

# **Simulation of evapotranspiration and yield of maize**

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- 114
- 115 **Abstract**

116 Accurate simulation of crop water use (evapotranspiration, ET) can help crop growth models to 117 assess the likely effects of climate change on future crop productivity, as well as being an aid for 118 irrigation scheduling for today's growers. To determine how well maize (*Zea mays L.*) growth 119 models can simulate ET, an initial inter-comparison study was conducted in 2019 under the 120 umbrella of AgMIP (Agricultural Model Inter-Comparison and Improvement Project). Herein, 121 we present results of a second inter-comparison study of 41 maize models that was conducted 122 using more comprehensive datasets from two additional sites - Mead, Nebraska, USA and

123 Bushland, Texas, USA. There were 20 treatment-years with varying irrigation levels over 124 multiple seasons at both sites. ET was measured using eddy covariance at Mead and using large 125 weighing lysimeters at Bushland. A wide range in ET rates was simulated among the models, yet 126 several generally were able to simulate ET rates adequately. The ensemble median values were 127 generally close to the observations, but a few of the models sometimes performed better than the 128 median. Many of the models that did well at simulating ET for the Mead site did poorly for drier, 129 windy days at the Bushland site, suggesting they need to improve how they handle humidity and 130 wind. Additional variability came from the approaches used to simulate soil water evaporation. 131 Fortunately, several models were identified that did well at simulating soil water evaporation, 132 canopy transpiration, biomass accumulation, and grain yield. These models were older and have 133 been widely used, which suggests that a larger number of users have tested these models over a 134 wider range of conditions leading to their improvement. These revelations of the better 135 approaches are leading to model improvements and more accurate simulations of ET.

136

#### 137 **Graphical abstract**



### 139 **Highlights**



166 et al., 2015; Hasegawa et al., 2017), and potato (*Solanum tuberosum* L.; Fleisher et al., 2017)]. 167 As discussed by Kimball et al. (2019), only a few comparisons among methods or models to 168 simulate ET have been done previously. Sau et al. (2004) evaluated several ET options with the 169 CROPGRO Faba bean (*Vicia faba* L.) model, by careful comparison to soil water balance, and 170 found that the FAO-56 option (Allen et al., 1998) had a root mean square error (RMSE) that was 171 20% smaller than the Priestley-Taylor option (Priestley and Taylor, 1972) and 48% smaller than 172 the FAO-24 option (Doorenbos and Pruitt, 1985). In an inter-comparison of water use among 16 173 wheat models at four sites around the world, Cammarano et al. (2016) found the coefficient of 174 variation was only 22.5% among models and sites. In contrast, in an inter-comparison among 23 175 maize models, Bassu et al. (2014) found a very large range of simulated values of ET among the 176 models, including -10 to +30% variations in the ET response to doubled  $CO<sub>2</sub>$  concentration (720) 177 µmol/mol). However, there were no observations of ET or water use in the dataset chosen for 178 that study, so there was no standard for comparison. Therefore, Kimball et al. (2019) conducted 179 their study using eight seasons of data from Ames, Iowa, USA for which eddy covariance 180 measurements of ET were available. Like Bassu et al. (2014), they also found simulated ET 181 values varied by a factor of two among the maize models. Surprisingly, among the models with 182 closest agreement to observations, some were quite simple (e.g., no simulation of biomass) and 183 some were quite complex (e.g., full energy balance), so it was difficult to determine which 184 approaches were generally best and should be adopted by the poorer performing models. 185 Nevertheless, there were several cases in which different ET methods were tested within the 186 same family of crop models, and comparisons among these methods clearly revealed some 187 approaches that were better than others.

188

189 However, there were some issues with the Ames dataset (Kimball et al., 2019). For example, in 190 2012, an infamous year for drought in the Midwest, observed ET and crop yield were higher than 191 in other years. Further analysis led to the strong suspicion that there was a water table present to 192 provide additional water besides the sparse rainfall, yet deep soil water measurements were 193 lacking to confirm the suspicion. Therefore, it was decided to repeat the study of Kimball et al. 194 (2019) with more robust datasets.

195

196 Two such datasets were identified, one from the University of Nebraska at Mead, Nebraska, 197 USA (41.165°N, 96.470°W, 362 m), which is close to the  $100<sup>th</sup>$  meridian typically used to divide 198 the humid East from the arid West, thus placing it within the U.S. "corn belt." There were six 199 seasons of maize from irrigated and rainfed fields (12 treatment-years) with ET determined using 200 eddy covariance. The second was collected by the USDA, Agricultural Research Service, 201 Conservation and Production Research Laboratory (CPRL), Bushland, Texas, USA (35.183°N,  $202$  102.100°W, 1170 m), which is a more arid region where maize is mostly grown with irrigation, 203 and where winds are commonly higher. They measured ET using large weighing lysimeters. 204 They grew maize for two seasons with MESA (mid-elevation sprinkler application) at 100% and 205 75% replacement of soil water and in near-duplicate SDI (sub-surface drip irritation) fields at 206 100% (8 treatment-years). A total of 41 models participated in this second round of maize ET 207 simulation inter-comparisons (Tables 1, S1), and again the primary objective was to identify the 208 approaches that were most accurate for simulating ET, i.e., had the lowest RMSE compared to 209 the observations. Besides ET, other objectives were to test the models' abilities to simulate LAI, 210 biomass, grain yield, soil moisture, and soil temperature. By "approaches" we mean the methods

211 used by the models to simulate ET or other processes, i.e., FAO-56 (Allen et al. 1998) versus 212 Priestley-Taylor (1972), etc.

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- 214

#### 215 **2 Materials and Methods**

216 2.1 *Observed data*

217 2.1.1 *University of Nebraska, Mead, Nebraska, USA* 

218 One set of field data came from the University of Nebraska Agricultural Research and

219 Development Center near Mead, Nebraska, USA (http://csp.unl.edu/public/). The soils were deep

220 silty clay loams of Yutan (fine-silty, mixed, superactive, mesic Mollic Hapludalfs), Tomek (fine,

221 smectitic, mesic Pachic Argialbolls), Filbert (fine, smectitic, mesic Vertic Argialbolls), and

222 Filmore (fine, smectitic, mesic Vertic Argialbolls). The eddy covariance technique was used to

223 determine ET of maize and soybean (*Glycine max*) in alternate years, as well as fluxes of

224 sensible heat and CO2. Additional details can be found in Suyker and Verma (2008, 2009) and

225 Suyker et al. (2004, 2005). Briefly, fluxes of latent heat, sensible heat, and momentum were

226 determined using data from the following sensors at each site: an omnidirectional 3D sonic

227 anemometer (Model R3: Gill Instruments Ltd., Lymington, UK) and an open-path infrared

 $228$  CO<sub>2</sub>/H<sub>2</sub>O gas analyzing system (Model LI7500: Li-Cor Inc., Lincoln, NE).

229

230 The instruments were deployed near the centers of the fields, and the fetch was about 400 m in 231 all directions. The eddy covariance sensors were mounted 5.5 m above the ground. Fluxes were 232 corrected for inadequate sensor frequency, and they were also adjusted for the variation in air 233 density due to the transfer of water vapor and sensible heat. Air temperature and relative

234 humidity (Humitter50Y, Vaisala, Helsinki, FIN), soil heat flux at 0.06m (Radiation and Energy 235 Balance Systems, Inc., Seattle, WA), and net radiation at 5.5m (CNR1, Kipp and Zonen Ltd., 236 Delft, NLD) were also measured. Missing data due to sensor malfunction, power outages, 237 unfavorable weather, etc. (approximately 15–20% per year), were estimated using an approach 238 that combined measurement, interpolation, and empirical data synthesis. When hourly values 239 were missing (day or night), the latent heat values were estimated as a function of available 240 energy. Linear regressions between latent heat and available energy were determined (separately 241 for dry and wet conditions) for sliding 3-day intervals, and these estimates were used to fill in 242 missing flux values. 243

244 To check closure of the energy balance, the sum of latent and sensible heat fluxes  $(\lambda E + H)$ 245 measured by eddy covariance were plotted against the sum of  $R_n$  (net radiation) + four storage 246 terms, determined by other methods (e.g., Suyker and Verma, 2008). Linear regressions were 247 calculated between the hourly values of  $H + \lambda E$  and  $R_n + G$  at the study sites (excluding winter 248 months and periods with rain and irrigation). Here  $G = G_s$  (soil heat storage) +  $G_c$  (canopy heat 249 storage) +  $G_m$  (heat stored in the mulch) +  $G_p$  (energy used in photosynthesis). The regression 250 slopes averaged  $0.89 \pm 0.08$ , implying a fairly good closure of the energy balance.

