uncertain calibration data with any pre-existing 'prior' information on the parameters. The method used in this paper is presented in details by Le Coz et al. (2014), Mansanarez et al. (2016), and Perret et al. (2021). It was initially implemented for hydrometric rating curves but it can be applied to any kind of models.

Let  $O = (d_{*,i}, S_i, \theta_{cr,i}, \Delta_{\theta_{cr},i})_{i=1,...,n}$  denotes the *n* observations in the dataset described in section 3. Each observation vector comprises values for grain size, slope, critical bed shear stress and its uncertainty (as described in section 2).

In addition, let *M* denotes any of the models proposed in equations (Eqs. 3-5) to estimate a critical Shields number  $\hat{\theta}_{cr}$  from grain size  $d_*$  and/or slope *S*, with parameters v:

296

 $\widehat{\theta}_{cr} = M\left(d_*, S; \boldsymbol{\nu}\right) \tag{7}$ 

<sup>297</sup> Bayesian estimation of parameters  $\nu$  requires two ingredients: an error model, linking an <sup>298</sup> observed value  $\theta_{cr,i}$  with the value  $\hat{\theta}_{cr}$  predicted by the model, and a prior distribution quantifying <sup>299</sup> what is known about the parameters prior to having observed the data. This is illustrated by <sup>300</sup> Figure 2.

<sup>301</sup> Fig. 2 here.

302 Error model

303

304

The following error model is used to link observed and predicted values of  $\theta_{cr}$ :

$$\theta_{cr,i} = \underbrace{M\left(d_{*,i}, S_i; \nu\right)}_{\widehat{\theta}_{cr,i}} + \delta_i + \varepsilon_i \tag{8}$$

This equation describes two distinct error sources. The error  $\delta_i$  is a measurement error and 305 is assumed to be a realization from a Gaussian distribution with zero mean and known standard 306 deviation  $\Delta_{\theta_{cr,i}}$  as described in section 2. The error  $\varepsilon_i$  is a structural error due to the imperfection 307 of the model M. It is also assumed to be a realization from a Gaussian distribution with zero mean. 308 However, its standard deviation  $\sigma$  is unknown, and therefore needs to be estimated along with 309 parameters  $\nu$ . The reason behind this distinct treatment of observation and structural errors is that the 310 former exists independently of any model, and its properties can therefore be estimated beforehand. 311 By contrast, the structural error is relative to the model of interest, and it is therefore difficult to 312 know its properties before model estimation. Note that the normality of both measurement and 313 structural errors is an assumption that can be evaluated through parameter estimation by examining 314 residuals (i.e., observed minus predicted values). This assumption was found to be adequate for 315 the data and models analysed in this work (not shown). 316

#### 317 Prior distributions

For each unknown parameter, prior knowledge is encoded in a Gaussian distribution  $\mathcal{N}(m, s)$ . 318 The mean value *m* represents a 'prior guess' and the standard deviation *s* represents the uncertainty 319 around this prior guess. This standard deviation could potentially be very large when little is 320 known about the parameter. In this paper, we choose to use as prior guess the values proposed by 321 Soulsby and Whitehouse (1997) and Recking (2009) for Eqs. 3 and 4. For Eq. 5, prior guess values 322 were evaluated assuming Eq. 5 corresponds to an adjustment of Eq. 3 using the additional slope 323 parameter; so the prior guess values for parameters related to grain size were chosen equal to those 324 of Eq. 3. Depending on the sensitivity on each of these parameters, a standard deviation was given 325 between 30 and 50%. All prior guess values and related standard deviation are presented in Tab. 3 326

#### <sup>327</sup> Tab. 3 here.

#### 328 Outcome of Bayesian estimation

The raw outcome of Bayesian estimation is the posterior distribution of unknown parameters ( $\nu, \sigma$ ). The probability density function (pdf) of this posterior distribution can be computed as shown in Appendix II. However, the posterior pdf is multi-dimensional and is therefore not easy to manipulate. Instead, it is more convenient to simulate many values from the posterior distribution, representing the posterior uncertainty in parameters. This simulation can be achieved by means of a Markov Chain Monte Carlo (MCMC) sampling algorithm. The particular sampler used in this paper is described in details in Renard et al. (2006).

Once many values  $(v_j, \sigma_j)_{j=1,...,N_{sim}}$  have been simulated by MCMC, the uncertainty in critical 336 bed shear stress can be quantified by propagating these simulated values through the model: this 337 corresponds to the Monte Carlo propagation method described in uncertainty analysis standards 338 (JCGM 2008). In particular, applying the model equation (Eq. 7)  $N_{sim}$  times yields  $N_{sim}$  values 339 of  $\hat{\theta}_{cr}$  that represent parametric uncertainty, i.e., the uncertainty due to the imperfect estimation 340 of parameters v. The total uncertainty is obtained by adding to each of these  $N_{sim}$  values a 341 structural error  $\varepsilon$  randomly sampled from a Gaussian distribution with zero mean and standard 342 deviation  $\sigma_i$ . Note that measurement errors are not propagated at this stage, since the objective 343 is to estimate the true  $\theta_{cr}$ , rather than an observed, error-affected one. However, measurement 344 errors still play an indirect role by affecting the posterior distribution and hence the uncertainty in 345 estimated parameters. 346

#### 347 RESULTS: ANALYSIS OF CRITICAL SHIELDS NUMBER UNCERTAINTIES

#### <sup>348</sup> Evaluation of total uncertainty on critical Shields number using grain size only

Figure 3 plots the estimated  $\hat{\theta}_{cr}^{(1)}$  and related uncertainties using Eq. 3. Uncertainty bars of each data point are not plotted for the sake of readability. The best fit for Eq. 3 is obtained with  $v_1^{(1)} = 0.196$ ,  $v_2^{(1)} = 0.0405$ , and  $v_3^{(1)} = -0.0352$ . Although lightly differING from the Soulsby and Whitehouse (1997) equation, the final equation yields relatively similar results compared to
 the scatter in the experimental data points.

