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# **TROLL 4.0: representing water and carbon fluxes, leaf phenology, and intraspecific trait variation in a mixed-species individual-based forest dynamics model – Part 2: Model evaluation for two Amazonian**

# **sites**

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 **Summary.** We evaluate the capability of TROLL 4.0, a simulator of forest dynamics, to represent tropical forest structure, diversity and functioning in two Amazonian forests. Evaluation data include forest inventories, carbon and water fluxes between the forest and the atmosphere, and leaf area and canopy height from remote-sensing products. The model realistically predicts the structure and composition, and the seasonality of carbon and water fluxes at both sites.

 

 **Abstract.** TROLL 4.0 is an individual-based forest dynamics model that jointly simulates the structure, diversity and functioning of tropical forests, including their water balance, carbon fluxes and leaf phenology, while accounting for intraspecific trait variation for a large number of species. In a companion paper, we describe how the model represents the physiological and demographic processes that control the tree life cycle in a one-metre-resolution spatially-explicit scene and uses plant functional traits measurable in the field to parameterize such processes across species and individuals (Maréchaux et al., submitted companion paper). Here we evaluate the performance of TROLL 4.0 for two Amazonian sites with contrasting soil and climate properties. We assessed the model's ability to represent forest structure and composition using lidar-derived canopy height distributions and forest inventories combined with information on plant functional traits. We also evaluated the model's ability to represent carbon and water fluxes, as well as leaf area variation, at daily and fortnightly resolution over a decade, using detailed information from on-site eddy covariance towers, satellite data and ground-based or air-borne lidar data. We finally compared the responses of carbon and water fluxes to environmental drivers between simulated and observed data. Overall, TROLL 4.0 provided a realistic representation of forests at both sites. The simulated canopy height distribution showed a high correlation coefficient (CC) with observed aerial and satellite data (CC>0.92), while the species and functional composition were well represented (CC>0.75). TROLL 4.0 also realistically simulated the seasonal variability of carbon and water fluxes (CC>0.46) and their responses to environmental drivers, while capturing temporal variations in leaf area (CC>0.76) and its partitioning in leaf age cohorts. However, TROLL 4.0 overestimated annual gross primary productivity at 49 both sites (mean RMSEP=0.94 kgC m<sup>-2</sup> yr<sup>-1</sup>) and evapotranspiration at one site (mean RMSEP=0.75 mm day<sup>-1</sup>), likely due to an underestimation of the soil water depletion and stomatal control during the dry season. This evaluation highlights the potential of TROLL 4.0 to represent ecosystem fluxes and the structure and diversity of plant communities at a fine resolution, paving the way for model predictions of the effects of climate change, fragmentation and forest management on forest structure

and dynamics.





# **1 Introduction**

 Tropical forests cover just 7% of the Earth's land surface, yet they play a disproportionately large role in the biosphere, store around 25% of terrestrial carbon and contribute to more than a third of global terrestrial productivity (Bonan 2008). Regionally, tropical forests recycle around a third of precipitation through evapotranspiration, contributing to the generation and maintenance of a humid climate (Harper et al., 2013), effects that extend well beyond the tropics (Lawrence & Vandecar 2015). However, tropical forests remain a major source of uncertainty in simulations of global biogeochemical cycles (Fisher et al., 2014; Koch et al., 2020).

 As an illustration, for light-limited tropical forests, dynamic global vegetation models (DGVMs, Prentice et al., 2007) typically simulate a decrease in productivity with a seasonal decline in precipitation (Restrepo-Coupe et al., 2017, Chen et al., 2020), while observations from eddy covariance data point to an increase in gross primary productivity during the dry season (Guan et al., 2015; Aguilos et al., 2018). Similarly, simulated forest responses to experimental and natural droughts have highlighted large model-data discrepancies and variation across models (Powell et al., 2013; Joetzjer et al., 2014; Yao et al., 2023; Paschalis et al., 2022). Improving the representation of tropical forest functioning in models is needed to enhance our understanding and ability to predict biogeochemical cycles.

 One challenge is to better integrate the structure, diversity and functioning of forests into vegetation models (Purves and Pacala, 2008; McMahon et al., 2011; Evans, 2012; Mokany et al., 2016). In spite of progress (Fisher et al., 2018), most models still adopt a coarse grained representation of vegetation, which makes it difficult to use field data to parameterize and evaluate the models. Also, several processes driving the variation of tropical forest productivity and water fluxes remain incompletely represented in vegetation models. These include water uptake by the root system and seasonal variation of leaf quantity and quality at the ecosystem-level, which are driven by leaf phenology and allocation processes at the individual-level (Chen et al., 2020; Wu et al., 2021; Restrepo-Coupe et al., 2017, Cusak et al., 2024).

