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# A tale of two copies: Evolutionary trajectories of moth pheromone receptors

Zibo Li<sup>a,1</sup>, Rémi Capoduro<sup>a,1</sup>, Lucie Bastin-Héline<sup>a,b</sup>, Sai Zhang<sup>c</sup>, Dongdong Sun<sup>c</sup>, Philippe Lucas<sup>a</sup>, Diane Dabir-Moghaddam<sup>a</sup>, Marie-Christine François<sup>a</sup>, Yang Liu<sup>c</sup>, Guirong Wang<sup>c</sup>, Emmanuelle Jacquin-Joly<sup>a</sup>, Nicolas Montagné<sup>a,2</sup>, and Camille Meslin<sup>a,2</sup>

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Pheromone communication is an essential component of reproductive isolation in animals. As such, evolution of pheromone signaling can be linked to speciation. For example, the evolution of sex pheromones is thought to have played a major role in the diversification of moths. In the crop pests *Spodoptera littoralis* and *S. litura*, the major component of the sex pheromone blend is (*Z,E*)-9,11-tetradecadienyl acetate, which is lacking in other *Spodoptera* species. It indicates that a major shift occurred in their common ancestor. It has been shown recently in *S. littoralis* that this compound is detected with high specificity by an atypical pheromone receptor, named SlitOR5. Here, we studied its evolutionary history through functional characterization of receptors from different *Spodoptera* species. SlitOR5 orthologs in *S. exigua* and *S. frugiperda* exhibited a broad tuning to several pheromone compounds. We evidenced a duplication of OR5 in a common ancestor of *S. littoralis* and *S. litura* and found that in these two species, one duplicate is also broadly tuned while the other is specific to (*Z,E*)-9,11-tetradecadienyl acetate. By using ancestral gene resurrection, we confirmed that this narrow tuning evolved only in one of the two copies issued from the OR5 duplication. Finally, we identified eight amino acid positions in the binding pocket of these receptors whose evolution has been responsible for narrowing the response spectrum to a single ligand. The evolution of OR5 is a clear case of subfunctionalization that could have had a determinant impact in the speciation process in *Spodoptera* species.

pheromone receptor | evolution | ancestral gene resurrection

Evolution of chemical communication between animals and their environment is crucial for adaptation to novel ecological niches as well as for the emergence of new species. It is especially true when intraspecific chemical signals, called pheromones, are used for finding and selecting mating partners and prevent closely related species from interbreeding, thus conferring reproductive isolation. Changes in such prezygotic isolation mechanisms within a population can create reproductive barriers, which can be a driver for speciation (1, 2). Among the best-known examples of pheromone communication is the sex pheromone system of moths. In these insects, reproductive success largely depends on mate recognition through the detection by males of specific bouquets of volatile compounds emitted by females. These compounds are mostly long-chain acetates, alcohols, or aldehydes synthesized de novo from fatty acids. Moth sex pheromone blends usually contain one major component and one or more minor components in a precise ratio, and blends of closely related species typically differ only in a few minor components or in the ratio between the components (3). Male antennae bear olfactory sensory neurons (OSNs) that detect these components with various sensitivities and specificities, allowing a precise encoding of the blend composition. This encoding underlies male preference toward a given pheromone.

At the molecular level, this detection is mediated by pheromone receptors (PRs) belonging to the insect odorant receptor (OR) family. Like other insect ORs, PRs have seven transmembrane domains and assemble in a heteromultimer composed of a ligand-selective receptor and the universal coreceptor Orco, which together act as a ligand-gated ion channel (4–6). Moth PRs are highly—sometimes specifically—expressed in male antennae and tuned to one or a few structurally related pheromone compounds. They allow males to detect pheromone compounds released by conspecific females but also by sympatric species, which eventually prevents cross-species mating and reinforces reproductive isolation (7). PRs can also be expressed at lower levels in females as well as in larvae, although the role of sex pheromone sensing outside males remains unclear (8). How pheromone detection and male preferences, which are expected to be fine-tuned and under a strong stabilizing selection, evolve to allow the emergence of novel pheromone communication channels is a fascinating yet largely unresolved question (1, 9).

From an evolutionary point of view, insect *Or* genes follow the classical birth-and-death model of evolution of multigene families, characterized by high rates of tandem duplications,

## Significance

Moths have served as historical models for animal communication studies. As their sex pheromone system is expected to be fine-tuned and under stabilizing selection, understanding its evolution has attracted interest for a long time. In the species *Spodoptera littoralis* and *S. litura*, the major component of the sex pheromone is an unusual compound, lacking in other species of the same genus. Building on the recent identification of a receptor narrowly tuned to this compound in *S. littoralis*, we investigated its evolution in the genus *Spodoptera*. We found that gene duplication followed by mutations that modified the binding pocket of the receptor led to the emergence of a new pheromone system in the common ancestor of *S. littoralis* and *S. litura*.

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The authors declare no competing interest.