<sup>354</sup> Fig. 3 here.

As shown in Fig. 3, the total uncertainty originates mainly from the structural error and can be enclosed between -60% and +60%. The chosen model is certainly not the most appropriate one, i.e.,  $\theta_{cr}$  is not only function of the parameter  $d_*$ . A more appropriate model would yield a dominance of parametric errors, meaning that the uncertainty comes mainly from data.

#### <sup>359</sup> Evaluation of total uncertainty on critical shields number using bed slope only

Figure 4 presents the results obtained for the  $\hat{\theta}_{cr}^{(2)} = f(S)$  relationship and related uncertainties. Here, the best fit for Eq. 4 is obtained with  $v_1^{(2)} = 0.327$  and  $v_2^{(2)} = 0.0352$ , which is quite close to the results from Recking (2009) ( $v_1^{(2)} = 0.3$  and  $v_2^{(2)} = 0.04$ ). Again, the total uncertainty comes mainly from the structural error and can be enclosed between -55% and +50%. For steep slopes (S > 0.1), the total uncertainty is lower and can be enclosed between -25% and +30%; the parametric error is no more negligible, meaning the model is more accurate here.

#### <sup>366</sup> Fig. 4 here.

#### <sup>367</sup> Evaluation of total uncertainty on critical shields number using both grain size and bed slope

Figure 5 depicts the results obtained for  $\hat{\theta}_{cr}^{(3)} = f(d, S)$  relationship and related uncertainties. 368 The best fit for Eq. 5 is obtained with  $v_1^{(3)} = 1.055$ ,  $v_2^{(3)} = 0.274$ ,  $v_3^{(3)} = 0.510$ ,  $v_4^{(3)} = 0.134$ , and 369  $v_5^{(3)} = -0.068$ . These values are quite different to our prior guess, but this is not surprising, since 370 we assumed the slope to be an adjustment coefficient of the critical bed shear stress evaluated as 371 a function of  $d_*$ . Interestingly, the curve for the range  $3 < d_* < 40$  (i.e., sand-sized particles) is 372 smoothed; the impact of grain size on the critical Shields parameter appears to be simpler than 373 estimated from the Shields curve, i.e., inversely proportional to  $d_*$  for  $d_* < 3$ , and independent of 374  $d_*$  for  $d_* > 40$ . Indeed, most of data with sand particles were collected in low slope channels 375

whereas those with gravel particles correspond to larger slopes. A fit without accounting for the
 slope effects is thus biased by the data collection.

<sup>378</sup> Fig. 5 here.

In Fig. 5 are presented results for four specific slopes: S = 0.001, S = 0.02, S = 0.1, and S = 0.2. The plotted experimental data correspond to slope values of the same order (±25%); they are plotted with their uncertainties. Compared to Fig. 3, the total uncertainty is slightly reduced and can be enclosed between -50% and +50%. The total uncertainty is still dominated by the structural error. However, the parametric error becomes less negligible for highest slopes. It should be noted, however, that the proposed model underestimates  $\theta_{cr}$  values for steep bed slopes, which could be due to the relatively low number of data describing high slopes.

**386 Performance of models** 

Table 4 presents the statistical results of the predictive capabilities of the different equations (see 387 graphs in Appendix III).  $E_{r,20}$  and  $E_{r,50}$  correspond to a percentage of data predicted accurately 388 with allowed error of a factor 1.2 and 1.5, respectively; mean<sub>log</sub> and std<sub>log</sub> correspond to the mean 389 and standard deviation of the logarithm of the ratio between the predicted and measured value. 390 It can be observed that the Bayesian inference leads to better predictive performances for Eqs. 3 391 and 4 since calibrated to the present larger data set as compared to the original calibration. A 392 formulation with five parameters (Eq. 5) does not significantly improve the results apart for the 393 standard deviation. When comparing measured to predicted  $\theta_{cr}$ -values (see Figs. 8 and 9), one can 394 observe that all formulas (apart from the Camenen (2012) formula, which presents a larger scatter) 395 yield relatively constant values, while observations vary a lot. This suggests that grain size and 396 slope are not the only parameters to consider for predicting the inception of transport. 397

<sup>398</sup> Tab. 4 here.

