

The potential of floating macrophytes as feed and phytoremediation resources to improve the environmental performance of giant gourami production in Indonesia: A life cycle assessment

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1	The potential of floating macrophytes as feed and phytoremediation resources to improve					
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3	Assessment					
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15 Abstract

Over the past few decades, Indonesian freshwater aquaculture has intensified, raising many 16 issues on the associated environmental impacts. In this study, a life cycle assessment (LCA) 17 approach was used to assess the environmental performance of Indonesian giant gourami 18 (Osphronemus goramy) aquaculture. We compared two contrasting fish production systems: a 19 traditional monoculture (MoC) or a co-culture with the macrophyte Azolla filiculoides used as 20 21 feed alternative to compounded pellets (CoC). Seven impact categories were considered: climate change, eutrophication, cumulative energy demand, land occupation, acidification, net primary 22 production use (NPPU) and water dependence. The potential impacts were calculated in terms of 23 1 kg of fish produced by the systems and were also expressed in terms of surface area (m^2 of the 24 25 farm). Monte Carlo analysis was carried out to evaluate uncertainties in the assessed impacts 26 based on data uncertainties for the systems. Results highlighted notable differences between the 27 two production systems. CoC led to less impact relative to climate change, eutrophication and NPPU per kg of fish produced. These differences were even greater when reporting the impacts 28 29 per surface area. Nonetheless, the main contributors of the seven impact categories remained constant between the two production systems (CoC and MoC). Overall, our findings suggested 30 that using floating macrophytes as alternative feed resources, even in relatively low proportions, 31 can improve the environmental performance of giant gourami production. 32

33 Keywords: Agroecology, Asia, Aquaculture, Freshwater, LCA

34 **1. Introduction**

35 With a production of 4.3 million tons of farmed fish in 2020, Indonesia is the third-largest fish aquaculture producer country in the world (FAO, 2022a). Since the government made 36 aquaculture development a national priority in 2009 (Phillips et al., 2015; Rimmer et al., 2013), 37 fish farming has shown the most rapid expansion of all Indonesian agronomic production 38 sectors, with an average annual growth of 9% over the last decade (FAO, 2022b). This sector 39 40 indeed plays a significant role in the national economy, ensuring food availability and security and improving living standards for rural communities (Rimmer et al., 2013). Excluding seaweed, 41 freshwater fish farming represents 66% of aquaculture production in Indonesia (FAO, 2022b) 42 43 and relies mainly on small-scale farms, which represent 90% of all fish farms (Maskur et al., 2013). Small-scale fish farmers usually practice aquaculture using low-input and low-level 44 technology (Pouil et al., 2019). Nevertheless, the increasing demand for freshwater aquaculture 45 46 products has driven a shift from low-intensive traditional culture methods to semi-intensive monoculture production systems. This intensification was accompanied by an increase in the use 47 of commercial fish pellets (Edwards, 2015; Rimmer et al., 2013), involving a decrease in the 48 proportion of non-fed Asian aquaculture by a factor of two since the 2000s (excluding China; 49 FAO, 2022b). Such changes raise many issues on the actual effectiveness of current production 50 systems regarding the use of resources and the associated negative environmental impacts. These 51 negative impacts are further reinforced by the large amounts of solid effluents (faeces, feed 52 wastes) and dissolved nutrients (waste products) discharged resulting from the use of 53 54 commercial feeds, which contribute to eutrophication of wild aquatic ecosystems. Several freshwater reservoirs in Java, where fed fish farming has proliferated, experienced water quality 55 56 degradations leading to the occurrence of disease outbreaks and fish death (Rimmer et al., 2013).

Indonesian aquaculture must become more sustainable to meet the national demand for 57 58 aquaculture products and also limit their environmental footprint. Henriksson et al. (2019) evaluated possible interventions and innovations to mitigate environmental impacts related to the 59 growth of Indonesian aquaculture. The authors found that improving feed efficiency for white 60 leg shrimp, common carp and tilapia by 20% witnessed major reductions in environmental 61 impacts. Such interventions imply the design of aquaculture systems that use fewer chemical 62 products and commercial feeds, more renewable resources, and make the best use of ecosystem 63 services without impairing their regeneration. Such systems can be called "ecologically 64 intensive" (Griffon, 2010), having a high level of production per surface area but using 65 66 ecological processes as much as possible to optimize natural resource use and develop ecosystem services (Bonny, 2011). In recent years, a growing effort has been made worldwide to implement 67 ecological intensification in aquaculture (Aubin et al., 2019), particularly by increasing the 68 69 complexity of rearing systems using different complementary species as in integrated multitrophic aquaculture (Jaeger and Aubin, 2018). However, the proof of concept must be enhanced, 70 and comparative studies of different production systems for the same species remain scarce. 71

Among the fish species reared in Indonesia, giant gourami Osphronemus goramy is one of the 72 primary freshwater commodities of economic importance due to its high price and local demand 73 (FAO, 2019). With its propensity to consume plant-based feeds, giant gourami is a valuable 74 candidate for low-input aquaculture (FAO, 2019) and for integrating fish production and plant 75 culture. Giant gourami production in Indonesia is mainly located on Java Island (79% of the 76 77 national giant gourami production; BPS, 2013) and is strongly segmented (FAO, 2019). Rearing takes place in ponds exclusively dedicated to giant gourami or shared with other species 78 (Kristanto et al., 2020). Slembrouck et al. (2018) evaluated Azolla as the best candidate among 79

five floating macrophytes species for the ecological intensification of small-scale fish farming in 80 81 tropical areas. Recently, a study evaluated the feasibility of combining the production of the floating macrophyte Azolla filiculoides in giant gourami ponds (Caruso et al., 2021), with the 82 macrophytes being used as an alternative fish feed. Compared with those from a conventional 83 giant gourami monoculture system exclusively based on exogenous commercial feeds (Caruso et 84 al., 2021), the use of Azolla proved to be economically advantageous by reducing the amount of 85 86 commercial pellets used while maintaining a similar growth rate of the fish and biomass gain at the end of the trial. However, this study was not dealing with the environmental impacts of using 87 such alternative production system compared to the conventional monoculture production. 88

