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CRediT author statement

Tanathep Leungtongkum: Conceptualization, Methodology, Investigation, Validation, Formal analysis, Software, Writing - Original Draft Preparation and Visualization

Denis Flick: Methodology, Validation, Formal analysis, Writing - Review & Editing and Supervision.

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Project Administration, Funding acquisition

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| υ | սոս | | | Ρ | U | U. | |

| 1 | Influence of Use Conditions on Heat Transfer in an Insulated Box Equipped with a |
|----|--|
| 2 | Phase Change Material |
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| 10 | Highlights |
| 11 | • Temperature and air velocity fields (by PIV) in insulated boxes with PCM were shown |
| 12 | • The effect of box configurations and operating conditions was studied |
| 13 | • PCM at the top allows 1.1°C lower maximum product temperature than that on the |
| 14 | side |
| 15 | • An air gap of 20 mm below the product does not change the temperature profile |
| 16 | • Results can be used for optimizing the box and the condition for food transport |
| 17 | Abstract |
| 18 | An insulated box with Phase Change Material (PCM – ice, melting point ~ 0° C) and loaded by |
| 19 | test product (Tylose) was investigated experimentally to study the effect of the PCM position, |
| 20 | Aspect Ratio (AR = height/width) of box, ambient temperature, initial test product temperature |
| 21 | and spacing beneath the test product. The temperature and the air velocity measured by |
| 22 | thermocouples and Particle Image Velocimetry (PIV), respectively, were analyzed under stable |

| 23 | conditions. The maximum product temperature was lower for PCM at the top (6.6°C, AR \approx 1) | | | | | | | | |
|----|--|---|--|--|--|--|--|--|--|
| 24 | than f | for PCM on a sidewall (7.7°C, AR \approx 1) and increased with AR (9.9°C, AR \approx 1.7). A non- | | | | | | | |
| 25 | linear | relation between ambient temperature and product temperature was observed with the | | | | | | | |
| 26 | maximum product temperature from 5.2°C (10°C ambient) to 9.1°C (30°C ambient). The | | | | | | | | |
| 27 | influe | nce of spacing beneath the product was negligible despite different airflow patterns. | | | | | | | |
| 28 | Simpl | e equations were proposed to predict the maximum storage time and mean temperature | | | | | | | |
| 29 | in the | box enabling us to study the influence of PCM and product mass, melting point, box | | | | | | | |
| 30 | insula | tion and ambient temperature. | | | | | | | |
| 31 | Keyw | vords: Insulated box, Phase Change Material, Airflow, Heat Transfer, Food Cold Chain | | | | | | | |
| 32 | Nome | enclature | | | | | | | |
| 33 | A | Exchange area [m ²] | | | | | | | |
| 34 | AR | Aspect ratio of box = height/width [-] | | | | | | | |
| 35 | C_p | Specific heat [J·kg ⁻¹ ·K ⁻¹] | | | | | | | |
| 36 | е | Wall thickness [m] | | | | | | | |
| 37 | h | Convective heat transfer coefficient [W·m ⁻² ·K ⁻¹] | | | | | | | |
| 38 | L | Characteristic length [m] | | | | | | | |
| 39 | L_{f} | Latent heat of fusion of PCM [J·kg ⁻¹] | | | | | | | |
| 40 | 'n | Mass flow rate of air [kg·s ⁻¹] | | | | | | | |
| 41 | т | Mass [kg] | | | | | | | |
| 42 | t | Time [s] | | | | | | | |
| 43 | <i>t</i> _{max} | Maximum storage time [s] | | | | | | | |
| 44 | Т | Temperature [°C] | | | | | | | |
| 45 | T_m | Melting temperature of PCM (~ 0° C) | | | | | | | |
| 46 | Tamb | Ambient temperature [°C] | | | | | | | |
| | | | | | | | | | |

47
$$T^*$$
 Dimensionless temperature = $\frac{T - T_m}{T_{amb} - T_m}$ [-]

 ΔT Largest temperature difference [°C] 48

U Overall heat transfer coefficient between ambient and product surface through

- box insulation $[W \cdot m^{-2} \cdot K^{-1}]$ 50
- *x*, *y*, *z* Coordinate [m] 51

52 **Greek letters**

49

53 α_c

Dimensionless heat transfer coefficient at cold wall = $exp\left(-\frac{A_ch_c}{mC_{p,air}}\right)$ [-] Dimensionless heat transfer coefficient at warm walls = $exp\left(-\frac{A_wU}{mC_{p,air}}\right)$ [-] 54 α_w

Ratio of thermal resistance at cold and at warm walls = $\frac{A_w U}{A_c h_c}$ [-] 55 β

Density $[kg \cdot m^{-3}]$ 56 ρ

Thermal time constant [s] 57 τ

Thermal conductivity $[W \cdot m^{-1} \cdot K^{-1}]$ λ 58

Subscript 59

60 air Air

- Average value 61 ave
- 62 Cold surface/walls С
- Initial value 63 ini
- Maximum value 64 max
- 65 min Minimum value
- Product 66 р
- Phase change material 67 pcm
- Warm surface/walls 68 w

69 **1. Introduction**

70 Insulated boxes equipped with a Phase Change Material (PCM) are attracting particularly for the last mile delivery of small quantities of temperature-sensitive food and pharmaceutical 71 72 products. This is due to the simple implementation, low cost and flexibility related to several box designs with different volumes ranging from 5 L to more than 300 L. Several parameters 73 have an influence on the internal temperature profiles such as box characteristics (dimensions, 74 aspect ratio, insulation), PCM (melting point, mass, position), product (thermal properties, 75 mass, compactness) and operating condition (ambient temperature, transport duration). 76 Because of the complex interactions between these parameters, they need to be considered 77 together to avoid temperature abuse during delivery. 78

The temperature evolution inside an insulated box equipped with PCM loaded by real 79 food/food model was investigated experimentally and numerically by several authors and 80 summarized in Leungtongkum et al. (2022). In general, the product located at the corners of 81 the box has the highest temperature (Laguerre et al., 2018; Margeirsson et al., 2012). Du et al. 82 (2020) have compared the effect of PCM at the top, bottom, and all sidewalls on internal 83 temperature evolution. The authors found that placing PCM at the bottom generated the highest 84 85 internal temperature and the highest temperature difference between the min and max values. For high-value products like vaccines, five or six PCM plates are placed on the box walls to 86 directly compensate the heat losses through the walls by PCM melting. This allows assuring 87 88 the preservation of the recommended temperature (Kacimi & Labranque, 2019) but the useful volume for the product is significantly reduced, thus, this practice may not be suitable for low 89 cost product like food. 90