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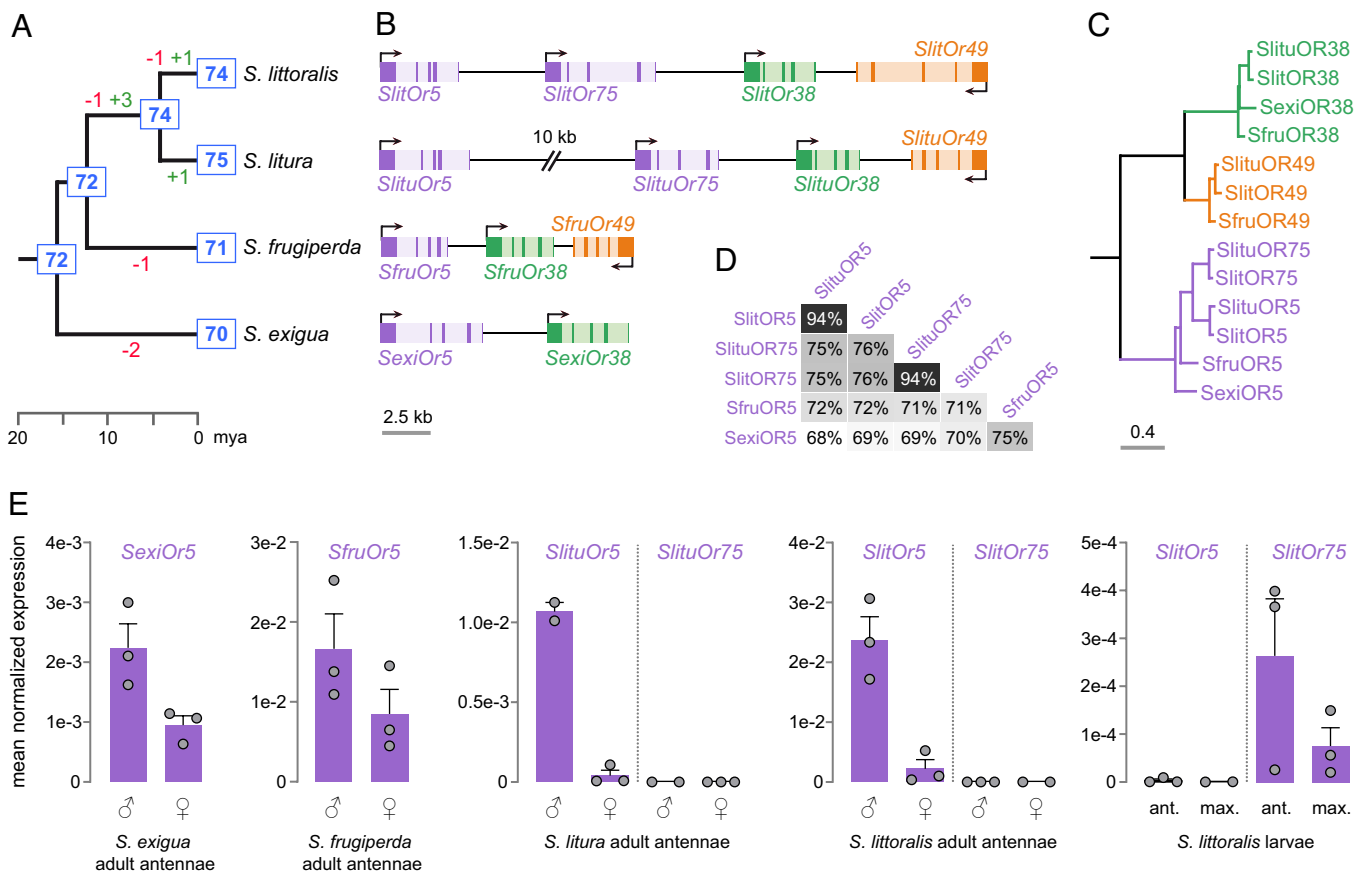
ultimately leading to functional divergence and the emergence of novel functions, as well as gene deletions or pseudogenization events (10, 11). Moth PRs make no exception and have a highly dynamic evolutionary history (12), although the implication of gene gains and loss in the evolution of PR response spectra has not been investigated *per se*. Single adaptive mutations explaining differences of ligand specificity between orthologous PRs from pairs of closely related species have been documented (13–15), but evolutionary studies across a broader range of species are lacking.

Moths of the genus *Spodoptera* (family Noctuidae) are good models for exploring the question of the evolution of pheromone detection. Sex pheromones have been characterized in a dozen *Spodoptera* species (16) and consist of mixtures of 14-carbon acetates in a specific ratio, with (*Z*)-9-tetradecenyl acetate being the major component of the blend in most species. However, in the two sibling species *S. littoralis* and *S. litura*, the major component of the sex pheromone is (*Z,E*)-9,11-tetradecadienyl acetate (hereafter referred to as (*Z,E*)-9,11-14:OAc), which is lacking or present only in minute amounts in other species (*SI Appendix*, Fig. S1). Detection of this compound is necessary and sufficient to trigger male attraction (17, 18). This means that a major change in the pheromone communication system occurred in a common ancestor of *S. littoralis* and *S. litura*. We recently discovered in *S. littoralis* a receptor tuned to (*Z,E*)-9,11-14:OAc, named OR5 (17). Interestingly, this receptor is distantly related to other moth PRs, suggesting independent origins. Building on this finding, we aimed at investigating the evolutionary trajectory of OR5 in the genus *Spodoptera*, in order

to shed light on events that allowed the emergence of a new pheromone communication channel in the common ancestor of *S. littoralis* and *S. litura*.

## Results

**The *Or5* Gene Duplicated in an Ancestor of *S. littoralis* and *S. litura*.** Our first objective was to rebuild the evolutionary history of *Or* genes in the genus *Spodoptera* and identify duplications and losses that may have occurred in a common ancestor of *S. littoralis* and *S. litura*. A repertoire of 74 *Or* genes has recently been annotated in the reference genome of *S. littoralis* (19). Here, we used all chromosome-level genome assemblies publicly available on NCBI to annotate *de novo* 70 *Ors* in *S. exigua*, and to reannotate 71 *Ors* in *S. frugiperda*—i.e., one more than in another genome assembly (20)—and 75 *Ors* in *S. litura*—two more than previously identified (21). Phylogenetic analysis revealed that these *Ors* group into 72 distinct paralogous lineages, which evolved each from a gene that was present in the common ancestor of the four *Spodoptera* species (Fig. 1A and *SI Appendix*, Fig. S2). *Or* gains and losses occurred in seven of these paralogous lineages, including three duplications in a common ancestor of *S. littoralis* and *S. litura*. The receptor tuned to (*Z,E*)-9,11-14:OAc in *S. littoralis*, *SlitOR5*, is encoded by one of these duplicated genes. We identified *SlitOr5* orthologs in all the three *S. exigua* (*Sexi*), *S. frugiperda* (*Sfru*), and *S. litura* (*Slitu*) genomes, and also found a *SlitOr5* paralog positioned in tandem in the genomes of *S. littoralis*



**Fig. 1.** *Or5* orthologs and paralogs in four *Spodoptera* species. (A) Evolution of the number of *Or* genes (blue boxes) in the genus *Spodoptera* as determined by the phylogenetic analysis (*SI Appendix*, Fig. S1). Gene gains are shown in green and losses in red. Phylogenetic relationships and divergence times are from ref. 22. (B) Synteny of *Or5* orthologs and paralogs in the four species. Exons are shown with dark colors, introns with light colors. Arrows indicate the 5' end of the ORF and the direction of transcription. (C) Phylogeny of ORs encoded by genes shown in B. The scale bar shows the expected number of amino acid substitutions per site. (D) Identity matrix between amino acid sequences encoded by *Or5* and *Or75* genes. (E) Expression level of *Or5* and *Or75* genes in olfactory organs of the four species as determined by RT-qPCR. Reference genes were ATPs for *S. exigua*, Actin for *S. frugiperda*, and Rpl13 for *S. litura* and *S. littoralis*.

and *S. litura* only, named *Or75* (Fig. 1B). The phylogenetic analysis showed that *Or5* and *Or75* paralogs arose from a recent duplication that occurred in a common ancestor of *S. littoralis* and *S. litura* (Fig. 1C). The OR proteins encoded by the four *Or5* and the two *Or75* genes exhibit a ~70% mean amino acid sequence identity, except SlitOR5/SlituOR5 and SlitOR75/SlituOR75 pairs that share 94% identity (Fig. 1D).