<sup>399</sup> It is interesting to note that statistics presented in Tab. 4 slightly differ if we consider laboratory <sup>400</sup> data or field data only (see also Tab. 5). The dispersion is higher for field data than for laboratory

data, as expected but the mean<sub>log</sub> are also higher suggesting that  $\theta_{cr}$ -values are found smaller in 401 the field. Field data correspond generally to poorly sorted sediments. The median grain size may 402 be not adapted or sufficient to characterize the inception of motion of the mixture. Recking (2009) 403 suggested using  $d_{84}$  instead of  $d_{50}$  for poorly sorted sediments. However, the fine sediment fraction 404 may be the key parameter for reducing the critical bed shear stress (Wilcock 1988). In a similar way, 405 the visual observation definition yields in general smaller  $\theta_{cr}$ -values than the reference transport 406 rate definition (Vah et al. 2022). This confirms the discussion in Section 2 and our suggestion to 407 use a larger uncertainty for these data. 408

#### 409 DISCUSSION

#### 410 Assessment of retained data uncertainty

In Section 2, we attempted to evaluate uncertainty related to the technical sources for the critical Shields number data set. However, values in Table 2 remain partially subjective and arguable. A sensitivity analysis is performed to identify the impact of the choice of the uncertainty values on the results. We therefore explore the results obtained for a reduced (by a factor 1.3 and a factor 2) or an increased (by a factor 1.3 and a factor 2) data uncertainty. These changes would lead to an average data uncertainty  $\overline{\Delta \theta_{cr}}$  of 12 %, 19 %, 32 % or 50 %, respectively. Let's remind that the averaged uncertainty initially was estimated at 25 %.

Fig. 6 shows the variation on the total and parametric uncertainties ( $E_{tot}$  and  $E_{par}$ , respectively) 418 evaluated as an averaged of the ratio between the envelopes 97.5 % and 2.5 % for each *d*-values. 419 Consequently, an absence of uncertainty would yield the value of 1. The total uncertainty clearly 420 decreases with an increase in the data uncertainties since the latter explains a larger part of the 421 residual scatter. On the other hand, a minima for the parametric uncertainty is observed for data 422 uncertainties between 19 and 25 %. With a lower data uncertainty, the models are too restricted 423 and unable to properly fit the data. With a larger data uncertainty, the estimated parameters of the 424 models are too uncertain. This uncertainty value between 19 and 25% corresponds to an optimum 425 to evaluate the parameters of the model. This is consistent with our first evaluation of the data 426 average uncertainty (i.e.,  $\overline{\Delta \theta_{cr}} = 25$  %). 427

### 428 Fig. 6 here.

For each equation (Eqs. 3, Eq. 4, and Eq. 5), we also tested the impact of the data average 429 uncertainty  $\overline{\Delta \theta_{cr}}$  on the model coefficient estimation (see Fig. 10). Results are highly sensitive 430 to the specified data uncertainty. In particular, for Eq. 5, our choices of priors significantly affect 431 results for large data uncertainties. Indeed, we assumed the slope term  $(v_1^{(3)}S + v_2^{(3)})$  as a correction 432 of Eq. 3 (with  $v_2^{(3)} = 1$  as an initial prior) whereas the Bayesian approach indicates something 433 intermediate : the posterior distribution of  $v_2^{(3)}$  is three times narrower than its prior whereas the 434 posterior distributions of  $v_3^{(3)}$  and  $v_4^{(3)}$  are three times wider than their prior. This would suggest 435 that slope and grain size effects are competing each other. 436

#### 437

#### A simple model for critical shields number using both grain size and slope

As the combination of slope and grain size effects reduce the smallest values observed for sand-sized particles, we propose to evaluate the critical Shields parameter as a function of both grain size and slope using the following four parameter equation:

441

$$\widehat{\theta}_{cr}^{(4)} = \left(\nu_1^{(4)}S + \nu_2^{(4)}\right) \times \left(\frac{\nu_3^{(4)}}{d_*} + \nu_4^{(4)}\right)$$
(9)

where  $v_1^{(4)}$ ,  $v_2^{(4)}$ ,  $v_3^{(4)}$ , and  $v_4^{(4)}$  are parameters to evaluate. Since Eq. 5 is very similar to Eq. 9, we use the same priors, i.e.,  $v_1^{(4)} = 0.3$ ,  $v_2^{(4)} = 1$ ,  $v_3^{(4)} = 0.24$ ,  $v_4^{(4)} = 0.055$ . The best fit for Eq. 9 is obtained with  $v_1^{(4)} = 1.158$ ,  $v_2^{(4)} = 0.180$ ,  $v_3^{(4)} = 0.410$ ,  $v_4^{(4)} = 0.195$ .

In Fig. 7 are presented results for four specific slopes: S = 0.001, S = 0.02, S = 0.1, and S = 0.2in a similar way as in Fig. 5 for Eq. 5. Uncertainties are not improved compared to Fig. 5; they are enclosed between -55% and +55%. Nevertheless, when comparing to data, it clearly indicates there is no need of using such a complex empirical function of the grain size combined with the bed slope (Eq. 5). In addition, the simplified equation (Eq. 9) yields the best predictive performance compared to the other models with more than 70 % of the data predicted accurately with an allowed error of a factor 1.5 as shown in Table 4.