251

252 We used values of daily ET flux, called observed-ET for 2003, 2005, 2007, 2009, 2011, and

253 2013 from the US-Ne2 (41.165° N, 96.470° W, 362 m; http://ameriflux.lbl.gov/sites/siteinfo/US-

254 Ne2) irrigated maize-soybean rotation field and from the US-Ne3  $(41.180^\circ \text{ N}, 96.440^\circ \text{ W}, 363)$ 

255 m; http://ameriflux.lbl.gov/sites/siteinfo/US-Ne3) rainfed maize-soybean rotation field.

256 Conservation tillage practices were used, so plant residues were not ploughed into the soil, and

257 the soil surface was generally partially covered with prior soybean crop residue. Both sites are 258 part of the Ameriflux (https://ameriflux.lbl.gov/sites) U.S. surface gas flux observation system, 259 and the two sites are within 1.6 km of each other. The cultivars were Pn33B51, Pn33G66, 260 Pn33H26, Pn33T57, DK\_61-72, and DK\_62-98 used in 2003, 2005, 2007, 2009, 2011, and 2013, 261 respectively The irrigated crops were planted on 14 May, 2 May, 1 May, 21 April, 17 May, and 262 30 May, and the rainfed crops on 13 May, 26 April, 2 May, 22 April, 2 May, and 13 May in 263 2003, 2005, 2007, 2009, 2011, and 2013, respectively. Destructive measurements of green leaf 264 area index (LAI) and biomass were made approximately bi-monthly during the growing season. 265

266 2.1.2 *USDA, Agricultural Research Service, Conservation and Production Research Laboratory,*  267 *Bushland, Texas, USA*

268 Maize was grown in 2013 and 2016 at the USDA-ARS Conservation and Production Research

269 Laboratory (https://www.ars.usda.gov/plains-area/bushland-tx/cprl/), Bushland, Texas (35.18° N,

270 102.10° W, 1170 m above MSL) on a gently sloping  $\langle 0.3\% \rangle$  Pullman soil (fine, mixed,

271 superactive, thermic Torrertic Paleustoll). Additional details and data are provided by Evett et al.

272 (2019, 2020, 2022). Four 4.4 ha fields, approximately square in shape and adjacent to each other,

273 each contained a large (3 m  $\times$  3 m in surface area, 2.3 m deep) precision weighing lysimeter in 274 the center. The lysimeters contained undisturbed cores of the Pullman soil obtained on site, and

275 they had an accuracy of 0.04 mm water depth equivalent or better (Evett et al., 2012; Marek et

276 al., 1988). The fields and their associated lysimeters were designated NE, SE, NW, and SW

277 according to the inter-cardinal directions. The NE and SE lysimeters and fields were irrigated by

- 278 subsurface drip irrigation (SDI), and the NW and SW lysimeters and fields were irrigated by
- 279 mid-elevation sprinkler application (MESA) using a ten-span linear-move system described by

280 Evett et al. (2019). Adaptation of SDI for the NE and SE weighing lysimeters was described by 281 Evett et al. (2018a). A 109-day drought-tolerant variety (Pioneer 1151AM AquaMax,  $\leq 80\%$  Bt) 282 was planted on 16-17 May 2013 under MESA irrigation, on 22-23 May 2013 in the SDI fields 283 and on 10-11 May 2016 in all fields. These are typical dates for maize planting in the region. 284 Crops were managed and fertilized for high grain yield, as detailed by Evett et al. (2019). In each 285 field, destructive subsampling for leaf area index and biomass occurred in replicate plots 286 periodically during the season, and plant height and row width were measured at the same times. 287 Maize harvests were on 15 October 2013 and on 13 and 17 October 2016. 288

289 Soil water content was sensed at center depths of 0.10 to 2.30 m in 0.20 m increments in each of 290 eight access tubes in the field around each lysimeter and in two access tubes in each lysimeter (to 291 1.90 m depth) on a weekly basis, unless prevented by wet field conditions, using a field-292 calibrated neutron probe and depth-control stand (Evett et al., 2008). Once the crop was 293 established, irrigations were scheduled weekly to replenish the soil water in the top 1.5 m of the 294 profile to field capacity (i.e., replenishing 100% of crop ET), except for one MESA field where 295 irrigations were 75% of full crop ET after crop establishment. As explained by Evett et al. 296 (2019), the MESA 75% deficit irrigation treatment was established to complete a previous 297 longer-term deficit irrigation study. In some cases, two or even three irrigations were required in 298 a week to replenish the water used by the crop. Irrigations by sprinkler and by SDI typically did 299 not occur on the same day. Neutron probe readings were delayed until the soil surface was dry 300 enough to walk on. The soil profile in early 2013 was quite dry, and SDI preplant irrigation and 301 SDI irrigation immediately after planting were required to plant and germinate the crop. This 302 resulted in a full soil profile in the SDI fields by the time neutron probe sensing began, while

303 crop germination with MESA irrigation was accomplished with less frequent irrigations that did 304 not penetrate to the 1.5 m depth. Irrigations in the 100% SDI and MESA fields maintained the 305 soil water depletion to less than the management-allowed depletion level throughout the season. 306 In 2016, the soil profile was much wetter following a wet winter, and no preplant irrigation and 307 less irrigation immediately after planting were needed. Again, irrigations in the 100% SDI and 308 MESA fields kept soil water depletion to less than the management-allowed depletion level.

309

310 Evapotranspiration (ET) was determined on 5 min, 15 min, and daily bases using data analyses 311 and quality control procedures described by Marek et al. (2014) and Evett et al. (2019). Fifteen-312 minute-average weather data were output from the research weather station of the USDA-ARS 313 Soil and Water Management Research Unit at Bushland, Texas located immediately east of the 314 lysimeter fields. The weather station instrumentation and data quality assurance and control 315 procedures were applied as described by Evett et al. (2018b).

316

317 2.2 *Modeling methodology*

318 2.2.1 *Model list.* The simulations were conducted by 20 modeling groups from around the world 319 with 41 models completing the inter-comparison (Table 1). Details about each model are 320 presented in supplementary Table S1. However, as can be seen from the names (Tables 1, S1), in 321 some cases there were several "flavors" of different simulation methods tested within the same 322 model family that were chosen by the user at run time. The biggest example is that of the 323 DSSAT family (Hoogenboom et al., 2019a,b; Jones et al., 2003) of the Cropping System Model 324 (CSM) within which both the CSM-CERES-Maize and CSM-IXIM-Maize (hereafter simply 325 called CERES and IXIM) modules were run. Both calculate a value called potential 326 evapotranspiration, ETp, which was done using four methods: (1) FAO-56 (Allen et al., 1998),

327 (2) Priestley-Taylor (1972), (3) the ASCE Standardized Reference Evapotranspiration Equation 328 (Allen et al., 2005) for 12-cm grass (short crop), and (4) the ASCE Equation for 50-cm alfalfa 329 (tall crop; *Medicago sativa* L.) with FAO-56 dual crop coefficients for maize (Table S1). Within 330 these eight combinations, two E methods for calculating soil water evaporation were tested: 331 "Ritchie" (Ritchie, 1972) and "Suleiman" (Suleiman and Ritchie, 2003, 2004). In addition, 332 within the CERES-FAO-56 and CERES-Priestley-Taylor combinations, E was also computed 333 using Hydrus (Šimůnek et al., 1998, 2008; Shelia et al., 2018), in which soil water moves based 334 on potential gradients. Thus, there were a total of 18 (2 models x 4 ETp methods x 2 soil E 335 methods + 2 Hydrus) DSSAT flavors. Within the DSSAT flavors, model calibrations though 336 Phase 4 were aimed at the best statistics [lowest RMSE, and highest D-statistic (Willmont, 337 1982)] for growth, grain yield, ET, and soil water variables, averaged over four ET options (two 338 ET by two E methods) in order to minimize bias. The ASCE and Hydrus ET options were not 339 included in this process because the methods were not part of the DSSAT V4.7 release, so they 340 were at a slight disadvantage because they were not independently calibrated. Nevertheless, the 341 resulting cultivar coefficients were consistently used among all the DSSAT simulations. 342 343 In addition, Expert-N had GECROS and SPASS flavors, STICS had KETP and ETP\_SW 344 flavors, and MAIZSIM had daily and hourly flavors. 345

347 Table 1. List of models and their acronyms. (For details about the evapotranspiration aspects of

- 348 each, see Supplementary Table S1: List of Models Plus Their Simulation Characteristics and
- 349 Comparisons of Soil Moisture Simulations)
- 350





352 2.2.2 *Simulation protocol.* The study was conducted in four phases:

