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# 1 A meta-analysis of pathogen reduction data in anaerobic digestion

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# 26 Highlights

- Artificially spiking of pathogens leads to removal overestimation
- Current pathogen indicators accurately represent their respective microbial groups
- Temperature, pH, and batch duration affect pathogen reduction
- Spore-forming bacteria, including *Clostridium perfringens*, are not affected by AD
- Thermophilic AD coupled with heat post-treatment fulfills most legislation limits

# 3233 Abstract

- 34 Anaerobic digestion (AD)-derived digestate can be used as an organic fertilizer or for soil
- 35 amendment. However, its utilization for resource recovery raises valid biosafety concerns.
- 36 Despite extensive research on the capacity of AD for pathogen reduction, the variability in results
- 37 poses challenges for drawing definitive conclusions. To address this lack of unification, results
- 38 from 121 scientific articles were compiled, and a comprehensive meta-analysis was conducted.
- 39 Findings indicate that artificial pathogen spiking leads to performance overestimation. Current
- 40 most common indicators represent accurately their respective microbial groups. *Clostridiaceae*
- 41 are barely affected by AD and may be favored by some pre-treatment technologies. The impact
- 42 of operational parameters and the coupling of pre- and post-treatments with AD on pathogen
- 43 reduction was also investigated. While an optimal batch duration was identified, the hydraulic
- 44 retention time in (semi)continuous systems did not affect the overall pathogen reduction. Heat-
- based post-treatments coupled with thermophilic AD resulted in the highest pathogen reductions,
   fulfilling legislations. Unprecedented statistical analyses allowed categorizing quantitatively key

- 47 parameters. Results confirmed that temperature is the most relevant parameter. Thermophilic
- 48 conditions resulted in the highest pathogen reductions, while psychrophilic and mesophilic
- 49 temperatures showed similar performances. The impact of pH on pathogen removal was
- 50 confirmed, with acidic and basic values enhancing pathogen reductions. More research
- 51 considering all AD products within a multicriteria optimization approach (e.g., pathogen
- 52 reduction, biogas production, and digestate quality) is needed to determine optimal conditions
- 53 considering all aspects. This study provides novel and relevant conclusions for AD at research
- 54 and industrial scale, drawing several R&D perspectives.
- 55

#### 56 Word count

57 9,180 words

# 5859 Keywords

- 60 Digestate, pathogen inactivation, fermentation, resource recovery, hygienization, pasteurization,
- 61 Escherichia coli, Enterococcus sp., Clostridium perfringens, virus
- 62

### 63 Abbreviations and symbols

- 64 ABP Animal By-Product
- 65 AD Anaerobic digestion
- 66 AnSBR Anaerobic sequencing batch reactor
- 67 ANOVA Analysis of variance
- 68 CFU Colony forming unit
- 69 DNA Deoxyribonucleic acid
- 70 EU European Union
- 71 FBR Fixed bed reactor
- 72 HRT Hydraulic retention time
- 73 HSD Post-hoc Tukey's Honesty Significant Difference test
- 74 IQR Interquartile range
- 75 LR Log reduction
- 76 MPN Most probable number
- 77 n Number of independent datapoints
- 78 N Number of articles
- 79  $N_0$  Number of colony forming units before AD, pre- or post-treatment
- 80 N<sub>1</sub> Number of colony forming units after AD, pre- or post-treatment
- 81 OLR Organic loading rate
- 82 PABFR Panelled anaerobic baffle-cum-filter reactor
- 83 PFR Plug flow reactor
- 84 PLS Partial least squares
- 85 qPCR Quantitative polymerase chain reaction
- 86 RNA Ribonucleic acid
- 87 STR Stirred tank reactor
- 88 TPAD Temperature phased AD
- 89 TS Total solids
- 90 US EPA United States Environmental Protection Agency
- 91 VBNC Viable but non-culturable cell
- 92 VFA Volatile fatty acid
- 93 VS Volatile solids

- 94 WoS Web of Science
- 95 96

#### 1. Introduction

97 The need to implement a more sustainable development of society calls for a shift from the

98 current linear economy to a more circular system. This approach prioritizes the recovery and

- 99 recycling of resources from waste, ensuring their reintroduction into the production-consumption
- 100 loop. To facilitate this transition, extensive research efforts have been dedicated to the
- advancement and implementation of environmentally friendly and cost-effective waste
- 102 valorization technologies.
- 103 Anaerobic digestion (AD) is among the most widely applied technologies for the valorization of
- 104 organic waste streams. AD is a well-established biological process with a triple role: (i)
- 105 production of biomethane (used as an energy source), (ii) waste treatment and stabilization, and
- 106 (iii) generation of nutrient-rich digestate [1,2]. AD has become a primary technology for
- 107 generating renewable energy and facilitating resource recovery, with over 182,000 digesters
- 108 operating worldwide at various scales [3]. Thanks to supporting policies, the number of AD
- 109 plants has increased significantly in the last decades. In Europe, the power generation capacity
- 110 from biogas reached 209 TWh in 2018, representing 7.4% of the total net electricity generated.
- 111 Recently, the European Commission presented the ambitious REPowerEU action plan, which
- anticipates a twelve-fold increase in AD capacity by 2030 [4].
- 113 This expansion of the AD capacity will require the effective management of larger quantities of
- 114 digestate. Currently, around 290-300 million tons/year are produced worldwide, a value that
- 115 could be increased twelve-fold by 2030 [5]. Digestate usually contains high concentrations of
- 116 easily available nutrients, slowly biodegradable organic matter, and trace elements, making it a
- 117 valuable resource applicable as organic fertilizer and for soil amendment [6]. The benefits of
- applying digestate as fertilizer are significant compared to commonly used raw organic wastes
- 119 (e.g., manure). Digestate presents notable advantages when compared to raw substrates,
- displaying lower pathogen concentrations, enhancing nutrient availability for plant absorption,
- and reducing considerably the risk of water and soil pollution due to its slow-release nature [5].
  The use of digestate as soil amendment holds the potential to replace 5-7% of the current total
- 123 inorganic fertilizer usage [7]. Despite the notable advantages associated with digestate utilization,
- 124 its application for resource recovery purposes raises reasonable concerns. The persistence of
- 125 pathogenic microorganisms, commonly found in AD feedstocks and thus potentially in the
- digestate after the AD process, is one of them. If not managed properly, the agricultural usage of
- 127 digestate could lead to the dissemination of pathogens, posing serious threats to animal and
- 128 human health [8,9].
- 129 To effectively prevent and mitigate the risks associated with the use of digestate in agriculture, it
- 130 is imperative to develop and implement meticulous management and risk assessment protocols
- 131 throughout the entire AD lifecycle. These practices, regulated at a national and international
- 132 level, play a pivotal role in safeguarding both the environment and public health. For example,
- 133 the European Union (EU) has taken a proactive approach by providing comprehensive guidelines
- (i.e., EC1069/2009 and EC142/2011) [10,11], which establish standard practices and protocols
- 135 for operating AD plants. These guidelines also incorporate sampling collection protocols and
- 136 microbiological standards (i.e., maximum allowed concentrations of pathogen indicators),
- ensuring that the digestate is suitable for agricultural use. Fulfilling these standards for targeted
- microorganisms is therefore crucial, as their presence could limit digestate application. Certainly,
- 139 other relevant legislations exist worldwide, such as those in China [12] or the United States [13].

140 Despite being more or less restrictive and allowing different digestate applications, they all share

141 the same objective: ensuring the safe utilization of recovered resources from digestate.

142 AD can effectively reduce the concentration of pathogens present in a wide range of feedstocks,

143 such as sewage sludge, manure or biowaste [14–17]. However, the pathogen reduction capacity

144 of AD (commonly referred to as hygienization) can be insufficient, resulting in concentrations of

- 145 microorganisms in the digestate exceeding biosafety levels. To enhance the microorganism
- 146 inactivation during AD, it is crucial to understand and optimize the factors influencing the
- 147 pathogen reduction performance. Different factors affecting pathogen removal have been
- 148 identified, including the type of pathogens present, the byproducts formed during the process 149 (e.g., volatile organic acids (VFAs) or ammonia nitrogen), and different operational parameters
- 150 (e.g., temperature or retention time). Despite previous efforts done to elucidate optimal pathogen
- 151 reduction conditions, the challenge remains, mostly due to the limited scope of many
- 152 experimental studies, which assess the inactivation of specific pathogens under specific
- 153 operational conditions, thereby resulting in data that cannot be extrapolated and even in
- 154 contradictory results.

To address this issue, it is essential to adopt a more comprehensive and holistic approach, for 155

- 156 example, by conducting a meta-analysis of data collected from existing literature. Only two
- 157 recent studies have undertaken such an approach, unifying and synthesizing existing data to
- 158 understand pathogen inactivation during AD. The first study presented a descriptive review,
- 159 limiting its statistical analyses to few factors [18]. It highlighted the considerable impact of
- 160 pathogen type, temperature, and reactor feeding mode on pathogen inactivation. Specifically,
- 161 thermophilic temperatures and batch mode appeared to be optimal conditions for achieving high 162 removal efficiencies. While this study provided valuable insights, it left multiple aspects
- 163 unexplored. For instance, the impact of the type of reactor lacked a comprehensive assessment,
- 164 and critical operational conditions, including pH and organic loading rate (OLR), were not
- 165 thoroughly examined. The study did not assess either the effect of coupling different pre- and
- 166 post-treatments to AD. The second study conducted a more extensive statistical analysis to
- 167 elucidate and quantify how AD operational conditions influence the inactivation of major
- 168 foodborne indicator-pathogens [17]. This meta-analysis demonstrated the effectiveness of AD for
- 169 efficiently reducing some pathogenic species, such as fecal coliforms, Escherichia coli, or
- 170 Salmonella spp. Noteworthy findings include the positive impacts of temperature, high
- 171 intermediate VFA concentrations, and pre-treatments on the pathogen reduction performance.
- 172 However, this study has significant limitations. Namely, it focused solely on specific pathogens 173
- (i.e., Gram-negative microorganisms), and it analyzed each pathogen individually. The diverse 174 behaviors exhibited by different groups of microorganisms during AD (e.g., Gram-negative
- 175
- bacteria, Gram-positive bacteria, Gram-positive spore-forming bacteria, viruses, or parasites)
- 176 jeopardize the extrapolation of these results from one group to others.
- 177 The present study aims at consolidating and analyzing the available experimental data, providing
- 178 a global view of the capacity of AD for pathogen removal. Specifically, the impact of different
- 179 operational conditions and reactor designs/types on the pathogen reduction performance was 180 evaluated. Opposed to previous studies, a wide range of reactors, substrates, and operational
- 181 conditions were considered, and all relevant microorganisms were included. For the first time, a
- 182 quantitative analysis of the data was conducted to identify the most influencing parameters for
- 183 pathogen removal. Additionally, an integrated assessment of the AD treatment line was
- 184 performed by investigating the impact of common pre-treatment and post-treatment processes
- 185 (either alone or coupled with AD) on pathogen reduction, aiming at identifying conditions
- 186 leading to the highest pathogen removal. Lastly, the resulting database was compared against two

