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M. Assad Bustillos, Camille Jonchère, Catherine Garnier, Anne-Laure Réguerre, Guy Della Valle. Rheological and microstructural characterization of batters and sponge cakes fortified with pea proteins. *Food Hydrocolloids*, 2020, 101, pp.105553. 10.1016/j.foodhyd.2019.105553 . hal-04625003

HAL Id: hal-04625003

<https://hal.inrae.fr/hal-04625003v1>

Submitted on 25 Jun 2024

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Manuscript Details

Manuscript number FOODHYD_2019_1235_R3

Title Rheological and microstructural characterization of batters and sponge cakes fortified with pea proteins

Article type Research paper

Abstract

The effect of pea protein fortification on the rheological properties of sponge-cake batters and their continuous phases, as well as their relationship with the final product properties were studied. Foams made out of whole egg and sugar were prepared in a planetary mixer; wheat flour (WF) was added to form a typical sponge-cake batter. Pea protein isolates (PP) were added in substitution to WF to form five batters with various PP concentrations expressed as the percentage of WF substitution: 0, 10, 20, 30 and 40%. The batter air volume fraction decreased when increasing PP concentration; this led to an increase in the cake density, as well as the apparent Young modulus. All batters and their respective continuous phases showed shear-thinning behavior, which was modeled by a power law. The viscoelastic properties showed a predominant elastic behavior at intermediate frequencies, and a cross-over point at high frequencies. All of the rheological properties increased by a factor of ≈ 10 when the PP concentration increased from 0 to 40%. Free-drainage experiments showed that batter stability increased with increasing PP concentration. PP had larger particles than WF, and showed a higher water binding capacity than WF, but no significant difference in solubility. Observations of the batter and cake microstructure revealed PP formed a network of interconnected "bridged" particles in the continuous phase. These results suggest that PP act as fillers that swell and connect to each other in the continuous phase, being the main driver for the increase of rheological properties.

Keywords liquid foams; stability; free-drainage; CLSM; volume air fraction

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Suggested reviewers martin scanlon, Liliana Santiago, MANUEL GOMEZ PALLARES, Alan Mackie, SUSANA FISZMAN

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REPUBLIQUE FRANCAISE
MINISTERE DE L'AGRICULTURE ET DE LA PEC
MINISTERE DE L'ENSEIGNEMENT SUPERIEUR
ET DE LA RECHERCHE

Nantes, November 18th, 2019

Dear Editor, dear Susana

This letter goes with our resubmission (R3) of our paper FOODHYD_2019_1235_R2, entitled :

Rheological and microstructural characterization of batters and sponge cakes fortified with pea proteins

by Mélissa Assad-Bustillos, Camille Jonchère, Catherine Garnier, Anne-Laure Reguerre, and myself as corresponding author.

As you will see in the enclosed file, we have strived to perform the minor change (highlighted in blue in the text of the legend) requested by the reviewer in the graphical abstract. We appreciated his/her help, and also yours, in improving the manuscript and we hope that it is finally accepted for publication in Food Hydrocolloids.

Thanking you in advance, I remain,

Yours sincerely,

Guy DELLA VALLE

Authors' answers to Comments from the reviewer about **FOODHYD_2019_1235_R2** “Rheological and microstructural characterization of batters and sponge cakes fortified with pea proteins” by **Assad-Bustillos et al**

-Reviewer 1

In graphic abstract, the authors added the scale bar values of the images in the legend ‘(upper row, scale bar 50 μm) and sponge-cakes (lower row, scale bar 200 μm)’; however, scale bar of the right lower row picture is 100 μm . From the data of Fig 7, scale ‘100 μm ’ seems to be correct, but the authors should show photos of the same row in same magnification. In addition to this correction, the authors should put the scale bar in the same position (the position is different in only the left lower row picture).

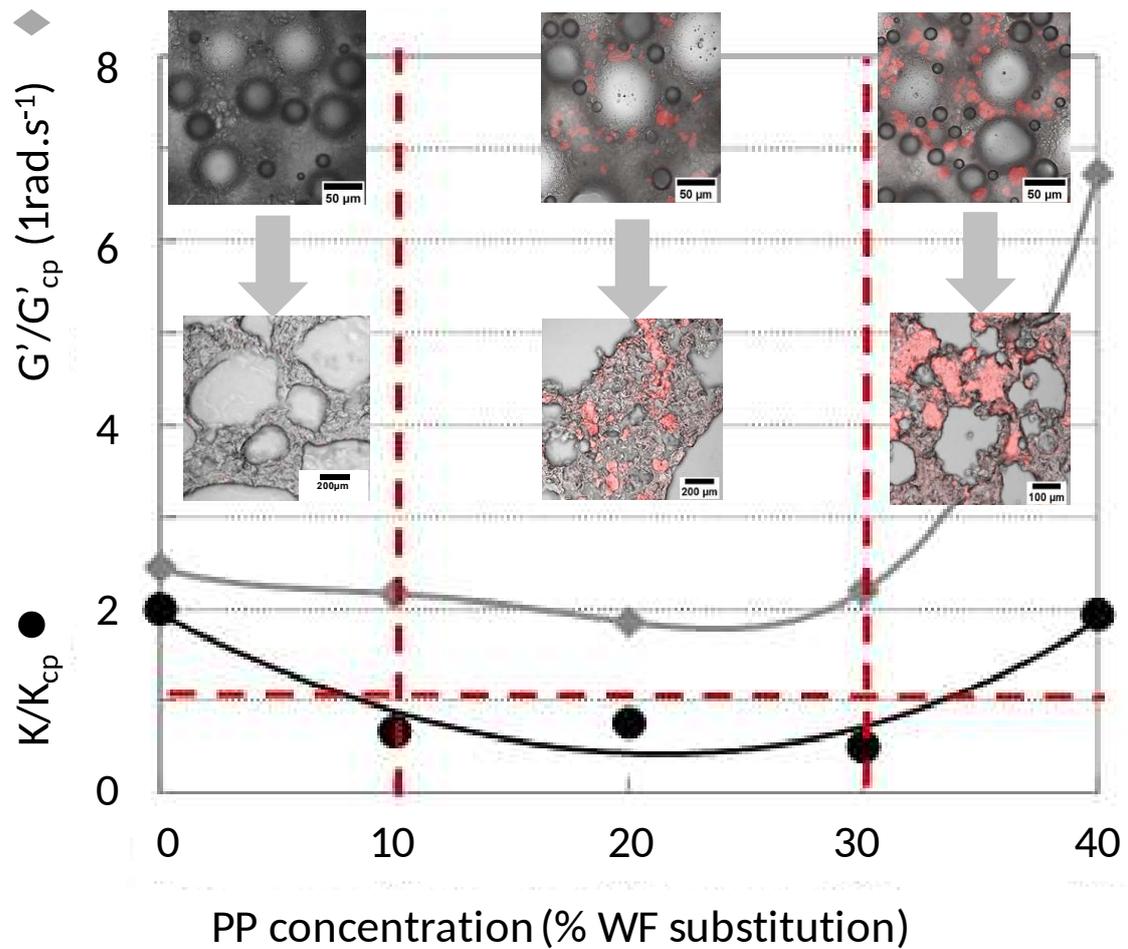
OK. We thank the reviewer for her/his accuracy.

We apologize not being able to deliver an image at same magnification (x2) on the same row, but we really think that it does not create any ambiguity for the reader since the message we want to convey is to “illustrate the jamming of the foam structure through the creation of PP network “ and not to focus on other microstructure details such as bubbles or other particles. Anyway , we have modified the legend by adding “and 100 μm for last micrograph at right” for lower row of images.

Finally we also put the scale bar on the same position on left / lower row image.

Highlights

- * sponge cake properties are directly impacted by batter air volume fraction (Φ_a)
- * addition of pea protein isolates (PP) decreases Φ_a and increase batter viscosity
- * addition of pea protein isolates (PP) leads to more stable batters
- * CSLM imaging suggests that viscosity increase is first due to PP filler effect
- * at higher levels of substitution, PP can form a non-covalent network



Variations of the normalized rheological properties and micrographs of batters (upper row, scale bar 50 μm) and sponge-cakes (lower row, scale bar 200 μm, and 100 μm for last micrograph at right) as a function of PP concentration (PP particles are stained in red) illustrate the jamming of the foam structure through the creation of PP network. Red dotted lines indicate some characteristic values.

1 **Rheological and microstructural characterization of batters and sponge cakes fortified with pea**
2 **proteins**

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9

10 **Abstract**

11 The effect of pea protein fortification on the rheological properties of sponge-cake batters and
12 their continuous phases, as well as their relationship with the final product properties were studied.
13 Foams made out of whole egg and sugar were prepared in a planetary mixer; wheat flour (WF) was
14 added to form a typical sponge-cake batter. Pea protein isolates (PP) were added in substitution to
15 WF to form five batters with various PP concentrations expressed as the percentage of WF
16 substitution: 0, 10, 20, 30 and 40%. The batter air volume fraction decreased when increasing PP
17 concentration, which led to an increase in the cake density and apparent Young modulus. All batters
18 and their respective continuous liquid phases showed shear-thinning behavior, which was modeled by
19 a power law. They showed a predominant elastic behavior at intermediate frequencies, and a cross-
20 over point at high frequencies. All of the rheological properties increased by a factor of about 10 when
21 the PP concentration increased from 0 to 40%. Free-drainage experiments showed that batter stability
22 increased with increasing PP concentration. PP had larger particles than WF, and showed a higher
23 water binding capacity than WF, but no significant difference in solubility. Observations of the batter
24 and cake microstructure revealed PP formed a network of interconnected “bridged” particles in the
25 continuous phase. These results suggest that PP first acted as fillers that swelled and connected to
26 each other in the continuous phase, and were the main driver for the increase of rheological
27 properties.

