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## ▶ To cite this version:

Donald Luna, Julien Pottier, Catherine Picon-Cochard. Variability and drivers of grassland sensitivity to drought at different timescales using satellite image time series. Agricultural and Forest Meteorology, 2023, 331, pp.109325. 10.1016/j.agrformet.2023.109325. hal-04031114

## HAL Id: hal-04031114 https://hal.inrae.fr/hal-04031114

Submitted on 16 Mar 2023

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1	Variability and drivers of grassland sensitivity to drought
2	at different timescales using satellite image time series
3	
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10	
11	Abstract
12	
13	Drought is expected to increase in frequency and severity with climate change, leading to
14	more intense impacts on grasslands and their associated ecosystem services. Complementary
15	to ground experiments, remote sensing technologies allow for the study of drought impacts
16	with large spatio-temporal coverage in real-life-conditions. We aimed to quantify the
17	variability of grassland sensitivity to drought using a long-term satellite image time series of
18	394 temperate permanent grassland plots to identify factors influencing these sensitivities.
19	Accordingly, we assessed the slope of the linear relationship between satellite-based
20	vegetation status, using the standardized anomalies of the vegetation indices (VIs), and
21	drought severity, using a modified version of the Standardized Precipitation
22	Evapotranspiration Index (SPEI), from 1985 to 2019. The process was repeated for 24 VIs
23	and five SPEI timescales. We then conducted a linear model selection procedure, using the
24	grassland sensitivity derived from the most responsive VIs (i.e., VIs for which anomalies
25	indicated a tighter linear relationship with the modified SPEI), to identify which grassland

properties influenced sensitivity to drought. A total of 29 properties, grouped into 26 pedoclimate, agricultural management, and vegetation diversity factors, were derived from 27 ground measurements. Overall, we demonstrated that the influence of predictors on grassland 28 sensitivity to drought varied across the drought integration timescales. Our results highlighted 29 the significant mitigating effect of soil water holding capacity on sensitivity to drought for 30 short timescales of fewer than 30 days. The date of first herbage use by farmers was positively 31 related to grassland sensitivity to drought across all timescales. We also demonstrated that 32 higher vegetation diversity significantly reduced sensitivity to drought. However, for the long 33 timescales of drought integration, such influence was mainly redundant with management 34 (i.e., shared partition of variance) suggesting complex cascading effects between agricultural 35 practices and plant community structure that still need to be addressed comprehensively in 36 future studies. 37

<sup>Keywords: meteorological drought; remote sensing; time scales; grassland response; NDWI;
GVMI</sup> 

### 41 List of abbreviation

42	ARVI	Atmospherically Resistant Vegetation Index		
43	C: N	Carbon to Nitrogen Ratio		
44	CWM	Community Weighted Mean		
45	DIVGRASS	Plant Functional DIVersity of GRASSlands		
46	DVI	Difference Vegetation Index		
47	EVI	Enhanced Vegetation Index		
48	EVI2	Enhanced Vegetation Index 2		
49	Fdis	Functional dispersion		
50	GCI	Green Chlorophyll Index		
51	GEMI	Global Environment Monitoring Index		
52	GNDVI	Green Normalized Difference Vegetation Index		
53	GVMI	Global Vegetation Moisture Index		
54	IPVI	Infrared Percentage Vegetation Index		
55	K <sub>2</sub> 0	Potassium oxide		
56	MgO	Magnesium oxide		
57	MSR	Modified Simple Ratio		
58	MTVI2	Modified Triangular Vegetation Index 2		
59	NDSVI	Normalized Difference Senescence Vegetation Index		
60	NDVI	Normalized Difference Vegetation Index		
61	NDWI	Normalized Difference Water Index		
62	NIR	Near Infrared		
63	NLI	Non-Linear Index		
64	NMDI	Normalized Multi-band Drought Index		
65	NRI	Nitrogen Reflectance Index		
66	OSAVI	Optimized Soil-Adjusted Vegetation Index		
67	$P_2O_5$	Phosphorus pentoxide		
68	RS	Remote sensing		
69	SAFRAN	Système d'Analyse Fournissant des Renseignements Adaptés à la Nivologie		
70	SAVI	Soil-Adjusted Vegetation Index		
71	SIPI	Structure Insensitive Pigment Index		
72	SLA	Specific Leaf Area		
73	SLAVI	Specific Leaf Area Vegetation Index		

74	SOC	Soil Organic Carbon
75	SON	Soil Organic Nitrogen
76	SPEI	Standardized Precipitation Evapotranspiration Index
77	SRVI	Simple Ratio Vegetation Index
78	SWHC	Soil Water Holding Capacity
79	SWIR	Shortwave Infrared
80	TVI	Transformational Vegetation Index
81	TWI	Terrain Wetness Index
82	VARI	Visible Atmospherically Resistant Index
83	VI	Vegetation Index
84		

85 1. Introduction

Meteorological droughts - in other words, deficits in the climatic water balance - of 86 varying severity, frequency, and duration affect several components of agroecosystems, with 87 serious consequences for agricultural production and environmental health (Howden et al., 88 2007). Similar to other agroecosystems, managed grasslands are influenced by drought 89 impacts. The increasing frequency and severity of drought threaten the multiple ecosystem 90 91 services – provision, regulation, and cultural – provided by grasslands and their associated biodiversity (Bengtsson et al., 2019; Chang et al., 2021; Hofer et al., 2016; Zwicke et al., 92 2013). Grasslands contribute significantly to milk and meat production (O'Mara, 2012) and 93 94 provide an estimated one billion jobs around the world (Buisson et al., 2022). In addition to provisioning services, grasslands securely store an estimated 30.6% of terrestrial carbon 95 below ground in the roots and soil (Bai and Cotrufo, 2022; Lei et al., 2016) and host a large 96 97 number of species, some of which are endangered (Dengler et al., 2014). Unfortunately, extreme drought events are well recognized to be detrimental to grassland biodiversity and 98 99 ecosystem function (Newbold et al., 2016; Strömberg and Staver, 2022). One of the most 100 evident consequences is the reduction of net ecosystem productivity, which reduces agricultural production but also converts grasslands from sinks to sources of carbon (Ciais et 101 102 al., 2005; Lei et al., 2016; Nagy et al., 2007; Zhang et al., 2020). Knowledge of grassland sensitivity to drought and its determinants has emerged from field 103 experiments and, more recently, from Earth surface observations. Field observations and 104 105 semi-controlled experiments have provided, thus far, the most comprehensive insights 106 regarding grassland properties that either promote or suppress vegetation sensitivity to

107 drought. The most obvious properties, or drivers, are related to pedoclimatic conditions.

108 Higher sensitivity to drought has been found in grasslands that are topographically exposed to

solar radiation (Yang et al., 2020), situated at low elevations (Catorci et al., 2021; Gharun et

al., 2020), and found on soils with low water retention capacity (Buttler et al., 2019). 110 111 Additionally, grassland management practices, which refer to the modalities of fertilizer application and herbage usage by mowing and/or grazing, have been tested partially and 112 113 sometimes have revealed mixed effects. High fertilizer addition can either increase sensitivity to drought (Bharath et al., 2020; Klaus et al., 2016; Rose et al., 2012) or have no effect (Vogel 114 et al., 2012; Weisser et al., 2017). More frequent mowing events have been related to stronger 115 116 negative effects of drought (Vogel et al. 2012; Weisser et al., 2017; Zwicke et al., 2013), and 117 grazing has been associated with greater sensitivity to drought than mowing (Deléglise et al., 2015). Finally, experimental studies have further highlighted the mixed influences of 118 119 grassland diversity. Higher taxonomic or functional diversity has often been associated with lower sensitivity to drought (Grange et al., 2021; Griffin-Nolan et al., 2019; Isbell et al., 2015; 120 121 Kreyling et al., 2017), but some studies have indicated an opposite effect of species richness 122 (Vogel et al., 2012; Weisser et al., 2017) or the absence of effect (de Boeck et al., 2018). According to these findings from drought experiments conducted in managed grasslands, the 123 124 properties influencing vegetation sensitivity to water deficit can be categorized into pedoclimatic, management, and biodiversity drivers. 125 Despite their incontestable scientific value, the results provided by semi-controlled 126 127 experiments conducted at the field level reveal some limitations. These experiments are, in essence, restricted in their design (e.g., limited combinations of rainfall regimes, levels of 128 diversity, type of soils, etc.) and geographic coverage. These limitations hinder the analysis of 129 130 complex combinations of potential drivers that prevail in real-life conditions (Fraser et al., 2013; Matos et al., 2020) and prevent the generalization of the results to all biogeographic 131 132 contexts on Earth. In addition, those experiments usually report limited temporal coverage of grassland responses to drought over one or few successive growing seasons (Hoover and 133 Rogers, 2016). Although coordinated and long-term observations and experiments (Fraser et 134

al., 2013; Knapp et al., 2017a, 2017b; Lemoine et al., 2016) push those limitations, spatiallyand temporally wider analyses of existent grasslands are needed.