- 187 relevant pathogen-related regulations to assess compliance with regulatory requirements.
- 188 Considering these diverse factors collectively allowed gaining deeper insights into the overall
- 189 effectiveness of AD for pathogen inactivation, and optimizing its pathogen reduction
- 190 performance. Increasing the current understanding of the pathogen reduction process is crucial
- 191 for developing more efficient waste management processes allowing safe resource recovery.
- 192 Ultimately, this research has the potential to contribute significantly to guaranteeing the
- 193 production of safe and high-quality digestate, crucial to boosting AD implementation.
- 194 195

#### 2. Material and methods

#### 2.1. Article search strategy and selection process

197 A comprehensive literature search was conducted from inception up to May 2023 using the Web 198 of Science (WoS) database. A set of specific keywords was chosen to identify the articles 199 focusing on the pathogen reduction capacity of AD. The Boolean string utilized was as follows: 200 ("Anaerobic \*digestion" OR biogas) AND (coliform\* OR Enterococc\* OR faecalis OR 201 perfringens OR botulinum OR Citrobacter OR Enterobacter\* OR Escherichia OR coli OR 202 Klebsiella OR Salmonella OR Shigella OR Listeria OR Campylobacter OR Parvovirus OR 203 Ascaris OR helminth OR egg\* OR pathogen\* OR \*virus\*) AND (temperature OR pH OR "retention time" OR ammoni\* OR volatile fatty acid\* OR VFA\* OR "organic load\* rate" OR 204 biochar OR "conductive material\*") AND (reduction OR removal OR inactivation OR decrease 205 OR hygieni\*ation OR sanitation OR "viable but \*culturable\*" OR VBNC\*) AND (sludge OR 206 207 manure OR slurry OR \*waste OR slaughterhouse OR "animal by-product\*" OR food). The 208 asterisk (\*) is used to represent any sequence of characters. References identified by previous

- 209 meta-analyses/reviews were also reviewed [14,15,17,18].
- The eligibility criteria were as follows: (i) peer-reviewed articles published in English and
- 211 available in full text, (ii) original studies evaluating pathogen reduction during AD, (iii) original
- studies evaluating pathogen reduction including different pre- and/or post-treatments and (iv) availability of pathogen reduction data or data allowing its calculation. Data from book chapters,
- systematic reviews, meta-analyses, conference papers, and letters to the editor were excluded.
- Further exclusion criteria included: (i) absence of key inputs or outputs, (ii) reported units
- incompatible with pathogen reduction calculation, or (iii) inconsistencies in the provided data
- 217 (e.g., unreasonable methane yields or unreasonable volatile solids (VS) reduction values).
- 218 219

# 2.2. Data collection

Data were extracted from tables or text in articles. When data were not explicitly provided, values were extracted from graphs and/or manually calculated. Extracted data were organized in a spreadsheet using Microsoft Excel. Data encompassed crucial information regarding individual experiments, such as reactor type, feeding mode, reactor inoculum, feedstock, reactor operational

- conditions, and primary process outcomes such as pathogen reduction or methane yield.
- 225 Categories were defined for different factors, including reactor types, feedstocks (including
- 226 mixtures indicated as "co-digestion"), and microorganisms studied. The full database and a list of
- 227 the categories considered can be found in Supplementary Material (Table S1). The database was
- also deposited in the research data repository Mendeley Data [19]. Assumptions were applied for
- 229 data standardization (see Appendix A).
- 230 Pathogen reduction was quantified in terms of Log reduction (LR), expressed as  $Log_{10}$  (N<sub>0</sub> / N<sub>1</sub>),
- 231 where N<sub>0</sub> represents the initial number of colony forming units (CFUs) or most probable number
- 232 (MPN) of microorganisms before AD, pre- or post-treatment and N1 represents the number of
- 233 CFUs or MPN after AD, pre- or post-treatment.

Data obtained using molecular techniques, such as quantitative polymerase chain reaction (qPCR), were also included in the database [19] and are briefly discussed in Section 4. However,

they were excluded from the meta-analysis due to the limited number of data points available.

- 235 236
- 237 238

#### 2.3. Statistical analysis and data representation

239 Statistical analyses were performed using R Statistical Software (v4.3.2; R Core Team, 2023). To assess significant differences among groups with normally distributed data and homogeneous 240 241 variances, analysis of variance (ANOVA) was employed. Post-hoc Tukey's Honest Significant 242 Difference (HSD) tests were then applied for pairwise comparisons (differences between groups 243 are indicated as letters on the top of the boxplots). The validity of the ANOVA assumptions was 244 evaluated through normality analysis using Shapiro-Wilk tests and homogeneity of variance 245 using Bartlett's tests. For cases involving non-normally distributed data, non-parametric tests 246 were employed. Specifically, the Kruskal-Wallis test was used, followed by Dunn's tests for 247 pairwise comparisons. A significance threshold of 95% (p = 0.05) was applied for all tests. 248 The provided boxplots display data points corresponding to the lowest datum within 1.5 times the interquartile range (IQR) of the first quartile, the first quartile itself, the median, the third quartile, 249 250 and the highest datum within 1.5 times the IQR of the third quartile. Values falling below the 251 lowest datum or exceeding the highest datum within the boxplots were identified as outliers. Partial least squares regression (PLS) analyses were performed to elucidate quantitatively which 252 parameters were affecting the pathogen reduction performances the most. To do so, the LR was 253 254 used as the output variable and the microorganism classification, temperature, pH, and either the 255 hydraulic retention time (HRT; for semi(continuous) reactors) or the batch duration (for batch 256 reactors) as input variables. The PLS was performed in R 4.3.2, using the packages pls (function 257 plsr) and ggplot2 [21,22].

258 259

260

# 3. Results and discussion

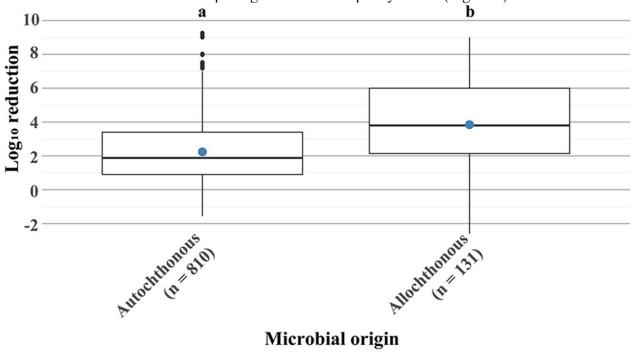
# 3.1. Literature search and screening

261 In this meta-analysis, a rigorous literature search to identify relevant studies concerning the 262 pathogen reduction capacity of AD was performed, including articles assessing the impact of 263 different pre- and post-treatment technologies. Five hundred fifty entries using the previously 264 described Boolean string were retrieved. The screening process, guided by predefined inclusion 265 and exclusion criteria (see Section 2.1), was systematically applied. Initial screening of titles and 266 abstracts resulted in 214 entries eligible for further evaluation. Full-text screening identified 121 267 articles (N) meeting the inclusion criteria, subsequently included in the meta-analysis. A complete list of the 121 articles meeting the inclusion criteria and another list including the 92 268 269 articles excluded after full-text review (along with the reasons for exclusions) can be found in 270 Supplementary material (Table S1 and Table S2) and in the Mendeley Data repository [19]. 271 A total of 2,051 independent datapoints (n) were extracted from the 121 articles. Of these, 1,526 272 datapoints were dedicated to investigating pathogen reduction during AD, either alone or coupled 273 to pre- or post-treatment processes (Table S1). The remaining 525 datapoints corresponded to 274 data specifically focused on pathogen reduction during pre-treatment (n = 350) or post-treatment 275 (n = 175) processes alone (Table S1). 276

277 3.2. <u>Data overview</u>

To ensure that the resulting dataset was unbiased and that the results could be extrapolated to general AD processes, a detailed analysis of the sources of the data was performed. The database encompassed research findings from diverse regions across all five continents (Figure S1), with 281 notable emphasis on America (N = 48) and Europe (N = 41). Among these, the USA (N = 24), 282 Spain (N = 15), and Canada (N = 11) emerged prominently. Noteworthy contributions also come 283 from China (N = 9) and Japan (N = 8). This global distribution provides a diverse perspective, enhancing the robustness and global applicability of the presented findings. 284 285 Regarding publication years, data reveals a recent surge in studies (Figure S2). From 1997 to 286 2005, only an average of 2.7 studies per year focused on pathogen reduction during AD. Between 2006 and 2015, this average increased to 4.9 studies per year, reaching its peak after 2016 with an 287 288 average of 6.0 studies per year. This highlights the escalating interest within the scientific 289 community concerning AD and its associated pathogen dissemination risks. 290 An evident disparity was observed in the scale of the studies, with a substantial majority 291 conducted at laboratory scale (74.4%), followed by pilot-scale studies (17.3%) and industrial-292 scale studies (11.6%) (Figure S3A). Concerning AD feedstocks, sewage sludge (50.4%) and 293 livestock waste & effluents (35.5%) were the most prevalent (Figure S3B). Mono-digestion 294 studies were predominant (88.4%), followed by agri/biowaste co-digestion (9.0%) (Figure S3C). 295 296 3.3. Impact of artificial spiking on pathogen reduction during AD 297 The first result of this analysis concerns a crucial aspect regarding the methodology employed in 298 the gathered studies. While most articles in the database assessed the reduction of autochthonous 299 pathogens, several articles assessed this reduction after artificially spiking pathogens into the 300 substrates. This raised a question concerning the potential impact of spiking pathogens artificially 301 into the substrates on the resulting pathogen reduction performances. To answer it, the database 302 was divided into two separate experimental groups, one comprising experiments in which the 303 naturally occurring autochthonous pathogens in the AD feedstock were assessed, and another one 304 comprising experiments where pathogens had been introduced in the feedstock before AD. When

305 comparing the performance of these two groups, it is clear that artificially inoculating pathogens 306 leads to an overestimation of the pathogen reduction capacity of AD (Figure 1).