#### 453 CONCLUSION

A series of equations was proposed to estimate the critical Shield number with an evaluation of 454 its uncertainty. The models were derived from classical equations for the inception of movement 455 relating the critical Shields number to grain size, or to longitudinal bed slope, or both. A Bayesian 456 approach was used to estimate the model parameters using prior knowledge and observational data 457 collected in literature. The Bayesian framework takes into account the measurement errors of the 458 critical Shields numbers for the computation and gives two resulting uncertainty : a parametric 459 (i.e., related to parameter estimation) and a structural (i.e., related to model itself) uncertainty. 460 The main sources of measurement errors were reported and discussed, especially those related 461 to the definition of the inception of motion and to the method used to compute bed shear stress. 462 Measurement uncertainty was evaluated to 25 % in average for our data set. A sensitivity analysis 463 was performed to discuss and verify this assumption by examining the impact of a reduced or 464 increased measurement error on the results. Eventually, the proposed model (Eq. 9) improved 465 results for estimating the critical bed shear stress for well-sorted sediments compared to existing 466 models. However, for poorly-sorted sediments, one should use this model with the median grain 467 size and apply additional laws for hiding and exposure effect. 468

A parametric uncertainty of approximately 10 % was found for  $\theta_{cr}$  computed with models based 469 on grain size or based on bed slope only. Total uncertainty was always larger than 50 %, which 470 indicates significant structural uncertainty. A combination of both equations provided slightly better 471 results. It also showed that smaller  $\theta_{cr}$  values observed by Shields (1936) for sand particles may be 472 a bias linked to the combination of grain size and slope effects. Eventually, a model based on four 473 parameters and assuming a continuous decrease in  $\theta_{cr}$  with an increasing grain size yields the best 474 results. Significant uncertainty remains; the parametric uncertainty being always smaller than the 475 structural uncertainty. This indicates that the grain size and the bed slope are insufficient to describe 476 the inception of movement. A more accurate estimation of the inception of motion should integrate 477

other factors, such as parameters describing bed composition (presence of fine sediments in a 478 coarse matrix) and bed arrangement (including bed roughness, grain orientation and characteristic 479 lengths of bed structures) as suggested by Perret et al. (2020). However, such parameters were not 480 measured in existing experiments, which limits the development of new predictive models. 481

#### Data availability statement 482

All data, models, or code that support the findings of this study are available from the corre-483 sponding author upon request. 484

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## APPENDIX I. EQUATIONS FOR THE COMPUTATION OF BED SHEAR STRESS

In Tab. 1 are the main methods for computing bed shear stress presented.

Methods	Principle	Associated equations	Comments
Depth-slope	Basic resistance equation for open-channel uniform flow	$\tau = \rho g R_h J \approx \rho g h S \tag{1}$	(10) Reach averaged method
Friction law	Calculation of $\tau$ based on a friction law	$\tau = \rho C_D U^2 \qquad (1)$ $C_D = \left(\frac{\kappa}{1 + \ln(Z_0/h)}\right)^2 \qquad (1)$	(11) U local or V global, $Z_0 = k_s/30$ with $k_s = 2 d_{90}$ (12)
Velocity pro- file analysis	Linear fit of the velocity profile in the log-region	$\frac{\bar{u}(z)}{u_*} = \frac{1}{\kappa} \ln\left(\frac{z}{Z_0}\right) \qquad (1)$	Method accuracy depend- (13) ing on dataset quality for $\bar{u}(z)$
Reynolds stress analy- sis	Extrapolation of the linear part of the time-average turbulent velocity profile $-u'w'$	$u_*^2 = \left[ -\overline{u'w'} \right]_{(z=Z_b)}  (1)$ $\tau = \tau_v + \tau_t$ $= \mu \frac{\mathrm{d}\overline{u}}{\mathrm{d}z} - \rho \overline{u'w'}  (1)$	(14) Viscous effect negligible outside the boundary layer $(\tau_{\nu} \approx 0)$ (15)
Turbulent kinetic en- ergy analysis (TKE)	Extrapolation of the TKE profile to the bed level	$ u_*  = \sqrt{C_1 [TKE]_{z=Z_b}}  (16)$ $TKE = 0.5 \left( \frac{u'^2}{u'^2} + \frac{v'^2}{v'^2} + \frac{w'^2}{w'^2} \right)  (17)$	C <sub>1</sub> is a constant (C <sub>1</sub> =0.2) (16) (17)
1D BSV equation	Resolution of the 1D Barré-de-Saint-Venant (BSV) equation	$u_{*}^{2} = Shg + (U\frac{\partial h}{\partial t} - h\frac{\partial U}{\partial t})$ $- gh\frac{\partial h}{\partial x}(1 - Fr^{2})$ (1)	<ul> <li>For steady and uniform</li> <li>flows, Eq. 18 reduces to Eq. 10</li> <li>(18)</li> </ul>

**TABLE 1.** Existing methods for the computation of bed shear stress.

J the energy slope, S longitudinal bed slope,  $R_h$  the hydraulic radius,  $C_D$  the friction coefficient,  $Z_0$  the roughness length,  $\bar{u}(z)$  the time-averaged velocity profile,  $u_* = \sqrt{\tau/\rho}$  the friction velocity,  $\kappa = 0, 4$  the Von Karman constant, z the vertical component, u', v', and w' the measured turbulent velocities in x, y, and z-direction, respectively,  $z_b$  the bed level,  $\tau_y$  the viscous-shear,  $\tau_t$  the turbulent-shear,  $\mu$  the dynamic viscosity, t the time,  $Fr = U/\sqrt{gh}$  the Froude number, U the depth-averaged velocity, and V the section-averaged velocity.

