

# Cyclitol metabolism is a central feature of Burkholderia leaf symbionts

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#### 23 Abstract

24 The symbioses between plants of the Rubiaceae and Primulaceae families with Burkholderia 25 bacteria represent unique and intimate plant-bacterial relationships. Many of these 26 interactions have been identified through PCR-dependent typing methods, but there is little 27 information available about their functional and ecological roles. We assembled seventeen 28 new endophyte genomes representing endophytes from thirteen plant species, including 29 those of two previously unknown associations. Genomes of leaf endophytes belonging to 30 Burkholderia s.l. show extensive signs of genome reduction, albeit to varying degrees. Except 31 for one endophyte, none of the bacterial symbionts could be isolated on standard 32 microbiological media. Despite their taxonomic diversity, all endophyte genomes contained 33 gene clusters linked to the production of specialized metabolites, including genes linked to 34 cyclitol sugar analog metabolism and in one instance non-ribosomal peptide synthesis. These 35 genes and gene clusters are unique within *Burkholderia s.l.* and are likely horizontally 36 acquired. We propose that the acquisition of secondary metabolite gene clusters through horizontal gene transfer is a prerequisite for the evolution of a stable association between 37 38 these endophytes and their hosts.

#### 39 Introduction

40 Interactions with microbes play an important part in the evolution and ecological success of 41 plants. For example, mycorrhizal associations are present in a vast majority of land plants, 42 and the association with nitrogen-fixing bacteria provided legumes with an important 43 evolutionary advantage (Brundrett, 1991; van Rhijn and Vanderleyden, 1995; Vessey et al., 44 2005; Smith and Read, 2008). Nevertheless, microbes may also be harmful for plants as 45 microbial pathogen interactions are responsible for major crop losses (Dangl and Jones, 46 2001; McCann, 2020). Many plant-microbe interactions only occur temporarily: contacts 47 between microbes and the host are often limited to a sub-population or a specific developmental phase of the host. However, in some associations microbes are transferred 48 49 from parents to offspring in a process called vertical transmission, resulting in permanent 50 associations with high potential for co-evolution (Gundel et al., 2017). While vertically-51 transmitted microbes are common in the animal kingdom, they have been more rarely 52 described in plants (Fisher et al., 2017).

53 A particular case of vertically transmitted microbes in plants are the bacterial leaf 54 endophytes found in three different plant families: the monocot Dioscoreaceae, and the 55 dicot Rubiaceae and Primulaceae. In the genera Psychotria, Pavetta, Sericanthe (Rubiaceae) 56 and Ardisia (Primulaceae) this association may manifest in the form of conspicuous leaf 57 nodules that house extracellular symbiotic bacteria (Miller, 1990; Van Oevelen et al., 2002; 58 Lemaire, Robbrecht, et al., 2011; Lemaire, Van Oevelen, et al., 2012; Ku and Hu, 2014). In 59 some of these systems, the symbiont was detected in seeds, indicating that they can be 60 transmitted vertically (Miller I. M., 1987; Sinnesael et al., 2018). Molecular analysis of the 61 leaf nodules revealed that all endophytes are members of the Burkholderia sensu lato, more 62 specifically to the newly defined Caballeronia genus (Van Oevelen et al., 2002; Ku and Hu, 63 2014). Similar leaf endophytes, also belonging to the Burkholderiaceae, are present in 64 Rubiaceae species that do not form leaf nodules, including some Psychotria species (Lemaire, 65 Lachenaud, et al., 2012; Verstraete et al., 2013). To date, only one symbiont of Rubiaceae 66 and Primulaceae has been cultivated: the endophyte of Fadogia homblei, which has been identified as Paraburkholderia caledonica (Verstraete et al., 2011). Interestingly, members of 67 68 P. caledonica are also commonly isolated from the rhizosphere or soil and have been 69 detected in leaves of some Vangueria species (Verstraete et al., 2014).

70 Speculations about possible functions of these leaf symbioses have long remained 71 unsubstantiated because efforts to isolate leaf nodule bacteria or to culture bacteria-free 72 plants were unsuccessful (Miller, 1990). Recently, sequencing and assembly of leaf symbiont 73 genomes of several Psychotria, Pavetta or Ardisia species allowed new hypotheses about the 74 ecological function of leaf symbiosis. Leaf symbiotic Candidatus Burkholderia crenata 75 associated with Ardisia crenata, are responsible for the production of FR900359, a cyclic depsipeptide with potent bioactive and insecticidal properties (Fujioka et al., 1988; Carlier et 76 77 al., 2016). Similarly, analysis of the genome of Ca. Burkholderia kirkii (Ca. B. kirkii), the leaf 78 symbiont of Psychotria kirkii, revealed a prominent role of secondary metabolism (Carlier 79 and Eberl, 2012). In this species, two biosynthetic gene clusters harboured on a plasmid 80 encode two homologs of a 2-epi-5-epi-valiolone synthase (EEVS). EEVS are generally required 81 for the production of cyclitol sugar analogs, a family of bioactive natural products with 82 diverse targets (Mahmud, 2003, 2009). Ca. B. kirkii is likely involved in the synthesis of two 83 cyclitol metabolites: kirkamide, a C<sub>7</sub>N aminocyclitol with insecticidal properties, and streptol

84 glucoside, a derivative of valienol with broad allelopathic activities (Sieber et al., 2015; 85 Georgiou et al., 2021). Similarly, representative genomes of Candidatus Burkholderia 86 humilis, Candidatus Burkholderia pumila, Candidatus Burkholderia verschuerenii, Candidatus 87 Burkholderia brachyanthoides, Candidatus Burkholderia calva and Candidatus Burkholderia 88 schumanniana associated with leaf nodules of various Psychotria and Pavetta species, 89 encode putative EEVS gene clusters (Pinto-Carbó et al., 2016). The broad conservation of 90 EEVS in otherwise small genomes suggests that  $C_7$  cyclitol compounds are important for leaf 91 symbiosis in these species.

92 C<sub>7</sub> cyclitols are a group of natural products derived from the pentose phosphate pathway 93 intermediate sedoheptulose-7-phosphate (SH7P) (Mahmud, 2003). Proteins of the sugar 94 phosphate cyclase family are key enzymes in the synthesis of  $C_7$  cyclitols. Enzymes of this 95 family catalyse the cyclization of sugar compounds, an important step in primary and 96 secondary metabolism (Wu et al., 2007). Within this family, three main categories of 97 enzymes use SH7P as a substrate: desmethyl-4-deoxygadusol synthase (DDGS), 2-epi-98 valiolone synthase (EVS) and 2-epi-5-epi-valiolone synthase (EEVS), of which EEVS is the only 99 known enzyme involved in C<sub>7</sub>N aminocyclitol synthesis (Osborn *et al.*, 2017). EEVS were 100 originally only found in bacteria, where they catalyse the first step in the biosynthesis of C7N 101 aminocyclitol secondary metabolites (Mahmud, 2003; Sieber et al., 2015). More recently, 102 EEVS homologs have been discovered in some Eukaryotes such as fish, reptiles, and birds as 103 well (Osborn et al., 2015, 2017).

104 A second common feature of the leaf endophytes in Rubiaceae and Primulaceae is their 105 reduced genomes. Leaf nodule Burkholderia symbionts of Rubiaceae and Primulaceae 106 typically have smaller genomes than free-living relatives, as well as a lower coding capacity 107 (Pinto-Carbó et al., 2016). This reductive genome evolution is thought to be a result of 108 increased genetic drift sustained in bacteria that are strictly host-associated, which leads to 109 fixation of deleterious and/or neutral mutations and eventually to the loss of genes 110 (Pettersson and Berg, 2007). This process is best documented in obligate insect symbionts 111 such as Buchnera and Serratia, endosymbionts of aphids, or in Sodalis-allied symbionts of 112 several insect groups (Shigenobu et al., 2000; Toh et al., 2006; Manzano-Marín et al., 2018). 113 Some of these symbionts have extremely small genomes and may present an extensive 114 nucleotide bias towards adenosine and thymine (AT-bias) (Moran et al., 2008). The process

115 of genome reduction has multiple stages: first, recently host-restricted symbionts begin 116 accumulating pseudogenes and insertion elements (McCutcheon and Moran, 2011; Lo et al., 117 2016; Manzano-Marín and Latorre, 2016). Non-coding and selfish elements eventually get 118 purged from the genomes over subsequent generations, which together with the general 119 deletional bias in bacteria results in a decrease in genome size (Mira et al., 2001). This 120 ultimately leads to symbionts with tiny genomes, retaining only a handful of essential genes 121 necessary for survival or performing their role in the symbiosis. This process has been well 122 documented in the leaf nodule symbionts of *Psychotria*, *Pavetta* and *Ardisia* species, but 123 little is known about the genomes and functions of endophytes in species that do not form 124 leaf nodules, notably Rubiaceae species of the Vangueria and Fadogia genera.

Here, we performed a comparative study of Rubiaceae and Primulaceae leaf endophytes
from leaf nodulating and non-nodulating plant species using genomes assembled from
shotgun metagenome sequencing data as well as isolates. We constructed a dataset of 26
leaf symbiont genomes (17 of which from this study) from 22 plant species in 5 genera. All
leaf symbionts show signs of genome reduction, in varying degree, and horizontal acquisition
of secondary metabolite clusters is a universal phenomenon in these bacteria.