A holistic approach is needed when considering the environmental impacts of production 89 90 practices, and life cycle assessment (LCA) is considered a suitable tool for analysing aquaculture 91 production systems (for review, see Bohnes et al., 2019). LCA is an international standardized 92 method (ISO, 2006) designed to evaluate the global impact of a product or a process on the environment. Such evaluation implies the assessment of all the phases required for or caused by 93 the product's existence; they include raw material uses and energy production, manufacturing, 94 transport and emissions released into the environment at each stage until the product's end of life. 95 Over the last two decades, LCA has been regularly used to assess the environmental impacts of 96 aquaculture production (e.g., Aubin et al., 2006; Medeiros et al., 2017; Mungkung et al., 2013; 97 Yacout et al., 2016). Several environmental impact categories are regularly used to assess 98 aquaculture systems (Aubin et al., 2006; Bohnes et al., 2019; Henriksson et al., 2012; 99 100 Papatryphon et al., 2004). One advantage of LCA is that it allows different products or systems to be compared using the same functional unit. Despite the usefulness of LCA, there are various 101

limitations to its application. One of the critical challenges is the necessity to incorporateuncertainty in the calculations to produce more robust outcomes (Mendoza Beltran et al., 2018).

The present study aimed to assess the potential benefits of ecological intensification in 104 Indonesian fish farming. Thus, our objectives were (1) to evaluate the environmental 105 performances of two production systems of giant gourami aquaculture, with or without the 106 integration of a co-cultured floating macrophyte (A. filiculoides) and (2) to identify the sources 107 of uncertainties in the environmental impacts. We hypothesized that the use of Azolla as an 108 alternative feed should reduce net primary production use, energy demand and climate change 109 impacts by partially substituting commercial feeds while decreasing eutrophication by improving 110 111 nutrient recycling (Caruso et al., 2021).

112 2. Materials and Methods

113 2.1. Description of the production system

114 2.1.1. Giant gourami production system

Although the giant gourami production cycle is not well standardized in Indonesia (Kristanto et al., 2020), its propagation relies on the natural spawning of captive brooders in ponds and includes the seven following production segments:

- The hatchery phase lasts 10 days from egg incubation to 8-9 days after hatching.
 Larvae are kept unfed during this period.
- 120 2) The nursery phase lasts until juveniles reach 2 ± 0.5 cm of length (40 days; Prakoso et
- 121 al., 2019). Tubifex (*Tubifex tubifex*) worms, usually purchased on local markets, are
- 122 the first and primary food provided during larval rearing (i.e., nursery phase).

123 3) The pre-growing I stage lasts 150 days until juveniles reach 30 g. Commercial feed
124 (~33% of crude protein) is introduced at the beginning of this segment.

- 125 4) The pre-growing II stage, until juveniles reach 180 g (from the "jingo" to the
 126 "dampal" stage), is the first stage of the production cycle during which fish are able to
 127 eat plant materials (FAO, 2019). This production stage lasts 160 days.
- 128 5) The growing phase lasts 120 days. During this phase, fish are fed with commercial 129 pellets and plant materials (mainly giant taro *Alocasia macrorrhizos* leaves). At the 130 end of this production phase, fish of \leq 500 g are sold, and the biggest fish are selected 131 as future brooders.
- 132 6) Brooder selection lasts 210 days, where fish of ≥ 2000 g are sorted by sex and 133 separated. Plant materials become the predominant feed at this stage, and commercial 134 feeds are used as supplements.
- The egg production period is continuous throughout the year. The average weight of
 brooders used for egg production is 3.6 kg, and they are usually kept for 10 years of
 production.

138 Note: all the values used in our analysis are average values gathered from surveys of139 approximately 40 giant gourami farms (Kristanto et al., 2020).

Except for hatchery and nursery phases, usually performed in plastic basins and wooden tanks covered by tarpaulin filled with stagnant water, all the production phases are performed in flowthrough systems, mainly in earthen ponds of 300-1000 m² in size with gravity-flow water supply system from local reservoirs or upstream aquaculture farms. The production chain for giant gourami is shown in Figure 1.

145 2.1.2. Scenarios of production: experiment details and records

146 The system under study consisted of a typical small-scale Javanese polyculture fish farm where farmers can quickly shift from one species to another to better adapt to the market and the 147 difficulties encountered. Kristanto et al. (2020) underlined that giant gourami is mainly produced 148 by farmers producing other species in variable proportions. Thus, only about 50% of the surface 149 is dedicated to giant gourami rearing. The production capacity is about 2 tons per year. All the 150 151 production is sold on local markets (< 10 km of the production site). The farm included in this study is located in Bogor district, West Java, in the village of Babakan (-6°28'S; 106°42'E; alt: 152 125 m). The farm size (i.e., 2100 m^2) is in the range typically observed locally (Kristanto et al., 153 2020). While a non-negligible number of aquaculture farms are located in the peri-urban area in 154 West Java (see Kristanto et al. (2020) for details), our study site is mainly bordered by rice 155 fields. 156

The studied production cycle, which lasts 160 days (i.e., two production cycles per year), consisted in rearing giant gourami from 30 to 180 g of average fresh weight (i.e., from the "jingo" stage to the "dampal" stage). This phase corresponds to the pre-growing stage II described in section 2.1.1 (Figure 1). "Jingo" fish were purchased from the neighbourhood and were stocked at a density of 6 fish m⁻².

The production system consisted of four earthen ponds, whose size varied between 353 and 482 m² with depths ranging from 0.46 to 0.55 m. Each pond was equipped with PVC pipes for inlet and outlet water; anti-predator nets held by bamboo poles were placed 1 m from the banks. Ponds connected in series were filled by gravity with water from a reservoir located at <1 km from the farm. Before production, ponds were dried; the bottom was levelled and the banks were 167 consolidated with sediment accumulated during the previous production cycle. Then, unwanted 168 species were removed and the water, transported by gravity from a reservoir located at <1 km 169 from the farm, was limed and fertilized using organic fertilizer (typical composition: poultry 170 manure mixed with rice bran at a 0.5:1 ratio on a dry weight basis; Purnomo et al., 2017). 171 Production takes place in open-flow water (average water renewal 4-8% d⁻¹).

