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1 Cost-efficient assignment panel for ducks

2 Setup of a cost-efficient assignment panel for duck populations. An illustration with
3 experimental data.
4

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16 **ABSTRACT**

17 The setup of a flexible and cost-effective 96-SNP assignment panel to be used in Pekin duck
18 (*Anas platyrhynchos*), Muscovy duck (*Cairina moschata*) and their mule duck hybrid, is
19 presented. SNP were selected on the available 600K array in ducks. This SNP array is made of
20 two libraries (one for the Muscovy duck, the other for the common duck which encompasses
21 the Pekin duck), the intersection of which, after a preliminary elimination on the primer
22 length, contained only 399 SNP that were considered a starting point to obtain a final list. A
23 first step was to obtain a list of 192 SNP, based on technical properties, using a reference set
24 of 600K genotypes from commercial lines. In a second step, to obtain the final 96 markers, a
25 subset of the previous reference set was combined with genotypes from 133 Pekin and 127
26 Muscovy, which were the parents of the experimental populations to assign. Assignment
27 rates were 99%, 96% and 88% in the mule, Pekin and Muscovy populations respectively. The
28 lower-than-expected assignment rate in the Muscovy population was due to the absence of
29 16 parental samples. Availability of an effective and affordable assignment panel was
30 deemed necessary after switching from a system where breeders are housed in individual
31 cages to a system where females are housed and inseminated in groups. In the latter case, a
32 factorial mating design replaced the hierarchical design, common in poultry. This new design
33 impacted the population structure, creating more sire x dam combinations, offering
34 possibilities for a better estimation of non-additive genetic effects, which could prove
35 relevant in the foie gras sector. Finally, a list of 135 markers resulted from this study that
36 could be used to build an efficient 96 SNP panel for any local or commercial population.
37

38 **Keywords:** duck, parentage assignment, SNP
39

40

Introduction

41 In most poultry species, selection is carried out using individual cages in order to easily trace the
42 pedigree of hatched chicks. Equipped with sloped floor allowing eggs to roll to the front of the cage where
43 they are out of the hen's reach and can be collected by the farmer, these cages gained popularity since
44 their introduction in the early twentieth century (Arndt, 1931). Compared with a system where hens lay in
45 a pen equipped with trap-nesting devices, broodiness and floor eggs are eliminated and eggs are cleaner.
46 In addition, more birds can be housed in a given floor space.

47 Yet, in 2021, the European Citizens' Initiative (ECI) "End the Cage Age" called on the European
48 Commission to propose legislation to prohibit the use of cages for a wide range of farm animals. The
49 Commission now assesses the feasibility of working towards the proposed legislation expected in 2027.
50 The poultry breeding companies will then need alternative solution to safely establish pedigree of their
51 stocks. Electronic nests relying on RFID can be used to establish a link between the egg and the layer
52 (Marx et al., 2002) but they remain to be perfected in each concerned species to deliver reliable data.
53 In addition, they can only help to build the maternal pedigree. By contrast, the use of molecular markers
54 is susceptible to bring a complete solution to the issue.

55 This study concerns various populations of ducks, encompassing distinct species with diverse
56 characteristics. The common duck (*Anas platyrhynchos*), which includes the Pekin duck, is extensively
57 utilized in Asia for meat and egg production. The Muscovy duck (*Cairina moschata*), indigenous to South
58 America, is prized for its supposedly lean meat. According to Jiang et al (2021), the divergence between
59 these two populations occurred around 14 million years ago. Additionally, the mule duck, a hybrid derived
60 from crossing a Muscovy drake with a Pekin female, accounts for over 90% of the production of foie gras,
61 a flagship of French gastronomy. The duck is therefore a major poultry species, for which the
62 development of genomic tools promises to be no easy task, as markers should exist in the two species,
63 and show variability.

64 Indeed, a microsatellite panel had been developed for duck populations in France (Chapuis et al.,
65 2010), and was deemed usable in various purebred and crossbred populations. However, this panel
66 exhibited assignment rates to a unique parental pair too low to be routinely used at a large scale, mainly
67 because markers revealed to be poorly polymorphic within the Pekin and Muscovy populations (Chapuis
68 et al., 2010).

69 Here we present the setting of an efficient and affordable assignment panel that can be used to assign
70 pedigree in populations of Muscovy and Pekin ducks, as well as their hybrids. To build a posteriori the
71 pedigree in these populations, the KASPar technology was retained, as providing access to affordable
72 small SNP arrays. We will present and discuss its performances to assign pedigree in a genetic
73 experimental design. The possible use of the developed molecular tools in other populations, such as local
74 breeds, will also be discussed.

75

Material and methods

76 Designing the Assignment Panel

77 *Development Strategy of a Cost-Efficient panel*

78 As an important preliminary note, it is crucial to emphasize that our objective was to develop an
79 assignment panel, not a set of markers for linkage analyses. The desired properties of these markers
80 differ significantly. Specifically, the SNPs in the assignment panel are preferentially situated in
81 "neutral" loci—regions where allele frequencies are not expected to be strongly influenced by
82 selection, as might occur if the SNP were located near a QTL. We seek SNPs with high minor allele
83 frequency (MAF) that segregate independently to maximize the number of possible genotype
84 combinations, thereby enhancing the ability to discriminate between parental pairs. Namely, our
85 objective was to assign pedigree in an experimental population of hybrid mule ducks and their purebred

86 half-sibs, namely Muscovy duck (*Cairina moschata*) for the sire line and Pekin duck (*Anas platyrhynchos*)
87 for the dam line. Therefore, we aimed at organizing mating plans and building an affordable 96 SNP panel
88 to retrieve the pedigree using molecular information. The two parental lines pertained to populations
89 sampled to previously develop the ThermoFisher Axiom HD SNP duck array, hereinafter referred to as
90 600K array (Teissier et al., 2019). This collection of genotypes, already available (hereinafter labelled as
91 “reference dataset”), was used as a starting point to build the desired panel. The 600K genotypes from
92 *Anas platyrhynchos* (n=139), *Cairina moschata* (n=79) and some mule ducks (n=45) were used to assess
93 allele frequencies. However, as among these genotypes only 15% originated from the same populations
94 as our parental lines, a two-step strategy was adopted. In a first instance, a set of 192 SNPs eligible for the
95 chosen technology was developed, based on both their frequencies in the three populations and their
96 technical properties. This first set was used to obtain first genotypes in our parental lines and in some
97 triplets of mule progeny and their parents, i.e. with known pedigree. In a second step, the 96 SNPs with
98 best technical outcomes and frequencies within and across parental lines were selected among these 192
99 to obtain an efficient panel. They were later used to establish pedigree of our offspring batches. Note that
100 the mule duck is the hybrid obtained by crossing Muscovy drakes and Pekin females, while the common
101 duck populations (*Anas platyrhynchos*) represented on the 600K reference dataset encompassed many
102 other breeds than Pekin.