#### 131 Material and Methods

#### 132 Sample collection and DNA extraction

133 Leaves of Rubiaceae and Primulaceae species were freshly collected from different locations 134 in South Africa or requested from the living collection of botanical gardens (Table S1). 135 Attempts to isolate the endophytes were made for all fresh samples collected in South Africa 136 (Table S1). Leaf tissue was surface sterilized using 70% ethanol, followed by manual grinding 137 of the tissue in 0.4% NaCl. Supernatants were plated on 10% tryptic soy agar medium (TSA, 138 Sigma) and R2A medium (Oxoid) and incubated at room temperature for 3 days or longer 139 until colonies appeared. Single colonies were picked and passaged twice on TSA medium. 140 Isolates were identified by PCR and partial sequencing of the 16S rRNA gene using the pA/pH 141 primer pair (5'-AGAGTTTGATCCTGGCTCAG and 5'-AAGGAGGTGATCCAGCCGCA) (Edwards et 142 al., 1989). PCR products were sequenced using the Sanger method at Eurofins Genomics 143 (Ebersberg, Germany). DNA was extracted from whole leaf samples as follows. Whole leaves 144 were ground in liquid nitrogen using a mortar and pestle. Total DNA was extracted using the

protocol of Inglis et al. (Inglis *et al.*, 2018). Total DNA from a *Fadogia homblei* isolate was
extracted following Wilson (Wilson, 2001). Sequencing library preparation and 2x150 pairedend metagenome sequencing was performed by the Oxford Wellcome Centre for Human
Genetics or by Novogene Europe (Cambridge, UK) using the Illumina NovaSeq 6000.
Sequencing reads were classified using Kraken v2.1.2 against a custom database comprising
complete prokaryotic and plastid genome sequences deposited NCBI RefSeq (accessed
4/4/2021), and visualised using KronaTools v2.7.1 (Ondov *et al.*, 2011; Wood *et al.*, 2019).

152 Isolation of bacteria

153 Fresh leaf tissue was first washed in running tap water and surface-sterilized for 5 min in a 154 1.4% solution of sodium hypochlorite followed by 5 min in 70% ethanol. Leaves from a single 155 plant were processed separately to prevent cross-contamination. Tissue was rinsed in sterile 156 distilled water twice and ground using a sterile mortar and pestle in aseptic conditions. 157 Macerates were resuspended in 1 -5 mL of sterile 0.4% NaCl and serial dilutions were spread 158 onto R2A agar (Reasoner and Geldreich, 1985) and 10% tryptic soy agar (10% TSA; 10% 159 tryptic soy broth, Oxoid, Thermo Scientific, 18 g L–1 agar) and incubated at 28°C for a week. 160 Colonies were picked as they appeared, streaked out on TSA and incubated at room 161 temperature. Strains were passaged three times on TSA prior to preservation at -80°C in 162 tryptic soy broth supplemented with 20% glycerol.

### 163 Bacterial genome assembly

164 Sequencing reads were trimmed and filtered using fastp v0.21.0 with default settings, 165 retaining reads with a minimum Phred score of 15 and less than 40% of bases failing the 166 quality threshold (Chen et al., 2018). Overlapping paired-end reads were merged using 167 NGmerge v0.3 with default settings (Gaspar, 2018). Reads derived from isolates were 168 assembled using Skesa v2.4.0 using default settings (Souvorov et al., 2018). Assembly 169 statistics were compiled using Quast v5.1.0 (Gurevich et al., 2013). For sequencing reads 170 derived from new leaf samples, metagenome assemblies were created using metaSPAdes 171 v3.15 on default settings but including the merged reads (Nurk *et al.*, 2017). Metagenomes 172 were binned using Autometa v1.0.2, using a minimal contig length of 500 bp, taxonomy 173 filtering (-m) and maximum-likelihood recruitment (using the -r option)(Miller et al., 2019). 174 Genome bins identified as Caballeronia, Paraburkholderia, or Burkholderia by Autometa

175 were further assembled by mapping the original reads to these bins using smalt v0.7.6 176 (Ponsting and Ning, 2010). Mapped reads were extracted using samtools v1.9 (Li et al., 2009) 177 and reassembled using SPAdes v3.15 (Bankevich et al., 2012) in default settings but using the 178 --careful option, and binned again using Autometa. Contigs likely derived from eukaryotic 179 contamination were removed after identification by blastn searches (e-value < 1e<sup>-6</sup>) against 180 the NCBI nucleotide database (accessed January 2021) (Camacho et al., 2009). Per-contig 181 coverage information was calculated using samtools and contigs with less than 10% or more 182 than 500% of the average coverage were manually investigated, and sequences likely 183 derived from other bacterial or eukaryotic genomes were removed. The metagenome 184 assembly approach was validated using the *Fadogia homblei* PRU 128010 dataset (Table S1) 185 to compare the Paraburkholderia caledonica metagenome-assembled genome (MAG) to the 186 genome sequence of strain Paraburkholderia caledonica R-82532 isolated from the same 187 source material. MAG sequences of *F. homblei* endophytes contained 100% of the sequences 188 of the R-82532 isolate genome, with only a small excess of contaminating sequences before 189 manual filtering (MAG size = 8.90 Mb vs 8.71 Mb for the R-82532 assembly, with 100% 190 average nucleotide identity on shared sequences).

191 To provide a more homogenous dataset for comparative genomics, Illumina read data for six 192 previously published Rubiaceae symbionts, and the symbionts of Ardisia crenata and 193 Fadogia homblei were re-assembled as above but using the published draft genomes as 194 trusted contigs for both metaSPAdes and SPAdes assemblies (Table S2). The resulting 195 assemblies were compared to the published assemblies using dotplots created by MUMmer 196 v3.1 (Marçais et al., 2018). Genome assemblies of the symbionts of Psychotria kirkii (Carlier 197 and Eberl, 2012; Carlier et al., 2013) and Psychotria punctata (Pinto-Carbó et al., 2016) were 198 downloaded from Genbank (Table S2). To assess whether the (re-)assembled genomes or 199 MAGs represent new species, genomes were analysed using TYGS (Type Strain Genome 200 Server) (Meier-Kolthoff and Göker, 2019), and NCBI Blastn-based Average Nucleotide 201 Identities (ANI) values calculated using the JSpecies web server, accessed Sept. 2021 (Richter 202 et al., 2016) and the pyANI python package v0.2 (https://github.com/widdowquinn/pyani).

## 203 Genome annotation and pseudogene prediction

Assembled genomes were annotated using the online RASTtk pipeline (Brettin *et al.*, 2015), using GenemarkS as gene predictor, and locus tags were added using the Artemis software 206 v18.1.0 (Carver et al., 2012). Prediction of pseudogenes was performed using an updated 207 version of the pseudogene prediction pipeline previously used for leaf symbionts (Carlier et 208 al., 2013). Briefly, orthologs of predicted proteins sequences of each genome in a dataset of 209 published Burkholderia genomes (Table S3) were determined using Orthofinder v2.5.2 210 (Emms and Kelly, 2019) with default settings. The nucleotide sequences of each gene, 211 including 200bp flanking regions (the query), were aligned to the highest scoring amino acid 212 sequence in each orthogroup (the target) using TFASTY v3.6 (Pearson, 2000). Genes were 213 considered as pseudogenes if the alignment spanned over 50% of the query sequence and 214 the query nucleotide sequence contained a frameshift, or a nonsense mutation resulting in 215 an uninterrupted alignment shorter than 80% of the target sequence. Moreover, ORFs were 216 classified as non-functional if at least one of the following criteria was true: amino acid 217 sequence shorter than 50 residues which did not cluster in an orthogroup, and sequence 218 without any significant blastx hit against the reference database (e-value cut off = 0.001); 219 proteins without predicted orthologs in the Burkholderia dataset, but which showed a blastx 220 hit against the reference set in an alternative reading frame; and finally proteins without any 221 hit in the Burkholderia genome database or in the NCBI nr database. Blastx and blastp 222 searches were performed using DIAMOND v2 (Buchfink et al., 2021). For the genomes of the 223 symbionts of *P. kirkii* and *P. punctata* the original gene and pseudogene predictions were 224 used. Insertion elements in both newly assembled and re-assembled genomes were 225 predicted using ISEscan v1.7.2.3 with default settings (Xie and Tang, 2017).

226

Phylogenetic analysis

227 16S rRNA sequences were extracted from the endophyte (meta)genomes using Barrnap v0.9 228 (https://github.com/tseemann/barrnap). For genomes where no complete 16S rRNA could 229 be detected, reads were mapped to the 16S rRNA gene of the closest relative with a 230 complete 16S rRNA sequence. These reads were assembled using default SPAdes (Prjibelski 231 et al., 2020) using the --careful option. Near complete (>95%) 16S rRNA sequences could be 232 extracted using these methods, except for the hypothetical endophyte of *Pavetta revoluta*. 233 The 16S rRNA sequences were identified using the EzBiocloud 16S rRNA identification service 234 (https://www.ezbiocloud.net/identify). Phylogenetic analysis of the leaf endophytes and 235 Burkholderia s.l. genomes was performed using the UBCG pipeline v3.0 (Na et al., 2018). The 236 pipeline was run using the default settings, except for the gap-cutoff (-f 80). The resulting

237 superalignment of 92 core genes was used for maximum-likelihood phylogenetic analysis 238 using RAxML v8.2.12, using the GTRGAMMA evolution model, and performing 100 bootstrap 239 replications (Stamatakis, 2014). Plastid reference alignments were created using Realphy 240 v1.12 using standard settings and the *Coffea arabica* chloroplast genome (NCBI accession 241 NC 008535.1) as reference (Bertels et al., 2014). Published chloroplast genomes of Ardisia 242 mamillata (NCBI accession MN136062), Psychotria kirkii (NCBI accession KY378696), Pavetta 243 abyssinica (NCBI accession KY378673), Pavetta schumanniana (NCBI Accession MN851271), 244 and Vangueria infausta (NCBI accession MN851269) were also included in the alignment. 245 Phylogenetic trees were constructed using PhyML v3.3.3 with automatic model selection, 246 and 1000 bootstrap replicates (Guindon et al., 2010). For plant species with uncertain 247 taxonomic identification, seven plant markers were extracted by blastn searches against the 248 metagenome: ITS, nad4, rbcL and rpl16 of *Pavetta abyssinica* (NCBI accessions MK607930.1, 249 KY492180.1, Z68863.1, and KY378673.1), matK from *Pavetta indica* (NCBI accession 250 KJ815920.1), petD from *Pavetta bidentata* (NCBI accession JN054223.1), and trnTF from 251 *Pavetta sansibarica* (NCBI accession KM592134.1).