28

29 **Keywords:** liquid foams, stability, free-drainage, CLSM, volume air fraction.

30

31 **Nomenclature**

32 CLSM Confocal scanning laser microscopy

33 $d_{4,3}$ Mean volume diameter (m)

34 E^* Apparent Young modulus (Pa)

35 G', G'' Viscoelastic storage and loss modulus, respectively (Pa)

36 H_f, H_{liq} height of foam (Initial) and liquid, respectively (m)

37 K Consistency index ($\text{Pa}\cdot\text{s}^n$)

38 n Flow index

39 PP Pea protein isolates

40 PSD Particle size distribution

41 S Solubility

42 SNK Student-Newman-Keuls test

43 $\tan \delta$ Phase shift factor

44 VEL Viscoelastic linear domain

45 WC Water content

46 WF Wheat flour

47 WHC Water holding capacity

48 \emptyset Diameter

49 Φ_a Air Volume fraction

50 ρ, ρ^* liquid and batter (or) crumb density, respectively ($\text{kg}\cdot\text{m}^{-3}$)

51

52 1. Introduction

53 The fortification of cereal products with pulse proteins, such as pea protein, is a good way to
54 improve their nutritional properties by equilibrating the essential amino acid profile (Young & Pellett,
55 1994), but it may have a negative effect on their texture properties (Monnet, Laleg, Michon & Micard,
56 2019).

57 Due to its high versatility and airy texture, sponge-cake is a very popular food among the
58 consumers. Its simple composition and processing makes it easily available. Its porous structure
59 allows it to absorb liquids such as syrups and jams; for those reasons it is apt for a large variety of
60 applications and constitutes the base of many bakery specialties worldwide (Díaz-Ramírez et al.,
61 2013). The structure of sponge-cake is originated during the mixing of cake batter, before it becomes a
62 soft solid foam after thermal setting during baking. Briefly, the batter process consists in forming a
63 liquid foam by introducing air into a continuous liquid phase made of egg and sugar, to which wheat
64 flour and other minor solid components are folded in to form the batter. In the industry, this process is
65 known as two-stage mixing (Wilderjans, Luyts, Brijs, & Delcour, 2013). Like other egg based cakes,
66 the final airy structure of sponge-cake depends heavily on the air trapped within the continuous phase
67 (Conforti, 2014; Wilderjans et al., 2013). For that reason, the rheological properties, and particularly
68 the viscosity of the batter are key factors that determine its capacity to retain air bubbles during
69 processing (Sahi & Alava, 2003). Indeed, the air volume fraction, or porosity (Φ_a) is a critical factor that
70 is strongly linked to the rheological behavior of the batter (Allais, Edoura-Gaena, Gros, & Trystram,
71 2006), and allows its classification into two categories: bubbly liquids ($\Phi_a < 0.64$) and aqueous (or wet)
72 foams ($0.64 < \Phi_a < 0.95$) (Cantat et al., 2013). In sponge-cake and other egg based foams, Φ_a values
73 commonly situate them in the aqueous foam domain; for instance $\Phi_a = 0.68$ for a typical foam made of
74 whole egg; and $\Phi_a = 0.74$ if made only of egg white (Spencer, Scanlon, & Page, 2008).

75 Aqueous foams are made by highly packed air bubbles that form an interconnected structure,
76 composed of films, Plateau borders and nodes (Cantat et al., 2013). This unique structure is
77 responsible for their viscoelastic behavior at low strains (Cohen-Addad, Hoballah, & Höhler, 1998) and
78 their shear-thinning behavior at large strains, sometimes presenting a yield stress if the bubble
79 packing is “jammed” (Gopal & Durian, 1999). These rheological properties are intimately related to Φ_a

80 and to the bubble size distribution (Kraynik, Reinelt, & van Swol, 2004). Moreover, since foams are not
81 thermodynamically stable systems, they are ubiquitous and their rheology is time-dependent (Cipelletti
82 & Ramos, 2002; Marze, Guillermic, & Saint-Jalmes, 2009); therefore, the destabilization phenomena
83 that occur during the time scale of batter processing are to be taken into account, as they can have an
84 influence on the structure and final properties of the cake crumb (Foegeding, Luck, & Davis, 2006).
85 The main mechanisms that lead to foam destabilization are drainage- driven by gravity, coarsening -
86 due to pressure differences between bubbles of different sizes and coalescence, which is the bursting
87 of liquid films separating neighboring bubbles (Cantat et al., 2013; Murray, 2007). In aqueous foams,
88 the extent to which a particular aging mechanism dominates foam destabilization also depends
89 considerably on Φ_a (Saint-Jalmes, 2006). For instance, Spencer et al., (2008) showed that
90 destabilization of sponge-cake batters was dominated by drainage when the systems were classified
91 as bubbly liquids, whereas coalescence was dominant in foams. In any case, the study of the stability
92 of cake batters gives important information about their internal structure and their macroscopic
93 behavior during processing; moreover, it can be assessed in a relatively simply manner, for instance
94 *via* free-drainage experiences (Saint-Jalmes & Langevin, 2002).

95 Even though all of the above mentioned phenomena have been relatively well described in
96 physical chemistry and soft matter sciences, the cake making industry could benefit from basic
97 insights taken from said disciplines in order to improve its processing for applications such as protein
98 and fiber fortification, sugar or fat reduction (Mezzenga, Schurtenberger, Burbidge, & Michel, 2005). In
99 the particular case of protein fortification, the consequences of adding pulse flours or isolates have
100 been recently reviewed for their impact on sensory properties (Foschia, Horstmann, Arendt, & Zannini,
101 2017) and on their structuring during baking (Monnet et al., 2019). However, there is still a lack of
102 understanding of the mechanisms leading to those changes during the whole process chain.

103 In this context, the objectives of this study were: i) to characterize the changes induced by the
104 addition of pea protein isolates, at different levels of wheat flour substitution, on the rheological,
105 stability and air incorporation properties of sponge-cake batters and their continuous liquid phase; ii) to
106 relate them to the structural and mechanical properties of the corresponding baked cakes; iii) to

107 provide insight on the possible mechanisms at the origin of these changes; iv) to discuss the possible
108 corrective actions that could be implemented to avoid them.

109 **2. Materials and methods**

110 *2.1 Batter and cake formulation*

111 Five batters were prepared according to the formulae detailed in Table 1. Pasteurized liquid eggs
112 (HORECA, France) and white sugar (Daddy, France) were bought one week before the experiment at
113 a local store; eggs were conserved at 4°C. Wheat flour (T55, Decollogne, Aiseray, 21, France), and
114 pea protein isolates (PP, NUTRALYS BF, Roquette, Lestrem, 62, France) were bought 2 months
115 before the experiment and stored in closed containers at 4°C. Due to the extraction process, PP are
116 not known as functional, i.e. they do not exhibit large gelling or emulsifying properties. Ingredients
117 were weighed separately in sufficient quantity to prepare 1 kg of batter. To prepare the foams, first,
118 eggs are mixed with sugar in a planetary mixer with rotating whisk (N50, HOBART, USA) at
119 intermediate speed (281 rpm arm and 124 rpm whisk) during 10 minutes, plus 5 minutes at high speed
120 (580 rpm arm + 255 rpm whisk). Then wheat flour (WF) and pea protein isolates (PP) are added
121 progressively to the egg-sugar foam to form the batter while mixing manually and gently to avoid
122 bubble coalescence and collapse.

123 For each formula, batter properties were characterized right after being prepared. Additionally, a
124 small amount (≈ 15 mL) of batter was poured in a plastic test tube that was placed inside a vacuum
125 desiccator system (without any silica particles) to remove the air bubbles during 2 hours. The
126 properties of the airless batters, considered as their continuous phase, were characterized as for
127 regular batters. The properties of the egg-sugar foam previous to the addition of flour and isolates
128 were also characterized.

129 Since the characterization trials of batter are destructive, cakes were prepared from new batter
130 batches by following the same formulae shown in Table 1. Additionally, 1% of a commercial chemical
131 leavening agent was added ($\text{Na}_2\text{CO}_3/\text{Na}_2\text{H}_2\text{P}_2\text{O}_7$ powder mix, DGF, France) to each formula,
132 modifying minimally their composition. For each formula, 4 cakes were prepared. Circular aluminum
133 molds with a diameter (ϕ) of 25 cm were sprayed with oil to avoid sticking, then 250 g of batter was

134 weighed on each mold; the latter were placed on a tray and baked in a pre-heated electric deck oven
135 at 200°C during 20 min, as recommended by our partner Cerelab®, sponge cake manufacturer. The
136 cakes were un-molded and cooled at room temperature for 3 hours, then wrapped in plastic foil and
137 left to rest for 24 h before the characterization of their properties.

138 2.2 Batter density and air volume fraction

139 A 20 mL plastic container was filled with freshly prepared batter and weighed. The measurement
140 was performed thrice. From this data, the batter density (ρ^*), in $\text{g}\cdot\text{cm}^{-3}$, was calculated by:

$$141 \quad \rho^* = \frac{m_{\text{container} + \text{batter}} - m_{\text{container}}}{m_{\text{container} + \text{water}} - m_{\text{container}}} \times \rho_w \quad (1)$$

142 Where:

143 $m_{\text{container}}$, is the mass of the empty container, in g;

144 $m_{\text{container} + \text{batter}}$, is the mass of the container filled with the batter, in g;

145 $m_{\text{container} + \text{water}}$, is the mass of the container filled with water, in g, and water density $\rho_w \approx 1\text{g}\cdot\text{cm}^{-3}$).

