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► **To cite this version:**

Wafa Ibn Saied, Sybille Merceron, Carole Schwebel, Alban Le Monnier, Johana Oziel, et al..
Ventilator-associated pneumonia due to *Stenotrophomonas maltophilia*: Risk factors and outcome.
Journal of Infection, 2020, 80 (3), pp.279-285. 10.1016/j.jinf.2019.10.021 . hal-04144892

HAL Id: hal-04144892

<https://hal.inrae.fr/hal-04144892v1>

Submitted on 29 Nov 2024

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33 **Drs. Ibn Saied and Timsit were supported by the Innovative Medicines Initiative Joint**
34 **Undertaking under grant agreement n [115737-2 – COMBACTE-MAGNET], resources of**
35 **which are composed of financial contributions from the European Union’s Seventh**
36 **Framework Program (FP7/2007-2013) and EFPIA companies.**

37 **The remaining authors have disclosed that they do not have any potential conflicts of**
38 **interest.**

39 **Keywords:** ICU, mortality, Risk factors, VAP, GNB, SM

40

41 **Abstract**

42 **Background:** *Stenotrophomonas maltophilia* (SM) is increasingly identified in intensive care
43 unit (ICU). This study aim to identify risk factors for SM ventilator-associated pneumonia
44 (VAP) and whether it affects ICU mortality

45 **Methods:** Two nested matched case-control studies were performed based in
46 OUTCOMEREA database. The first episodes of SM-VAP patients were matched with two
47 different control groups: VAP due to other micro-organisms (VAP-other) and *Pseudomonas*
48 *aeruginosa* VAP (Pyo-VAP). Matching criteria were the hospital, the SAPS II, and the previous
49 duration of mechanical ventilation (MV).

50 **Results:** Of the 102 SM-VAP patients (6.2% of all VAP patients), 92 were matched with 375
51 controls for the SM-VAP/ other-VAP matching and 84 with 237 controls for the SM-VAP /
52 Pyo-VAP matching. SM-VAP risk factors were an exposition to ureido/carboxypenicillin or
53 carbapenem during the week before VAP, and respiratory and coagulation components of
54 SOFA score upper to 2 before VAP. SM-VAP received early adequate therapy in 70 cases
55 (68.6%). Risk factors for Day-30 were age (OR=1.03; p <.01) and Chronic heart failure
56 (OR=3.15; p<.01). Adequate treatment, either monotherapy or combination of
57 antimicrobials, did not modify mortality. There was no difference in 30-day mortality, but
58 60-day mortality was higher in patients with SM-VAP compared to Other-VAP (P=0.056).

59 **Conclusions:** In a large series, independent risk factors for the SM-VAP were
60 ureido/carboxypenicillin or carbapenem exposure the week before VAP, and respiratory and
61 coagulation components of the SOFA score > 2 before VAP. Mortality risk factors of SM-VAP
62 were age and chronic heart failure. Adequate treatment did not improve-SM-VAP prognosis.

63

64

65 INTRODUCTION

66 *Stenotrophomonas maltophilia* (*SM*) is a non-fermentative gram-negative bacteria
67 involved in Intensive Care Unit (ICU) acquired infections(1, 2), including bloodstream
68 infections, ventilator-associated pneumonia (VAP), skin and soft tissues infections, and
69 rarely urinary tract infections. This ubiquitous bacteria found in water and soil forms biofilms
70 that can enhance its ability to colonize medical devices and lower respiratory tract(2-4). *SM*
71 is naturally highly resistant to most common antibiotics, such as broad-spectrum penicillin
72 including carbapenems, cefepime, or piperacillin-tazobactam, and aminoglycosides. The drug
73 of choice to treat *SM* infections is trimethoprim/sulfamethoxazole (TMX), although strains
74 resistant to TMX are emerging (5, 6). Acquired resistance is also possible through integrons,
75 plasmids, and transposons (3, 4, 7). *SM-VAP* usually ~~occurred~~ **occurs** after a prolonged
76 duration of mechanical ventilation(3). Although *SM-VAP* represents no more than 6% of all
77 VAP (8, 9), it is associated with high mortality rates, up to 77%(3, 10). A better knowledge of
78 risk factors of *SM-VAP* may allow preventive strategies and adequate early antimicrobial
79 therapy to improve the prognosis.

80 This study aimed to assess the characteristics of *SM-VAP* in a prospectively collected
81 multicenter medical and surgical ICU setting, to identify risk factors for *SM-VAP*, and to
82 evaluate the outcome of patients.

83 METHODS AND PATIENTS

84 We conduct a matched case-control study, based on prospectively collected
85 multicenter French database OUTCOMEREA™ (11). All patients aged over 18 years admitted
86 from 1997 to 2015 presenting at least one episode of VAP were included. Their clinical and
87 biologic data were registered in the database each day of their ICU stay. The French Advisory

88 Committee approved this database collection for Data Processing in Health Research
89 (CCTIRS) and the French Informatics and Liberty Commission (CNIL). The study was approved
90 by the institutional review board of Clermont-Ferrand University, France.