252 Core-genome phylogenies of symbiont genomes were constructed by individually aligning 253 the protein sequences of all single-copy core genes using MUSCLE v3.8.1551, back-254 translating to their nucleotide sequence using T-Coffee v13.45 (Di Tommaso et al., 2011), 255 and concatenating all nucleotide alignments into one superalignment using the AlignIO 256 module of Biopython 1.78 (Cock et al., 2009). Maximum-likelihood phylogenetic analysis was 257 performed using RAxML, using the GTRGAMMA evolution model, 100 bootstrap replicates, 258 and using partitioning to allow the model parameters to differ between individual genes. 259 Phylogenetic trees were visualised and edited using iTOL (Letunic and Bork, 2019).

260 *Comparative genomics* 

Ortholog prediction between leaf symbiont genomes and a selection of reference genomes of the *Burkholderia, Paraburkholderia* and *Caballeronia* genera (BPC-set; selected using NCBI datasets tool (https://www.ncbi.nlm.nih.gov/datasets/genomes); Table S3) was performed using Orthofinder v2.5.2 using default settings (Emms and Kelly, 2019).For the leaf endophytes, predicted pseudogenes were excluded from the analysis. The core genome of a certain group was defined as the number of orthogroups containing genes of all genomes in the group. Core genome overlap was visualised in Venn diagrams using InteractiVenn 268 (Heberle et al., 2015). Non-essential core genes were identified by blastp searches against 269 the database of essential genes (DEG)(Zhang, 2004), identifying as putative essential genes ORFs with significant matches in the database (e-value < 1e<sup>-6</sup>). Standardised functional 270 271 annotation was performed using eggNOG-mapper v2.1.2 (Huerta-Cepas et al., 2019; 272 Cantalapiedra et al., 2021). Enrichment of protein families in leaf symbiont genomes was 273 determined by comparing the proportion of members of leaf symbionts and the BPC-set in 274 orthogroups. Enriched KEGG pathways were identified by comparing the average per-275 genome counts of genes in every pathway between leaf symbiont genomes and genomes 276 from the BPC-set. Presence of motility and secretion system clusters was investigated using 277 the TXSScan models implemented in MacSyFinder (Abby et al., 2014, 2016). Homologues of 278 the Ca. B. kirkii UZHbot1 putative 2-epi-5-epi-valiolone synthase (EEVS) were identified by 279 blastp searches against the proteomes of the leaf symbiont genomes (e-value cut-off: 1e<sup>-6</sup>). 280 Putative EEVS genes were searched against the SwissProt database, and functional 281 assignment was done by transferring the information from the closest match within the 282 sugar phosphate cyclase superfamily (Schneider et al., 2004; Osborn et al., 2017). Contigs 283 containing these genes were identified and extracted using Artemis, and aligned using 284 Mauve (Lòpez-Fernàndez et al., 2015). Gene phylogenies were constructed by creating 285 protein alignments using MUSCLE followed by phylogenetic tree construction using FastTree 286 v2.1.9 (Price *et al.*, 2009), including the protein sequences of three closely related proteins in 287 other species, determined by blastp searches against the RefSeq protein database (accessed 288 July 2021). The data generated in this study have been deposited in the European Nucleotide 289 Archive (ENA) at EMBL-EBI under accession number PRJEB52430

290 (https://www.ebi.ac.uk/ena.browser/view/PREJB52430).

## 291 GC-MS analysis of kirkamide

Extracts were derivatised with N-methyl-N-(trimethyl-silyl)-trifluoroacetamide (MSTFA, Merck
Ltd) according to the method of Pinto-Carbó *et al.* (2016). Three replicates of the plant extracts
were derivatised from a concentration of 1 mg/ml in 2 ml double distilled water as follows:
The extracts were filtered through 0.22 μm syringe fitted filters and 100 μl transferred to 2.0
ml screw top glass vials with 200 μl inserts and dried overnight under a nitrogen stream. The
residues were dissolved in 50 μl MSTFA, vortexed for two minutes, left at 70 °C for one hour
and then 50 μl pyridine was added as the solute. The derivatised samples were analysed on a

299 Shimadzu GC-MS-QP2010 (Shimadzu Corporation, Japan) with ionization energy set at 70 eV. 300 The compounds were separated using a Rtx – 5MS column (29.3 m x 250  $\mu$ m x 0.25  $\mu$ m i.d.; 301 0.25  $\mu$ m df) with helium as the carrier gas. Splitless injections of 1  $\mu$ l were performed, with 302 the column flow set to linear velocity. Sampling time was set to 2 min, with the solvent cut-303 off time set to 3.5 min. The injector and interface temperatures were set at 250°C. The GC 304 oven temperature program was set to an initial 40°C and held for 1 min, thereafter it was 305 increased to 330°C at a rate of 7°C min<sup>-1</sup> which was held for 10 min, bringing the total run time 306 to 52 min. The MS ion source and interface temperatures were set to 250°C. The detector 307 voltage was set to 0.1 kV, relative to the instrument tuning results. The mass-to-charge ratio 308 (m/z) detection was set to start at 7 min (ensuring complete solvent elimination) and ranged 309 from 45 to 650 m/z with a scan speed of 2 500 aum s<sup>-1</sup>. Pyridine was used as a blank at the 310 start of the analysis to observe any instrumental errors.

## 311 UPLC-QToF-MS analysis of streptol and streptol glucoside

312 The presence of underivatised streptol and streptol glucoside in the plant extracts was 313 analysed using a Waters Synapt G2 high-definition mass spectrometry (HDMS) system (Waters 314 Inc., Milford, Massachusetts, USA). The apparatus consists of a Waters Acquity UPLC 315 connected to a quadropole-time-of-flight (QToF) instrument. The method of Georgiou et al. 316 (2021) was followed for the detection of streptol and streptol glcoside in negative mode [M-317 H]<sup>-</sup>. The samples were analysed using a Luna Omega 1.6  $\mu$ m C<sub>18</sub> 100 A, 100 x 2.1 mm 318 (Phenomenex, Separations) column and a solvent system that consisted of MeCN:H<sub>2</sub>O (A, 8:2, 319 0.1 % NH<sub>4</sub>OAc) and MeCN:H<sub>2</sub>O (B, 2:8, 0.1 % NH<sub>4</sub>OAc). The gradient was set to start at 95 % of 320 B and to decrease to 50 % of B in 7 min, for the next 2 min the gradient was kept at 50 % of B, 321 the gradient was then gradually decreased from 50 % to 5 % of B for the next 3 min and was 322 followed by a column wash for the next 2 min giving a total run time of 12 min. The column 323 temperature was 40 °C, injection volume 7 µl and the flow rate 0.3 ml min<sup>-1</sup>. Mass to charge 324 ratios (m/z) were recorded between 50 and 1 200 Da. High energy collision induced 325 dissociation (CID) was used for tandem MS fragmentation. The collision energy for the 326 ramping was set to increase from 10 V to 20 V in order to get a range of data. The full scan MS 327 data was recorded from the QTOF-MS and XICs (extracted ion chromatograms) were used for 328 processing the data to single out the ions of interest. In some instances targeted MS/MS 329 spectra were employed for the detection of streptol. Presence of streptol was determined by the presence of spectral features with ion fragments at 85, 108, 111, 121 and 175 m/z and a monoisotopic mass of 175.06119 (± 5 ppm). Presence of streptol-glucoside was determined by the presence of spectral features with ion fragments at 112, 139, 175 and 337 m/z and a monoisotopic mass of 337.1140 (± 5 ppm).