#### APPENDIX II. EQUATIONS FOR BAYESIAN ESTIMATION

The posterior pdf of unknown parameters ( $\nu, \sigma$ ) given the observed dataset O can be computed as follows:

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$$\underbrace{p(\mathbf{v}, \sigma | \mathbf{0})}_{\text{posterior pdf}} \propto \underbrace{p(\mathbf{0} | \mathbf{v}, \sigma)}_{\text{likelihood}} \underbrace{p(\mathbf{v}, \sigma)}_{\text{prior pdf}}$$
(19)

where the symbol  $\propto$  means 'is proportional to'. The likelihood results from the error model in equation (8) and can be computed as follows:

$$p\left(\boldsymbol{O}|\boldsymbol{\nu},\sigma\right) = \prod_{i=1}^{n} f_{\mathcal{N}}\left(\theta_{cr,i}; M\left(d_{*,i}, S_{i}; \boldsymbol{\nu}\right), \sqrt{\sigma^{2} + \Delta_{\theta_{cr},i}^{2}}\right)$$
(20)

where  $f_{\mathcal{N}}(z; m, s)$  denotes the pdf of the normal distribution with mean *m* and standard deviation *s*, evaluated at value *z*.

<sup>694</sup> The prior pdf is computed as:

$$p(\mathbf{v}, \sigma) = f_{\mathcal{U}}(\sigma; a, b) \prod_{k=1}^{p} f_{\mathcal{N}}(\mathbf{v}_{k}; m_{k}, s_{k})$$
(21)

where  $f_{\mathcal{U}}$  denotes the pdf of a uniform distribution between *a* and *b* and the  $f_{\mathcal{N}}$  terms in the product denote the pdf of the normal distribution used as prior for each parameter, as described in section 3.

## APPENDIX III. PREDICTING CAPABILITIES OF $\theta_{CR}$ EQUATIONS

The following Figs. 8 and 9 presents the results for the predicting capabilities of the different equations presented in the document

Tab. 5 presents the statistical results as Tab. 5 but with an emphasis on the methodology used

to evaluate  $\tau_{cr}$ , i.e., using a reference transport rate or a visual definition, and the type of data, i.e.,

<sup>704</sup> laboratory or field.

### APPENDIX IV. IMPACT DATA UNCERTAINTY ON RESULTS

Fig. 10 presents the impact of the data average uncertainty  $\overline{\Delta \theta_{cr}}$  on the parameter estimation for each model.

For Eq. 3 (Fig. 10a), the estimated model coefficients  $v_1^{(1)}$ ,  $v_2^{(1)}$ , and  $v_3^{(1)}$  decrease when data uncertainty increases, i.e., with a smaller sensitivity of Eq. 3 to grain size. For Eq. 4 (Fig. 10b), while the coefficient  $v_1^{(2)}$  is independent of data uncertainty,  $v_2^{(2)}$  decreases with larger uncertainty, indicating somehow a smaller impact of the less numerous data for high slopes. For Eq. 5 (Fig. 10c), one can observe a minimum and a maximum at our reference evaluation of the data uncertainty for  $v_2^{(3)}$  and  $v_4^{(3)}$ , respectively.

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Figure 1 : Uncertainty  $u_{\theta_{cr}}$  as a function of the dimensionless grain size  $d_*$  (*def1*: reference transport rate, *def2*: visual definition).

Figure 2: Diagram of the Bayesian model to evaluate the critical Shields number: inputs,
 parameters, observations, and outputs.

Figure 3 : Critical Shields parameter  $\theta_{cr}$  for inception motion estimated using Eq. 3 *versus* dimensionless grain size  $d^*$ ; Uncertainty envelopes for parametric  $(E_{par})$  and total uncertainty  $(E_{tot})$  were defined as 95 % credibility intervals  $(E_{tot}=E_{par} + E_{struc}, \text{ with } E_{struc}$  the structural uncertainty).

Figure 4 : Critical Shields parameter  $\theta_{cr}$  for inception motion estimated using Eq. 4 *versus* longitudinal bed slope *S*; Uncertainty envelopes for parametric ( $E_{par}$ ) and total uncertainty ( $E_{tot}$ ) were defined as 95 % credibility intervals.

Figure 5 : Critical Shields parameter  $\theta_{cr}$  for inception motion estimated using Eq. 5 *versus* dimensionless grain size  $d^*$  for different values of slope: S = 0.001 (a), S = 0.02 (b), S = 0.1 (c), and S = 0.2 (d); Uncertainty envelopes for the parametric ( $E_{par}$ ) and total uncertainty ( $E_{tot}$ ) were defined as 95 % credibility intervals.

Figure 6 : Evaluation of the total and parametric uncertainties in the models as function of the averaged uncertainties  $\Delta \theta_{cr}$  in experimental data (For  $\hat{\theta}_{cr}^{(3)}$ , (a): S = 0.001, (b): S = 0.02, (c): S = 0.10, (d): S = 0.20).

Figure 7 : Critical Shields parameter  $\theta_{cr}$  for inception motion estimated using Eq. 9 *versus* dimensionless grain size  $d^*$  for different values of slope: S = 0.001 (a), S = 0.02 (b), S = 0.1(c), and S = 0.2 (d); Uncertainty envelopes for parametric ( $E_{par}$ ) and total uncertainty ( $E_{tot}$ ) were defined as 95 % credibility intervals.

