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## Behavioral syndromes shape evolutionary trajectories via conserved genetic architecture.

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*Data Accessibility Statement:* All relevant data will be archived at Dryad upon acceptance.

All relevant code and analyses are currently available at:

<https://github.com/DochtermannLab/G-PopComparison>

## *Abstract*

1 Behaviors are often correlated within broader syndromes, creating the potential for  
2 evolution in one behavior to drive evolutionary changes in other behaviors. Despite  
3 demonstrations that behavioral syndromes are common across taxa, whether this potential  
4 for evolutionary effects is realized has not yet been demonstrated. Here we show that  
5 populations of field crickets (*Gryllus integer*) exhibit a genetically conserved behavioral  
6 syndrome structure despite differences in average behaviors. We found that the  
7 distribution of genetic variation and genetic covariance among behavioral traits was  
8 consistent with genes and cellular mechanisms underpinning behavioral syndromes rather  
9 than correlated selection. Moreover, divergence among populations' average behaviors was  
10 constrained by the genetically conserved behavioral syndrome. Our results demonstrate  
11 that a conserved genetic architecture linking behaviors has shaped the evolutionary  
12 trajectories of populations in disparate environments—illustrating an important way by  
13 which behavioral syndromes result in shared evolutionary fates.

## *Introduction*

14 Behavior is frequently assumed to have been shaped by selection (Grafen 1984) and thus  
15 populations are expected to differ in a range of behaviors based on local selective  
16 pressures. This implies that behaviors are able to evolve independently, an assumption  
17 increasingly challenged by the ubiquity of behavioral syndromes—correlations among  
18 behaviors (Table 1; Sih et al. 2004a, Sih et al. 2004b)—which have been documented across  
19 taxonomic groups (Garamszegi et al. 2012, 2013) and are comprised of both genetic and  
20 environmental contributions (Dochtermann 2011, Dingemanse and Dochtermann 2014,  
21 Dochtermann et al. 2015).

22         Given the contribution of genetic correlations to behavioral syndromes, these  
23 syndromes have the potential to constrain the ability of populations to diverge and respond  
24 to local selective pressures (Dochtermann and Dingemanse 2013). Specifically, based on  
25 quantitative genetic theory, if syndromes stem from pleiotropic effects—wherein a single  
26 gene affects multiple behaviors—populations will be constrained to diverge along shared  
27 evolutionary pathways. Further, this divergence is predicted to occur in the direction in  
28 trait space that contains the most variation (Figure 1; Schluter 1996). As a result, if  
29 syndromes have a constraining effect on evolution, the pattern of correlations among traits  
30 will be conserved among populations (Figure 1). Alternatively, if genetic correlations  
31 underpinning syndromes are the result of selection historically favoring particular trait  
32 combinations (i.e. selection-induced linkage disequilibrium (Roff 1997, Saltz et al. 2017)),  
33 the divergence of populations will be generally unconstrained as these genetic correlations

34 are expected to rapidly break down when selection changes (Roff 1997, Conner 2002, Saltz  
35 et al. 2017).

36         These two quantitative genetic explanations for behavioral syndromes have explicit  
37 analogs in the behavioral literature: whether syndromes emerge from pleiotropy, tight  
38 genetic linkage, or other shared physiological and cellular effects has been termed the  
39 “constraints hypothesis,” as opposed to selection-induced linkage disequilibrium, which  
40 has been termed the “adaptive hypothesis” (Figure 1, *sensu* Bell (2005)). While some  
41 studies have compared phenotypic or among-individual correlations across populations  
42 (e.g. Dingemanse et al. 2007, Pruitt et al. 2010, Dochtermann et al. 2012, Royauté et al.  
43 2014, Michelangeli et al. 2018), only population comparisons of behavioral syndromes at  
44 the additive genetic level allow for properly testing these competing hypotheses.  
45 Unfortunately, data at the additive genetic level has been restricted to a single comparison  
46 of two populations (Bell 2005). Consequently, the overall role of behavioral syndromes in  
47 shaping population divergence is an important gap in our knowledge as support for the  
48 constraints versus adaptive hypotheses remains insufficiently tested.

49         Knowing whether behavioral syndromes emerge from genetic constraints or  
50 selection induced linkage disequilibrium is important because these mechanisms  
51 differentially affect evolutionary outcomes (Saltz et al. 2017). These potential effects are  
52 broad, from altering responses to environmental changes to speciation dynamics. For  
53 example, in *Anolis* lizards, constraints imposed by genetic correlations on morphological  
54 traits have shaped divergence and what phenotypes can be expressed during adaptive  
55 radiations (McGlothlin et al. 2018). Behavioral syndromes may have an even greater

56 constraining effect: Dochtermann and Dingemanse (2013) reported that the average  
57 magnitude of genetic correlations between behaviors was sufficient to constrain  
58 evolutionary responses to a greater degree than do correlations between life-history or  
59 morphological traits. Unfortunately, this conclusion was based on data that could not  
60 distinguish between the constraints and adaptive hypotheses. If indeed the constraints  
61 hypothesis underpins behavioral syndromes then syndromes will reduce the rate of  
62 adaptation and reduce the rate at which populations and species diverge. Evaluating  
63 evidence for the constraints and adaptive hypotheses is therefore necessary in order to  
64 understand whether behavioral syndromes are important in behavioral evolution.

65         Here we evaluated predictions of the adaptive and constraints hypotheses (Figure  
66 1) and tested whether behavioral syndromes have diverged at the genetic level among  
67 populations of the field cricket, *Gryllus integer*. Specifically, according to the constraints  
68 hypothesis we predicted that genetic variation would be expressed in a consistent manner  
69 among populations and genetic correlations would be maintained across generations.  
70 Specific predictions are more difficult to make for the adaptive hypothesis without  
71 knowledge of local selective pressures. This hypothesis can, however, be assessed  
72 indirectly because behavioral divergence is expected to not be constrained and correlations  
73 are expected to rapidly degrade. We evaluated these predictions via estimation and  
74 comparisons of behavioral genetic (co)variance matrices, i.e. **G** (Table 1), estimated for  
75 multiple populations of *G. integer* (Figure 2).

## *Methods*

76 Cricket collection

77 *G. integer* is a particularly appropriate species to evaluate the constraints and adaptive  
78 hypotheses for behavioral syndromes as the species exhibits population differences in  
79 ecologically relevant behaviors that can be assayed in the laboratory (e.g. Hedrick and  
80 Kortet 2006, Kortet and Hedrick 2007, Niemela et al. 2012a). *G. integer* can also readily be  
81 bred in the lab according to quantitative genetic designs making it an ideal model for our  
82 questions of interest (Hedrick 1988).

83 We collected adult female crickets from four populations throughout the  
84 southwestern and western US: Socorro, NM; Las Cruces, NM; Aguila, AZ; and Dunnigan, CA  
85 (Figure 2) during the summer of 2017. Crickets from these locations are formally  
86 recognized as members of *Gryllus integer* but additional splitting is currently being  
87 considered (D. Weissman, personal communication). These populations are also  
88 geographically distant from each other (Figure 2) and vary in predator and parasitoid  
89 abundance (Hedrick and Kortet 2006).