307

- **Figure 1.** Microorganism Log<sub>10</sub> reduction for experiments studying autochthonous pathogen
- 309 reduction (naturally present in the feedstock) and for experiments in which allochthonous
- 310 pathogens were inoculated. Mean values are represented by blue dots. Identical letters above
- boxplots indicate homogeneous groups. n stands for the number of independent datapoints.
- 312
- 313 The different pathogen reduction between autochthonous and allochthonous pathogens can be
- attributed to the adaptation of native microorganisms to the substrate and to the conditions
- 315 occurring during its natural decay (potentially similar to those of AD). Autochthonous
- populations may also be protected when present in highly physically structured environments,
- 317 such as granules or biofilms. Inoculated pathogens might lack these adaptations, potentially 318 affecting their survival and persistence. Although the specific susceptibility of allochthonous
- 319 pathogens to reduction during AD has not been explicitly compared with that of autochthonous
- 320 pathogens, it appears evident that their behavior and fate in AD systems are clearly influenced by
- 321 their origin. A similar trend was observed in previous studies where allochthonous viruses and
- 322 bacteriophages experienced a rapid decline upon inoculation into sludge compared to the
- autochthonous microorganisms [23]. This rapid reduction in numbers was attributed to a matrix
- effect. In spiking experiments, the feedstock is also usually inoculated to an initial concentration
- of microorganisms higher than their natural levels in the substrate (approximately  $1 \log_{10}$  higher).
- The reduced resistance of allochthonous microorganisms, combined with higher artificial
- 327 concentrations in the feedstock intended for pathogen reduction, may explain the observed328 augmentation in pathogen reductions.
- This finding has particularly significant research implications, as it implies that studies focusing on artificially spiking of pathogens (17.3% of the total) may not represent accurately real-world
- solution artificially spiking of pathogen reduction. Thus, the obtained LR results might be biased, and
- extrapolating the associated conclusions could lead to potentially dangerous overestimations of
- pathogen reduction capabilities. Laboratory-scale studies potentially dosed with allochthonous
- pathogens might be useful to study specific inactivation factors and/or certain microbial
- 335 processes, but the overall microbial reductions should not be extrapolated to scaled systems.
- According to this result and to mitigate potential biases associated with the methodology
- followed during the studies in the database, the subsequent analyses were conducted using only
   data on the reduction of autochthonous pathogens.
- 339
- 340
- 3.4. Impact of the targeted microbial group on pathogen reduction
- 341 The first assessment of the overall pathogen reduction efficiency of AD involved a
- 342 comprehensive analysis of pathogen reduction across the entire database. The analysis performed 343 showed an average LR of  $2.23 \pm 1.81$  (n = 810), confirming the well-established understanding
- showed an average LR of  $2.23 \pm 1.81$  (n = 810), confirming the well-established t 344 that AD can effectively reduce pathogens [14,17,18].
- 345 Microbial physiology, morphology, and metabolism affect the survival of microorganisms under
- 346 different stress conditions. Thus, it is reasonable to hypothesize that they play a pivotal role in
- 347 shaping the fate of microorganisms during AD. In practical scenarios, analyzing all the potential
- 348 pathogens present in a digestate is impossible. Hence, the selection of pathogen indicators is
- 349 essential for effective quality/safety assessments. The EU regulation incorporates specific
- 350 indicators such as *Escherichia coli* (Gram-negative bacteria), *Enterococcus* spp. (Gram-positive
- bacteria), and *Clostridium perfringens* (Gram-positive spore-forming bacteria) to monitor key
- 352 microbial groups in digestates [11,24], although they are not all required in every scenario and
- regulatory conformity pathway (see Section 3.11).

354 Accordingly, microorganisms were categorized into large microbial groups (including Gram-

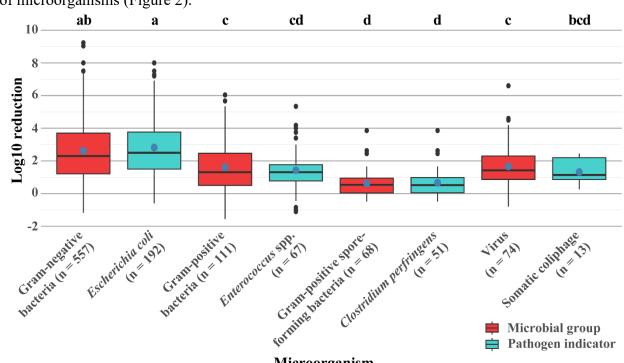
355 negative bacteria, Gram-positive bacteria, Gram-positive spore-forming bacteria, and viruses),

356 and subsequent analyses were conducted. The previously mentioned pathogen indicators from

each microbial group were also considered. Somatic coliphages were also included in the analysis 357 358 since they are used as viral indicators at a European level as fecal contamination indicators in

359 drinking water [25]. The obtained results underline that microorganism resistance during AD is

360 intricately linked to well-known survival mechanisms and adaptive traits inherent to each group



361 of microorganisms (Figure 2).

362

#### Microorganism

363 Figure 2. Microorganism Log<sub>10</sub> reduction for different groups (red) of microorganisms and for 364 their respective pathogen indicators (blue). Mean values are represented by blue dots. Only the 365 microbial groups with three or more independent values  $(n \ge 3)$  are presented. Identical letters 366 above boxplots indicate homogeneous groups. n stands for the number of independent datapoints.

367 368 The mean reductions in pathogen concentrations observed during AD varied across microbial 369 groups, with the most significant reductions observed for Gram-negative bacteria (mean LR of 370  $2.63 \pm 1.83$ ). Gram-negative bacteria are characterized by a cell wall featuring a lipid-rich outer 371 membrane and a monolayer of peptidoglycan [26]. This structural composition provides limited

372 protection against environmental stress factors encountered during AD, such as non-optimal 373 temperature or pH values [27]. This is in agreement with previous studies [18]. After Gram-

374 negative bacteria, viruses and Gram-positive bacteria exhibited the second highest reduction

375 values, with mean LRs of  $1.66 \pm 1.40$  and  $1.61 \pm 1.57$ , respectively. Gram-positive bacteria

376 possess a robust cell wall consisting of multi-layered peptidoglycan interwoven with long anionic

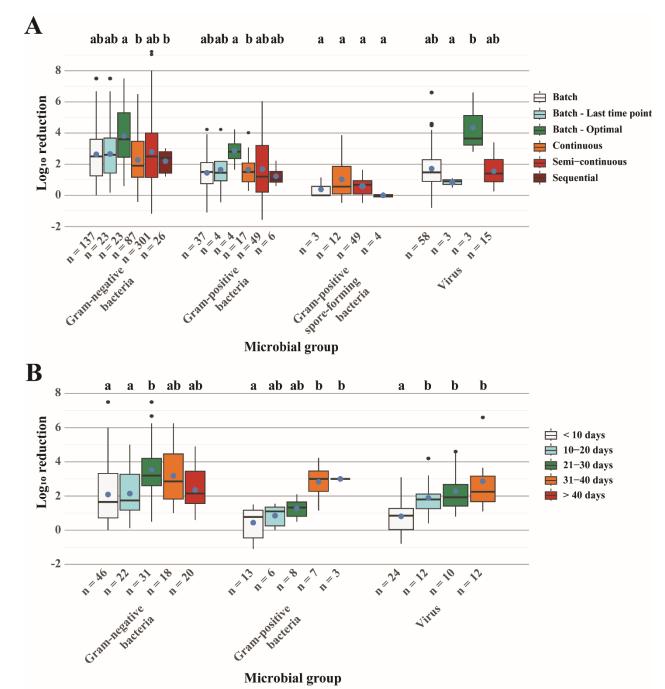
377 polymers known as teichoic acids [26]. This complex structure gives them more protection under

378 stress conditions, surviving at a wide range of pH and temperature values or under higher NaCl

379 concentrations (osmotic pressures) than Gram-negative bacteria [28]. Viruses rely on protein 380

capsids as their primary resistance mechanism. Environmental factors such as temperature, 381 humidity, solar light incidence, or air pollutants can significantly affect the viability and

- infectivity of viruses [29]. The created dataset primarily accounted for non-enveloped viruses, a
- 383 category known for its high environmental persistence [30]. This consideration explains their
- 384 greater resistance to AD compared with Gram-negative bacteria. Finally, Gram-positive spore-
- forming bacteria were the most resistant to AD, with a mean LR of  $0.62 \pm 0.74$ . This result is not
- 386 surprising considering that certain spore-forming bacteria, such as pathogenic *Clostridium* spp.
- can survive and even regrow under certain AD conditions [31]. This high resistance can be
- 388 explained by their ability to produce intracellular spores, which are a dormant form of vegetative
- 389 bacteria highly resistant to physical and chemical stresses [32]. The stimulation of spore 390 germination followed by inactivation of the resulting vegetative cells could potentially enhance
- 390 germination followed by inactivation of the resulting vegetative cells could potentially enhance 391 the pathogen reduction efficiency.
- 392 These results are in line with previous studies [18], where similar findings were pointed out. The
- authors reported elevated LR values, such as 2.2-5.0 for Gram-negative bacteria and 1.8-3.0 for
- 394 Gram-positive bacteria (interquartile ranges). These values are higher than those presented in this
- study ( $2.63 \pm 1.83$  and  $1.61 \pm 1.57$ , respectively). These differences can be attributed to the
- 396 potential inclusion of data from studies considering the spiking of pathogens, which were
- 397 excluded from this analysis.
- 398 To confirm the representativeness of current pathogen indicators, their reductions (Figure 2, blue)
- 399 were compared with each corresponding group that they represent (Figure 2, red). Results
- 400 showed that the pathogen indicators represent accurately their respective groups (Figure 2). No
- 401 significant differences were found between each pair of group-indicators, confirming the validity
- 402 of extrapolating the removal of these indicators to each corresponding group.
- 403 404
- 3.5. Impact of the reactor type or feeding strategy on pathogen reduction
- 405 An analysis was performed to elucidate if the feeding modes and the type of reactors used in the
- 406 studies had an impact on the pathogen reduction performances. The feeding mode (categorized as
- batch, semi-continuous, continuous and sequential) did not affect the overall LRs obtained
- 408 (Figure 3A).



410 **Figure 3.** Microorganism Log<sub>10</sub> reduction for A) different groups of microorganisms and

411 different feeding modes and B) each microbial group in batch reactors with different durations.