172 Traditionally, giant gourami are reared in a monoculture system (MoC). To evaluate the 173 possibilities of using floating macrophytes for ecological intensification of tropical freshwater 174 fish aquaculture, as suggested by Slembrouck et al. (2018), an experiment was carried out 175 throughout one 160-day production cycle in 2018 to test a new giant gourami rearing system 176 based on co-culture with *Azolla* (CoC). Here, the two production systems (CoC and MoC) were 177 operated as described below.

The MoC system fed fish exclusively on commercial extruded pellets of known composition (Table 2). According to fish growth, the daily ration was 3 to 5% of the estimated wet biomass which is slightly higher than what is usually done by farmers (FAO, 2019). The final feed conversion ratio (FCR) reached 2.8. Fertilizer was regularly added to the ponds (equiv. 6 kg m⁻² per year) to stimulate primary productivity. The annual fish production volumes reached 2 kg m⁻² in this production system, and mortality was 8% per production cycle.

In the CoC system, *Azolla* was cultivated in the space available between the banks and the antipredator net (80 m² of production surface) (Figure 2) in earthen ponds managed as described above with slightly higher use of fertilizer than in the MoC system (i.e., 7.5 kg m⁻² an⁻¹). Fish were fed for two days with extruded pellets as described above, then one day with *Azolla* at a food ration of 8% of the estimated fish biomass. Such rationing corresponds to the amount of

Azolla the fish can ingest within 24h. Azolla, coming from an initial stock maintained in two 33 189 m^2 tarpaulin tanks, was stocked at an initial concentration of 400 g m⁻², and Azolla growth was 190 checked every three days (before distribution to the fish). Azolla was regularly sampled for 191 chemical analyses (Table 2). During our experiment, stable production of Azolla was not met as 192 193 initially planned, and its production regularly collapsed in the ponds. During the 160-day fish production cycle, only two cycles of Azolla production occurred for a total of 40 days of 194 production. A complementary experiment was performed to understand better the limiting 195 factors in the mass production of Azolla in ponds (Pouil et al., 2020). That experiment suggested 196 that phosphorus (P) was likely the main limiting factor in Azolla production, with an average of 197 0.4 μ mol L⁻¹ measured in the ponds, with the optimal growth occurring at a concentration of 2.0 198 μ mol L⁻¹. In addition, the occurrence of grazing snails (3-4 adult individuals m⁻²) may reduce 199 Azolla production by 35% (Pouil et al., 2020). Thus, we built a scenario based on the 200 201 extrapolation of the data (i.e., zootechnical and economic performance, chemical analyses) collected when co-culture was effective (i.e., 40 days) for the entire 160-day production period, 202 and uncertainty related to the production of Azolla was included in the uncertainty analysis (see 203 Section 2.6). The mortality and the annual fish production volume were comparable to the MoC 204 system (i.e., 2 kg m⁻²), and the FCR reached 2.1 given the average reduction of $\sim 25\%$ of the 205 extruded pellets used. 206

207 2.2. Assessment methodology

208 2.2.1. Goal and scope

This LCA study followed ISO standards (ISO, 2006) and aimed at evaluating the environmentalrelevance of ecological intensification of giant gourami culture by integrating a co-culture of

Azolla, which may provide the ecological services of water depuration and provision of 211 212 complementary feed for fish. Environmental performances of earthen pond-based production of giant gourami aquaculture were evaluated through: (1) a traditional semi-intensified monoculture 213 system as used in West Java province (MoC), (2) a co-culture production system including 214 Azolla (CoC). This study is based on experimental results designed to compare the efficiency of 215 the two rearing systems. The results were expressed per kg of fresh fish and m^2 of surface area. 216 As an additional information, results expressed per kg of protein are also available in the 217 Supplementary Material (Table S1). 218

The system boundaries used in this study were from "cradle to farm gate" (Figure 2). They included the production of capital goods (infrastructure and equipment), the production of organic fertilizers and lime, the production of fish feed (including the production of ingredients from agriculture or fishery sectors), the production of fish juveniles, farm operations (including the local emission of nutrients and pollutants), the use of energy sources, and the transportation of critical inputs (juveniles, fish feed, equipment), and the transport of fish from the farm gate to the local market by motorcycle or small trucks.

226 2.2.2. Life cycle inventory

Inventory data for giant gourami fingerling production and brooder management were compiled mainly by collecting the data from fish farms and production centres producing giant gourami fry located in the Bogor and Tasikmalaya regencies (n=37, Kristanto et al., 2020). We also used experimental data from the experiments we performed on giant gourami egg production in the BPPSIGN Center, Tasikmalaya (Arifin et al. 2020; Slembrouck et al., 2019, 2020) and larval rearing in the RIFAFE, Cijeruk (Arifin et al., 2019; Prakoso et al., 2021).

The inventory of the experimental phase was recorded during daily on-site monitoring of one 233 entire production cycle from November 2017 to April 2018. The descriptive data concerning the 234 studied sub-system are summarized in Table 1. The inventory covered farm operations, as well 235 as the following processes: production of farm inputs such as energy carriers, fertilizers, 236 juvenile, exogenous feeds (including ingredient production and processing), and farm equipment 237 and infrastructures (i.e., equipment; construction of buildings and breeding infrastructures) and 238 transportation at all stages. Water flow at the outlet of each pond was measured daily to estimate 239 the water balance. The fate of nitrogen (N) and phosphorus (P) was based on a nutrient-balance 240 experiment performed on-site involving chemical analysis of fish, fertilizers, feeds, inlet and 241 242 outlet water, water and sediment (Pouil et al., 2019). Data for pond gaseous emissions were adapted from the literature. Gaseous fluxes are influenced significantly by factors such as 243 drainage, primary productivity, and the availability of organic matter in aquaculture ponds (Yang 244 245 et al., 2018). It is important to note that fish production plays a pivotal role in shaping these variables, especially in the context of our pond production systems, where the absence of 246 drainage, the organic fertilization practice, and the provision of commercial feed are key 247 determinants. Denitrification and ammonia volatilization were assumed to be equivalent to 248 17.4% and 12.5% of the N inputs, respectively as found in channel catfish ponds (Gross et al., 249 2000). Values for methane (CH₄) and nitrous oxide (N₂O) emissions were extracted from Yang 250 et al. (2018) who measured fluxes in semi-intensive shrimp ponds: 3.09 mg m⁻² h⁻¹ and 0.5 μ g m⁻ 251 ² h⁻¹ for CH₄ and N₂O emissions, and carbon dioxide (CO₂) fixation in pond sediment was 252 evaluated at 21.56 mg m⁻² h⁻¹ (Yang et al., 2018). 253