103 *Selection of 192 SNP eligible for KASPar technology*

104 The KASPar fluorescence genotyping technology was selected. In contrast to the AXIOM microarray-
105 based technology, which is appropriate for genotyping a very large number of markers, KASPar is cost-
106 effective for a smaller number of SNPs. Additionally, KASPar offers flexibility, allowing the genotyping
107 panel to be adapted as needed. This adaptability will be particularly beneficial for easily switching from
108 192 to 96 SNPs. A diagram comparing the two technologies is provided in the supplementary figure S11. A
109 The 600K chip contained 334,950 SNPs segregating in the Muscovy duck library and 331,241 SNPs
110 segregating in the common duck library. A preliminary step was to select only markers without
111 polymorphism in the 50 bp before and after the SNP, as primer length is longer (50 bp) with the KASPar
112 technology than with the Axiom technology (35bp). For that purpose, pool-sequenced DNA from 50
113 males, sampled from several French populations (wild mallard and commercial Pekin and Muscovy) were
114 used (Teissier et al., 2019). Primers for markers found on the 600K chip were aligned on the reference
115 genome (*Anas platyrhynchos* genome from (Huang et al., 2013), and *Cairina moschata* genome from
116 (Thébault et al., 2019)). Only SNPs exhibiting an identical primer sequence in the Muscovy and common
117 duck populations were kept. After this step, 229,138 SNP remained in the Muscovy library while the
118 common duck library contained 198,091 markers. The intersection of both led to a list of 399 candidate
119 SNPs, susceptible to be amplified in the mule duck population. Only 396 were awarded the recommended
120 PolyHighResolution status from the Axiom Analysis Suite software distributed by ThermoFisher, meaning
121 they were found high quality and polymorphic. The final list of 192 SNPs was to be built among these 396,
122 applying filters to individuals and triplet genotypes available in the reference dataset. PLINK V2.0 (Purcell
123 et al., 2007) was used to perform filtering operations on missingness, both for genotypes and SNPs, minor
124 allele frequency (MAF), and Mendelian mismatches. The retained criteria were values of 0.95 for call rate
125 (CR) and call frequency, and 0.10 for MAF within Pekin and Muscovy populations. About 100 trios
126 representing various genetic types were available in the reference dataset and could, therefore, be used
127 to track markers leading to Mendelian incompatibilities. Such incompatibilities disqualified the concerned
128 markers. An ultimate filter was applied based on linkage disequilibrium (LD), aiming to choose
129 independent markers.

130 *Setup of the final cost-efficient 96 SNP panel*

131 A mixture of two groups of animals was used to evaluate the properties of the 192 selected SNP. The
132 first group was a subset of the reference dataset composed of 72 individuals: 44 Pekin, 15 Muscovy and
133 13 mule ducks, in order to ensure consistency between KASPar and Axiom results. The second group
134 encompassed most of the parents (133 Pekin and 127 Muscovy ducks) of the experimental batches to
135 assign. To select the final 96 markers with desired properties, similar criteria as for the previous step were
136 used: markers were kept when they had maximum call-rate of 5% missingness, a within line MAF of 0.15

137 and absence of Mendelian incompatibilities, the latter being assessed using samples with known kinship
138 (nine offspring-sire-dam triplets in Pekin, four offspring-sire pairs and two offspring-dam pairs for mule
139 ducks). The 96 selected markers were then combined on a single plate to genotype the offspring for
140 reassignment.

141 *Assessment of the assignment power of the 96 SNP panel*

142 An evaluation of the assignment power of the marker set was carried out by computing the exclusion
143 probability ((Vandeputte, 2012), which is the probability of a randomly chosen parent-pair being
144 genetically excluded as parents of a randomly chosen offspring, when that parent pair did not produce
145 that offspring (Dodds et al., 1996). It depends on the number of parents and the allele frequencies in the
146 parental population. It provides a good quality criterion for the set of markers once the parental
147 population is genotyped.

148 *Sample Collection and Genotyping*

149 Blood samples from offspring and their parents were collected after slaughtering and sent to the
150 INRAE genotyping platform Gentyane (Clermont-Ferrand, France) for DNA extraction and
151 genotyping. Genomic DNA extraction was performed using GenFind V2™ (Beckman Coulter) commercial
152 kit. The offspring were genotyped for parentage assignment using 96 SNP in KASPar . Dynamic Array™ IFC
153 96 * 96 chips were used with Biomark™ HD Reader to perform the competitive PCR and chip reading. The
154 Fluidigm® SNP Genotyping Analysis software was used to analyze the genotyping results.

155

156 **Parentage Assignment Validation in an Experimental Design**

157 *Ethical statement*

158 The present study was conducted in agreement with the 2010/63/EU regulation for use of animals for
159 research purposes. Animals were bred at the INRAE Duck farm (UEPFG, Benquet, France) which has been
160 approved for animal experimentation (C40-037-1). Experiments were carried out following a protocol
161 approved by the French Ministry of Higher Education, Research and Innovation, abiding by European
162 regulations for animal care (APAFIS# 2018013116519672).

163 *Mating design*

164 The mating plan was designed with the double purpose of achieving pedigree assignment in a limited
165 size population (our testing capacity did not exceed 280 ducklings in Pekin, 220 animals in Muscovy and
166 mule ducks) with related breeders, while preserving enough genetic diversity in the offspring population
167 to estimate genetic parameters. The retained strategy was i) to split related breeders in separate factorial
168 designs and ii) to ensure that the largest possible number of maternal origins was represented among
169 ducklings. Each female stock (N= 96 for Muscovy ducks and N=99 for Pekin ducks) was split in three 35 m²
170 cells with slatted floor. These cells were equipped with nests lined with wood shavings to limit the
171 number of floor eggs. To respond to the species specificities, 15 partially closed nests were available in
172 each cell for the Muscovy ducks, whereas for the Pekin ducks, cells were equipped with two large
173 collective nests without roof. Drakes (N=48 for Muscovy ducks and N=34 for Pekin ducks) were kept in
174 individual cages, to avoid aggressive behaviors. A factorial design was implemented in which groups of
175 females within a given cell were inseminated with pre-designed semen pools from four drakes in
176 Muscovy. In Pekin, the number of drakes per semen pool varied between three and four. In the Muscovy
177 population, females from each cell were divided into four groups of eight individuals, whereas in the
178 Pekin population, they were divided into three groups of eleven or twelve individuals. Each group was
179 identified using a colored leg ring. Thus, in the Muscovy population, the number of possible parental pairs
180 of an egg reduced from 48 males*96 females = 4608 to 3 cells*4 groups*8 females*4 males = 384. In the
181 Pekin population, on the basis of the mating plan. this number has been reduced from 3366 to 375. Based
182 on preliminary genotyping results, the maximum number of parental pairs in both populations was
183 deemed sufficient for accurately estimating genetic parameters. Subsequently, dams and sires were

184 assigned to each cell and grouped according to their relatedness, ensuring that siblings were not placed in
185 the same group to avoid complications arising from their similar genotypes, which could hinder the
186 performance of relatedness assignment software. During the two-week reproduction period, each group
187 of females was repeatedly inseminated with pooled semen from the same group of drakes. Following
188 common practices, insemination doses were calibrated to provide 100 million spermatozooids for Muscovy
189 females and 150 million spermatozooids for Pekin females. Contribution of each male was monitored prior
190 to mixing based on optical density of ejaculates, to provide an equal number of spermatozooids from each
191 drake within an insemination dose.