Figure 8 : Predicted  $\theta_{cr,eq}$  versus measured  $\theta_{cr,exp}$  values of  $\theta_{cr}$  using Eq. 3 with Soulsby and Whitehouse (1997) coefficients (a) or with the coefficient estimated with the Bayesian approach (b) and Eq. 4 with Recking (2009) coefficients (c) or with the coefficient estimated with the Bayesian

- <sup>741</sup> approach (d).
- Figure 9 : Predicted  $\theta_{cr,eq}$  versus measured  $\theta_{cr,exp}$  values of  $\theta_{cr}$  using Eq. 6 (a), Eq. 5 (b), and Eq. 9 (c).
- Figure 10 : Boxplots of parameter estimations for Eqs. 3 (a), 4 (b), and 5 (c) depending on average uncertainties  $\overline{\Delta \theta_{cr}}$  in experimental data.

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Component	Laboratory data	Field data
$\Delta_{def}$	$\Delta_{def1} = 10 \%$	$\Delta_{defl} = 20 \%$
	$\Delta_{defl} = 20 \%$	$\Delta_{def2} = 30 \%$
$\Delta_{ au}$	$\Delta_{\tau,DS} = 15 \%$	$\Delta_{\tau,DS} = 30 \%$
	$\Delta_{\tau,FL} = 12 \%$	-
	$\Delta_{\tau,VP} = 10 \%$	-
	$\Delta_{\tau,TP} = 8 \%$	-
	$\Delta_{\tau,BSV} = 10 \%$	-

TABLE 2. Estimation of each component of the uncertainty depending on the type of data.

*def1*: reference transport rate, *def2*: visual definition, *DS*: depth-slope, *FL*: friction law, *VP*: velocity profile analysis, *TP*: turbulent profile analysis, *BSV*: 1D Saint Venant equation

Equations	(m, s)-values for each parameter				
	$v_1^{(k)}$	$\nu_2^{(k)}$	$v_3^{(k)}$	$ u_4^{(k)}$	$v_5^{(k)}$
$\widehat{\theta}_{cr}^{(1)}$ (Eq. 3)	(0.24, 0.1)	(0.055, 0.02)	(-0.02, 0.01)		
$\widehat{\theta}_{cr}^{(2)}$ (Eq. 4)	(0.3, 0.1)	(0.04, 0.02)			
$\widehat{\theta}_{cr}^{(3)}$ (Eq. 5)	(0.3, 0.1)	(1,0.5)	(0.24, 0.05)	(0.055, 0.02)	(-0.02, 0.01)
$\widehat{\theta}_{cr}^{(4)}$ (Eq. 9)	(0.3, 0.1)	(1,0.5)	(0.24, 0.05)	(0.055, 0.02)	

**TABLE 3.** Prior specifications of the empirical parameters for the models (k = 1 to 4) discussed in this paper.

Equations	parameters	$E_{r,20}$	$E_{r,50}$	mean <sub>log</sub>	std <sub>log</sub>
Eq. 3 (SW)	$v_1^{(1)} = 0.24, v_2^{(1)} = 0.055,$	29.0	59.5	0.043	0.237
	$v_3^{(1)} = -0.02$				
Eq. 3 (Paper)	$v_1^{(1)} = 0.196, v_2^{(1)} =$	33.1	64.5	-0.035	0.232
	$0.0405, v_3^{(1)} = -0.0352$				
Eq. 4 (Rec)	$v_1^{(2)} = 0.3, v_2^{(2)} = 0.04$	37.1	67.4	-0.010	0.239
Eq. 4 (Paper)	$v_1^{(2)} = 0.327, v_2^{(2)} =$	38.5	69.1	-0.053	0.239
	0.0352				
Eq. 6 (Cam)			57.8		0.225
Eq. 5 (Paper)	$v_1^{(3)} = 1.055, v_2^{(3)} = 0.274,$	37.7	68.7	-0.040	0.206
	$v_3^{(3)} = 0.510, v_4^{(3)} = 0.134,$				
	$v_5^{(3)} = -0.068$				
Eq. 9 (Paper,	$v_{1}^{(4)} = 1.158, v_{2}^{(4)} = 0.180,$	42.1	71.0	-0.021	0.193
Section 5)	$v_3^{(4)} = 0.410, v_4^{(4)} = 0.195$				

**TABLE 4.** Statistics on the proposed equations to estimate the critical Shields number.

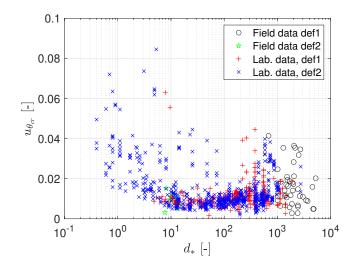
SW: Soulsby and Whitehouse (1997), Rec: Recking (2009), Cam: Camenen (2012), Paper: from this paper.