90 Around 50 females on average were collected from each population (Table S1) and  
91 taken to animal housing facilities at North Dakota State University. Females were housed  
92 individually in 0.71 L containers and provided with ad libitum food (Purina Chick Starter)  
93 and water (water was provided in glass vials capped with cotton). Each cricket was also  
94 provided with a small piece of cardboard egg carton for shelter. The cricket housing room  
95 was maintained on a 12:12 dark:light cycle reversed such that the room was dark during  
96 daytime hours. The housing room was kept at ~27C.

97 Breeding design

98 Females collected from the field (generation P) were allowed to oviposit in water vials  
99 while in their containers. Offspring of these females were designated generation F<sub>0</sub> as sires  
100 were unknown: mating occurred prior to capture and multiple mating is common in the  
101 genus (Simmons 1986). F<sub>0</sub> offspring hatched in their dams' containers and were then  
102 moved to individual housing prior to maturation. We assayed the behavior of 387 F<sub>0</sub>  
103 individuals (see below) upon maturation (Table S1). After behavioral trials, F<sub>0</sub> individuals  
104 were assigned to breeding pairs such that individual males were mated to multiple  
105 randomly assigned females from the same population but different dams according to a  
106 standard full-sib, half-sib breeding design (Lynch and Walsh 1998). Matings were  
107 conducted as follows: females were moved from their normal housing containers to a  
108 larger container (34.6 × 21 × 12.4 cm) along with their food dish, water vial, and egg carton  
109 shelter. After the female had been transferred, the assigned male was likewise moved to  
110 the large container, also with its food dish, water vial, and egg carton. The male and female  
111 remained in these containers for 24 hours to allow sufficient time for courtship and  
112 multiple mating. After 24 hours the male and female crickets were returned to their  
113 original containers. If males were to be mated with additional females, they were allowed a  
114 minimum break of 24 hours before repeating the above procedure. These F<sub>0</sub> females were  
115 subsequently allowed to oviposit into water vials within their containers. Resulting F<sub>1</sub>  
116 offspring were moved to individual housing prior to maturation and had their behaviors  
117 assayed upon maturation. After behavioral assays, F<sub>1</sub> individuals were likewise paired with  
118 F<sub>1</sub> individuals of the same population but different sires in the same manner as above and  
119 resulting F<sub>2</sub> offspring were moved to individual housing and had their behavior measured  
120 upon maturation. This resulted in the behavioral testing of 395 F<sub>1</sub> individuals and 163 F<sub>2</sub>

121 individuals (Table S1). Across the three generations this represented behavioral testing of  
122 946 individual crickets.

### 123 Behavioral testing

124 All behavioral tests followed standard procedures previously validated in the literature for  
125 Gryllid crickets (Kortet and Hedrick 2007, Kortet et al. 2007, Hedrick and Kortet 2012,  
126 Niemela et al. 2012b, Royauté et al. 2015, Royauté and Dochtermann 2017, Royauté et al.  
127 2019). These assays encompass how individuals vary in risk-taking behavior (Kortet et al.  
128 2007), exploratory propensity (Royauté et al. 2015, Royauté and Dochtermann 2017,  
129 Royauté et al. 2019), and response to predation threat (Royauté and Dochtermann 2017,  
130 Royauté et al. 2019). Based on the known relatedness among individuals we then  
131 estimated **G**—the matrix of additive genetic behavioral variances and covariances—for  
132 each population. Below, we describe these behavioral assays and their ecological relevance.

133 - Latency to emerge from shelter -

134 Gryllid crickets, including *G. integer*, use small burrows and natural cracks for refuge from  
135 predators and to which they retreat when under threat. As a result, latency to emerge from  
136 shelter after disturbance can be considered a proxy for risk-taking behavior or “boldness”  
137 (Kortet et al. 2007). Here, we conducted latency tests wherein individuals were transferred  
138 from their home containers to small artificial burrows (40 cm<sup>3</sup>) placed within a 34.6 × 21  
139 cm arena. These artificial burrows were capped so that individuals could not immediately  
140 emerge. Crickets were forced to remain in the artificial burrow for two minutes after which  
141 the cap was removed. Crickets were then allowed six minutes and thirty seconds to emerge  
142 from the artificial burrow. During this test we recorded how long it took for an individual

143 to emerge (in seconds). Individuals that did not emerge were given a maximum latency of  
144 390 seconds.

145 - Open field exploratory behavior -

146 Open field tests are a classic behavioral assay across taxa (Walsh and Cummins 1976)

147 which measure the exploratory propensity of individuals (Réale et al. 2007), including

148 crickets (Royauté et al. 2015, Royauté and Dochtermann 2017, Royauté et al. 2019).

149 Individuals that move through more of the arena are considered more thorough explorers

150 (Réale et al. 2007). Here we used open field tests to measure activity and exploratory

151 propensity in a 30 × 30 cm plexiglass arena. Individuals were introduced into the arena

152 under a small container and allowed to rest for 30 seconds after introduction. At the end of

153 this 30 seconds, the container was removed and the cricket was allowed to explore the

154 arena for 3 minutes and 40 seconds. The arena was cleaned with isopropyl alcohol between

155 trials to remove any chemosensory cues from the arena. We used Ethovision XT to record

156 the total distance the individual moved during the trial (cm), the number of unique zones of

157 the arena an individual visited during the trial, and the variance in velocity of individuals.

158 This latter measure indicates whether an individual's speed of exploration was constant

159 (low velocity variance) or whether individuals had frequent activity bursts punctuated by

160 long bouts of inactivity (high velocity variance).

161 - Response to cues of predator presence -

162 How individuals respond to cues of predator presence often varies within and among

163 populations and is likely to covary with fitness (Herman and Valone 2000). Crickets

164 respond to chemical cues of predator presence by either freezing or increasing activity

165 depending on whether confronted by predator cues of sit-and-wait or active predators  
166 (Storm and Lima 2008, Binz et al. 2014). Here we used a behavioral assay to measure  
167 response to cues of predator presence also previously used with another Gryllid species  
168 (Royauté and Dochtermann 2017, Royauté et al. 2019). Specifically, individuals were  
169 introduced into a 15 cm diameter circular arena (7.5 cm height), the floor of which was  
170 covered with dry filter paper that had been soaked with diluted excreta from leopard  
171 geckos (*Eublepharis macularius*). Crickets respond to exposure to leopard gecko cues by  
172 increasing activity (Royauté and Dochtermann 2017, Royauté et al. 2019). All leopard  
173 geckos were fed a diet of *G. integer* with occasional diet supplementation of mealworms  
174 (i.e. larval *Tenebrio molitor*) and the related decorated cricket (*Gryllodes sigillatus*). Crickets  
175 were introduced to a portion of the arena without predator cue under a small shelter. After  
176 a 30 second rest period, the shelter was removed and the individual allowed to freely move  
177 throughout the arena for 3 minutes and 40 seconds. We then used Ethovision XT to record  
178 the total distance an individual moved during the trial (cm). Total distance moved during  
179 the predator cue trial, the latency to first movement (in seconds), and the variance in  
180 velocity were used in subsequent analyses.