192 *Egg collection and hatching*

193 Eggs were harvested daily during the egg collection period. Day of lay and cell number were written
194 on the shell. After candling prior to the hatcher transfer, eggs were put into hatching baskets (one
195 hatching basket per day of lay and cell number) and then were ordered in the hatcher based on
196 decreasing number of viable eggs. At hatch, ducklings were identified with a wing band until the desired
197 number of ducks was reached, *i.e.* not all hatching baskets were collected. Given the above-mentioned
198 limited testing capacity and assuming a female lays only one egg each day, the ranking of the baskets
199 based on egg numbers was retained to maximize the number of dams contributing to the final retained
200 population. The correspondence between the wing band and the cell number was recorded.

201

202 *A posteriori pedigree assignment*

203 The experimental population to assign was composed of three batches, each related to a genetic type:
204 157 male Muscovy ducks, 207 male mule ducks and 273 Pekin ducks of both sexes, all issued from the
205 parents first genotyped with the 192 SNP panel. The APIS software (Griot et al., 2020) was used for
206 pedigree assignment. The two available methods were compared. One is based on the maximization of
207 the average Mendelian transmission probability of the markers for a given offspring and all the possible
208 parental pairs. The other one is based on the exclusion principle, where any Mendelian incompatibility
209 eliminates a parental pair until only the true one remains. In order to account for genotyping errors, a
210 user-tuned number of mismatches can be allowed and was set to two. Offspring exhibiting more than 5%
211 missingness in genotypes were excluded from the assignment process, leading to the removal of 9
212 individuals (*i.e.* 3.3 % of the initial 273 offspring to be assigned) in the Pekin population only. Knowing the
213 effective factorial design, we were able to produce a positive list of possible parental pairs and challenge
214 the putative pedigree produced by the software with factual elements.

215

216

Results and discussion

217 **First List of 192 Markers**

218 Among the birds with 600K genotypes available in the reference dataset, only those exhibiting a call
219 rate over 0.95 (*i.e.* with less than 5% of missing information) were retained, leading to a subset of 139
220 Pekin, 79 Muscovy and 39 mule ducks with genotypes, and a final number of 94 offspring sire dam
221 triplets. Call-rate filtering for markers (maximum 5% missingness) led to a list of 348 SNPs, among which
222 twelve were discarded because of Mendelian mismatch occurrences. SNPs were kept when minor allele
223 frequency exceeded 0.10 in each of the *Anas platyrhynchos* and *Cairina moschata* populations, which led
224 to a list of 232 SNPs. Only SNPs showing some polymorphism in the 39 mule duck samples were kept,
225 reducing the number to 210. This criterion was applied to make sure the retained markers were not
226 monomorphic among mules, as assignment of mule ducks was of prime interest. Finally, the list of 192
227 primers was obtained after eliminating SNPs exhibiting a LD above 0.25 with other markers.

228 **Design of an Operational 96 SNP Panel**

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Table 1 - Call-Rate and Minor Allele Frequency (MAF) observed for the 192 SNPs in the parental populations

	<i>Anas platyrhynchos</i> N=133		<i>Cairina moschata</i> N=127	
	Call-rate	MAF	Call-rate	MAF
minimum	0.940	0.026	0.258	0.047
1st quartile	0.993	0.222	0.984	0.236
median	0.993	0.338	0.992	0.323
3rd quartile	0.993	0.412	1.000	0.418
maximum	1.00	0.500	1.000	0.500

231

232 Elementary statistics about CR and MAF of the 192 SNP for our parental populations are displayed in
 233 table 1. These results were obtained for the parents of our experimental populations (133 Pekin and 127
 234 Muscovy ducks), which explains why MAF were lower than 0.1 for some markers, as initial thresholds
 235 were set on a different population (our reference dataset). In our experimental Muscovy population call-
 236 rates were lower than expected. Fifty-seven SNPs exhibited missingness rate ranging from 0.42 to 0.75,
 237 while they were below 5% in the Muscovy samples previously genotyped with the 600K chip. Our
 238 hypothesis is that undetected polymorphisms in the primer sequences can be incriminated for these poor
 239 results. Such polymorphisms remained undetected in the few individuals sampled from the same line as
 240 our experimental populations. These 57 SNPs were discarded from the list. This endorses the strategy of
 241 starting with 192 SNPs to retain a final list of 96. Six additional markers exhibiting at least one Mendelian
 242 mismatch were deleted, reducing the list to 133. The minimal MAF criterion was set to 0.10 in each
 243 parental population, resulting in a list of 111 SNPs. Finally, to ensure desirable properties in the mule duck
 244 population, 7 SNPs with a call rate below 0.95 in the 39 mule samples were discarded. Eight additional
 245 markers were thrown away based on the clustering quality of their genotypes in the Fluidigm® SNP
 246 Genotyping Analysis software, resulting in the final list of 96 SNPs.

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Table 2 - Name and position of the 96 SNP retained in the final list. Position refers to the *Anas platyrhynchos* library. KB745320.1 is a scaffold.