For simplification purposes, several studies assumed conduction only in the air, the product and the wall (Du et al., 2020; Paquette et al., 2017; Xiaofeng & Xuelai, 2021). To represent the real phenomena, Rincón-Casado et al. (2017) developed a numerical model considering

94 conduction and natural convection to predict the temperature profile and airflow pattern in an
95 empty cavity while Leporini et al. (2018) also took radiation into account. Recently, some
96 numerical studies considered natural convection of internal air and of melted PCM (Burgess et
97 al., 2022; Calati et al., 2022; Rahimi-Khoigani et al., 2023).

98 Various phenomena are involved simultaneously in an insulated box equipped with PCM: conduction inside the product, the PCM and the walls of the box, convection between air and 99 product/PCM, and between the external air and the box, radiation between walls, phase change 100 during PCM melting and food quality evolution. Under natural convection as that in an 101 insulated box, these three heat transfer modes (conduction, convection and radiation) are of the 102 same order of magnitude in terms of heat flux (Laguerre & Flick, 2004). However, only a few 103 studies considered all of them according to the difficulty in measuring low air velocities 104 105 (Miroshnichenko & Sheremet, 2018). In fundamental studies of natural convection in a closed cavity, two walls are generally at imposed temperatures (cold and warm) while the other walls 106 are adiabatic, and most of them investigated empty cavities (Leporini et al., 2018; Zhang et al., 107 2015). PCM was used as a thermal energy storage in an empty cavity (Labihi et al., 2017; 108 Moreno et al., 2020). Choi et al. (2015) and Lee et al. (2016) conducted numerical studies of a 109 rectangular cavity filled with a circular cylinder (cylinder diameter /cavity size = 0.125). Some 110 studies have investigated cavities filled with a porous medium (particle diameter/box width < 111 0.01), e.g., Ataei-Dadavi et al. (2019). The results of these studies cannot be applied to our case 112 where only one wall (PCM container) is at almost constant temperature and all the other walls 113 114 of the box are non-adiabatic. Moreover, a porous medium approach is not appropriate to our study, since the ratio between product diameter and box width is ≥ 0.1 , thus, different airflow 115 116 pattern.

117 The strength of our work is that it is the first experimental study concerning the measurement 118 of airflow patterns and air velocity in an insulated box with PCM at different locations by using

an optical technique (PIV). Some results were already presented in a previous article 119 (Leungtongkum et al., 2023a) for a limited number of configurations. The present article 120 investigates many more parameters: aspect ratio, ambient temperature, initial product 121 temperature and air gap underneath the product. From a practical point of view, this article also 122 proposes simple equations to predict the maximum storage time, the equilibrium temperature 123 and temperature heterogeneity at thermal stable condition enabling to study the influence of 124 PCM mass, melting point, box insulation and ambient temperature. These equations are easy to 125 use and they would be useful for stakeholders, for example, to choose the box insulation and 126 the PCM mass according to the product to transport, duration and ambient temperature during 127 the supply chain. 128

It is to be emphasized that certain experimental data presented in this article were used for a thermal model development based on the zonal approach. This model takes into account conduction, convection and radiation inside an insulated box (Leungtongkum et al., 2023b).

132 2. Material and methods

133 The material and methods described in detail in Leungtongkum et al. (2023a) are presented134 succinctly below.

135 2.1 Experimental setup

For thermal study, the box is a 45-L commercialized multilayer insulated box (Manutan SA, Gonesse, France). In fact, commercialized boxes are available in various sizes (from less than 5 L to more than 300 L). For meat, a highly perishable food, the boxes generally do not exceed 50 L. To be close to real situations, we chose a 45-L box in our study. For airflow study, the box has the same dimensions and wall structure as the one for thermal study, but two side walls are replaced by triple-glazed windows (3 glass panes each with a thickness of 4 mm, 2 argonfilled 10-mm gaps) to allow the entrance of laser sheet and the image capture by a camera. The 143 overall heat transfer coefficient of the walls of these two boxes is almost the same (0.89 W·m⁻ 144 ${}^{2}\cdot K^{-1}$).

The PCM container, made of polypropylene (3.5-mm thickness), had external dimensions 460 145 mm x 280 mm x 50 mm and was filled with 3.5 kg of tap water (melting point ~ 0° C). The 146 thermophysical properties of PCM (water in this study), in both liquid and solid state along 147 with its enthalpy of melting is shown in Table 1. Since the form of food is diverse, Tylose 148 149 packs are used as test product (dimensions of a pack 200 mm x 100 mm x 50 mm) as that used in standard tests for thermal performance of cold equipment. The physical properties of this 150 151 test product are close to the ones of meat (Table 1). This configuration (compact load with air gaps between load and box walls) was studied by several authors (Ohkawara et al., 2012; Zhao 152 et al., 2019). 153

The box can be placed horizontally (*AR*, height/width \approx 1) or vertically (*AR* \approx 1.7), making it possible to study the effect of the aspect ratio on heat transfer and the airflow pattern. The effect of the air space underneath the product was studied by placing the test product on a perforated support made of galvanized steel (length x width x height = 350 x 150 x 20 mm and 150 x 150 x 20 mm for a horizontal and a vertical box, respectively). An example of experimental setup for a horizontal box with PCM on a side wall is shown in Figure 1.

160 **2.2 Thermal study**

To assure the homogeneous initial PCM temperature, a PCM slab was placed horizontally in a freezer set at a temperature of -2°C for at least 48 h before each experiment. To assure the homogeneous initial product temperature, sixteen packs of test product were placed in a polystyrene box and stored in a domestic refrigerator set at a temperature of 4°C or 10°C for at least 24 h before each experiment. In this manner, the product temperature is not influenced by the air temperature fluctuation due to "on" and "off" compressor working cycles.