## 181 Statistical analyses

### 182 - **G** matrix estimation -

183 We used multi-response mixed effect animal models (Kruuk 2004) implemented using the  
184 MCMCg1mm package in R (Hadfield 2010) to estimate genetic variances and covariances (i.e.  
185 the **G** matrix). We included the effects of temperature, day and time of testing in the  
186 behavioral arena room along with sex, life-stage and mass of the individual as fixed effects.

187 We used the individual relatedness matrix (based on the known pedigree) as a random  
188 effect. Traits for which variances and covariances were estimated were: (i) the latency that  
189 an individual emerged from the shelter during the trial (modeled as censored Gaussian),  
190 (ii) the distance moved during the open field trial (Gaussian), (iii) the number of unique  
191 zones an individual visited during the open field trial (Poisson), (iv) the log-transformed  
192 variance in velocity during the open field trial (Gaussian), (v) the square-root transformed  
193 distance an individual moved during the predator cue response trial (Gaussian), (vi) the  
194 latency to initiate movement in the antipredator response trial (Poisson) and (vii) the log-  
195 transformed variance in velocity during the antipredator response trial (Gaussian). The  
196 inclusion of dam ID as a random effect did not improve model fit, indicating negligible or  
197 non-existent maternal effects and was not included in final model runs. Multi-response  
198 models were fit individually by population with each population's variances and  
199 covariances estimated from the posterior of an MCMC chain of  $4.8 \times 10^6$  iterations, with an  
200 800,000 burn-in period and a thinning interval of 4,000. A prior that was minimally  
201 informative for both variances and covariances was used. All variances and covariances  
202 were estimated at the additive genetic level and on the latent scale (Table S4).

203 - Evaluating the constraints and adaptive hypotheses -

204 The location, orientation, and distribution of genetic variation in multivariate space—in  
205 our case seven dimensional space—can differ among groups in a variety of complex ways  
206 (Phillips and Arnold 1999, Blows et al. 2004, Walsh 2007, Roff et al. 2012, Aguirre et al.  
207 2014). We therefore used a suite of statistical approaches to compare the orientation of

208 genetic variation and evaluated agreement among multiple statistical approaches in  
209 support for either the constraints or adaptive hypothesis.

210 To determine whether behavioral syndrome structure at the additive genetic level  
211 was shared among populations we used two approaches:

- 212 (i) testing whether populations exhibited shared subspaces (dimensions) of  $\mathbf{G}$   
213 based on Krzanowski's common subspace analysis (Aguirre et al. 2014);
- 214 (ii) comparing alignment of dominant eigenvectors among populations (i.e.  $\mathbf{g}_{\max}$ ,  
215 Table 1 (Schluter 1996))

216 Krzanowski's common subspace analysis determines whether genetic variation is  
217 expressed in the same dimensions and direction across groups (Aguirre et al. 2014). This  
218 can be thought of as analogous to asking whether the populations shared principal  
219 components (Phillips and Arnold 1999). In two dimensions, this is similar to the directions  
220 the ellipses point in Figure 1, with shared subspaces when the ellipses point in the same  
221 direction. For our data there were seven possible dimensions of overlap but some  
222 dimensions may not possess substantive variation (Table S4). Following Aguirre et al.  
223 (2014) we therefore considered those subspaces that additively contained greater than  
224 90% of the genetic variation, which were then summarized in matrix form ( $\mathbf{H}$ )(Aguirre et  
225 al. 2014). Here, this included three possible shared subspaces ( $\mathbf{h}_1$ ,  $\mathbf{h}_2$ , and  $\mathbf{h}_3$ ; Table 1) and  
226 we tested whether these subspaces were shared among populations to a degree greater  
227 than expected by chance. Under the constraints hypothesis we expect genetic variation to  
228 be expressed in the same dimensional direction among populations, manifested as shared  
229 genetic subspaces. A lack of shared subspaces would therefore contradict the predictions of

230 the constraints hypothesis. However, shared subspaces may also be observed under the  
231 adaptive hypothesis if selective pressures are the same across populations.

232 Because evolutionary trajectories are biased by the dimensional direction (vector)  
233 in which most genetic variation is expressed, we also compared this vector, i.e.  $\mathbf{g}_{\max}$   
234 (Schluter 1996), among populations. This approach is similar to Krzanowski's common  
235 subspace analysis but focuses on the dimension in which most variation is expressed. Here  
236 we calculated the vector correlation between the  $\mathbf{g}_{\max}$ s of each population and, via  
237 randomization testing, determined whether these correlations significantly differed from 0.

238 Even if genetic covariances constrain the path of evolutionary change, average  
239 behaviors can change. Therefore, we estimated “**D**” (Table 1)—a matrix that describes the  
240 phenotypic divergence amongst populations and was here estimated as the (co)variance of  
241 species means (Schluter 1996, McGlothlin et al. 2018). The eigenvectors ( $\mathbf{d}$ , Table 1) of this  
242 matrix describe the direction in multivariate space in which most divergence has occurred.  
243 If behavioral syndromes have constrained the evolution of populations, we would expect  
244 the direction in which most phenotypic divergence in average behavior has occurred ( $\mathbf{d}_1$ )  
245 to be correlated with shared subspaces identified by Krzanowski's common subspace  
246 analysis (McGlothlin et al. 2018). Here we calculated the vector correlation between  $\mathbf{d}_1$  and  
247  $\mathbf{h}_{1:3}$ . Non-zero correlations between  $\mathbf{d}_1$  and any shared subspace would be consistent with  
248 the constraints hypothesis.

249 As with average behaviors, genetic variances across dimensions may differ among  
250 populations even while populations share an overall conserved genetic behavioral  
251 syndrome. We can therefore ask whether genetic variances have been constrained by a  
252 conserved behavioral syndrome. To do so we used methods described by Hine et al. (2009)

253 to calculate what are known as genetic covariance eigentensors (**E**, Table 1). This approach  
254 starts by calculating the variances and covariances of **G** matrices across populations.  
255 Resulting matrices can subsequently be subjected to further eigen analysis, producing  
256 eigentensor matrices (**E**) and eigenvectors (**e**, Table 1) to describe in which dimension the  
257 **G**s of populations differ the most. If a conserved genetic behavioral syndrome, consistent  
258 with the constraints hypothesis, has affected the divergence of **G**s among populations we  
259 would expect correlations between the eigenvectors of eigentensors (**e**) and any shared  
260 subspaces (**h**<sub>1:3</sub>). Put another way, if populations share genetic variation in the same  
261 dimension in which most of the genetic divergence occurred, this would be evidence that  
262 syndromes channel behavioral evolution along a line of least resistance.