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335 Results

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## Detection and identification of leaf endophytes

337 To gain insight into potential association of various Primulaceae and Rubiaceae species with 338 Burkholderia s.l. endosymbionts, we collected samples from 16 Rubiaceae (1 Fadogia sp., 5 339 Pavetta spp., 2 Psychotria spp., and 8 Vangueria spp.) and 3 Primulaceae (3 Ardisia spp.) 340 species (Table S1). We extracted DNA from entire leaves and submitted the samples to 341 shotgun sequencing without pre-processing of the samples to remove host or organellar 342 DNA. We found evidence for endophytic Burkholderia in 14 out of 19 species investigated 343 (Table S1). In these samples, the proportion of sequencing reads identified as 344 Burkholderiaceae ranged from 5% to 57% of the total, except for the Pavetta revoluta 345 sample (0.4%) and 1 of 2 Vangueria infausta samples (0.9%). Analysis of 16S rRNA sequences 346 revealed 100% pairwise identity over 1529 bp suggesting that the same endophyte species 347 was present in both V. infausta samples. In Pavetta revoluta, the closest relative of the leaf 348 endophyte based on 16S rRNA sequence similarity was Caballeronia calidae (98.89% identity 349 over 808 bp; Table S1). Of the nine species with significant amounts of Burkholderia s.l. reads 350 and for which isolation attempts were made (Table S1), only the endophyte of Fadogia 351 homblei could be cultured (isolate R-82532). Leaf samples of four species (Psychotria 352 capensis, Psychotria zombamontana, Pavetta ternifolia, and Pavetta capensis) contained low 353 amounts of bacterial DNA (<2% of reads), and likely do not have stable symbiotic endophyte 354 associations. Seven percent of the reads obtained from the Pavetta indica sample were 355 classified as bacterial, but with a diverse range of taxa present indicating possible 356 contamination with surface bacteria (Figure S1). Plastid phylogenies indicated that samples 357 attributed to Pavetta capensis and Pavetta indica did not cluster with other Pavetta species 358 (Figure S2). Analysis of genetic markers revealed that our *Pavetta indica* sample was likely a 359 misidentified Ixora species. Analysis of Pavetta capensis marker genes revealed the

specimen is likely part of the Apocynaceae plant family, with a 100% identity match against
the *rbcL* sequence of *Pleiocarpa mutica*. These samples were not taken into account in
further analyses.

363 Analysis of the 16S rRNA sequences extracted from metagenome-assembled genomes 364 (MAGs) identified all leaf endophytes as Burkholderia s.l. (Table S1). Phylogenetic analysis 365 shows that all endophytes of *Psychotria, Pavetta*, and *Ardisia* cluster within the genus 366 Caballeronia, while the endophytes of Vangueria and Fadogia belong to the 367 Paraburkholderia genus (Figure 1A). All endophytes of Ardisia are closely related to each 368 other and form a clade with Caballeronia udeis and Caballeronia sordidicola. Based on the 369 commonly used ANI (95-96%) cut-off (Richter and Rossello-Mora, 2009), these endophytes 370 are separate species from C. udeis and C. sordidicola (ANI <94%; 16S rRNA sequence identity 371 <98.4). The endophytes of Ardisia crenata and Ardisia virens are very closely related and 372 belong to the same species: Ca. Burkholderia crenata (ANI >99%; 16S rRNA sequence 373 identity 99.8%) (Table S4). Similarly, the endophytes of Ardisia cornudentata and Ardisia 374 mamillata belong to the same species (ANI = 95.56%), which we tentatively named Ca. 375 Caballeronia ardisicola (species epithet from Ardisia, the genus of the host species, and the 376 Latin suffix - cola (from L. n. incola), dweller, see species description in Supplementary 377 Information). Endophytes of *Psychotria* and *Pavetta* are scattered across the *Caballeronia* 378 phylogeny, but all are taxonomically distinct from free-living species (Figure 1A; ANI <93% 379 with closest non-endophyte relatives). Each of these endophytes also represents a distinct 380 bacterial species with pairwise Average Nucleotide Identity (ANI) values below the 381 commonly accepted species threshold of 95-96%, including the endophyte of Pavetta 382 hochstetteri which we tentatively named Candidatus Caballeronia hochstetteri (Table S4 and 383 Supplementary information). Although their MAGs share 95.65% ANI (a borderline value for 384 species delineation), Ca. B. schumanniana (endophyte of Pavetta schumanniana) and Ca. B. 385 kirkii have been previously described as distinct species on the basis of 16S rRNA gene 386 sequence similarity (Verstraete et al., 2011) (Table S4). The endophytes of Vangueria and 387 Fadogia form three distinct lineages of Paraburkholderia. The endophytes of Vangueria 388 dryadum and Vangueria macrocalyx are nearly identical (ANI >99.9%; identical 16S rRNA), 389 but do not belong to any known Paraburkholderia species (ANI <83% with closest relative 390 Paraburkholderia species). We tentatively assigned these bacteria to a new species which we 391 named Ca. Paraburkholderia dryadicola (from a Dryad, borrowed from the species epithet of 392 one of the host species, and Latin suffix – *cola*, see species description in Supplementary 393 Information). Similarly, the endophytes of V. infausta, V. esculenta, V. madagascariensis, V. 394 randii, and V. soutpansbergensis cluster together with Paraburkholderia phenoliruptrix 395 (Figure 1A). While the endophyte of Vangueria soutpansbergensis forms a separate species 396 (named here Ca. Paraburkholderia soutpansbergensis; ANI <95% with P. phenoliruptrix) the 397 other endophytes fall within the species boundaries of P. phenoliruptrix. (ANI 95-96% 398 between these endophytes and *P. phenoliruptrix*). Lastly, the endophytes of *Fadogia homblei* 399 and Vangueria pygmaea showed identical 16S rRNA sequences, and clustered with 400 Paraburkholderia caledonica, P. strydomiana, and P. dilworthii (Figure 1A). Similarly high ANI 401 values (>97.5%) and 16S rRNA sequence similarity (>99.7%) ambiguously fall within the 402 species boundaries of both P. caledonica and P. strydomiana. Because endophytes of F. 403 homblei were previously classified as P. caledonica (Verstraete et al., 2011, 2014), we 404 propose classifying the endophytes of F. homblei and V. pygmaea as members of P. 405 caledonica, and consider P. strydomiana a later heterotypic synonym of P. caledonica. 406 Phylogenetic analysis based on the core genomes of endophytes indicates a general lack of 407 congruence with the host plant phylogeny (Figure S3). Endophytes of Ardisia are 408 monophyletic within the Caballeronia genus and follow the host phylogeny. In contrast, 409 endophytes of Pavetta are not monophyletic and are nested within the Psychotria 410 endophytes. Similarly, the Fadogia homblei endophyte clusters with endophytes of 411 Vangueria.



413

Figure 1: Phylogeny of Burkholderia, Caballeronia, and Paraburkholderia, including the leaf endophytes. (A) 414 UBCG phylogeny of the Burkholderia s.l. based on 92 conserved genes. Bootstrap support values based on 100 415 replications are displayed on the branches. Branches with <50% support were collapsed. Ralstonia solanacearum 416 was used as outgroup to root the tree. Coloured samples in boldface represent the leaf endophytes from 417 Rubiaceae and Primulaceae (B) Core genome phylogeny of leaf endophytes based on alignment of 423 single-418 copy core genes. Bootstrap support values based on 100 replicates are shown on the branches. Samples are 419 colour-coded based on the host genus: Purple - Ardisia; Blue - Psychotria; Pink - Pavetta; Green - Vangueria; 420 Orange – Fadogia; Black bars represent the coding capacity of the genome (the proportion of the genome coding 421 for functional proteins).

- 422
- 423

#### Leaf endophyte genomes show signs of genome reduction.

424 We could assemble nearly complete bacterial genomes for all samples where we detected 425 Burkholderia endophytes, except for those of the Pavetta revoluta and one Vangueria 426 infausta sample with too few bacterial reads. Binning analysis grouped endophyte 427 sequences in a single bin per sample, with high completeness and purity (Table 1). Most 428 assemblies ranged between 3.5 and 5 Mbp in size, with 2 outliers: 2.58 Mbp for Ca. B. 429 crenata Avir (the endophyte of Ardisia virens), and 8.92 Mbp for P. caledonica R-49542 430 (endophyte of Fadogia homblei)(Table 1). The G+C-content of all MAGs fell in the range of 59-64 percent G+C content, which is within the range of free-living Paraburkholderia and 431 432 Caballeronia genomes (Vandamme et al., 2017). All MAGs showed signs of ongoing genome 433 reduction. Because of rampant null or frameshift mutations, a large proportion of predicted 434 CDS code for non-functional proteins. As a result, coding capacity is low for all endophyte

435 MAGs varying between 83% in *P. caledonica* R-49542 (Figure 1B, Figure S4) and 40% in *Ca.* C. 436 ardisicola Acor, the endophyte of Ardisia cornudentata (Figure 1B, Figure S5). In addition, 437 insertion sequence (IS) elements make up a large amount of the MAGs: 1.97% of the 438 assembly size on average, but up to almost 10% in some symbionts of *Psychotria* (Table 1). 439 Reassembly of previously investigated endophytes of *Psychotria* and *Pavetta* yielded 440 assemblies of similar size to the original assemblies, except for Ca. Burkholderia 441 schumanniana UZHbot8 (endophyte of Pavetta schumanniana). The original genome 442 assembly size was estimated at 2.4 Mbp, while our reassembly counted 3.62 Mbp. A dot plot 443 between both assemblies indicated that the size discrepancy is not solely due to differential 444 resolution of repeated elements (Figure S6). Thus, our new assembly includes 1.2 Mbp of 445 genome sequence that was missed in the original assembly.