412 Mean values are represented by blue dots. Only the conditions with three or more independent

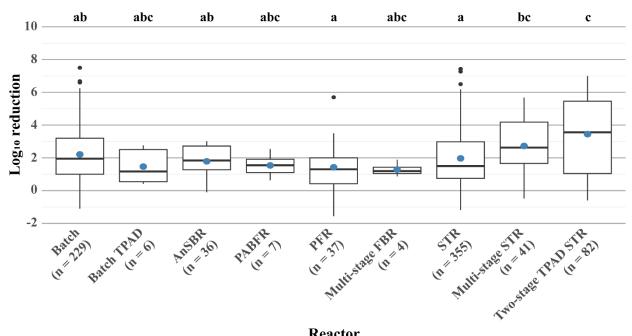
413 values  $(n \ge 3)$  are presented. Identical letters above boxplots indicate homogeneous groups. n

- 414 stands for the number of independent datapoints.
- 415

416 Previous reviews have pointed out that, for some pathogens, batch reactors can lead to enhanced

- 417 pathogen reduction [17,18]. This enhancement is generally attributed to transient VFA peaks
- 418 during the batch tests [18]. Another possibility is that, while batch configurations ensure that all

- 419 pathogens stay in the reactor for the whole duration of the AD process, the HRT in
- 420 (semi)continuous system represents an average, which implies that some microorganisms might
- 421 leave the reactor due to short circuits, thus affecting their reduction. The overall data do not show
- 422 an enhanced performance for batch reactors, probably because of a main factor determining the
- 423 LRs in batch tests: the batch duration. As shown in Figure 3B, the batch duration impacts
  424 considerably the pathogen reduction performance. Therefore, the sampling time for measuring
- 424 considerably the pathogen reduction performance. Therefore, the sampling time for measuring
   425 the pathogen concentration affects the resulting LR. Most previous studies consider the last point
- 426 to evaluate the LR in batch tests [18]. As shown in Figure 3, this is not necessarily the optimal
- 427 value. The overall LR in batch reactors (considering all the points over time) and the LR
- 428 considering only the last point are not significantly different. However, if the LR is calculated
- 429 considering the lowest pathogen concentrations (resulting in the higher LR; optimal point in
- 430 Figure 3A), batch mode reactors outperform other reactors. This agrees with the hypothesis
- 431 suggesting that transient VFA peaks enhance pathogen reduction, implying that once these VFA
- 432 are consumed, pathogens can regrow, reducing the overall LR [18]. This phenomenon can be 433 observed in Figure 3B for Gram-negative bacteria (the most vulnerable group to non-ionized
- 434 VFAs [18]). Optimal LRs were achieved at batch durations of 21-30 days, with decreasing values
- 435 at higher and lower durations. As vulnerable but fast-growing microorganisms, Gram-negative
- 436 bacteria first experience a reduction, followed by growth afterwards, once the VFAs have been
- 437 consumed. Gram-positive bacteria and viruses did not show this behavior, as they are more
- 438 resistant to high VFA concentrations and usually grow slower than Gram-negative bacteria. Some
- 439 of these results should be interpreted with caution due to the low number of data points available,
- 440 particularly concerning Gram-positive bacteria and viruses.
- 441 While batch mode reactors seem to offer a notable advantage in reducing pathogens compared to
- semi-continuous systems, it is crucial to remember that the primary goal during AD is the
- 443 production of methane and the generation of a stabilized digestate. Because of this, most studies
- take the last point in batch tests (usually a few days after the maximum methane yield has been
- 445 achieved, given by a gas "plateau") for pathogen reduction calculation, which would not be equal
- to the optimal LR value. This implies that reactors would not be stopped at the point of highest
- pathogen reduction, but once the VFA would have been consumed (i.e., at the final point in
  Figure 3). Thus, assuming that the transient VFA peaks are responsible for the improved batch
- Figure 3). Thus, assuming that the transient VFA peaks are responsible for the improved batch
   performance, the LRs obtained in (semi)continuous systems (operated at low VFA values) would
- 450 be similar to those from batch reactors. These are the overall LRs that are presented.
- 451 Novel fermentative biorefinery concepts aiming to generate other high value-added products such
- 452 as VFAs might indeed benefit from this improved pathogen reduction performance. In such
- 453 scenarios, (semi)continuous systems would also work at high VFA concentrations, meaning that
- 454 batch mode reactors would not necessarily be beneficial either. Research is needed to confirm the
- 455 latter. Kinetic studies should also be done following both methane production rates, cumulative
- 456 methane productivities, and pathogen reductions to confirm that VFAs are indeed responsible for
- 457 the enhanced performances in pathogen reduction and to elucidate if optimal conditions
- 458 considering both pathogen abatement and methane yields can be found.
- 459 Moving on to the reactor types, most of the reactors used did not show significant differences in
- the obtained LRs (Figure 4).



#### Reactor

461 462 Figure 4. Overall microorganism Log<sub>10</sub> reduction for different reactor types. Mean values are represented by blue dots. Only the reactors with three or more independent values (n > 3) are 463 464 presented. Identical letters above boxplots indicate homogeneous groups. TPAD stands for 465 temperature phased anaerobic digestion, AnSBR for anaerobic sequencing batch reactor, PABFR 466 for panelled anaerobic baffle-cum-filter reactor, PFR for plug flow reactor, FBR for fixed bed 467 reactor, and STR for stirred tank reactor. n stands for the number of independent datapoints.

468

469 Only multi-stage stirred tank reactors (STRs) and two-stage temperature phased AD (TPAD)

470 STRs showed enhanced performances. As it will be further detailed in sections 3.6 and 3.7, this

471 may be a consequence of the low pH values in the first stage of multi-stage STRs and of high

472 temperatures in the first stage of two-stage TPAD STRs, which is always thermophilic (see

473 Figure S4 for the separate LRs at different stages) [1,15]. As is further discussed below, both low pH values and thermophilic temperatures result in higher LR values. 474

475 476

3.6. Impact of temperature on pathogen reduction

477 Temperature plays a crucial role in the inactivation of pathogens, guiding a complex and 478 multifaceted process. The inactivation of pathogens inducted by temperature entails the alteration

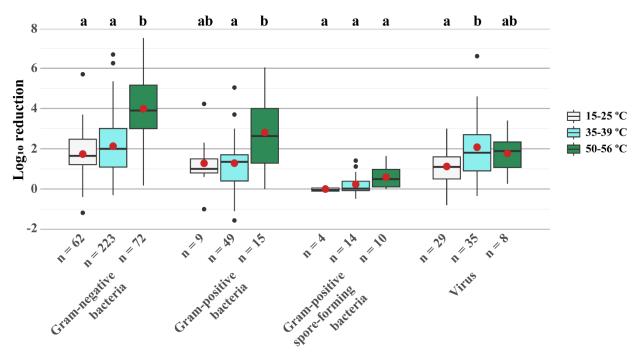
479 of multiple cellular structures, including the outer and inner membrane, the peptidoglycan cell

wall, the nucleoid, RNA, ribosomes, and diverse enzymes. Consequently, deciphering the 480

- 481 specific mechanism leading to cell death poses a complex challenge [33].
- 482 The influence of temperature on pathogen reduction during AD has been widely studied. To
- 483 confirm previous findings and to assess general trends, the database was categorized according to
- 484 the three primary temperature ranges associated with AD: psychrophilic (15-25 °C), mesophilic

485 (35-39 °C) and thermophilic (50-56 °C). Subsequently, a comprehensive analysis was conducted

- 486 to assess the extent of pathogen reduction within each microbial group across these temperature 487 ranges. Figure 5 illustrates the LR of reactors operated under psychrophilic, mesophilic, and
- 488 thermophilic conditions.



#### **Microbial group**

Figure 5. Microorganism Log<sub>10</sub> reduction for different groups of microorganisms and for
 different temperature ranges. Mean values are represented by red dots. Identical letters above
 boxplots indicate homogeneous groups. n stands for the number of independent datapoints.

493

494 Thermophilic temperatures resulted in significantly higher LRs compared with psychrophilic and 495 mesophilic conditions for most groups. The analysis also revealed variations in the reduction of

496 pathogen concentrations among microbial groups across the different temperature ranges. The

497 most significant effect was observed for Gram-negative bacteria, showing a 2.25-fold higher LR

in thermophilic conditions compared to psychrophilic temperatures. Gram-negative

499 microorganisms were followed by Gram-positive bacteria (1.53-fold difference), viruses (0.65-

fold), and Gram-positive spore-forming bacteria (0.59-fold). These results are consistent with

501 previous research, confirming that thermophilic AD represents the most effective temperature 502 choice for pathogen removal [17,18].

503 These results agree with previous statements, further highlighting the impact of the targeted

504 microbial group on pathogen reduction performance. The general assumption that Gram-positive

505 bacteria exhibit higher resistance to heat compared to Gram-negative bacteria [34] is clearly

506 confirmed. Gram-positive spore-forming microorganisms were the least affected by temperature

507 variations, as spores can resist higher temperatures than vegetative cells. At lower temperatures, a

508 decreased LR or even complete persistence of pathogens such as *C. perfringens*, *C. botulinum* or

509 *C. difficile* was observed. A previous study even documented bacterial growth during AD at 27

<sup>510</sup> °C, resulting in an increased concentration of *C. perfringens* and a lower proportion of spores in

- 511 the digestate compared to the initial substrate, suggesting germination [35].
- 512 When comparing psychrophilic and mesophilic conditions, it can be observed that the LRs were

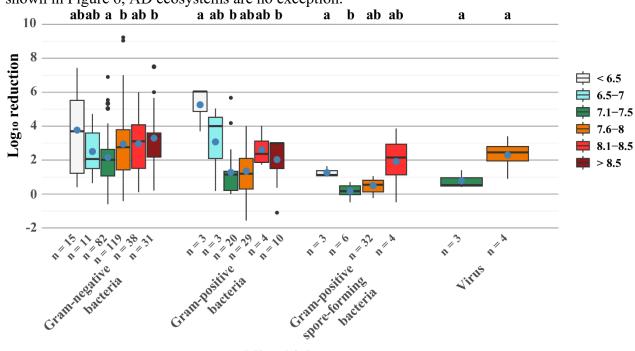
513 only higher for mesophilic conditions for viruses. For any other microbial group, the resulting

514 LRs were similar. This implies that pathogen removal is not worsened under psychrophilic

515 conditions, as mesophilic temperatures do not appear to be sufficient to provide an enhanced LR.