 In a companion paper, we described the individual-based forest dynamics model TROLL 4.0 (Maréchaux et al., submitted companion paper). This model jointly simulates tropical forest structure, diversity and functioning, including forest water balance, carbon fluxes and leaf phenology, and accounts for intraspecific trait variation for a large number of species. TROLL 4.0 represents the processes underlying ecosystem fluxes, such as leaf gas exchanges and their responses to environmental 82 variation, and is thus similar to DVGMs in that respect, with its outputs comparable with data from eddy covariance towers. However, unlike DGVMs that are designed for global applications and typically represent plant diversity with a few functional types, TROLL 4.0 represents diversity at the species level (e.g., 10s to 100s of tropical tree species). TROLL 4.0 is spatially- explicit and represents plant community structure and diversity at a spatial resolution of one metre, which is consistent with that used by field ecologists. Physiological and demographic processes are integrated using a parameterisation based on plant traits measurable in the field, relying on recent knowledge in plant physiology and functional ecology. The individual-based,





 species-specific and spatially explicit representation of forest structure and composition enables TROLL 4.0 outputs to be directly compared with spatially explicit forest inventories, trait distributions or fine-scale remote sensing products.

 In this paper, we evaluate TROLL 4.0 for two Amazonian sites with contrasting soil and climate properties. We parameterized the model using functional trait and soil data at both sites. We first calibrated three major forest structure parameters using inventory data, and then the three parameters of the phenological module that control leaf shedding as a function of soil water availability using litterfall data. We then ran simulations and evaluated the model's representation of forest structure and composition against independent data, including lidar-derived canopy height distribution, understory inventories and functional trait distribution. We also assessed the model ability to represent carbon and water fluxes at daily resolution, as well as leaf area variation at fortnightly resolution, against eddy covariance, satellite and terrestrial or drone lidar data. We finally compared the response of simulated and observed fluxes to incoming radiation, vapour pressure deficit, temperature, and wind speed. Finally, we discuss the potential model-data discrepancies and identify priorities for future developments.

# **2 Methods**

101 TROLL represents individual trees explicitly in an aboveground voxelized space  $(1 \text{ m}^3)$ , in which light diffusion is modelled, and in a belowground space, which consists of several layers with user-defined thickness and horizontal resolution (here 25 103 m<sup>2</sup>). Belowground water flow is simulated using a bucket model. Each tree belongs to a species, and we provide as input species-specific mean plant trait values and intraspecific trait variances and covariances. At recruitment, individual trait values are randomly drawn from the intraspecific trait distribution. These traits parameterize the physiological and demographic processes that govern the life cycle of trees, from recruitment to growth, seed dispersal, and finally death. Carbon assimilation by trees is computed using the photosynthesis model of Farquhar, von Caemmerer and Berry (1980), coupled to the stomatal conductance model of Medlyn et al. (2011), as a function of leaf micro-environmental conditions, tree access to water, and leaf photosynthetic capacity and leaf respiration rate. Sugars produced during photosynthesis are used for tree respiration and allocation to plant tissues, including foliar production, carbon storage and woody growth.

 We conducted model calibration and evaluation at two lowland Amazon forest sites: the Paracou research station in French Guiana (5°28'N, 52°92'W), hereafter Paracou (Gourlet-Fleury et al., 2004; Bonal et al., 2008), and the Tapajos National Forest in Brazil in the K67 site also named BR-Sa1 (2°86'S, 54°96'W), hereafter Tapajos (Silver et al., 2000; Saleska et al., 2003). Both sites are covered by a high biomass and species rich lowland moist tropical forest, and they present contrasting soil characteristics and climate (Table 1). The two sites have been intensively monitored for several decades, mainly through repeated forest inventories and eddy flux tower measurements.