263 For the above approaches we followed the recommendations of Aguirre et al. (2014)  
264 in that all tests were based on the full MCMC posterior distributions and null distributions  
265 for population comparisons were based on randomizations of breeding values. To compare  
266 whether eigenvectors were significantly aligned, we also generated a random distribution  
267 of vector correlations following McGlothlin et al. (2018). The critical values of vector  
268 correlations based on this distribution were 0.93 ( $P < 0.001$ ), 0.85 ( $P < 0.01$ ), 0.71 ( $P <$   
269  $0.05$ ) and 0.62 ( $P < 0.1$ ). To assess the significance of eigenvalues of **H** and **E** against  
270 random expectations, we calculated the largest posterior quantiles for which these  
271 distributions did not overlap (Figures S2 and S3 respectively). This threshold serves as a  
272 Bayesian probability in favor of the observed distribution being generated by patterns  
273 other than chance (hereafter,  $P_{\text{mcmc}}$ ). Because there are no clear-cut rules for interpreting  
274 these Bayesian probabilities, we provide the following scale to indicate how we interpreted  
275 support for inferences:  $P_{\text{mcmc}} < 0.7$ : poor evidence of difference compared to random

276 expectations;  $P_{\text{mcmc}} > 0.8$ : moderate evidence of difference compared to random  
277 expectations;  $P_{\text{mcmc}} > 0.9$  strong evidence of difference compared to random expectations;  
278  $P_{\text{mcmc}} > 0.95$ : very strong evidence of difference compared to random expectations. Other  
279 reported probabilities (hereafter P) were interpreted according to standard criteria.

280 To further assess support for the adaptive and constraints hypotheses we also  
281 compared genetic correlations across generations. Genetic correlations due to selection-  
282 induced linkage disequilibrium are expected to decline across generations with random  
283 mating. Specifically, Conner (2002) argued that with random mating and in the absence of  
284 physical linkage, the magnitude of genetic correlations should halve every generation.  
285 Because of our breeding design we were able to opportunistically and separately estimate  
286 phenotypic and genetic correlations among behaviors by generation. Here, while mating  
287 was restricted to be within populations, mating was random with regard to behavior and  
288 we would therefore expect both genetic correlations ( $r_A$ ) and phenotypic correlations ( $r_P$ )  
289 to decrease during the duration of the experiment under the adaptive hypothesis. We  
290 therefore generated expected genetic and phenotypic average absolute correlations under  
291 these assumptions (Appendix S1) and compared the observed estimates to these  
292 expectations. According to the constraints hypothesis we would expect correlations to  
293 remain stable across generations while under the adaptive hypothesis we would expect  
294 them to degrade toward a correlation of zero.

295 Finally, based on the estimated  $\mathbf{G}$  matrices for each population, we calculated  
296 “autonomy” ( $\bar{\alpha}$ , Table 1) throughout multivariate space following Hansen and Houle  
297 (2008). Autonomy provides an estimate of the “fraction of genetic variation that is  
298 independent of potentially constraining characters”(Hansen and Houle 2008). Put another

299 way, autonomy estimates the degree to which genetic variation is free to respond to  
300 selection ( $\max \bar{a} = 1$ ) versus constrained by covariance ( $\min \bar{a} = 0$ ). We did not have  
301 predictions as to values for autonomy under either the constraints or adaptive hypotheses.  
302 Instead, these values indicate the potential for future evolutionary constraints for each  
303 population.

#### 304 *Results*

305 Behavioral syndromes were genetically conserved among populations. Based on  
306 Krzanowski's common subspace analysis (**H**, Table 1; Aguirre et al. (2014)), the behavioral  
307 syndrome of *G. integer* was characterized by three dimensions of genetic covariance (**h**<sub>1-3</sub>,  
308 Table 1). These dimensions, and thus the overall syndrome, were shared among  
309 populations, as indicated by all Bayesian probabilities,  $p_{\text{mcmc}}$ , being  $< 0.65$  (Fig. S1).  $p_{\text{mcmc}}$   
310 values that are closer to 1 for this test would indicate departure from random expectations  
311 and would therefore support a lack of shared syndrome structure among populations. The  
312 shared behavioral syndrome was comprised of: *i*) genetic covariation between shelter  
313 emergence time and predator cue responsiveness (**h**<sub>1</sub>, Table 2); *ii*) a genetic boldness-  
314 activity syndrome in which active individuals were more prone to ignore predator cues and  
315 were quicker to exit from their shelter (**h**<sub>2</sub>, Table 2); and *iii*) genetic covariance between  
316 activity and shelter emergence (**h**<sub>3</sub>, Table 2). Each of these three axes explained around  
317 one-third of the observed genetic variance (Table 2).

318 Following the demonstration of genetic conservation of behavioral syndromes, we  
319 determined whether genetic variation was primarily expressed in the same direction in  
320 multivariate space across populations (**g**<sub>max</sub> alignment; Table 1). Put another way, given the

321 general conservation of behavioral syndrome structure at the genetic level, did populations  
322 express most genetic variation in the same combinations of traits? Indeed, the  $\mathbf{g}_{\max}$ s of the  
323 Aguila and Dunnigan and Socorro and Dunnigan populations were strongly correlated with  
324 each other (vector correlation  $r > 0.7$ ,  $p < 0.05$ ) (Figure 2). Moreover, the  $\mathbf{g}_{\max}$ s of each  
325 population were aligned with the shared axes (Figure 2). This alignment demonstrates that  
326 the genetically conserved behavioral syndrome captured the genetic variation expressed in  
327 each population and confirmed that the orientation of genetic variation in multivariate  
328 space was conserved among the populations.

329         Despite the genetic conservation of behavioral syndrome structure, populations did  
330 exhibit some divergence. Specifically, the populations have diverged in their multivariate  
331 behavioral averages (i.e. “**D**,” Figure 3, Table 1 and S2) and in the magnitude of genetic  
332 variation present in each population (Figure S3). Importantly, however, the direction of  
333 divergence in both means and variances was aligned with the shared behavioral syndrome  
334 (e.g.  $r_{d1,h3} = 0.85$ ,  $p < 0.01$ ;  $r_{h1,e11} = 0.92$ ,  $p < 0.01$ ; Table S2). This alignment demonstrates  
335 that divergence has been constrained by the shared structure of behavioral syndromes.

336         Behavioral syndromes emerging from either the adaptive or constraints hypotheses  
337 are expected to respond differently to random mating. Specifically, under the adaptive  
338 hypothesis, genetic correlations are expected to erode by 50% every generation. Because  
339 we mated individuals at random, we were able to compare the observed average genetic  
340 and phenotypic correlations ( $r_A$  and  $r_P$ ) with their expected values under the adaptive  
341 hypothesis (see Appendix S1 for details). Contrary to the expectations of the adaptive  
342 hypothesis, but as predicted according to the constraints hypothesis, average genetic and

343 phenotypic correlations remained stable over the course of three successive laboratory  
344 generations (posterior mean and 95 % credible intervals;  $r_{A \text{ Observed } F_1} = 0.36 [0.23; 0.52]$ ,  $r_{A \text{ Observed } F_2} = 0.38 [0.23; 0.53]$ , Figure 4).