As moth sex PRs are generally overexpressed in male antennae (17), we then sought to compare expression levels of *Or5* and *Or75* in adult antennae of the four *Spodoptera* species. In *S. exigua* and *S. frugiperda*, *SexiOr5* and *SfruOr5* were expressed in both male and female antennae with a twofold enrichment in males (Fig. 1E). By contrast, *SlitOr5* and *SlituOr5* presented a clear male-biased expression in *S. littoralis* and *S. litura* antennae (10-fold and 26-fold enrichment compared to females, respectively). Expression of the *SlitOr75* and *SlituOr75* duplicates was nearly undetectable in both sexes. We tested whether *Or75* may be expressed in olfactory organs of *S. littoralis* at the larval stage and found a moderate yet consistent expression of *SlitOr75*, but not *SlitOr5*, in antennae and maxillae of fourth-instar larvae. Altogether, these results indicate that the expression level of *Or5* changed according to sex during *Spodoptera* spp. evolution. Following *Or5* duplication in a common ancestor of *S. littoralis* and *S. litura*, one copy became highly expressed in adult male antennae.

**Response Spectra of OR5 and OR75 Differ between the Four Spodoptera Species.** To investigate how the function of receptors encoded by *Or5* and *Or75* genes evolved in the genus *Spodoptera*, we verified whether they were all able to bind pheromone compounds and compared their tuning breadths. To do so, we used heterologous expression in at1 OSNs of *Drosophila* (23), a class of olfactory neurons housed in antennal trichoid sensilla and used by flies to detect the antiaphrodisiac pheromone cis-vaccenyl acetate (23). This expression system has proved successful for studying SlitOR5 (17). Single-sensillum recordings were performed to measure the response of transformed at1 OSNs to a large panel of moth pheromone compounds including all those identified in the sex pheromone blends of *Spodoptera* species (SI Appendix, Fig. S1). First, we investigated the response profiles of OR5 orthologs from *S. exigua* and *S. frugiperda*, whose females do not produce (Z,E)-9,11-14:OAc in their pheromone blends. We found that OSNs expressing SexiOR5 responded significantly to 10 compounds, and the highest activation was recorded in response to (Z,E)-9,11-14:OAc (Fig. 2A). OSNs expressing SfruOR5 also responded significantly to 10 compounds, most of them being the same as for SexiOR5, with the highest response recorded for (E)-11-14:OAc, a minor component of the *S. frugiperda* pheromone. Dose–response curves confirmed that SfruOR5 and SexiOR5 bound similar compounds, yet with different sensitivities (Fig. 2B). None of the ligands identified belongs to the pheromone blends of these two species (SI Appendix, Fig. S1). Second, we found that the function of OR5 orthologs was conserved between *S. littoralis* and *S. litura*, who share the same major pheromone component (Z,E)-9,11-14:OAc. Indeed, only this compound elicited strong responses of OSNs expressing SlitOR5 or SlituOR5, although a significant yet minor response to (Z,Z)-9,11-14:OAc was also measured for SlituOR5 (Fig. 2A). Dose–response analyses revealed that SlitOR5 and SlituOR5 had similar detection thresholds (Fig. 2B).

Additionally, expression in *Xenopus laevis* oocytes and two-electrode voltage-clamp recordings were used to confirm the response spectra of SlituOR5, SfruOR5, and SexiOR5. The two heterologous expression systems revealed highly similar response

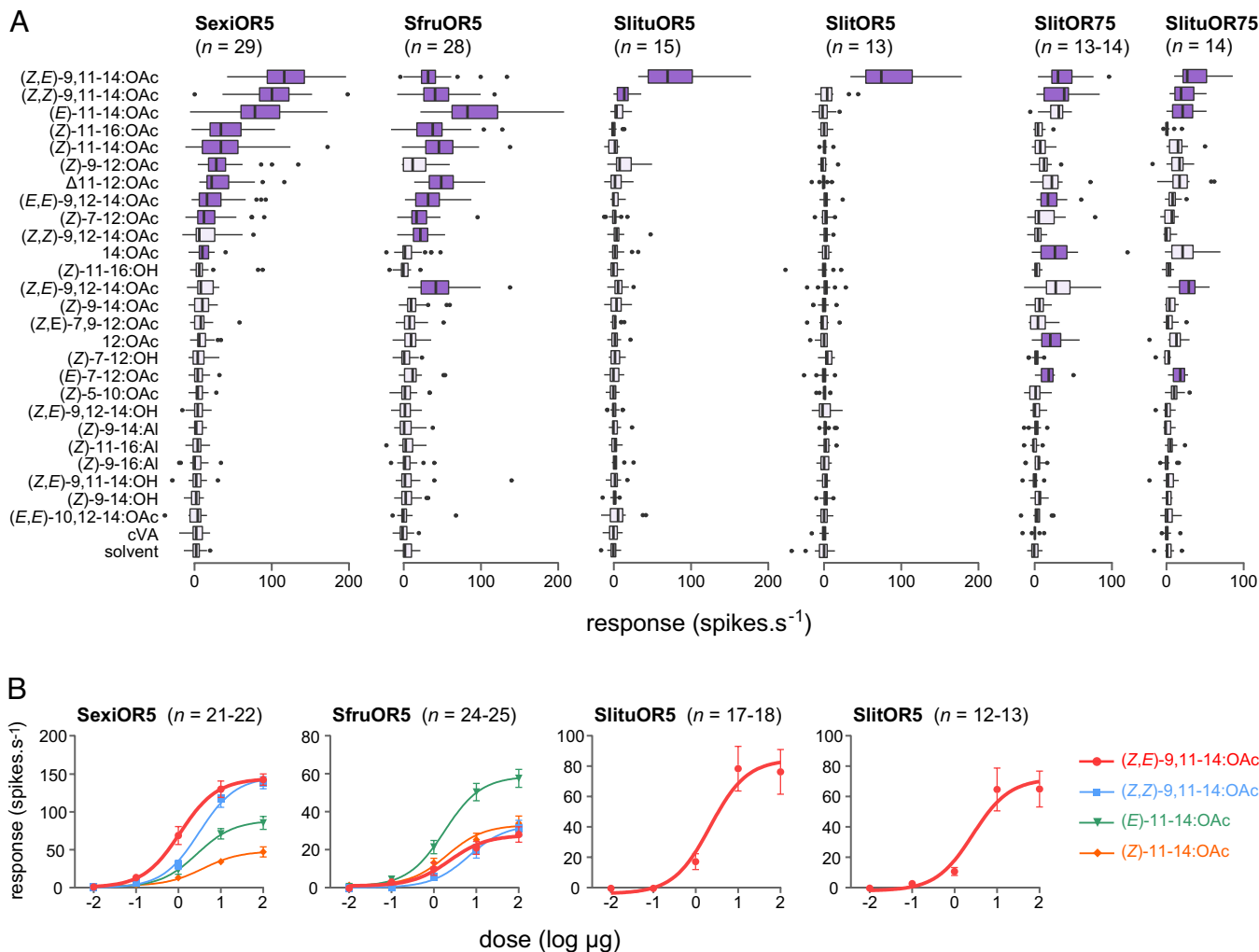
profiles (SI Appendix, Fig. S3), hereby confirming that SlitOR5 and SlituOR5 are narrowly tuned to (Z,E)-9,11-14:OAc, whereas SfruOR5 and SexiOR5 are more broadly tuned and can bind several pheromone compounds, including (Z,E)-9,11-14:OAc.