 At each site, we calibrated six global parameters, three parameters related to forest structure, to which TROLL is known to be 120 sensitive: the reference background mortality rate *m*, and the intercept  $a_{CR}$  and slope  $b_{CR}$  of the crown radius scaling relationship





121 (Table A1; Maréchaux and Chave, 2017; Fischer et al., 2019), and three parameters pertaining to the phenological module, 122 new to TROLL 4.0 ( $a_{T,o}$ ,  $b_{T,o}$  and  $\delta_o$ ; Table A1). In TROLL 4.0, the shedding of old leaves is accelerated as soil water 123 availability decreases (Maréchaux et al., submitted companion paper). When the leaf predawn water potential ( $\psi_{pd}$ , MPa) falls 124 below a threshold  $\psi_{T,o}$  (MPa), the residence time of old leaves is decreased using a multiplicative factor  $f_0$  < 1. The parameter 125  $\psi_{T,o}$  varies with the tree leaf drought tolerance and its size as follows:

126 
$$
\psi_{T,o} = min(a_{T,o} \times \pi_{tlp}, -0.01 \times h - b_{T,o})
$$

127 where  $\pi_{tlp}$  is the leaf water potential at turgor loss point in MPa and *h* is the tree height in m.  $f_0$  is decremented (resp. 128 incremented) by  $\delta_o$  when  $\psi_{pd} < \psi_{T,o}$  (resp.  $\psi_{pd} > \psi_{T,o}$ ). The parameters  $a_{T,o}$ ,  $b_{T,o}$  and  $\delta_o$  control the intensity and the 129 timing of the peak of litterfall under drying soil conditions. This scheme is consistent with field observations (Maréchaux et 130 al. submitted companion paper), uncertainties remain on the values of  $a_{T,o}$ ,  $b_{T,o}$  and  $\delta_o$  however, and they need to be calibrated. 131 After calibration, we compared model outputs with site-specific data for evaluation at each site.









#### 2002



# 134 **2.1 Simulation inputs and climatic drivers**

 TROLL 4.0 uses 35 global parameters defined by the user and provided as inputs. These parameters relate to atmospheric constants, light transmission, leaf carbon acquisition, leaf shedding, tree carbon allocation, tree shape, reproduction, and death, 137 and intraspecific trait variability (Table A1). Except for the three parameters of forest structure mentioned above and the three parameters of the leaf shedding module that have been calibrated at each site, all values are assumed site independent.

139

140 TROLL 4.0 requires trait parameters for each species: values need to be provided as input for six functional traits and three 141 scaling parameters. The scaling parameters are species maximum diameter at breast height (dbh<sub>max</sub>, cm), and parameters 142 defining the relationship between height and diameter at breast height (dbh), which are the asymptotic height (h<sub>lim</sub>, m) and the 143 parameter a<sub>h</sub> (see Maréchaux et al. submitted companion paper, Eqs (16) and (62)). We used forest inventories from Paracou 144 (Derroire et al., 2023) and Tapajos (Goncalves et al., 2018) to create a species list for each site, and computed dbh<sub>max</sub> as the 145 95<sup>th</sup> quantile of species diameter at breast height for species including more than 10 individuals. We used the TALLO global 146 database of height and diameter measurements (Jucker et al., 2022) to infer species-specific values of  $h_{lim}$  and  $a_h$  for the 496 147 species of the database that are present in Amazonia (latitude between  $10^{\circ}$ N and  $18^{\circ}$ S and longitude between 39°W and 78°W;





148 n = 24,609 trees with a mean of 49.62  $\pm$ 730 trees per species). Parameters a<sub>h</sub> and h<sub>lim</sub> were inferred using Bayesian inference 149 as follows:

150 
$$
log(h) \sim N[log(h_{lim} \times \frac{dbh}{a_h + dbh}), \sigma^{-2}] | h_{lim} \sim N(h_{lim,0}, \sigma^{-2}h), a_h \sim N(a_{h,0}, \sigma^{-2}a)
$$

151 with the logarithm of height ( $h$ , in m) following a normal distribution centred on the log of a Michaelis-Menten model with 152 asymptotic height  $h_{lim}$ , height-dbh scaling parameter  $a_h$ , and variance  $\sigma^{-2}$ . The two species-specific parameters  $h_{lim}$  and  $a_h$ 153 are random parameters following a normal distribution centred respectively on  $h_{\text{lim,0}}$  and  $a_{h,0}$  with variances  $\sigma^{-2}$ <sub>h</sub> and  $\sigma^{-2}$ <sub>a</sub>. 154