146 The batter air volume fraction, or porosity (Φ_a) was calculated from the relation expressed in (2):

$$147 \quad \Phi_a = 1 - \frac{\rho^*}{\rho} \quad (2)$$

148 Where:

149 ρ^* is the batter density, in $\text{g}\cdot\text{cm}^{-3}$, calculated as detailed above;

150 ρ is the density of the continuous phase, in $\text{g}\cdot\text{cm}^{-3}$, calculated from the material density of each
151 individual component in relation to their proportion in the continuous phase:

$$152 \quad \rho = \frac{1}{\frac{\chi_i}{\rho_i} + \frac{\chi_j}{\rho_j} + \frac{\chi_k}{\rho_k} \dots} \quad (3)$$

153 Where

154 $\chi_{i,j,k\dots}$ are the mass fractions of the individual components ($i, j, k\dots$) of the continuous phase, and;

155 $\rho_{i,j,k\dots}$ is the material density of each individual component ($i, j, k\dots$), in $\text{g}\cdot\text{cm}^{-3}$.

156 2.3 Batter rheological properties

157 The rheological properties of the batters and their continuous phases were measured with a
158 controlled strain rheometer (ARES, TA Instruments, USA) equipped with a parallel plate geometry

159 (discs $\varnothing= 40$ mm) and a 1 mm gap at 25 °C. Approximately 2 g of the sample were carefully placed
160 with a spatula in the bottom plate, and the gap was narrowed at the minimal loading speed to avoid
161 damaging its structure. Paraffin oil was used to cover the geometry in order prevent sample drying
162 during the test. The measurements were performed thrice.

163 *2.3.1 Viscoelastic properties*

164 The mechanical spectra of the samples were determined by frequency sweeps (0.01 to 100
165 $\text{rad}\cdot\text{s}^{-1}$) within the viscoelastic linear domain (VEL) at a strain of 0.6%; this strain value was previously
166 determined on a different sample by performing a strain sweep from 0.1 to 1% at a frequency of 1
167 $\text{rad}\cdot\text{s}^{-1}$. From the mechanical spectra, the values of the storage modulus G' (Pa) and the phase shift
168 factor ($\tan \delta$) at 1 $\text{rad}\cdot\text{s}^{-1}$ were extracted and used to characterize the structure properties of the
169 sample at “rest”.

170 *2.3.2 Flow properties*

171 Following the determination of viscoelastic properties, the shear viscosity η (Pa·s) of the
172 samples was measured in the range of 0.01 - 600 s^{-1} and was fitted with the Ostwald-de Waele power
173 law model:

$$174 \quad \eta = K * \dot{\gamma}^{n-1} \quad (4)$$

175 The consistency index K ($\text{Pa}\cdot\text{s}^n$) and the flow index (n) of each sample was used to characterize flow
176 properties.

177 *2.4 Batter stability: free-drainage*

178 The destabilization kinetics of the batters was measured by following the apparition of liquid at the
179 bottom of a transparent non-graduated test tube ($\varnothing= 2.5$ cm, $h=21$ cm) during a free drainage
180 experience. The tube containing the freshly prepared sample was placed between a white halogen
181 light source (KL 2500 LCD, Schott, Germany), and a monochrome CMOS camera (EXO SVS-
182 250MGE, D-Vistek, Germany) equipped with a 35 mm f/1.4 lens (Myutron, Japan). The camera was
183 set to acquire images of the entire tube at $t=0$ and then, automatically, every 10 min during 12h. The

184 images were processed with the ImageJ freeware (<https://imagej.nih.gov>). A threshold was applied to
185 distinguish the liquid in the image from the remaining dry foam. This allowed the quantification of the
186 height of liquid (H_{liq}) in every image, which was normalized by the initial height of the foam (H_f). The
187 results were expressed as the evolution of the ratio of H_{liq} / H_f over time and were used to characterize
188 the stability properties of the samples. The measurement was performed twice.

189 *2.5 Measurement of pH*

190 The pH of the continuous phases was measured using a pH meter (905 Titrand, Metrohm,
191 Switzerland). The measurement was performed thrice.

192 *2.6 Cake density, water content and mechanical properties*

193 Following cooling and rest during 24 h, the cakes were weighed and their volume was measured
194 by the rapeseed displacement method (AACC, 2009a). Their density ρ^* ($\text{g}\cdot\text{cm}^{-3}$), was calculated as
195 their mass to volume ratio. The water content of the cakes (WC), expressed as a wet basis
196 percentage, was determined by placing 2 g of crumb taken from the center of the cake inside an oven
197 during 2 h at 135 °C as recommended by (AACC, 2009b). The apparent Young modulus E^* (kPa), of
198 the cake crumbs were characterized from the slope of the linear part of the stress –strain curve
199 obtained by performing a uniaxial compression test with an universal testing machine (Adamel
200 Lhomarghy, France) on cylindrical crumb samples ($\phi=40$ mm, $h=30$ mm) taken from the center of the
201 cake, and using the same test conditions described by Assad-Bustillos et al. (2019). All measurements
202 were performed thrice.

203 *2.7 Pea protein isolates and wheat flour characterization*

204 *2.7.1 Particle size distribution*

205 The particle size distribution (PSD) of pea protein isolates (PP) and wheat flour (WF) in dry
206 dispersion was determined with a light scattering instrument (Mastersizer 2000, Malvern
207 Instruments®, UK). The PSD in wet dispersion of PP and WF in distilled water (5% w/v) was also
208 determined within a time scale relevant to product processing ($t=15$ min). The refractive index was set
209 at 1.45 as estimated for proteins, and water was set at 1.33. The mean volume diameter ($d_{4,3}$) was
210 used to characterize the particle size of the samples. Measurements were performed thrice.

239 were made immediately after sealing. For cakes, a cubic crumb sample taken at the center of the cake
240 (5 cm^3) was used to obtain slices of $120 \mu\text{m}$ in thickness with a cryo-microtome (HM 500 OM, Microm,
241 France). The slices were placed on microscope slides and were left to rest for 3 days before the
242 observations. To make sure the observed fluorescent particles correspond to PP, isolates were
243 prepared in dry and wet 5% (w/v) dispersion, placed on a microscope slide, and covered with a classic
244 cover slip. All samples were observed using a x20 lens (Plan APO with numerical aperture of 0.5) and
245 a 5x digital zoom, when needed. For each sample, 3 to 5 images were taken for illustrative purposes.

246 *2.9 Statistical treatment*

247 One-way analyses of variance (ANOVA) were performed in order to investigate the differences in
248 properties between the different cakes, batters and continuous phases of different composition.
249 Student t-tests were performed to compare the solubility and the water holding capacity between two
250 samples (wheat flour vs pea protein isolates). For all statistical tests, a significance level of $\alpha=0.05$
251 was used. When significant effects were found, Tukey and Student-Newman-Keuls test were used for
252 post-hoc treatment. Since similar outcomes were obtained, only SNK results were reported to avoid
253 redundancy. All statistical analyses were performed with XLSTAT software (v.2016 18.06, Addinsoft,
254 USA).

255

256 **3. Results and discussion**

257 *3.1 Air volume fraction and impact on cake properties*

258 The addition of PP induced some changes in the cake crumb appearance, with more individual
259 visible gas cells at 40% substitution (Fig. 1a). The air volume fraction (Φ_a) of the egg-sugar foam is
260 significantly ($p<0.01$) reduced after wheat flour (WF) is added, from 0.75 to 0.71 (Fig. 1 b). This is
261 caused by the rupture of the air / water interfaces leading to bubble coalescence during the mixing in
262 the presence of starch, as previously reported by Bousquières, Michon, & Bonazzi, (2017), who
263 observed an augmentation of sponge-cake batter density when the mixing time after starch addition
264 was increased. Interestingly, when pea protein isolates (PP) are added in substitution of WF, Φ_a is
265 furtherly reduced, reaching a value of 0.61 for the highest concentration of PP. Such a value of Φ_a is

266 low enough ($\Phi_a < 0.64$) for the system to be considered a bubbly liquid, rather than a foam (Cantat et
267 al., 2013). These results are in line with those reported by Gómez, Doyagüe, & de la Hera, (2012),
268 where the addition of pea flour in sponge-cakes caused an increase in batter density, reflecting less air
269 incorporation. This decrease in aeration could be responsible for the increase in the cake density
270 reported by the same authors. Indeed, such relationship had already been reported by Bousquières et
271 al. (2017) in regular sponge-cake batters, which suggests the dependence of the cake density on the
272 air volume fraction is not exclusive of protein fortified systems. In our case, substituting WF by PP led
273 to a significant ($p < 0.001$) increase in the cake density (ρ^*), from 0.21 ± 0.01 to 0.35 ± 0.02 g·cm⁻³.
274 **Cake density values were directly correlated batter density (Fig 1 c, $r^2=0.92$), then to the batter air**
275 **volume fraction Φ_a ,** which confirmed the importance of the initial aeration in determining the final cake
276 properties. Moreover, the apparent Young modulus (E^*) of the cakes also increased, from 5 ± 1 to 17
277 ± 2 kPa (Fig. 1 c). Also, the values of E^* are highly correlated to the crumb density ρ^* with an exponent
278 close to 2 (Fig. 1 c, $r^2=0.9$), in accordance with Gibson & Ashby's scaling law for solid foams (1988),
279 suggesting there is little influence of the intrinsic cell wall in the final cake properties. In other words,
280 the texture changes caused by the substitution of WF by PP in the batter composition may be
281 imparted to the increase of density, rather than to a change of mechanical properties of the intrinsic
282 material, the sugar-starch-proteins matrix, itself. In baked products, high values of E^* are undesirable
283 since they are associated with the perceived firmness of the crumb (Lassoued, Delarue, Launay, &
284 Michon, 2008), and the latter may impact negatively the consumer's acceptability (Angioloni & Collar,
285 2009; Monnet et al., 2019). Finally, the water content (WC) of the cake crumb (not shown) increased
286 slightly with PP addition, but not significantly ($p > 0.05$), from $28 \pm 2\%$ in the reference cake (S0), to 30
287 $\pm 2\%$ for the highest level of fortification (S40).