The rapid development of Earth observation techniques tremendously increases both 137 spatial and temporal coverage of agroecosystem monitoring (Ali et al., 2016; Anderson, 2018; 138 Arun Kumar et al., 2021; Reinermann et al., 2020). Therefore, recent studies have assessed 139 the response of natural ecosystems and agricultural lands to drought severity using satellite 140 141 images at a wide range of spatial scales (Jiao et al., 2019; Maurer et al., 2020; Vicente-Serrano, 2007; Vicente-Serrano et al., 2013). Such assessment is based either on the 142 quantification of the relationship between the local satellite reflectance and climatic variables 143 144 (Cabello et al., 2012; Graw et al., 2017; Nanzad et al., 2019), or it is based on the satellite product anomalies and the measured standardized drought indices (e.g., Li et al., 2015; Li et 145 al., 2022; Ye et al., 2020). Consequently, these relationships depict the sensitivity of vegetated 146 147 surfaces to drought events (Vicente-Serrano, 2013). Afterward, remotely sensed sensitivity can be related to geographic variations of a set of environmental parameters, considered to be 148 149 the hypothetical drivers of vegetation response to drought. Remote sensing (RS) analyses of drought effects on vegetated surfaces are based on 150 various methodological choices. Regarding drought estimates, studies frequently used the 151

152 Standardized Precipitation Index (SPI), Standardized Precipitation Evapotranspiration Index

153 (SPEI), and Palmer Drought Severity Index (PDSI). From here, the standardized precipitation

154 indices can be used to determine drought severity at different timescales (Vicente-Serrano et

al., 2010; Nanzad et al., 2019), but seldom considered in studies (Almeida-Ñauñay, et al.,

156 2022; An et al., 2020; Dong et al., 2019; Zhao et al., 2018). Research that considered multiple

157 drought timescales has identified grassland and cultivated vegetation response to drought to

be best correlated at a timescale of one to three months (e.g., Almeida-Ñauñay et al., 2022;

159 An et al., 2020; Zhao et al., 2018). However, these studies used monthly meteorological data.

Finer climate data resolution, such as weekly or daily, is needed to reveal more accurate 160 161 impacts of meteorological variations on vegetation property changes (Salehnia et al., 2018; Wang et al., 2015). Regarding RS-based vegetation condition estimates, studies generally 162 163 used Normalized Difference Vegetation Index (NDVI) or the Enhanced Vegetation Index (EVI), or their derivatives, such as the Vegetation Condition Index (VCI), and the Vegetation 164 Health Index (VHI; Graw et al., 2017; Kogan et al., 2004; Picoli et al., 2019; Vicente-Serrano, 165 166 2007). Aside from these greenness-based satellite proxies, indices related to the hydric status of vegetation, such as the Normalized Difference Water Index (NDWI) or Land Surface 167 Water Index (LSWI), have emerged in other studies (Bajgain et al., 2015; Picoli et al., 2019). 168 169 However, vegetation indices (VI), such as the NDVI, are used to represent multiple vegetation properties and do not always perform well in the assessment of drought when implemented in 170 other ecoregions (Bajgain et al., 2015; Ebrahimi et al., 2010; Maurer et al., 2020). These 171 172 discrepancies in methodological choices between studies limit the generalization of the published results and their comparison. 173

174 Thus far, the RS studies have attempted to identify the drivers of vegetation sensitivity to drought through a focus on specific categories of drivers, namely, the abiotic environment, 175 land management, and vegetation properties, usually in isolation. Some of these categories 176 177 have been understudied in grasslands. The investigated drivers are in topographic factors for forests and shrublands (Cartwright, 2020), and soil properties, such as the soil water holding 178 capacity for different land covers (Ji and Peters 2003; Thoma et al., 2019). Some studies 179 further considered the influence of land use (Burrell et al., 2020; Munson et al., 2016; 180 Tollerud et al., 2020) and, in the case of grasslands, the type of agricultural management 181 (Burrell et al., 2020; Catorci et al., 2021; Graw et al., 2017; Wagle et al., 2019). A final group 182 of studies has highlighted the importance of vegetation cover (De Keersmaecker et al., 2015) 183 and vegetation diversity (De Keersmaecker et al., 2016; van Rooijen et al., 2015) through the 184

lens of taxonomic diversity rather than functional diversity. These studies have contributed to
a better understanding of why some types of vegetation are more sensitive to drought than
others, although the influence of abiotic factors in grassland deserves more attention.
However, an important gap of knowledge remains in the assessment of the relative influences
of these different drivers – classified as pedoclimatic, agricultural management, and
biodiversity factors – at the same time.

191 In this study, we pursued two main objectives. First, we aimed to quantify the sensitivity of 192 managed grassland to drought at various timescales using satellite-based VI anomalies that were best related to irregularities of climatic water balance (i.e., SPEI). This was conducted 193 194 over a 34-year period for a vast geographic region predominantly covered by typical Western European grasslands managed for cattle and sheep breeding. Second, we aimed to assess the 195 196 relative influence of pedoclimate, agricultural management practices, and vegetation diversity 197 factors on grassland sensitivity to drought. To do so, RS-based assessments of sensitivity to drought were analysed against 29 grassland descriptors measured at the ground level for the 198 199 394 vegetation plots of the study area.

200

201 2. Material and methods

202

203 *2.1. Study area* 

The Massif central is a mountainous region ranging from 300 to 1,885 metres above sea level in France. It exhibits four climatic zones: mountainous and semi-continental in the major center areas, with influences of oceanic climate in both the northern and western parts, and of Mediterranean climate near the southeastern part (Joly et al., 2010). The mean annual cumulative precipitation, between 1985 and 2019, was 1,067 millimetres (mm) with a standard deviation of 348 mm, while the mean annual temperature was 9.3 °C with a standard

deviation of 1.96°C. The 85,000 square kilometres (km2) region is covered mostly by
managed perennial grasslands representing 60% of agricultural areas, which comprise one-

third of the French permanent grasslands.

Our analyses included a total of 143 grassland parcels. These parcels were homogenous 213 214 areas of management with heterogeneous vegetation, topography, and soil characteristics. An average of three vegetation plots were distributed within each grassland parcel (minimum of 215 one and maximum of 10 plots). The subsequent analyses, therefore, were based on the 394 216 217 vegetation plots distributed among the 143 parcels (Figure II - 1). These plots have an average area of 25 square metres (m2) and range from 2 to 100 m2. The sampling design aimed to 218 represent the main types of grassland vegetation within the Massif central region (Galliot et 219 al., 2020; Hulin et al, 2012, 2019; Le Hénaff et al., 2021). 220



221

Figure 1. Distribution of the grassland parcels and vegetation plots in the Massif central 222 region (France). The main map depicts the topographic elevation and relief from a 25 223 m x 25 m digital elevation model of the Copernicus Land Monitoring Service 224 (http://land.copernicus.eu/pan-european/satellite-derived-products/eu-dem/eu-dem-225 v1.1/view). The lower right inset map presents the vegetation plots found with a 226 parcel, together with the Landsat 30m x 30m pixel grid. 227 228 229 2.2. Data We collected satellite images and meteorological data from 1985 to 2019 for each of the 230 231 394 vegetation plots to quantify the temporal changes in vegetation reflectance and drought

severity, respectively. We further characterized the pedoclimate, agricultural management
practices, and vegetation diversity of these plots from ground observations collected by
several projects implemented in the region during the period of interest.

- 235
- 236

#### 2.2.1. Drought estimates over the 1985–2019 period

237 We built the time series of the local climatic water balance, computed as the difference 238 between precipitation and potential evapotranspiration (P-PET), during the 1985–2019 period. 239 To do so, we used the meteorological records from the Système d'Analyse Fournissant des Renseignements Adaptés à la Nivologie (SAFRAN) data for France (Durand et al., 1993). 240 241 SAFRAN provides daily information on a set of meteorological parameters in NetCDF or as raster with a spatial resolution of 8 km x 8 km. We checked the local uncertainty of the 242 SAFRAN estimates with spatially accurate daily records from a set of 140 local 243 244 meteorological stations within the Massif central region (Météo-France). Our comparisons revealed tight linear relationships between the two data sources, validating the use of 245 246 SAFRAN for assessing local variations of the climatic water balance in the study area 247 (Appendix A).

248

249 Modified standardized precipitation evapotranspiration index (SPEI)

250 We then quantified the drought severity with a modified version of SPEI. The original

version of this index is based on the long-term time series of the climatic water balance (Di),

which is the difference between the monthly precipitation (P) and potential evapotranspiration

- 253 (PET) measurements integrated over a given timescale of one, three, six, nine, 12 and 24
- months (Beguería et al., 2014; Pei et al., 2020; Vicente-Serrano et al., 2010; Zargar et al.,

255 2011). For example, a seasonal or three-month drought timescale is the integration of Di at a

256 given month and the two preceding months.