167 Temperatures of PCM, air, and test product in the loaded box (Figure 1) were measured at 35 168 positions located in the middle plane (x = 250 mm) every 30 s from 400 min. to 600 min. after 169 closing the box to assure the stabilization of temperature during the measurement. The 170 temperature contour map was drawn by MATLAB by interpolation from 30 measured points. 171 More detail on temperature measurements can be found in Leungtongkum et al. (2023a). 172 It is to be emphasized that the T-type thermocouples were previously calibrated at -10°C, 0°C,

173 10°C, 20°C and 30°C and allowed the measurement precision of ± 0.2 °C.

Table 2 describes the experimental conditions (cf. the detailed description in Section 2.4). Conditions 1, 2, 9 and 10 were done twice to verify the repeatability of the results. These conditions are notified by "*" in Table 2. Since the result repeatability was observed in these conditions (standard deviation ~ 0.2° C), the other ones reported in this Table were done only once allowing a large number of experimental conditions to be fulfilled.

179 **2.3. Airflow study**

Non-intrusive air velocity measurements were achieved by PIV (Particle Image Velocimetry). 180 181 The PIV device is constituted of three components: a double-pulsed Nd:YLF laser (527 nm wavelength, 10 mJ pulse energy), a high-speed 12-bit CMOS video camera (Photron, 182 FASTCAM SA3; 1024×1024 pixels in resolution) fitted with a lens (Sigma; 105 mm, f/1:2.8) 183 and a programmable timing unit (PTU-X) to ensure synchronization of the laser and the 184 camera. Visualization of the airflow pattern is possible by the scattering of smoke particles 185 during laser pulses. Oil-based particles (mean diameter 0.3 µm) were generated using a smoke 186 machine (Antari, F-80Z). Based on our calibration, the image size was 115.5 mm x 115.5 mm. 187 The positions of the measured windows partially overlapped with the neighboring one using 188 the displacement system. Finally, the air velocity field over the whole area of the plane could 189 be developed. 190

For each measured window, 500 pairs of images were recorded every 20 ms with a time interval 191 of 900 µs between two images of the same pair (between two laser pulses) with the total 192 measurement duration of 10 s. After capturing all the images, instantaneous airflow vectors 193 were calculated using a cross-correlation method with a multi-pass correlation algorithm 194 (Raffel et al., 2007). The distance between two vectors was around 0.9 mm in both horizontal 195 and vertical directions. After 500 instantaneous vector fields had been attained, the mean 196 velocity field of each measured window was calculated. More detail on PIV system, image 197 acquisition, image post-processing and experimental protocol can be found in Leungtongkum 198 et al. (2023a). 199

200 2.4 Experimental conditions

Table 2 summarizes the investigated experimental conditions: position of PCM, aspect ratio of the box, ambient temperature, initial test product temperatures and its position. The pictograms were introduced for further reference. The studied conditions (except PCM position) are new in comparison to the ones presented in Leungtongkum et al. (2023a).

205 **3. Results and discussions**

206 In addition to the results presented in our previous work (Leungtongkum et al., 2023a), this article focuses on the influence of box designing and operating parameters on temperature and 207 air velocity fields: box aspect ratio and PCM position (Figure 2), external and initial product 208 209 temperatures (Figure 3), space beneath the test product (Figure 4). These influences on the average, min and max temperatures of product core/surface and air at stable condition are 210 summarized in Table 3. To complete the data at stable condition, the time-temperature 211 evolutions at different positions are presented in Figure 5, the analysis of the time to reach 212 stable condition (thermal time constant) quantitatively shows the importance of heat fluxes by 213 conduction and convection. The experimental results shown in Figure 6 show the effect of the 214

amount of PCM on product temperature. Finally, simple equations are proposed to predict the
maximum storage time in function of box insulation, PCM mass, melting point, product mass,
ambient temperature.

218 **3.1 Effect of the aspect ratio**

Figure 2 shows the airflow pattern and the temperature field on the middle plane of horizontal and vertical loaded boxes with PCM on the right side. The absence of air velocity in the gap between the test product and PCM of the horizontal box can be explained by the impossibility of laser sheet projection in this zone, thus, no PIV measurement. The same reason explains the absence of air velocity in the gap below the test product of the vertical box.

When PCM was on the side (Figures 2a and 2c), air flows downwards close to PCM and flows 224 upwards close to the opposite vertical wall. In the gap between the test product and the box 225 wall (left side), the upward maximal velocity was similar in both cases (~ $0.11 \text{ m}\cdot\text{s}^{-1}$, with an 226 uncertainty of 3 x 10^{-3} m·s⁻¹). Comparison is not possible in the gap between the PCM and the 227 test product. However, in the vertical box, the maximal downward velocity $(0.13 \text{ m}\cdot\text{s}^{-1}, \text{ with})$ 228 an uncertainty of 3 x 10^{-3} m·s⁻¹) was higher than the maximal upward velocity (0.11 m·s⁻¹, with 229 an uncertainty of $3 \times 10^{-3} \text{ m} \cdot \text{s}^{-1}$). This is because downward flow occurs only along the PCM, 230 231 whereas upward flow occurs not only along the opposite wall but also along the two other vertical box walls (results not shown). 232

Regarding the temperature field (Figures 2a' and 2c'), increasing the height of the box did not change the coldest and warmest positions. The coldest spot was located at the bottom close to the PCM surface and the warmest spot was at the top close to the opposite side wall.

Table 3 summarizes the average temperatures observed between 400 and 600 min. considered as a stable period. In the pictograms, the cold/warm spot locations for air and the product are shown. This table distinguishes the temperature of air, of the product surface and of the product

core in terms of average, maximum and minimum values. In the following section, we will 239 focus on the average product core value, $T_{pc, ave}$ and the maximum product temperature (core 240 or surface), $T_{p, max}$, because of the importance for product quality and the sanitary risk (on the 241 average and for the highest temperature location). Indeed, the minimum product temperature 242 was always positive (no freezing risk) since PCM was initially at a temperature of -2°C and 243 melted near 0°C. To complement these findings, we will also consider the air temperature 244 heterogeneity: $\Delta T_{air} = T_{air, max} - T_{air, min}$. Since the standard deviation (SD) between replicates 245 for average product (core or surface, 4 positions each) temperatures is around 0.2°C (see Table 246 3), we should consider that a difference of less than about 0.5°C does not exert a significant 247 impact on product quality evolution. 248