Since we evidenced a duplication of *Or5* in both *S. littoralis* and *S. litura*, we next investigated the function of the *Or75* duplicates. OSNs expressing SlitOR75 or SlituOR75 exhibited low responses to (Z,E)-9,11-14:OAc as well as four and five other compounds from the panel, respectively (Fig. 2A). Taken together, these results led us to hypothesize that the ancestral *Spodoptera* OR5 was a broadly tuned pheromone receptor able to detect (Z,E)-9,11-14:OAc among other molecules, and that following duplication in a common ancestor of *S. littoralis* and *S. litura*, one copy retained a broad tuning while the other evolved a narrow tuning to (Z,E)-9,11-14:OAc.

**Resurrected Ancestral ORs Are Functional and Have Similar Tuning Breadths Than Those of Their Descendants.** One way to test these hypotheses is to study the evolutionary trajectory of genes of interest by testing the function of ancient genes, a process known as ancestral gene resurrection (24–26). Here, we resurrected three ancestral receptors (further referred to as AncORs) located at three critical nodes in the phylogeny (Fig. 3A). One ancestor was located before the *Or5/Or75* duplication (AncOR5\_75), the two others after the duplication but before the split between *S. littoralis* and *S. litura* (AncOR5 and AncOR75). We first reconstructed their amino acid sequences using a maximum likelihood and Bayesian framework. For each amino acid position, a posterior probability was computed. Overall, the amino acids inferred by the ancestral reconstruction were supported by high posterior probabilities (SI Appendix, Fig. S4). The reconstructed sequences of AncOR5 and AncOR75 exhibited 96 to 97% identity with the extant receptors of *S. littoralis* and *S. litura* (Fig. 3B and SI Appendix, Fig. S5). AncOR5\_75 exhibited 87% identity with AncOR5 (which corresponds to 50 amino acid difference), 90% identity with AncOR75 (38 amino acid difference), and 84 to 87% identity with the extant receptors of *S. littoralis* and *S. litura*.

The reconstructed sequences were then synthesized in vitro and the AncORs were resurrected by expressing them in *Drosophila* OSNs, in order to determine their tuning breadth. All the three AncORs were functional. AncOR5-expressing OSNs responded specifically to (Z,E)-9,11-14:OAc, whereas OSNs expressing AncOR75 and AncOR5\_75 yielded significant responses to 10 and 8 pheromone compounds, respectively (Fig. 3C). These last two ancestors bound (Z,E)-9,11-14:OAc with the same activation threshold as AncOR5 but they were equally sensitive to other compounds, with (Z,Z)-9,11-14:OAc as their best ligand (Fig. 3D). In summary, the response spectra of AncOR75 and AncOR5\_75 matched those of the extant OR5 and OR75 receptors in the four *Spodoptera* species except SlitOR5 and SlituOR5 (Fig. 3E), thus supporting our hypotheses that OR5 was ancestrally able to bind several pheromone compounds including (Z,E)-9,11-14:OAc and that following duplication, one copy became narrowly tuned to this compound.

**Receptor Tuning Is Determined by Multiple Amino Acid Positions.** In order to decipher the molecular basis of the narrowing in tuning between AncOR5\_75 and AncOR5, we modeled their 3D structure with AlphaFold2 and determined their putative ligand binding pockets, as well as the (Z,E)-9,11-14:OAc pose in AncOR5\_75 (Fig. 4A). Nine different poses were obtained when docking (Z,E)-9,11-14:OAc to the modeled structure of AncOR5\_75. Residues located within 5 Å from all the nine poses of the ligand were considered as potential interacting residues. Out of these 28 amino



**Fig. 2.** Tuning breadth of OR5 orthologs and paralogs in *Spodoptera* species. (A) Action potential frequency recorded in *Drosophila* at1 OSNs expressing *Or5* and *Or75* genes of the four species after stimulation with 26 sex pheromone compounds (10  $\mu$ g loaded in the stimulus cartridge). Boxes show the first and third quartiles, whiskers show the distribution, and dots show outliers. Dark purple shows responses significantly different from the response to solvent ( $P < 0.05$ , one-way ANOVA followed by a Dunnett's post-hoc test). (B) Dose-response curves of the four OR5 orthologs. For SexiOR5 and SfruOR5, four compounds were tested. For SlituOR5 and SlitOR5, which were specific to (Z,E)-9,11-14:OAc, only this compound was tested.

acid positions that could possibly interact with the pheromone compound, eight were particularly interesting because they differed between AncOR5\_75 and AncOR5 (Fig. 4B and SI Appendix, Fig. S5). To verify whether these amino acid changes were indeed responsible for the change in tuning breadth, we retraced the potential evolutionary trajectory by substituting the eight AncOR5\_75 putative binding pocket residues by homologous residues of AncOR5. A total of 12 chimeric mutants were obtained by site-directed mutagenesis, including the eight different single-site mutants (M76V, V79L, T140V, A143T, F169Y, A204V, I275V, and T276S), two double mutants (V79L\_A143T and I275V\_T276S), one triple mutant (V79L\_A143T\_A204V), and one mutant carrying the eight mutations named AncOR5\_75\_mut8x. For double and triple mutants, residues in close proximity to each other were selected based on the predicted 3D structure.