288 *3.2 Rheological properties of the batter and their continuous phases.*

289 *3.2.1 Viscoelastic properties*

290 The mechanical spectra of the batters show a high frequency dependence with a predominance of
291 the storage over the loss modulus ($G' > G''$), which denotes an elastic dominant behavior; two cross-
292 over points can be observed at low and high frequencies (Fig. 2 a). This behavior reflects mainly the
293 non-covalent bonding of the molecules present in the sample (Steffe, 1996). In the particular case of

294 foams, the second cross-over point, corresponding to a relaxation time, is likely a consequence of the
295 foam aging mechanisms (mainly coalescence), that cause changes in the size of the bubbles (Cohen-
296 Addad et al., 1998). According to Gopal & Durian (2003), the relaxation time of a foam corresponds to
297 its “unjammings” point, where elasticity completely vanishes and the bubbles are no more closely
298 packed. The G' and G'' values of the wheat flour (WF) batter across the whole spectra are about 4
299 times larger when than those of the egg-sugar foam, and they are increased when the pea protein
300 isolates (PP) are added, by a factor of about 15 at the highest PP level (S40) (Table 2). Moreover, the
301 $\tan \delta$ value ($=G''/G'$) at $1 \text{ rad}\cdot\text{s}^{-1}$ decreases significantly from 0.6 in the reference formula (S0), to 0.3 in
302 the highest PP formula (S40), reflecting the enhancement of the elastic character of the batters with
303 the addition of PP.

304 A similar behavior is observed for the liquid continuous phases, but with G'' closer to G' (Fig. 2 b).
305 An additional minor difference is that the egg-sugar continuous phase displays spectra (not shown)
306 reflecting a dominant viscous behavior ($G''>G'$) (Table 2). Overall the G' and G'' values are lower for
307 the continuous phases than for batters, but they still rise by a factor of 5 when the maximum PP
308 concentration is reached (S40). Also, no significant differences were observed in the $\tan \delta$ values at 1
309 $\text{rad}\cdot\text{s}^{-1}$ between the different formulae, which varied between 0.8 and 0.9, meaning the continuous
310 phases show a more viscous character than the batters, which is coherent with the shift of the second
311 cross-over point in the spectra towards lower frequencies, close to $1 \text{ rad}\cdot\text{s}^{-1}$.

312

313 *3.2.2 Flow properties*

314 Regarding the shear flow properties, both batters and continuous phases showed a non-
315 Newtonian shear-thinning behavior (Fig. 3), as previously encountered by several authors in cake
316 batters of similar composition (Bousquières et al., 2017; Chesterton, de Abreu, Moggridge, Sadd, &
317 Wilson, 2013; Edoura-Gaena, Allais, Trystram, & Gros, 2007; Meza et al., 2011; Sanz, Salvador,
318 Vélez, Muñoz, & Fiszman, 2005). Although the flow curves are not fully regular, likely as a
319 consequence of inner structural changes, like breakdown of foam structure, they could be fitted by a
320 power law.

321 The shear viscosity (η) of both batters and continuous phases, increases significantly with the
322 addition of WF and PP, as reflected by their consistency index (K) values (Table 2). The consistency K
323 of WF batter (S0) is increased by a factor of 6 compared to (egg + sugar) batter and it then increases
324 by a factor of 8 for the highest concentration of PP (S40). Oppositely, the flow index (n) decreases
325 after the addition of WF (S0), meaning the shear-thinning character is accentuated, but remains
326 constant for the increasing PP formulae. For the continuous phases (Fig. 3 b), the initial increase of K
327 after the WF addition (S0) is much larger, by a factor of 60. Indeed, the K and n values of the egg-
328 sugar continuous phase are very close to those reported for liquid whole egg (K=0.16 and n=0.84 at
329 20°C), which is known for its low shear viscosity and for a high flow index that makes it nearly
330 Newtonian (Gosset, Rizvi, & Baker, 1983). In fact, adding sugar and flour to a liquid egg solution
331 increases its viscosity in order to increase its ability to retain air bubbles (Wilderjans et al., 2013).
332 Otherwise, the value of K of the continuous phases was multiplied by about 8 when the highest
333 concentration of PP was reached (S40); this order of magnitude is similar to the one observed for
334 batters. Surprisingly, the K values of the continuous phases were higher than those of batters,
335 excepting for the reference formula (S0) and the highest PP concentration (S40). These results are in
336 contradiction to what has been previously reported by authors who have compared foams to their
337 corresponding “slurries”, i.e. their continuous liquid phase, where the K values always were higher for
338 foams (Chesterton et al., 2013; Meza et al., 2011). However, these studies were carried out on batters
339 with traditional ingredients, which did not include proteins from any other source than wheat. In our
340 case, the presence of pea proteins could be responsible for the observed irregularities in flow
341 properties, as reflected by the slight slope changes at larger shear rates ($\approx 10^2 \text{ s}^{-1}$), which could be
342 attributed to the rearrangement of protein aggregates in the case of the continuous liquid phase. An in-
343 depth discussion on this effect is provided in section 3.6.

344 *3.3 Batter stability*

345 As seen from the kinetics of liquid apparition (Fig.4 a), the stability of the egg-sugar foam
346 increases significantly when WF is added to the egg-sugar foam. This is reflected by both, the
347 increase of the time of liquid apparition during the free drainage experience, and by the more

348 progressive and less abrupt destabilization curve of S0, as compared to egg-sugar. Also, the stability
349 of the batters containing PP is significantly augmented when increasing PP concentration. Similar to
350 what occurs in S0, destabilization of samples S10 and S20 occurs progressively. In contrast, despite
351 their larger values of liquid apparition time, the destabilization curve becomes abrupt again for the two
352 highest PP concentrations (S30 and S40). To explain this behavior, we hypothesize that the higher
353 viscosity of their continuous phases promotes the local accumulation of drained liquid within the
354 Plateau borders; this type of local drainage is not macroscopically visible and does not immediately
355 induce liquid apparition at the bottom of the tube: the liquid will continuously accumulate until its
356 volume is high enough to cause a disruption in the internal equilibrium forces, resulting in the abrupt
357 liberation of the accumulated liquid. Illustrations of both progressive and abrupt liquid apparition during
358 free drainage are shown in Figure 4 (b, c).

359 A possible explanation for the increase of stability could rely on the migration of pea proteins and
360 their adsorption at the water/air interface, like previously encountered by Turbin-Orger et al. (2015) in
361 the case of wheat flour dough liquor. However, adsorption at the interface requires proteins to be
362 soluble in the continuous phase (Raikos, Neacsu, Russell, & Duthie, 2014). In fact, vicilin and
363 convicilin, the proteins found in pulses, are highly insoluble in the native state around their isoelectric
364 point ($4 < \text{pH} < 6$), thus showing optimum solubility at acidic and alkaline pH values (Boye, Zare, &
365 Pletch, 2010; Gueguen, 1983). In our case, PP are rather under the form of aggregates due to the
366 extraction process, and the pH of the continuous phases was found to be 7.5 ± 0.3 and did not differ
367 significantly ($p > 0.05$) between samples of different composition. Since this value remains close to the
368 proteins isoelectric point, solubility may not be optimal, and thus the hypothesis of protein adsorption
369 at the water/air interface seems less likely.

370 On the other hand, the low solubility of PP could be responsible for the viscosity increase of the
371 continuous phase of the batters, since PP could act as filler like solid particles in a suspension.
372 Therefore, we hypothesize the viscosity increase of the continuous phase is the main mechanism that
373 drives the stabilization of batters. However, in order to validate this hypothesis, the characterization of
374 the solubility, particle size and water holding capacity of both WF and PP is needed.

375

376 *3.4 Pea protein isolates characterization*

377 From Table 3 it can be seen that the solubility (S) of wheat flour (WF) and pea protein isolates PP
378 does not differ significantly ($p>0.05$), being very low in both cases. In WF, this is not surprising, since
379 its main components are starch and gluten, both of which are insoluble in water at pH=7 (Buleon,
380 Colonna, Planchot, & Ball, 1998; Shewry, Tatham, Forde, Kreis, & Mifflin, 1986). The low solubility of
381 PP, indicating that they are in the form of aggregates, was also low as expected from the pH value of
382 the continuous phase and as discussed previously in section 3.3. Conversely, in terms of water
383 holding capacity (WHC) the difference between PP and WF is significant ($p<0.01$): PP absorbs about
384 2 times more water than WF. In terms of particle size distribution (PSD) in dry dispersion, WF showed
385 a bimodal distribution (see Appendix), with a coarse fraction (1st mode $\approx 105 \mu\text{m}$) and a fine one (2nd
386 mode $\approx 24 \mu\text{m}$), where the second fraction seems to correspond with starch granules, which diameter
387 is found within the range of 25-29 μm (Buleon et al., 1998). Conversely, for PP, the distribution was
388 monomodal (see Appendix). The bimodal distribution of WF illustrates its wide particle heterogeneity
389 as compared to PP, which is not well captured by its mean volume diameter ($d_{4,3}$). In any case, the
390 differences of particle diameter between WF and PP were highly significant ($p<0.001$), independently
391 on the dispersion medium used. However, the wet dispersion method seems more appropriate and
392 relevant to our processing. Then, it is possible to conclude that the particle diameter of PP determined
393 by wet dispersion, is significantly larger than WF.