353 1. "Blind phase." The modelers were sent key input data about soils, weather, and 354 management (planting dates, irrigations, fertilizer applications, etc.) information. They 355 also received anthesis and maturity dates, but no other information about plant growth, 356 grain yield, or water use. 357 2. "Potential or non-stressed growth phase". The modelers were sent time-series leaf area 358 index (LAI) and biomass observations, as well as final grain yields for all the non-water-359 stress treatments (only irrigated for Mead; only 100% irrigation for Bushland) 360 3. "Non-stress ET phase". The modelers were sent all ET, soil water, and soil temperature 361 for the non-water-stress treatments (only irrigated for Mead; only 100% irrigation for 362 Bushland) 363 4. "All phase". In this final phase, the modelers were provided with all LAI, biomass, grain 364 yield, ET, soil moisture, soil temperature etc. data for all treatment-years. 365 366 The modelers were told to start their simulations on day-of-year 91, so there would be time for 367 equilibration of soil moisture and soil temperature. They were also provided "initial" soil water 368 content profiles, but the number of days before planting at which soil moisture was determined 369 varied widely from season to season. 370 371 2.2.3 *Methods for evaluating model performance*

372 Correlation coefficients (r), D statistics (Willmott, 1982), root mean squared errors between 373 observed and simulated values (RMSE), normalized root mean squared errors (nRMSE), average

374 differences, as well as mean squared deviations (MSD), standard bias (SB), non-unity of slopes

- 375 (NU), and lack of correlations (LC) following Gauch et al. (2003), are all presented as
- 376 Supplementary Statistical Data for Phase 4. Also included are slopes and intercepts of
- 377 regressions of observed on simulated data, along with corresponding graphs for each model and

378 analyzed parameter.

379 Herein, we chose to present the nRMSE results calculated using:

380 
$$
nRMSE = \{ [n^{-1} \Sigma (P_i - O_i)^2]^{0.5} \} \bar{O}^{-1}
$$

381 where n = number of observations,  $P_i$  and  $O_i$  are the simulated and observed ith value pair, and  $\bar{O}$  $382$  is the observed mean. Normalizing with  $\bar{O}$  enables a comparison of the variability of parameters 383 with widely different units and scales, such as ET rate and biomass accumulation, although 384 admittedly, nRMSE fails for  $\bar{O}$  = zero or small values close to zero.

#### 385 2.2.4 *k-means clustering*

386 A *k*-means clustering algorithm was used to group models with similar nRMSE statistics and 387 identify the top-performing models in a non-arbitrary way. Analyses focused on nRMSE for four 388 pairs of model output variables, including simulated ET (ETs) versus grain yield and biomass 389 from -10 to +20 days after planting (DAP) (soil E dominant) and from 41 to 100 DAP (canopy T 390 dominant). Initial tests varied the number of clusters (*n*) from 1 to 19. The final analysis was 391 conducted with  $n=4$  clusters based on reducing the sum of squared distance from the cluster 392 center to less than 20% of that for *n*=1 cluster. Using *n*=4 clusters also resulted visually 393 appealing cluster plots with the set of top-performing models clearly identified within groups 394 having low nRMSE for both variables of each pair. The *k*-means analysis was conducted using 395 the "scikit-learn" package for Python (Pedregosa et al., 2011). 396

#### 398 **3 Simulation results and discussion**

399 3.1 *Daily results for irrigated and rainfed Mead in 2003 (the driest year) and Bushland 100%* 

400 *and 75% sprinkler irrigations in 2013 (the year with highest observed ET rates)* 

401 These four cases were selected from among the twenty treatment-years available for more 402 detailed (daily) examination because they represent the two sites and the two cases at each site 403 with the likely greatest water stress difference between treatments, i.e., irrigated versus rainfed in 404 the driest year at Mead and 100% versus 75% MESA irrigation in the year with the highest daily 405 ET rates in Bushland.

406 3.1.1 *Daily simulated evapotranspiration (ETs)*

407 3.1.1.1 *Irrigated Mead in 2003.* As found previously (Kimball et al., 2019), there was a wide 408 range in ETs among the models (Fig. 1). However, the median of all the models tended to be 409 close to the observed values most days. Admittedly, for this intercomparison, as well as for all 410 the others that follow in the rest of this paper, the median is biased toward DSSAT because of 411 the large number of "flavors." For this case, the observed values fell within the short  $(1-3 \text{ mm/d})$ 412 green boxes much of the time, which indicates many of the models produced respectable 413 simulations. There was only a slight (< 1 mm/d) improvement in model performance going from 414 Phase 1 to Phase 4. It appears that the greatest variability and uncertainty among the models 415 occurred from about 10 days before planting to 10 DAP (soil E dominated) and from about 130 416 to 160 DAP (after the crop matured). A likely cause of the latter issue is that many models 417 retained a fair amount of green LAI at and after simulated maturity; thus, model equations for ET 418 that depend strongly on LAI did not result in sufficient termination of ET. Successive model 419 adjustments or calibrations going from Phase 1 to Phase 4 as more information was provided 420 only slightly improved this. Model code improvement is needed to decrease green LAI due to

421 senescence, eventually shutting down T at crop maturity. Code improvement likely is also 422 needed to improve the simulation of bare soil ET.

423 3.1.1.2 *Rainfed Mead in 2003.* For rainfed conditions at Mead, the models showed large 424 variability (uncertainty) in daily ETs from about -10 to  $+10$  DAP (soil E dominated; Fig. 2) 425 similar to the irrigated field (Fig. 1). The greatest deviations (or errors) occurred from about 70 426 to 95 DAP when there was little rainfall (Fig. 2a). The observed ET continued at close to 4 427 mm/d, whereas the models simulated much lower rates. Like the irrigated field (Fig. 1), after 428 DAP 120 as the crop matured, the measured ET decreased rapidly, whereas the models continued 429 to simulate much higher ET. The ET variability during the -10 to +10 DAP period was related to 430 highly different methods for simulatingE, some of which proved to be less accurate. The issues 431 during the maturation period after 120 DAP are related to the insufficient termination of T after 432 maturity. More importantly, the period from about 70 to 95 DAP and beyond corresponds to the 433 period of water limitation, when most models (and the median) simulated lower than observed 434 ET. We suspect this is caused by inadequate soil water dynamics in the models, such as 435 insufficient rooting depth, inadequate water up-flux or the presence of a perched water table, as 436 well as excessive simulated ET during the early growth phase that depleted the simulated soil 437 water too much, thus reducing ET later.

438

439



- 443 Figure 1. (a.) Weather variables (maximum and minimum air temperature, dew point, solar
- 444 radiation, wind speed, rainfall) observed at irrigated field NE2 at Mead in 2003 versus days after
- 445 planting (DAP). (b.) Box plots of daily simulated evapotranspiration (ETs) where the lower and
- 446 upper limits of the box indicate the  $25<sup>th</sup>$  and  $75<sup>th</sup>$  percentile of ET values simulated by 41 maize
- 447 growth models, respectively, the lower and upper whiskers indicate the  $10^{th}$  and  $90^{th}$  percentiles,
- 448 and the points are outliers. Observed values and the median values from the 41 models are also
- 449 shown. The simulated outputs start with Phase 2, for which the modellers were given leaf area
- 450 index, growth, and grain yield data for all 100% irrigated treatment-years. Phase 1 simulations, a
- 451 "blind" test whereby the modellers were only given weather, phenology, management, and soils
- 452 information, are missing from this graph because a plant population mistake was made for Mead
- 453 irrigated fields. (c.) Same as (b.) except for Phase 3 whereby the modellers were given the
- 454 observed ET, soil water content, soil temperature for all 100% irrigated treatment-years. (d.)
- 455 Same as (c.) except for Phase 4 whereby the modellers were given all data, including ET,
- 456 growth, and grain yield, for all 20 treatment-years, including rainfed and 75% irrigations. (e.)
- 457 Observed daily ET values as well as the median ETs values for Phases 2, 3, and 4