- 155 The functional traits used in the parameterization include leaf area  $(LA, in cm^2)$ , leaf mass per area  $(LMA, g m^2)$ , leaf nitrogen 156 content per dry mass  $(N, mg g^{-1})$ , leaf phosphorus content per dry mass  $(P, mg g^{-1})$ , leaf water potential at turgor loss point  $(\pi_{tln}, \text{MPa})$ , and wood specific gravity (wsg, g cm<sup>-3</sup>). We used several datasets to retrieve species-specific mean values for 158 these traits (Vleminckx et al. 2021, Boisseaux et al., submitted; Kattge, Bönisch, and al., 2020; Maréchaux et al., 2015; 159 Maréchaux et al., 2019; Nemetschek et al., 2024; Ziegler et al., 2019). Finally, we used predictive mean matching (Van Buuren 160 and Groothuis-Oudshoorn, 2011) to impute missing trait values for  $a_h$ ,  $h_{lim}$ , dbh<sub>max</sub>, and  $\pi_{tlp}$  only. Overall, this procedure 161 leads to a parameterization of 114 species for Paracou and 113 species for Tapajos. These species pools are representative of 162 the functional trait spaces of the two sites (Fig. A1).
- 163

164 TROLL 4.0 requests nine soil parameters to describe the texture, depth and chemistry. These were gathered from the literature, 165 assuming a single soil type and depth per site for simplicity and setting the number of soil layers to five (Table 1). Testing the 166 influence of horizontal and vertical soil heterogeneity on model outputs is left for future work.

167

 TROLL 4.0 simulations are forced with six climatic drivers. Two of them are daily: cumulative rainfall (mm), and average nighttime temperature (°C). The remaining four drivers are provided every half hour during the daytime (defined below): 170 incoming shortwave radiation (SW, W m<sup>-2</sup>), temperature (T,  $^{\circ}$ C), vapour pressure deficit (VPD, kPa), and wind speed (WS, m 171 s<sup>-1</sup>). Historical time series for these climatic variables have been retrieved from the FLUXNET 2015 dataset (Pastorello et al., 2020), which provides standardised data from eddy flux towers located at each site (2004-2014 for Paracou, and 2002-2011 for Tapajos). However, at Tapajos, rainfall data from FLUXNET 2015 is not reliable due to issues with rain gauges (Restrepo- Coupe et al., 2017). Instead, we used rainfall data from the ERA5-Land reanalysis dataset (Muñoz-Sabater et al., 2021) available at hourly resolution between 2002 and 2011. For other climatic variables, data from ERA5-Land showed high correlation with FLUXNET 2015 data. A more in-depth evaluation of ERA5-Land precipitation data is left for future. We used spline interpolation to derive half-hourly time series from the hourly FLUXNET 2015 data in Tapajos. The half-hourly net 178 radiation time series was used to define daytime hours (i.e. with  $S_{net} > 0$ ) which were set from 6 a.m. to 6 p.m. in Paracou, and from 7 a.m. to 7 p.m. in Tapajos. The dry season was defined as a period with fortnightly rainfall below 50 mm on average across years, consistent with the 100 mm per month used by Bonal et al. (2008). This leads to a 4-month dry season in Paracou (August 1st to December 1st), and a 4.5-month dry season in Tapajos (June 15 to November 1st). Dry seasons were defined





 for illustration purposes only and have no effect on the model behaviour, which is driven by the meteorological inputs described above.

# **2.2 Calibration and simulation set-up**

185 We calibrated the three forest structure parameters  $(m, a_{CR}$  and  $b_{CR})$  for each site.  $a_{CR}$  and  $b_{CR}$  are not independent, and we used the TALLO global database of crown radius (*CR*) and diameter (*dbh*) measurements (Jucker et al., 2022) to infer their relationship. To do so, we restricted the TALLO database to observations located within 10 km around sites from which we generated a thousand pairs of (*aCR*, *bCR*) values. Each pair of values was determined by randomly drawing 10 individuals per 10- 189 cm diameter class to generate a size-balanced dataset to which the following model was fitted:  $log(CR) \sim N[a_{CR} +$ 190  $b_{CR} \times log(dbh)$ ,  $\sigma^{-2}$ ]. This resulted in the following linear relationship between the two parameters:  $b_{CR} = -0.39 +$ 191 0.59  $\times a_{CR} + \epsilon_{b_{CR}}$ , with  $\epsilon_{b_{CR}}$  the error around the relation. This relationship constrained the exploration of the three-192 dimensional parameter space, so we only had to calibrate  $a_{CR}$ ,  $\epsilon_{b_{CR}}$ , and *m*. Based on preliminary exploratory analyses with 193 the previous version of TROLL, we defined the range of calibration for each parameter and site as follows:  $a_{CR}$  varied from 1.60 to 2.00 in Paracou and from 2.3 to 2.7 in Tapajos with a step of 0.05,  $\epsilon_{b_{CR}}$  from -0.30 to 0.10 in both sites with a step of 195 0.05, and *m* from 0.030 to 0.050 in both sites with a step of 0.0025. This resulted in 9  $a_{CR} \times 5 \epsilon_{b_{CR}} \times 9 \text{ m} \times 2 \text{ site} = 810$ triplets of parameter values.