394 The auto-fluorescence of commercial pea protein isolates at an excitation wavelength of 488 nm
395 has been reported previously by Nunes et al. (2006). However, it is unclear which components in the
396 isolates are responsible for the fluorescence. According to Monici (2005), many endogenous
397 fluorophores are present in plant cells such as proteins containing aromatic amino-acids, NADPH,
398 flavins, lipo-pigments, chlorophylls, flavonoids and other cell wall components, such as lignin, cutin,
399 etc. In Figure 5, we show confocal laser scanning microscopy (CLSM) images that captured the
400 fluorescence ($\lambda=488 \text{ nm}$) of PP in dry and wet dispersions. Clearly, PP show fluorescence emission
401 that is bright enough to allow their localization within the batters and the cakes without the need to
402 perform any staining that could damage or perturb the structure of the sample.

403

404 *3.5 Localization of pea protein isolates in batters and cakes*

405 Bubbles in the egg-sugar foam have a round shape and seem to be loosely packed (Fig.6 a). After
406 WF is added, large spherical bubbles ($\approx 50\mu\text{m}$) can still be seen, but there are less small bubbles, and,
407 consequently the area of water / air interface is decreased. These observations are in agreement with
408 the decrease of air volume fraction (Φ_a) from 0.75 to 0.71, reported in 3.1. After adding WF, small
409 starch granules appear finely dispersed in the continuous phase (Fig. 6 b). When PP is added, they
410 are preferably localized near the air/water interface of the bubbles, and, to a lesser extent, in the
411 continuous phase (Fig. 6 c). When the PP concentration is increased, the organization of the
412 continuous liquid phase changes and PP appear to form a more or less continuous network of
413 particles, in the liquid phase (Fig.6 d). PP particles may be linked by non-covalent interactions as
414 previously used and described in the case of colloidal systems that stabilize emulsions (Horozov &
415 Binks, 2006; Stancik, Kouhkan, & Fuller, 2004). Clearly, the formation of this type of network may be
416 linked to the observed changes in the rheological properties of the batters and in their continuous
417 phases, which were particularly significant at the highest level of fortification (S40).

418 The images of cake microstructure (Fig. 7) are coherent with the observations on batters. They help to
419 interpret the influence of batter structure on final cake properties. During baking, starch gelatinizes,
420 granules swell, and, as water evaporates, air cells expand. As a result, the continuous solid phase
421 dries and concentrates, and the swollen starch granules become the building bricks of a “brick and
422 mortar” type structure, which is held together by strands of coagulated egg protein (Bousquières et al.,
423 2017; Wilderjans et al., 2013). This type of structure is visible in the reference cake (S0) (Fig. 7 a).

424 However, when PP are added, this structure seems less continuous, or at least two co-continuous
425 phases of swollen starch granules and PP particles may coexist (Fig. 7 b, c, d). Like starch in WF, PP
426 swell and this generates a competition for water in the continuous phase. Therefore, starch granules
427 may only achieve partial gelatinization, as previously encountered by Hesso et al. (2015), which could
428 partially explain the disrupted appearance of the crumb microstructure (Fig. 7 b). Additionally, when
429 the PP concentration increases, and as previously observed in the batter, the PP particle network is
430 still present, and may have become covalently linked after baking, as observed for heated pea

431 proteins (Mession, Sok, Assifaoui, & Saurel, 2013). This PP network (Fig. 7 c) might limit the air
432 expansion process, since the air cells surrounded by the PP network appear smaller than those who
433 are not. Many of these hypotheses should be confirmed by complementary experiments. Overall, this
434 qualitative approach allowed us to formulate a coherent hypothesis about the organization of PP in
435 batter cake systems, to be discussed in the following section (3.6).

436 *3.6 Overall discussion*

437 By integrating all the obtained results, it is possible to plot the diagram presented in Figure 8. This
438 representation shows the evolution of the rheological properties of batters (B) normalized by those of
439 their continuous phases (CP), e.g. the ratios K/K_{CP} and G'/G'_{CP} . From this diagram, it can be seen that
440 both viscoelastic and flow properties evolve in a coherent manner, although K/K_{CP} is lower than 1 for
441 intermediate levels of PP concentration. This relation allows defining three regions that describe
442 rheological behavior as a function of PP concentration. In the first region (PP<10% WF substitution),
443 $K/K_{CP} >1$, which indicates that, at low levels of fortification, PP has not a major impact on batter
444 structure and will behave like WF. To interpret the second ($K/K_{CP} <1$, $10 \leq PP \leq 30\%$) and third regions
445 ($K/K_{CP} >1$, $PP >30\%$), the existence of a non-covalent network formed by PP during batter formation
446 may be inferred, as suggested in the preceding section (3.5). Indeed, since PP have a larger particle
447 diameter and higher water holding capacity than WF, they are likely responsible for the viscosity
448 increase in the continuous liquid phase. When the concentration is high enough (third region), PP may
449 form a non-covalent network, even in the presence of bubbles (Fig. 6 d), so both K and K_{CP} increase,
450 which explains $K/K_{CP} >1$. Conversely, in the second region, the non-covalent network is not formed,
451 because of steric hindrance caused by the presence of bubbles. For this reason, K does not increase
452 as much as in the third region. On the other hand, in the continuous liquid phase, PP may act like solid
453 particles in a liquid, which explains the more pronounced increase of K_{CP} . This would explain the
454 higher viscosity of the continuous phase and therefore $K/K_{CP} <1$. Recently, Assad-Bustillos (2019) has
455 shown by X-ray tomography experiments that, compared with a standard product, a sponge cake
456 prepared with the addition of 5% PP (substitution of 20% wheat flour) had slightly larger density (0.23
457 instead of 0.21 g.cm⁻³), close mean cell wall thickness values ($\approx 75\mu\text{m}$) but lower mean cell width,

458 about 250 μm instead of 300 μm . Considering the strong correlation between cake and batter
459 densities (Fig.1c), this result confirms that the increase of viscosity, due to PP addition, decreases the
460 amount of air incorporated in the batter, leading to smaller gas cells in the batter and then after baking.
461 Finally, to increase the Φ_a of batters to which PP have been incorporated, it could be suggested to
462 modify the mixing time or to use more powerful mixers in order to facilitate air incorporation as shown
463 by Chesterton et al. (2013), or to lower the pH of the batter by adding citric acid in order to increase PP
464 solubility (Zhang et al., 2012).

465

466 **CONCLUSIONS AND PERSPECTIVES**

467 We have confirmed that sponge cake properties are directly impacted by their density, or by the air
468 volume fraction of the batter (Φ_a). The addition of pea protein isolates (PP) in substitution of wheat
469 flour decreased Φ_a and increased the viscosity of the continuous phase of the batter, as it became
470 more difficult to incorporate air into the continuous phase. However, once PP incorporated, the high
471 viscosity of the batters conferred them a large stability, far beyond the time scales that are relevant for
472 processing. Indeed, depending on the concentration of PP, the rheological properties of the batters
473 suggest two different behaviors. It is first hypothesized that the viscosity increase mechanism by PP is
474 due to their “filler” effect, caused by a combination of their larger particle diameter and higher water
475 holding capacity as compared to wheat flour. Then, at higher levels of substitution, PP showed the
476 capacity to form a non-covalent network that could be also responsible for the observed rheological
477 behavior, and final sponge cake structure. Overall, our results suggest that the fortification, by pea
478 proteins, of sponge-cakes and other egg foam foods can be carried with limited negative
479 consequences on the cake properties, and some processing strategies can be envisioned to prevent
480 or correct those changes.

481

482 **Acknowledgements**

483 This work was supported by AlimaSSenS project (ANR-14-CE20-0003). The authors gratefully
484 acknowledge Hubert CHIRON for his kind assistance in the cake making process, Alain RIAUBLANC

485 for fruitful discussions, Bruno NOVALES for providing advice on CSLM image acquisition, and
486 Genevieve LLAMAS for helping with granulometry measurements.

487

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629

630 **List of figures**

631 Figure 1: Images of sponge cake cross section with increasing PP content from left to right (a)
632 (a) and variations of (b) the air volume fraction (Φ_a) of batter as a function of PP concentration (red
633 square (■ stands for the Φ_a of the egg-sugar (e+s) foam) and (c) of batter density (ρ^* , ●) and apparent
634 Young modulus (E^* , ■) as a function of cake density. Dotted lines reflect fitting via power law. All
635 measurements were performed thrice.

636 Figure 2: Typical mechanical spectra obtained at strain = 0.06% (◆, G' ; □, G'') of batters (a)
637 and their respective continuous liquid phase (b) at 0.6% strain, for different composition and PP
638 concentration: egg-sugar foam (red); S0 (light gray); S20 (medium gray); S40 (black), from bottom to
639 top. All measurements were performed thrice. For the sake of visibility, not all samples are shown.