Chromosome	Position (bp)	Marker name	Chromosome	Position (bp)	Marker name
1	109061561	AX-247363485	7	639397	AX-247355830
1	198136954	AX-247363213	7	6642882	AX-247355836
2	9314971	AX-247354978	7	6784807	AX-247364551
2	22038866	AX-247363748	7	7458603	AX-247364557
2	25524298	AX-247355025	7	7903291	AX-247355848
2	48224427	AX-247355091	7	17149047	AX-247364577
2	57105300	AX-247363838	7	37659499	AX-223686578
2	72878000	AX-247363840	8	5024747	AX-247355910
2	95527796	AX-247355149	8	9828535	AX-247364640
2	106227402	AX-247363883	8	18077068	AX-247355936
2	125817433	AX-247355201	8	20064891	AX-247364660
2	130944301	AX-247363942	8	23941232	AX-247364672
2	133449691	AX-247363956	8	25365172	AX-247364675
2	142558953	AX-247355235	8	26073249	AX-247364679
2	148407413	AX-247355249	9	6446865	AX-247364711
2	152370825	AX-247355261	9	10829712	AX-247356029
2	152906965	AX-247355267	9	11668820	AX-247364749
3	178108	AX-247364000	9	13906991	AX-247364763
3	22898020	AX-247355316	9	14469818	AX-247364765
3	34203102	AX-247364053	10	11096372	AX-247356148
3	41332352	AX-247364072	11	15392465	AX-247364917

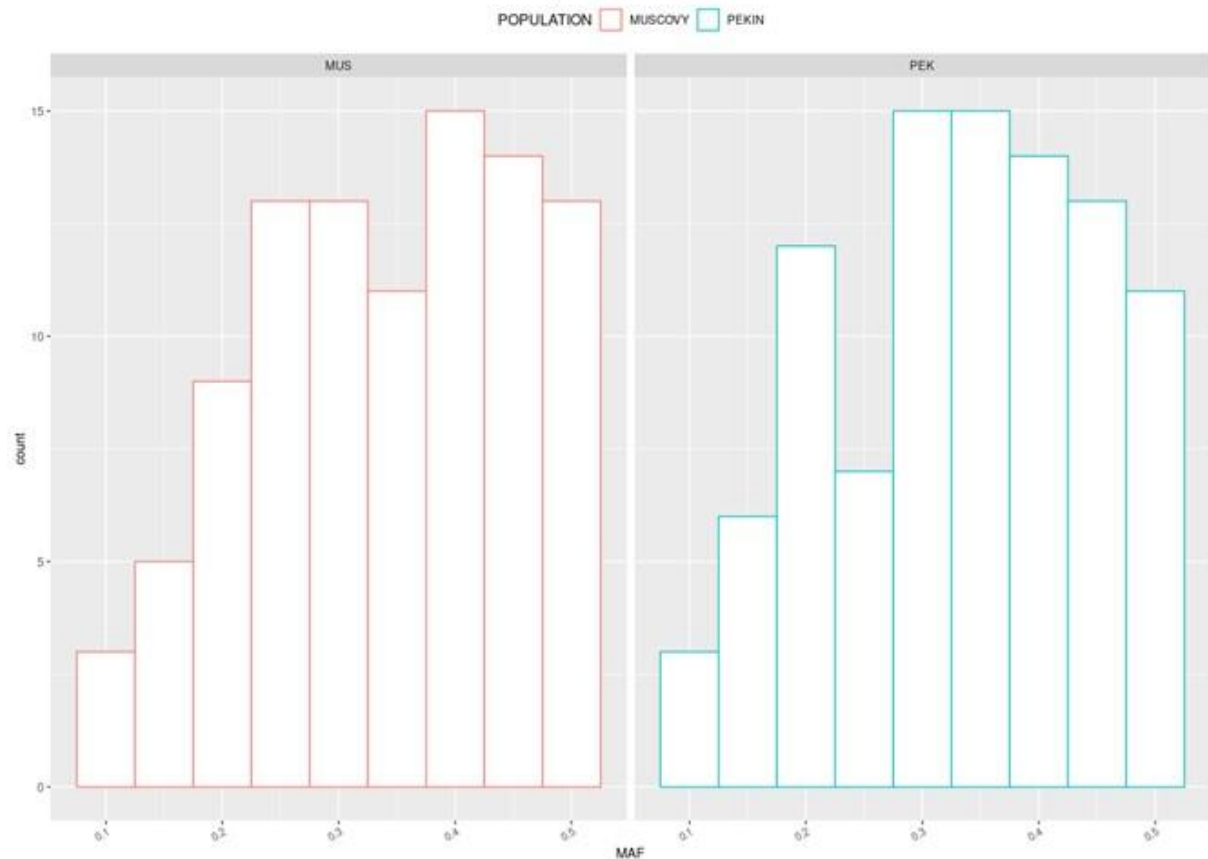
3	49962556	AX-247364080	12	4812384	AX-247356238
3	53539930	AX-247355356	14	6336270	AX-247356370
3	66856580	AX-247364116	14	14544447	AX-247365129
3	68837303	AX-247364118	14	14827130	AX-247365133
3	74410901	AX-247364122	16	2984766	AX-247356455
3	110150507	AX-247355450	16	3718731	AX-247356463
3	110627101	AX-247355452	16	9044628	AX-247356481
4	6220620	AX-247364191	16	9063242	AX-247356483
4	14309946	AX-247355482	16	13744076	AX-247365233
4	25865050	AX-247355506	16	14448873	AX-247356512
4	60721998	AX-247364276	18	5084874	AX-247356525
5	2505593	AX-247364303	19	10473301	AX-247365299
5	6739459	AX-247364317	19	10494308	AX-247365301
5	7253477	AX-247364320	20	2186582	AX-247365309
5	26939905	AX-247364353	20	6341095	AX-247365329
5	27529421	AX-247355645	20	8185628	AX-247356614
5	36094216	AX-247364375	20	9156425	AX-247356617
5	42690814	AX-247355671	20	11133474	AX-247365344
5	45865637	AX-247355673	21	12160575	AX-247365370
5	54586500	AX-247355693	22	2485025	AX-247365384
5	54717023	AX-247364410	22	2576730	AX-247365386
5	58368151	AX-247364419	24	2923576	AX-247365450
5	58440894	AX-247364421	24	4729515	AX-247365466
5	59563000	AX-247355708	24	5386920	AX-247356749
5	62080514	AX-247355726	25	1070592	AX-247356762
6	28171519	AX-247364508	25	1255481	AX-247356766
6	31606612	AX-247364526	KB745320.1	252400	AX-247364465

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251 The final list of 96 SNP is displayed on table 2, while the list of 192 markers including the MAF in the
252 three populations represented in the reference dataset) is given as supplementary material. The mean
253 and median distances between SNPs were 2,238,171 bp and 4,433,066 bp, respectively, in the 192 SNP
254 panel, and 3,919,876 bp and 7,545,704 bp, respectively, in the 96 SNP panel. These data suggest that the
255 SNPs are widely dispersed across the genome, a characteristic anticipated for markers used in an
256 assignment panel.