460 Figure 2. Similar to Figure 1 except for rainfed Mead field NE3 in 2003, and data for Phase 1 are 461 also included.

464 3.1.1.3 *100% and 75% irrigated Bushland in 2013.* For the Bushland location, most of the 465 models (and the median) under-estimated ET during the 45 to 80 DAP period when windspeeds 466 were high (> 5 m/s) and dew points were low (Figs. 3, 4). Model calibration (Phases 1 to 4) only 467 partially improved this situation. This is possibly related to the fact that many of the models do 468 not adequately account for varying wind speed and humidity, as can be deduced from the fact 469 that the models estimated ET fairly well during periods of smaller ET but under-estimated ET 470 greatly during periods of larger ET, when wind speeds were high and relative humidity was low. 471 The fact that solar irradiance was also smaller during some of the periods of smaller ET (due to 472 storm fronts) indicates that the radiation and energy balance algorithms may also need 473 improvement. As before with Mead (Figs. 1, 2), the models failed to reduce T sufficiently after 474 crop maturation (Figs. 3, 4; 105 to 145 DAP). Surprisingly, the models tended to simulate the 475 75% irrigation treatment (Fig. 4) better than they did the 100% treatment (Fig. 3). Again, we 476 speculate that this is because many of the models had not been calibrated previously to account 477 for the very high winds and low humidity in Bushland, so their ETs simulations were lower than 478 the high observed ET rates for the 100% irrigations treatment (Fig. 3), whereas under the 75% 479 treatment (Fig. 4), drought stress reduced observed ET rates into the ranges for which the models 480 had been calibrated. The fact that observed ET for the 75% MESA irrigations treatment was 481 similar to that for the 100% SDI treatment (Evett et al., 2019) indicates that E may play an 482 important role in the discrepancies between simulated and observed ET for the 100% MESA 483 treatment. The major difference between SDI and MESA irrigation in the Bushland experiments 484 was the larger evaporative losses from the soil surface in MESA irrigated fields (Evett et al., 485 2019).



488 Figure 3. Similar to Fig. 1 except for 100% MESA (mid-elevation sprinkler application) irrigation at Bushland in 2013.



492 Figure 4. Similar to Fig. 1 except for 75% irrigation at Bushland in 2013, and data for Phase 1 are also included.

- 495 3.1.2 *Ranking of models with respect to their nRMSE for simulating daily ETs*
- 496 3.1.2.1 *Irrigated Mead in 2003*
- 497 The median of all the models had the lowest nRMSE for ETs for Phases 2, 3, and 4 for both early
- 498 season (-10 to +20 DAP; soil E dominant) and mid-season (41 to 100 DAP; canopy T dominate)
- 499 (Fig. 5). For early season STCK was the best model followed by several DSSAT "flavors," and
- 500 at mid-season several DSSAT flavors again did well, especially for Phase 2. STCK uses Penman
- 501 (1948) to calculate atmospheric demand and the 2-phase model of Brisson and Perrier (1991) and
- 502 Brisson et al. (1998, 2003) to calculate soil water evaporation, Ea (Table S1). Note that all the
- 503 DSSAT flavors listed for -10 to +20 DAP end in "R", which indicates that the soil E method of
- 504 Ritchie (1972) was better than the more recent method of Suleiman and Ritchie (2003, 2004).
- 505 However, for Phase 2 during the 41 to 100 DAP period DIFS and DCFS did well, but during this
- 506 period canopy T was dominant, so soil E was relatively unimportant then.
- 507
- 508 The effects of changes made by the modelers going from phase to phase can also be seen in Fig.
- 5. For example, BIOM was ranked 19<sup>th</sup> for Phase 2, -10 to +20 DAP but improved to 5<sup>th</sup> and 6<sup>th</sup>
- 510 for Phase 3 and Phase 4, respectively. Like the well-performing DSSAT flavors, BIOM



- 512 Figure 5. (a) Normalized root mean squared error (nRMSE) between observed and simulated
- 513 daily ET values from -10 to +20 days after planting (DAP)(mostly soil E) for the irrigated field
- 514 NE2 at Mead in 2003 for all the models. Phases 2, 3, and 4 are identified by red, cyan, and blue
- 515 bars with Phase 2 at the top and Phase 4 at the bottom of each group. Phase 1 data are missing
- 516 from this graph because a plant population mistake was made for Mead irrigated fields. The
- 517 models have been sorted in ascending order of nRMSE for Phase 2 from top to bottom of the
- 518 graph with the rank numbers on the left axis indicating their ranking for Phase 2. The Median
- 519 (Med) and the six best models (lowest nRMSE) for Phase 2 are listed under "Ph2". Somewhat
- 520 similarly, the Median and six best models for Phases 3 and 4 are also listed under "Ph3" and
- 521 "Ph4", but because the modelers made different adjustments going from phase to phase, their
- 522 rank order changed, so the names along with their nRMSE rank are in different positions down
- 523 the graph. (b) Same as for (a) except the data are for 41 to 100 DAP (mostly crop canopy T) with
- 524 the ranking done on the 41 to 100 DAP Phase 2 data

526 also uses Ritchie (1972) to simulate soil E. AHC rose from  $28<sup>th</sup>$  to  $5<sup>th</sup>$  from Phase 2 to Phase 4 527 for the -10 to +20 period. AHC uses the two-stage FAO-56 method to simulate E for mostly bare 528 soil (Table S1). A huge improvement was made by SLUS going from  $40^{th}$  for Phase 2 to  $5^{th}$  for 529 Phase 3 for the 41 to 100 DAP period. SLUS calculates atmospheric demand from Priestly and 530 Taylor (1972) and then uses an empirical equation to simulate potential ETp (Table S1), which 531 would be mostly T for the irrigated full canopy. XNSM, SMET, and CS all markedly improved 532 from Phase 2 to Phase 4 to be among the best for the full canopy (Fig. 5b). All three use FAO-56 533 (Allen et al., 1998) with some modifications (Table S1).

534

#### 535 3.1.2.2 *Rainfed Mead in 2003*

536 The STCK model was best for simulating ETs for the -10 to +20 DAP period in the rainfed field 537 at Mead in 2003 for Phases 1 and 2, while the median was  $2<sup>nd</sup>$ , and then they traded rankings for 538 Phases 3 and 4 (Fig. 6a). ECOS, JUL, DCFR, DIFR, and STSW also did very well. ECOS is a 539 full energy balance model while JUL uses the Penman-Monteith approach (Monteith, 1965) with 540 a 10-layer canopy (Table S1). BIOM rose from  $31<sup>st</sup>$  for Phase 1 to  $3<sup>rd</sup>$  for Phases 3 and 4. JUL 541 and XNGM were best for the 41 to 100 DAP period (Fig. 6b). MZD was 3<sup>rd</sup> for Phase 1, but did 542 much worse in the other phases. DIFR, DCFR, and DIPR did well. ECOS rose from 13<sup>th</sup> for 543 Phase 1 to 2<sup>nd</sup> for Phases 3 and 4. All of these listed models were better than the median for this 544 case.

545

#### 546 3.1.2.3 *100% MESA irrigation at Bushland in 2013*

547 The median of all the models ranked  $1<sup>st</sup>$  at simulating ETs from -10 to +20 DAP for all phases in

548 Bushland with 100% MESA irrigation in 2013 (Fig. 7a). Except for Phase 2, ECOS, an energy



550 Figure 6. (a.) Normalized root mean squared error (nRMSE) between observed and simulated 551 daily ET values from -10 to +20 days after planting (DAP)(mostly soil E) for the rainfed field 552 NE3 at Mead in 2003 for all the models. Phases 1, 2, 3, and 4 are identified by green, red, cyan, 553 and blue bars with Phase 1 at the top and Phase 4 at the bottom of each group. The models have 554 been sorted in ascending order of nRMSE for Phase 1 from top to bottom of the graph with the 555 rank numbers on the left axis indicating their ranking for Phase 1. The Median (Med) and the six 556 best models (lowest nRMSE) for Phase 1 are listed under "Ph1". Somewhat similarly, the 557 Median and six best models for Phases 2, 3 and 4 are also listed under "Ph2", "Ph3", and "Ph4", 558 but because the modelers made different adjustments going from phase to phase, their rank order 559 changed, so the names along with their nRMSE rank are in different positions down the graph. 560 (b.) Same as for (a.) except the data are for 41 to 100 DAP (mostly crop canopy T).



563 Figure 7. Like Fig. 6 except for 100% MESA (mid-elevation sprinkler application) irrigation to 564 restore soil water to field capacity at Bushland.