Increasing the height of the box significantly led to higher product temperatures and greater airtemperature heterogeneity:

251 Horizontal box:
$$T_{pc, ave} = 5.8^{\circ}\text{C}, T_{p, max} = 7.7^{\circ}\text{C}, \Delta T_{air} = 6.0^{\circ}\text{C}, \text{SD of } T_{air} = 2.2^{\circ}\text{C}$$

252 Vertical box: $T_{pc, ave} = 7.8^{\circ}\text{C}, T_{p, max} = 9.9^{\circ}\text{C}, \Delta T_{air} = 7.5^{\circ}\text{C}, \text{SD of } T_{air} = 3.0^{\circ}\text{C}$

A higher aspect ratio (height/width) leads to larger temperature differences between the top and the bottom. Thus, it is recommended to limit the height of insulated boxes. In fact, increasing the height should increase convective heat transfer between PCM and air (according to Nu-Ra correlations) but the air pathway along the box walls is longer and thermal stratification is stronger. Finally, under conditions close to ours, these different phenomena lead to higher average temperature and temperature heterogeneity for higher aspect ratio.

259 **3.2 Effect of the PCM position**

The effect of PCM position on temperature and air velocity fields of a horizontal box are shown in Figure 2. For PCM at top, upward flow was observed near the left box wall and also near the top of test product but only a very weak downward flow (dashed arrow A in Figure 2b) was

The temperature distribution on the middle plane (Figure 2b') also shows a dissymmetry of air and product temperatures. Air was at a temperature of around 5.2°C in the right gap and around 6.6°C in the left gap. This confirms the hypothesis of asymmetric airflow.

Placing PCM at the top allowed lower average temperature and lower air temperatureheterogeneity:

271 PCM on the side:
$$T_{pc, ave} = 5.8^{\circ}\text{C}, T_{p, max} = 7.7^{\circ}\text{C}, \Delta T_{air} = 6.0^{\circ}\text{C}, \text{SD of } T_{air} = 2.2^{\circ}\text{C}$$

272 PCM at the top:
$$T_{pc, ave} = 5.4^{\circ}\text{C}, T_{p, max} = 6.6^{\circ}\text{C}, \Delta T_{air} = 2.5^{\circ}\text{C}, \text{SD of } T_{air} = 1.1^{\circ}\text{C}$$

Hence, placing PCM at the top is more appropriate for food transport and this configurationwas used for further study of the influence of ambient and initial test product temperatures.

275 **3.3 Effect of ambient temperature**

Figure 3 presents the temperature field of the loaded box with a 20-mm air gap underneath and PCM at the top under ambient temperatures of 10° C (Figure 3a), 20° C (Figure 3b) and 30° C (Figure 3c). To compare the effect of different ambient temperatures on measured temperatures, the dimensionless temperature T^* was defined (Equation 1).

$$T^* = \frac{T - T_m}{T_{amb} - T_m} \tag{1}$$

where T is the average value of the temperatures measured between 400 min. and 600 min.

Logically, increasing ambient temperature led to a higher product temperature and greater airtemperature heterogeneity:

284
$$\underline{T_{amb} = 10^{\circ}\text{C}}$$
: $T_{pc, ave} = 4.3^{\circ}\text{C}$ ($T^*_{pc, ave} = 0.43$), $T_{p, max} = 5.2^{\circ}\text{C}$, $\Delta T_{air} = 1.7^{\circ}\text{C}$, SD of $T_{air} = 0.5^{\circ}\text{C}$
285 $T_{amb} = 20^{\circ}\text{C}$: $T_{pc, ave} = 5.4^{\circ}\text{C}$ ($T^*_{pc, ave} = 0.27$), $T_{p, max} = 6.6^{\circ}\text{C}$, $\Delta T_{air} = 2.5^{\circ}\text{C}$, SD of $T_{air} = 1.1^{\circ}\text{C}$

| 286 | <u>$T_{amb} = 30^{\circ}\text{C}$</u> : $T_{pc, ave} = 7.2^{\circ}\text{C}$ ($T^{*}_{pc, ave} = 0.24$), $T_{p, max} = 9.1^{\circ}\text{C}$, $\Delta T_{air} = 4.8^{\circ}\text{C}$, SD of $T_{air} = 1.6^{\circ}\text{C}$ |
|-----|--|
| 287 | The positions of the coldest and warmest spots were the same for all ambient temperatures. |
| 288 | One could expect that in terms of dimensionless temperature, the results would be the same, |
| 289 | but this is not the case when applied to the average core temperature (T^* varying between 0.43 |
| 290 | and 0.24). This can be due to the non-linearity of heat fluxes versus temperature difference in |
| 291 | free convection: fluid flow and consequently the convective heat transfer coefficient which |
| 292 | depends on the temperature difference. This was effectively observed with more noticeable |
| 293 | downward airflow at an ambient temperature of 30°C (result not shown). This can also be due |
| 294 | to the influence of the initial product temperature which is different in dimensionless terms for |
| 295 | the three ambient temperatures (thermal inertia effect). Thus, a simple linear extrapolation |
| 296 | cannot be applied for different ambient temperatures. For example, a 50% increase in the |
| 297 | difference between the ambient temperature and the PCM melting temperature does not |
| 298 | necessarily lead to a 50% higher product temperature. Similar results were obtained for PCM |
| 299 | on the side (see Table 3, conditions 1 and 4). Physical-based models, e.g. zonal model or CFD, |
| 300 | could be used to analyze the effect of ambient temperature on temperature heterogeneity and |
| 301 | temperature evolution. |

302 3.4 Effect of the initial test product temperature

Figure 3d presents the temperature field for an initial test product temperature of 10°C and PCM at the top under ambient conditions of 20°C. By comparing Figures 3b and 3d, it was observed that a higher initial test product temperature led to a higher product temperature:

306
$$\underline{T_{pc, ini} = 4^{\circ}C}$$
: $T_{pc, ave} = 5.4^{\circ}C$ ($T^{*}_{pc, ave} = 0.27$), $T_{p, max} = 6.6^{\circ}C$, $\Delta T_{air} = 2.5^{\circ}C$, SD of $T_{air} = 1.1^{\circ}C$
307 $\underline{T_{pc, ini} = 10^{\circ}C}$: $T_{pc, ave} = 8.0^{\circ}C$ ($T^{*}_{pc, ave} = 0.40$), $T_{p, max} = 9.2^{\circ}C$, $\Delta T_{air} = 2.8^{\circ}C$, SD of $T_{air} = 0.9^{\circ}C$

308 Theoretically, the same results would be expected under steady state conditions, whatever the 309 initial test product temperature if the same ambient temperature and PCM melting point are applied. This means that even after 8 h (on an averaged basis between 400 and 600 min.),
steady state was not reached. This is highlighted in Section 3.6.