Distribution of MAF in the final 96 SNP panel



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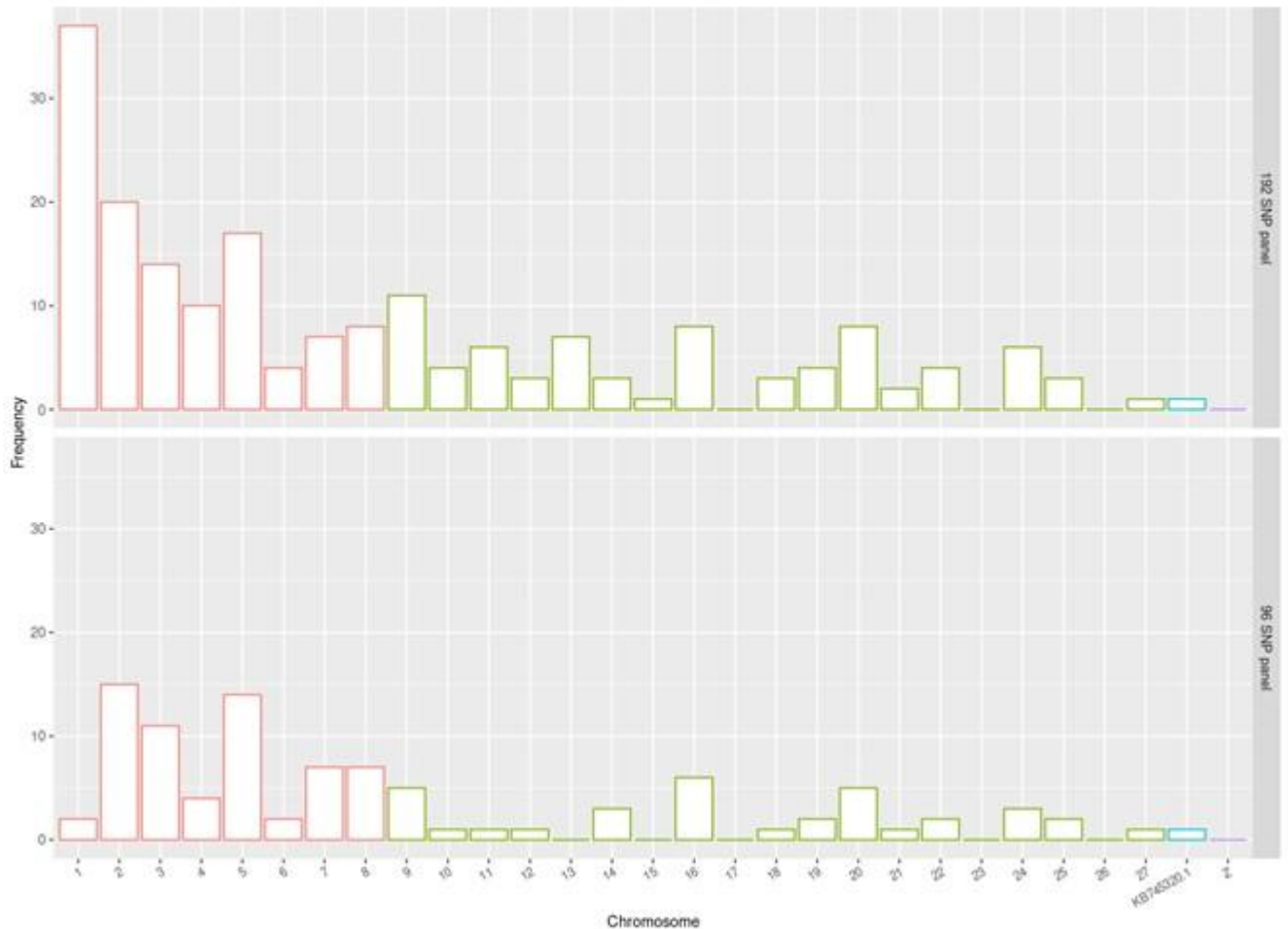
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Figure 1 - Minor Allele Frequency distribution of the final 96 assignment markers in the experimental population

260 The MAF distributions of these 96 SNP in our experimental populations are displayed on figure 1.
261 Figure 2 shows the location of the SNPs on the different chromosomes. The localization of the 192 SNPs
262 (upper panel) was somehow consistent with the size of chromosomes, with a larger number of SNPs on
263 macro-chromosomes compared with micro-chromosomes. No SNP was located on chromosome 17 and
264 23. For the final set (lower panel), the priority was given to technical proprieties of the markers, leading to
265 some gaps (no SNP on chromosomes 13, 15, 17 and beyond 25) and only two on chromosome 1.
266 Nonetheless, the vast majority of SNPs in the final set were located on macro-chromosomes (numbered
267 from 1 to 8, following (Skinner et al., 2009)). Supplementary Figure S2 illustrates the distribution of
268 SNPs on the Axiom HD chip and the 399 SNPs shared between the *Anas platyrhynchos* and *Cairina*
269 *moschata* datasets. Notably, chromosome 17 is absent from the Axiom chip. Twelve of the 399
270 common SNPs were located on chromosome 16, which may explain the relatively large
271 representation of chromosome 16 in the final panel of 96 selected markers.

272 A consistency (i.e. percentage of identical genotypes) of 0.997 was observed between the genotypes
273 of the 72 individuals in the reference panel, which were obtained with both technologies (KASPar and
274 Axiom). Three individuals were genotyped twice with the KASPar technology with complete (100%)
275 consistency. As previously stated, the set of animals used to obtain the final list of 96 markers contained
276 nine individuals of complete known pedigree. Using these 96 SNPs, all offspring in the 9 trios of known
277 pedigree were correctly assigned to their true parental pair using the APIS R package. All these factors
278 reinforce our confidence in the panel's effectiveness for reassignment in target populations.

Distribution of SNPs on different chromosomes



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Figure 2 - Location of the SNPs on the chromosomes (upper part: 192 SNP panel and lower part:96 SNP panel) Chromosomes 1 to 8 are macro-chromosomes, chromosomes 9 to 27 are micro chromosomes, Z is a sexual chromosome and KB745320.1 is a scaffold.

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Following (Vandeputte, 2012), the exclusion power of the 96 SNP panel, based on the allele frequencies in the parental population and assuming random mating, was computed and found above 0.99999 in all the populations. These values were an encouraging result before attempting to build the pedigree of our experimental batches.