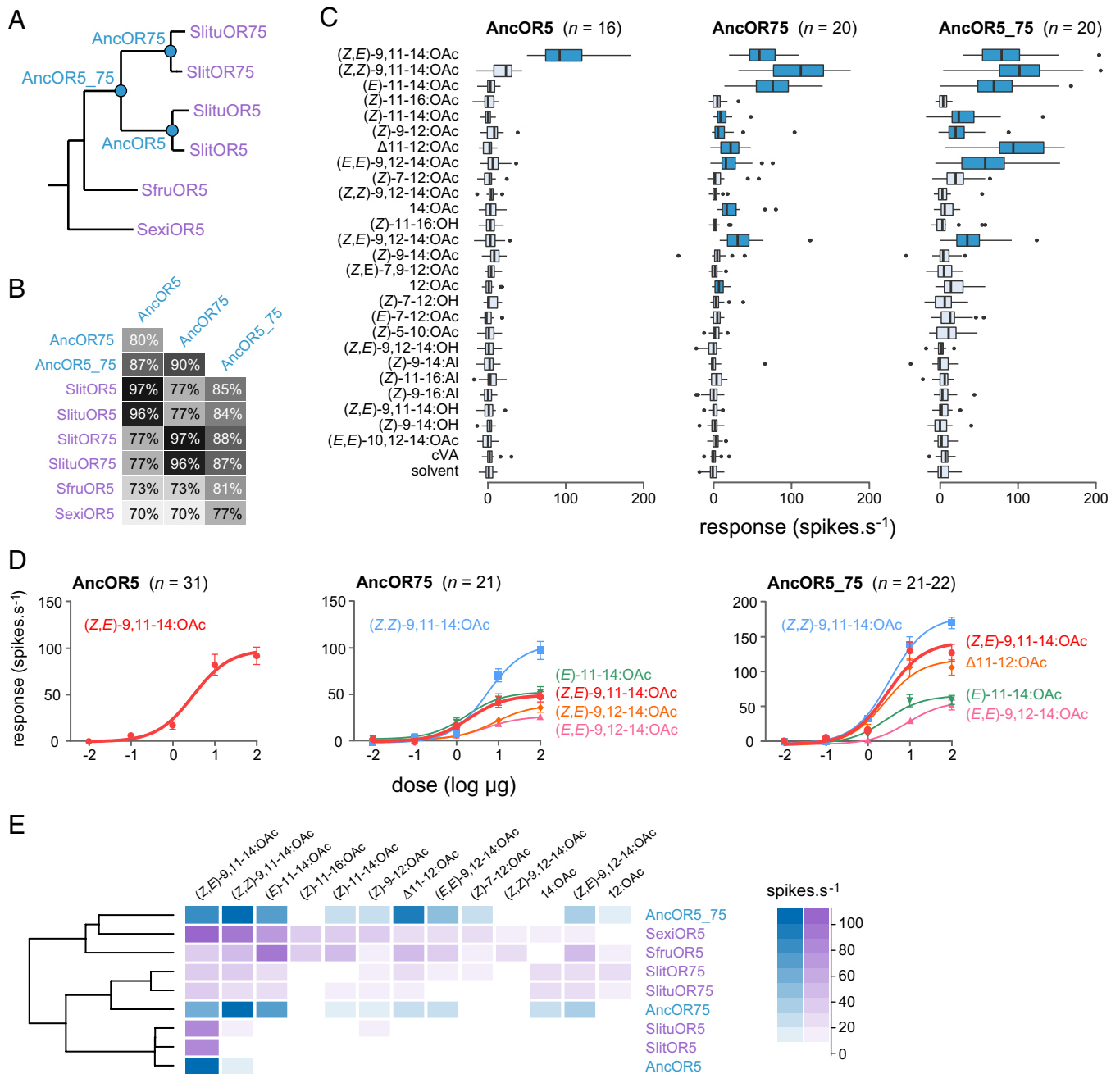
All mutants as well as AncOR5\_75 and AncOR5 were individually coexpressed with SlitOrco in *Xenopus* oocytes, and we recorded their electrophysiological responses to 6 pheromone compounds of *S. littoralis*. AncOR5\_75 responded to all the six compounds, while AncOR5 specifically responded to (Z,E)-9,11-14:OAc (Fig. 4C), similarly to what we observed when expressed in *Drosophila* OSNs. Interestingly, AncOR5\_75\_mut8x mirrored the response profile of AncOR5 in terms of specificity (Fig. 4C and

D and SI Appendix, Fig. S6), showing that the eight positions are indeed key for the receptor tuning.

Dose-response experiments were performed to further assess the sensitivity of AncOR5\_75\_mut8x, AncOR5\_75, and AncOR5 to the different pheromones. AncOR5\_75 showed a broad tuning with comparable sensitivities to most ligands, whereas AncOR5 and AncOR5\_75\_mut8x were more sensitive to (Z,E)-9,11-14:OAc with EC<sub>50</sub> values of 1.1  $\mu$ M and 0.4  $\mu$ M, respectively (Fig. 4E). All the individual mutations or double/triple combinations showed an effect on AncOR5\_75 tuning and most of them reduced its tuning breadth, but none of these mutations recapitulated the tuning of AncOR5 and AncOR5\_75\_mut8x (Fig. 4D). Notably, the triple-mutant V79L\_A143T\_A204V exhibited a narrow tuning to the stereoisomer (Z,E)-9,12-14:OAc (SI Appendix, Fig. S7). Altogether, this suggests that multiple mutations in the binding pocket have been involved in the tuning of OR5 toward (Z,E)-9,11-14:OAc.

## Discussion

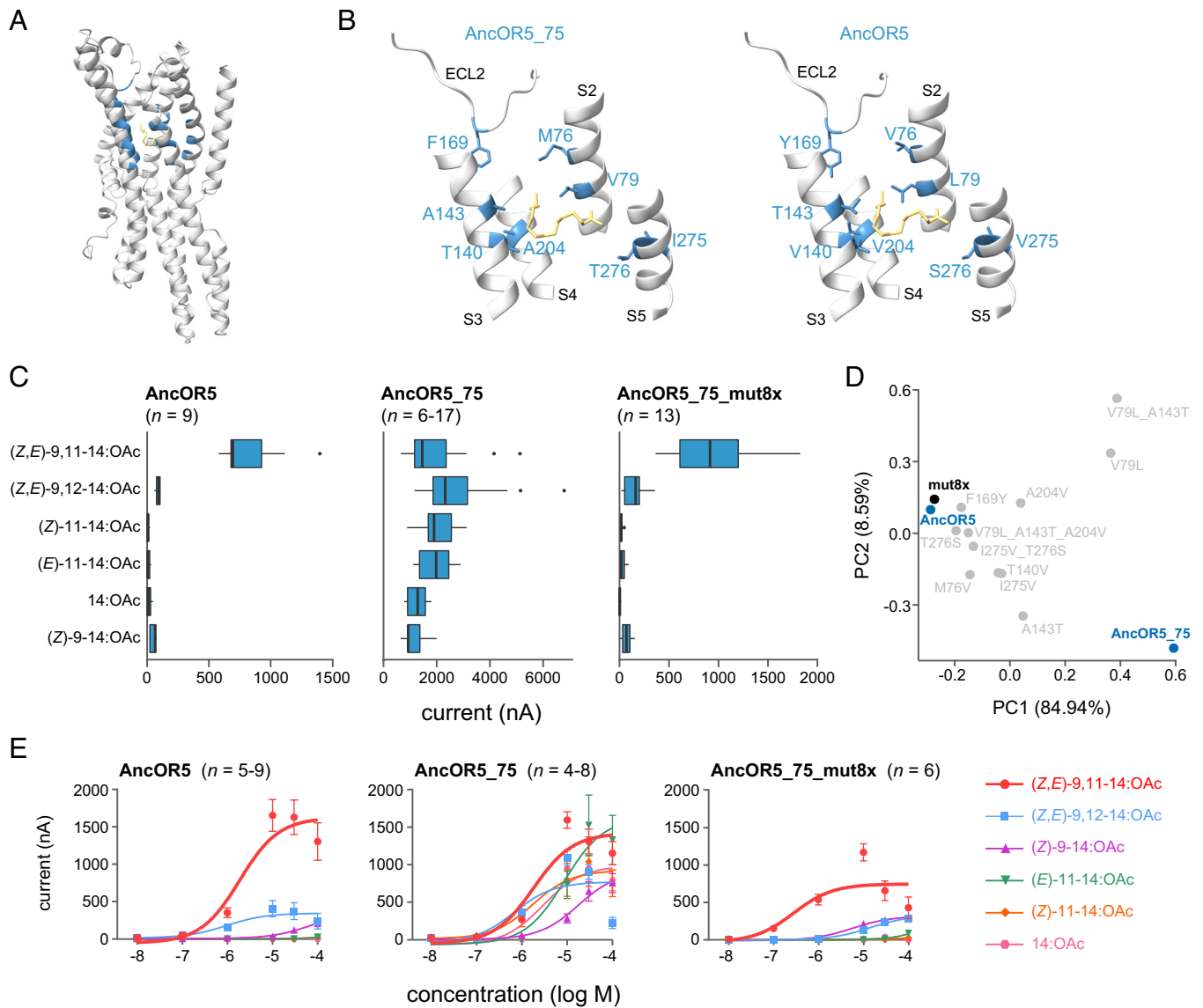
In this study, we have identified and functionally characterized five ORs from *Spodoptera* species that all clustered in the unique novel pheromone receptor clade containing SlitOR5. They all bound sex pheromone compounds, either from their own pheromone blend