Background data (i.e., construction materials, fuel, rearing equipment) were based on the ecoinvent v3.8 database (Wernet et al., 2016) and adapted to the local context. The inventory

data for Indonesian electricity production were obtained from EIA (2021). Data on feed 256 production were collected based on detailed reports supplied by the feed manufacturer in which 257 all feed ingredients were quantified while proximate analysis were performed to determine 258 nutritional composition (Table 2). The feed formulations were then validated regarding 259 nutritional constraints according to Guillaume et al. (1999). Data on feed processing were 260 supplemented with detailed information from a local feed producer. The inventory data for local 261 fishmeal production (discarded fish) was collected from a local fishery processing plant 262 dedicated to frozen fish (for export), surimi and fishmeal (Palembang, Sumatra Island). Heat 263 energy from wood wastes was used for fishmeal processing (Mungkung et al., 2013). Inventories 264 265 for crop-based feedstuffs were adapted from the ECOALIM dataset (Wilfart et al., 2016) to consider transportation to Indonesia. Distances for road and boat transport were estimated using 266 Google Maps[®]. For organic fertilizer, we used the inventory for poultry manure on the global 267 268 market available in the ecoinvent v3.8 database while inventory of rice produced in China, from the same database, was used for rice bran considering a mass allocation (Ayer et al., 2007). 269

270 2.2.3. Life cycle impact assessment

Midpoint impact categories were selected based on previous studies and recommendations for aquaculture LCA (Aubin et al., 2009; Bohnes et al., 2019; Papatryphon et al., 2004; Wilfart et al., 2013):

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- acidification (mol H⁺ eq), which aggregates substances that release hydrogen ions into the ecosystem;
- cumulative energy demand (CED; MJ), which aggregates the energy used from
 renewable and non-renewable sources;

• climate change (kg CO_2 eq), which aggregates greenhouse gas emissions;

eutrophication (kg PO₄³⁻ eq), aggregating nutrient emissions that lead to oxygen depletion, impacting aquatic and terrestrial environments and adding the theoretical oxygen demand (ThOD) calculation for solid wastes from fish farms (Aubin et al., 2009);
land occupation (m² yr) which represents the terrestrial ground area used;
net primary production use (NPPU, kg C) refers to the use of a net primary producer as a biotic resource in the sense of being unavailable for other purposes, as defined by Papatryphon et al. (2004);

water dependence (m³) included the volume of water used in ponds as proposed for
 aquaculture production systems by Aubin et al. (2009) and the amount of water used in
 the other production phases.

289 The impact assessment was conducted according to (1) the International Reference Life Cycle 290 Data System (ILCD) method for acidification and climate change, (2) the CML IA method, 291 version 3.06 for eutrophication potential, and land occupation. Total energy use was calculated using the Cumulative Energy Demand method, version 1.10. Calculations were made using 292 SimaPro software 8.5.4.0 (PréConsultants, Amersfoort, The Netherlands). As mentioned earlier, 293 the ecoinvent version 3.8 database was used for background data, and the Agribalyse® database 294 295 (Koch and Salou, 2014) was used for agricultural machinery, agricultural products and feed ingredients (ECOALIM dataset; Wilfart et al., 2016). For comparison purpose, we also 296 performed the impact assessment using ReCiPe 2016 Midpoint (H) version 1.08 (Table S2 in the 297 Supplementary Material). 298

299 2.6. Uncertainty analysis

The process for determining the uncertainty in the production systems was adapted for primary 300 301 and secondary data. Among the primary data, the most important source of uncertainties in the CoC system was the production of *Azolla*. Considering the risk of predation and the variation in 302 Azolla productivity due to the environmental conditions (light, nutrients) observed in ponds 303 (Pouil et al., 2020), an uncertainty rate of 50% was applied in Azolla used as alternative feed in 304 this production system. For other primary data (i.e., other inputs used and fish harvested), the 305 standard deviation of the replicates was used in a normal distribution, as suggested by Medeiros 306 et al. (2017). For secondary data, the pedigree matrix from Ciroth et al. (2016) was used to 307 quantify uncertainty in the values selected from the literature (i.e. ThOD, N volatilization and 308 309 denitrification and fluxes of CH₄, CO₂ and N₂O). We applied a lognormal distribution to the results generated from the matrix. Monte Carlo (MC) analysis was performed in SimaPro 310 software 8.5.4.0. 311

312 2.7. Data analysis

To compare the CoC and MoC systems while considering uncertainty, we ran 1000 interactions in pairs in an MC analysis. This method indicated the number of times one system had higher impacts than the other. We considered that the two systems differed if one had higher impacts in at least 90% of the runs (Medeiros et al., 2017).

317 3. Results

Figure 3 presents the LCA impacts by category calculated per kg of fish produced (Figure 3A) or by m² of the farm (Figure 3B) for the CoC and MoC giant gourami production systems. Overall, we found similar trends regardless of the functional units chosen (i.e., product-based or surfacebased). Thus, values presented in the following sections are first provided by kg of fresh fish;
values expressed by m² are also given in Table 3.

323 3.1. Impact assessment

Among the impact categories selected, the MoC system had the highest impacts for all of them 324 except land occupation (Figure 3 and Table 3), with $4.7 \pm 0.2 \text{ m}^2 \text{ yr}^{-1}$ being required to produce 1 325 kg of fish in MoC and $4.8 \pm 0.3 \text{ m}^2 \text{ yr}^{-1}$ in CoC. The highest differences between CoC and MoC 326 327 were for NPPU (23-27% less in CoC): the production of 1 kg of fish required 13.8 ± 1.3 kg C in MoC, but the NPPU value of the CoC system was noticeably lower with 9.1 \pm 0.9 kg C (i.e. 328 ~25% less NPPU required in CoC). The second largest differences in environmental impacts 329 between the two production systems were for water dependence (12-17% less in CoC) with 330 values of $10.1 \pm 3.5 \text{ m}^3$ and $8.7 \pm 1.7 \text{ m}^3$ in MoC and CoC, respectively. Similarly, implementing 331 the CoC system reduced eutrophication (i.e., 11-16% less in CoC). Climate change showed a 332 reduction of 10-15% in the CoC with, per kg of fish produced, 6.4 ± 0.3 kg of CO₂ eq compared 333 with MoC where 7.1 \pm 0.1 kg CO₂ eq were estimated (Figure 3). Differences between CoC and 334 335 MoC were less pronounced for the other impact categories. The energy requirements for producing 1 kg of fish from the ponds were slightly reduced in the CoC, with 130 ± 7 MJ vs 139 336 \pm 4 MJ for MoC (i.e. 7-11% less in CoC; Figure 3). The gain provided by CoC in acidification 337 was comparable, with values of $0.14 \pm 0.01 \text{ mol H}^+$ eq for CoC and $0.15 \pm 0.01 \text{ mol H}^+$ eq for 338 MoC, equivalent to an impact reduction of 6-11% (Figure 3). 339