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Obtention of a DNA-based Pedigree of our three Experimental Populations

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Assignment rate

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The assignment rate to a unique parental pair was 97% for the mule ducks (201 over 207), 95% in the Pekin population (260 over 273), and 87% in the Muscovy population (136 over 157). A posteriori, this poor performance in the Muscovy population could be attributed to the absence of 17 parental samples in the genotyped populations (fourteen females and two males). Yet, with 87% of success this set of markers performed at least as well as the previous microsatellite panel (Chapuis et al., 2010). In this study, assignment failures occurred when the most probable putative parent pairs identified had a relatively high number of Mendelian incompatibilities (above eight, when the threshold was set to two mismatches). In addition, this was confirmed by the two-peaked distribution of the difference in Mendelian transmission probability between best and second-best putative parents (figure 3) for the Muscovy offspring, unlike the two other populations. As stated by (Griot et al., 2020) assuming a sufficient power of the panel (exceeding 0.99999 here), this situation clearly signaled missing parents. This demonstrates that the main obstacle for a posteriori building of pedigree is the absence of one or both

301 parents. To confirm this hypothesis, the absence of the same number of parents (two sires and fourteen
302 dams randomly discarded) was simulated in the Pekin population and, over 50 replicates, the average
303 assignment rate dropped to 0.80 ± 0.01 , i.e. a loss of 16 percentage points. In these replicates, the
304 maximum number of observed mismatches in the assigned individuals was 2, while, in the non-assigned
305 Muscovy individuals, it ranged between 5 and 11, indicating a clear cut-off when one parent is missing.
306 Another cause of APIS assignment failures may be the wrong estimation of the empirical threshold to be
307 set in Mendelian transmission probability. According to (Griot et al., 2020), a minimal number of 200
308 offspring is required to properly estimate this threshold, while we had only 157 Muscovy.

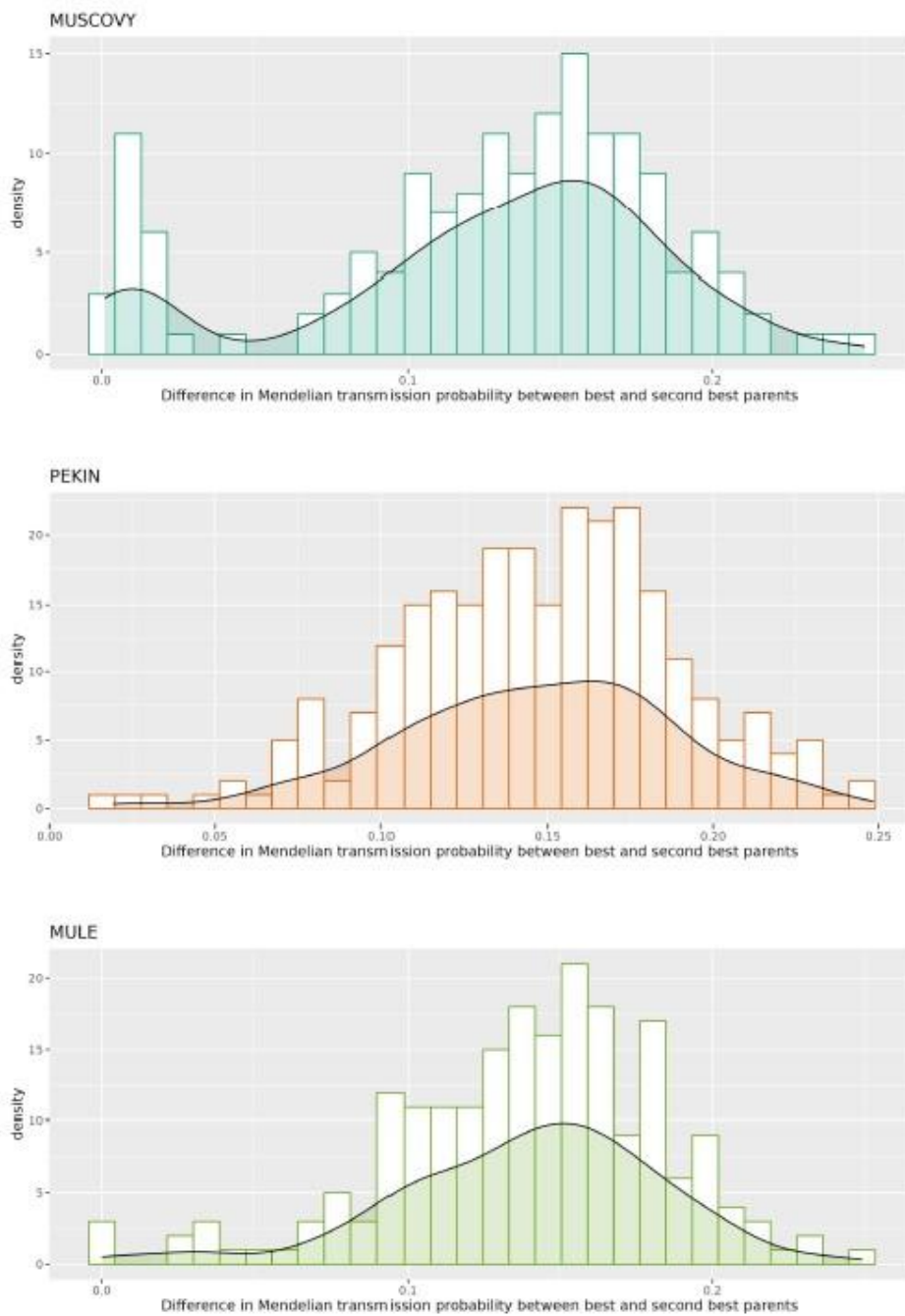
309 *Benefits of Mating Plan Knowledge*

310 The outcomes of an APIS run can be split into three situations: i) direct successful assignment to the
311 rightful parental pair, ii) wrong assignment to an erroneous parental pair, or iii) failure to return a unique
312 parental pair. In our case, thanks to the availability of the mating plan, the two latter situations could be
313 sorted out in most cases. As an illustration, the vast majority of assigned parental pairs was fully
314 compatible with both the list of possible mating and the cell number where the egg was collected,
315 associated with the wing band. They were, therefore, considered as correct, and corresponded to case i.
316 In addition, these pieces of information allowed to detect and fix one single wrong assignment returned
317 by the software. In this case, the parental pair ranking first on Mendelian transmission probability could
318 materially not be the true one, unlike the second ranking pair, exhibiting a Mendelian transmission
319 probability only slightly lower than the first one (case ii). Assignment failure (case iii) occurred in very few
320 situations (less than 5% of cases in Pekin and mule populations), for instance, when the two most
321 probable parental pairs featured the same sire while the different dams could not be separated based on
322 Mendelian transmission probability only. In these cases also, supplementary information brought by the
323 wing band, which identified which cell number the egg originated from, and thus which mating was
324 possible, helped to designate the true pair among the putative pairs proposed by APIS.

325 **Consequences on the Population Structure**

326 Avian pedigreed populations are usually bred using individual cages for females, applying a
327 hierarchical mating design (a single male used to inseminate p females, a dam having offspring from one
328 sire only). In factorial designs allowed by group housing, a female can give birth to ducklings with multiple
329 sires, up to four different drakes in our case. Table 3 displays, for each of three experimental batches, the
330 proportion of dams which had progeny identified from k males, k varying from 1 to 4. The population
331 structure here is different from a hierarchical mating design, as less than half of the dams had offspring
332 from only one sire. This remarkable change in the mating design is displayed on figure 4, which shows the
333 last batch of Pekin and its two generations of closest ancestors (parents and grand-parents). When the
334 hierarchical mating plans operated, much less combinations of sires and dams were recruited than when
335 the mating scheme was factorial. Population structure varied among the three genetic types displayed in
336 table 3. Without any replicate, however, it is not possible to infer the differential consequences to be
337 expected in the three populations once the hierarchical mating plan is replaced by a factorial one.