**Fig. 3.** Tuning breadth of resurrected ancestral ORs before and after the *Or5* duplication in the ancestor of *S. littoralis* and *S. litura*. (A) Phylogeny of OR5 orthologs and paralogs (purple). The ancestral sequences that were resurrected are indicated on the nodes (blue). (B) Amino acid sequence identity matrix between the three ancestral and the six extant ORs. (C) Action potential frequency recorded in *Drosophila* at 1 OSNs expressing *Or5/Or75* ancestors after stimulation with 26 sex pheromone compounds (10  $\mu$ g loaded in the stimulus cartridge). Boxes show the first and third quartiles, whiskers show the distribution, and dots show outliers. Dark blue shows responses significantly different from the response to solvent ( $P < 0.05$ , one-way ANOVA followed by a Dunnett's post-hoc test). (D) Dose-response curves of the three ancestral ORs. (E) Heatmap summarizing the tuning breadth of OSNs expressing ancestral (blue) and extant *Or5/Or75* genes (purple). Receptors were clustered using Manhattan distances (dendrogram on the left).

or from that of other moth species. We thus further confirm the previous findings that moth PRs tuned to type I pheromone compounds (i.e., straight-chain hydrocarbons of 10 to 18 carbons carrying a terminal acetate, alcohol, or aldehyde function) arose at least twice during lepidopteran OR evolution (17, 27, 28). *Spodoptera* OR5 orthologs presented different tuning breadths and expression patterns. OR5 from the sister species *S. littoralis* and *S. litura* showed a male-biased expression and were specifically tuned to (Z,E)-9,11-14:OAc, their major sex pheromone component. In the distantly related species *S. exigua* and *S. frugiperda* that do not use (Z,E)-9,11-14:OAc as a pheromone component, OR5

presented broader response spectra and did not show a strong sex-biased expression. Interestingly, they seem to serve different functions in these species. SexiOR5 was tuned to several heterospecific compounds, i.e., which are not present in the *S. exigua* sex pheromone blend, with (Z,E)-9,11-14:OAc being the best ligand. *S. exigua* lives in sympatry with *S. littoralis* and *S. litura* (29), and it has been shown that *S. littoralis* and *S. litura* males can detect the major pheromone component of *S. exigua* (Z)-9-14:OH, which reduces catches in traps baited with their own sex pheromones (30, 31). This behavior could have been selected to maintain reproductive isolation between sympatric species. Similarly,



**Fig. 4.** Structural basis for the evolution of OR5 tuning breadth. (A) 3D structure of AncOR5\_75 as predicted by AlphaFold2. The ligand (Z,E)-9,11-14:OAc is shown in yellow. The 28 amino acid positions predicted to interact with the ligand (distance <5 Å) are shown in blue. (B) Binding pockets of AncOR5\_75 and AncOR5 showing the eight amino acids differing between the two ancestors. S2, 3, 4, and 5: transmembrane helices; ECL2: extracellular loop 2. (C) Inward current measured in *Xenopus* oocytes coexpressing AncOR5, AncOR5\_75, or AncOR5\_75\_mut8x and SlitOrco after stimulation with a panel of six components from the *S. littoralis* sex pheromone ( $10^{-5}$  M solution). Responses to the negative control ( $1\times$  Ringer's buffer containing 0.01% DMSO) were 0. (D) Principal component analysis based on the responses of AncOR5, AncOR5\_75, and its related mutants. (E) Dose-response curves of AncOR5, AncOR5\_75, and AncOR5\_75\_mut8x expressed in *Xenopus* oocytes.

*S. exigua* males are able to detect (Z,E)-9,11-14:OAc, which decreases trap catches (31). SexiOR5 is most probably the receptor responsible for this antagonistic behavior. In *S. frugiperda*, SfruOR5 also bound several components including (Z,E)-9,11-14:OAc and its response spectrum closely resembled that of an OSN population in *S. frugiperda* male antennae (32). The best SfruOR5 ligands were either (E)-11-14:OAc or (Z)-11-14:OAc, depending on the expression system. These compounds have been found at minute amounts in the pheromone glands of *S. frugiperda* females and their presence at 1% in synthetic pheromone blends strongly increased the proportion of males approaching the source in wind tunnel experiments (32). SfruOR5 may thus play an important role in pheromone communication by detecting minor components with a synergistic effect. In addition to the detection of heterospecific compounds (in *S. exigua*) and minor pheromone components (in *S. frugiperda*), we identified another potential function for OR5 homologs. In *S. littoralis*, *Or5* is mostly expressed

in adult male antennae and is necessary for male attraction toward the pheromone, whereas the duplicate *Or75* is expressed in larvae chemosensory organs. Expression of this latter receptor could explain how *S. littoralis* larvae can smell the female sex pheromone (33). This finding points toward the importance of considering the evolution of receptor expression and not only the evolution of response spectra. Further studies are needed to understand pathways regulating *Or* expression and how they can evolve in moths (34).

Regarding the shift in specificity of OR5 in *S. littoralis* and *S. litura* compared to OR75 paralogs and to *S. frugiperda* and *S. exigua* orthologs, the ancestral resurrection results suggest a typical case of subfunctionalization. Before the *S. littoralis*/*S. litura* split, AncOR5\_75 likely acted as a broadly tuned receptor, already able to detect (Z,E)-9,11-14:OAc. According to the asymmetric tracking hypothesis (35, 36), the broad tuning of this OR may have enabled males to respond to unusual pheromone components, like

(*Z,E*)-9,11-14:OAc, emitted by some females carrying a mutation in the pheromone biosynthesis pathway. However, after the OR5/OR75 duplication, OR5 acquired a drastically narrowed response spectrum to specifically detect (*Z,E*)-9,11-14:OAc, while its duplicate, OR75, retained a broad tuning. This suggests a rapid acquisition of different odor affinities after duplication, an essential step to avoid gene loss and eliminate redundancy. What remains puzzling is that, despite the short evolutionary time, we showed here that multiple amino acid substitutions seem to be necessary for the shift of ligand specificity between the ancestors and the actual OR5 receptors. This is an original finding since previous studies identified that only one or two amino acid substitutions are sufficient for a shift of function in other ecologically important receptors (13–15, 37–41). Our study clearly demonstrated that in the case of OR5, one amino acid substitution does not have a drastic impact on ligand specificity. However, the precise evolutionary trajectory that led to the shift of function and whether all the 8 mutations identified are necessary remain unknown. Further studies to narrow down the key residues should be conducted in the future. The analysis of sequence data from different populations of *S. littoralis* and *S. litura* could also help to identify the most critical residues in the OR5 fitness landscape.