257 
$$D_i = P_i - PET_i$$
 where  $i = \text{month}$  (Equation 1)

258

To compare the surplus and deficit of the water balance between different sites with 259 different climates or dates, the aggregated Di values are standardized. To do so, the D time 260 series is fitted into a log-logistic distribution using a three-parameter probability distribution 261 function. The probability distribution of D is standardized to obtain the SPEI using the 262 263 approximation of Abramowitz and Stegun (1965). The statistical distribution seeks to define the normal expectation. Negative SPEI values indicate a deficit of the water balance with 264 265 respect to normal conditions, while positive values indicate a surplus of precipitation. Since the SPEI is multi-scalar, we could analyse the effect of different types of droughts (Vicente-266 267 Serrano, 2010) and discriminate between short and frequent water deficits (shortest timescales) and long and infrequent water deficits (longest timescales). 268 269 To address our objectives, we modified the classic SPEI in two ways. First, changes in grassland growth and conditions due to drought and precipitation occur at daily temporal 270 scales (Salehnia et al., 2018; Wang et al., 2015). Consequently, the impacts of short-duration 271 droughts (i.e., fewer than 30 days) will not be properly estimated by the monthly classic SPEI, 272 especially when such brief drought events are distributed between two consecutive months. 273 274 Accordingly, we used daily climate data and integrated for a given day the difference between P and PET over the 15, 30, 60, 90, or 120 preceding days. Second, the small number of D 275 276 observations can lead to a weak goodness-of-fit in the probability distribution step. In climate studies, the World Meteorological Organization (WMO) recommended a 30-year period of 277 climatic data when establishing climatic normal (Marchi et al., 2020; Rigal et al. 2019). 278 279 However, the climatic water balance across the years rarely exhibits a good and smooth distribution. Thus, instances with the classic SPEI may result in abrupt changes between 280

months or large differences with two adjacent months. For the modification, encouragement
was found from Russo et al. (2014) by defining a new set of data, Ad, in the following:

 $A_d = \bigcup_{y=1985}^{2019} \bigcup_{i=d-15}^{d+15} D_{y,i}$ 

(Equation 2)

286

285

with d, a given day, and Dy,i, the water balance of day i in year y. This new set of data A
(Equation 2) exhibits an increase in the number of observations, which helps improve the
goodness-of-fit of the log-logistic distribution used for the standardization procedure of the
SPEI.

We demonstrate in Figure 2 the differences between the classic and modified SPEI using 291 the 2003 and 2018 drought years in Europe (Buras et al., 2020). Both SPEIs are expressed in a 292 293 one-month or 30-day timescale, and both are based on a 34-year climatic water balance time 294 series within our study site. In relation to the concerns expressed in the previous section, we 295 first reveal a more detailed trajectory of drought severity along the dates of the modified 296 SPEI. By shifting from the use of monthly to daily climatic water balance data, the modified SPEI captured the minor drying and wetting events. Consequently, better transitions between 297 the months are prominent in the modified SPEI as compared to the classic SPEI. 298



299

Figure 2. Comparison of the classic (left) and modified (right) SPEI using the

301 2003 (top) and 2018 (bottom) drought years in Europe.

302

2.2.2. Standardized anomalies of vegetation reflectance over the 1985–2019 period 303 Similar to the estimation of drought severity with a modified version of the SPEI, we 304 computed the standardized anomalies of local vegetation reflectance indices. We first 305 extracted the reflectance bands of Landsat 4, 5, 7, and 8 over the period of 1985–2019 for 306 307 each of the 394 vegetation plots from Google Earth Engine (Gorelick et al., 2017) using the reticulate package in R (Ushey et al., 2022). Landsat images offer a sufficiently fine spatial 308 309 resolution (30 m x 30 m) to account for vegetation heterogeneity – in other words, they 310 discriminate between different vegetation plots within the same parcel, as depicted in Figure II - 1, and temporal resolution (16 days) to monitor vegetation reflectance changes over the 311 course of a growing season. These extractions resulted in a mean number of 519 cloud- and 312 313 snow-free images per vegetation plot.

We then computed the standardized reflectance anomalies of all 24 VIs (Appendix B)
related to vegetation properties, such as greenness, cover, moisture-content, and senescence

316	(Bajgain et al., 2015; Davidson et al., 2006; Wu, 2014). Here, we adapted the same
317	standardization procedure of our modified SPEI to quantify the deviation of VIs of a given
318	clear day – in other words, free of clouds or snow cover – to the statistical distribution of VIs
319	of the same day plus the 15 days before and after over the period of 1985–2019. This
320	standardization allowed the spatio-temporal comparisons among plots.
321	
322	2.2.3. Local properties of the grasslands
323	The local descriptions of the 394 vegetation plots were inherited from several past projects
324	that collected information on management activities, botanical composition, soil properties,
325	and topographic conditions between 2008 and 2019 (Galliot et al., 2020; Hulin et al., 2019).
326	
327	2.2.3.1. Pedoclimate
328	At the parcel level, the soil properties were assessed with a total of 11 physical and
329	chemical parameters. We considered direct soil measures such as the pH; carbon: nitrogen
330	ratio; concentration of phosphorus, potassium, and magnesium; soil organic carbon; and soil
331	organic nitrogen. We further derived variables that are well-recognized to influence the
332	response of vegetation to meteorological drought. First, we computed the soil water holding
333	capacity (SWHC) from the measured percentage of clay, percentage of sand, and bulk density
334	using a pedotransfer function developed and validated for French soils (Román Dobarco et al.,
335	2019). Second, we derived the aspect (expressed as 0 to 180 degrees from north to south,
336	respectively), elevation (in metres above sea level), and the Terrain Wetness Index (TWI;
337	Beven and Kirkby, 1979; Böhner and Selige, 2006) of the vegetation plots from the 25 m x 25
338	m spatial resolution digital elevation model from the Copernicus Land Monitoring Service.
339	
340	2.2.3.2. Agricultural management

Management information was collected in two phases; the first was in 2008–2009, then in 341 2016–2017. This information included the amount of nitrogen (N) fertilization, specific dates 342 of use, and type of use. We assumed from field experience and some farmer interviews that 343 344 these agricultural practices had seen minimal changes over the past 30 years, especially the use of herbage, and, therefore, may be representative of grassland management for the entire 345 period of 1985–2019. We then summarized these data to obtain: (i) the total amount of 346 nitrogen fertilization from the applied organic and inorganic nitrogen, expressed in kg ha<sup>-1</sup>; 347 (ii) the average number of uses per year based on the number of grazing rotations and 348 harvesting dates; (iii) the prominent type of use, computed as the difference between the total 349 350 number of grazing and mowing events for a two-year period, with positive values indicating the predominance of grazing, negative values the predominance of mowing, and zero equal 351 numbers of grazing and mowing events; and (iv) the date of first use expressed in cumulative 352 353 growing degree days. This was computed as the sum of the growing degree days of the date of first grazing or mowing event recorded for two years of monitoring and then averaged. 354 355 Expressing the date of first use in thermal time instead of Julian days allowed the comparison 356 between vegetation plots distributed along a large elevation gradient (Perronne et al., 2019), and minimize the effect of between-year variability of meteorological conditions. Indeed, the 357 358 farmers manage their parcels according to the grass growth which may lead to variation in 359 calendar dates of management events between years but not in cumulative growing degree days, or at least to a lesser extent. 360

361

362

#### 2.2.3.3. Vegetation diversity

Botanical surveys were conducted at the level of vegetation plots, in which all species were identified, and their local abundances were estimated visually. From these relevés (surveys), we first derived taxonomic diversity indices: species richness, the Shannon diversity index,

and Simpson's diversity index. Then, we used a trait database compiled for 1,300 plant 366 species of open habitats of the Massif central (Baseflor in Julve, 1998; DIVGRASS in 367 Carboni et al., 2016; Choler et al., 2014), together with the plot botanical records, to assess 368 369 local functional indices. We considered plant traits associated with growth syndromes (specific leaf area [SLA] and plant height), phenology (first flowering and length of flowering 370 periods in months), and reproductive ability (seed mass). We computed the community 371 weighted mean (CWM) of each trait, which is recognized to be associated with ecosystem 372 373 functions (Garnier et al., 2004; Grime, 1998) and grassland response to drought (Pérez-Ramos et al., 2012). We further assessed the functional diversity, which has been linked to the 374 ecosystem stability (Hallett et al., 2017), of each vegetation plot. We used the functional 375 dispersion index (Nunes et al., 2017) of each trait, plus a two-dimensional functional space 376 composed of plant height and SLA to summarize plant growth syndromes. 377

378

#### 379 *2.3. Statistical analyses*

380 The simplified workflow indicating the various analytical stages needed to quantify

381 grassland sensitivity to drought and to identify its drivers is presented in Figure 3. It includes

variable calculation and the variable selection procedure in the candidate statistical models.