446 *Burkholderia* leaf endophytes in Rubiaceae and Primulaceae shared a core genome of 607

genes (Figure S7). Even within specific phylogenetic lineages the core genomes were small:

448 774 genes in endophytes belonging to the *Caballeronia* symbionts of *Psychotria* and *Pavetta*,

449 1001 genes in endophytes of *Caballeronia* symbionts of *Ardisia*, and 1199 in

450 Paraburkholderia endophytes of Fadogia and Vangueria. This corresponds to 29.5%, 52.4%,

and 28.4% of the average functional proteome for each species cluster, respectively. Only 28
proteins of the total core genome did not show significant similarity with proteins from the
database of essential genes (Table S5). Eleven of these proteins have unknown functions and
five are membrane-related. Fifteen genes of the endophyte core genome did not have
orthologs in >95% of related *Burkholderia, Caballeronia,* and *Paraburkholderia* genomes
(Table S6). No COG category was specifically enriched in this set of proteins.

457 Because secretion of protein effectors is often a feature of endophytic bacteria (Brader et 458 al., 2017), we searched for genes encoding various secretion machineries in the genomes of 459 Burkholderia endophytes. Flagellar genes, as well as Type III, IV or VI secretion system were 460 not conserved in all leaf endophytes (Figure S8). The most eroded symbionts of Psychotria, 461 Pavetta, and Ardisia lack almost all types of secretion systems, and most also lack a 462 functional flagellar apparatus. Type V secretion systems are present in Ca. Caballeronia 463 ardisicola Acor, Ca. B. pumila UZHbot3 (endophyte of Psychotria pumila), and Ca. B. humilis 464 UZHbot5 (endophyte of Psychotria humilis). The genomes of Paraburkholderia symbionts of 465 Vangueria and Fadogia were generally richer in secretions systems, but only T1SS and T2SS

are conserved. A Type V secretion system is present in all *Paraburkholderia* endophytes
except *Ca.* Paraburkholderia dryadicola Vdry and Vmac (endophytes of *V. dryadum* and *V. macrocalyx*, respectively). The flagellar apparatus is missing in both *Ca.* P. dryadicola MAGs,
in *Ca.* P. soutpansbergensis Vsou, and in *P. phenoliruptrix* Vesc (the endophyte of *V. esculenta*), and is incomplete in some other *P. phenoliruptrix* endophytes. Lastly, only the
genomes of *Paraburkholderia caledonica* endophytes R-49542 and R-82532 encode a
complete set of core Type VI secretion system proteins.

473

## Genes related to cyclitol metabolism are enriched in leaf endophytes

474 We wondered if specific metabolic pathways might be enriched in genomes of leaf 475 symbionts, despite rampant reductive evolution. We assigned KEGG pathway membership 476 for each predicted functional CDS (thus excluding predicted pseudogenes) in leaf symbiont 477 genomes or MAGs as well as a set of free-living representative Paraburkholderia or 478 Caballeronia species. The number of genes assigned to a majority of the KEGG pathways 479 (256 pathways in total) was significantly smaller in endophyte genomes compared to their 480 free-living relatives. A small portion (86 pathways) did not differ between leaf symbionts and 481 free-living representatives. Genes belonging to a single pathway were significantly enriched 482 in leaf endophytes: acarbose and validamycin biosynthesis (KEGG pathway map00525). 483 Acarbose and validamycin are aminocyclitols synthesized via 2-epi-5-epi-valiolone synthase 484 (EEVS). EEVS catalyses the first committed step of C7N aminocyclitol synthesis (Mahmud, 485 2003, 2009), and likely plays a role in the production of kirkamide, a natural C<sub>7</sub>N 486 aminocyclitol present in leaves of *Psychotria kirkii* and other nodulated Rubiaceae, as well as 487 streptol and streptol glucoside, 2 cyclitols with herbicidal activities (Pinto-Carbó et al., 2016). 488 Indeed, of 10 Ca. Burkholderia kirkii UZHbot1 genes assigned to KEGG pathway map00525, 8 489 genes were previously hypothesised to play a direct role in the synthesis of  $C_7N$ 490 aminocyclitol or derived compounds (Pinto-Carbó et al., 2016). Similarly, 7 out of 11 491 orthogroups most enriched in leaf endophytes contained a gene putatively involved in 492 cyclitol synthesis in Ca. Burkholderia kirkii UZHbot1 (Table S7)(Carlier and Eberl, 2012; Sieber 493 et al., 2015). To gain a better understanding of the distribution of cyclitol biosynthetic 494 clusters in leaf endophytes, we searched for homologs of the two 2-epi-5-epi-valiolone 495 synthase (EEVS) genes of Ca. Burkholderia kirkii UZHbot1 (locus tags BKIR C149 4878 and 496 BKIR C48 3593) in the other leaf endophyte genomes. We detected putative EEVS

497 homologs in all but the two genomes of Ca. B. crenata. For Ca. B. crenata UZHbot9 we have 498 previously shown the genome encodes a non-ribosomal peptide synthase likely responsible 499 for the synthesis of the depsipeptide FR900359 (Fujioka et al., 1988; Carlier et al., 2016; 500 Crüsemann et al., 2018), and these genes were also detected in Ca. B. crenata Avir. Because 501 EEVSs are phylogenetically related to 3-dehydroquinate synthases (DHQS), we aligned the 502 putative EEVS sequences retrieved from leaf endophytes to EEVS and DHQS sequences in the 503 Swissprot database. All putative EEVS sequences retrieved from leaf endophytic 504 Burkholderia were phylogenetically related to bona fide EEVS proteins, but not to 505 dehydroquinate synthase (DHQS) and other sedoheptulose 7-phosphate cyclases. EEVS are 506 otherwise rare in Burkholderia s. l., with putative EEVSs present in only 11 out of 5674 507 publicly available Burkhoderiaceae genomes (excluding leaf symbiotic bacteria) in the NCBI 508 RefSeq database as of June 2022 (Figure S9).

509

#### Evolution of cyclitol metabolism in leaf endophytic Burkholderia

510 Phylogenetic analysis of the endophyte EEVS protein sequences showed the presence of two 511 main clades of Burkholderia EEVS homologs, as well as a divergent homolog in the genome 512 of Ca. C. ardisicola Acor, and a second divergent homolog in Ca. P. dryadicola Vdry and Vmac (Figure 2A). The gene context of these EEVS genes in the different clades reveals that the 513 514 two main EEVS clades correspond to the two conserved gene clusters previously 515 hypothesized to play a role in kirkamide and streptol glucoside biosynthesis in Ca. 516 Burkholderia kirkii (Carlier et al., 2013). The gene order of these clusters is very similar in 517 every genome, with a similar genomic context in closely related genomes (Tables 2 & 3 and 518 Figure S10). These gene clusters are generally flanked by multiple mobile elements, 519 consistent with acquisition via horizontal gene transfer (Table S9). Furthermore, the EEVS 520 phylogeny did not follow the species phylogeny, indicating that HGT or gene conversion 521 occurred (Figure 2A and Figure 2B). For clarity, we named the two main putative cyclitol 522 biosynthetic gene clusters S-cluster (for streptol) and K-cluster (for kirkamide) based on 523 previous biosynthetic hypotheses from *in silico* analysis of the putative cyclitol gene clusters 524 of Ca. B. kirkii (Figure 2A) (Pinto-Carbó et al., 2016). Both K and S-clusters encode a core set 525 of proteins linked to sugar analog biosynthesis: a ROK family protein and a HAD family 526 hydrolase, and both contain aminotransferases (although from different protein families). 527 Two EEVS genes contain nonsense mutations and are likely not functional: the S-cluster EEVS 528 of Ca. Burkholderia humilis UZHbot5, and the K-cluster EEVS of Ca. Burkholderia 529 brachyanthoides UZHbot7. The MAG of *Ca.* B. humilis UZHbot5 still contains an apparently 530 functional K-cluster EEVS, while the pseudogenized EEVS of Ca. B. brachyanthoides UZHbot7 531 is the only homolog in the MAG. Interestingly, genes of the K-cluster appear to be exclusive 532 to Psychotria and Pavetta symbionts, while the S-cluster is more widespread, including in the 533 MAGs of Vangueria endophytes. Accordingly, we detected kirkamide in leaf extracts of 534 Psychotria kirkii, but in none of the Fadogia or Vangueria species we tested (see 535 supplementary information). We also detected signals that were consistent with 536 streptol/valienol and streptol glucoside by UPLC-QToF-MS in all samples. However, these 537 signals occurred in a noisy part of the chromatogram, and we cannot confidently conclude if these m/z features come from a single streptol derivative or from several compounds. 538

539 The MAG of Ca. P. soutpansbergensis Vsou and genomes of P. caledonica R-49542 and R-540 82532 encoded EEVS homologs of the K-cluster, but the full complement of the genes of the 541 K-cluster is missing (Table 3 and Figure S10). In both cases the EEVS gene is flanked by IS 542 elements (Table S9). Accordingly, we did not detect kirkamide in leaf samples from either 543 Fadogia homblei or V. soutpansbergensis in our chemical analyses. The MAGs of Ca. P. 544 dryadicola Vmac and Vdry encodes an EEVS that clusters outside of the K- and S-EEVS 545 clusters. Genes with putative functions similar to those of the K-cluster are located in the 546 vicinity of the EEVS in the MAGs of both Ca. P. dryadicola strains: oxidoreductases, an 547 aminotransferase, and an N-acetyltransferase (Table S8). Similarly, Ca. C. ardisicola Acor 548 contains a second divergent EEVS, in addition to the S-cluster EEVS. This EEVS belongs to a 549 larger gene cluster coding for similar functions also found in the other EEVS-clusters, but 550 contains at least one frameshift mutation and no longer codes for a functional enzyme 551 (Table S8). Lastly, Ca. B. verschuerenii UZHbot4 contains a second, recently diverged EEVS 552 paralog of the K-cluster. This EEVS is part of a small cluster of genes, with putative functions 553 divergent from those found in the other EEVS-clusters and likely does not play a role in 554 kirkamide synthesis (Table S8).