Resurrection of ancestral ORs played a pivotal role first in deciphering the evolutionary trajectory of the OR5/75 clade and, second, in decreasing the number of putative amino acid candidates to test for functional analysis. To further narrow down the number of residues, we combined ancestral resurrection with 3D modeling. The combination of both approaches proved useful as only eight amino acids were ultimately pinpointed. A similar combined approach was conducted on other genes and permitted to identify residues that are critical for the protein function in chemoreceptors (42) and also in metabolic enzymes (43, 44). With the exponential rise of sequence data, mandatory for ancestral reconstruction reliability, the progress in molecular modeling with AlphaFold2 and other highly efficient modeling tools (45), as well as the easiness of synthesis of nonexisting sequences, all prerequisites are available to implement such an integrative functional assessment method (24).

## Material and Methods

**Annotation of Or Genes and Phylogeny.** *Or* genes were annotated by aligning a set of 485 full-length amino acid sequences of Noctuidae ORs with genome assemblies of *Spodoptera* species using Exonerate v2.4.0 (46) as implemented in Galaxy (47), with a score threshold of 500 and only the three best results reported. To avoid overestimating gene losses because of genes potentially missing in a given assembly, we used all chromosome-level assemblies available at NCBI for *S. exigua* (WH-S isolate, NJAU\_Sexi\_v1, GCA\_011316535.1; QAU\_Sexi\_v1, GCA\_022315195.1; PGI\_SPEX1\_v6, GCA\_902829305.4), *S. frugiperda* (ZJU\_Sfru\_1.1 Zhejiang isolate, GCA\_011064685.2; ASM1297921v2, GCA\_012979215.2; AGI-APGP\_CSIRO\_Sfru\_2.0, GCA\_023101765.3), and *S. litura* (ASM270686v3Ishihara strain, GCA\_002706865.3). Redundant sequences were eliminated using CD-HIT EST v1.3 (48) with a similarity threshold of 0.98. For the phylogenetic analysis, OR amino acid sequences were aligned with Clustal Omega v1.2.2 (49) and the tree was built with PhyML v3.0 (50) Branch support was estimated using a SH-like approximate likelihood ratio-test (51).

**Insect Rearing and Quantitative Real-Time PCR.** *S. exigua* and *S. litura* were bought from the Jiyuan Baiyun Industry Company (China). *S. frugiperda* were collected from a corn field in Yunnan province (China) in March 2019 and maintained in the lab on an artificial diet at 26 °C, 60% relative humidity, and under a 14 h:10 h light:dark cycle. *S. littoralis* originated from a laboratory colony reared on a semi-artificial diet (22 °C, 60% relative humidity, 16 h light:8 h dark). For all species, males and females were sexed as pupae and further reared separately.

Total RNA was extracted from three independent replicates of antennae (except for *S. litura* males, two replicates) from 2- to 3-d-old virgin males and females of *S. exigua* (50 pairs), *S. frugiperda* (40 pairs), *S. litura* (30 pairs), and *S. littoralis* (15 pairs) as well as from three replicates of 160 pairs of antennae and maxillae of fourth-instar *S. littoralis* larvae using TRIzol™ Reagent (Thermo Fisher Scientific, Waltham, MA, USA), including a DNase I treatment. cDNA was synthesized from 1 µg total RNA using the RevertAid First-Strand cDNA synthesis kit or SuperScript™ II reverse transcriptase (Thermo Fisher Scientific). Gene-specific primers (SI Appendix, Table S1) were designed using Primer3 (<http://primer3.ut.ee>) or Amplifx (<http://inp.univ-amu.fr/>). All reactions were performed in triplicate for each biological replicate. For each gene, a negative control without cDNA and a fivefold dilution series of pooled cDNAs from all conditions were included. For *S. exigua* and *S. frugiperda*, the qPCR mix contained 10 µL GoTaq® qPCR Master Mix (Promega, Madison, WI, USA), 1 µL cDNA, 0.5 µL each primer (10 µM), and 8 µL RNAse-free water. qPCR assays were performed using an ABI 7500 Fast Real-Time PCR system (Thermo Fisher Scientific). The PCR program began with a cycle at 95 °C for 2 min, followed by 40 cycles at 95 °C for 15 s and 60 °C for 1 min. For *S. litura* and *S. littoralis*, the qPCR mix contained 5 µL LightCycler 480 SYBR Green I Master (Roche, Basel, Switzerland), 4 µL diluted cDNA, and 0.5 µL of each primer. qPCR reactions were performed on a CFX384 Touch Real-Time PCR system (BioRad, Hercules, CA, USA) and the PCR program began with a cycle at 95 °C for 13.5 min, followed by 50 cycles at 95 °C for 10 s, 60 °C for 15 s, and 72 °C for 15 s. Dissociation curves of the amplified products were performed by gradual heating from 55 °C to 95 °C at 0.5 °C · s<sup>-1</sup>. The fivefold dilution series were used to construct relative standard curves to determine the PCR efficiencies used for quantification. *SexiATPs*, *SfruActin*, *SlitRPL13*, and *SlituRPL13* were used as reference genes. Mean normalized expression of target genes compared to the reference gene was calculated with Q-Gene (52) using the following formula (NE: normalized expression; E: primer efficiency; CT: cycle threshold):

$$NE = \frac{(E_{\text{reference}})^{CT_{\text{reference}}}}{(E_{\text{target}})^{CT_{\text{target}}}}$$

**Functional Analysis in *Drosophila* Neurons.** The generation of the *Drosophila* line expressing *SlitOr5* in at1 OSNs was previously described (17). The *SfruOr5* full-length open-reading frame (ORF) was amplified from *S. frugiperda* male antennal cDNA, cloned into a pCRII-TOPO™ vector (Thermo Fisher Scientific), and subcloned into the pUAST.attB vector by BMGIF (CNRS, Gif-Sur-Yvette, France). The *SexiOr5*, *SlituOr5*, *SlituOr75*, *SlitOr75*, *AncOr5*, *AncOr75*, and *AncOr5\_75* full-length ORFs were synthesized in vitro and subcloned into the pUAST.attB vector by Synbio Technologies (Monmouth Junction, NJ, USA). For *SexiOr5* and *AncOr* sequences, codons were optimized for expression in *Drosophila*. All transformant *UAS-Or* lines were generated by BestGene Inc. (Chino Hills, CA, USA), by injecting the pUAST.attB-Or plasmids (Endofree prepared, Qiagen, Hilden, Germany) into fly embryos with the genotype *y1 M[vas-int.Dm]ZH-2A w\*; M[3xP3-RFP.attP]ZH-51C* (53). The *UAS-Or* balanced lines were then crossed to the *Or67d<sup>GAL4</sup>* line (23) to obtain double homozygous flies expressing the *Or* transgene in at1 OSNs instead of *Or67d*. Flies were reared on standard cornmeal–yeast–agar medium and kept in a climate- and light-controlled environment (25 °C, 12 h:12 h light:dark cycle).