346 Finally, for each population, we calculated autonomy (Table 1), which estimates the  
347 degree of constraint on evolutionary outcomes imposed by the genetic architecture  
348 connecting traits. Autonomy varies between 0 and 1, with higher values indicating greater  
349 potential for independent evolution. For *Gryllus integer*, autonomy varied between 0.47 and  
350 0.61 (DUN:  $\bar{a} = 0.48 [0.31; 0.68]$ , SOC:  $\bar{a} = 0.47 [0.32; 0.67]$ , AG:  $\bar{a} = 0.60 [0.44; 0.78]$ , LC:  $\bar{a} =$   
351  $0.61 [0.43; 0.76]$ , all populations combined:  $\bar{a} = 0.57 [0.43; 0.70]$ ). This suggests that the  
352 constraining effect of behavioral syndromes is likely to persist over future generations.

### 353 *Discussion*

354 Three key results demonstrate conservation of behavioral syndromes at the genetic  
355 level despite differences among populations in average behavior, providing strong support  
356 for the constraints hypothesis. This support for the constraints hypothesis is unexpected  
357 given that a previous study with stickleback (Bell 2005) found that two populations  
358 differed in the magnitude of heritabilities and genetic correlations between two  
359 behaviors—albeit with overlapping confidence intervals—providing support in that case  
360 for the adaptive hypothesis. This conservation of behavioral syndrome structure has also  
361 had the effect of channeling population divergence. Our results therefore suggest that  
362 studying a broader suite of behavioral traits may reveal evolutionary constraints not  
363 apparent from pair-wise correlations.

364 Our first major result supporting the constraints hypothesis was that the genetic  
365 variation among the four populations was shared along three dimensions. These  
366 dimensions describe the genetic structure of the species' behavioral syndromes and their  
367 being shared demonstrates that the orientation of genetic variation was conserved among  
368 populations. The overall behavioral syndrome consisted of a boldness-activity dimension  
369 ( $\mathbf{h}_2$ , Table 2) frequently described in the literature. This dimension genetically links  
370 activity, exploration and risk-prone behaviors. This dimension has been described at the  
371 phenotypic level (Wilson and Godin 2009, Bókony et al. 2012) but demonstrations at the  
372 genetic level are rare (see Bell (Bell 2005) for one example). The other conserved  
373 dimension ( $\mathbf{h}_1$  and  $\mathbf{h}_3$ ) represent potential trade-offs between risk management strategies,  
374 in which individuals either compensate for risk during foraging by being less prone to  
375 resume activity when threatened ( $\mathbf{h}_3$ , Table 2), or take risks in one context (not moving  
376 away from a predator cue) while avoiding risk in another (taking longer to emerge from  
377 shelter) ( $\mathbf{h}_1$ , Table 2). Alternatively, dimension  $\mathbf{h}_1$  might indicate that individuals with long  
378 latencies are less active. As a result, these individuals may encounter fewer predator cues  
379 resulting in weaker antipredator responses.

380 Our second major result supporting the constraints hypothesis was that the  $\mathbf{g}_{\max S}$  of  
381 the Aguila and Dunnigan and Socorro and Dunnigan populations were strongly correlated  
382 and all  $\mathbf{g}_{\max S}$  were aligned with the shared behavioral syndrome. This validates that  
383 behavioral syndrome structure is shared among the populations and that the behavioral  
384 syndrome captures the majority of observed genetic variation. Schluter (1996)  
385 demonstrated that morphological divergence among several pairs of populations and  
386 species of vertebrates is constrained by  $\mathbf{g}_{\max}$ . Specifically, evolutionary divergence was

387 greatest when populations and species shared a common  $\mathbf{g}_{\max}$  and there was directional  
388 selection for morphological trait combinations in this same direction in phenotypic space  
389 (Schluter 1996). We found that  $\mathbf{g}_{\max}$  was conserved and that divergence in both average  
390 behavior and genetic (co)variance among the four populations was aligned with  $\mathbf{g}_{\max}$ . This  
391 demonstrates that behavioral syndromes affect population divergence in a manner similar  
392 to that observed for morphology.

393 Our third result in support of the constraints hypothesis stems from the prediction  
394 that, under the adaptive hypothesis, genetic correlations are expected to decrease by about  
395 50% each generation due to the effects of recombination (Conner 2002). This prediction  
396 assumes an absence of genetic linkage and random mating (Appendix S1). However,  
397 genetic linkage sufficiently strong to resist recombination is also consistent with the  
398 constraints hypothesis—see, for example, the effects of supergenes (Purcell et al. 2014,  
399 Küpper et al. 2016)—and so we consider this assumption appropriate. In contrast to this  
400 prediction of declining correlations, we found that the average genetic correlation did not  
401 change across generations (Figure 4). Similarly, phenotypic correlations did not decrease  
402 according to predictions (Figure 4). Because we were not able to study replicate lines  
403 under random mating, this finding is not conclusive on its own. Instead the result is one  
404 additional line of evidence consistent with the constraints hypothesis and in contradiction  
405 of the adaptive hypothesis.

406 Importantly, the first two results—shared dimensions of genetic variation and  
407 correlated  $\mathbf{g}_{\max}$ s—could also be observed under the adaptive hypothesis if the selective  
408 pressures each of the populations experienced were the same. We consider this unlikely for

409 three reasons. First, the degree of geographic separation among populations was extensive,  
410 totaling more than 1500 km in some cases (Figure 2). This degree of geographic separation  
411 makes it unlikely that the populations experienced the exact same selective regime.  
412 Moreover, climate (Table S5) as well as predation and parasitism regimes are highly  
413 variable among the populations (Hedrick and Kortet 2006). Second, if similarity in  
414 selection regimes was the driving force behind these converging patterns of genetic  
415 covariance, we would expect the geographically closest populations to have the greatest  
416 similarity in  $\mathbf{g}_{\max}$ . This was not the case and, in fact,  $\mathbf{g}_{\max}$  was most similar among  
417 populations that were geographically most separated (Figure 2). Finally, our third main  
418 result directly contradicts the adaptive hypothesis: if trait correlations, like those of  
419 behavioral syndromes, arise due to the adaptive hypothesis and therefore selection-  
420 induced linkage disequilibrium, they are expected to rapidly degrade under random mating  
421 (Roff 1997, Conner 2002). In direct contradiction to this expectation we observed that  
422 correlations did not decrease across generations (Figure 4). Put another way, our first two  
423 results—which showed that the multivariate composition of behavioral syndromes was  
424 shared among populations—are consistent with the predictions of the constraints  
425 hypothesis. Next, our third result—the maintenance of behavioral correlations despite  
426 random mating—demonstrates the failure of predictions made by the adaptive hypothesis.

427 Our results indicate that the conserved genetic architecture of behavioral  
428 syndromes leads to populations having quantitatively constrained evolutionary trajectories  
429 (Houle 2001) and that these syndromes have limited population divergence. This  
430 quantitative constraint and resulting limitation on divergence is also expected to persist  
431 into the future due to the behavioral syndrome structure imposed by each population's  $\mathbf{G}$

432 matrix. Based on these **G** matrices, we found similar degrees of autonomy (Hansen and  
433 Houle 2008) among populations ranging from 0.47-0.61, a stronger constraint than  
434 observed for life-history or morphological traits (Dochtermann and Dingemanse 2013).  
435 These autonomies indicate that behaviors will rarely evolve independently and the  
436 observed genetic behavioral syndrome will affect future evolution.