91 **Definition of pneumonia and Antimicrobial Treatment**

92 The diagnosis of VAP was suspected in patients who had received at least 48 hours of
93 mechanical ventilation (MV) and developed a new or persistent infiltrate on chest
94 radiography that was associated with one of the following criteria: (1) purulent tracheal
95 secretions, (2) fever greater than or equal to 38.5°C or hypothermia less than or equal to
96 36.5°C, and (3) leukocytosis greater than 10^9 G/L or leukopenia less than $4 \cdot 10^8$ G/L. The
97 diagnosis of VAP was confirmed by positive quantitative culture of a respiratory sample
98 collected via bronchoalveolar lavage fluid (significant threshold, $\geq 10^4$ cfu/ml) or plugged
99 telescopic catheter (significant threshold, $\geq 10^3$ cfu/ml) or protected specimen brush
100 significant threshold, $\geq 10^3$ cfu/ml) or quantitative endotracheal aspirate (significant
101 threshold, $\geq 10^5$ cfu/ml). *SM-VAP* was diagnosed when *SM* was recovered at a significant
102 concentration according to the type of sample.

103 Treatment was considered adequate when one antimicrobial for which the strain was
104 susceptible *in vitro* was used in the first 24 hours after drawing the bronchial sample. The
105 dose of the antimicrobials was not recorded. Three treatment groups were defined: (1) no
106 adequate treatment within 24 hours defined as untreated *SM-VAP* group; and patients
107 treated adequately within the first 24 hours were split in (2) **only one active drug**:
108 monotherapy group and (3) **more than one active drug**: combination therapy group.

109 **Data Collection**

110 All relevant data from the medical records including clinical, laboratory, radiology,
111 microbiology information and antibiotic were collected at admission and during the ICU stay
112 (12). Patients were followed until day 60.

113 **Statistical analyses**

114 Characteristics of patients were described as count (percent) or median (interquartile
115 range) for qualitative and quantitative variables, respectively, and were compared between
116 patients' groups using chi-square or Mann-Whitney tests, as appropriate.

117 We designed two matched cohort analyses: (1) Patients with the first episode of *SM-VAP*
118 were matched (1:n) with patients with VAP due to any other pathogen (other-VAP); (2)
119 Patients with a first episode of *SM-VAP* were matched (1:n) with patients with *Pseudomonas*
120 *aeruginosa* VAP (*Pyo-VAP*). The following criteria were used for both matching processes:
121 same ICU, predicted hospital mortality assessed by SAPS II ($\pm 10\%$), time interval between
122 ICU admission and VAP onset, taking into account the time of VAP occurrence (± 1 days if
123 VAP occurs in the 7 first days, ± 2 days between the 8th and the 14th days, ± 3 days between
124 the 15th and 21st days, ± 4 days between the 22nd and the 30th days, ± 5 days between the 31st
125 and the 45th days, ± 6 days between the 46th and the 60th days, ± 7 days between the 61st and
126 the 75th, ± 8 days between the 76th and the 90th days). We were able to match 92 cases with
127 375 controls for the *SM-VAP*/ other-VAP matching and 84 cases with 237 controls for the
128 *SM-VAP* / *Pyo-VAP* matching. We computed the standardized mean differences for each
129 variable to assess the quality of matching.

130 To identify the risk factors of *SM-VAP* occurrence, matched data were analyzed using
131 conditional logistic regression with stepwise selection. Hematological failure was defined as
132 the hematological component of the SOFA score > 2 on the two days before VAP occurrence.

133 To identify risk factors of death after *SM-VAP* occurrence, we used survival Cox
134 models. Time zero (T0) was the *SM-VAP* occurrence. Variables identified as significantly
135 associated with mortality by the univariate models were inserted into a multivariate model.
136 To capture the severity of the underlying illness without overadjusting on the severity
137 related to the VAP process we used prognostic factors measured 2 days before VAP. A
138 subgroup analysis excluding co-infected *SM-VAP* was also planned. Survival plots were
139 computed. The impact of adequate therapy using monotherapy and combination therapy
140 was tested in the final model values less than 0.05 were considered to be significant.
141 Statistical analyses were performed using SAS 9.4 (Cary, North Carolina, USA).

142 **RESULTS**

143 **Epidemiology and clinical features**

144 Among the 1,594 patients with VAP, 102 had at least one episode of *SM-VAP* (**Figure**
145 **1**). *SM-VAP* onset occurred later after ICU admission compared to other-VAP and *Pyo-VAP*
146 (12 [7- 17] days; 10 [6- 15] days and 9 [5- 14] days, respectively). Main characteristics of *SM-*
147 *VAP* are in (**Table 1**). *SM-VAP* occurred more frequently in female, with a history of
148 neutropenia, admitted for septic shock and more often associated with hematological organ
149 failure. Compared to VAP due to other pathogens, *SM-VAP* was associated with significantly
150 higher hospital mortality, and longer ICU stay (32.5 [20- 46] days versus 24 [15- 38] days,
151 respectively (**Table 1**)).

152 **Risk factors of *SM-VAP***

153 Of the 102 episodes of SM-VAP, 92 were successfully matched to 375 patients with VAP
154 due to other pathogens. The quality of the matching procedure was good (*supplemental*
155 *Figure 1*). Characteristics of SM-VAP and matched controls are on *Supplemental Table 1*.