567 Figure 8. Like Fig. 6 except for the MESA (mid-elevation sprinkler application) 75% irrigation 568 at Bushland.



- 573
- 574 3.1.2.4 *75% MESA irrigation at Bushland in 2013*
- 575 The median of all the models was  $1<sup>st</sup>$  for all but one phase for both early season (-10 to +20)
- 576 DAP) and mid-season (41 to 100 DAP) for the 75% irrigation treatment at Bushland in 2013
- 577 (Fig. 8). MZH was ranked  $2<sup>nd</sup>$  for Phase 1, early season (Fig. 8a) but then did much worse for
- 578 other phases. Similarly, ARMO did well for Phases 1 and 2, but then did much worse. DCFR,
- 579 CS, and DIPS did well in all phases. XNGM, AMSW, and DCPS were among the best for Phase
- 580 4. AMSW uses a transpiration efficiency to compute ETs from biomass accumulation, XNGM
- 581 uses a modified Penman-Monteith (Monteith (1965), and DCPS uses Priestly and Taylor (1972)
- 582 to simulate potential atmospheric demand and ultimately ETs.
- 583
- 584 At mid-season, AQCP and AMSW did well for all phases (Fig. 8b). MZH and MZD did well for 585 Phase 1, but then much worse for later phases. DIFS, DCFS, SMET, and BIOM did well for
- 586 Phases 3 and 4.
- 587
- 588 3.1.2.5 *Intercomparison among the models for all four cases of daily ET for Phase 4*
- 589 Looking at Figs. 5-8, no single model appears among the best (lowest nRMSE) six for all four
- 590 cases. The median was among the best for the all four cases from  $-10$  to  $+20$  DAP (mostly E),
- 591 but only for two cases from 41 to 100 DAP (mostly T). Focusing on the -10 to +20 periods
- 592 (mostly E), DCFR was among the best for 3 cases; STCK, DIFR, BIOM, ECOS, STSW, SNGM,
593 and AMSW for 2 cases; and DIGR, JUL, TMOD, CS, DIPS, DCPS for 1 case. For the 41 to 100 594 DAP periods, the median was among the best only twice. BIOM was best for 3 cases; DIFR, CS, 595 and SMET were best for 2 cases; and STCK DIGR, ECOS, JUL, STSW, XNGM, DIPR, XNSM, 596 SLUS, DIGS, SLFT, AQCP, AMSW, DIFS, and DCFS were all among the best for 1 case. 597 BIOM stands out as being the only model to be among the best twice for early season (mostly E) 598 and thrice for midseason (mostly T).

599

600 3.2 *Inter-comparisons within the DSSAT family*

601 3.2.1 *Daily ETs*

602 A comparison of E methods within the DSSAT models, revealed that the older Ritchie-2-stage 603 model (Ritchie, 1972) was consistently better (lower nRMSE and lower simulated ETs) than the 604 Sulieman and Ritchie method (2003, 2004) during the -10 to +20 DAP period, regardless of the 605 other ET methods (Figs. 9a, 10a). The Ritchie-2-stage method was also better (slightly lower 606 nRMSE) for ETs in the 41 to 100 DAP full canopy phase (Figs. 9b, 10b) for two reasons (less E 607 during that phase, but mostly because lower early E allowed soil water in deeper layers to be 608 conserved for the 41 to 100 DAP period, thus contributing more to T during the latter phase).

609

610 In spite of having a theoretically more realistic mechanism for moving soil water with potential 611 gradients, the Hydrus method ( $\check{\text{S}}\check{\text{S}}\check{\text{S}}$  munnelet al., 1998, 2008; Shelia et al., 2018) did not perform 612 as well as the more empirical Ritchie (1972) and Sulieman and Ritchie (2003, 2004) methods 613 (Fig. 9a, 9b). However, Hydrus was just recently incorporated into the DSSAT shell, whereas the 614 Ritchie (1972) and the Sulieman and Ritchie (2003, 2004) routines have been used for many 615 years and likely have been fine-tuned to the system. Also, Hydrus is very sensitive to the values

616 of the soil physical and hydraulic properties, so if those parameter values were off, the simulated 617 ET would also be off.

618



- 620 FAO-56 method (in present DSSAT; Allen et al., 1998) with Kcan of 0.62 (gives Kep = 0.50)
- 621 performed better (lower nRMSE) for ETs than the other ETp methods: Priestley-Taylor (P-T;
- 622 1972), alfalfa reference- $[ET_r, ASCE$  equation (Allen et al., 2005)], or grass reference- $[ET_0,$
- 623 ASCE equation (Allen et al., 2005)] during both the -10 to +20 DAP period and the 41-100 DAP
- 624 period (Figs. 10a, 10b). Kcan is the extinction coefficient for absorption of photosynthetically-
- 625 active radiation by LAI, while Kep is the extinction coefficient for absorption of total solar
- 626 energy by LAI. The default Kcan for CERES is 0.85 (in the ecotype file). Kcan was reduced to

627 0.62



- 630 Figure 9. Normalized root mean square errors (nRMSE) of the 18 "flavors" of the DSSAT family
- 631 models (a) for the -10 to +20 DAP periods (mostly soil E) of daily ETs over all phases for the
- 632 irrigated and rainfed data for Mead 2003 and the 100% and 75% MESA irrigated data for
- 633 Bushland 2013. Models included are DSSAT CSM-CERES and DSSAT CSM-IXIM, whose
- 634 horizontal names span the corresponding left ten and right eight vertical bars, respectively.
- 635 Potential ETp calculation methods are using alfalfa (tall,  $ET_r$ ) and grass (short,  $ET_o$ ) reference
- 636 crop coefficients with the ASCE standardized reference equation (Allen et al., 2005), FAO-56
- 637 (Allen et al., 1998), and Priestley-Taylor (1972). These horizonal names span the corresponding
- 638 bars above them. Soil evaporation calculation methods follow Ritchie (1972; labelled
- 639 "Ritchie"), Suleiman and Ritchie (2003, 2004; labelled just "Suleiman"), and Hydrus (Šimůnek
- 640 et al., 1998, 2008; Shelia et al., 2018; labelled "Hydrus"). (b) Like (a) except for the 41 to 100
- 641 DAP periods. (c.) The values plotted are averages (+ standard errors) of the nRMSEs for Phase 4
- 642 for all 20 treatment-years of the cumulative ETs from  $-10$  to  $+20$  DAP periods. (d.) Like (c.)
- 643 except for the cumulative ETs from 41 to 100 DAP. (e.) nRMSEs for Phase 4 grain yields for all
- 644 20 treatments.
- 645





649 Figure 10. Direct comparisons using the same data as for Fig. 9 (excluding Hydrus) between the 650 DSSAT-CERES and DSSAT-IXIM models, among the four potential ET methods, and between

- 651 the two soil water evaporation methods for the corresponding a, b, c, d, and e graphs. The
- horizontal "Model", "ETp Method", and "Soil E" labels span the corresponding bars above.
- 
- 

655 during phase 3, which reduces the effective energy extinction from 0.685 to 0.50 [latter value 656 supported lysimeter studies of Villalobos and Fereres (1990), as well as the theory of foliar 657 absorption of total solar energy (Goudriaan, 1977)]. The Kep=0.50 was used for P-T as well. On 658 the other hand, the alfalfa reference-FAO-56, or grass reference-FAO-56 are dual-coefficient 659 methods that compute their own coefficients during incomplete and full canopy phases of ET. In 660 contrast to a previous study on cotton (*Gossypium hirsutum* L.) ET (Thorp et al., 2020), the 661 methods based on ASCE alfalfa and grass reference ET did not perform as well as DSSAT FAO-662 56 and P-T; however, the calibration methodology limited their comparability in the present 663 study. It appears that the newly reduced Kep of 0.50 contributed to improved DSSAT 664 performance, and it is an improvement over the default DSSAT value. As mentioned previously, 665 Sau et al. (2004) reported that the FAO-56 with a Kep=0.50 gave the best simulations of ET, soil 666 water extraction, and biomass accumulation with the CROPGRO-Faba bean model for a water-667 limited environment. FAO-56 was better than P-T, and the extinction coefficient (Kep=0.50) was 668 better than a higher Kep for either ET method. Similarly, Lopez-Cedron et al. (2008) found the 669 CERES model gave better simulations of maize biomass, grain yield, and harvest index under 670 water-limited environments, using FAO-56 rather than P-T, and again, Kep of 0.50 was better 671 than a higher energy extinction coefficient (default in CERES was 0,685).

672

673 There was no significant difference in nRMSE between the CERES or IXIM models for the -10 674 to +20 DAP period (Fig. 10a, soil E dominant), whereas for the 41 to 100 DAP period (Fig. 10b, 675 canopy T dominated), IXIM was slightly better, likely because of its more realistic simulation of 676 LAI progression. IXIM senesces green leaf area more rapidly (and more mechanistically) near

677 maturity than does CERES, which results in less T during the grain-filling phase, and which 678 more closely matches the observed reduction inT.