516

- 517 3.7. <u>Impact of working pH on pathogen reduction</u>
- 518 The pH is a well-known parameter affecting microbial growth. For example, pH variations affect 519 the ionization of amino-acid functional groups, resulting in protein denaturation and activity
- 519 the ionization of amino-acid functional groups, resulting in protein denaturation and activity 520 decrease. Extremely acidic or basic pH can also cause DNA breakup and lipid hydrolysis,
- 521 respectively. The pH also affects several biological processes, such as the proton motive force
- and many other reactions involving the turnover of protons. In AD systems, studying the impact
- 523 of pH is extremely complex. Not only the pH affects the aforementioned process, but also the
- 524 speciation of the most common inhibitors in digesters: VFAs and free ammonia (NH<sub>3</sub>) [1]. These
- 525 interactions go both ways, as pH affects the microbial activity, but metabolic processes also
- 526 modify the pH. Both VFAs and NH<sub>3</sub> are microbial products that affect (and sometimes
- 527 determine) the pH in digesters. Due to the difficulties of separating the pathogen reduction effects
- related to the pH itself from those of VFA or  $NH_3$  (and due to the general lack of data), only the
- 529 overall impact of the reported pH values in the media is discussed here. Discussions around the
- 530 findings from individual articles on pathogen reduction related to VFA and/or  $NH_3$  can be found 531 alsowhere [14,15,18]
- 531 elsewhere [14,15,18].
- 532 Optimal pH values for most microorganisms correspond to neutral values (i.e., around 7). As



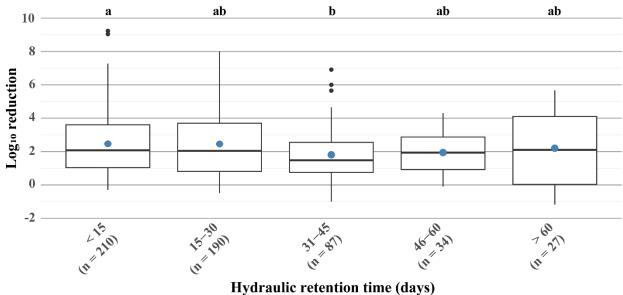
shown in Figure 6, AD ecosystems are no exception.

534

#### **Microbial group**

- **Figure 6.** Microorganism Log<sub>10</sub> reduction for different groups of microorganisms and for
- 536 different pH ranges. Mean values are represented by blue dots. Only conditions with three or
- 537 more independent values ( $n \ge 3$ ) are presented. Identical letters above boxplots indicate
- box homogeneous groups. n stands for the number of independent datapoints.
- 539
- 540 For all bacterial groups, the lowest LRs were reported at neutral pH ranges (7.1-8.0). Other than
- the neutrophilic nature of the microorganisms, pH values close to 7 result in low concentrations
- 542 of both non-ionized VFAs (the toxic form) and NH<sub>3</sub>, thus reducing their toxicity. pH ranges
- 543 above or below neutrality resulted in enhanced pathogen reduction performances. Both Gram-
- 544 negative and Gram-positive bacteria follow a similar trend, with increased reductions at pH

- 545 values below 7.0 and above 8.0. The high LRs for Gram-positive at low pH values are
- 546 particularly noteworthy, but the low number of data points also must be considered when
- 547 extrapolating this observation. As for the temperature, the most resistant bacterial group to non-
- optimal pH ranges are Gram-positive spore-forming bacteria, for the same reasons stated above.
- 549 Some pathogenic spore-forming Gram-positive bacteria are fermenters (e.g., *Clostridium*
- *perfringens*), who are acid resistant and survive at low pH values. This is illustrated in Figure 6,
- 551 where this group of microorganisms shows the least noticeable impact of the pH on the LRs,
- 552 particularly at low values. The little amount of data for viruses jeopardizes the unbiased analysis 553 of the obtained results.
- 554 Variable and/or non-reported VFA/NH<sub>3</sub> concentrations in pathogen reduction studies preclude 555 the identification of the precise phenomena responsible for the increased LRs. The overall trend 556 of pathogen reduction data follows a similar trend as the one shown in Figure 6, with neutral pH 557 ranges (i.e., 6.5-8.0) providing the lowest LRs (Figure S5).
- 558
- 559 3.8. <u>Impact of hydraulic retention time and organic loading rate on pathogen reduction</u> 560 The effect of the HRT on the pathogen reduction performance of (semi)continuous AD is 561 controversial. While some studies claim that the HRT plays a main role (see [17] for individual 562 examples for different pathogens), others have not observed any effect [18]. Putting all the 563 available data together (Figure 7), it is clear from the created dataset that the HRT by itself does 564 not impact the overall obtained LRs.



**Figure 7.** Overall microorganism Log<sub>10</sub> reduction for different hydraulic retention time (HRT)

- ranges. Mean values are represented by blue dots. Identical letters above boxplots indicate
   homogeneous groups. n stands for the number of independent datapoints.
- 569
- 570 It is particularly noteworthy that, in agreement with the lower reduction of Gram-negative
- 571 bacteria at long batch test durations, long HRTs did not result in enhanced LRs. This is because,
- as long as the HRT is large enough to allow a stable and effective AD without considerable VFA
- accumulation, longer HRTs will not result in a higher pathogen reduction. For the same reasons
- as for the HRT, the applied OLR did not have a significant impact on the resulting LRs (Figure
- 575 S6), confirming the negligible effect of these two parameters. In agreement with the previous

576 statements, the lowest OLR range assessed ( $\leq 2$  g VS/L/d) did not result in enhanced pathogen 577 reductions. In fact, the lowest average LR was obtained for this range, suggesting that low loads 578 (or long retention times) do not enhance pathogen reduction.

- 579 Although this conclusion goes against some experimental articles [36,37], this overall assessment
- 580 agrees with what has been observed in a previous meta-analysis [18], validating it and suggesting 581 that it is not a result of sampling biases. The main inactivation mechanisms appear to be related
- to other factors, such as the working temperature or pH. The inactivation times associated with 582
- 583 the effect of these parameters are much shorter than common AD retention times (e.g., in the
- 584 ranges of minutes-hours), meaning that the extra time provided does not result in any tangible
- 585

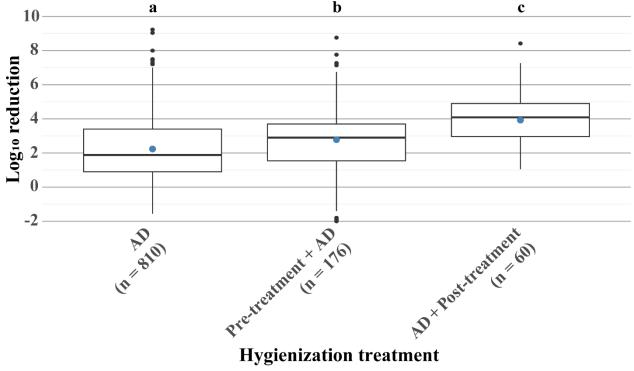
benefit.

586 587

#### 3.9. Pre- and post-treatments for enhancing pathogen reduction

588 Several methods for pre- and post-treatment (e.g., alkaline, heat-based, microwave, ultrasonic,

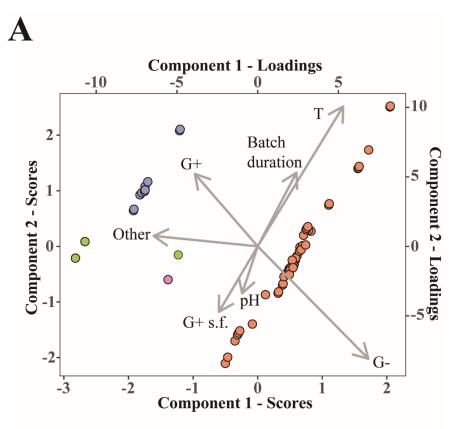
- 589 ozonation, filtration, or irradiation) have been assessed for digestate pathogen reduction [17].
- 590 This section presents a systematic comparison between the different approaches that exist,
- 591 considering the LR as a single performance indicator. Coupling pre- or post-treatment with AD
- 592 results in enhanced pathogen reduction performances with a 1.24-fold increase in LR when
- 593 coupled with pre-treatment and a 1.76-fold increase when coupled with post-treatment (Figure 8).



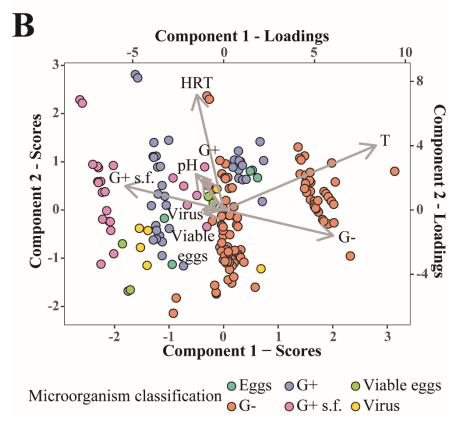
#### **Hygienization treatment**

- 594
- 595 Figure 8. Overall microorganism Log<sub>10</sub> reduction during AD, either alone or coupled with pre- or post-treatment processes. Mean values are represented by the blue dots. Identical letters above 596 597 boxplots indicate homogeneous groups. n stands for the number of independent datapoints and 598 AD for anaerobic digestion.
- 599
- 600 Interestingly, post-treatment led to significantly higher LR values than pre-treatment. In
- 601 agreement with the findings above, this might be due to the re-growth of pathogens during AD,
- 602 which is obviously avoided when applying post-treatments. This hypothesis is further supported