Contributions of the rearing system components (energy, exogenous feeds, equipment/infrastructures, farm operations, fertilizers, fish inputs and transport) varied according to the impact category and to the system (Figure 3 and Table 3). Overall, the ranking of the

different contributors among the seven impact categories remained constant between the two 343 344 production systems (CoC and MoC). Results presented in Figure 3 show that for eutrophication and water dependence, farm operations contributed the most to the impacts (81-82% and 52-345 58%, respectively), and the second largest contributor for these impact categories was fish inputs 346 (11-13% and 42-48%, respectively). For NPPU, climate change and CED, exogenous feeds were 347 the main contributors (83-86%, 37-45% and 31-40%, respectively), followed by fish inputs (14-348 349 17%, 20-22% and 18-19%, respectively) and/or fertilizers (15-21% for climate change and 27-35% for CED; Figure 3). For acidification, the main contributors were ranked as follows: 32-350 34% for fish inputs, 30-32% for farm operations and 15-19% for exogenous feeds, accounting 351 352 for ~80% of the total acidification. Interestingly, land occupation was mainly driven by fertilizers (49-60%) and exogenous feeds (28-38%; Figure 3). 353

354 3.2. Uncertainty analysis

Uncertainty analysis qualifies data according to their origin, considering the uncertainties linked 355 to the production of macrophytes in the CoC and including the variabilities observed between 356 357 ponds in the LCA. Considering a threshold beyond which the two systems differed if one had higher impacts in at least 90% of the MC runs, there were notable significant differences 358 between the two production systems. The analysis showed that CoC leads to less impact relative 359 to climate change, eutrophication and NPPU on a production basis (per kg of fish produced; 360 Figure 4A). The differences are even more marked on a farm surface basis (per unit area (m^2) ; 361 Figure 4B), where, in this case, CED was also significantly lower in the CoC. 362

363 4. Discussion

364 4.1. Product-based vs area-based LCA approach

The functional unit is the basis of comparison in LCAs and influences allocation decisions at the 365 366 farm gate (Henriksson et al., 2012). Here, we used a descriptive product-based functional unit (kg of fish) that is appropriate for comparisons between studies (Bohnes et al., 2019). Van Der 367 Werf et al. (2020) highlighted the interest in combining product-based and area-based LCA 368 when studying agricultural systems that provide a broad range of ecosystem services. For 369 instance, although organic agriculture generally emits fewer pollutants per unit of land occupied 370 371 than conventional agriculture (an area-based approach), it may have higher impacts per unit of product (e.g., land occupation, eutrophication and acidification). Thus, we also expressed the 372 environmental impacts using an area-based functional unit (m² of farm), an original approach in 373 374 LCA aquaculture studies (Bohnes et al., 2019; Henriksson et al., 2012). Our results show that the choice of the functional unit did not result in significant differences in environmental impacts for 375 376 the LCA impact categories considered. Nevertheless, even if the conclusions remain similar 377 comparing the two production systems (CoC and MoC), a greater difference between the systems was observed using the area-based functional unit for the following impact categories: 378 acidification, CED and NPPU. 379

380 4.2. Comparison of production systems

The responses in environmental impacts between the two studied production systems differed according to the impact category considered. The two systems remained similar considering land occupation and water dependence, although CoC showed slightly lower impacts for the latter category. Using CoC as an alternative to MoC was expected to reduce land utilization by decreasing the quantity of extruded pellets used. Nevertheless, the beneficial effects of reducing exogenous feed were attenuated by the higher quantity of organic fertilizer from the intensive poultry production system and rice culture (Purnomo et al., 2017) for *Azolla* production in CoC. Such results highlight the need to better control the origin and the quantity of fertilizers used forpond fertilization.

Between the two production systems, the differences observed in acidification, CED, climate 390 change eutrophication and NPPU were mainly explained by the lower use of exogenous feed in 391 CoC (-25% on average per kg of fish produced). Our study confirms previous investigations 392 highlighting that feed production is the major contributor to different impact indicators in semi-393 intensive aquaculture production systems (e.g., Wilfart et al., 2013; Yacout et al., 2016). The 394 difference reflects the high impact of feed production, from the extraction of raw materials up to 395 manufacturing processes, which require large amounts of energy and resources (Ghamkhar and 396 397 Hicks, 2020). The processing of feed is by far the highest contributor to NPPU. Such results are in accordance with Mungkung et al. (2013), who pinpointed feed production as the unique 398 399 contributor to NPPU (100%) in Indonesian tilapia-carp production systems. In addition to its 400 predominant environmental impact, fish feed has a significant economic impact on the aquaculture farm because some ingredients are imported, which is frequently the case for small-401 scale fish farming practised in Indonesia (Slembrouck et al., 2018). 402

The importance of FCR, and hence of extruded feeds in general, has been highlighted by numerous LCA aquaculture studies. In literature reviews, Aubin (2013) and Bohnes et al. (2019) have concluded that feed production is the primary source of environmental impacts. In the present study, FCR for commercial pellets was relatively high in giant gourami compared with other omnivorous species like carp and tilapias (Fry et al., 2018).