383

Figure 3. Simplified workflow for assessing grassland sensitivity and its drivers. Grassland
sensitivity to drought, from Objective 1, was used as the response variable for Objective
2. The selected diversity, pedoclimate, and management factors from the respective submodels served as the explanatory variables.

388

#### 389 2.3.1. Computing remotely sensed grassland sensitivity to drought

Some studies have used statistical inference methods to relate grassland response with climatic variables (De Keersmaecker et al., 2016; Nanzad et al., 2019; Thoma et al., 2019) or drought severity (Jiao et al., 2019; Jiao et al., 2021; Li et al., 2015; Li et al. 2022; Maurer et al., 2020). Similar to these studies, we assessed the grassland sensitivity to drought as the slope of the linear relationship between the standardized VI anomalies and the modified SPEI (Li et al., 2022). As depicted in Figure 4, in the case of vegetation insensitive to drought, we

expect this slope to be not significantly different from zero and positive in the case of
sensitive vegetation to drought. This was done for each of the 394 vegetation plots using time
series data in the period 1985–2019 (Appendix C). The slopes per plot were estimated with a
mean number of 519 paired values of the standardized VI anomalies and the modified SPEI
falling within the growing season from March to November. The use of standardized indices
allowed the comparison of sensitivities among vegetation plots.

402



403

404 Figure 4. Low and high grassland sensitivities to drought for two selected timescales of
405 different sample plots. (The threshold for low sensitivity or insensitivity is below 0.1.)
406

The process described above was repeated for the 24 VIs across the five drought timescales, specifically, for 15, 30, 60, 90, and 120 days. We then assessed how the various VIs and drought timescales affected the estimated sensitivities to drought. To do so, we performed a two-way ANOVA with VIs and timescales as factors. The variance of the residuals, therefore, indicates the fluctuation among plots amid the variation due to methodological choices. The slope of the linear relationship between the standardized VI anomaly and the modified SPEI, used as an estimate of grassland sensitivity to drought, was assigned as the dependent variable in the subsequent analyses that sought to identify the drivers of grassland response to drought.

- 417
- 418

#### 2.3.2. Statistical modelling of grassland sensitivity to drought

419 We conducted a linear model selection procedure to quantify the influence of pedoclimatic characteristics, agricultural management, and vegetation diversity on the sensitivity to drought 420 of the 394 vegetation plots. We assigned the grassland sensitivity to drought – in other words, 421 422 the slope of the linear relationship between the VI anomaly and the modified SPEI – as the response variable and the pedoclimate, management, and diversity factors as the explanatory 423 variables (Figure 3). We compiled a total of 29 candidate variables (Table 1), all of which 424 425 were pre-selected based on their biological meaning and possible effect on grassland response to drought, as described in the local properties section (2.2.3). To avoid possible 426 427 multicollinearity, we first computed pairs correlation between the 29 variables. For pairs with 428 a Pearson correlation greater than 0.5, which is more conservative than the recommended 0.7 threshold (Graham, 2003), we removed the variable with the less tangible biological meaning. 429 430 Then, we conducted a two-stage selection procedure to seek the most explanatory model of vegetation plot sensitivity to drought. The first stage entailed selecting sub-models for each of 431 the three categories of explanatory variables, where vegetation plot sensitivities were also 432 433 used as the response variable. In doing so, we optimized the inclusion of the best predictors in the final model with similar weight between each category. The second stage consisted of 434 selecting the final linear model with all categories of the previously selected predictors. For 435 both stages, we performed backward and forward stepwise selection based on the Akaike 436 Information Criterion (AIC), which aims to maximize the goodness-of-fit of the final model 437

and minimize its complexity (Venables and Ripley, 2002). Such a procedure may lead to 438 competing models, with similar complexity and close explanatory power but a different 439 combination of predictors. These models have differences in AIC of less than 4 (Burnham and 440 441 Anderson, 2004). Among these models, we selected the ones with the greatest power of prediction to detect all significant drivers. To compare the effect size of various predictors, we 442 computed the beta coefficients from the selected models. Finally, we partitioned the variance 443 explained by pedoclimate, management, and vegetation diversity factors by partial regressions 444 445 of the final model. The partitions explained by the explanatory categories were assessed with the unbiased adjusted R<sup>2</sup> (Peres-Neto et al., 2006). 446

447 Note that these analyses were repeated for the most responsive VI-derived sensitivities and
448 at five different timescales of the modified SPEI. Since these analyses were conducted in the
449 linear regression framework, we visually checked for homogeneity of variances and normality
450 of the residuals (Appendix D).

451 Lastly, all analyses were performed within the R environment (R core Team 2021).

452

453 Table 1. List of the 29 grassland local properties used to predict grassland sensitivity to

454 drought of the vegetation plots in the Massif central region, France.

Туре	Variable	Unit	Definition	Level of measurement
	SWHC	$\mathrm{cm}^3\mathrm{cm}^{-3}$	Total water amount that the soil can store for plant use, computed using a pedotransfer function	Parcel*
	C:N	-	Ratio of carbon and nitrogen contents in the soil	Parcel*
e	K <sub>2</sub> 0	% of fine dry soil	Soil potassium content available for plants	Parcel*
loclimat	MgO	% of fine dry soil	Soil magnesium content available for plants	Parcel*
Ped	$P_2O_5$	% of fine dry soil	Soil phosphorus content available for plants	Parcel*
	pH	-	Acidity or alkalinity of the soil	Parcel*
	SON	%	Nitrogen content available in the soil organic matter	Parcel*

		SOC	%	Carbon content available in the soil organic matter	Parcel*
		TWI	-	Topographic wetness index, this was extracted using the SAGA TWI algorithm in QGIS	Plot**
		North- or south- facing slopes (or aspect)	degree	Azimuth direction of land surface exposure	Plot**
		Altitude	m.a.s.l.	Vertical distance from the Earth's surface to a point of interest	Plot**
		Date of first use	degree	Actual date of first defoliation or harvest; variable expressed in cumulative growing degree days	Parcel***
	ltural	Type of use	count	Number of uses as either more grazing (+), more mowing (-), or equal number (zero)	Parcel***
	Agricu	Mean number of uses	count	Mean of the total number of mowing and grazing dates	Parcel***
		Nitrogen fertilization	g.ha <sup>-1</sup>	Total organic and inorganic nitrogen applied in the field	Parcel***
		CWM length of flowering	month	Community weighted mean of flowering period duration	Plot****
		CWM first flowering	month	Community weighted mean of start of flowering period	Plot****
		CWM seed mass	mg	Community weighted mean of seed mass	Plot****
		CWM plant height	m	Community weighted mean of plant height	Plot****
		CWM SLA	m².kg <sup>-1</sup>	Community weighted mean of specific leaf area	Plot****
	/ersity	Fdis length of flowering	-	Functional dispersion of flowering period duration	Plot****
	tion div	Fdis first flowering	-	Functional dispersion of start of flowering duration	Plot****
	geta	Fdis seed mass	-	Functional dispersion of seed mass	Plot****
	Ve	Fdis plant height	-	Functional dispersion of plant height	Plot****
		Fdis SLA	-	Functional dispersion of specific leaf area	Plot****
		Fdis growth	-	Functional dispersion of growth syndromes	Plot****
		Species richness	-	Number of individual species in a community	Plot****
		Simpson's diversity index	-	Taxonomic measure relative to abundance within a community	Plot****
		Shannon diversity index	-	Taxonomic measure of diversity within a community	Plot****
455	*	Field meas	surements		
456	**	European	Union Digit	al Elevation Model	
457	***	Farmer int	erview		
458	****	Botanic rel	levés and tra	ait database.	
459					

- 460 **3. Results**
- 461

#### 462 *3.1. Variations of grassland sensitivity to drought*

The estimated grassland sensitivity to drought differed according to multiple sources of 463 variation, which could be decomposed between (i) the influence of the VI being used to assess 464 vegetation reflectance anomalies, (ii) the timescale of computation of the modified SPEI, and 465 466 (iii) the variability between vegetation plots, in other words, geographic variability. A twoway ANOVA revealed a significant effect of the VI being used (F [24, 49, 224] = 2,643, p < 467 0.001) with a sum of squares of 589.46 and a significant effect of the timescale (F [1, 49,224] 468 469 = 4,358, p < 0.001) with a sum of square of 40.5. The sum of squares of the residuals, corresponding to the geographic variation between vegetation plots, was 454.4. From this 470 analysis we can conclude that the VI being used was the most important source of variation of 471 472 the estimated sensitivities to drought in our study, closely followed by geographic variability, while the timescale was a far less important source of variation. 473 474 Among the 24 VIs used to quantify grassland sensitivity to drought, the NDWI and the Global Vegetation Moisture Index (GVMI) exhibited the highest slopes and goodness-of-fit 475 between the standardized VI anomalies and the modified SPEI (Figure 5). This indicates that 476 477 both VIs were the best to reveal vegetation response to variation in the climatic water balance. The slope values between the NDWI and the GVMI were highly correlated (r = 0.98) and 478 ranged between -0.1 and 0.58. However, values between -0.1 and 0.1 were not significantly 479 480 different from 0. Therefore, slopes below or equal to 0.1 are interpreted as insensitivity to drought. Slope values above 0.1 indicate that negative values of the modified SPEI – in other 481 482 words, climatic water balance lower than the normal expectation – are associated with negative NDWI or GVMI anomalies - in other words, the NDWI or the GVMI lower than the 483 normal expectation. Therefore, positive slopes above 0.1 are interpreted as a negative 484

response (i.e., sensitivity) of vegetation to drought (Figure 4). Despite the high responsiveness of the anomalies of these two moisture-based indices with the modified SPEI, their maximum  $R^2$  values were 0.35.