679



690 Comparing the nRMSE of daily ETs between the two "flavors" of each pair of the STICS, 691 Expert-N, and MAIZSIM families, there were no significant differences (Figs. 11a, 11b). STCK 692 uses a single surface model (Penman, 1948) to compute potential ETp, whereas STSW handles 693 separate canopy and soil surfaces (Shuttleworth and Wallace, 1985), Thus, for these four cases, 694 STCK and STSW performed equally well at simulating soil E (Fig. 11a) and canopy T (Fig. 11b) 695 in spite of the different methods for simulating ETp. Both XNSM and XNGM models use 696 Penman-Monteith based approaches for simulating ETp. However, XNSM follows FAO 56 697 guideline based on ETo multiplied with a single crop factor to get ETp while in XNGM the 698 required surface- and aerodynamic resistances are calculated directly from simulated LAI and 699 simulated canopy height. In addition, XNGM follows the more detailed Farquhar model in

700 simulating photosynthesis and leaf T but simplifies vertical root distribution. The latter could 701 possibly explain slightly better soil moisture simulations of XNSM compared to XNGM (data 702 not shown). In XNSM, temperature, moisture, and nutrient availability in different soil layers are 703 taken into account when simulating rooting depth and root length distribution. In contrast, 704 XNGM assumes a uniform distribution of root length density within the rooted zone, with the 705 increase in rooting depth simply simulated from the increase in root biomass, regardless of the 706 soil conditions. Thus, considering that there are marked differences between the two models, it is 707 surprising that they differ so little in their ability to simulate ETs. The lack of significant 708 differences between MZD and MZH is reasonable because they are the same in their 709 representation of plant and soil processes. Both models run on an hourly time step internally but 710 MZD takes daily weather data as input and interpolates them into hourly time steps, while MZH 711 takes hourly weather data directly as input.

712

## 713 3.4 *Potential ETp and other sources of variability/error in daily ETs*

714 There was a wide variability among the models in their simulated values for daily ETs as shown 715 in Figs. 1-4, which is similar to the previous results reported by Kimball et al. (2019). In that 716 report, Fig. 10 shows that much of the variability can be attributed to variability among the 717 models in their values of ETp. Therefore, for this study we requested more values of "upstream" 718 variables that the modelers might be using to compute ETp, including reference ET based on 719 short (12 cm) grass (ETo), reference ET based on tall (50 cm) alfalfa (ETr), soil coefficient (Ks), 720 basal crop coefficient (Kcb), soil evaporation coefficient for drying soil (Ke), overall crop 721 coefficient (Kc), potential soil evaporation (Ep), potential transpiration (Tp), ETp, and of course,



723 Figure 11. Direct comparisons using nRMSE between the STCK and STSW flavors of the

724 STICS model family, between XNGM and XNSM flavors of Expert-N family, and between the 725 MZH and MZD flavors of the MAIZSIM model for (a) the -10 to +20 DAP time period (mostly

726 soil E). The data used were all phases for the irrigated and rainfed data for Mead 2003 and the

727 100% and 75% MESA irrigated data for Bushland 2013. (b). Like (a) but for the 41 to 100 DAP

728 period (mostly canopy T). (c.) Phase 4 of cumulative ETs from -10 to +20 DAP for all 20

729 treatments. (d) Like (c) but for cumulative ETs from 41 to 100 DAP. (e) Phase 4 grain yield for

730 all 20 treatment-years.

731 ETs. Three of the models did not report ETp, presumably the energy balance ones that do not use 732 the concept.

733 Focusing on the Phase 2 results from irrigated Mead in 2003, 34 models reported Ep and 35

734 models reported Tp, and both Ep and Tp were quite variable (data not shown), As expected, the 735 magnitude and variability of the soil Ep were greatest for bare soil at the beginning of the season. 736 However, there was more than a 2 mm/day spread even at mid-season. Surprisingly, a few of the 737 models showed some Tp starting on the day of planting before the plants had even emerged. 738 Then, as the Tp increased in magnitude as plants grew to full size by mid-season, so did the 739 range in variability among them, similar to ETs. 740

741 Thirteen of the models reported ETo and only 4 reported ETr. Presumably ETo and ETr depend 742 only on weather, yet ETo varied by a factor of about 2 at midseason among the 13 models (data 743 not shown). Apparently, several different definitions and equations for ETo are in play among 744 these models.

745

746 Only 6, 4, 4, and 7 models used Ks, Kcb, Ke, and Kc, respectively. It seems likely that more 747 models do use them, but they are computed and not routine output, so the modelers would have 748 had to change code to get them. In any event, there appear to be several ways that models are 749 getting from ETo (or ETr) to ETp that are contributing to the variability of ETs.

750

751 Thus, in conclusion, the variability in ETp and ETs appears to be coming from steps all along the 752 way starting from the calculations of ETp to the final resultant ETs.

#### 754 3.5 *Cumulative month to whole season ETs results for all 20 treatment-years*

755 The previous sections focused on the daily ET for four selected treatment-years. However, one 756 can imagine that an underestimate of simulated daily ET one day could save some simulated soil 757 moisture and lead to an overestimate the next day. The following sections examine the 758 cumulative ET over longer time periods to reveal the extent that the errors are also cumulative.

759

# 760 3.5.1 *ETs from -10 to +20 DAP (mostly soil E) and 41 to 100 DAP (mostly canopy T)*

761 Moving from daily ETs for the four cases (2003 for irrigated and rainfed Mead; 2013 MESA at 762 75% and 100% irrigation at Bushland) to cumulative ETs over longer time durations for all 20 763 treatment-years also showed wide variability among the models (Fig. 12). Again, there were 764 variations by factors of 2 to more than 4 among them in cumulative ETs from -10 to +20 DAP 765 (mostly soil E) (Fig. 12a). There was little or no improvement in going from Phase 1 to Phase 4. 766 For Treatments 1-10 for Mead, the medians of the models were close to the observations, but for 767 Treatments 11 and 12, the models generally overestimated ETs. For Bushland, most of the 768 models underestimated Treatment 13 when spray irrigation wetted the surface and Treatment 18 769 when rainfall wetted the surface of SDI fields. Most models overestimated Treatments 17 and 19 770 when the SDI field surface was dry despite plentiful irrigation, but the medians were close to 771 observed for the other 4 treatments. These results indicate problems simulating E from wetted 772 surfaces and with simulated redistribution of water from buried drip lines to the surface (too 773 much water movement to the surface).

774

775 Looking at cumulative ETs from 41 to 100 DAP (mostly canopy T), there is a range of about a 776 factor of 2 among the models (Fig. 12b), which is bad but less than that from the bare soil (Fig.





797 Figure 12. Box plots for all 20 treatment-years (as defined at the top) of cumulative simulated 798 evapotranspiration (ETs) over (a) the -10 to +20 days after planting (DAP) time period (mostly 799 E) and (b) the 41 to 100 DAP time period (mostly T) for all four phases. The dark lines across 800 the boxes indicate the medians of all the models. Also shown are the corresponding observations. 801 Phase 1 is not shown for treatments 1-6 because of a planting density mistake. 802

803 Looking back at Section 3.1.2.5, BIOM was among the best at simulating daily ETs, yet it was 804 not among the best at simulating ETs over the longer intervals. On the other hand, DIFR was 805 almost as good as BIOM for simulating daily ETs, and it was best for simulating cumulative ETs 806 over the 41 to 100 DAP periods (Fig. 13, Phase 4). Besides DIFR, DCFS and SMET were the 807 only other two models that were among the best for cumulative ETs over the 41 to 100 DAP 808 periods and also were among the best for at least one case of daily ETs. For the -10 to +20 DAP 809 periods, DCFR, XNGM and CS are the only models that were best for simulating cumulative 810 ETs and also for daily ETs at least for one case. Thus, doing well for simulating daily ETs did 811 not guarantee success at simulating cumulative ETs.

812

# 813 3.5.2 *Inter-comparisons of cumulative ETs within the DSSAT and other model families*

814 There were wide differences in performance among model "flavors" within the DSSAT family 815 for cumulative simulated ETs from  $-10$  to  $+20$  DAP (mostly soil E) over the 20 treatment-years 816 (Fig. 9c). Most obvious is that the Ritchie (1972) soil E method did much better than the

817 corresponding Suleiman (Suleiman and Ritchie, 2003, 2004) method for every case. The Hydrus

818 method did comparatively well for this cumulative-ETs/20-treatment-year comparison, which is

819 in contrast to the daily-ETs/4-treatment-year comparison in Fig. 9a.