437         Despite the conservation of behavioral syndrome structure at the genetic level  
438 across populations, *Gryllus integer* populations did exhibit divergence in both mean  
439 behaviors and magnitudes of genetic variation present in each population. Both the  
440 divergence in means and variances was strongly aligned with the shape of the shared  
441 behavioral syndrome, demonstrating that the syndrome itself was channeling the  
442 evolutionary divergence of the populations (Table S2). The divergence in means was most  
443 strongly related to differences in latency and distance moved in both the open-field and  
444 antipredator assays (Table 2). Specifically, the Dunnigan, CA and Aguila, AZ populations  
445 exhibited the greatest differences in average behaviors (Figure 4). Similarly, the divergence  
446 in magnitude of genetic variation was driven by the three easternmost populations having  
447 less genetic variation than the Dunnigan, CA population (Figure S1). Whether this  
448 represents a loss of variation due to selection, stochastic effects on the three eastern  
449 populations, or the accumulation of variation for the western population is not currently  
450 clear.

451         Throughout we have referred to the adaptive and constraints hypothesis as  
452 competing hypotheses for the expression of behavioral syndromes. However, two caveats  
453 to this framing exist: First, according to a Tinbergian framework (Tinbergen 1963), these

454 hypotheses are not addressing questions at the same level. Within the framework of  
455 Tinbergen's four questions, the constraints hypothesis is a proximate causation question  
456 and reduces to a question about pleiotropy (or other molecular mechanisms) versus  
457 linkage and is agnostic as to selection. In contrast, the adaptive hypothesis is an ultimate  
458 question of function and would be assessed by determining the alignment between **G** and  
459 selection gradients (e.g. following methods described by Berdal and Dochtermann 2019).  
460 This framing does not, however, carry-over to the quantitative genetic literature wherein  
461 selection-induced linkage disequilibrium and molecular mechanisms such as pleiotropy are  
462 considered competing explanations (e.g. Roff 1997). The difference in perspective is partly  
463 due to the history of the fields but is also because the quantitative genetic framework  
464 recognizes the necessary role of continuing correlated selection in maintaining covariances  
465 stemming from linkage disequilibrium. As a second caveat it is important to note that the  
466 hypotheses are not strictly mutually exclusive (Conner et al. 2011, Saltz et al. 2017). It is  
467 possible that some portion of an estimated genetic covariance might stem from pleiotropy  
468 while some other portion stems from linkage disequilibrium (the two could even cancel  
469 each other out). Nonetheless, our results consistently supported the predictions of the  
470 constraints hypothesis across several lines of evidence.

471         The surprising degree of shared genetic variation in behavioral syndrome reported  
472 here suggests an unrecognized and important role for behavioral syndromes in the  
473 evolution of populations. Behaviors such as those measured here—exploratory behaviors  
474 and responses to predation threat—are frequently assumed to have been under selection  
475 and their responses to selection have been assumed to be unconstrained. In contrast, we  
476 have shown that the genetic contribution to behavioral expression is highly conserved, that

477 populations share evolutionary fates, and that conserved behavioral variation may be a  
478 driver of population divergence and perhaps even speciation.

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624

**Table 1.** Terms and symbol definitions.

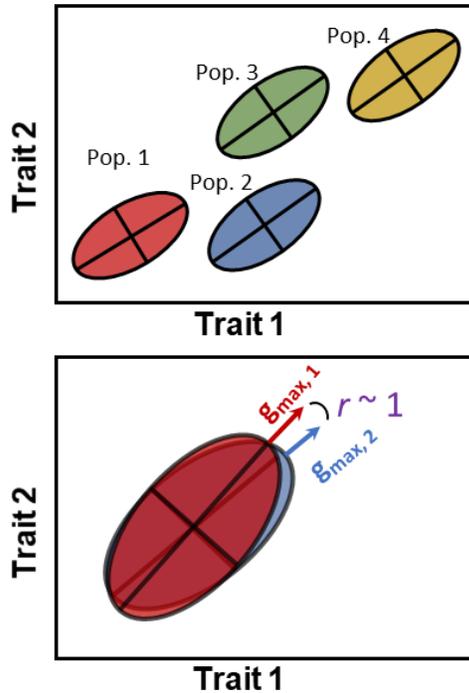
Term or Symbol	Definition
Behavioral syndrome	Among-individual correlations of behavior. For example, individuals that are on average more aggressive also, on average, show a higher degree of exploratory propensity.
<b>G</b>	Additive genetic covariance matrix.
<b>g<sub>max</sub></b>	The dominant eigenvector of <b>G</b> , describes the dimension in multivariate trait space with the highest additive genetic variance.
<b>D</b>	Among-population divergence matrix describing patterns of population divergence in average phenotype.
<b>d<sub>i</sub></b>	Eigenvectors of <b>D</b> , <b>d<sub>1</sub></b> represent the dimension capturing most of the divergence in average phenotype among populations.
<b>H</b>	Common subspaces of genetic variation for all four populations; describes the trait combinations that share the most genetic variation among populations.
<b>h<sub>i</sub></b>	Eigenvectors of <b>H</b> , <b>h<sub>1</sub></b> is analogous to <b>g<sub>max</sub></b> and describe the major axis of shared genetic variance among populations.
<b>E<sub>i</sub></b>	Eigentensors describing subspaces for which <b>G</b> varies among population.
<b>e<sub>ij</sub></b>	$j^{\text{th}}$ eigenvector of the $i^{\text{th}}$ eigentensor, describes the trait combinations for which genetic divergence has occurred among populations.
<i>r</i>	Correlation among eigenvectors, values close to 0 indicate independence of eigenvectors, values close to 1 indicate alignment of eigenvectors.
$\bar{a}$	Autonomy of <b>G</b> , indicates the proportion of genetic variation unconstrained by covariance among traits. Values closer to 0 indicate stronger evolutionary constraints and values closer to 1 indicate complete autonomy of genetic variation, meaning that each trait can evolve independently in response to future selection.

**Table 2.** Eigenvectors of phenotypic divergence (**d**), conserved genetic variation (**h**) and divergence in **G** (**e**). Traits legend: Latency = latency to exit from the shelter, OF.Distance = distance travelled in the open-field test, UZ = number of unique zones explore in the open-field arena, OF.Var.Velo = variance in velocity in the open-field test, AP.Distance = distance travelled in the antipredator response test, AP.Lat.Mov = latency to initiate movement in the antipredator response test, AP.Var.Velo = variance in velocity in the antipredator response test.