488

Figure 5. Comparison of grassland sensitivity to drought estimated from a number of
satellite-based VIs. The variability represented by the violin plots includes the
fluctuation among the 394 vegetation plots and the five drought timescales. The
descriptions of the VIs are available in Appendix B. Grouping labels at the top of the
graphs are Tukey test results.

The vegetation sensitivity to drought, as estimated with the NDWI or the GVMI, varied
somewhat between the timescales of calculation of the modified SPEI (Figure 6). The mean

497 sensitivity increased from 15 days to 60 days, and then slightly decreased for 90 and 120
498 days. Then, the geographic variation of sensitivity to drought (i.e., between vegetation plots)
499 was similar between all timescales with a standard deviation ranging from +/- 0.07 to 0.093.
500



Figure 6. Variability of grassland sensitivity to drought, as estimated from the linear
relationship between the standardized reflectance anomaly, using the NDWI (top)
and the GVMI (bottom), and standardized meteorological water balance index
(modified SPEI), compared among the different drought timescales. Variability was
measured with the standard deviation (std) among the vegetation plots (n = 394) per
timescale computation. Grouping labels at the top of the graphs are Tukey test
results.

#### *3.2. Drivers of grassland sensitivity to drought*

The best models depicting the effect of the pedoclimatic factors, management, and vegetation diversity on grassland sensitivity to drought estimated either with the NDWI or the GVMI were very close (Appendix E). The obtained  $R^2$  for the NDWI and the GVMI ranged from 0.35 to 0.62 and 0.37 to 0.59, respectively, depending on the timescale of calculation of the modified SPEI. For both indices, the highest  $R^2$  values were obtained from the short timescales of 30 and 15 days, while  $R^2$  values below 0.5 were obtained for the timescale > 60 days.

Hereinafter, we present the averaged model beta coefficients and averaged variance
partitions between the two selected indices in Figure 7 and Figure 8, respectively. Overall, we
found different sets of selected explanatory variables and explanatory powers depending on
the timescale of calculation of the modified SPEI.

We distinguished among three groups of predictors based on the beta coefficients across 522 523 the five timescales. The first group included four variables with similar effects, whatever the timescale considered. The date of first use by farmers had a strong (beta coefficient >0.35) 524 525 positive effect on grassland sensitivity to drought, with delayed use in the growing season 526 associated with high sensitivity to drought. The type of use - dominance of mowing or grazing – had a moderate and positive effect (0.10 < beta coefficient < 0.35), except for the 15 527 528 days timescale. This must be interpreted as a greater sensitivity to drought in grazed than in 529 mown grasslands. The nitrogen fertilization had a moderate but negative or mitigating effect (-0.35 < beta coefficient < -0.10) on sensitivity except for the 120 days timescale. It also 530 exhibited a slightly more negative beta coefficient for the 15 days timescale. 531

The second group included predictors with a clearly stronger effect at short timescales of 15 and 30 days. The most important in terms of effect size was the SWHC, which exhibited the strongest mitigating effect on grassland sensitivity to drought (-0.58 and -0.56). Southfacing slopes, a radiation exposure parameter, had a moderate positive effect (0.26 and 0.19),

536	while the CWM seed mass had a moderate but negative effect (-0.17 and -0.14) for the short
537	timescales. Finally, the soil content of MgO had a moderate positive effect (0.25) for the
538	shortest timescale of 15 days and a weak effect (below 0.1) for other timescales.
539	The third group involved five predictors with higher effects for long timescales. However,
540	these predictors had an overall weak (beta coefficient $< 0.10 $ ) to moderate effect on grassland
541	sensitivity. Four of them were descriptors of vegetation diversity. In order of importance, the
542	functional dispersion of growth syndromes (Fdis (growth)), had an increasing but moderate
543	negative effect ( $-0.35 < beta coefficient < -0.10$ ) on sensitivity as the timescale increased. The
544	CWM SLA had constant weak and negative effects from the 60 to 120 days timescales. The
545	CWM plant height also had a weak negative effect (-0.09) but only for the 120 days
546	timescale, and the Shannon diversity index had a weak positive effect (0.08) for the 90 and
547	120 days timescales. The fifth predictor of this group was the TWI with a weak (-0.07) and
548	moderate (-0.14) negative effect on grassland sensitivity for 60 and 120 days timescales,
549	respectively. Finally, the soil pH revealed an opposite weak effect for long timescales.



550

Figure 7. Beta coefficients of model predictors of grassland sensitivity to drought, averaged
between the NDWI- and GVMI-based models at different timescales. Negative beta
coefficients reduce sensitivity to drought, while positive values increase sensitivity.

554

555 The highlighted variations in effect size with timescale of the calculation of the SPEI

translated into changes in the partitions of variance explained by the pedoclimate,

557 management, and diversity of vegetation plots (Figure 8; Appendix F). The pure partition of

the pedoclimate was the most important for the short timescales of 15 and 30 days with

32.59% and 38.02%, respectively. These led to the higher explanatory power of the final 559 models with 57.57% and 68.69% of the variation of sensitivity to drought explained at the 15 560 and 30 days timescales, respectively, compared with the 36.06%, 22.21%, and 38.22% 561 562 explained total variances at the timescales of 60, 90, and 120 days. Other pure partitions did not change noticeably across the five timescales. The pure management effect explained 563 approximately 15% of the total variance for all timescales. Then the partitions associated with 564 565 diversity effects summed between 10% and 20% over the timescales but were largely shared 566 with the management effect.





568



570 The average NDWI- and GVMI-based model values at different timescales were used.

571 Model predictors were grouped into pedoclimate, management, and diversity categories.



Using long-term satellite image time series and meteorological data, we demonstrated the significant variability of grassland sensitivity to drought over a vast geographic region dominated by open habitats maintained for cattle and sheep grazing. We further quantified the influence of a set of factors related to the pedoclimate, agricultural management, and vegetation diversity on the assessed vegetation responses. We found that their relative effect and explanatory power varied with the duration and frequency of drought events.

580

581 *4.1. Quantifying geographic variations of grassland sensitivity to drought* 

We improved the current satellite-based methods of quantification of vegetation response 582 583 to drought in two ways. First, we demonstrated, based on the comparison of 24 VIs, that indices accounting for SWIR bands (shortwave infrared bands between 1.57 and 1.65 584 nanometres (nm) for SWIR1 and between 2.11 and 2.29 nm for SWIR2) outperformed other 585 586 indices for detecting the effects of meteorological drought on vegetated surfaces. Indeed, indices such as the NDWI and the GVMI were specifically developed for remote sensing of 587 588 vegetation water content (Ceccato et al., 2002; Gao 1996;) and have an immediate response to 589 moisture changes, while greenness indices - specifically, NDVI - exhibit lagged effects (Liu et al., 2017; Tong et al., 2017) and are not directly related to the hydric status of vegetation, 590 591 especially during moderate drought intensity (Bajgain et al., 2015; Chandrasekar et al., 2010; 592 Gu et al., 2007). Although many studies have proved the usefulness of greenness indices such as NDVI (Catorci et al., 2021; De Keersmaecker et al., 2016; Ji and Peters, 2003; Nanzad et 593 594 al., 2019) or EVI (Cabello et al., 2012; Cartwright et al., 2020; Munson et al., 2016; Zhou et 595 al., 2019), these were outperformed by moisture-based indices in this study. Second, we highlighted the importance of the timescale of calculation of standardized drought severity 596 597 indices such as the SPEI. The estimated sensitivities differed significantly between timescales ranging from 15 to 120 days. Generally, previous studies have considered only one timescale 598

(Horion et al., 2019; Hossain and Li, 2021; Lu et al., 2021; Maurer et al., 2020). Other studies
that scrutinized multiple timescales considered much coarser ones, than we did, with monthly
meteorological data (Almeida-Ñauñay et al., 2022; Li et al., 2015; Liu et al., 2017; Xu et al.,
2021).