Similar results were obtained for PCM on the side (see Table 3, conditions 1 and 7). Concerning application aspects, placing a load with a high initial temperature in packaging is not recommended for food transport because PCM should only serve to maintain the product temperature, not to cool it.

316 3.5 Effect of a space beneath the test product

Figure 4 illustrates the air velocity field for the box with PCM at the top and on the side with a 20-mm gap underneath the test product (Figure 4a and Figure 4c) and without a gap (Figure 4b and Figure 4d). This gap is expected to ensure better air circulation and avoid direct heat conduction from the bottom wall to the product.

When PCM was at the top (Figures 4a and 4b), the airflow pattern was quite similar with and without gap. From Table 3, for PCM at the top, it appears that the influence of gap beneath the test product on the product temperature is not significant.

324 With a 20-mm gap:
$$T_{pc, ave} = 5.4^{\circ}\text{C}, T_{p, max} = 6.6^{\circ}\text{C}, \Delta T_{air} = 2.5^{\circ}\text{C}, \text{SD of } T_{air} = 1.1^{\circ}\text{C}$$

325 <u>Without gap:</u> $T_{pc, ave} = 5.6^{\circ}\text{C}, T_{p, max} = 7.2^{\circ}\text{C}, \Delta T_{air} = 2.6^{\circ}\text{C}, \text{SD of } T_{air} = 1.0^{\circ}\text{C}$

When PCM was on the side (Figure 4c and 4d), the maximum air velocity was slightly higher with the gap $(0.13 \text{ m}\cdot\text{s}^{-1})$, with an uncertainty of $3 \times 10^{-3} \text{ m}\cdot\text{s}^{-1}$ and $0.10 \text{ m} \text{ s}^{-1}$, with an uncertainty of $9 \times 10^{-3} \text{ m}\cdot\text{s}^{-1}$ in the box with 20-mm gap underneath and without a gap, respectively). In spite that the presence of gap led to better air circulation, the influence on product temperature was not obvious. From Table 3, for PCM on the side:

331 With a 20-mm gap: $T_{pc, ave} = 5.8^{\circ}\text{C}, T_{p, max} = 7.7^{\circ}\text{C}, \Delta T_{air} = 6.0^{\circ}\text{C}, \text{SD of } T_{air} = 2.2^{\circ}\text{C}$

332 <u>Without gap:</u> $T_{pc, ave} = 5.8^{\circ}\text{C}$, $T_{p, max} = 7.5^{\circ}\text{C}$, $\Delta T_{air} = 7.3^{\circ}\text{C}$, SD of $T_{air} = 2.5^{\circ}\text{C}$

333 Since the presence of gap has insignificant influence on product temperature, it is more 334 practical to load the product directly onto the bottom of the box without providing a gap.

335 **3.6 Temperature evolution**

Figure 5 presents the temperature evolution at several positions in a loaded horizontal box with PCM on the side after the lid was closed. The internal wall (TC1), internal air (TC2, TC5 and TC8) and PCM surface temperatures (TC9) decreased rapidly over a period of around 60 min. before gradually increasing, while the surface and core temperatures of the test product (TC3, TC4, TC6 and TC7) increased slowly over a period of 1100 min. (18 h).

The difference in temperature evolution of the walls of the box and the test product can be explained by their thermal inertia, diffusivity and convective heat exchange with air. The Biot number (Bi) was used to compare the effect of the internal and external thermal resistance of these materials, as defined in Equation. 2:

$$Bi = \frac{hL}{\lambda} \qquad (2)$$

where *L* is the characteristic length represented by the thickness of the inner polypropylene layer (3.5 mm) for a wall of the box (considering that heat exchanged only with one side) and by the half thickness of the test product (100 mm). Due to natural convection inside the box, the order of magnitude of the heat transfer coefficient is approximately 5 W·m⁻²·K⁻¹.

Consequently, the Biot number is 0.146 for polypropylene and 0.98 for the test product. Hence, the thermal resistance of the internal wall could be neglected, while that of the test product is of the same order of magnitude as the external thermal resistance.

353 The thermal time constant related to conduction can be estimated by Equation. 3 (Bergman et354 al., 2011).

355
$$\tau_{conduction} = \frac{\rho c_p L^2}{\lambda} \quad (3)$$

356 Similarly, the thermal time constant related to convection can be estimated by Equation. 4357 (Bergman et al., 2011).

358
$$\tau_{convection} = \frac{\rho C_p L}{h} \quad (4)$$

For the internal wall, the thermal time constants for conduction and convection are 179 s (3 min.) and 1230 s (20 min.), respectively. It can be concluded that the delay in temperature evolution of the internal walls was mainly caused by convection between adjacent air and the walls.

For the test product, the thermal time constants of conduction and convection are 70800 s (> 19 h) and 72200 s (> 20 h), respectively. Thus, both heat conduction and convection play an important role in the temperature evolution, and this explains the temperature difference between the core, the surface of test product and the adjacent air.

367 The thermal time constants of the test product are much longer than those of the internal wall368 and this results in a different rate of temperature evolution.

According to Figure 5, the internal temperature of PCM (TC10) increased after 800 min. (~13 h) indicating that PCM was melted. The temperature of the other components thus increased. In view of the thermal time constant for the product, PCM is melted before the product reaches thermal equilibrium, so there was no steady state in this condition. One could consider a hypothetical equilibrium temperature which would be reached after a long period by assuming that PCM is still at the melting temperature everywhere. Roughly, for horizontal boxes, for PCM either at the top or on the side:

376 <u> $T_{pc, ini} = 4^{\circ}C$ </u>: after 8 h, $T_{pc, ave}$ is at around 5.5°C and the temperature is still rising

377 <u> $T_{pc, ini} = 10^{\circ}$ C:</u> after 8 h, $T_{pc, ave}$ is at around 8.5°C and it is still decreasing

378 Therefore, the equilibrium temperature should be around 7° C.

In practice, to be able to compare the performances of insulated boxes equipped with PCM, the experiments should be carried out under the same loading conditions (mass and initial temperature), ambient temperature and duration of temperature measurement.