Equations	$E_{r,20}$	$E_{r,50}$	mean <sub>log</sub>	std <sub>log</sub>	
ref	erence t	-	t rate		
Eq. 3 (SW)	38.6	68.7	0.014	0.215	
Eq. 3 (Paper)	32.2	66.0	-0.069	0.211	
Eq. 4 (Rec)	47.1	75.7	-0.003	0.177	
Eq. 4 (Paper)	42.2	76.6	-0.045	0.176	
Eq. 6 (Cam)	26.1	56.5	-0.121	0.204	
Eq. 5 (Paper)	38.3	71.1	-0.069	0.186	
Eq. 9 (Paper)	45.3	74.2	-0.053	0.178	
V	visual ob	servatio	ons		
Eq. 3 (SW)	23.6	54.5	0.059	0.247	
Eq. 3 (Paper)	33.6	63.7	-0.016	0.241	
Eq. 4 (Rec)	31.5	62.7	-0.014	0.268	
Eq. 4 (Paper)	36.4	64.9	-0.057	0.267	
Eq. 6 (Cam)	28.8	58.5	-0.087	0.235	
Eq. 5 (Paper)	37.4	67.3	-0.024	0.215	
Eq. 9 (Paper)	40.3	69.3	-0.003	0.199	
laboratory data					
Eq. 3 (SW)	29.1	60.5	0.035	0.233	
Eq. 3 (Paper)	33.8	65.1	-0.041	0.228	
Eq. 4 (Rec)	38.2	68.3	-0.017	0.236	
Eq. 4 (Paper)	39.5	69.7	-0.059	0.236	
Eq. 6 (Cam)	27.8	57.9	-0.104	0.224	
Eq. 5 (Paper)	38.4	69.5	-0.044	0.201	
Eq. 9 (Paper)	43.1	72.4	-0.025	0.186	
field data					
Eq. 3 (SW)	25.9	44.4	0.165	0.273	
Eq. 3 (Paper)	22.2	55.6	0.062	0.277	
Eq. 4 (Rec)	18.5	51.9	0.091	0.266	
Eq. 4 (Paper)	22.2	59.3	0.046	0.263	
Eq. 6 (Cam)	29.6	55.6	-0.026	0.226	
Eq. 5 (Paper)	27.8	55.6	0.032	0.270	
Eq. 9 (Paper)	25.9	50.0	0.044	0.280	

**TABLE 5.** Statistics on the proposed equations to estimate the critical Shields number for selected parts of the data set.

SW: Soulsby and Whitehouse (1997), Rec: Recking (2009), Cam: Camenen (2012), Paper: from this paper.

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**Fig. 1.** Uncertainty  $u_{\theta_{cr}}$  as a function of the dimensionless grain size  $d_*$  (*def1*: reference transport rate, *def2*: visual definition).

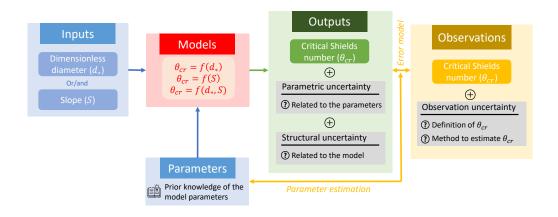
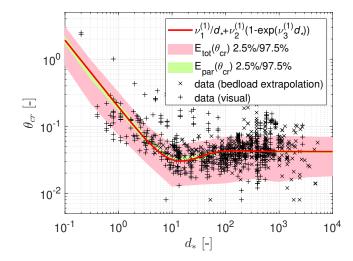


Fig. 2. Diagram of the Bayesian model to evaluate the critical Shields number: inputs, parameters, observations, and outputs.



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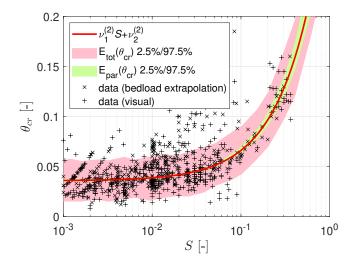
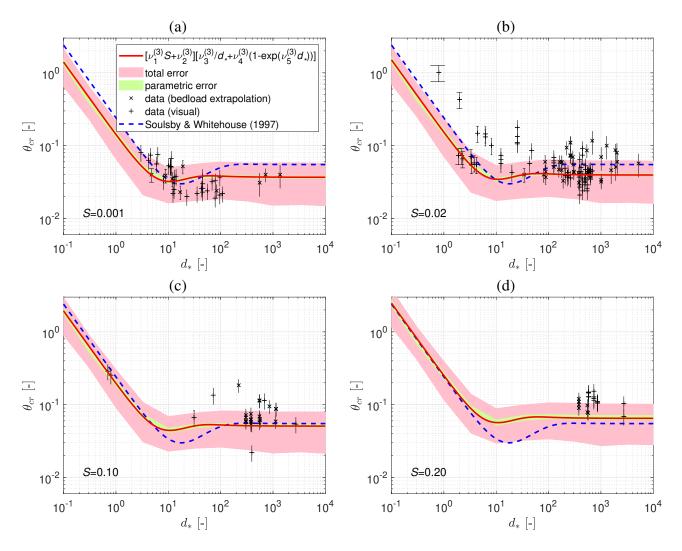
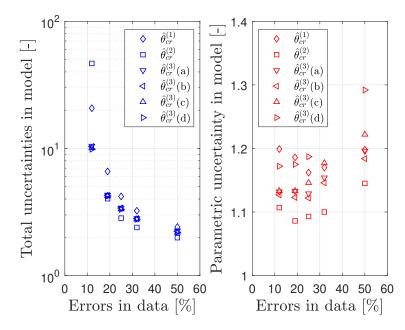