156 The univariate analysis showed that SM-VAP remained more frequent in female patients
157 with hematological organ failure and shock. It was associated with an increased previous
158 exposure to antimicrobial therapy and parenteral nutrition. On multivariate analysis,
159 patients with SM-VAP were more frequently female, with a higher SOFA score. Uses of
160 carbapenems and piperacillin-tazobactam within the previous week before VAP were also
161 more frequent (**Table 2**). Similarly, 84 episodes of SM VAP were matched to 234 episodes of
162 Pyo VAP. Risk factors of SM VAP were also female gender, respiratory and haematological
163 component of SOFA score and previous exposure to carbapnems of Piperacillin/tazobactam.
164 (*Supplemental Table 2*). Previous use of cephalosprins, fluoroquinolones, aminoglycosides,
165 macrolides were not different between groups.

166 **Adequacy of antimicrobial therapy**

167 SM-VAP was treated adequately in 70 cases (68.6%). The details of the number of
168 patients treated with adequate monotherapy and combination therapy after SM-VAP
169 diagnosis is on *Supplemental Figure 2 and Supplemental Table 3*. During the first day, 21/84
170 received adequate therapy (adequate monotherapy in 16 cases and dual active antibiotic
171 therapy in 5 cases). SM was more frequently susceptible to Colistin (91.2%), Levofloxacin
172 (94.4%), Cotrimoxazole (96.6%), ticarcillin-clavulanate (78.7%) than to other antimicrobials
173 tested (Tigecyclin (43.5%); Ceftazidime (51.8%), Cefepime (30.3%)). *Supplemental Table 4*.

174 **Mortality of patients with SM-VAP**

175 As compared to the matched other-VAP control group, the mortality rate was higher
176 among patients with *SM-VAP*. But the mortality rate of the *SM-VAP* group was comparable
177 to the mortality of the matched *Pyo-VAP* group (**Figure 2**). Considering only the *SM-VAP*
178 group, 42 patients (41.2%) died within 30 days after VAP onset. Age and chronic cardiac
179 failures were the only variables associated with *SM-VAP* 30-day mortality (1.02[1.002-1.047]
180 and 2.45[1.12-5.34], respectively (**Table3**)). Adequate therapy was not associated with
181 improvement of prognosis ($p=0.42$ logrank test) regardless of the co-infection
182 (**Supplemental Figure 3**).

183

184 **DISCUSSION**

185 A total of 102 patients with *SM-VAP* were analysed. To the best of our knowledge, this
186 is the largest cohort of medical and surgical ICU patients with *SM-VAP* ever published.

187 We found that factors independently associated with *SM-VAP* occurrence were respiratory
188 and coagulation components of the SOFA score > 2 before VAP, and exposure to imipenem
189 and carboxy- or ureido-penicillin within the week before VAP. ICU stay was longer in patients
190 with *SM-VAP* than in patients with VAP due to other pathogens. Risk factors associated with
191 death in *SM-VAP* patients were age and chronic heart failure. Finally, compared to patients
192 with *Pyo-VAP*, patients with *SM-VAP* were more frequently admitted to ICU with
193 neutropenia, had higher median SOFA score at admission, and their first VAP occurred later
194 on. There was no statistical difference in ICU- and hospital length of stay between *Pyo-VAP*
195 and *SM-VAP* and no difference in mortality either.

196 SM-VAP is a rare pathology considered as an opportunistic pathogen, responsible for
197 late-onset infections in patients with immunosuppression, or undergoing invasive
198 procedures and long-lasting hospitalization(2, 3, 17, 18, 20). Our prevalence (6.4%)as well as
199 the characteristics of the population are similar to those listed in the literature(2, 13, 14, 18,
200 19).~~SM-VAP is a relatively rare occurrence, accounting for 6.4% of all VAP in our study, in line
201 with previous studies (2, 8, 13-17). Patient's characteristics were consistent with other
202 studies of SM-VAP(2, 13, 14, 18, 19). The sex ratio was in favor of male patients in SM-VAP,
203 although the rate of male patients was lower than that in VAP due to other pathogens.
204 Indeed, patients were mainly middle-aged males presenting severe diseases with a median
205 SAPS II of 52. SM-VAP occurred in patients with previous long duration of ICU stay and of
206 mechanical ventilation, as compared to other VAP (2, 14). considered as an opportunistic
207 pathogen, responsible for late-onset infections in patients with immunosuppression, or
208 undergoing invasive procedures and long-lasting hospitalization(2, 3, 17, 18, 20). SM is
209 generally. Immunosuppression has been described as a significant risk factor for SM
210 bacteremia but to our knowledge, not explicitly associated with SM-VAP(3, 20).~~