382 3.7 Effect of the amount of PCM on the test product temperature evolution and maximum 383 storage time

This section describes a comparison of the experimental test product core temperature evolution (average of four measurements at different locations) for 3 amounts of PCM: 0 kg, 1.7 kg (about 10% of the product mass), and 3.5 kg (about 20% of the product mass) (Figure 6a). This experiment was undertaken for PCM located on a sidewall of a horizontal box loaded with the test product at an initial temperature of 4°C and 20°C ambient temperature. The higher amount of PCM lowered the rate of temperature increase. For example, during the first 2 h, this rate was 1.13° C·h⁻¹, 0.58° C·h⁻¹ and 0.34° C·h⁻¹, for 0 kg, 1.7 kg and 3.5 kg of PCM, respectively.

Figure 6b presents the relationship between the maximum product storage time (t_{max}) and the 391 amount of PCM. This maximum storage time is defined as the duration during which the 392 product remains below $T_{p,max} = 8^{\circ}$ C, which is the maximum temperature value for the storage 393 of certain chilled foods. The higher the amount of PCM, the higher the maximum storage time: 394 370 min. (for 0 kg), 944 min. (for 1.7 kg) and 1373 min. (for 3.5 kg). The results obtained in 395 terms of product temperature evolution and maximum storage time confirm the benefit of PCM 396 for food preservation as reported by Zhao et al. (2019) for strawberries. These authors showed 397 that the use of PCM allowed less weight loss and greater product firmness in comparison with 398 the case where PCM was not used. 399

400 To determine approximately the maximum storage time as a function of the amount of PCM,401 the following heat balance equation can be used:

402
$$m_p C_{p,p} (T_{p,max} - T_{p,ini}) + m_{pcm} L_f = UA \left(T_{amb} - \frac{T_{p,ini} + T_{p,max}}{2} \right) t_{max}$$
 (5)

403 where U is the overall heat transfer coefficient of the box $[W \cdot m^{-2} \cdot K^{-1}]$

404 and A is the exchange area $[m^2]$

405 The overall heat transfer coefficient of the box can be related to the thicknesses (e_k) and 406 conductivities (λ_k) of the box wall materials (of index *k*) as shown in Equation 6 (assuming 407 negligible convective heat transfer resistances).

408 Thus,
$$U = \frac{1}{\sum_k \frac{e_k}{\lambda_k}}$$
 (6)

Equation 5 assumes that PCM is completely melted when $T_{p,max}$ is reached and that the internal

410 temperature is close to the average test product temperature.

411 Based on these assumptions, Equation 5 becomes:

412
$$t_{max} = t_{max,0}(1 + \alpha \frac{m_{pcm}}{m_p})$$
 (7)

413 where $t_{max,0}$ is the maximum storage time of the box without PCM defined as

414
$$t_{max,0} = \frac{m_p C_{p,p}(T_{p,max} - T_{p,ini})}{UA(T_{amb} - \frac{T_{p,ini} + T_{p,max}}{2})}$$
[s]

415 and
$$\alpha = \frac{L_f}{C_{p,p}(T_{p,max} - T_{p,ini})}$$
 [-]

This indicates a linear relationship between the maximum storage time and the amount of PCMas shown in Figure 6b.

In practice, for all types of boxes, it is suggested that this type of experiment should be conducted, at a fixed ambient temperature, without and with a given amount of PCM to determine $t_{max,0}$ and α . The influence of other parameters could be approximated according to

421 Equations 5 and 7. For example, it is expected that the maximum storage time is inversely 422 proportional to the difference between the ambient temperature (T_{amb}) and the internal 423 temperature considered as the average test product temperature ($\frac{T_{p,ini} + T_{p,max}}{2}$).

424 **3.8 Expected influence of insulation on temperature level and heterogeneity**

The present study considered only one insulation configuration. This section aims to predict the effect of changing the insulation by using a basic approach. This effect is the determining factor for the temperature distribution in insulated boxes equipped with PCM (Paquette et al., 2017). To illustrate the influence of the box insulation, the analysis presented below concerns the box with PCM on the side where a higher temperature level and greater heterogeneity were observed.

431 As a first approach, the steady state mean temperature in the box (T_{mean}) could be obtained from 432 the following energy balance (Equation 8):

433
$$A_c h_c (T_{mean} - T_m) = A_w U (T_{amb} - T_{mean})$$
(8)

434 where A_c and A_w are the surface area of warm (insulated) and cold (PCM) walls [m²],

435 h_c is the heat transfer coefficients between product and PCM surface [W·m⁻²·K⁻¹],

436 U is the overall heat transfer coefficient between ambient and product surface

437 through box insulation
$$[W \cdot m^{-2} \cdot K^{-1}]$$
 and

438 T_m and T_{amb} are the melting temperature of the PCM and the external temperature,

439 respectively [°C].

440 When the box is horizontal, in our case $\beta = (A_w U)/(A_c h_c) \approx 0.54$ with $T_m = 0^{\circ}$ C, $T_{amb} = 20^{\circ}$ C and 441 $T_{mean} \approx 7^{\circ}$ C. Since $A_w/A_c = 4.3$ and $h_c/U \approx 8$; therefore, if insulation is improved by 30%, (i.e., 442 *U* divided by 1.3), the mean temperature should decrease from 7°C to 5.9°C.