Traits						E1 (53 %)		E2 (31 %)
	<b>d</b> <sub>1</sub>	<b>d</b> <sub>2</sub>	<b>h</b> <sub>1</sub>	<b>h</b> <sub>2</sub>	<b>h</b> <sub>3</sub>	<b>e</b> <sub>11</sub>	<b>e</b> <sub>21</sub>	<b>e</b> <sub>22</sub>
Latency	<b>-0.32</b>	<b>0.90</b>	<b>-0.31</b>	<b>0.86</b>	<b>0.47</b>	<b>0.63</b>	0.08	<b>0.77</b>
OF.Distance	<b>-0.80</b>	<b>-0.34</b>	0.18	<b>-0.37</b>	<b>0.88</b>	-0.13	<b>-0.79</b>	<b>0.42</b>
UZ	-0.02	-0.06	0.02	-0.02	0.06	-0.01	-0.08	0.06
OF.Var.Velo	-0.09	-0.06	0.02	-0.02	0.07	-0.02	-0.10	0.06
AP.Distance	<b>-0.48</b>	-0.04	<b>0.93</b>	<b>0.35</b>	0.02	<b>-0.74</b>	<b>-0.59</b>	<b>-0.45</b>
AP.Lat.Mov	-0.05	0.24	-0.10	-0.01	0.02	0.16	0.07	0.15
AP.Var.Velo	-0.07	-0.03	0.05	0.02	0.01	-0.05	-0.05	-0.02
% Variance explained	58.2	31.4	33.1	33.0	32.9	97.4	69.5	30.4

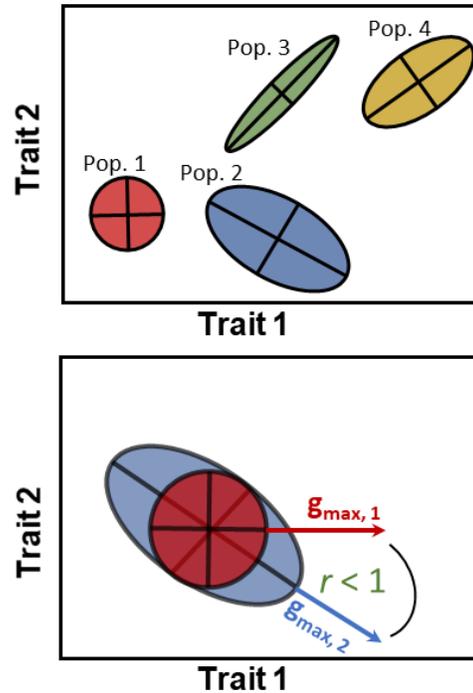
### Constraints Hypothesis:

Genetic constraints result in behavioral syndromes being shared among populations

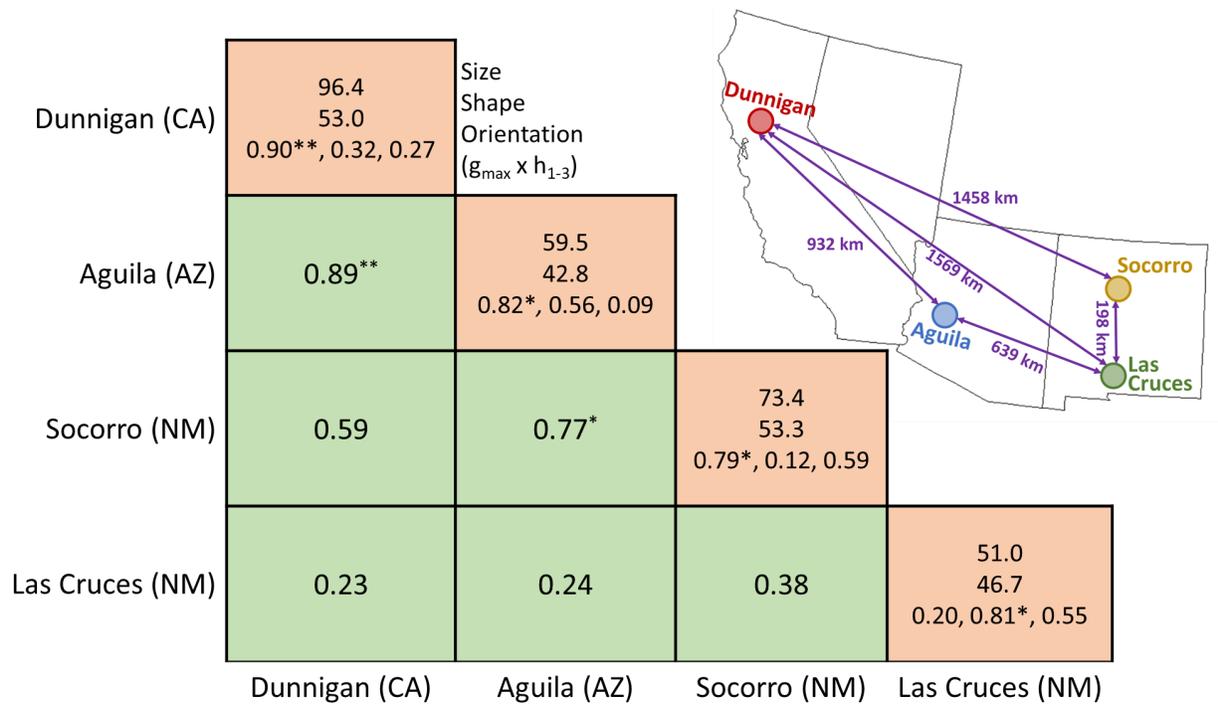


### Adaptive Hypothesis:

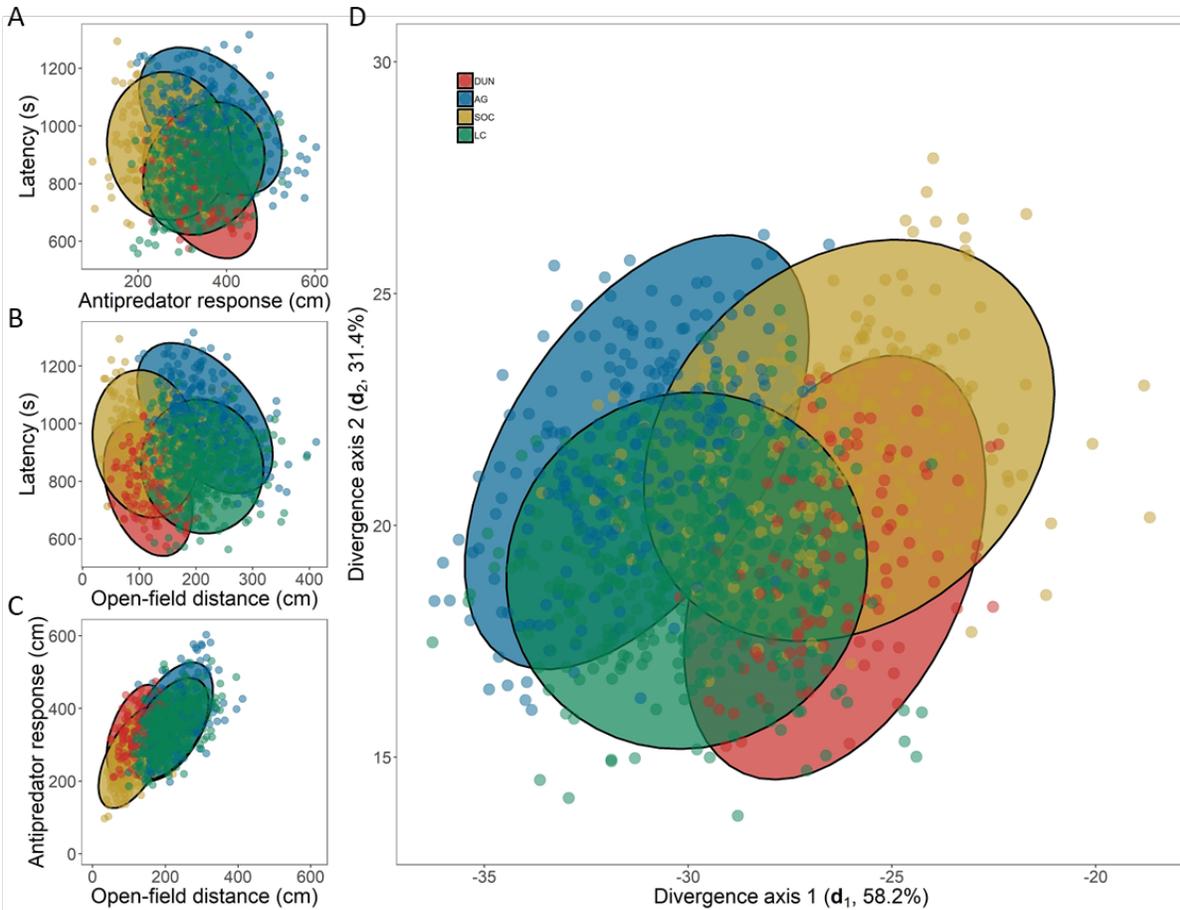
Natural selection shapes the orientation and strength of behavioral syndromes