- 822 Figure 13. (a.) Normalized root mean squared error (nRMSE) between observed and simulated
- 823 cumulative ET values from -10 to +20 days after planting  $(DAP)$ (mostly soil E) for all 20
- 824 treatment-years for all the models. Phases 2, 3, and 4 are identified by red, cyan, and blue bars
- 825 with Phase 2 at the top and Phase 4 at the bottom of each group. The models have been sorted in
- 826 ascending order of nRMSE for Phase 2 from top to bottom of the graph with the rank numbers
- 827 on the left axis indicating their ranking for Phase 2. The Median (Med) and the six best models
- 828 (lowest nRMSE) for Phase 2 are listed under "Ph2". Somewhat similarly, the Median and six
- 829 best models for Phases 3 and 4 are also listed under "Ph3", and "Ph4", but because the modelers
- 830 made different adjustments going from phase to phase, their rank order changed, so the names
- 831 along with their nRMSE rank are in different positions down the graph. Phase 1 is not shown
- 832 because of the planting density error for the six irrigated Maize treatment-years. (b.) Same as for
- 833 (a.) except the data are for 41 to 100 DAP (mostly crop canopy T).

835 As would be expected, looking at the 41 to 100 DAP periods, the soil E method had little effect 836 (Fig. 9d). However, Hydrus, did poorly which is in contrast to the -10 to +20 periods (Fig. 9c). 837

838 There was no significant difference in performance between the CERES Maize and IXIM Maize 839 models for the -10 to  $+20$  DAP periods (Fig. 10c), whereas IXIM was slightly better than 840 CERES for the 41 to 100 DAP periods (Fig. 10d). The better performance of IXIM for full 841 canopy conditions was likely because of its more realistic simulation of LAI progression, as 842 mentioned previously. Priestley-Taylor was the best ETp method for the -10 to +20 DAP periods 843 (Fig. 10c) but worst for the 41 to 100 DAP periods (Fig. 10d). FAO-56 was second best for -10 844 to  $+20$  DAP periods (Fig. 10c) but best for the 41 to 100 DAP periods (Fig. 10d). As was 845 obvious from Fig. 9c, the direct comparison between Ritchie (1972) and Suleiman and Ritchie 846 (2003) in Fig. 10c, confirms the superiority of the older Ritchie (1972) method for simulating 847 soil E, likely because the Suleiman and Ritchie overestimates the upward movement of soil 848 water from deeper depths. However, under full canopy conditions (Fig. 10d), there was no 849 difference between the two soil E methods.

850

851 Looking at other models with more than one flavor, STSW performed better than STCK for 852 cumulative ETs from -10 to +20 DAP (Fig. 11c), but the reverse was true from 41 to 100 DAP 853 (Fig. 11d). It is somewhat surprising that the two-surface method for computing ETp in STSW 854 did better for the -10 to +20 DAP period when there was only the single soil surface, but was 855 worse for the 41 to 100 DAP full canopy period. However, looking more closely, both models 856 did well for both time periods for the Mead data, whereas for Bushland in 2013, both models had 857 trouble getting emergence with SDI in 2013, and this issue distorted the results. There was no



- 867 3.6 *Ability of the models in Phase 4 to simulate agronomic parameters for all 20 treatment-years*  868 *maximum leaf area index, biomass at about 40 DAP and about 100 DAP, and final*  869 *grain yield.*
- 870 3.6.1 *Considering all the models*

871 There was a wide range in simulations of maximum LAI between the lowest and the highest 872 models (Fig. 14a). However, for some treatments, most of the models agreed closely as indicated 873 by short boxes. Indeed, for Treatments 1 and 3, most of the models agreed almost exactly with 874 one another and with observations. For many treatments, the medians agreed closely with 875 observations. However, for Treatments 4 and 14, the models mostly underestimated LAI, 876 whereas for Treatments 11, 15, and 17, they mostly overestimated LAI. For Bushland, treatments 877 15, 17, and 19 were in the 2013 year that began quite dry and required plentiful irrigation to 878 achieve germination and to support crop growth. Overestimation of LAI may be linked to model 879 algorithms overreacting to the plentiful irrigation in an otherwise stressful year.

881 Most of the models overestimated above-ground biomass at about 40 DAP for almost all the 882 treatments (Fig. 14b). This was particularly true for the dry 2013 year at Bushland, again 883 indicating that the plentiful irrigation caused the models to overestimate biomass accumulation 884 despite an otherwise stressful environment. However, by 100 DAP (Fig. 14c), most of the 885 models did much better, and agreement with observations was much closer. For final grain yield, 886 most of the models did surprisingly well (Fig. 14d). For the irrigated Mead data (Treatments 1- 887 6), most of the models agreed with one another and with the observations. They also did well for 888 four of the Mead rainfed years, but underestimated Treatments 7 and 12. They did less well with 889 the Bushland data, especially underestimating the SDI irrigation grain yields. The 890 underestimation of SDI grain yields is likely tied to overly large partitioning of applied water to 891 soil E, leaving less available water for T and grain yield formation. Many models, including 892 DSSAT, lack true SDI capability and applied the water to the soil surface in this study. Because 893 SDI was more efficient in water use than the MESA irrigation method in the actual fields used 894 for this study (Evett et al., 2020) and, therefore, likely will be more widely used in the future, the 895 inability to handle SDI is an emerging lacuna in many of the models that should be addressed in 896 future.

897

898 3.6.2 *Inter-comparisons of grain yield within the DSSAT and other model families*

899 DCFS was the best of the several model flavors within the DSSAT family to simulate grain

900 yield, as indicated by nRMSE for Phase 4 (Fig. 9e). However, general patterns are not obvious in

901 Fig. 9e. Nevertheless, some patterns emerge from a direct comparison in Fig. 10e. IXIM was

902 slightly better than CERES. FAO-56 emerged as the best ETp method followed by Priestly-

903 Taylor and then ASCE standardized reference ET equation with grass (short, 12 cm) crop



907 Figure 14. Box plots for Phase 4 of (a) maximum leaf area index, (b) biomass at about 40 days 908 after planting (DAP), (c) biomass at about 100 DAP, and (d) final grain yield for all 20 909 treatment-years. Also shown are the corresponding observations (triangles).

911 coefficients and then alfalfa coefficients (tall, 50 cm) (Fig. 10e), which might be somewhat 912 biased because they were not independently calibrated. There was no significant difference in the 913 ability to simulate grain yield between the two methods for simulating soil E. 914 915 There was little difference in grain yield simulation ability between the two flavors of the STICS 916 model or of the MAIZSIM model (Fig. 11e). However, XNSM tended to be better than XNGM, 917 although XNGM uses a "more physiological" approach to simulate growth based on the 918 principle of functional balance, in contrast to XNSM, in which a more or less predetermined 919 scheme is used for partitioning of photosynthates. 920 921 3.7 *K-means clusters* 922 In Figs. 15a and 15c the nRMSE of simulated grain yields for 40 of the models (plus their 923 medians) and of simulated biomass accumulation for 39 of the models (plus their medians), 924 respectively, are compared against the nRMSE of the simulated cumulative ETs for the -10 to 925  $+20$  DAP time period (which was mostly Es for these mostly bare soil conditions). These graphs 926 show that for many of the models the relative errors for simulating ETs tended to be larger than 927 those for biomass and grain yield, which is consistent with the survey of Seidel et al. (2018) who 928 found that few modelers calibrate the ET aspects of their models. Further, k-means clustering 929 analyses with the number of clusters (k) specified to be four, the models were grouped into the 930 four clusters illustrated in Figs. 15a and 15c. As can be seen, the k-means program identified a 931 cluster of models that did quite well with the nRMSE for grain yields and biomass less than 932



933

934 Figure 15. (a.) K-means clusters of the nRMSE for grain yields of 41 models (plus their median) 935 for all 20 treatments versus the corresponding ETs for -10 to +20 DAP (mostly Ea). (b.) Same as 936 (a) but for the 41 to 100 DAP periods (mostly Ta). (c.) K-means clusters of the nRMSE for 937 biomass accumulation of 40 models (plus their median) from 41 to 100 DAP versus the 938 corresponding ETs for from -10 to +20 DAP (mostly Ea). (d.) Same as (c) but for the 41 to 100 939 DAP periods (mostly Ts). (Note: one of the 41 models did not simulate grain yield and two did 940 not simulate biomass.)

942 about 0.25 and that for ETs less than 0.35. One of the other clusters did poorly at simulating

943 grain yield and biomass, and the other two clusters did progressively worse at simulating ETs.

944 Figs. 15a and 15c suggest that a model's ability to simulate ETs well early in the growing season 945 from -10 to +20 DAP can carry on through the seasons to help simulate biomass and grain yields 946 well too.

948 Similarly, Figs. 15b and 15d illustrate the nRMSEs for grain yield and biomass against the 949 nRMSE for the cumulative ETs from 41 to 100 DAP, when there were mostly full crop canopies. 950 Comparing Fig. 15b with 15a and comparing Fig. 15d with 15c, it is apparent that the models 951 were better at simulating the cumulative ETs for the full canopies than they were for bare soil at 952 the beginning of the growing seasons. Again, k-means cluster analyses identified clusters of 953 models that did quite well at simulating grain yields, biomass, and full canopy ETs quite well 954 with the nRMSE of grain yield, biomass, and ETs all less than about 0.2. It is not surprising that 955 there is such a cluster of models that can simulate ETs well during midseason which aids them to 956 also simulate biomass and grain yields well.