0)

To obtain an estimation of temperature heterogeneity, it can be assumed that air flows, with a mass flowrate \dot{m} , first along the PCM, where its temperature decreases to T_{min} , then along the warm walls, where the temperature rises to T_{max} . The following equations characterize these heat exchange phenomena (Equations 9 and 10):

447
$$T_{air,min} - T_m = \alpha_c (T_{air,max} - T_m)$$
(9)

448 where $\alpha_c = exp\left(-\frac{A_ch_c}{mC_{p,air}}\right)$

449
$$T_{amb} - T_{air,max} = \alpha_w (T_{amb} - T_{air,min})$$
(1

450 where $\alpha_w = exp\left(-\frac{A_w U}{mC_{p,air}}\right) = \alpha_c^{\ \beta}$

451 Therefore;
$$T_{air,max} - T_{air,min} = \frac{(1-\alpha_c)(1-\alpha_w)(T_{amb}-T_m)}{(1-\alpha_c\alpha_w)}$$
 (11)

For the academic case of a square cavity with one vertical cold wall (T_c), one vertical warm wall (T_w) and adiabatic horizontal walls, Raithby & Hollands (1998) found that $T_{air,max} - T_{air,min} \approx 0.5(T_w - T_c)$ for the cavity with a low aspect ratio (AR < 40) which is often the case of insulated boxes (T_w and T_c are the temperature of warm wall and cold wall, respectively). If our basic approach is applied to this case, it can be estimated that $\alpha_c \approx 1/3$.

In our case ($\beta \approx 0.54$), it was calculated that $T_{air,max} - T_{air,min} \approx 7.4$ °C which is comparable to the observed values. If insulation is improved by 30% for example (β divided by 1.3), the temperature heterogeneity should decrease from 7.4°C to 6.1°C.

This basic approach does not take into account the interaction with the test product especially during the unsteady period, radiation, complex flow etc., but it allows a rough estimation of the influence of insulation. It also highlights the influence of the air mass flowrate (\dot{m}) and the heat transfer (h_c) along the PCM on the temperature level and heterogeneity.

464 **4. Conclusion and perspectives**

This study investigated airflow and temperature fields inside an insulated box equipped with 465 PCM loaded with test product (Tylose slabs). PCM position significantly affected airflow 466 467 patterns, air temperature profile, product temperature homogeneity, and average product temperature. When PCM was on a sidewall, the coldest position was at the bottom, close to the 468 PCM surface, and the warmest one was at the top close to the opposite vertical wall. When 469 PCM was at the top, the lowest product temperature was located at the top, while the highest 470 one was at the bottom, and slightly lower air and product temperatures were observed. 471 Increasing the box aspect ratio (higher box) led to a higher product temperature and greater 472 temperature heterogeneity (at least for PCM on the side). The non-linear correlation between 473 ambient temperature and product temperature can be explained by the non-linearity of free 474 convection and the product thermal inertia. An insignificant influence of the initial product 475 temperature on the airflow pattern and air velocity profile was observed. The presence and 476 absence of a space underneath the product led to similar temperatures, despite the difference 477 in airflow pattern in the case of PCM on the side. 478

It is recommended that the PCM should be placed at the top of the box in order to reduce 479 480 temperature stratification. This configuration has been previously investigated in an empty cavity and this work confirms, by experiment, that it can be applied for the loaded cavity as 481 482 well. The box should not be too high to avoid a high temperature and large temperature 483 heterogeneity. The effect of aspect ratio is complex as higher boxes allow higher convective heat transfer and also higher thermal stratification. Thus, CFD model is suggested to analyze in 484 detail the influence of aspect ratio on temperature distribution. To maintain the product 485 486 temperature along a supply chain, PCM could be placed on all walls (top, bottom, sidewalls); however, the available volume would be significantly reduced and the logistic cost per kg of 487 product would be higher. Our study demonstrates that it is possible to place PCM only at one 488

wall (top or side) if an appropriate PCM mass is used. This mass depends on the ambient 489 temperature in the supply chain, which directly impacts airflow and product temperature. 490 Hence, the ambient temperature is an important factor for the system design, i.e., box wall 491 material, PCM type and mass. However, linear extrapolation from one ambient to another is 492 not recommended because of non-linear behavior, thus physical based models taking natural 493 convection into account should be used to analyze the impact of ambient temperature on product 494 temperature in an insulated box with PCM. Loading a product at a high temperature should be 495 avoided since it takes more than 10 hours to cool it down according to its high thermal inertia. 496 Adding a 20-mm air space beneath the test product neither reduces the test product temperature 497 nor increases homogeneity although this gap allows slightly better air circulation. Future studies 498 499 are required to determine the influence of the other air gaps: between PCM and load, between lateral and top walls and load. The use of PCM can delay the internal temperature evolution 500 and the amount of PCM linearly correlates with the maximum storage time of the insulated box. 501 The influence of other parameters like the amount of product and the emissivity of box walls 502 will be studied. 503

The experimental velocity and temperature fields obtained in different conditions can further 504 be used to validate CFD models. They should confirm that when PCM is at top, although the 505 configuration is symmetric, the velocity and temperature fields can be asymmetric. In practice, 506 there are many other possible box designs and operating conditions and the interactions between 507 the different factors are complex. Thus, numerical models are necessary for investigating the 508 influence of these factors on temperature distribution and evolution in a wide variety of 509 configurations (e.g., smaller/larger boxes, improved insulation). The experimental results 510 511 presented in the present article can contribute to validate these models.

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Figure 1: Experimental setup and thermocouple positions in the horizontal box with PCM on
a side wall and loaded with the test product (Tylose, TYL). Note: Similar setup and measured
positions were applied for the vertical box.

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Air velocity field



(a)





(c)













- **Figure 2:** Measured air velocity field on the middle plane of a loaded box with (a) PCM on
- the side of the horizontal box; (b) PCM at the top of the horizontal box; and (c) PCM on the
- 630 side of the vertical box. (a'), (b') and (c') are corresponding measured temperature fields (for

631

one of the replications)

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(c)

Figure 3: Measured temperature field on the middle plane of a loaded box with PCM at the
top and a product initial temperature of 4°C under (a) 10°C ambient temperature; (b) 20°C
ambient temperature; (c) 30°C ambient temperature; and (d) product initial temperature of
10°C under 20°C ambient temperature



Figure 4: Measured air velocity field on the middle plane of a loaded box (a) PCM at the top,
20-mm gap underneath the test product, (b) PCM at the top, without gap, (c) PCM on the
side, 20-mm gap underneath the test product and (d) PCM on the side, without gap

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Figure 5: Temperature evolution at the bottom of the box during experiment No. 1 (ambient
temperature = 20°C and initial test product temperature = 4°C) with heat flow (red arrows –
from ambient, green arrows – between the internal air and the test product, and black arrows –
from the internal air to the PCM). Airflow shown using blue arrows