In small-mouthed fish like giant gourami, uneaten feeds can represent 30% of the feed supplied
(Bosma and Verdegem, 2011; Van Der Meer et al., 1997; Verdegem, 2013). In giant gourami,

Pouil et al. (2019) suggested that a significant proportion of the nutrients in pond sediments 410 411 comes from uneaten commercial pellets. Nevertheless, FCR can be improved in the co-culture system (2.1 vs 2.8 for CoC and MoC, respectively) by using Azolla as a partial alternative feed 412 resource. Here, we assumed that the improvement of FCR, calculated for commercial feeds, in 413 CoC is related to the changes in feeding practices. The alternance between feeding with Azolla, a 414 less nutritive resource, and commercial pellets may contribute in reducing the quantity of 415 416 uneaten feed in particular. Indeed, Narimbi et al. (2018) also found very high FCR (6.4) in dailyfed GIFT tilapia while FCR was six times lower in weekly-fed fish suggesting wastage of feed 417 when fish were daily fed. Lower FCR decreases the amount of feed delivered as well as the 418 419 excess nutrients released in the water, influencing eutrophication.

Eutrophication is a primary concern among farmers and local populations due to the risks for 420 421 water quality in reservoirs (Mungkung et al., 2013). A broad range of eutrophication potential 422 has been reported in the literature for different aquaculture systems (i.e., 0.01 to 0.3 kg PO₄ eq per kg of fish; Ghamkhar et al., 2021; Medeiros et al., 2017; Mungkung et al., 2013; Pelletier and 423 Tyedmers, 2010; Yacout et al., 2016). The differences in eutrophication between MoC and CoC 424 can be identified through the nutrient concentrations measured in the water outlet with a notable 425 decrease in P released from the CoC ponds (Table 1). Here, the differences in eutrophication is a 426 consequence of the lower FCR in the CoC system that limits the impacts related to the farm 427 operations (i.e. reducing on-farm nutrient emissions). Indeed, the nutrient emissions resulting of 428 429 biological activity of fish, is the major contributor to eutrophication (see, e.g., Mungkung et al., 430 2013; Wilfart et al., 2013), and is driven by the quality and the amount of feeds used.

Interestingly, our results regarding the contribution of exogenous feeds in acidification andclimate change differ from the literature. Indeed, in previous studies, exogenous feeds explain 64

to 95% of the acidification potential observed in different aquaculture pond systems, including 433 434 Indonesian intensive tilapia monoculture (Pelletier and Tyedmers, 2010) or tilapia-carp polyculture (Mungkung et al., 2013) and Egyptian intensive and semi-intensive tilapia 435 monoculture (Yacout et al., 2016). Similarly, most LCA aquaculture studies, carried out in 436 production systems that are sometimes very different from fertilized ponds, report that feeds are 437 drivers of climate change (Bohnes et al., 2019; Wilfart et al., 2023). In this study, we found a 438 439 relatively low contribution of exogenous feeds to acidification (15-18%), with fertilizers and fish inputs contributing to more than 40% of the total acidification. A similar trend was observed for 440 climate change, where fertilizers and fish inputs explain 35-43% of the impacts, with exogenous 441 442 feeds also accounting for $\sim 40\%$. Such findings can be explained by the origin of the organic fertilizer (i.e., poultry manure mixed with rice bran; Purnomo et al., 2017), a co-product from 443 444 intensive agriculture used in large quantities in our production systems. Furthermore, the fish 445 used in this experiment, as described in Section 2.1.1, are already six months old, meaning that their own production also required exogenous feeds and other inputs, which explains their 446 significant contributions to the estimated global impacts on our production systems. Such 447 findings differ from LCAs performed in aquaculture systems where the fish inputs are based on 448 younger life stages (eggs or larvae for example). 449

Recent studies reported that one of the leading production constraints in the Indonesian aquaculture sector, in addition to the dependence on imported feed ingredients (Henriksson et al., 2017), is energy sources (Henriksson et al., 2019, 2017). Previous studies have also pointed out that energy consumption during fish feed production is one of the significant contributors to CED (e.g., Mungkung et al., 2013; Pelletier and Tyedmers, 2010; Yacout et al., 2016). Overall, the estimated CED are comparable to other semi-intensive aquaculture production systems. For 456 instance, Yacout et al. (2016) found 238 MJ eq per kg of fish produced in an Egyptian Nile 457 tilapia (*Oreochromis niloticus*) semi-intensive production system, compared with a tilapia 458 intensive production system being almost fivefold more efficient in energy use, with 53 MJ eq 459 per kg of fish production than a semi-intensive system. In this study, we found that ecological 460 intensification performed in the CoC could also significantly improve energy efficiency in giant 461 gourami pond production.

462 4.3. Origins of the uncertainties

The uncertainty in this study was similar to other LCA aquaculture studies (Dekamin et al., 463 2015; Medeiros et al., 2017). Nevertheless, comparisons between studies remain challenging due 464 to the heterogeneity in the studied systems and rearing practices and the origin of the data. Here, 465 we compared similar production systems, except for including Azolla in the CoC system as a 466 467 partial alternative to extruded pellets. However, the stable production of this floating macrophyte was more challenging than expected and inadequate in the present experiment, presumably due 468 to the predation by snails and nutrient deficiency (Pouil et al., 2020). Nevertheless, the scenario 469 470 built for CoC, designed based on experience gained in the production of *Azolla* on this farm (Caruso et al., 2021), made it possible to estimate uncertainties related to the exogenous feed 471 gain in the implementation of such a production system. The uncertainties related to Azolla 472 production mainly affect the impact categories in which exogenous feeds contribute strongly. 473 Therefore, unsurprisingly, we observed higher variability in the CoC systems for NPPU, climate 474 change, CED and, to a lesser extent, land occupation. 475

In addition to *Azolla* production, the other sources of uncertainties for all impact categoriesinclude variability among the two replicates of each system, the inherent variability already

accounted for in the ecoinvent database and the assumptions made for mass-balance modelling.
Interestingly, most of the data we recorded from the replicates of the two systems were, overall,
comparable. There was a relatively high uncertainty on water dependence, particularly for the
MoC system. Indeed, the ponds used, connected in series and fed by the same river, experienced
fluctuating flows during the production cycle. Although this only had a minor effect on fish
production, the water inputs in the study were highly variable, especially for MoC.