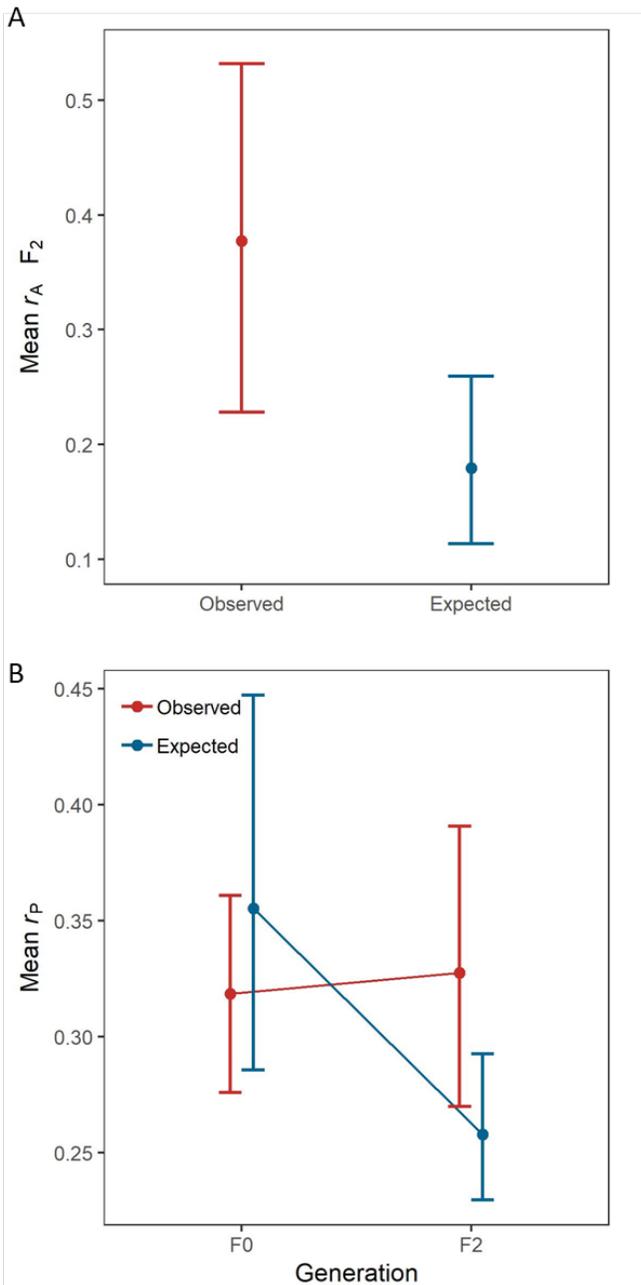
**Figure 1.** Two contrasting hypotheses can explain the presence of genetic correlations among behavioral traits (i.e. behavioral syndromes): Genetic constraints arising from pleiotropy and shared molecular mechanisms should lead to the expression of the same behavioral syndrome (top left panel). As a result, the vector correlations between major axes of genetic variation ( $g_{max}$ ) are predicted to be approaching 1 (bottom left panel). Alternatively, selection-induced linkage disequilibrium should lead to differing orientation and strength of behavioral syndromes when selective pressures differ among populations (top right panel). The vector correlation between  $g_{max}$ s should therefore be below 1 (bottom right panel).



**Figure 2.** The genetic architecture of behavioral syndromes is shared in four isolated populations of *Gryllus integer*. Values along the diagonal (peach shading) describe the multivariate structure of behavioral variation: first row the size (total genetic variance), second the shape (percent of variance explained by the major axis of genetic variation,  $\mathbf{g}_{\max}$ ), and, third, orientation (vector correlation between  $\mathbf{g}_{\max}$  and conserved genetic subspaces  $\mathbf{h}_{1-3}$ ). Off-diagonal elements represent the correlation between the  $\mathbf{g}_{\max}$  of each population (top row) and the probability that alignment differed from 0: \*\* P < 0.01, \* P < 0.05.



**Figure 3.** Evolutionary divergence in the structure of behavioral syndromes occurs along shared axes of genetic variation. A-C) Correlations between pairs of traits that exhibit the greatest variation in divergence (Table S2). Points represent breeding values for each individual within a population centered around the population mean for that trait. >50% of divergence was in latency to emerge from shelter by antipredator response activity D) Population-specific divergence in average behaviors. Population-specific  $\mathbf{G}$  matrices were visualized by transforming estimated breeding values for each trait based on the divergence among populations. Ellipses represent the 95% confidence ellipses for each population centered at the multivariate species mean (DUN: Dunnigan CA, AG: Aguila AZ, SOC: Socorro NM, LC: Las Cruces NM).



**Figure 4.** A) Additive genetic ( $r_A$ ) and B) phenotypic correlations ( $r_P$ ) remained stable over the course of three successive generations compared to theoretical expectations based on selection-induced linkage disequilibrium and random mating ( $r_A$  observed = 0.38,  $r_A$  expected = 0.18,  $P_{\text{mcmc}}$  for difference from expectations under selection-induced linkage disequilibrium > 0.85;  $r_P$  observed F<sub>0</sub> = 0.32,  $r_P$  observed F<sub>2</sub> = 0.33,  $r_P$  expected F<sub>0</sub> = 0.35,  $r_P$  expected F<sub>2</sub> = 0.26,  $P_{\text{mcmc}}$  F<sub>2</sub> > 0.80). Error bars correspond to 95% credibility intervals around the posterior mean.