- by similar LRs for pre- and post-treatments individually, without considering the AD step (FigureS7).
- A more in-depth examination of the LRs for the different pre- and post-treatments coupled to AD
- 606 was conducted, focusing on specific treatment parameters. Pre-treatment conditions exhibited
- 607 considerable diversity across studies. For instance, alkali treatment involved pH levels ranging
- from 10 to 12. Heat treatment spanned temperatures between 60 and 160 °C, with durations
- 609 varying from five minutes to one hour. Pasteurization conditions (70 °C for one hour) tended to
- 610 be prevalent in this type of pre-treatment. Ultrasound and microwave energy used during
- 611 treatment also showed variability, ranging from 2.4 to 27 kJ/g total solids (TS). Despite these
- 612 diverse conditions, no significant differences were observed between the performances of most of 613 the pre-treatment processes studied (i.e., alkali, heat, microwave, ozonation, ultrasound, and
- 614 ultrasound combined with heat) (Figure S8). Only results from ozonation (from two studies from
- 615 the same group) resulted in higher LRs. These findings must be approached with caution due to
- the limited data for certain treatments, with only a single study in some cases, jeopardizing the
- 617 extrapolation of unbiased outcomes.
- 618 Considering the similar performances, the choice of technology may be guided by other factors,
- 619 such as economic considerations (e.g., reduced costs due to energy requirements) and/or
- 620 biological aspects (e.g., enhanced substrate biodegradability after pre-treatment). Thermal pre-
- 621 treatments emerge as a promising option, showcasing the potential for positive energy balances
- 622 through increased biogas production with on-site heat generation from biogas combustion. They
- 623 offer the additional advantage of scalability, having been successfully implemented at full-scale
- 624 for treating sewage sludge, municipal solid wastes, and animal by-products (ABPs) [38].
- 625 However, careful consideration must be given to the fate of spore-forming microorganisms,
- 626 which may be favored by these treatments.
- 627 Regarding post-treatments, this analysis focused on heat-related processes. Treatment conditions
- 628 varied across studies, with temperatures ranging from 60 to 80 °C and durations spanning from
- two minutes to 96 hours. Once again, pasteurization conditions were prevalent. Pasteurization
- 630 was indeed the main driver for the overall increase in LR values depicted in Figure 8.
- 631 Specifically, when focusing on heat-related treatments, which constitute the majority of the
- 632 collected data points, the benefits of post-treatment coupled with AD (mean LR  $3.92 \pm 1.43$ )
- 633 compared with pre-treatment (mean LR  $2.78 \pm 2.05$ ) become evident. Thus, pasteurization of the
- 634 digestate is preferable to pasteurization of the input substrates (considering pathogen reduction as
- 635 the sole criterion). The energy requirements of the latter are obviously lower.
- 636
- 637 3.10. Overall assessment of process parameters on the pathogen reduction performance
  638 To perform a quantitative analysis of the data and to confirm the overall trends discussed above,
  639 PLS analyses were performed using the LR as the output variable and the microorganism
  640 classification, temperature, pH, and either the HRT (for (semi)continuous reactors) or the batch
  641 duration (for batch reactors) as input variables. The goal here was not to develop a predictive
  642 model (reason why there is no validation dataset), but to evaluate jointly which parameters were
- 643 the most relevant for pathogen removal.
- 644 The corresponding score plots support the previous findings (Figure 9). The classification of
- 645 microorganisms played a major role in defining the obtained LRs. This is clearly seen for batch
- 646 reactors (Figure 9A), where the samples for Gram-negative bacteria, Gram-positive bacteria, and
- 647 "other microorganisms" are grouped separately in the plot. Gram-negative were directly
- 648 proportional to the LR, while Gram-positive, particularly spore-forming bacteria, impacted the
- 649 LR negatively due to their higher resistance during AD (see PLS coefficients in Table S3).



Microorganism classification  $\bigcirc$  G-  $\bigcirc$  G+  $\bigcirc$  G+ s.f.  $\bigcirc$  Other



- **Figure 9.** PLS score plots for (A) batch reactors and (B) (semi)continuous reactors. LR values
- 652 were used as predicted variable and temperature (T), pH, batch duration, hydraulic retention time
- 653 (HRT), and the microorganism classification (e.g., Gram-negative bacteria (G-), Gram-positive
- bacteria (G+), Gram-positive spore-forming bacteria (G+ s.f.), virus, eggs, viable eggs, or others)
- as input variables. The two first components explained 39% (A) and 33% (B) of the total
- 656 variance. PLS stands for partial least squares and LR for log reduction.
- 657

The same can be observed in the results for (semi)continuous reactors, although two separate subgroups can be found for the aforementioned microbial groups (vertical dot groups, parallel to the

- 660 y-axis). This was due to the temperature parameter, which, as mentioned above, affected the most
- 661 the pathogen reduction performance. These sub-groups for (semi)continuous reactors (Figure 9B)
- 662 correspond to psychrophilic-mesophilic (vertical group positioned to the left) and thermophilic 663 systems (vertical group positioned to the right), clearly denoting that thermophilic systems have a
- totally different behavior, affecting positively the obtained LRs (Table S3). These two groups can
- be clearly found for Gram-negative bacteria, Gram-positive bacteria, and Gram-positive spore-
- 666 forming bacteria, confirming the similar observation regardless of the microbial group. The
- different positions of these microbial groups are related to their resistance to pathogen reduction
- 668 (more resistant to the left, less resistant to the right; in agreement with the statement from Section
- 669 3.4). The temperature PLS coefficients were always the largest (Table S3), implying that this
- 670 parameter had the highest impact on the LR (using the two first components, comprising 72% of
- 671 the total variance). The parallel distribution of points for batch reactors with the temperature 672 vector underlines the crucial importance of this parameter
- 672 vector underlines the crucial importance of this parameter.
- 673 Continuing with the batch duration, although it affected the LR less than the temperature, it
- clearly impacted the resulting LR. As mentioned in Section 3.5, optimal LR values are obtained
- at intermediate batch durations, when the pathogen reduction has been done but before the re-
- 676 growth of Gram-negative bacteria has occurred. The parallelism of the temperature and the batch
- duration vectors in Figure 9A is a construct of the database. Apparently, tests at higher
- 678 temperatures lasted longer. The reason for this remains unknown, as there is no particular reason
- to run thermophilic tests for a longer period of time. This phenomenon exacerbated the paralleldistribution of points around the vectors of these two parameters, which were the most relevant
- 681 for batch reactors.
- 682 Regarding the HRT in (semi)continuous reactors, this parameter impacted the predicted LR
- values. This might appear in contradiction with the negligible effect described in Section 3.8, but
- 684 when looking at the data distribution along the HRT vector and at the HRT scores in the first two
- 685 components (Table S3), this finding can be explained. For component 1 (explaining 22% of the
- variance; Table S4), the coefficient of the HRT was negative, while for component 2 (explaining
- 687 11%), the coefficient was positive (and higher in absolute value than for component 1).
- 688 Therefore, the overall trend (Figure 7) resulted in a negligible impact of the HRT, as in some
- 689 cases longer HRTs resulted in higher LRs and in others the opposite occurred. This dichotomy
- agrees with the literature, where both conclusions have been proposed [17,18].
- 691 The pH was found to affect the resulting LRs negatively, which is in agreement with the positive
- 692 effect of acid pH values on the pathogen reduction performance. In any case, the overall impact 693 of the pH on the LR was much lower than that of the microorganism type or the temperature.
- 694 The outcomes from these analyses confirm the statements made in previous sections, giving also
- numerical outputs (e.g., PLS coefficients) that can be used to compare quantitatively the relative
- 696 importance of each of the tested parameters on the pathogen reduction capacity of AD.
- 697

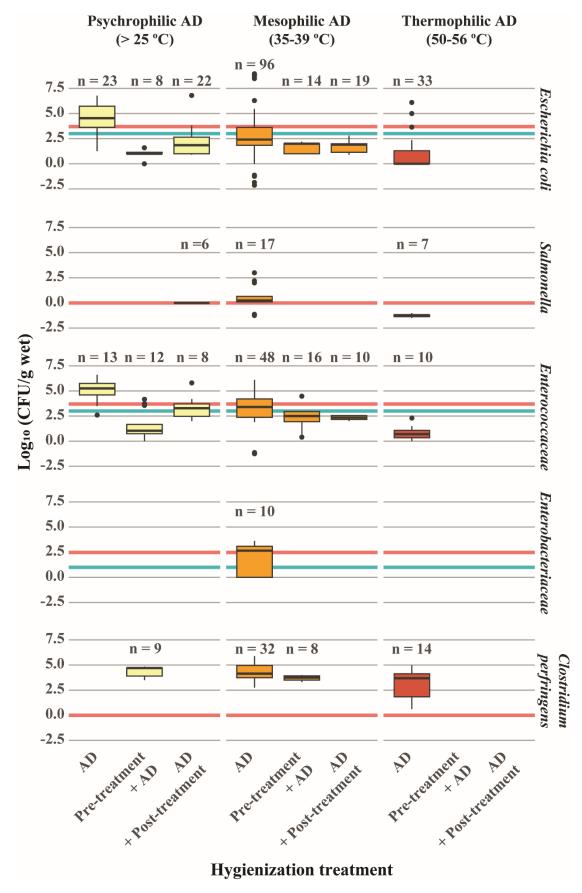
698	3.11. Anaerobic digestion for reducing the level of pathogens below regulation limits
699	To assess compliance with regulatory requirements, the created database was compared against
700	two relevant pathogen-related regulations in the field of organic waste AD (used for
701	benchmarking): the United States Environmental Protection Agency (US EPA) Class A biosolids
702	regulation (EPA/600/R-22/194) [13] and the EU ABP regulation (CE 142/2011) [11]. This
703	analysis is purely comparative, as the feedstocks, treatment lines, and analytical methods
704	employed in the studies from the database did not necessarily follow the regulation guidelines for
705	waste digestion, digestate sampling, or pathogen quantification.
706	Table 1 presents the limits from the legislations used for the benchmarking exercise. The
707	regulation CE 142/2011 is applied only to ABP material as defined by the regulation CE
708	1069/2009, and offers two options for complying: (i) dedicated protocols are followed and E.
709	coli, Salmonella sp., and Enterococcaceae are below given limits; or (ii) if other standard
710	protocols are followed (standard processing method 7 in CE 142/2011), Enterobacteriaceae and
711	C. perfringens are also below limits. The US EPA Class A biosolids regulation claims explicitly
712	that "the implicit goal of the Class A pathogen requirements is to reduce all the pathogens present
713	in sewage sludge [] to below detectable levels". Class A biosolids are post-treated to reach
714	these criteria, thus allowing for "unrestricted use". The European criteria are less restrictive than
715	those from the US EPA because they do not imply unrestrictive use of the material. Several other
716	EU and regional/national regulations add further innocuity criteria depending on the digestate use
717	and status.
710	

Indicator	Regulation	Implications	Limit <sup>a</sup>	Included pathogens retrieved in the database
Escherichia coli	CE 142/2011	Requirement for any digestion residue produced from authorized ABP material	Lower limit: ≤1,000 CFU in 1 g Upper limit: <5,000 CFU in 1 g	Escherichia coli
Salmonella	CE 142/2011	Requirement for any digestion residue produced from authorized ABP material	= 0 CFU in 25 g	Salmonella spp., Salmonella typhimurium, Salmonella typhi
Enterococcaceae	CE 142/2011	Requirement for any digestion residue produced from authorized ABP material	Lower limit: ≤1,000 CFU in 1 g Upper limit: <5,000 CFU in 1 g	Enterococcus spp.
Enterobacteriaceae	CE 142/2011	Further requirement when other standard procedures are followed (standard processing method 7).	Lower limit: ≤10 CFU in 1 g Upper limit: <300 CFU in 1 g	Enterobacteriaceae
Clostridium perfringens	CE 142/2011	Further requirement when other standard procedures are followed (standard processing method 7).	= 0 CFU in 1 g	Clostridium perfringens
Fecal coliforms	EPA/600/R- 22/194	Requirement for Class A biosolids (sewage sludge). Unrestricted use of digestate.	<1000 MPN in g TS	Fecal coliforms
Salmonella sp.	EPA/600/R- 22/194	Requirement for Class A biosolids (sewage sludge). Unrestricted use of digestate.	<3 MPN in 4 g TS	Salmonella spp., Salmonella typhimurium, Salmonella typhi

719 **Table 1.** Summary of the limits given in the regulations used for benchmarking.