 For each set of three parameter values, we performed a 600-year simulation from bare ground over a 4-ha area. Simulations were run with an external seed rain uniformly distributed across species, so that the simulated community structure is an emergent property resulting from the community assembly mechanisms embedded in the model. As succession unfolds and the number of mature trees increases in the simulation, internal seed production increases according to the assumed relationships between individual size and fecundity. An alternative to uniform seed rain across species would be to prescribe non-uniform seed rain based on species' regional abundances. This approach would tend to make the simulated species abundances more closely resemble the observed regional abundances. In contrast, uniform seed rain as simulated here, biases the simulated abundances towards evenness across species, and differences in simulated abundances reflect differences in demographic performance controlled by the model trait-based parameterization rather than prescribed differences in the seed rain. Each simulation was forced each year by randomly drawing a year among the ten years of climatic data. In doing so, we avoided applying a periodic climatic forcing or any potential trend linked to global warming.

 To evaluate the forest structure simulated with each triplet of parameter values, we compared simulated to observed total 211 aboveground biomass (AGB<sup>tot</sup>, Mg ha<sup>-1</sup>), total tree abundance (N<sup>tot</sup>, ha<sup>-1</sup>), and tree abundances per 5-cm diameter class (N<sup>i</sup>, ha<sup>-1</sup>) 212 <sup> $1$ </sup> for *dbh* class *i*) at the end of the 600-year regeneration. The Paracou reference dataset was a 2015 inventory of trees with dbh >10 cm in six 6-ha plots (Derroire et al., 2023). The Tapajos reference dataset was a 1999 inventory of trees with dbh > 10 cm





214 in 19.75 ha along four 1-km transects (Rice et al., 2004). At both sites, we calculated the relative root mean squared error 215 defined as:

216

$$
RRMSEP = \frac{AGB}{AGB} \frac{tot}{\delta} - AGB \frac{tot}{s} + \frac{N}{\delta} \frac{tot}{\delta} - N \frac{tot}{s} + \frac{\sqrt{\frac{1}{n}} \times \sum_{i=1}^{n} (N \frac{i}{\delta} - N \frac{i}{s})^2}{|N \frac{i}{\delta}|}
$$

 $\sqrt{4}$ 

217

221

218 where AGB<sup>tot</sup><sub>o</sub>, N<sup>tot</sup><sub>o</sub> and N<sup>i</sup><sub>o</sub> are observed values, and AGB<sup>tot</sup><sub>s</sub>, N<sup>tot</sup><sub>s</sub> and N<sup>i</sup><sub>s</sub> are the simulated values. *n* is the number of dbh classes and  $N_f^j$  is the mean tree abundances among dbh classes. We extracted the simulation with the lowest *RRMSEP* at each 220 site and used the corresponding values for  $m$ ,  $a_{CR}$  and  $b_{CR}$  in all subsequent simulations.

- 222 After 600 simulated years of forest dynamics the system reached a mature forest stage with stable forest structure, composition, 223 and functioning at both sites. This is referred to as the 'spin-up phase'. We then used this mature forest stage to calibrate the 224 three parameters of the phenological module. We performed an exhaustive search in the parameter space for combinations of 225  $a_{T,o}$  in [0.01, 0.025, 0.05, 0.075, 0.1, 0.2, 0.3, 0.4, 0.5],  $b_{T,o}$  in [0.01, 0.015, 0.02, 0.05, 0.04, 0.06, 0.08, 0.10], and  $\delta_o$  in [0.1, 226 0.2, 0.3, 0.4, 0.5] resulting in 9  $a_{T,o} \times 8 b_{T,o} \times 5 \delta_o \times 2 \text{ sites} = 720 \text{ simulations.}$  For each triplet, we ran a 20-year 227 simulation with historical weather repeating the 10 years of data twice with the mature forest as an initial condition. Only the 228 last 10 years were used for the calibration to allow the leaf dynamics to adjust to new parameter values.
- 229