211 Importantly, SM-VAP is associated with long exposure to broad-spectrum antimicrobials, as
212 reflected in the univariate analysis of our study by the role of the duration of exposure to
213 antibiotics within the week before the first SM-VAP, the median number of antibiotics used
214 at the time of the first SM-VAP, and the exposure to broad-spectrum antibiotics such as
215 ciprofloxacin, piperacillin-tazobactam or carbapenems within the week before VAP, in line
216 with previous results (2, 14, 21). Indeed, in a prospective case-control study with 30 SM
217 infections including 22 VAP, prior exposure to extended-spectrum cephalosporin was
218 associated with SM infection in univariate analysis (2). In another study, only cefepime
219 exposure was significantly associated with SM-VAP, but these results could be explained by a
220 large prescription of cefepime in this survey (14). ICU procedures such as catheters,
221 parenteral nutrition, sedation, dialysis, and catecholamine use have also been associated
222 with the risk of SM-VAP in the literature (17). Contrarily to previous studies on SM

223 bloodstream infections (1, 22), the presence of a central venous catheter and parental
224 nutrition was not associated with *SM*-VAP. It may indeed be explained by differences in
225 pathophysiology of VAP and bloodstream infections, and also because our analysis was
226 matched on patients' severity and ICU length of stay prior to VAP onset. ~~The need for~~
227 ~~tracheostomy was a significant risk factor for *SM*-VAP in a population of trauma patients,~~
228 ~~which was not confirmed in our general ICU patient population (14). This may be the result~~
229 ~~of more severe lung injury in trauma patients with pulmonary contusions which were not~~
230 ~~observed in our study.~~ Multivariate analysis showed that respiratory failure (PaO₂/FiO₂ ratio
231 below 300 mmHg) and hematological component of the SOFA score (platelet count below
232 100G/L) just before VAP onset were strongly associated with the risk of *SM*-VAP.

233 The crude mortality of *SM*-VAP was higher than the one observed in patients with
234 other VAP, reflecting the fact the disease occurred later in the ICU stay (19, 23). After
235 matching on previous duration of ICU stay, there was no difference in ICU- and day-30
236 mortality in the two case-control analyses. However, the day-60 mortality was higher in
237 patients with *SM*-VAP compared to other-VAP, but not when compared to Pyo-VAP
238 mortality.

239 To our knowledge, only one study, on a reduced cohort of six *SM*-VAP patients,
240 assessed day-60 mortality. Mortality rate between *SM*-VAP and other-VAP were not
241 different, which suggests that morbidity and mortality of patients with *SM*-VAP are similar to
242 that of patients with VAP caused by other nosocomial Gram-negative bacilli(13).

243 Inadequate initial antimicrobial therapy is common for *SM* infections, as this bacteria
244 is naturally resistant to most antibiotics used for the treatment of late-onset nosocomial
245 infections, including carbapenem, piperacillin-tazobactam, and aminoglycosides (18).

246 Moreover, EUCAST (European Committee on Antimicrobial Susceptibility Testing) has
247 provided antimicrobial susceptibility testing breakpoints for only one antibiotic that is
248 trimethoprim-sulfamethoxazole(24). Other antibiotics inconsistently efficient in *SM*
249 infections are ticarcillin-tazobactam, levofloxacin, ciprofloxacin, moxifloxacin, tigecycline,
250 colistin, and ceftazidime (25, 26). Nevertheless, *in vitro* susceptibility rate was high for
251 cotrimoxazole, levofloxacin and colistin with respectively 97%, 94% and 91% of susceptible
252 strains, whereas susceptibility for ticarcillin-clavulanate was only 79%. Overall, 37% patients
253 had an inadequate empirical treatment. While this rate sounds high, it is lower than the one
254 observed in most of the previous studies (1, 2, 14, 18, 27).

255 We did not find any impact of the adequacy of treatment on *SM*-VAP outcome.
256 Whether the adequacy of the initial therapy has an impact on the outcome in severe late-
257 onset ICU-acquired infections is unclear. In the study of Tseng *et al.*, inadequate initial
258 antibiotic therapy was associated with an increased mortality of nosocomial pneumonia due
259 to *SM* (OR=2.17), especially in case of polymicrobial infections(19). On the opposite, recent
260 studies have shown the lack of impact of inadequate initial therapy on patients' outcome in
261 various settings, including bloodstream infections due to *Enterobacteriaceae* and
262 carbapenem-resistant gram-negative bacteria, or *Pseudomonas aeruginosa* VAP (18, 28-30).

263 Numerous factors could explain this counter-intuitive observation. First, *SM* was not
264 the only recovered pathogen in 31% of the episodes and might be considered as a low
265 pathogen in such VAPs. The coinfection with *P. aeruginosa* and *S. maltophilia* have been
266 reported to impact negatively the prognosis (30). In our study, the treatment of the other
267 pathogens was always adequate and co-infection was not associated with a poorer
268 prognosis. Attributable mortality of *SM*-VAP is still unclear, but the presence of *SM* in the

269 lungs seems to have a deleterious effect on outcome in ICU patients. Second, patients with
270 no clinical signs of severity could have had delayed treatment without any impact on the
271 outcome. Third, treatment regimen, especially doses, could be inadequate in ICU patients
272 with high distribution volumes.