<sup>720 &</sup>lt;sup>a</sup> The CE142/2011 regulation stablishes the number of replicates to be analyzed (usually 5) and two microbial limits. The lower 1 limit represents the threshold value for the number of bacteria. The result is considered satisfactory if the number of bacteria in all 1 replicates does not exceed this limit. In addition, the regulation also stablishes the number of replicates that can be between the 1 lower and the upper limit (maximum value for the number of bacteria). The result can also be considered satisfactory if none of 1 the replicates exceed the upper limit, even if a given number of replicates are between the lower and upper limits.

- \* MPN stands for most probable number, CFU for colony forming unit, ABP for animal by-product, and TS for total solids.
- 726727 In Figure 10 (CE 142/2011 benchmarking), the general mandatory requirements in the EU
- regulation for ABP-derived digestates (i.e., *E. coli, Salmonella*, and *Enterococcaceae*) are
- 729 compared with the gathered database (for any feedstock and reactor type).



731 Figure 10. Database comparison against the EU ABP regulatory limits (CE142/2011). The

732 concentration in the digestate of each pathogen indicator is shown for different AD temperatures

733 and considering additional treatments (i.e., pre- or post- treatment). The red line represents the

734 upper limit and the blue line the lower limit when applicable. Limits as absence (zero CFUs/g 735 wet) were adopted as below 1 for graphical purposes. Only conditions with three or more

736 independent values ( $n \ge 3$ ) are presented. Escherichia coli, Salmonella sp., and Enterococcaceae

737 are mandatory for ABP digestates, while Enterobacteriaceae and Clostridiun perfringens are part

738 of a particular non-mandatory conformity pathway. CFU stands for colony forming units, AD for

- 739 anaerobic digestion, ABP for animal by-product, and n stands for the number of independent
- 740 datapoints.

741

742 Most of the concentrations for *E. coli* were below acceptable limits. Only psychrophilic AD and a

743 few values for mesophilic AD, both without any pre- or post-treatment, resulted in values above

744 limits. Thermophilic AD resulted, as expected, as the most effective process to obtain

745 concentrations below limits. The integration of pre- or post-treatments with AD ensured

746 digestates with E. coli concentrations below limits, regardless of the AD temperature.

747 Thermophilic digestates seem to present lower Salmonella levels, which is coherent with results

748 for Gram-negative bacteria (see Section 3.6). However, Salmonella contamination is punctual,

749 meaning that Salmonella reduction by itself should not be an exclusion criterion for a given

750 process, as the presence of this pathogen might occur very rarely. Thus, Salmonella must be

751 monitored, and eventual contaminated batches of digestates and by-products should be

752 eliminated. Concerning *Enterococcaceae*, they follow the previously observed trend for the 753 reduction of Gram-positive bacteria, with increasing reduction at higher temperatures. As for E.

754 coli, thermophilic AD and mesophilic AD coupled to pre- or post-treatments resulted in

755 concentrations below detection limits. Regarding the two indicators applied when other standard

756 but derogatory methods are used (Enterobacteriaceae and C. perfringens), it can be observed that

757 few data were available for both. *Enterobacteriaceae* as an indicator (n = 10) was only available

758 at mesophilic temperatures. Enterobacteriaceae being a large family of Gram-negative bacteria 759

(including *E. coli*), acceptable limits could be expected to be easily achieved by switching to 760 thermophilic AD and/or by engineered pathogen reduction processes if necessary. Concerning C.

761 *perfringens*, none of the available data resulted in acceptable values since its absence is required.

762 C. perfringens is a recognized fermentative bacterium capable of competing for substrates with

763 other Clostridia commonly found during AD. Therefore, special attention must be paid in

764 reactors where its presence is detected, as it may persist in the system rather than being a

765 transient occurrence [39]. Consequently, C. perfringens (along with other pathogenic Clostridium

species such as C. botulinum or C. difficile) represents a raising concern that, being a spore-766

767 forming Gram-positive bacteria, seems to be poorly removed during AD [40]. As it can be

768 observed, the literature lacks data on the effects of post-treatments on the removal of this

769 pathogen.

770 Given the large number of studies that did not provide TS concentrations in the digestates, it was

771 not possible to calculate the concentrations of indicators for the benchmarking exercises. This

772 reduced considerably the number of points in the database (n). To overcome this issue, a second

773 benchmarking analysis was performed, assuming that, for the studies with unknown TS contents:

774 (i) wet AD had TS values of 5%, and (ii) dry AD had TS values of 15%. This allowed to extend 775

considerably the number of data points (Figure S9). The observed trends in Figure 10 were

confirmed by this second analysis, further validating the given conclusions. The increase in data 776

concerning *C. perfringens* is particularly relevant, as the database was significantly enlarged and
 still the obtained concentrations were always unsatisfactory.

779 The results for the US EPA Class A biosolids benchmarking (limits for high quality and

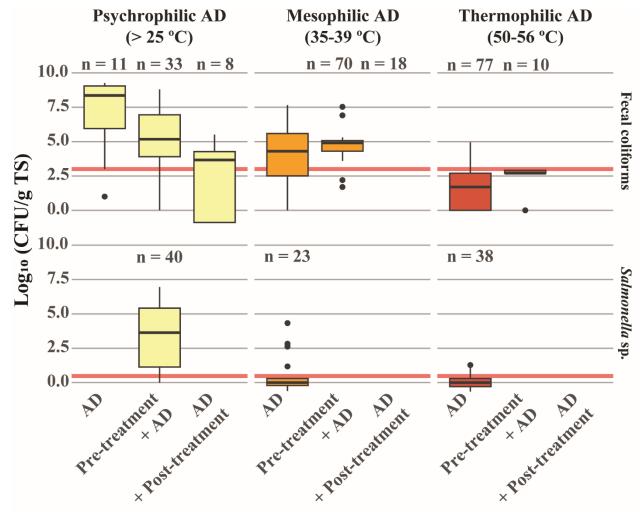
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be conforming to fecal coliforms and *Salmonella* sp. criteria. Most psychrophilic and mesophilic

digestates in the database, with or without pre- or post-treatments, would fail to comply with this

783 high-quality standard.

784



785

# Hygienization treatment

786 **Figure 11.** Database comparison against the US EPA Class A biosolids regulatory limits

- (EPA/600/R-22/194). The concentration in the digestate of each pathogen indicator is shown for
   different AD temperatures and considering additional treatments (i.e., pre- or post- treatment).
- The red line represents the limit. Only conditions with three or more independent values ( $n \ge 3$ )
- are presented. CFU stands for colony forming units, TS for total solids, AD for anaerobic
- 791 digestion, and n for the number of independent datapoints.
- 792

As for the comparison against the EU legislation, the US EPA benchmarking was also repeated assuming the TS contents mentioned above (5% for wet AD and 15% for dry AD (Figure S10)).

- 795 This analysis further confirmed the observations extracted from Figure 11, showing the same 796 trends and similar conditions providing effective pathogen reduction.
- 797 While AD does not always reduce the levels of pathogens below regulation limits, a large
- fraction of data points fulfills the most restrictive regulation thresholds. In agreement with
- previous findings, thermophilic AD and post-treatments allowed fulfilling limits more than any
- 800 other working conditions or treatment trains.
- 801 802

#### 4. Implications for technology implementation

The first two novel points to underline concern how tests for assessing pathogen reduction performances are done: (i) spiking of pathogens leads to removal overestimation, and (ii) current pathogen indicators accurately represent their respective microbial groups. Both findings are crucial, not only for research but also for effective digestate quality/safety assessment and for optimizing pathogen reduction performances in digesters.

- 808 As a general trend, the pathogen reduction effect of AD seems clear. Thus, the agricultural
- 809 application of digestates appears to be safer than the direct use of feedstocks (e.g., manure).
- 810 Cases where pathogen indicators increase after AD are rare [31]. Pathogen reduction during AD
- 811 depends on several factors, including the microbial group of the pathogen (i.e., Gram-negative
- 812 bacteria, Gram-positive bacteria, Gram-positive spore-forming bacteria, or viruses). For instance,
- 813 on the one hand, Gram-positive spore-forming bacteria showed virtually no removal after
- 814 psychrophilic or mesophilic AD. On the other hand, Gram-negative bacteria were effectively 815 removed by AD (e.g., thermophilic conditions with an interquartile range of 3-5 Log<sub>10</sub> reduction).
- 816 Operational parameters also affect the pathogen reduction performance. The most relevant is the
- 817 temperature. Thermophilic digesters resulted in the highest removals, while mesophilic and
- 818 psychrophilic digesters resulted in similar overall reductions for most pathogens. This implies
- that, from a pathogen reduction point of view, increasing the temperature from psychrophilic to
- 820 mesophilic ranges does not improve the performances. The pH also affects the pathogen 821 reduction performance, with neutral ranges (commonly found in digesters) resulting in the lowest
- reduction performance, with neutral ranges (commonly found in digesters) resulting in the lowest pathogen reductions. More research is needed to investigate the effects at both basic and acidic
- pH values and to differentiate the impact of the pH itself from that of the concentrations of VFAs
- and/or NH<sub>3</sub>. Assessing these factors separately can lead to a deeper understanding of the
- 825 multifactorial process leading to pathogen reduction during AD, particularly at high loads. Long-
- term (semi)continuous studies should also be performed to account for the possibility of pathogen
- 827 adaptation. Novel fermentative biorefinery concepts aiming to generate other high value-added
- 828 products such as VFAs might also benefit from the enhanced pathogen reduction performance at
- 829 low pH values. In this case, (semi)continuous systems would also work at high VFA
- 830 concentrations, implying that the performance of batch reactors would not necessarily be
- 831 enhanced compared to continuous reactors. Further research is needed to confirm this.
- 832 In link with the previous statement, the batch duration affected the pathogen reduction
- 833 performance. Optimal reductions were obtained after 20-30 days, while too long batches (over
- 834 30-40 days) resulted in the re-growth of fast-growing organisms (i.e., Gram-negative bacteria).
- 835 Importantly for (semi)continuous reactors, neither the HRT (ranges from two hours to 120 days) 12 + 22 + 22 = 0
- 836 nor the OLR (ranges from 0.12 to 26.9 g VS/L/d) had a significant impact on pathogen removal,
- implying that these parameters can be optimized according to another criteria (e.g., maximizationof biogas production) without affecting the pathogen reduction performance.
- AD combined with pre- or post-treatments tends to enhance overall pathogen removals. Most of
- the used pre-treatment processes perform similarly, suggesting that the process selection could be
- done considering other factors (e.g., economic and/or energetic). Post-treatment processes (e.g.,