555





557 Figure 2: EEVS protein phylogeny and distribution in leaf endophytes. (A) EEVS protein phylogeny of detected 558 EEVS-genes and their closest relatives. Local support values based on the Shimodaira-Hasegawa test are shown 559 on the branches, and branches with support <50% are collapsed. Coloured samples in boldface are the EEVS 560 homologs found in different leaf endophytes. Colours represent different clusters of similar EEVS genes. K- and 561 S-cluster are named after their putative products (K for Kirkamide, and S for Streptol glucoside). NCBI accession 562 numbers of the close relatives are given next to their species name. The tree is rooted using related 3-563 dehydroquinate synthase genes (not shown). \*The EEVS gene in Ca. Burkholderia humilis UZHbot5 contains an 564 internal stop codon, creating two EEVS-like pseudogenes. The largest of both was used for the phylogeny. \*\*This 565 EEVS gene of Ca. Burkholderia verschuerenii UZHbot4 is found outside of the K-cluster. Blue and red labels 566 correspond to EEVS sequences belonging to the K and S clusters, respectively. Orange and green labels 567 correspond to EEVS sequences found clustering outside of the K and S clusters, the colour corresponding to the 568 corresponding taxa as in Figure 1. (B) Distribution of specialised metabolism in the leaf endophytes. The 569 phylogenetic tree corresponds to the species phylogeny as in Figure 1A. Samples are colour-coded based on the 570 host species: Purple – Ardisia; Blue – Psychotria; Pink – Pavetta; Green – Vangueria; Orange – Fadogia. Codes 571 next to the species represent presence of specialised metabolite clusters; FR - FR900359 depsipeptide; K -572 Kirkamide EEVS-cluster; S – Streptol glucoside EEVS-cluster; O – Other EEVS-cluster. K' – Secondary EEVS cluster 573 with EEVS similar to the K-cluster. K\* - Only the K-cluster EEVS is present, not the accessory genes.

574

#### 575 Discussion

#### 576 Different evolutionary origins of leaf symbioses in different plant genera

577 In this work, we investigated the evolution of associations between *Burkholderia s. l.* 

- 578 bacteria and plants of the Rubiaceae and Primulaceae families, and attempted to identify
- 579 key characteristics of these associations. To this end, we re-analyzed publicly available
- 580 genome data from previous research, and sequenced and assembled the genomes of an
- 581 additional 17 leaf endophytes. In addition to leaf endophytes which had been previously

582 detected (Lemaire, Smets, et al., 2011; Verstraete et al., 2011, 2013; Ku and Hu, 2014), we 583 document here the presence of Burkholderia s.l. symbionts in Pavetta hochstetteri and 584 Vangueria esculenta, and possibly Pavetta revoluta. In contrast to previous findings 585 (Lemaire, Lachenaud, et al., 2012), we could not detect evidence of leaf endophytes in 586 Psychotria capensis, but did confirm the absence of leaf endophytes in Psychotria 587 zombamontana. Phylogenetic placement of hosts and endophytes are consistent with 588 previous data, except for the placement of Vangueria macrocalyx and its endophyte 589 (Lemaire, Lachenaud, et al., 2012; Verstraete et al., 2013). Both chloroplast sequences of V. 590 macrocalyx and V. dryadum and the MAGs of their endophytes were nearly identical while 591 previous research showed a clear phylogenetic difference both between the host species 592 and their endophytes (Verstraete et al., 2013). Blastn analysis of plant genetic markers (ITS, 593 petB, rpl16, trnTF) of both species against the NCBI nr database showed higher identities to 594 markers from Vangueria dryadum than to those of Vangueria macrocalyx. However, since 595 comparison of the vouchered V. macrocalyx specimen to other vouchered Vangueria 596 dryadum and V. macrocalyx by expert botanists clearly separated both species, we decided 597 to consider both species distinct.

598 Previous studies showed that Rubiaceae and Primulaceae species with heritable leaf symbionts are monophyletic within their respective genera (Lemaire, Vandamme, et al., 599 600 2011; Verstraete et al., 2013). Thus, while the transition to a symbiotic state arose 601 separately in multiple plant genera, it likely evolved only once in each plant genus. The only 602 exception is the Psychotria genus, where it likely arose twice: once in species forming leaf 603 nodules, and once in species without leaf nodules (Lemaire, Lachenaud, et al., 2012). The 604 repeated emergence of leaf symbiosis is reflected on the microbial side as well. A 605 parsimonious interpretation of whole genome phylogenetic analyses indicates that 606 Burkholderia endophytes evolved independently at least 8 times, most probably from 607 ancestors with an environmental lifestyle (Figure 1A). Caballeronia endophytes of Ardisia 608 seem to have emerged once, with most closely related species commonly isolated from soil 609 (Lim et al., 2003; Vandamme et al., 2013; Uroz and Oger, 2017). As previously reported, 610 symbionts of *Psychotria* and *Pavetta* cluster in 3 distinct phylogenetic groups within the 611 Caballeronia genus. Finally, symbionts of Vangueria and Fadogia belong to 5 distinct clades 612 within the genus Paraburkholderia. Apart from Ca. P. dryadicola that is without closely

613 related isolates, endophytic Paraburkholderia species also cluster together with species 614 commonly isolated from soil (Verstraete et al., 2014; Beukes et al., 2019). High host-615 specificity is a hallmark of the Psychotria, Pavetta, and Ardisia leaf symbiosis, but this 616 characteristic is not shared in Vangueria and Fadogia. Based on genome similarity, we 617 identified at least three phylogenetically divergent endophyte species that can infect 618 multiple hosts: P. caledonica, P. phenoliruptrix, and Ca. P. dryadicola. It is also possible that 619 these plants are in the early stages of endophyte capture, where the plant is open to acquire 620 endophytes from the soil, as previously hypothesized for *F. homblei* (Verstraete *et al.*, 2013). 621 Endophytes might later evolve to become host-restricted and vertically transmitted, leading 622 to diversification from their close relatives and forming new species. This could, for example, 623 already be the case for Ca. P. soutpansbergensis, which is related to P. phenoliruptrix but 624 shows a more divergent genome (ANI <95%). Overall, these results highlight the general 625 plasticity of bacteria in the Burkholderia s.l., as well as the probable frequent occurrence of 626 host-switching or horizontal transmission within leaf symbiotic associations.

## 627

#### Genome reduction is a common trait of leaf endophytes

628 Bacterial genomes contain a wealth of information yet few leaf endophyte genomes are 629 available. In this study we provide an additional thirteen leaf endophyte genome assemblies 630 among which the first genomes of endophytes from Vanqueria and Fadogia. Aside from the 631 genomes of *P. caledonica* endophytes, all leaf endophyte genomes were small, mostly 632 between 3.5 and 5 Mbp. This is well below the average 6.85 Mbp of the Burkholderiaceae 633 family (Carlier et al., 2016; Pinto-Carbó et al., 2016). In addition to their small sizes, the 634 genomes of *Psychotria*, *Pavetta*, and *Ardisia* endophytes show signs of advanced genome 635 reduction. Only 41-70% of these genomes code for functional proteins, compared to an 636 average of about 90% for free-living bacteria (Land et al., 2015). Most of these genomes also 637 contain a high proportion of mobile sequences, up to 9% of the total assembly. Together, 638 this indicates ongoing reductive genome evolution, a process often observed in obligate 639 endosymbiotic bacteria (Moran and Plague, 2004; Bennett and Moran, 2015). Interestingly, 640 the MAGs of Vangueria and Fadogia endophytes, which are not contained in leaf nodules, 641 also show signs of genome erosion: most MAGs of *P. phenoliruptrix* endophytes are at or 642 below 5 Mbp in size, with over half of their proteome predicted as non-functional. The 643 genomes of 2 Ca. P. dryadicola strains even approach the level of genome reduction found in

most Psychotria symbionts. The intermediate genome reduction in endophytes of Vangueria 644 645 and Fadogia could be explained by the relatively recent origin of the symbiosis, although leaf 646 symbiosis in *Fadogia* has been estimated to be older than in *Vangueria* (7.6 Mya vs. 3.7 Mya) 647 (Verstraete et al., 2017). Other factors likely contribute to the extent or pace of genome 648 reduction in the endophytes, such as mode of transmission and transmission bottlenecks. 649 The larger genome size and fewer pseudogenes compared to most other leaf endophytes 650 may explain why we could isolate P. caledonica endophytes from F. homblei, but not other 651 endophytes. We could not identify essential genes or pathways that were consistently 652 missing in the genomes or MAGs of Burkholderia endophytes. It is therefore possible that 653 other endophytic bacteria may be culturable using more complex or tailored culture 654 conditions.