273 Our study has several strengths. It is the biggest database of medical and surgical
274 patients with *SM-VAP*. We used a high-quality database, with bacteriologically confirmed
275 pneumonia and an audit of all bacteriological results before analyses. We took into account
276 in our matching process the delay before VAP occurrence. For most patients, we recorded
277 long-term follow-up data, making unlikely any bias due to informative censor. We computed
278 the standardized mean differences for each variable to assess the quality of matching. This
279 study has also some limitations. First, the analysis was retrospective, which allows for
280 multiple biases. Second, 31% of *SM-VAP* were polymicrobial; this could have flawed risk factors
281 identification and outcome; however, other studies had a similar approach (14, 31). Third,
282 antibiotic taken before ICU admission were not monitored neither assessed as potential risk
283 factors.

284 **CONCLUSION**

285 In this multicentre retrospective analysis of prospectively collected data from 102
286 patients with *SM-VAP*, exposure to carbapenem and carboxy- or ureido-penicillin during the
287 week before VAP and the severity of disease with respiratory and hematological failures
288 were independent risk factors for the *SM-VAP* occurrence. Strikingly, the prognosis of
289 patients with *SM-VAP* was not modified by the adequacy of antimicrobial therapy. The
290 prognosis of patients with *SM-VAP* was quite similar to the one of matched other-VAP or

291 *Pyo*-VAP when adjusted on severity at ICU admission and duration of ICU stay before VAP
292 onset.

293 **Acknowledgments:** The authors are thankful to Céline Féger, MD (EMIBiotech) for her
294 editorial support.

295

296 **List of abbreviations:**

297 **VAP:** Ventilator–acquired pneumonia

298 **ICU:** intensive care unit

299 **SM-VAP:** *Stenotrophomonas maltophilia* ventilator–acquired pneumonia

300 **Others- VAP:** Others microorganisms ventilator–acquired pneumonia

301 **Pyo-VAP:** *Pseudomonas aeruginosa* ventilator–acquired pneumonia

302

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389 **Figure legends**

390 **Figure 1: Population Flowchart**

391 **Figure 2: Mortality D-60 in the different VAP groups**

392 **Table 1: Baseline characteristics of the different VAP categories**

393 **Table 2: Risk factors for *Stenotrophomonas maltophilia* VAP**

394 **Table 3: Risk factors of 30-day and 60-day mortality in *Stenotrophomonas***
395 ***maltophilia* VAP**

396 **Appendix**

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448 **Supplemental material**

449 **E1:** Univariate analyses for risk factors of *Stenotrophomonas maltophilia* ventilator-
450 associated pneumonia as compared to their matched other-pathogens ventilator-
451 associated pneumonia

452 **E2:** Univariate analyses for risk factors of *Stenotrophomonas maltophilia* ventilator-
453 associated pneumonia as compared to their matched *Pseudomonas aeruginosa*
454 ventilator-associated pneumonia

455 **E3:** Antibiotic adequacy and different antibiotic day of take in monotherapy or
456 combination therapy

457 **E4:** Antimicrobial susceptibility testing for *Stenotrophomonas maltophilia* ventilator-
458 associated pneumonia adequately treated

459 **Supplemental Figure 1:** Matching assessment for *Stenotrophomonas maltophilia*
460 ventilator-associated pneumonia, other pathogens- ventilator-associated pneumonia
461 and *Pseudomonas aeruginosa* ventilator-associated pneumonia

462 **Supplemental Figure 2:** Antibiotic group and death occurrence in *Stenotrophomonas*
463 *maltophilia* ventilator-associated pneumonia

464 **Supplemental Figure 3:** Comparing Day-30 mortality in *Stenotrophomonas*
465 *maltophilia* ventilator-associated pneumonia without co-infection (65 episodes) and
466 all *Stenotrophomonas maltophilia* ventilator-associated pneumonia (102 episodes)
467 according to the treatment group

468

20 968 patients in

1 594 (7.6%) patients with at least one VAP

1 492(93.6%)
patients with
only *others*
germs VAP

35(2.2%) patients with
S. Maltophilia VAP and
others germs VAP
(59 episodes)

67(4.2%) patients
with only
S.Maltophilia VAP
(78 episodes)

¹ 102 episodes of *Stenotrophomonas maltophilia* ventilator acquired pneumonia (VAP)

Figure 1: population Flowchart

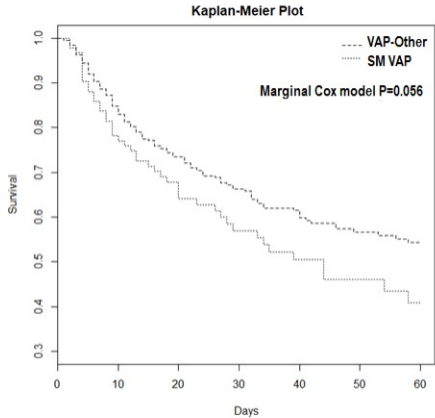
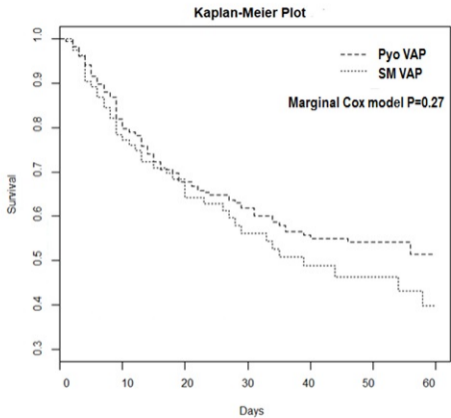