484 Nutrients (N and P) fluxes can be an important source of uncertainties in LCA aquaculture studies. Here, most of the data used were extracted from chemical analysis of each compartment 485 included in the production systems (i.e. water, feeds, fertilizer and fish), and the N and P 486 487 quantities stored in sediments were taken from Pouil et al. (2019) that gives nutrient budgets in the same ponds with an MoC system of giant gourami. Assuming the occurrence of Azolla in the 488 489 CoC was not drastically affected by particulate N and P in sediments (related to uneaten feed, 490 faeces, plankton sedimentation, dead fish, etc.), the overall N and P values we used in the study are reliable. Digestibility determines the fate of nutrients and the amounts of nutrients in the 491 water column (digestible wastes) and sediment (non-digestible wastes) (Medeiros et al., 2017). 492 Here, we calculated ThOD, an interesting parameter to refine the impacts related to 493 eutrophication as suggested by Aubin et al. (2009), using digestibility values for protein, 494 carbohydrates, lipids, ash and fibre. Only protein digestibility values have been found for giant 495 gourami in literature (Andriani et al., 2019). Thus, other digestibility information (i.e. fat, 496 carbohydrates, ash, P) came from an experiment based on Nile tilapia, another omnivorous 497 498 species (Schneider et al., 2004). This lack of data in the literature reduces the accuracy of the estimates. However, the release of nutrients (N and P) from the two production systems was 499

derived mainly from chemical analysis allowing a relatively accurate determination of thenutrient budgets.

Gaseous emissions to the atmosphere were the parameters with higher uncertainties in the 502 pedigree matrix. The processes considered were denitrification and ammonia volatilization, 503 which directly influence eutrophication. Denitrification and ammonia volatilization rates were 504 based on Gross et al. (2000), a reference cited in some LCA aquaculture studies (e.g., Medeiros 505 et al., 2017; Wilfart et al., 2013). This reference indicates a loss of roughly 40% of N inputs 506 through these processes in channel catfish ponds. Nevertheless, in the literature, variability in N 507 emissions in the air from aquaculture ponds is huge. For instance, NH₃ volatilization represents 508 ~1% to total N input in feed for snakehead and bighead carp polyculture ponds (Hou et al., 509 2018), but NH₃ volatilization is equivalent to 10-66% of the N input in feed in shrimp ponds 510 (Dien et al., 2018; Li et al., 2019; Lorenzen et al., 1997; Páez-Osuna et al., 1999). Such 511 512 differences can be explained by the variety of the pond aquaculture systems studied, with diverse locations, species and rearing practices. Overall, these discrepancies highlight the need to 513 consider such uncertainties of these processes in aquaculture LCA studies. 514

Other sources of uncertainties qualified through the pedigree matrix were the emissions of CH_4 515 and N_2O and the CO_2 fixation in the ponds. Indeed, high variability of such emissions is 516 expected in aquaculture ponds: reported pond emissions to air vary from 0.01 to 20 mg CH₄ m⁻² 517 h^{-1} and 1 to 252 µg N₂O m⁻² h^{-1} (Kosten et al., 2020; Yang et al., 2022; Yuan et al., 2019). 518 Interestingly, high greenhouse gas (GHG) emissions from aquaculture ponds have been reported 519 in some studies during the culture period (Chen et al., 2016, 2015; Datta et al., 2009), suggesting 520 the importance of including them in LCA. In our analysis, we used values from Yang et al. 521 (2018), which include measurements during the culture and non-culture period of Chinese 522

shrimp polyculture and earthen carp ponds based on a stocking density comparable to that in our 523 524 study and a semi-intensive production strategy. Overall, we hypothesized that the presence of Azolla in the CoC system has no significant impact on CH_4 and N_2O emissions from the ponds. 525 Nevertheless, some sources suggest that *Azolla* plays a role in these flows. For example, previous 526 studies have shown that *Azolla* application in flooding rice paddy can either increase (Chen et al., 527 1997; Ying et al., 2000) or have no influence or decrease (Ali et al., 2015; Kimani et al., 2018; 528 Liu et al., 2017; Singh and Strong, 2016) CH₄ and N₂O emissions from rice soils. Similarly, 529 Azolla can also contribute to CO₂ sequestration in ponds (Hamdan and Houri, 2022). 530 Nevertheless, despite the discrepancy between these studies associated with the difficult 531 532 comparison between rice paddies and aquaculture ponds, we considered the assumption reasonable. The overall conclusions of this study would not change if pond GHG emissions were 533 excluded, but we include them in the LCA we performed given their importance to climate 534 535 change, as previously suggested by Medeiros et al. (2017).

536

537 5. Conclusion

The development of Indonesian freshwater pond aquaculture in recent years has shifted from low-intensity traditional culture methods to monoculture production systems, with increasing intensification accompanied by the use of commercial feed pellets. Here, for most of the impact categories investigated, the co-culture system (CoC) with *Azolla* appeared to be more efficient than the semi-intensive monoculture (MoC) of giant gourami *O. goramy*. The observed improvements can be directly related to reducing the use of commercial pellets and a better control of the origin of the fertilizer used for enriching the ponds. Overall, we found that 545 environmental performances for giant gourami production can be enhanced by using floating 546 macrophytes as an alternative feed resource, even in relatively low proportions. Nevertheless, the 547 production of this macrophyte species has still to be optimized to enhance its efficiency for use 548 as a feed supplement while the economic repercussions of a shift to a production system based 549 on co-culture with *Azolla* have to be investigated.

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813 Figure captions

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Figure 1. Schematic view of the production chain of semi-intensive giant gourami aquaculture.
Weights and size provided are means are average values gathered from surveys of approximately
40 giant gourami farms (Kristanto et al., 2020).

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Figure 2. System boundaries for giant gourami (A) monoculture (MoC) and (B) co-culture
(CoC) production systems.

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Figure 3. Contribution of each input or production step in environmental impacts per (A) kg of
fish and (B) m² of the farm in traditional giant gourami monoculture (MoC) and co-culture with
the floating macrophyte *Azolla filiculoides* (CoC) systems. CED: cumulative energy demand;
NPPU: net primary production use.

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Figure 4. Uncertainties in the environmental impacts per (A) kg of fish and (B) m² of the farm in the traditional giant gourami monoculture system (MoC) and the co-culture system with the floating macrophyte *Azolla filiculoides* (CoC) for each impact category. Asterisks denote significant differences between the two production systems. We considered that the two systems differed if one had higher impacts in at least 90% of the runs. CED: cumulative energy demand; NPPU: net primary production use.