- 842 digestate pasteurization) seem to be more effective than pre-treatments, which could be observed
- 843 even with the high noise of the pooled data. Looking at details, some studies suggest that in
- 844 certain cases, pre-treatment could select thermotolerant bacteria that might regrow as part of the
- fermentative consortium during AD [41]. The results presented here show that regulators should
- aim at post-treatment as a simple solution (e.g., post-pasteurization) instead of favoring both pre-
- and post-treatments equally (as is the general case, for example, with ABPs AD in the EU).
  Digestate valorization through post-treatments allowing some extent of resource recovery is a
- topic of great scientific and industrial interest, as it can be a lever for ensuring economic
- performance of AD. The effect of novel post-treatments (e.g., nitrogen stripping, struvite
- 851 recovery, (vacuum-)evaporation, or enhanced thermal drying) on overall pathogen removal
- should be more often taken into consideration as a potential additional benefit of these
- technologies. A good indicator of this lack of research activity is that no study in the present
- 854 meta-analysis database was part of any digestate post-treatment valorization approach such as
- those mentioned above.
- 856 Regardless of the pathogen reduction treatments used, benchmarking the final digestate pathogen
- concentrations to two very distinct quality criteria allowed to conclude that most thermophilic
   digestates were conforming to the highest standards, while a post-treatment (e.g., pasteurization)
- digestates were conforming to the highest standards, while a post-treatment (e.g., pasteurization) is highly recommended for mesophilic/psychrophilic digestates. Thermophilic conditions lead to
- higher energy requirements, but this might be balanced out by enhanced biogas productivities
- 861 [42] and by a safe land application of digestates. Pathogen reduction-wise, two-stage systems are
- not recommended, as pathogen removal only occurs significantly in the thermophilic stage.
- 863 The absence of studies using molecular methods (e.g., quantitative polymerase chain reaction
- 864 (qPCR)) analyzing pathogen reduction during AD precludes their inclusion in the meta-analysis.
- 865 This lack of research can be attributed to relevant pathogen-related legislations, which establish
- 866 culture-based methods as the standard for studying pathogen concentrations in digestates. Despite
- this limitation, the potential of molecular methods as an alternative to culture-based methods
- 868 cannot be overlooked. Molecular methods offer the advantage of exploring a wider spectrum of
- 869 microorganisms, yet they also have the disadvantage of potentially detecting non-viable 870 microorganisms (e.g., free genetic material present in the media). Although the pathogen
- reduction trend was found to be similar between culture-based and molecular methods in the
- database (data not shown), it is important to highlight that the LRs observed when qPCR was
- 873 employed were generally lower (probably due to sequencing of genetic material from dead cells).
- 874 Further research is needed to extrapolate findings from different methodological approaches to
- 875 full scale plants.
- 876 Overall, the systematic analysis of pathogen reduction allowed drawing several perspectives for
- 877 R&D. For certain microbial groups, AD can be optimized through conventional process levers
- 878 (e.g., temperature) to enhance pathogen removal if they become limiting for digestate application.
- 879 This is the case of Gram-negative bacteria. Other pathogens, such as C. perfringens, represent a
- challenge that must be addressed specifically.
- 881 It seems worthwhile, therefore, to investigate the levers of the AD process for pathogen control
- through case-by-case studies according to specific contexts of interest (i.e., a given set of
- feedstock, digestate, and pathogen group). Despite the generally acknowledged positive impact of
- AD, it must be noticed that, particularly for agricultural scenarios, the practical AD input/output
- perspective (selecting inflows simply based on economic considerations) overlooks the overall
- 886 impact of an AD plant (and its associated sanitary risks) on the evolution of common operational
- 887 practices, such as flow pooling and interchange. In this context, the impact of AD can vary, being

either positive or negative, depending on the baseline practices, their evolution, and adherence to
 regulations. These crucial aspects go beyond the scope of the present study.

- 890 Finally, it must be mentioned that, given the lack of data from full scale plants, the results
- 891 presented here should be extrapolated with caution to large scale installations. The trends
- 892 concerning the impact of variables such as pH and temperature and/or microbial groups should be
- similar regardless of the scale. However, results from batch and (semi)continuous reactors might
- indeed be different already at laboratory, pilot, and industrial scales (results not shown), so it is to
- 895 be expected that extrapolating LRs from batch laboratory-scale reactors to full scale processes 896 (usually (semi)continuous) will result in overestimations of the reduction capacities (even if
- allochthonous pathogens were not spiked). As a work based on an analysis of available data, the
- conclusions from this study are limited by the amount of data that could be gathered, their
  accuracy, and their repeatability. Similarly, it was not possible to differentiate between specific
  scenarios, as the amount of data for each case would not be sufficient, leading to biased
  conclusions.
- 902 903

#### 5. Conclusions

The performed meta-analysis has resulted in novel and relevant conclusions for AD at both research and large scale. The large amount of collected data and the systematic data analysis done have resulted in a global view of the pathogen reduction capacity of AD. When designing experiments to assess AD pathogen reduction performance, artificial pathogen spiking leads to

- performance overestimation, and thus results cannot be extrapolated to scaled systems.
   Importantly, current pathogen indicators accurately represent their respective groups.
- 910 *Clostridiaceae* are barely affected by AD and may be favored by some pre-treatment
- 911 technologies. Concerning operational parameters, temperature is the parameter that most
- 912 significantly affects pathogen reduction performance. Thermophilic AD resulted in enhanced
- 913 pathogen removal, with both psychrophilic and mesophilic conditions resulting in significantly
- 914 lower performances. The pH also affected pathogen removal, with both acidic and basic values915 enhancing LRs. This is probably due to a combination of the effect of the pH itself and of the
- concentrations of inhibitory compounds also affecting pH (e.g., VFAs or  $NH_3/NH_4^+$ ). An optimal
- batch duration was identified, but the HRT in (semi)continuous systems did not enhance the
- 918 overall pathogen reduction, implying that the HRT/OLR values can be set according to the
- desired methane production rates. Heat-based post-treatments coupled to thermophilic AD
   resulted in the best pathogen reduction performances. These conditions fulfilled most legislation
- 920 resulted in the best pathogen reduction performances. These conditions furthed most registration 921 limits. Further research should focus on multifactorial process optimization, considering the links
- between different factors (e.g., pH, VFA, and NH<sub>3</sub> concentrations) and developing mathematical
- models that allow optimization and scenario evaluations. The impact of novel post-treatments
- allowing resource recovery (e.g., nitrogen stripping, evaporation, or enhanced thermal drying) on
- 925 overall pathogen removal should also be further studied.
- 926 927

# Data availability

The complete database used in this meta-analysis is available on the research data repository Mendeley data under the digital object identifier (DOI): 10.17632/3m9ph7j578.2.

- 930 931
  - Appendices
- 932 <u>Appendix A: Assumptions considered</u>
- If not specified, room temperature was assumed to be 25 °C.
- If not specified, mesophilic conditions were assumed to be 35 °C.

- 935 If not specified, thermophilic conditions were assumed to be 55 °C. 936 If not specified, the type of reactor was assumed to be stirred tank reactor (STR). -937 If not specified, the feeding mode was assumed to be semi-continuous. 938 Sewage sludge refers to the mixture of primary sludge and waste activated sludge. \_ 939 If not specified, sludge was assumed to be sewage sludge. \_ 940 If not specified, grams of "dry solids" was assumed to be grams of total solids (TS). 941 If not specified, the "reactor volume" was assumed to be the working volume. -If not specified, CH<sub>4</sub> volume (L) was assumed to be given at standard pressure and 942 \_ 943 temperature (273.15 K and 0.987 atm). 944 Except for dry anaerobic digestion (AD), reactors were assumed to be stirred (if not -945 specified). 946 If not specified, chemical oxygen demand (COD) concentrations were assumed to be total -947 values (i.e., raw samples). 948 When reporting concentrations of TS or volatile solids (VS) as weight for weight (w/w), it -949 was assumed to be equivalent to weight for volume (w/v). 950 When studying pre-treatment coupled to AD, the initial TS, VS and COD concentrations 951 pertain to the pre-treated substrate. 952 -When studying pre-treatment coupled to AD, the initial TS and COD concentrations pertain 953 to the substrate before pre-treatment. When studying pre-treatment coupled to AD, the initial VS concentration pertain to the 954 955 substrate after pre-treatment. 956 When heat treatment was performed, the time of treatment represents the time after reaching 957 the desired temperature (without taking into account the heating ramp). 958 When the Colony Forming Unit (CFU) value was <X, CFU was assumed to be to be -959 equivalent to X. When the CFU value was  $\geq X$ , CFU was assumed to be equal to X. 960 -961 When microbial concentration was reported as Most Probable Number (MPN), it was 962 assumed to be equivalent to Colony Forming Units (CFU). 963 The reporting of N<sub>0</sub> and N values displayed variability, with instances presented on a wet \_ 964 weight basis (CFU/g), on a dry matter basis (CFU/g TS), or in volumetric units (CFU/mL). 965 When possible, the values were converted to CFU/g TS using the TS concentration of the 966 feedstock or digestate. A density of 1 g/mL was assumed for volume/mass conversions. 967 968 Acknowledgements 969 Laura Álvarez-Fraga acknowledges the European Union's Horizon 2020 research and innovation 970 programme for their support through the Marie Skłodowska-Curie grant agreement No 971 101108532. The authors would like to acknowledge INRAE Bio2E Facility [43]. 972 973 **Formatting of funding sources** 974 This work was supported by the project Biogaz RIO (No. 24001371) funded by the Région 975 Occitanie and the European Regional Development Fund (ERDF). 976 977 References 978 [1] Capson-Tojo G, Rouez M, Crest M, Steyer J-P, Delgenès J-P, Escudié R. Food waste 979 valorization via anaerobic processes: a review. Rev Environ Sci Bio/Technology
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