## 655 Secondary metabolism as key factor in the evolution of leaf symbiosis

656 Although leaf symbionts share a similar habitat and all belong to the Burkholderia s. I., their 657 core genome is surprisingly small and consists almost entirely (95%) of genes that are 658 considered essential for cellular life. This poor conservation of accessory functions perhaps 659 reflects the large diversity and possible redundancy of functions encoded in the genomes of 660 Burkholderia s.l. that associate with plants. Interestingly, the capacity for production of 661 secondary metabolites is a key common trait of *Burkholderia* leaf endophytes. We previously 662 showed that Ca. B. crenata produces FR900359, a cyclic depsipeptide isolated from A. 663 crenata leaves (Carlier et al., 2016). This non-ribosomal peptide possesses unique 664 pharmacological properties and may contribute to the protection of the host plant against 665 insects (Carlier et al., 2016; Crüsemann et al., 2018). However, our data suggests that the 666 production of cyclitols is widespread in leaf endophytic Burkholderia. Indeed, with the 667 exception of Ca. B. crenata cited above, we found evidence for the presence of cyclitol 668 biosynthetic pathways in all genomes of leaf endophytic *Burkholderia*. We have previously 669 reported the presence of two gene clusters containing a 2-epi-5-epi-valiolone synthase 670 (EEVS) in MAGs of *Psychotria* and *Pavetta* symbionts (Pinto-Carbó et al., 2016). These gene 671 clusters are likely responsible for the production of 2 distinct cyclitols: kirkamide, a  $C_7N$ 672 aminocyclitol with insecticidal properties which has been detected in several Psychotria 673 plants; and streptol-glucoside, a plant-growth inhibitor likewise detected in Psychotria kirkii 674 (Sieber et al., 2015; Pinto-Carbó et al., 2016; Hsiao et al., 2019). EEVS from leaf symbionts

675 belong to four phylogenetic clusters, including the two EEVS genes previously detected in 676 Psychotria and Pavetta symbionts (Pinto-Carbó et al., 2016). Similar to these previously 677 analysed leaf endophyte genomes, the EEVS gene clusters in the newly sequenced genomes 678 are flanked by IS-elements, and their phylogeny is incongruent with the species phylogeny. 679 This indicates that these genes and clusters are likely acquired via horizontal gene transfer. 680 This hypothesis is strengthened by the fact that the closest homologs of the genes in the 681 EEVS clusters are found in genera as diverse as *Pseudomonas*, *Streptomyces*, and 682 Noviherbaspirillum, but are rare in the genomes of Burkholderia s.l. The presence of the two 683 main EEVS gene clusters (K-cluster and S-cluster) is not strictly linked to the symbiont or host 684 taxonomy. For example, the EEVS of the K-cluster (hypothesised to produce kirkamide) is 685 present in all sequenced symbionts of *Psychotria* and *Pavetta* but also in the endophytes of 686 F. homblei and V. soutpansbergensis. However, in the latter two, accessory genes of the K-687 cluster are absent. It is possible that this EEVS interacts with gene products of other 688 secondary metabolite clusters (Osborn *et al.*, 2017). We also noticed that some endophyte 689 MAGs contain multiple EEVS genes or gene clusters. This could provide functional 690 redundancy, protecting against the rampant genome erosion. For example, two genes of the 691 S-cluster Ca. C. hochstetteri PhocE (endophyte of Pavetta hochstetteri) are likely 692 pseudogenes, while the K-cluster gene is still complete. On the other hand, in Ca. 693 Burkholderia humilis UZHbot5 (endophyte of Psychotria humilis) seven out of ten genes of 694 the S-cluster (including the EEVS) are either missing or non-functional, and the K-cluster is 695 heavily reduced with only four functional genes out of eight (including the EEVS). As one 696 functional EEVS copy remains, it is possible that genes located elsewhere in the genome 697 provide these functions, as kirkamide has previously been detected in extracts of P. humilis 698 (Pinto-Carbó et al., 2016). Alternatively, this symbiosis may have reached a "point of no 699 return" where host and symbiont have become dependent on each other and non-700 performing symbionts can become fixed in the population (Bennett and Moran, 2015). 701 The presence of gene clusters coding for specialised secondary metabolites in all leaf 702 symbionts could indicate that secondary metabolite production is either a prerequisite for or 703 a consequence of an endophytic lifestyle. The fact that *P. caledonica* leaf symbionts have 704 EEVS genes of different origin favours the hypothesis that the acquisition of secondary

705 metabolism precedes an endophytic lifestyle. In this case, the ancestor of both endophytes

706 may have acquired differing EEVS genes or EEVS gene clusters through HGT followed by 707 infection of the respective host plants. The lack of EEVS homolog in Ca. B. crenata Avir and 708 Acre indicates that production of cyclitols is not essential for leaf symbiosis. Interestingly, 709 MAGs of the sister species Ca. C. ardisicola Amam and Acor encode an EEVS and the full S-710 cluster complement. Since there is strong phylogenetic evidence of co-speciation in the 711 Burkholderia/Ardisia association (Lemaire, Smets, et al., 2011; Ku and Hu, 2014), the 712 common ancestor of Ca. C. ardisicola and Ca. B. crenata possibly possessed both cyclitols 713 and frs pathways, and one of these pathways was lost in the lineages leading to 714 contemporary Ca. B. crenata and Ca. C. ardisicola. Alternatively, the genome of the common 715 ancestor of Ardisia-associated Burkholderia may have encoded cyclitol S-cluster and later 716 acquisition of the *frs* gene cluster in the Ca. B. crenata lineage alleviated the requirement of 717 EEVS-related metabolism. The model of horizontal acquisition of secondary functions 718 supports the model of endophyte evolution described by Lemaire et al (Lemaire, 719 Vandamme, et al., 2011). Different environmental strains which acquired genes for 720 secondary metabolite production could colonise different host plants in the early open 721 phase of symbiosis. The different phylogenetic endophyte clades observed in the 722 Burkholderia s.l. phylogeny could each represent distinct acquisitions of secondary 723 metabolite gene clusters by divergent free-living bacteria followed by colonisation of 724 different host plants. Many Burkholderia species associate with eukaryotic hosts, including 725 plants (Eberl and Vandamme, 2016), and many of these associations may be transient in nature. However, useful traits such as synthesis of protective metabolites may help stabilise 726 727 these relationships, resulting in long-term associations such as leaf symbiosis.

#### 728 Author contributions:

AC, MM, and BD designed the research. MM identified and collected wild plant specimens
from the Pretoria region (South Africa). BD, MB, SS, and AC performed the laboratory
experiments and analyses. GM analysed metabolomics data. PV analysed data and made
taxonomic assignments. BD, MM and AC wrote the manuscript with input from all authors.

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755 **Notes** 

756 The authors declare no conflict of interest.

## Tables

Table 1: Genome statistics of newly assembled and re-assembled leaf endophyte genomes. Coding capacity refers to the proportion of the genome that codes for functional proteins.

Endophyte	Host species	Туре	Assembly	Num. of	N50	GC content	Average	Num. of	Coding	Proportion of	Genome	Genome	
			size (Mb)	contigs	(bp)	(%)	coverage	Functional	Capacity (%)	IS	completeness	purity (%)	
								genes		elements(%)	(%)		
Ca. Caballeronia ardisicola	Ardisia	New	2.05	222	10529	E0 22	62%	2026	40.20	0.83	00.28	09 57	
Acor	cornudentata	assembly	5,95	552	19528	59,23	02X	2026	40,50	0,85	99,28	90,57	
Ca. Burkholderia crenata	Ardicia crenata	Polassombly	2.65	607	6200	50.02	667	1670	5/ 72	2.62	05.68	07.08	
UZHbot9	, indisid ci cinata	Ne-assembly	2,05	007	0355	39,02	000	1070	54,75	2,05	95,68	57,00	
Ca. Caballeronia ardisicola	Ardicia mamillata	New	1 28	222	10697	50 /7	12v	2207	40.95	1 10	96.40	97.10	
Amam		assembly	4,50	555	19087	55,47	137	2257	40,00	1,10	50,40	97,10	
Ca. Burkholderia crenata Avir	Ardisia virens	New assembly	2,58	605	6517	59,05	13x	1648	56,13	1,64	94,96	99,25	
Paraburkholderia caledonica	Eadoaia homblei	Assembly	8 92	1/18	1/531/	61 50	1/8v	7695	87.83	1 32	100	100	
R-49542	i dabgid nombler	from isolate	8,92	148	145514	01,59	1488	7095	82,83	1,52	100	100	
Paraburkholderia caledonica	Eadoaia homblei	Assembly	8 71	123	239289	61 53	168x	7353	81.03	1.05	100	100	
R-82532	r ddogid nombier	from isolate	0,71		200200	01,00	1000	7555	,00	_,			
Ca. Caballeronia hochstetteri	Pavetta hochstetteri	New	3 50	324	18152	62 51	305x	1823	44 53	1 09	99.28	98 57	
PhocE		assembly	3,30	521	10152	02,31	505X	1020	,	_)00	55,20	·-	
Ca. Burkholderia	Pavetta	Re-assembly 3,6	3.62	412	14848	63.47	132x	2453	59,95	1,22	100	97,89	
schumanniana UZHbot8	schumanniana		0,02			,	102/	2155	00,00				
Ca. Burkholderia	Psychotria	Re-assembly	3.75	648	8356	61.00	121x	2109	46.54	3.98	98.56	98.56	
brachyanthoides UZHbot7	brachyanthoides		-,			,				-,	,	,	
Ca. Burkholderia humilis	Psychotria humilis	Re-assembly	5.32	238	103328	59.60	60x	3264	50.04	1.19	99.28	99,28	
UZHbot5			-,		105520	,			50,04	1,10	,		
Ca. Burkholderia kirkii	Psychotria kirkii	Reference	4.01	203	44916	62.91	196x*	2069	45.80	8.81	99.28	98.57	
UZHbot1	.,		,-			- ,-			.5,60	0,01	, -		
Ca. Burkholderia pumila	Psychotria pumila	Re-assembly	3,70	463	12628	59,13	110x	2192	45,41	4,15	95,68	98,52	
UZHbot3	,		-, -			00,20	-	-		.,	,	50,52	
Ca. Burkholderia kirkii	Psychotria punctata	Reference	3,91	48	100248	64.00	_	2539	54.61	9,17	99,28	98.57	
UZHbot2	i sychotna panetata			-,		2002 10	01,00		2000	5,01	5,17	55,20	,0 ,