Despite recent developments, satellite-based assessments of vegetation response to drought 603 604 may still suffer from a few limitations. First, the relationships between VI anomalies and the 605 modified SPEI were noisy overall. Indeed, anomalies of grassland reflectance may arise from 606 multiple natural phenomena, including pest attacks (e.g., voles increasing bare soil), vegetation diseases, or compositional changes in the vegetation. Anomalies of the climatic 607 608 water balance index (SPEI) were computed from the SAFRAN data with fine daily temporal resolution but coarse spatial resolution (8 km x 8 km grid). Despite the high correlation with 609 field meteorological stations (Appendix A), our estimates of the modified SPEI still may not 610 611 fully capture the fine-scale climatic variations, especially in mountainous regions. Second, our procedure for calculating the long-term normal reflectance of each day and each vegetation 612 613 plot tolerates the 30-day variation of grazing and mowing events between years. We assumed 614 that management practices were closely similar from 1985 to 2019, however, we cannot guarantee that sporadic changes in management over time have not occurred. Further 615 616 developments may address this issue in two ways: (i) detection of management events with fine temporal resolution satellite products (e.g., Sentinel 1 and 2; Griffiths et al., 2020; 617 Kolecka et al., 2018; Lobert et al., 2021), despite the fact that the temporal extents of Sentinel 618 619 images are currently too short – in other words, eight years for Sentinel 1 and seven years for 620 Sentinel 2 – to estimate the normal vegetation reflectance along the growing season, or (ii) precise recording of the daily sequence of practices along the growing season with the help of 621 farmers. Regarding other sources of disturbance, new RS techniques should be developed to 622 better discriminate the spectral signature of drought from other natural or anthropogenic 623

disturbances and stresses (McDowell et al., 2015). Despite these methodological limitations,
we argue that our procedure provided at least an unbiased, although noisy, estimate of
grassland sensitivity to drought. This allowed us to provide better understanding of its main
drivers.

628

629 *4.2. The strong pedoclimatic influence prevails at short timescales* 

630 We revealed the buffering effects of the soil water holding capacity (SWHC; Buttler et al., 2019; Thoma et al., 2019) and topographic exposure to solar radiation (Gharun et al., 2020; 631 Jiao et al., 2021; Yang et al., 2020) on vegetation sensitivity to climatic water balance deficit, 632 633 as demonstrated by previous studies. Obviously, these were highly expected. However, our findings further indicated that these strong buffering effects hold true only for short and 634 frequent droughts, then completely vanish from the 60 days timescale (Bodner et al., 2015; 635 636 Finn et al., 2018). Interestingly, for longer timescales, our results revealed the emerging but moderate role of the TWI. This indicates that large-scale hydrological processes related to 637 638 land surface topography may relay local pedoclimatic buffers when the water deficit becomes 639 too long, which may have implications for the management of agricultural drains. Indeed, such land preparation either hampers or promotes horizontal movements of water in soils. 640 641 Depending on the topographic context, the removal of an existing drain or the installation of new ones may thus help mitigate the impact of drought on grasslands. 642

The influence of soil chemical properties also prevailed for the short timescale. High
values of MgO and C:N ratio increased sensitivity to drought, especially for the 15-day
timescale, but the MgO influence was still significant for longer timescales. Magnesium
limitation is recognized to impede several ecophysiological processes that enhance drought
tolerance (Shao et al., 2021; Tränkner and Jaghdani, 2019; Waraich et al., 2011). In this
respect our results are contradictory. A first alternative explanation is that the selection of soil

magnesium (Mg) concentration in our model does not reflect an effect of this chemical 649 650 component on vegetation sensitivity to drought but is a consequence of repeated droughts in some of the vegetation plots. Indeed, it has been demonstrated that, under water deficit 651 652 conditions, Mg accumulates in the soil because of a reduced plant uptake (Sardans et al., 2008). A second alternative explanation is the influence of an unknown factor correlated with 653 654 soil Mg concentration. The soil C:N ratio response is directly modified by N fertilization 655 (Soussana and Lemaire, 2014), and it is expected to mirror fertilization response to drought 656 sensitivity, but in the opposite way because N is the denominator.

657

#### 4.3. On the importance of herbage use

The date of first use by farmers was the primary management factor explaining grassland 659 sensitivity to drought for whatever timescale of SPEI considered. This was expressed in 660 661 thermal time (cumulative growing degree days). Doing so, the date of first use better reflects grassland phenology than calendar dates and allows comparisons among plots located at 662 663 different altitudes while it minimizes the influence of between-year variation of meteorological conditions. Our results indicated that late agricultural uses during the growing 664 season were associated with higher sensitivity to drought. The effect of the date of first use on 665 666 grassland sensitivity to drought has not been tested in isolation thus far; instead, it is often 667 mixed with cutting frequency (Zwick et al., 2013). We may still interpret our result in light of the timing of herbage use and the occurrence of droughts during the growing season. The 668 timing of drought occurrence has already been highlighted to play a key role in drought 669 impacts on grasslands (Denton et al., 2017; Edwards and Chapman, 2011; Hahn et al., 2021). 670 Although droughts do not have identical occurrences between years, they often occur in late 671 672 spring and summer in the Massif central. Thus, late uses are more likely to coincide with strong water deficits. However, it is well recognized that defoliation combined with water 673

stress depletes carbohydrate reserves on which plant regrowth and stress tolerance depend
(Kahmen et al., 2005; Volaire et al., 1994) and lessens the maintenance of aboveground
productivity (Ma et al., 2020). Additionally, the influence of the date of use of farmers may
also arise indirectly from its effect on plant community structure, as we discuss in the next
section.

679 We further found strong evidence of greater sensitivity of vegetation to drought in 680 preferentially grazed paddocks than in preferentially mown ones. It should be noted that usually mown grasslands may be grazed early in spring or during the autumn regrowth. Our 681 results confirm previous findings from grassland experiments (Deléglise et al., 2015). The 682 683 role of repeated defoliation by grazers along the course of the growing season, compared to sudden cuts, tends to maintain grassland vegetation in the vegetative phase (Bloor et al., 2020; 684 Lei et al., 2016). As a result, plants allocate fixed carbon to leaf regrowth at the expense of 685 686 carbohydrate storage and root growth necessary to ensure soil water and nutrient uptake, which can reduce their tolerance to drought (Amiard et al., 2003; Frank, 2007; Xu et al., 687 688 2013). Nevertheless, further research is needed to determine whether grazing pressure has 689 additive or combined effects on the drought response of grasslands (Ruppert et al., 2015).

690

691 *4.4. The joint influence of vegetation diversity and agricultural management* 

Overall, vegetation diversity explained a substantial part of the variance of grassland
sensitivity to drought. Several descriptors had weak to moderate individual effects, but once
they were summed together, they had substantial effects, especially for longer timescales.
Such effects were largely shared with agricultural management. In this respect, we interpret
the role of vegetation diversity on grassland sensitivity to drought together with the effect of
N fertilization and the date of first use.
Our results suggest a complex cascade of effects involving the influence of N fertilization 698 699 on vegetation diversity and the influence of vegetation diversity on drought tolerance. We found that the Shannon diversity index increased grassland sensitivity to drought, whereas 700 701 functional diversity and N fertilization had the opposite effect. Regarding taxonomic diversity and N fertilization, our findings seem to contradict those of several grassland experiments 702 703 (Kübert et al., 2019; Bharath et al., 2020; Meng et al., 2021). However, N fertilization is also 704 recognized to reduce taxonomic diversity (Humbert et al., 2016; Louault et al., 2017; Niu et 705 al., 2014; Socher et al., 2013) but, at the same time, increase functional diversity of growth syndromes and the CWM SLA (Louault et al., 2017; Niu et al., 2014). Nevertheless, greater 706 707 functional diversity of growth syndromes may result in greater asynchrony of species responses to drought, which has been related to better grassland resilience (Loreau and de 708 Mazancourt, 2013; Muraina et al., 2021). The role of functional diversity has even been 709 710 suggested to be more important than the potential effect of taxonomic diversity on grassland stability (Valencia et al., 2020). Therefore, the positive effect of the Shannon diversity index 711 712 that emerged from our results may be interpreted as a spurious effect. We must warn that this 713 conclusion should be taken with caution for management recommendations. Indeed, the effect of N fertilization in other contexts or at much higher levels of application may reduce species 714 715 richness to a greater extent and result in a reduction of grassland functional diversity and, 716 ultimately, an increase in grassland sensitivity to drought.

Beyond the direct influence of the date of first use on sensitivity to drought, as discussed in
the preceding section, the greater sensitivity of late-use grasslands may also be mediated by
changes in vegetation. However, our results do not allow to infer the underlying causal
relationships. Delays in mowing or grazing have been demonstrated to increase taxonomic
diversity when postponed from early to late spring or summer (Humbert et al., 2012).
However, taxonomic diversity had only a weak effect in our study and, thus far, the

consequences of delaying mowing or grazing on functional diversity remain unknown.
Otherwise, delayed mowing or grazing may favor species with late phenology and reduce
light use efficiency (Gaujour et al., 2012), which may result in a lower CWM SLA. This is
consistent with our finding that lower drought sensitivity was associated with high SLA.
However, SLA reduction usually works as a phenotypic adjustment to water stress (Wellstein
et al., 2017), which contradicts our results.