640 Figure 3: Typical flow curves representing the variations of shear viscosity of batters (a) and
641 their respective continuous phase (b) for different PP concentration: egg-sugar foam (red dotted line
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643 using K and n values reported in Table 2. All measurements were performed thrice. For the sake of
644 visibility, curves are not shown for all samples.

645 Figure 4. (A) Destabilization kinetics of the batter samples represented by the evolution of the
646 normalized liquid fraction over time for egg-sugar foam (e+s, ●●), and for different PP concentration
647 (S0, ■), (S10, ■), (S20, ■), (S30, ■), (S40, ■), from left to right. Measurements were performed
648 twice Example of progressive S0 (b) vs. abrupt S40 (c) liquid apparition.

649 Figure 5. Typical CLSM images (λ_{ex} = 488 nm) showing the autofluorescence of PP (colored
650 in red) in dry (a) and 5% w/v wet (b) dispersions. Three to five images were taken for each sample.

651 Figure 6. Typical CLSM images (λ_{ex} = 488 nm) showing the following batter samples: (a) egg-
652 sugar foam; (b) reference batter (S0); (c) S20; and (d) S40. Particles colored in red represent PP
653 isolates. Three to five images were taken for each sample.

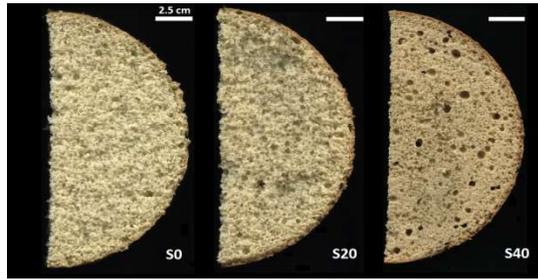
654 Figure 7. Typical CLSM images (λ_{ex} = 488 nm) showing the following sponge-cake samples:
655 (a) S0; (b) S20; (c, d) S40 at two different scales. Three to five images were taken for each sample.

656 Figure 8. Variations of the batter rheological properties normalized by their values for the
657 continuous liquid phase (elastic modulus, ◆; consistency ●) as a function of pea protein isolate
658 concentration. Red dotted lines merely indicate some characteristic values. Curves linking
659 experimental points result from polynomial linear fitting and only illustrate the trend of variations.

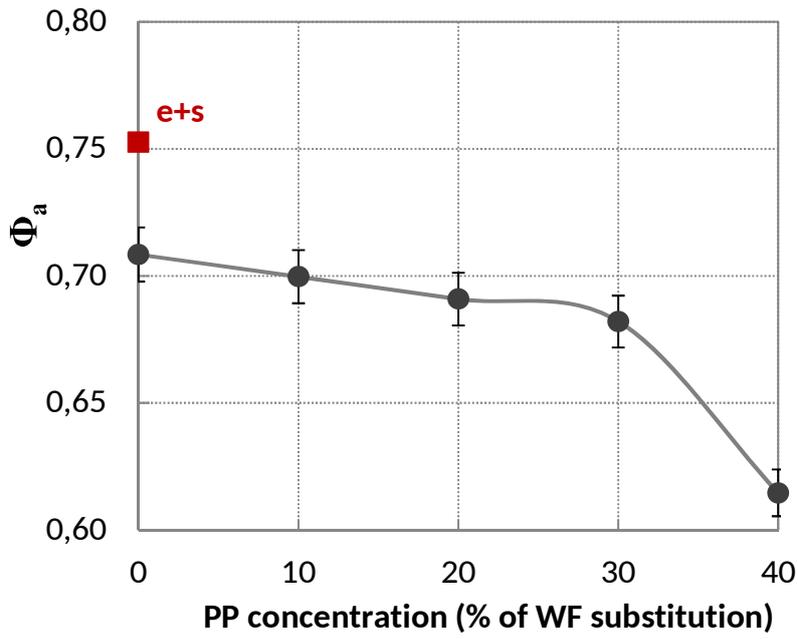
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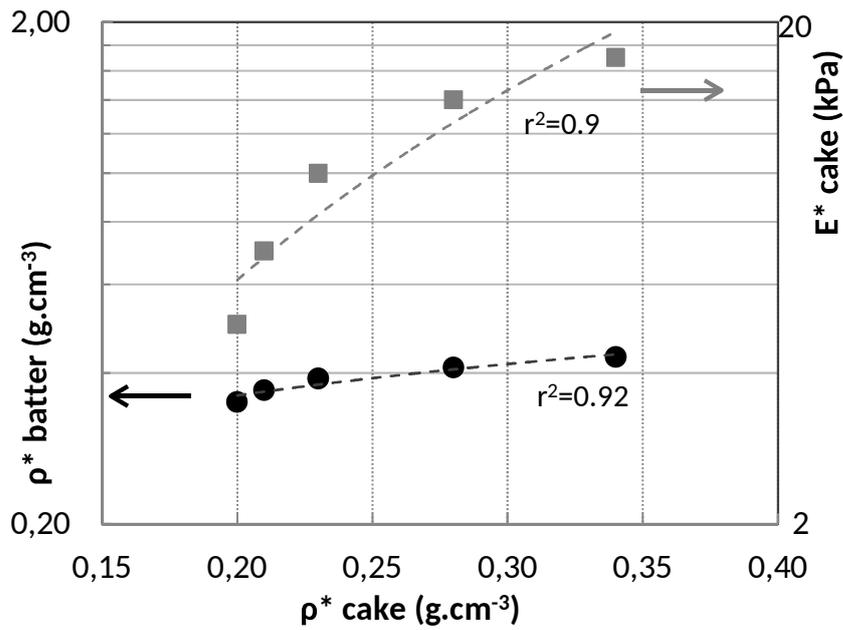
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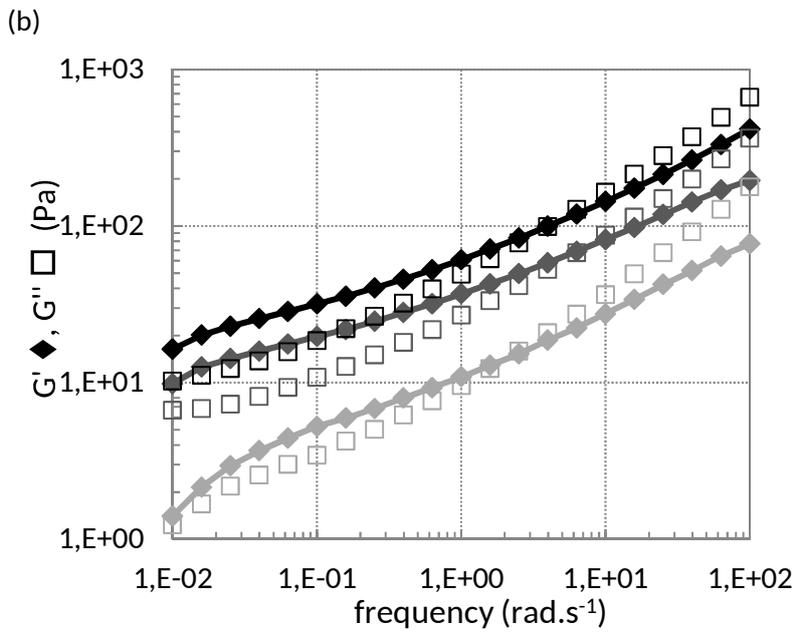
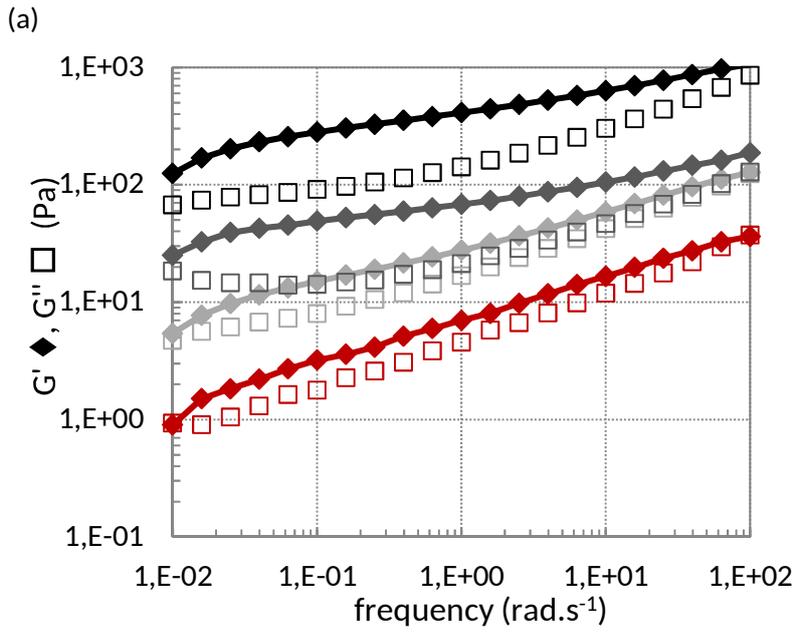