Ca. Burkholderia calva	Psychotria	Do occombly	4 22	222	28025	61.20	121.	2206	44.27	1 62	09 56	07.86
UZHbot6	umbellata	Re-assembly	4,22	333		01,50	131X	2300	44,37	1,03	98,50	97,80
Ca. Burkholderia verschuerenii	Psychotria	Polassombly	6 15	401	27267	62.07	20v	1820	70.21	0.00	97,84	08 55
UZHbot4	verschuerenii	Re-assembly	0,15	401	27207	02,07	338	4835	70,21	0,99		56,55
Ca. Paraburkholderia	Vanguaria drugdum	New	4 20	152	E0749	61.26	67.	2220	42 21	0.85	100	00.20
dryadicola Vdry	vangaena aryaaam	assembly	4,29	100	50748	01,20	07X	2229	45,21	0,82	100	55,25
Paraburkholderia	Vanguaria acculanta	New	4.00	190	50222	C2 F4	160%	2220	E0 79	1.00	100	00 50
phenoliruptrix Vesc	vunguena escuenta	assembly	4,55	100	20222	03,34	100X	3323	55,78	1,05	100	98,58
Paraburkholderia	Vangueria infausta	New	5.00	101	40020	62 51	147	2220	50.20	1 17	100	09 59
phenoliruptrix Vinf	vangaena mjaasta	assembly	5,00	101	49920	03,51	147X	5320	59,29	1,17	100	90,50
Ca. Paraburkholderia	Vangueria New	New	/ 31	150	54987	61,30	186v	2243	43.06	0.87	100	00 20
dryadicola Vmac	macrocalyx	assembly	4,51	150			100%	2243	43,00	0,07	100	55,25
Paraburkholderia	Vangueria	New assembly	A 77	247	24261	62.49	70×	2214	61.00	1 15	100	07.20
phenoliruptrix VmadMBG	madagascariensis		4,77	247	54501	03,48	738	5214	01,09	1,15	100	97,20
Paraburkholderia	Vangueria	New	4.76	242	3/085	63.48	107v	3212	60.97	1 1 2	100	97.20
phenoliruptrix VmadEBG	madagascariensis	assembly	4,70	242	54505	03,48	107X	3212	00,97	1,12	100	97,20
Paraburkholderia	Vangueria	New	5.02	10/	50250	62.40	122v	2201	50.22	0.97	100	99.29
phenoliruptrix VmadSA	madagascariensis	assembly	5,05	134	50250	03,43	1337	5251	55,22	0,57	100	55,25
Paraburkholderia caledonica	Vangueria pyamaea	New	7 44	92	23201/	61 89	35v	6194	82.23	1.00	100	97.20
Vpyg88	vangaena pygmaea	assembly	,,	52	232014	01,05	33%	0194	02,23	1,00	100	97,20
Paraburkholderia caledonica	Vangueria pyamaea	New	7.45	106	232088	61 90	43x	6193	82 33	1 07	100	97 20
Vpyg08	vangaena pygmaea	assembly	,,,,,	100	232000	01,50	15/	0135	02,00	1,07	100	57,20
Paraburkholderia	Vangueria randii	New	1 08	205	50270	62.22	9 <i>4</i> v	2204	50 /7	1 /7	100	00.50
phenoliruptrix Vran	vunguena ranali	assembly	<del>4</del> ,30	205	30270	03,33	044	5234	55,47	1,47	100	90,00
Ca. Paraburkholderia	Vangueria	New	5 18	51	3373/17	63 12	101x	3250	55.24	0.86	99.28	00.28
soutpansbergensis Vsou	soutpansbergensis	assembly	5,10	21	55/54/	03,12	1017	3233	55,24	0,86	<i>JJ,</i> 20	99,28

**Table 2: EEVS S-cluster organisation in endophyte genomes.** Genomes of the same host with the same cluster layout are merged. X: Gene present; -: Gene absent; Ψ: Gene predicted to be pseudogene; \*: genes present on a different contig than the EEVS gene; +: m/z features consistent with streptol or streptol-glucoside detected in leaf extracts of host species; n.t.: not tested. Abbreviations: EEVS – 2-*epi*-5-*epi*-valiolone synthase. All genes of the cluster were found in the same orientation, with the same order. The gene order is preserved in the table, using the of *Ca*. B. kirkii UZHbot1 accessions as reference.

	ROK family protein	EEVS	Sugar- nucleotide binding protein	Trehalose-6- phosphate synthase	Aspartate aminotransferase family protein	Alcohol dehydroge nase	HAD family hydrolase	MFS transporter	NTP- transferase	NUDIX hydrolase	Streptol and streptol- glucoside
Reference accessions	CCD39391	CCD39393	CCD39394	CCD39395	KND54529	CCD39396	CCD39397	CCD39398	CCD39400	CCD39401	
Ca. Caballeronia ardisicola Acor	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	n.t.
Ca. Caballeronia ardisicola Amam	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	n.t.
<i>Ca.</i> Caballeronia hochstetteri PhocE	Х	х	Х	Ψ	Ψ	Х	х	х	Х	х	n.t.
Ca. Burkholderia humilis UZHbot5	Х	Ψ	Х	Ψ	Х	-	-	-	-	Ψ	n.t.
Ca. Burkholderia kirkii UZHbot1	Х	Х	Х	Х	-	Х	Х	Х	Ψ	Х	+
Ca. Burkholderia kirkii UZHbot2	Х	Х	Х	Х	Х	Х	Х	Х	Х	-	n.t.
Ca. Burkholderia schumanniana UZHbot8	Х	х	Х	Х	Х	Х	-	Ψ*	X*	X*	n.t.
Paraburkholderia phenoliruptrix Vesc	Х	х	Х	Х	Х	Х	х	х	Х	Ψ	n.t.
Paraburkholderia phenoliruptrix Vinf	Х	х	х	Х	Х	х	Ψ	х	Х	Ψ	n.t.
Paraburkholderia phenoliruptrix VmadSA	Х	х	Х	Х	х	Х	Х	х	Х	Ψ	+
Paraburkholderia phenoliruptrix VmadMBG/VmadBGE	X	х	Х	Х	х	Х	Ψ	х	X	Ψ	n.t.
Paraburkholderia caledonica Vpyg08/Vpyg88	Х	х	х	X	x	х	x	x	x	х	+
Paraburkholderia phenoliruptrix Vran	Х	х	Х	Х	Х	Х	х	х	Х	х	n.t.

Table 3: EEVS K-cluster organisation in endophyte genomes. Genomes of the same host with the same cluster layout are merged. X: Gene present; -: Gene absent; Ψ: Gene predicted to be pseudogene; \*: protein overlaps with contig end, other genes of the cluster not found on other contigs; +: Kirkamide detected in leaf extracts of host species; n.t.: not tested; <sup>†</sup> Data from Pinto-Carbo et al. 2016; Abbreviations: EEVS – 2-*epi*-5-*epi*-valiolone synthase. All genes of the cluster were found in the same orientation, with the same order. The gene order is preserved in the table, using the of *Ca*. B. kirkii UZHbot1 accessions as reference.

	GNAT family N-	Cupin Domain	HAD family hvdrolase	Gfo/Idh/MocA	6-phospho-	DegT/DnrJ/EryC1/StrS	ROK		Kirkamide
	acetvltransferase	Containing		family	beta-	family	family	EEVS	
		protein		oxidoreductase	glucosidase	aminotransferase	protein		
Reference accessions	CCD36711	CCD36712	CCD36713	CCD36714	CCD36715	CCD36716	CCD6717	CCD36718	
Paraburkholderia caledonica R-49542/R-								v	-
82532	-	-	-		-	-	-	X	
Ca. Burkholderia brachyanthoides UZHbot7	-	-	-	-	-	-	X/Ψ *	Ψ	- <sup>‡</sup>
Ca. Caballeronia hochstetteri PhocE	Х	Х	Х	х	Х	Х	Х	Х	n.t.
Ca. Burkholderia humilis UZHbot5	-	Х	Ψ	х	Х	Ψ	х	Х	+‡
Ca. Burkholderia kirkii UZHbot1	Х	Х	Х	х	Х	Х	Х	Х	+
Ca. Burkholderia pumila UZHbot3	-	Х	Х	Х	Х	Х	х	Х	+‡
Ca. Burkholderia kirkii UZHbot2	Х	Х	Х	х	Х	Х	х	Х	+‡
Ca. Burkholderia schumanniana UZHbot8	Х	Х	Х	х	Х	Х	х	Х	-‡
Ca. Burkholderia calva UZHbot6	Х	Х	Х	х	Х	Х	х	Х	_‡
Ca. Burkholderia verschuerenii UZHbot4	Х	Х	Х	х	Х	Х	Х	Х	+‡
<i>Ca.</i> Paraburkholderia soutpansbergensis	-	-	-	-	-	-	-	Х	n.t.

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