729 Finally, we found that plant communities with heavier seeds were associated with lesser 730 sensitivity to drought. This has already been reported in semi-arid grasslands (Martínez-López et al., 2020) dominated by annual species. Indeed, in stressful conditions, the post-drought 731 732 establishment and survival of seedlings are more successful for large seeds that contain more reserves. Regeneration in permanent grasslands is mostly clonal and, in normal conditions, 733 depends more on buds than seeds (Benson and Hartnett, 2006). However, in a long-term 734 drought experiment conducted in mountainous grasslands dominated by perennials, Stampfli 735 and Zeiter (2004) found that post-drought vegetation dynamics were driven largely by 736 737 recruitment from seeds. We were unable to clearly discriminate how the CWM seed mass was 738 influenced by agricultural practices. Our result highlights the need to conduct new studies on drought mitigation through agricultural management, with an explicit focus on how different 739 740 practices influence the composition and diversity of the regeneration syndromes of grassland species. 741

742

## 743 **5.** Conclusions

Our study revealed high variability of satellite-based vegetation sensitivity to drought, at different timescales, across a wide geographic region dominated by permanent grasslands maintained for cattle and sheep breeding, using moisture-based reflectance indices retrieved from Landsat images. Through the indices, vegetation was most responsive to drought for the

60 and 90 days timescales. We demonstrated that variations of satellite-based sensitivity to 748 749 drought within and between grassland parcels can be explained by pedoclimatic, agricultural management, and vegetation diversity factors. We underlined that the soil water holding 750 751 capacity (SWHC) worked logically as a strong buffer for meteorological droughts but only for the shortest time scales of fewer than 30 days. Additionally, agricultural management had also 752 a strong influence, either independent or largely shared with vegetation diversity. This 753 754 suggests complex indirect effects involving changes in functional composition and diversity 755 of the grassland plant communities. Accordingly, such complexity may be disentangled by future experimental studies focusing on the ecological consequences of the timing of herbage 756 757 use, tests of interactions between several management practices, and analyses of multivariate causal relationships. Finally, better RS-based assessment of vegetation sensitivity to drought 758 is required to discriminate between drought events and other types of disturbances, whether 759 760 natural or agricultural.

761

### 762 Funding

The Embassy of France to the Philippines, under the PhilFrance program, provided the
stipend for Donald A. Luna (File number: 957025K), who is part of this research. Moreover,
this research did not receive any additional grants from funding agencies in the commercial or
not-for-profit sectors.

767

## 768 **Declaration of Competing Interest**

The authors declare that they have no known competing interests or personal relationshipsthat could have appeared to the work reported in this paper.

771

#### 772 Acknowledgements

773 The authors acknowledge the Agence Nationale de la Recherche of the French government 774 for the support received through the program "Investissements d'Avenir" (16-IDEX-775 0001CAP20-25), all the people and funders who were involved in the collection of ground data during the Prairies AOP, ATOUS, and AEOLE programs and projects, and to the 776 777 PhilFrance scholarship program. Special appreciation is given to INRAE AgroeClim service 778 unit and MeteoFrance for the field and SAFRAN meteorological data access. Lastly, the authors express their gratitude to the two anonymous reviewers for taking the time and effort 779 780 necessary to provide constructive comments and suggestions, which helped us to improve the 781 quality of the manuscript.

Appendices 782 783 Appendix A. Precipitation, potential evapotranspiration, and mean temperature correlation 784 between the SAFRAN and field meteorological stations within the Massif central region. 785  $\mathbf{R}^2$ Climatic variables Slope Intercept Precipitation (P) 0.25 mm 0.80 0.84 Potential evapotranspiration (PET) 0.88 0.90 0.24 mm Mean temperature 0.96 0.90 -1.33 °C 786 787 Appendix B. Satellite reflectance indices used in the study. Input bands were the blue (B), 788 green (G), red (R), near infrared (NIR), and shortwave infrared (SWIR) 1 and 2. 789 Index Formula Purpose / Description References (NIR - R) / (NIR + R)NDVI Commonly used for vegetation Rouse et al., biomass (green) 1974 (NIR - [(2 \* R) - B]) /Kaufman and ARVI Less sensitive to atmospheric Tanré, 1992 (NIR + [(2 \* R) - B])effects compared to NDVI

DVI	NIR – R	Differentiates vegetation and soil.	Richardson and Wiegand, 1977
EVI	2.5 * ([NIR - R] / [NIR + 6 * R - 7.5 * B + 1])	For canopy condition in high biomass areas	Huete et. al., 2002
EVI2	2.5 * ([NIR - R] / [NIR + (2.4 * R) + 1])	EVI without the blue band	Jiang et al., 2008
GCI	(NIR / G) - 1	For chlorophyll estimation	Gitelson et al., 2003
GEMI	n * (1 - 0.25 * n) - [(R - 0.125) / (1 - R)] where, n = [2 * (NIR <sup>2</sup> - R <sup>2</sup> ) + (1.5 * NIR) + (0.5 * R)] / (NIR + R + 0.5)	For vegetation cover; non-linear index	Pinty and Verstraete, 1992
GNDVI	(NIR - G)] / (NIR + G)	For chlorophyll estimation; NDVI using the Green instead of Red band	Gitelson et al., 1996
GVMI	([NIR + 0.1] - [SWIR2 + 0.02]) / ([NIR + 0.1] + [SWIR2 + 0.02])	For vegetation water content	Ceccato et al. (2002)
IPVI	NIR / (NIR + R)	For vegetation biomass	Crippen, 1990

([NIR / R] - 1) / sqrt([NIR / R] + 1)	For biophysical parameters	Chen, 1996
(1.5 * [1.2 * (NIR - G)] - [2.5 * (R - G)]) / sqrt([(2 * NIR) + 1]2 - [6 * NIR - (5 * sqrt(R)) - 0.5])	For green leaf area index (LAI) estimation	Haboudane et al., 2004
(SWIR1 - R) / (SWIR1 + R)	For senescence detection	Qi et al., 2002
(NIR - SWIR1) / (NIR + SWIR1)	For vegetation liquid water content; similar formula with Land Surface Water Index (LSWI)	Gao, 1996; Xiao et al., 2004
(NIR2 - R) / (NIR2 + R)	For vegetation cover; accounts for leaf angle distribution	Goel and Quin, 1994
(NIR – [SWIR1 - SWIR2]) / (NIR + [SWIR1 - SWIR2])	For soil and vegetation moisture	Wang and Qu, 2007
(G - R) / (G + R)	For plant nitrogen status	Filella et al., 1995
(NIR - R) / (NIR + R + 0.16)	For vegetation health; minimizes soil effect; standardized vegetation condition of 0.16	Rondeaux et al., 1996
(1 + L) * ([NIR - R] / [NIR + R + L]) Vegetation: Low (L = 1) Intermediate (L = 0.5) High (L = 0.25)	For vegetation health; minimizes soil effect	Huete, 1988
(NIR - B) / (NIR + B)	For vegetation phenology (bulk carotenoids to chlorophyll ratio)	Penuelas et al., 2011
NIR / (R + SWIR2)	For specific leaf area	Lymburner et al., 2000
NIR / R	For leaf area index	Jordan, 1969
sqrt (NDVI + 0.5)	For green leaf area index (LAI) estimation	McDaniel and Haas, 1982
(G - R) / (G + R - B)	Less sensitive to atmospheric effects; based on ARVI	Gitelson et al., 2002
	$([NIR / R] - 1) / sqrt([NIR / R] + 1)$ $(1.5 * [1.2 * (NIR - G)] - [2.5 * (R - G)]) / sqrt([(2 * NIR) + 1]^{2} - [6 * NIR - (5 * sqrt(R)) - 0.5])$ $(SWIR1 - R) / (SWIR1 + R)$ $(NIR - SWIR1) / (NIR + SWIR1)$ $(NIR - SWIR1) / (NIR + SWIR1)$ $(NIR - [SWIR1 - SWIR2]) / (NIR + [SWIR1 - SWIR2]) / (NIR + [SWIR1 - SWIR2]) / (NIR + [SWIR1 - SWIR2]) / (G - R) / (G + R)$ $(NIR - R) / (NIR + R + 0.16)$ $(1 + L) * ([NIR - R] / [NIR + R + L]) / Vegetation: Low (L = 1) Intermediate (L = 0.5) High (L = 0.25) / High (L = 0.25) / High (L = 0.25) / (NIR - B) / (NIR + B) / (NIR - B) / (NIR + B) / (NIR - B) / (NIR + B) / (NIR - B) / (G - R) / (G + R - B) / (G - R) / (G + R - B)$	([NIR / R] - 1) / sqrt([NIR / R] + 1) For biophysical parameters       (1.5 * [1.2 * (NIR - G)] - [2.5 * (R - G)]) / sqrt([(2 * NIR) + 1]2 - [6 * NIR - (5 * sqrt(R)) - 0.5]) For green leaf area index (LAI) estimation       (SWIR1 - R) / (SWIR1 + R) For senescence detection       (NIR - SWIR1) / (NIR + SWIR1) For vegetation liquid water content; similar formula with Land Surface Water Index (LSWI)       (NIR2 - R) / (NIR2 + R) For vegetation cover; accounts for leaf angle distribution       (NIR - [SWIR1 - SWIR2]) / (NIR + R) (G - R) / (G + R) For plant nitrogen status       (NIR - [SWIR1 - SWIR2]) / (NIR + R) For vegetation health; minimizes soil effect; standardized vegetation condition of 0.16 For vegetation condition of 0.16       (1 + L) * ([NIR - R] / [NIR + R + L]) Vegetation: Low (L = 1) Intermediate (L = 0.5) High (L = 0.25)       (NIR - B) / (NIR + B) For vegetation phenology (bulk carotenoids to chlorophyll ratio) For specific leaf area       NIR / R For leaf area index (LAI) estimation       (G - R) / (G + R - B) Less sensitive to atmospheric effects; based on ARVI