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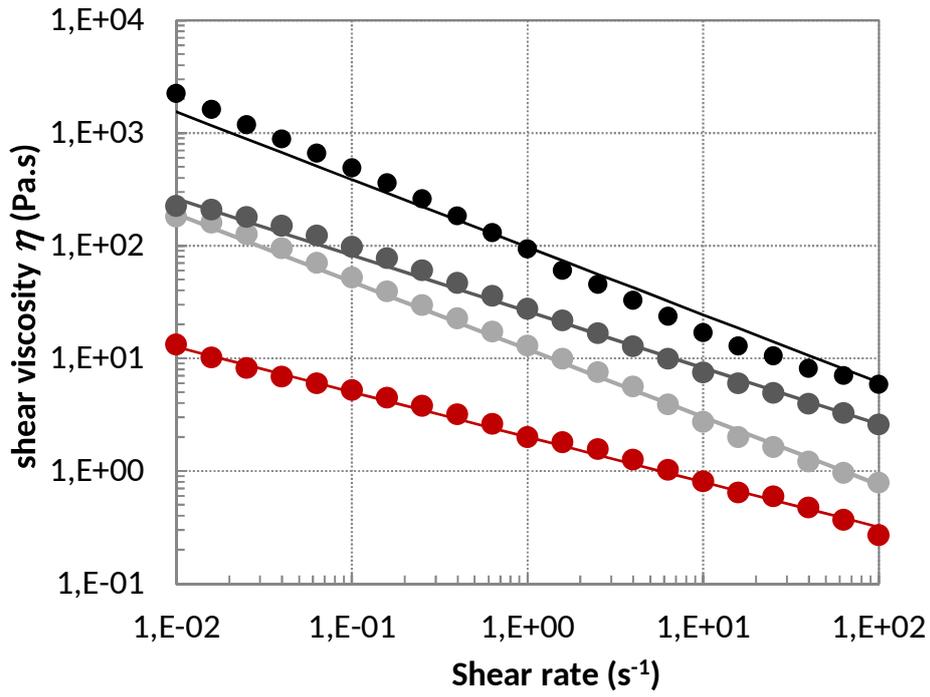


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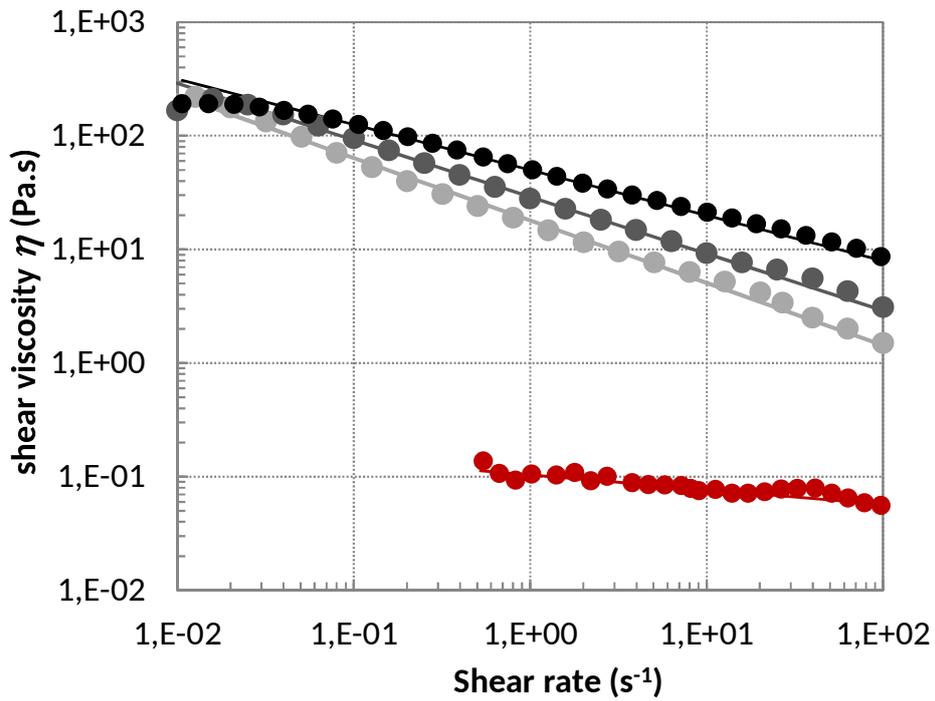


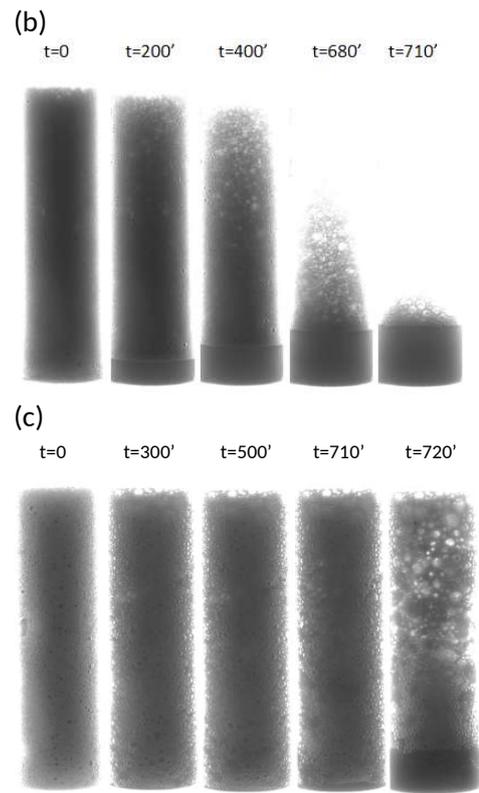
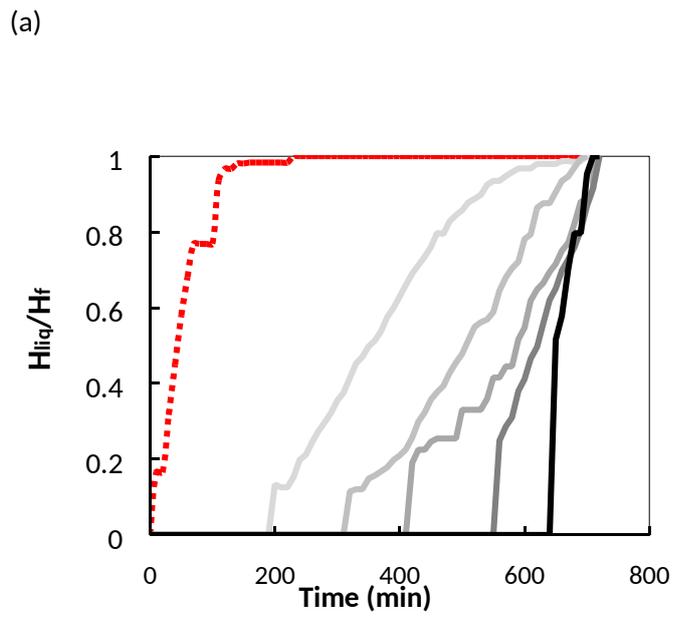


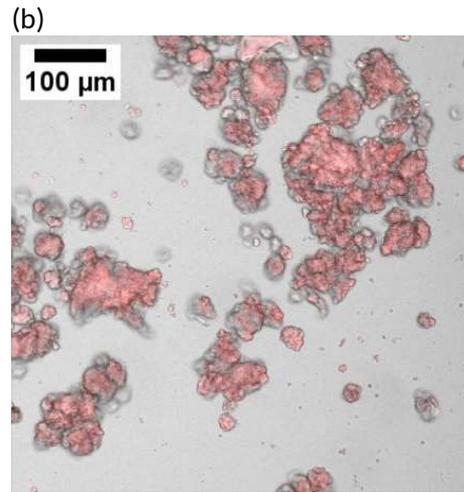
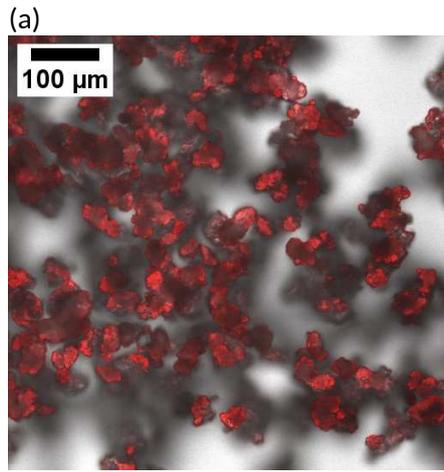
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(b)