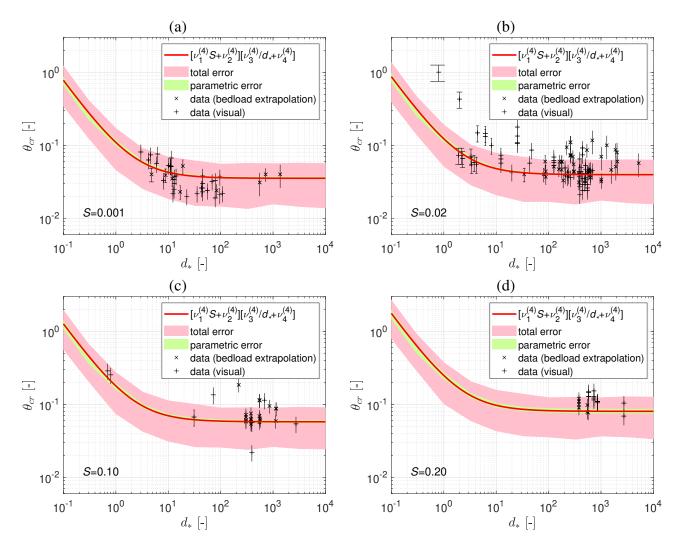
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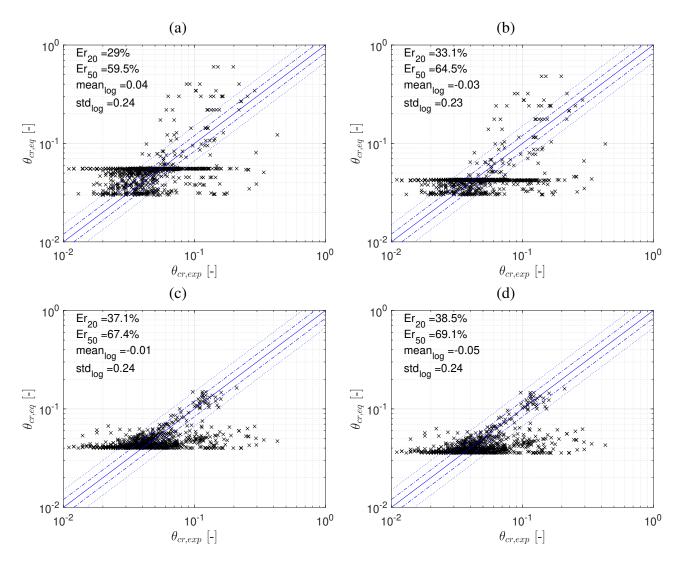
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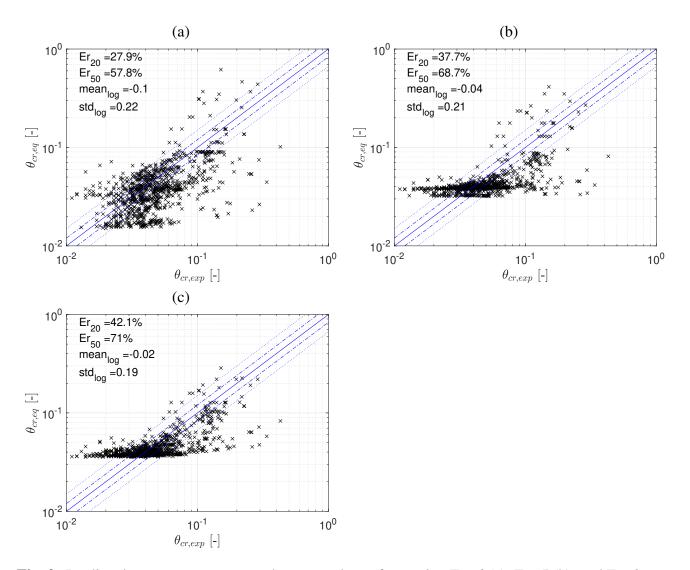
**Fig. 6.** Evaluation of the total and parametric uncertainties in the models as function of the averaged uncertainties  $\Delta \theta_{cr}$  in experimental data (For  $\hat{\theta}_{cr}^{(3)}$ , (a): S = 0.001, (b): S = 0.02, (c): S = 0.10, (d): S = 0.20).



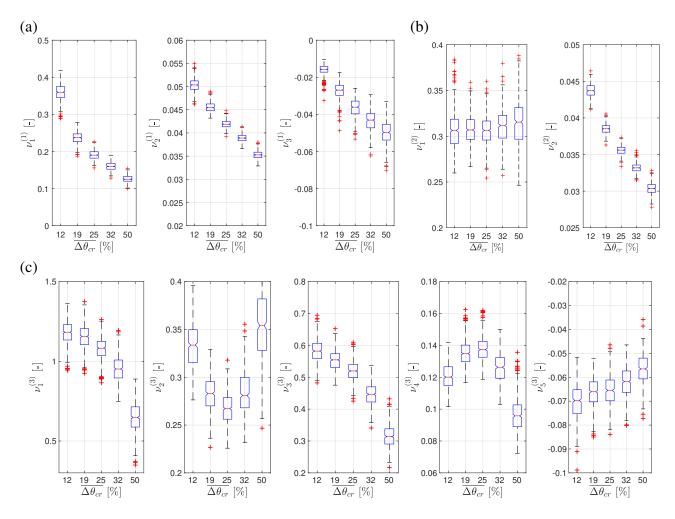
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**Fig. 9.** Predicted  $\theta_{cr,eq}$  versus measured  $\theta_{cr,exp}$  values of  $\theta_{cr}$  using Eq. 6 (a), Eq. 5 (b), and Eq. 9 (c).



**Fig. 10.** Boxplots of parameter estimations for Eqs. 3 (a), 4 (b), and 5 (c) depending on average uncertainties  $\overline{\Delta \theta_{cr}}$  in experimental data.