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Figure 3 - Distribution of differences in Mendelian transmission probability between best and second-best putative parents in the three populations

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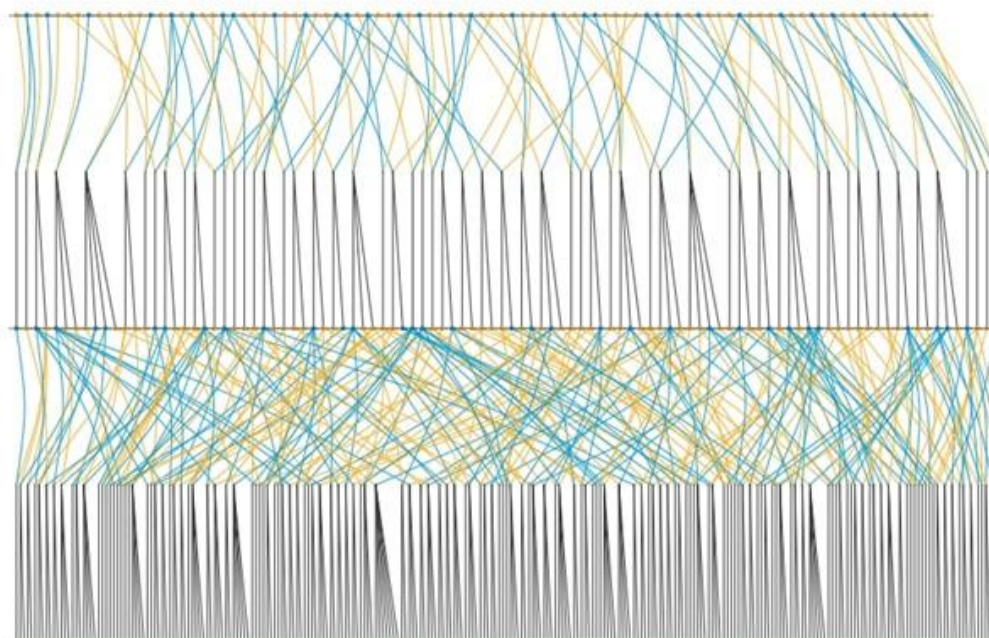
345

Table 3 - Proportion of dams giving birth to ducklings with k different sires

k	Population		
	<i>Cairina moschata</i>	Mule ducks	<i>Anas platyrhynchos</i>
4	–	33%	12%
3	16%	41%	30%
2	36%	20%	27%
1	48%	6%	30%

346

347 It is useful here to remind that, given the characteristics of poultry reproduction, in particular the
 348 presence of sperm storage tubules in the oviduct of females, the hierarchical mating plan carried out for a
 349 long enough period was, regardless of the housing system, the only way to ascertain the pedigree of
 350 newborn chicks before the availability of molecular tools allowing for parentage assignment. Thus,
 351 females could be housed in cages or in pens, but they were mated to a single male during a given egg
 352 collection period. Switching from hierarchical to factorial design is recommended first for practical
 353 convenience when individual cages are banned: it is indeed easier to pick a female based on its colored
 354 leg ring and inseminate it with a prepared semen pool than randomly pick a female, read its wing band
 355 and inseminate it with sperm from the single relevant male. Besides, not only the SNP panel allows for
 356 parentage assignment but it also provides context for the correct estimation of maternal effects, which
 357 are no longer confounded with a sire-dam interaction in a given laying series, as can be seen on figure 4.



358

359

Figure 4 - Pedigree representation of the last two generations in the Pekin line

360 *Orange circles represent dams and blue circles sires. The upper part describes a hierarchical design*
 361 *(only one line originates from each orange circle, as each dam is mated with only one drake), while a*
 362 *factorial design is used in the lower part. In that case, females can have progeny with up to 4 males.*

363

364 In the context of duck breeding for fat liver production, such a change in breeding schemes is prone to
 365 dramatically impact the way Pekin lines (i.e. the dam pathway of the mule duck) are selected. Indeed,
 366 their breeding values used to be computed based on purebred performances (body weight and laying
 367 performances) and crossbred performance measured on mule offspring. When these offspring are
 368 obtained through a hierarchical mating design, the dam estimated breeding value is confounded with the
 369 Muscovy drake potential, which may lead to bias, if the sire breeding value is not properly estimated, a
 370 common situation when evaluations for both Pekin and Muscovy lines are not carried out simultaneously.
 371 If mule offspring are obtained with multiple drakes for each dam, the bias partly wipes out. Besides, in the
 372 case of low male fertility, a Pekin female will potentially have lesser progeny with a hierarchical mating
 373 design than with a factorial mating design, due to the male side. Switching from a hierarchical to factorial
 374 mating design should, therefore, improve the selection process on the dam pathway.

375 **Table 4** - Dam family structure in three successive batches of mule ducks

376 M1 and M2 were obtained using individual cages and a hierarchical mating design. M3 was obtained using a factorial design
 377 and pedigreed through genotyping.

batch	# anim	# dam	Dam family size									MEAN	VAR
			1	2	3	4	5	6	7	8	9		
M1	247	87	4	17	55	11						2,84	0,49
M2	282	84	10	15	22	18	14	2	2	1		3,36	2,33
M3	204	69	18	14	15	11	4	2	4		1	2,96	3,40

378

379 On the other hand, management of breeding resources raises new issues in the case of floor
 380 reproduction and late pedigree knowledge. When the parents of the egg are known at egg collection (i.e.
 381 with a hierarchical design applied to individually caged females), it is easy to monitor family size at hatch
 382 and obtain a balanced family representation for a given batch size. This can be assessed looking at table 4,
 383 which displays dam family structure in three successive batches of mule ducks. In the latest mule batch
 384 (obtained under factorial design), dams had from 1 to 9 offspring, with an average of 2.96 ± 1.88 . Only
 385 70% of the dams had male offspring in this latest batch. This proportion was above 85% in the previous
 386 batches- with hierarchical designs. This drop can originate from the sampling of ducklings at hatch (males
 387 kept until the desired number was reached), when the dam is not yet known, and may also be due to
 388 zootechnical issues, if some females did not lay hatchable eggs, or only floor eggs. Such an unbalanced
 389 contribution of breeders to the progeny due to free mating system has been described by (Brard-Fudulea
 390 et al., 2023) in red partridge. Therefore, pen size (cell size in our situation) and animal sorting should be
 391 carefully organized, lest origins may be lost. In addition, there is room for optimization of the mating
 392 design. Usually mating plans are designed in order to monitor the increase of inbreeding rate, for instance
 393 by avoiding common ancestors between associated groups of males and females. Here another constraint
 394 should be imposed on the common ancestors within a group of breeders, lest difficulties arise to find the
 395 true parental pair. One solution could be to use, in the optimization process, a kinship matrix based on
 396 genotypes instead of the numerator relationship matrix derived from pedigree. One could also imagine
 397 minimize the expected inbreeding of future progeny, as do most mating plan setup software, while
 398 setting a constraint on a molecular kinship of breeders computed using marker genotypes. A similar
 399 algorithm (simulated annealing mixed with Lagrangian multiplier) was used by (Chapuis et al., 2016) to
 400 optimize breeder selection under a constraint on kinship.