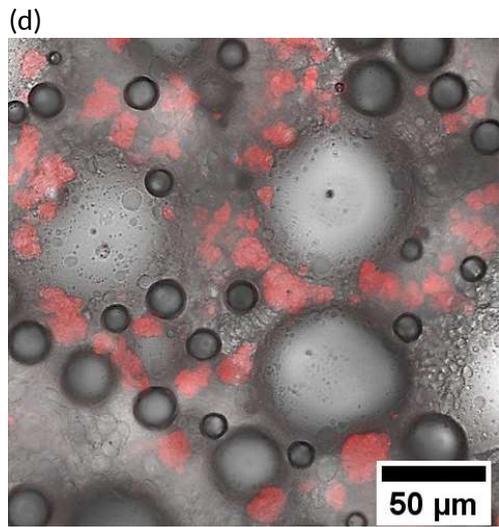
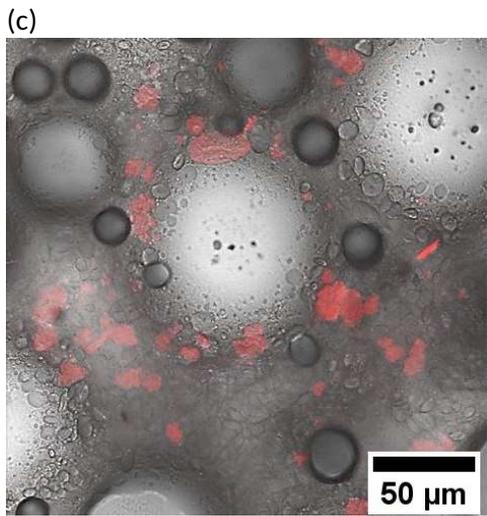
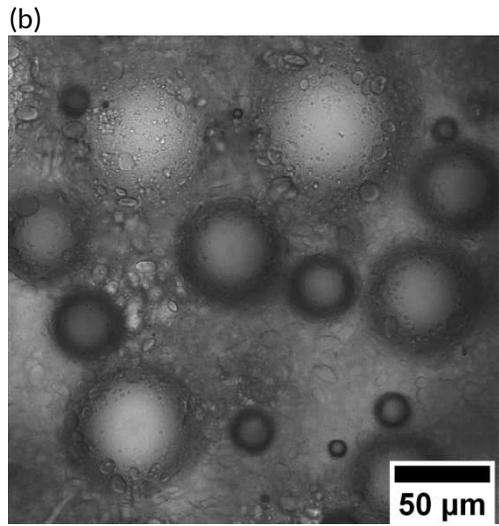
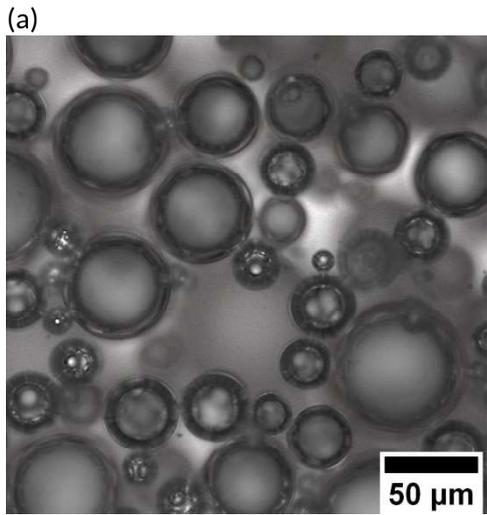


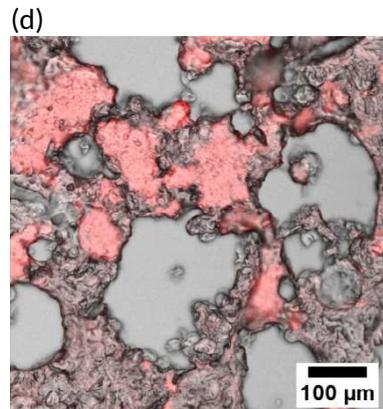
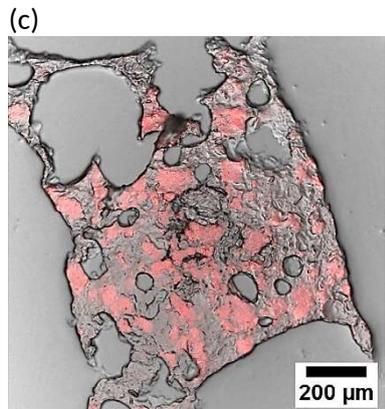
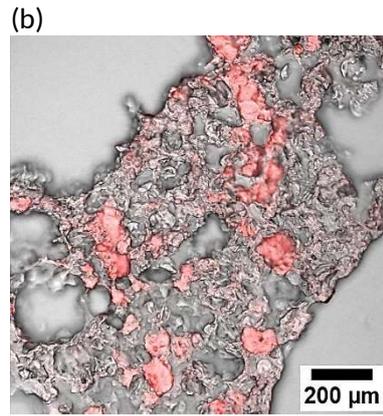
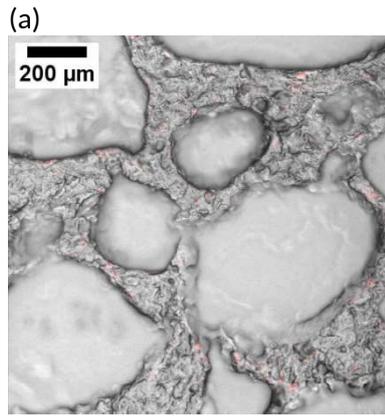


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Fig.5

Rheology Batter Pea Proteins





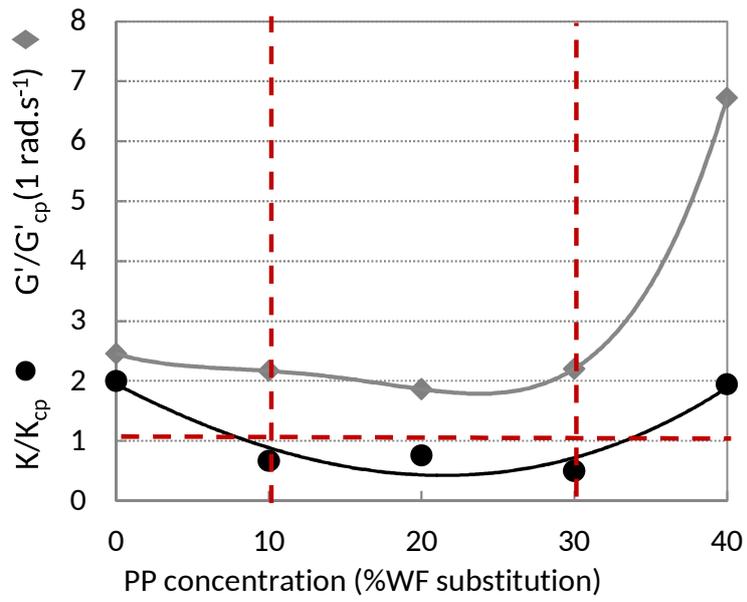


Table 1. Detailed formulae of the studied sponge-cake samples.

Batter composition (% w/w)	Sample identification				
	S0	S10	S20	S30	S40
Wheat flour (WF)	25	22.5	20	17.5	15
Sugar (sucrose)	25	25	25	25	25
Egg	50	50	50	50	50
Pea protein isolate (PP)	0	2.5	5	7.5	10
% of WF substituted by PP	0	10	20	30	40

Table 2. Summary of the rheological properties of the studied sponge-cake batters (B) and their continuous phases (CP). **K** and **n** values were extracted from Ostwald De Waele model (eq. 1).

Sample	G' at 1 rad·s ⁻¹ (Pa)		tan δ at 1 rad·s ⁻¹		K (Pa·s ⁿ)		n	
	B	CP	B	CP	B	CP	B	CP
egg-sugar	7 ± 1 ^f	0.005 ± 0.002 ^a	0.7 ± 0.1 ^a	4.7 ± 0.1 ^a	2 ± 1 ^d	0.1 ± 0.05 ^f	0.6 ± 0.1 ^a	0.8 ± 0.1 ^a
S0	27 ± 4 ^e	11 ± 2 ^e	0.6 ± 0.1 ^a	0.8 ± 0.1 ^b	12 ± 2 ^c	18 ± 1 ^e	0.4 ± 0.1 ^b	0.5 ± 0.1 ^b
S10	39 ± 5 ^d	18 ± 3 ^d	0.4 ± 0.2 ^{ab}	0.9 ± 0.1 ^b	12 ± 2 ^c	14 ± 3 ^d	0.3 ± 0.1 ^b	0.5 ± 0.1 ^b
S20	67 ± 6 ^c	36 ± 5 ^c	0.3 ± 0.1 ^b	0.7 ± 0.1 ^b	22 ± 3 ^b	29 ± 4 ^c	0.5 ± 0.2 ^{ab}	0.5 ± 0.1 ^b
S30	141 ± 8 ^b	64 ± 4 ^b	0.4 ± 0.1 ^{ab}	0.7 ± 0.1 ^b	19 ± 3 ^b	38 ± 5 ^b	0.3 ± 0.1 ^b	0.5 ± 0.1 ^b
S40	410 ± 11 ^a	61 ± 6 ^b	0.3 ± 0.2 ^b	0.8 ± 0.1 ^b	97 ± 9 ^a	50 ± 5 ^a	0.4 ± 0.2 ^b	0.6 ± 0.2 ^b

Means within the same column labelled with the same letter are not significantly different ($p < 0.01$) (Student Newman-Keuls test). Measurements were performed thrice.

Table 3. Comparison between wheat flour (WF) and pea protein isolate (PP) properties.

Sample	WHC (g water/ g dry matter)	Solubility (%) pH=7	d _{4,3} (µm)	
			dry	wet 5% (w/v)
PP	2.6 ± 0.1 ^a	5.3 ± 0.5 ^a	79 ± 1 ^a	77 ± 3 ^a
WF	1.1 ± 0.1 ^b	6.1 ± 0.3 ^a	64 ± 1 ^b	45 ± 6 ^b

Measurements of WHC and solubility were performed five times, whereas particle size distributions were measured thrice.

**Rheological and microstructural characterization of batters and sponge cakes fortified
with pea proteins**

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Declarations of interest: none

Author Contribution Statement for **FOODHYD_2019_1235_R1** “ Rheological and microstructural characterization of batters and sponge cakes fortified with pea proteins” by Assad-Bustillos et al.

Mélissa Assad Bustillos : Conceptualization, Experimentation, Data treatment, Interpretation, Writing Original Draft

Camille Jonchère : Experimentation in Rheology, Validation, Reviewing Draft

Catherine Garnier : Validation, Interpretation, Reviewing Draft

Anne-Laure Réguerre : Experimentation in Imaging, Validation, Reviewing Draft

Guy Della Valle : Conceptualization, Data treatment, Interpretation, Validation, Writing, Reviewing, Editing, Supervision

APPENDIX

Particle size distributions of pea protein isolates (■) and wheat flour (●) on dry (A) and wet 5%w/v (B) dispersions.