Figure 2: Day-60 mortality according to VAP group

Table 1: Baseline characteristics according to the type of VAP

Characteristic's	Other- VAP n=1 492	SM-VAP n=102	Pyo-VAP n=549	p*	p**
Age, median (IQR)	65 [53 - 74]	62 [52 - 75]	66 [53 - 75]	0.52	0.25
Male gender	1051 (70.4)	62 (60.8)	396 (72.1)	0.04	0.02
Diagnosis at the ICU admission (missing n=12)					
Organ Failure	64 (4.3)	4 (4)	25 (4.6)	<.01	<.01
Septic shock	179 (12.1)	24 (23.8)	77 (14.1)	.	.
Hemorrhagic shock	49 (3.3)	7 (6.9)	17 (3.1)	.	.
Cardiogenic shock	70 (4.7)	2 (2)	21 (3.8)	.	.
Others shock	33 (2.2)	1 (1)	13 (2.4)	.	.
Respiratory distress	535 (36.1)	37 (36.6)	216 (39.6)	.	.
COPD exacerbation	60 (4.1)	1 (1)	29 (5.3)	.	.
Acute renal failure	37 (2.5)	6 (5.9)	9 (1.6)	.	.
Coma	302 (20.4)	9 (8.9)	87 (15.9)	.	.
Continuous monitoring	89 (6)	8 (7.9)	33 (6)	.	.
Scheduled surgery	44 (3)	2 (2)	13 (2.4)	.	.
Trauma	19 (1.3)	0 (0)	6 (1.1)		
Co-morbidities	733 (49.1)	52 (51)	285 (51.9)	0.72	0.86
Chronic Hepatic failure	101 (6.8)	8 (7.8)	27 (4.9)	0.68	0.23
Chronic Heart failure	234 (15.7)	11 (10.8)	79 (14.4)	0.18	0.33
Chronic Respiratory failure	287 (19.2)	18 (17.6)	125 (22.8)	0.69	0.25
Chronic Renal failure	74 (5)	7 (6.9)	29 (5.3)	0.40	0.52
Chronic Immunodeficiency	247 (16.6)	20 (19.6)	95 (17.3)	0.42	0.58
Aplasia	31 (2.1)	6 (5.9)	10 (1.8)	0.01	0.01
Corticosteroids	95 (6.4)	6 (5.9)	35 (6.4)	0.85	0.85
Chemotherapy	106 (7.1)	9 (8.8)	44 (8)	0.52	0.78
HIV non AIDS	2 (0.1)	0 (0)	2 (0.4)	0.71	0.54
AIDS	38 (2.5)	1 (1)	11 (2)	0.32	0.48
Other	4 (0.3)	1 (1)	0 (0)	0.21	0.02
Transplant	29 (1.9)	3 (2.9)	11 (2)	0.49	0.55
Medical admission category	1119 (75.3)	75 (75.8)	426 (77.6)	0.91	0.69
SAPS II score at the admission , median (IQR)	49 [37 - 62]	52 [40 - 65]	50 [38 - 63]	0.11	0.25
GLASGOW effective (missing n=105)	8 [3 - 14]	7 [3 - 14]	8 [4 - 15]	0.26	0.08
SOFA scoring , median (IQR)	8 [5 - 10]	8.5 [6 - 12]	8 [5 - 10]	<.01	0.01
Respiratory component	2 [2 - 3]	3 [2 - 3]	2 [2 - 3]	0.52	0.78
Cardiovascular component	3 [1 - 4]	3 [1 - 4]	3 [1 - 4]	<.01	0.02
Neurological component	1 [0 - 3]	0 [0 - 3]	1 [0 - 3]	0.93	0.86
Liver component	0 [0 - 1]	0 [0 - 1]	0 [0 - 1]	0.13	0.06
Kidney component	0 [0 - 2]	0 [0 - 2]	1 [0 - 2]	0.74	0.88
Coagulation component	0 [0 - 1]	0 [0 - 2]	0 [0 - 1]	0.05	0.03
ICU admission to first VAP time (days)	9 [5 - 14]	12 [7 - 17]	10 [6 - 15]	<.01	0.03
Duration of ICU stay after the First VAP (days)	13 [7 - 24]	16.5 [8 - 33]	16 [9 - 31]	0.04	0.99
ICU overall length of stay (days)	24 [15 - 38]	32.5 [20 - 46]	29 [19 - 45]	<.01	0.37
Hospital length of stay (days), (missing n=64)	40 [24 - 66.5]	43 [30 - 64]	48 [29 - 76]	0.34	0.25

ICU mortality n (%)	473 (31.7)	40 (39.2)	184 (33.5)	0.12	0.27
Hospital mortality n (%)	636 (42.6)	54 (52.9)	242 (44.1)	0.04	0.10