836 Figure 4

837 **Table 1.** Pond characteristics, rearing performances and electricity and water consumption used

838 in the LCA of the monoculture (MoC) and the co-culture with the floating macrophyte Azolla

⁸³⁹ *filiculoides* (CoC) of giant gourami (mean ± 1 standard deviation).

	CoC	MoC			
Pond characteristics and inputs					
Surface (m ²)	771	840			
Depth (m)	0.5	0.5			
Rearing structures	earthen ponds tarpaulin tanks	earthen ponds			
Equipment	PVC pipes bamboo pools anti-predator nets fishing net happas	PVC pipes bamboo pools anti-predator nets fishing net			
Fertilizers (kg)	5802 ± 580	5020 ± 502			
Pellets (kg)	2874 ± 302	3811 ± 59			
Azolla (kg)	2848 ± 1424				
Rearing performances					
Initial body weight (g)	29 ± 6	28 ± 5			
Fish stocking density (fish m ⁻²)	6	6			
Stocked fish biomass (kg yr ⁻¹)	274	297			
FCR _{pellets} (wet weight) ¹	2.1	2.8			
Final body weight (g)	193 ± 52	183 ± 39			
Fish productivity (tonne ha ⁻¹ yr ⁻¹)	19.9	19.6			
Energy and water inputs					
Electricity consumption (kWh yr ⁻¹)	422	403			
Water $(m^3 yr^{-1})$	6985 ± 2544	9425 ± 5863			
Nutrient releases from water					
N (mg L ⁻¹)	1.4 ± 0.5	1.5 ± 0.4			
$P (mg L^{-1})$	0.167 ± 0.258	0.026 ± 0.031			

840 ${}^{1}FCR_{pellets} = feed conversion ratio, considering only the commercial feed (extruded pellets) and$

calculated as the ratio between the amount of distributed pellets (kg) and the biomass gain (kg)

842 over the production cycle

Table 2. Ingredients and composition values of the feeds (n = 5 and n = 30 samples analysed for pellets and *Azolla*, respectively) used in monoculture (MoC) and co-culture with the floating macrophyte *Azolla filiculoides* (CoC). Except for moisture, composition values are expressed on

Azolla filiculoides

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a dry matter basis.

Composition (%, Mean ± SD)	Extruded pellets	Azolla filiculoides
Moisture	7.95 ± 0.49	92.06 ± 1.17
Proteins	32.72 ± 0.23	20.29 ± 5.47
Lipids	5.29 ± 0.17	1.76 ± 0.61
Carbohydrates	47.29 ± 0.25	50.89 ± 4.72
Ash	10.01 ± 0.36	11.49 ± 1.75
Fibres	4.70 ± 0.20	15.58 ± 3.03
Phosphorus	1.47 ± 0.12	0.25 ± 0.13
Ingredients (% [origin])		
Fish meal	10 [Chili]	
Fish meal	11 [East Java]	
Soybean meal	15 [Argentina]	
Meat and bone meal	10 [Australia]	
Wheat bran	20.5 [Australia	
Rice bran	25 [West Java]	
Fish oil	2 [East Java]	
Plant oil	2.5 [Banten/West Java]	
Choline chloride	0.5 [China]	
Premix vitamin	2 [China]	
Premix mineral	1.2 [China]	
Butylated hydroxytoluene (BHT)	0.3 [Central Java]	

Table 3. Results of the Monte Carlo analysis (n = 1000) applied to the two production systems: monoculture (MoC) and co-culture with the
floating macrophyte *Azolla filiculoides* (CoC) for each impact category. We considered that the two systems differed if one had higher impacts

859	in at least 90% of the	1000 runs (see	e values in bold i	n the last column).
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Functional unit	Category	System	Median	Q1 (25%)	Q3 (75%)	Mean	SD	MoC > CoC (%)
Fish weight (kg)	Acidification (mol H^+ eq.)	CoC	0.139	0.134	0.144	0.139	0.007	
		MoC	0.147	0.142	0.152	0.148	0.008	78.8
	Cumulative energy demand (MJ)	CoC	129.787	124.828	134.099	129.565	6.653	
		MoC	138.986	136.27	141.493	138.897	3.889	88.6
	Climate change (kg CO ₂ eq.)	CoC	6.394	6.165	6.595	6.383	0.306	
		MoC	7.108	7.027	7.191	7.109	0.125	98.4
	Eutrophication (kg PO_4^{3-} eq.)	CoC	0.246	0.243	0.25	0.246	0.005	
		MoC	0.278	0.268	0.287	0.278	0.014	98.3
	Land occupation (m ² year)	CoC	4.773	4.538	4.989	4.766	0.328	
		MoC	4.732	4.569	4.888	4.728	0.236	46.5
	Net primary production use (kg C)	CoC	9.123	8.489	9.714	9.116	0.87	
		MoC	11.833	11.731	11.949	11.837	0.157	100
	Water dependence (m ³)	CoC	8.791	7.609	9.732	8.708	1.659	
	_	MoC	10.255	7.808	12.345	10.117	3.484	64.4
Farm surface (m^2)	Acidification (mol H ⁺ eq.)	CoC	0.21	0.203	0.219	0.211	0.011	
		MoC	0.235	0.227	0.244	0.236	0.013	93.8
	Cumulative energy demand (MJ)	CoC	196.47	190.368	202.691	196.505	9.813	
		MoC	221.05	217.39	224.814	221.098	6.095	97.9
	Climate change (kg CO ₂ eq.)	CoC	9.672	9.378	9.97	9.674	0.453	
		MoC	11.327	11.204	11.443	11.323	0.19	100
	Eutrophication (kg PO ₄ ³⁻ eq.)	CoC	0.373	0.368	0.378	0.373	0.007	
		MoC	0.442	0.426	0.458	0.442	0.024	99.9
	Land occupation (m ² year)	CoC	7.227	6.937	7.562	7.237	0.48	
		MoC	7.529	7.292	7.751	7.52	0.374	67.9
	Net primary production use (kg C)	CoC	13.827	12.958	14.584	13.793	1.275	
		MoC	18.864	18.708	19.016	18.864	0.237	99.9
	Water dependence (m ³)	CoC	13.168	11.37	14.957	13.165	2.463	
		MoC	15.663	11.911	19.249	15.519	5.814	64.0