401 Last, but not least, here females were inseminated and doses were calibrated to equilibrate male
402 contributions. Ultimately, in breeding companies with large populations, one could be tempted to rely on
403 natural mating, using pens with p males and q females, like at the multiplication stage. Such condition
404 would add another heterogeneity factor with mating behavior likely to dramatically impact family
405 composition. A thorough modeling of selection schemes is, therefore, necessary, to face the replacement
406 of hierarchical mating design with factorial ones.

407 **Assignment power in other duck and poultry populations**

408 This 96 SNP panel was explicitly designed to perform in our experimental population. Yet, eight 95 x
409 96 chips were used to obtain 192 SNP genotypes, leaving some spots available that were used to collect
410 genotypes for local breed samples. Thirty-four Duclair and 10 Rouen individuals (two local breeds of *Anas*
411 *platyrhynchos*) were thus genotyped. Minor allele frequencies averaged 0.26 and 0.29, respectively, in
412 these two populations. These values were lower than those reported for our experimental lines in table 1.
413 They nonetheless led to exclusion probabilities above 0.99 in these two populations, giving way to a
414 potential use for improved management of genetic resources. Practically, a side outcome of this study is a
415 list of 135 SNPs (i.e. the initial list of 192 SNPs, deprived of the 57 markers that did not work in our
416 Muscovy population) with reliable properties being now available in *Anas platyrhynchos*, *Cairina*
417 *moschata* and their hybrid offspring, to setup SNP sets for any commercial or local population.
418 Commercial populations undergoing genomic selection are not concerned with the need of an efficient
419 assignment marker set, as the thousands of SNP on a chip can also be used to build pedigree. Yet, the
420 question remains for mule offspring, as usually, for cost reasons, only selection candidates are genotyped
421 using medium or low density (MD) chips featuring 10 to about 50K SNPs. Should individual cages be
422 banned in European breeding companies, mule ducks would also require genotyping and then the cost
423 benefit ratio of using a 96 SNP set vs. a MD chip should be carefully reevaluated.

424 As previously stated, the setup of an operative assignment panel is not an issue in widely distributed
425 poultry species, where genomic material has already been developed (chicken, turkey, ducks). This can be
426 more complicated with minor species such as guinea fowl or game (partridge or pheasant). Yet, in Europe,
427 breeders operating in these species could be also concerned with the ban of individual cages. Recently, in
428 red partridge, assignment rate reached 90% using a 96 SNP panel (Brard-Fudulea et al., 2023). In their
429 review, (Flanagan and Jones, 2019) noted that as few as 31 SNPs could be used to assign all offspring with
430 >99% confidence in a population of wild birds.. They also reported many examples (mostly in fish, some in
431 mammals) where 96 SNP panels would be sufficient to provide a unique parental pair for each offspring.
432 In our situation, we benefited from previous work carried out in ducks and the availability of a 600K
433 microarray. Assignment panels could also be obtained de novo using Next Generation Sequencing (NGS)
434 methods. As stated by (Guichoux et al., 2011) these technologies enable the identification of large
435 numbers of microsatellite loci at reduced cost in non-model species. Consequently, more stringent
436 selection of loci is possible, thus further enhancing multiplex quality and efficiency. This potentially could
437 allow for a microsatellite panel avoiding the pitfall encountered by (Chapuis et al., 2010) where the
438 available microsatellites were not sufficiently polymorphic in both parental populations simultaneously.
439 NGS methods also provide different ways to obtain sets of SNPs that could be used for parental
440 assignment. For instance, in Atlantic salmon, (Holman et al., 2017) used RAD markers (Miller et al., 2007)
441 to identify SNPs to be developed into a marker set. Knowledge of the mating plan allowed for a 100%
442 accuracy in parentage resolution with no more than 94 SNPs, even when putative parents were related.
443 These results, in accordance with our own, leaves to hope that a set of 96 SNP and some practical rules
444 for bird management could be enough to provide an affordable tool for effective parentage assignment in
445 most commercial poultry populations.

446

447 **Conclusion**

448 In this study, starting from a 600K AXIOM chip, a 96 SNP panel was developed and proved effective
449 for correctly assigning parentage in an experimental population of three connected genetic types. The

450 technical process, including an intermediate selection of 192 SNPs evaluated in the populations of
451 interest, highlighted the importance of careful marker selection when transferring between technologies
452 (AXIOM to KASPar). Besides, as poultry populations have limited effective sizes, an optimization of the
453 factorial design was needed to avoid genetically similar types of progenies in the same pen (issued from
454 sibling breeders), which resolved most of the dubious assignments, and the pending ones actually pointed
455 out missing samples in the parents.

456 If the 96 markers in the final panel were the best suited for our objective, the 192 SNPs in the
457 intermediate panel (or rather 135, as 57 were problematic in our Muscovy population) can be used to
458 develop panels that offer the highest reassignment rates, depending on the populations (commercial or
459 heritage) being reassigned.

460 The ban of individual cages is likely to dramatically impact selection schemes in poultry species. Here,
461 we suggest to switch from a hierarchical to a factorial mating design, which leads to clear changes in the
462 population structure. Their consequences in the long term for selection schemes still remain to be
463 investigated, and the management of the mating plans (i.e. pen size) will have to be optimized
464 accordingly. In addition to an impact on the pedigree, banning individual cages will also affect the
465 individual recording of laying traits, and the development of connected nesting devices to record laying
466 performances of female ducks will also be a concern.

467

468

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472

473

Conflict of interest disclosure

474 The authors declare that they comply with the PCI rule of having no financial conflicts of interest in
475 relation to the content of the article.

476

477

Data availability

478 Data (genotypes, putative pedigree and R script) are available at : Chapuis, Herve, 2024, "Parentage
479 assignment in ducks using a 96 SNP panel.", <https://doi.org/10.57745/XQLL2U>

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481

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483

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