P*: Chi2 or Mann Whitney test comparing patient with other-VAP vs. SM-VAP **P****: Chi2 or Mann Whitney Test comparing patient with *Pyo*-VAP vs *SM*-VAP; VAP: ventilator-associated pneumonia; *SM*-VAP: VAP due to *Stenotrophomonas maltophilia*; *Pyo*-VAP: VAP due to *Pseudomonasaeruginosa*; COPD: Chronic Obstructive Pulmonary Disease- SAPSII score: Simplified Acute Physiology Score- SOFA score: sequential organ failure assessment score

Matching criteria : same ICU, predicted hospital mortality assessed by SAPS II and delay before VAP

Table 2: Risk factors for ventilator-associated pneumonia due to *Stenotrophomonas maltophilia*

Variables ¹	OR IC 95%	P*
SOFA Score 2 days before VAP		
Respiratory systems Score > 2	1.73 [1.02 -2.92]	0.04
Coagulation system Score > 2	2.93 [1.33 -6.48]	<.01
Antibiotic 1 week before VAP occurrence		
ureido/carboxypenicillin	2.08 [1.22 -3.55]	<.01
Carbapenems (Imipenem/meropenem)	3.20 [1.77- 5.79]	<.001

P *: logistic regression stratified in matched pair and selection stepwise for adjustments variables

SOFA score: sequential organ failure assessment score; VAP: ventilator associated pneumonia

¹Tested variables in the multivariate model: **At the admission:** male gender, chronic heart failure; **In the 2 days before VAP occurrence:** accidental extubation and SOFA scoring (coagulation and cardio-vascular); **In the week before VAP occurrence:** Ureido/carboxypenicillin, Glycopeptides, % Antibiotic received, parenteral nutrition, Dialysis, central venous catheter

Table 3: Risk factors of 30-day and 60-day mortality in ventilator-associated pneumonia due to *Stenotrophomonas maltophilia*

Characteristics	Alive up at Day-30 n=60	Death before Day-30 (n=42)	HR IC 95%	P	Alive Up to Day-60 (n=52)	Death before Day-60 (n=50)	HR IC 95%	P
Age, median (IQR)	57 [50 - 69]	67 [60 - 78]	1.03 [1.01-1.05]	<.01	54.5 [48.5 - 64.5]	67.5 [60 - 78]	1.04 [1.02-1.06]	<.01
Male	39 (65)	23 (54.8)	0.65 [0.36-1.2]	0.17	32 (61.5)	30 (60)	0.77 [0.44-1.36]	0.37
Co-morbidities	26 (43.3)	26 (61.9)	1.77 [0.95-3.31]	0.07	22 (42.3)	30 (60)	1.70 [0.96-2.99]	0.07
Chronic Hepatic failure	3 (5)	5 (11.9)	1.59 [0.62-4.03]	0.33	2 (3.8)	6 (12)	1.68 [0.72-3.95]	0.23
Chronic Heart failure	2 (3.3)	9 (21.4)	3.15 [1.49-6.65]	<.01	2 (3.8)	9 (18)	2.71 [1.3-5.62]	<.01
Chronic Respiratory failure	10 (16.7)	8 (19)	1.32 [0.61-2.86]	0.48	9 (17.3)	9 (18)	1.26 [0.61-2.6]	0.53
Chronic Renal failure	5 (8.3)	2 (4.8)	0.65 [0.16-2.7]	0.56	4 (7.7)	3 (6)	0.91 [0.28-2.93]	0.87
Immunodeficiency	10 (16.7)	10 (23.8)	1.39 [0.68-2.82]	0.37	8 (15.4)	12 (24)	1.45 [0.76-2.78]	0.26
Medical admission category	45 (75)	30 (76.9)	1.14 [0.54-2.41]	0.72	40 (76.9)	35 (74.5)	1.04 [0.54-2.01]	0.90
SAPS II score at the admission, median (IQR)	49 [39.5 - 64.5]	58 [41 - 67]	1.01 [1-1.03]	0.11	48.5 [38.5 - 63]	55.5 [42 - 67]	1.01 [1-1.03]	0.12
Glasgow coma scale (missing n=12)	7 [3 - 15]	7 [3 - 13]	0.97 [0.91-1.03]	0.36	7 [3 - 15]	7 [3 - 13]	0.98 [0.92-1.04]	0.43
SOFA score at VAP onset, median (IQR)	8 [6 - 11]	10 [7 - 13]	1.06 [0.99-1.14]	0.12	8 [5.5 - 11]	10 [7 - 13]	1.05 [0.98-1.12]	0.16
Respiratory component	3 [1.5 - 3]	3 [2 - 3]	1.03 [0.82-1.31]	1.03	2.5 [1.5 - 3]	3 [2 - 3]	1.03 [0.83-1.28]	0.80
Cardiovascular component	3 [1 - 4]	4 [3 - 4]	1.15 [0.91-1.45]	0.24	3 [1 - 4]	3 [2 - 4]	1.06 [0.86-1.3]	0.60
Neurological component	0 [0 - 3]	1.5 [0 - 4]	1.11 [0.93-1.31]	0.25	0 [0 - 3]	0.5 [0 - 4]	1.06 [0.91-1.24]	0.44
Liver component	0 [0 - 1]	0 [0 - 2]	1.17 [0.89-1.54]	0.27	0 [0 - 0.5]	0 [0 - 2]	1.18 [0.91-1.52]	0.22
Kidneys component	0 [0 - 2]	0.5 [0 - 3]	1.03 [0.84-1.27]	0.78	0 [0 - 2]	1 [0 - 3]	1.06 [0.88-1.28]	0.53
Coagulation component	0 [0 - 1.5]	0 [0 - 2]	1.07 [0.85-1.36]	0.56	0 [0 - 1.5]	0 [0 - 2]	1.07 [0.86-1.33]	0.56
Treatment modality				0.43				0.56
Not Treated	45 (75)	25 (59.5)	0.71 [0.29-1.74]		38 (73.1)	32 (64)	0.91 [0.38-2.17]	
Monotherapy	9 (15)	11 (26.2)	1.11 [0.41-2.99]		8 (15.4)	12 (24)	1.31 [0.49-3.5]	
Combination therapy	6 (10)	6 (14.3)	1		6 (11.5)	6 (12)	1	