957

958 Table 2 lists the models included in the best-performing clusters in Fig. 15. There is overlap 959 among the four categories, but CS, AMSW, ECOS, XNSM, and AHC all excelled enough to 960 appear in all four. Similarly performing well enough to appear in all four categories are three 961 flavors from the DSSAT family: DIFR, DCFR, and DIGR. Not surprisingly, the ensemble 962 median did very well, being first or second in all the categories, consistent with previous inter-963 comparisons, e.g., Asseng et al. (2015). Among these eight models, CS, XNSM, AHC, DIFR, 964 DCFR, and DIGR all use FAO-56 (Allen et al., 1998) to compute ETp (Table S1). ETo was used

<sup>947</sup> 

965 as ETp for DIFR and DCFR, whereas DIGR used crop coefficients to adjust ETo to ETp and 966 then various simulated or calculated crop or energy extinction coefficients were used to obtain 967 ETs. AMSW simulates T using the transpiration efficiency approach and E using Ritchie's 968 (Ritchie, 1972) two-stage method (Probert et al., 1998; Keating et al., 2003). ECOS simulates 969 ETs from net radiation that is partitioned into latent, sensible, and soil heat fluxes with energy 970 balances on the canopy and soil surfaces approach (Grant et al., 2007; Grant and Flanagan, 971 - 2007). DIGR uses the ASCE Standardized "Short Crop" (12-cm grass) ETo (Allen et al., 2005). 972 which is a successor to FAO-56, with maize crop coefficients computed from simulated LAI to 973 adjust ETo to ETp. Thus, six of these models have similar core approaches for simulating ETs 974 but differ in other ways such as partitioning to leaf area or soil moisture movement, etc. AMSW 975 and ECOS are both unique in their own ways within this elite group. The three DSSAT models 976 all use the Ritchie-two-stage method for soil water evaporation rather than the Sulieman method, 977 highlighting the need for E methods with improved upward movement of soil water and more 978 accurate E loss in the incomplete canopy phase.

979

980 However, something all eight models have in common is that they all have been widely used for 981 a long time under a wide range of conditions. This includes the lesser-known XNSM because it 982 is a hybrid model with elements from both the CERES model (Jones and Kiniry, 1986) and the 983 SUCROS model family (van Laar, 1992; Wang and Engel, 2000). AHC is also included because 984 it was developed based on a coupling of the significantly modified SWAP model (van Dam et 985 al., 1997) and the EPIC crop growth model (Williams et al., 1989). Thus, there has been time for 986 several generations of modelers to improve these models so that they perform well over a wide 987 range of climatic and soil conditions.

- 990 Table 2. Lists of models in Fig. 15 identified as being in the K-means clusters of best models<br>991 (lowest nRMSE) for Phase 4 for simulated grain yields and biomass versus lowest nRMSE for
- 991 (lowest nRMSE) for Phase 4 for simulated grain yields and biomass versus lowest nRMSE for simulated ETs for -10 to +20 DAP (mostly bare soil, Es) and 41 to 100 DAP (mostly closed
- 992 simulated ETs for -10 to +20 DAP (mostly bare soil, Es) and 41 to 100 DAP (mostly closed canopy, Ts). The models are ranked according to their sums of nRMSE for grain yield or
- 993 canopy, Ts). The models are ranked according to their sums of nRMSE for grain yield or biomass plus that for ETs.
- 995



996

998 **4. Conclusions with discussion**

1000 wide variability among the models in their ability to simulate ET, both on daily and on 1001 longer interval bases. The variability generally persisted even as the modelers received more 1002 information going from one phase to another, although a few modelers did make 1003 performance improvements. 1004 4.2 Being among the best models at simulating daily ETs did not guarantee that a model would 1005 be among the best at simulating cumulative ETs. 1006 4.3 Nevertheless, eight models, as well as the ensemble median, were identified that did well at 1007 simulating (a) cumulative ETs from -10 to +20 DAP (mostly soil E), (b) cumulative ETs 1008 from 40 to 100 DAP (mostly canopy T), (c) biomass accumulation, and (d) final grain yield. 1009 The models were CS, AMSW, ECOS, XNSM, AHC, DIFR, DCFR, and DIGR. Six of them 1010 follow the general approach of using FAO-56/Penman-Monteith (Allen et al., 1998, 2005) 1011 to simulate ETs, while AMSW uses a transpiration efficiency approach (Probert et al., 1998; 1012 Keating et al., 2003), and ECOS uses an energy balance approach (Grant et al., 2007; Grant 1013 and Flanagan, 2007). All of these models or their ancestors have been in existence and have 1014 been widely used for a long time. Thus, there has been time for improvement over a wide 1015 range of climatic and soil conditions. Unlike the previous inter-comparison (Kimball et al., 1016 2019), none of the simpler models were among the best at simulating all four variables for 1017 this study involving a wider range of environmental conditions from two locations. 1018 4.4 Although the ensemble median was not among the best estimates of soil moisture

999 4.1 Like the previous maize model ET inter-comparison (Kimball et al., 2019), again there was

1019 (Supplementary), it was at the top or close to the top for all other categories. That the

- 1020 ensemble median generally outperforms any individual model is consistent with previous
- 1021 intercomparisons, e.g., Asseng et al. (2015).
- 1022 4.5 Within the DSSAT family, the older Ritchie (1972) approach for simulating soil E was 1023 markedly better than the newer Suleiman and Ritchie (2003, 2004) approach, which 1024 appeared to overestimate upward movement of soil moisture.
- 1025 4.6 Further, within the DSSAT family, the FAO-56 (Allen et al., 1998) method for calculating
- 1026 potential ETp was best for simulating ETs from 40 to 100 DAP (mostly canopy T) and
- 1027 worse for -10 to +20 DAP (mostly soil E). The Priestly and Taylor (1972) method was best
- 1028 for soil E and worse for canopy T. The ASCE Standardized Equation approach with short or
- 1029 tall crop coefficients (Allen et al., 2005) was intermediate for canopy T and worst for soil E,
- 1030 although this result might be somewhat biased because they were not independently 1031 calibrated.
- 1032 4.7 DSSAT CSM-IXIM tended to be slightly better than DSSAT CSM-CERES for simulating 1033 canopy T, probably because IXIM simulated leaf area progression better.
- 1034 4.8 Both STCK (which considers one surface to compute ETp) and STSW (which considers
- 1035 both soil and canopy surfaces to compute ETp) were among the best models to simulate ETs
- 1036 at the beginning of the seasons, with slightly better results for STSW. During the mid-
- 1037 season periods, STCK globally performed better than STSW, but both performed poorly
- 1038 with SDI in 2013, which distorted results.
- 1039 4.9 XNSM and XNGM appeared to do equally well at simulating both soil E and canopy T,
- 1040 with XNGM following the more detailed Farquhar modeling approach in calculating
- 1041 photosynthesis and leafT, but greatly simplifying vertical root distribution. However,

1042 XNSM did better than XNGM at simulating grain yield, possibly due to its simpler but more

1043 robust approach in simulating assimilate distribution among plant organs.

- 1044 4.9 MZD and MZH both have hourly time steps, yet MZD which uses daily weather data did
- 1045 slightly better than MZH which uses hourly weather data at simulating soil E, but there was
- 1046 no significant difference between them at simulating canopy T. This is somewhat surprising,
- 1047 but nevertheless shows that simulated diurnal patterns of hourly weather can be as accurate
- 1048 as using the actual hourly observations for input to crop growth models with hourly time

1049 steps.

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- 1066

#### 1067 **6 Supplementary information**

- 1068 6.1 Word file with Table S1, which lists the ET simulation characteristics of the models plus 1069 several figures showing an intercomparison among the models in their ability to simulate soil 1070 moisture.
- 1071 6.2 Excel file with statistics and graphs showing the Phase 4 performance of the models in their
- 1072 ability to simulate the daily ET observations for the irrigated and rainfed fields in Mead in
- 1073 2003 and for the 100% and 75% MESA irrigated fields in Bushland in 2013. Also included
- 1074 are the statistics and graphs showing the models' ability to simulate cumulative ET from -10
- 1075 to +20 DAP and from 41 to 100 DAP and agronomic parameters (maximum LAI, biomass at
- 1076 about 100 DAP, and grain yields) for all 20 treatments.

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