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Figure 6: Effect of the amount of PCM on (a) test product core temperature evolution; and
(b) maximum storage time, *t_{max}*. Error bars represent the standard deviation of 2 replications.
The experiment was conducted under condition 4: loaded box with PCM on a sidewall with
an ambient temperature of 20°C, 4°C initial product temperature, 20 mm gap beneath the test
product (Tylose, TYL)

| Material | ρ (kg·m ⁻³) | $C_p \left(\mathbf{J} \cdot \mathbf{kg}^{-1} \cdot \mathbf{K}^{-1} \right)$ | $\lambda (\mathbf{W} \cdot \mathbf{m}^{-1} \cdot \mathbf{K}^{-1})$ | Reference |
|--------------------------|-------------------------|--|--|------------------------|
| Liquid water | 1000 | 4217 | 0.561 | Cengel & Ghajar (2020) |
| *Ice | 920 | 2040 | 1.880 | Cengel & Ghajar (2020) |
| Test product (Tylose) | 1070 | 3372 | 0.510 | Icier & Ilicali (2005) |

Table 1: Thermophysical properties of materials

*Enthalpy of melting of ice (L_f) is 333700 J/kg with melting temperature (T_m) at 0°C 653

g tempera

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Table 2: Experimental conditions

| Condition | 1* | 2* | 3 | 4 | 5 | 6 | 7 | 8 | 9* | 10* |
|--|------|------|------|------|------|------|------|------|------|------|
| | 20°C | 20°C | 20°C | 30°C | 10°C | 30°C | 20°C | 20°C | 20°C | 20°C |
| PCM position | Side | Тор | Side | Side | Тор | Тор | Side | Тор | Side | Тор |
| Aspect ratio | ~1 | ~1 | 1.7 | ~1 | ~1 | ~1 | ~1 | ~1 | ~1 | ~1 |
| Ambient | 20 | 20 | 20 | 30 | 10 | 30 | 20 | 20 | 20 | 20 |
| temperature (°C) | | | | 102 | | | | | | |
| Initial test product temperature | 4 | 4 | 4 | 4 | 4 | 4 | 10 | 10 | 4 | 4 |
| (°C) | | | | | | | | | | |
| Spacing | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 20 | 0 | 0 |
| beneath test | | | | | | | | | | |
| product (mm) | | | | | | | | | | |

655 * with two replications

Table 3: Test product core, surface and internal air temperatures for all experimental loaded conditions. <u>Note:</u> the reported values are the average of the temperatures measured between 400 min. and 600 min. considered as the stable thermal condition.

| | Condition | ***1 | ***2 | 3 | 4 | 5 | 6 | 7 | 8 | ***9 | ***10 | **SD |
|--------------|------------|-----------|-----------|------|------|-----|------|------|------|-----------|-----------|------|
| | *Pictogram | 20°C | 20°C | 20°C | 30°C | | 30°C | 20°C | 20°C | 20°C | 20°C | |
| Core | Average | 5.8 | 5.4 | 7.8 | 7.4 | 4.3 | 7.2 | 9.3 | 8.0 | 5.8 | 5.6 | 0.19 |
| temperature | | (5.6,6.0) | (5.3,5.4) | | | | | | | (5.7,5.8) | (5.4,5.7) | |
| | Minimum | 4.5 | 4.6 | 6.2 | 5.4 | 3.5 | 6.2 | 7.4 | 7.2 | 4.5 | 4.2 | - |
| | | (4.2,4.8) | (4.5,4.6) | | | | | | | (4.4,4.6) | (4.1,4.3) | |
| | Maximum | 6.8 | 6.4 | 9.5 | 9.4 | 4.9 | 8.4 | 10.9 | 9.1 | 6.5 | 7.0 | - |
| | | (6.6,7.1) | (6.3,6.4) | | | | | | | (6.4,6.6) | (6.8,7.1) | |
| Surface | Average | 6.3 | 5.5 | 8.1 | 8.5 | 4.6 | 7.6 | 9.0 | 8.1 | 6.0 | 5.7 | 0.22 |
| temperature | | (6.1,6.5) | (5.3,5.7) | | | | | | | (6.1,5.9) | (5.6,5.8) | |
| | Minimum | 4.3 | 4.6 | 5.8 | 5.2 | 3.9 | 5.9 | 6.0 | 6.9 | 3.7 | 4.4 | - |
| | | (4.1,4.5) | (4.4,4.7) | | | | | | | (3.4,3.9) | (4.2,4.5) | |
| | Maximum | 7.7 | 6.6 | 9.9 | 10.7 | 5.2 | 9.1 | 11.0 | 9.2 | 7.5 | 7.2 | - |
| | | (7.3,8.1) | (6.4,6.9) | 0 | | | | | | (7.2,7.8) | (7.0,7.3) | |
| Internal air | Average | 6.6 | 5.7 | 8.5 | 10.0 | 4.7 | 9.1 | 8.7 | 8.0 | 6.4 | 5.6 | 0.38 |
| temperature | | (6.2,7.0) | (5.7,5.7) | | | | | | | (6.0,6.7) | (5.5,5.7) | |
| | Minimum | 2.6 | 4.5 | 4.7 | 4.6 | 3.5 | 5.2 | 4.1 | 6.3 | 1.6 | 4.4 | - |
| | | (1.5,3.6) | (4.4,4.6) | | | | | | | (1.2,2.0) | (4.4,4.4) | |
| | Maximum | 8.6 | 7.0 | 12.2 | 13.3 | 5.2 | 10.0 | 10.7 | 9.1 | 8.9 | 7.0 | - |
| | | (7.7,9.6) | (6.9,7.2) | | | | | | | (8.9,8.9) | (6.9,7.0) | |
| | **SD | 2.2 | 1.1 | 3.0 | 3.0 | 0.5 | 1.6 | 2.3 | 0.9 | 2.5 | 1.0 | - |

 \bullet * \forall and \forall represent the coldest and warmest locations in the test product, respectively while \bullet and \bullet signify the coldest and warmest locations in the air, respectively.

- ** SD = Standard Deviation (°C). For a given condition (each column), SD represents the variation of air temperature among 13 measurement
- positions. For a given temperature (same row), SD represents the variation of temperature measured at 4 positions between 2 replications.
- ⁶⁶² *** The values in parenthesis were the results of each replication.

Declaration of interests

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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