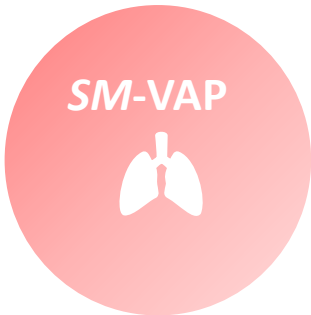
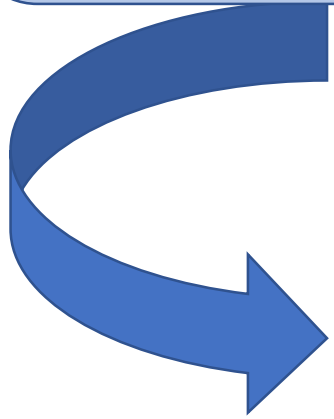
* Cox model; Time zero (T0): the SM-VAP occurrence

SAPSII score: Simplified Acute Physiology Score- **SOFA score:** sequential organ failure assessment score – **VAP:** ventilator-associated pneumonia:

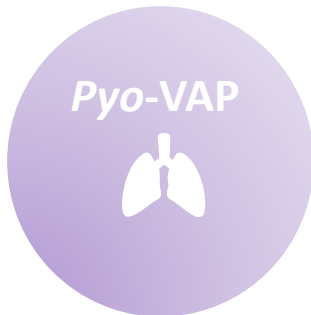
Treatment modality and mortality 30-days in SM-VAP

Risk factors for *Stenotrophomonas maltophilia* VAP :

- Exposure to carbapenem and carboxy- or ureido-penicillin during the week before VAP
- The severity of disease with respiratory and hematological failures (SOFA respiratory and coagulation scores) 2 days before VAP occurrence



Same mortality



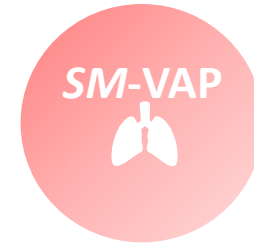
Non treated
0.71[0.29-1.74]



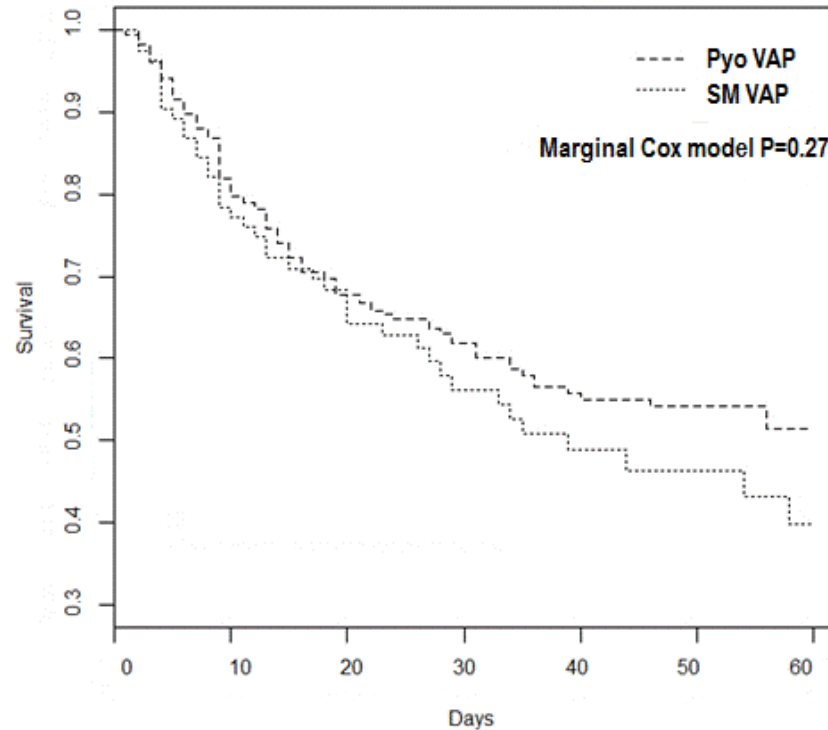
Monotherapy
1.11[0.41-2.99]



Combination therapy
1



Kaplan-Meier Plot



HR IC 95%
Cox model stratified by center

SM-VAP mortality risk factors :
Age and chronic heart failure