Appendix C. Time series of drought (top) and vegetation (bottom) conditions from 1985 to2019 of one sample plot.



Appendix D. Plots for the visual tests of the homogeneity of variances and normality of theresiduals of the final NDWI- and GVMI-based models.

# Appendix E. NDWI- and GVMI-based model summaries and beta coefficients

Modified SPEI 15 days		NDWI			GVMI	
Predictors	Beta coefficient	t value	Pr(> t )	Beta coefficient	t value	Pr(> t )
Date of first use	0.4391	5.546	0	0.3758	5.344	0
Type of use	0.0989	1.05	0.2956	-	-	-
Nitrogen fertilization	-0.1905	-2.517	0.0131	-0.2872	-3.953	0.0001
Mean number of uses	0.1063	1.372	0.1725	0.1226	1.538	0.1267
SWHC	-0.4728	-6.714	0	-0.6793	-7.757	0
MgO	0.1673	2.188	0.0305	0.3356	4.189	0.0001
C:N	-	-	-	0.3815	3.859	0.0002
TWI	-0.0576	-0.84	0.4025	-0.0885	-1.291	0.1993
South-facing slope	0.2393	3.562	0.0005	0.2729	3.936	0.0001
CWM (seed mass)	-0.1614	-1.964	0.0518	-0.1932	-2.422	0.0169
CWM (height)	-0.1173	-1.404	0.1629	-0.1123	-1.367	0.1741
CWM (SLA)	-	-	-	0.0736	0.934	0.3523
Fdis (growth)	-0.0782	-1.003	0.3177	-0.1011	-1.296	0.1974
Shannon diversity index	-0.1253	-1.522	0.1306	-0.0934	-1.126	0.2625
	$R^2$ :	0.519		$R^2$ :	0.5235	
	Adjusted R <sup>2</sup> :	0.4724		Adjusted R <sup>2</sup> :	0.4731	

Modified SPEI 30 days		NDWI			GVMI	
Predictors	Beta coefficient	t value	Pr(> t )	Beta coefficient	t value	Pr(> t )
Date of first use	0.4628	6.446	0	0.4442	5.803	0
Type of use	0.221	2.648	0.0091	0.2269	2.434	0.0164
Nitrogen fertilization	-0.1645	-2.285	0.024	-0.177	-2.388	0.0185
Mean number of uses	0.1109	1.618	0.1083	0.0774	1.072	0.286
SWHC	-0.5526	-8.839	0	-0.5577	-8.532	0
pH	0.0562	0.839	0.4032	0.0729	1.031	0.3044
MgO	0.1144	1.625	0.1067	0.1579	2.174	0.0316
South-facing slope	0.2006	3.373	0.001	0.188	3.058	0.0027
CWM (seed mass)	-0.1538	-2.322	0.0219	-0.1426	-2.079	0.0397
CWM (SLA)	-	-	-	-0.0841	-1.184	0.2387
Fdis (seed)	-0.1466	-2.49	0.0141	-	-	-
Fdis (growth)	-0.0893	-1.341	0.1825	-0.0613	-0.906	0.3669
Shannon diversity index	-0.0488	-0.684	0.4953	-0.0867	-1.168	0.245
	$R^2$ :	0.62		$R^2$ :	0.5955	
	Adjusted R <sup>2</sup> :	0.5833		Adjusted R <sup>2</sup> :	0.5563	

Modified SPEI 60 days		NDWI			GVMI	
Predictors	Beta coefficient	t value	Pr(> t )	Beta coefficient	t value	Pr(> t )
Date of first use	0.3587	3.971	0.0001	0.3748	4.202	0.0001
Type of use	0.1982	1.773	0.0786	0.2625	2.362	0.0197
Nitrogen fertilization	-0.1708	-1.894	0.0605	-0.1732	-1.903	0.0593
pH	0.1435	1.642	0.1031	0.1798	2.141	0.0342
MgO	0.1363	1.667	0.0979	0.1086	1.301	0.1957
C:N	-	-	-	-0.1223	-1.422	0.1575
TWI	-	-	-	-0.1348	-1.821	0.071
CWM (seed mass)	-0.2145	-2.469	0.0149	-0.1494	-1.834	0.069
CWM (SLA)	-0.0817	-0.901	0.3694	-0.1698	-1.868	0.0641
Fdis lengthflow	0.0711	0.79	0.4312	-	-	-
Fdis (growth)	-0.1606	-1.819	0.0714	-0.0823	-1.005	0.3169
Shannon diversity index	0.1009	1.128	0.2615	0.0499	0.55	0.5836
	$R^2$ :	0.3709		$R^2$ :	0.4039	

Adjusted R <sup>2</sup> :	0.321
Adjusted R <sup>2</sup> :	0.321

Adjusted  $R^2$ : 0.3514

Modified SPEI 90 days		NDWI			GVMI	
Predictors	Beta coefficient	t value	Pr(> t )	Beta coefficient	t value	Pr(> t )
Date of first use	0.394	4.374	0	0.3875	4.311	0
Type of use	0.1533	1.355	0.178	0.2089	1.927	0.0562
Nitrogen fertilization	-0.1206	-1.407	0.1618	-0.1759	-1.94	0.0546
Mean number of uses	-0.0922	-1.016	0.3118	-0.0876	-0.957	0.3405
pH	-	-	-	0.0768	0.884	0.3786
MgO	0.1078	1.263	0.2089	0.1064	1.244	0.2159
TWI	-	-	-	-0.119	-1.549	0.124
CWM (seed mass)	-0.0992	-1.058	0.2922	-	-	-
CWM (height)	-0.1471	-1.547	0.1243	-0.1107	-1.304	0.1946
CWM (SLA)	-0.1027	-1.204	0.2307	-0.1722	-2.004	0.0472
Fdis (growth)	-0.2052	-2.353	0.0202	-0.1495	-1.736	0.0851
Shannon diversity index	0.1652	1.809	0.0729	0.1166	1.25	0.2137
	$R^2$ :	0.3531		$\mathbf{R}^2$ :	0.3755	
	Adjusted R <sup>2</sup> :	0.3018		Adjusted R <sup>2</sup> :	0.3206	

Modified SPEI 120 days		NDWI			GVMI	
Predictors	Beta coefficient	t value	Pr(> t )	Beta coefficient	t value	Pr(> t )
Date of first use	0.5767	6.859	0	0.5008	5.793	0
Type of use	0.2317	2.272	0.0248	0.2372	2.274	0.0247
Nitrogen fertilization	-	-	-	-0.1021	-1.168	0.2449
Mean number of uses	-0.0714	-0.807	0.4213	-0.0796	-0.904	0.3676
SWHC	-0.138	-1.807	0.0731	-	-	-
pH	-0.1856	-2.302	0.023	-0.115	-1.376	0.1712
MgO	0.1643	1.975	0.0505	0.123	1.495	0.1374
TWI	-0.1372	-1.815	0.072	-0.1413	-1.908	0.0587
CWM (seed mass)	-0.0859	-0.966	0.3362	-	-	-
CWM (height)	-0.19	-1.943	0.0543	-0.1135	-1.314	0.1913
CWM (firstflow)	0.0899	1.031	0.3046	-	-	-
Fdis (seed)	-0.0783	-1.058	0.2919	-0.0416	-0.551	0.5828
Fdis (growth)	-0.2099	-2.582	0.011	-0.1531	-1.844	0.0676
Shannon diversity index	0.1714	1.989	0.049	0.113	1.245	0.2154
	$R^2$ :	0.4541		$\mathbf{R}^2$ :	0.4285	
	Adjusted R <sup>2</sup> :	0.3965		Adjusted R <sup>2</sup> :	0.3732	

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