A screening of 26 pheromone compounds (SI Appendix, Table S2) was performed by using single-sensillum extracellular recordings on at1 OSNs as previously described (54). The pheromone compounds were either synthesized in the lab or purchased from Sigma-Aldrich (St Louis, MO, USA) and Pherobank (Wijk bij Duurstede, The Netherlands) and were diluted in hexane (Carlo Erba Reagents, Val de Reuil, France). at1 OSNs were stimulated during 500 ms, using stimulus cartridges made of Pasteur pipettes containing 10 µg pheromone dropped onto a filter paper. Dose–response analyses were performed using the same methods, with doses ranging from 10 ng to 100 µg pheromone in the stimulus cartridge. Data were analyzed using GraphPad Prism 8 (GraphPad Software Inc., San Diego, CA, USA). Odorants were considered as active if the response they elicited was statistically different from the response elicited by the solvent alone (one-way ANOVA followed by a Dunnett's *post hoc* test).

**Functional Characterization in *Xenopus* Oocytes.** ORFs of *SexiOr5*, *SfruOr5*, *SlituOr5*, *AncOr5*, *AncOr75*, *AncOr5\_75*, and *SlitOrco* (which was synthesized in vitro) were subcloned into the pCS2+ vector by Synbio Technologies. The template plasmids were fully linearized with NotI, and capped cRNAs were



transcribed using SP6 RNA polymerase with the mMACHINE SP6 transcription kit (Thermo Fisher Scientific). Purified crRNAs were resuspended in nuclease-free water at a 2 mg/mL concentration and stored at  $-80^{\circ}\text{C}$  until use. Stock solutions of the pheromone compounds tested were prepared by diluting each compound to 0.1 M in dimethyl sulfoxide (DMSO) and stored at  $-20^{\circ}\text{C}$ . Before each experiment, the stock solution was diluted to working concentration in  $1\times$  Ringer's buffer (96 mM NaCl, 2 mM KCl, 5 mM MgCl<sub>2</sub>, 0.8 mM CaCl<sub>2</sub>, 5 mM HEPES, pH 7.6).

The functional characterization of receptors was performed by heterologous expression in *Xenopus* oocytes combined with two-electrode voltage-clamp. Inward currents in oocytes expressing the receptors SexiOR5, SfruOR5, and SlituOR5 were measured in response to a panel of 13 pheromone compounds ( $10^{-4}$  M) as described in ref. 55. Experiments on ancestral receptors and related mutant receptors (see above) were performed with a panel of six pheromone compounds as described in ref. 56. Ringer's buffer containing DMSO was used as a negative control. Screening experiments were performed with compounds diluted at  $10^{-5}$  M, and ascending pheromone concentrations from  $10^{-8}$  to  $10^{-4}$  M were used for dose-response experiments. All data were analyzed using GraphPad Prism 8. To compare responses between the different ancestors and mutants, we performed a principal component analysis to extract the main principal components that explain their variability in tuning using the FactoMineR package in R (57). Taken together, PC1 (84.94%) and PC2 (8.59%) explain more than 93% of the total variability and are sufficient to discriminate tunings of the different receptors. No clear relationship was visible between the principal components and the tuning breadth.

**Reconstruction of Ancestral OR Sequences.** To reconstruct putative ancestral sequences of OR5 and OR75, a multiple sequence alignment was first performed using MAFFT (58) with homologous amino acid sequences from Noctuidae species, including *S. littoralis*, *S. litura*, *S. exigua*, *S. frugiperda*, *Helicoverpa armigera*, *H. zea*, *Athetis dissimilis*, and *A. lepigone*. Second, a phylogenetic tree was generated using PhyML (50) based on the resulting alignment. The ancestral reconstruction itself was done using the tool codeml of the package PAML (59) using both the topology of the phylogenetic tree obtained previously and the multiple sequence alignment. Briefly, codeml uses a maximum likelihood and an Empirical Bayes approach to estimate the likelihood of an ancestral sequence given the actual data, a tree topology, and a model of evolution. Using this method, the robustness of the ancestral reconstruction is evaluated for each amino acid position using a posterior probability. Due to the addition of distantly related sequences for the ancestral reconstruction, the sequences obtained for our nodes of interest were 398 amino acid long compared to the 397 amino acid sequence of the actual receptors. The additional leucine added by the reconstruction was then removed from the ancestral sequences. Values of posterior probabilities are ranging from 0.228 to 1, with more than 69% of sites showing posterior probabilities above

0.995 for AncOR5\_75, and more than 92 and 93% of sites for AncOR5 and AncOR75, respectively (SI Appendix, Fig. S4).

**Protein Modeling and Ligand-Binding Predictions.** The AlphaFold2 algorithm (60) was used to model the 3D structures of both current and ancestral ORs, through the Institut Français de Bioinformatique Core Cluster (ANR-11-INBS-0013). Best-ranked structures were then compared between orthologs using the MatchMaker tool of ChimeraX (61) and the rmsd computed using the same tool (61). The coordinates of putative binding pockets were identified with DeepSite (62). Webina (63) was used to dock known ligands to modeled structures using coordinates obtained from DeepSite, leading to nine different poses for each ligand. Residues located at  $<5$  Å from all the nine poses of the ligand were considered as putatively interacting with it.

**Site-Directed Mutagenesis.** Individual mutations were introduced in the pCS2+/AncOR5\_75 plasmid using the Q5 Site-directed Mutagenesis kit (New England Biolabs, Ipswich, MA, USA) with primers designed using NEBaseChanger (<https://nebasechanger.neb.com/>, SI Appendix, Table S3). Double and triple mutation combinations were selected based on the 3D structure analysis as residues that are in close proximity to each other. Plasmids were checked for successful mutations by Sanger sequencing (MicroSynth AG, Balgach, Switzerland) and then mini-prepped using QIAprep Spin Miniprep kit (Qiagen). For the AncOR5\_75 receptor carrying eight mutations (AncOR5\_75\_mut8x), the ORF was synthesized in vitro by Synbio Technologies and subcloned into the pCS2+ vector.

**Data, Materials, and Software Availability.** Data/codes have been deposited in Recherche Data Gouv (<https://doi.org/10.57745/VB73LJ>) (64). All other study data are included in the article and/or SI Appendix.

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