 To evaluate each simulation, we used leaf litter data from litter traps at both sites (unpublished data at Paracou, Rice et al., 2008 at Tapajos). Litter traps were typically collected fortnightly (although time intervals between consecutive litter trap collections were sometimes higher and up to 80 days in Paracou) between 2004 and 2023 in Paracou, and between 2000 and 2005 in Tapajos. The litter collected from the traps was oven-dried until the mass stabilised, partitioned between leaves, fruits 234 and woody debris, and then the fraction were weighed. We computed observed leaf litterfall flux in Mg ha<sup>-1</sup> year<sup>-1</sup> as the mean across traps converted from trap surface to hectare and time interval in days to year. We also recorded the time interval between consecutive trap collections to account for the smoothing effect of the longer time intervals in simulated data. Simulated leaf litterfall fluxes over the last 10 years of simulation for each triplet of parameter values were compared to the observed fluxes using the same observation dates and corresponding time intervals.

239

 To compare simulations against observations, we defined two yearly indices that quantify the timing and intensity of the litterfall peak. The two indices are (i) the day of the litterfall peak as the Julian day of the maximum annual litterfall flux value (*day*), and (ii) the ratio between the maximum value (computed as the average of litterfall flux over the two consecutive time intervals before and after the peak day) divided by the basal flux (computed as the yearly average between January and April) (*ratio*). Both indices are key features of litterfall patterns in tropical rainforests (Chave et al., 2010; Yang et al., 2021). For

245 each simulation we calculated the root mean squared error defined as:





$$
RMSEP = \sqrt{\frac{\sum_{y=y_{max}}^{y=y_{max}} (ratio_{y,o} - ratio_{y,s})^{-2}}{N_{year}} + \frac{\sum_{y=y_{o}}^{y=y_{max}} (day_{y,o} - day_{y,s})^{-2}}{N_{year}}}
$$

247 where day<sub>y,o</sub> and ratio<sub>y,o</sub> are observed z-scores (i.e., standard deviations from the mean) for year y, and day<sub>y,s</sub> and ratio<sub>y,s</sub> are simulated z-scores for year y. Thus a unit *RMSEP* corresponds to a ratio error of one standard deviation, *i.e.* 7.6 folds, or to a day error of one standard deviation, *i.e.* 45.5 days. The best-fit parameters were those corresponding to the lowest *RMSEP* at each site.

 Finally, to quantify the envelopes of stochastic simulation outputs, we ran ten replicates of 600-year simulations starting from bare ground with the six calibrated parameter values.

# **2.3 Evaluation of forest structure and composition**

 To assess the model's ability to simulate forest structure, species and functional composition, we used airborne lidar scanning (ALS) and satellite data, as well as forest inventories combined with functional traits. Independently from the calibration, we evaluated the diameter distribution of the forest understory at Paracou using an independent 9-ha inventory of trees with dbh between 1 and 10 cm from 2020-2023 (unpublished data). We evaluated the structure of the simulated forest at the end of the 259 600-year replicates against observed basal area  $(BA, m^2h a^{-1})$  and logarithm of tree abundance  $(ha^{-1})$  per 1-cm diameter class below 10 cm. We evaluated tree height distributions using ALS data from 2015 at Paracou (unpublished data) and from 2012 at Tapajos (dos-Santos et al., 2019), which were processed into canopy height models with a standardised pipeline (Fischer et al., 2024). From both simulated and ALS-derived canopy height models, we derived the distribution of canopy height, 263 expressed in proportion of 1-m<sup>2</sup> pixels per 1-m height class. We evaluated the species composition after the 600-year replicates against the observed rank-abundance curve of the 114 most abundant species at both sites, and the functional composition against the observed density distribution of each trait for each site and each plot. Due to a lower taxonomic resolution of botanical identification at the Tapajos site, we used genus level functional trait data at Tapajos and species level functional trait data at Paracou.

#### **2.4 Evaluation of total leaf area dynamics**

 We assessed the model's ability to represent the dynamics of total leaf area and its partitioning into three leaf age cohorts (Maréchaux et al., submitted companion paper). For evaluation, we gathered leaf area index (LAI) datasets as follows: LAI from MODIS satellites at both sites, LAI from terrestrial lidar at Tapajos (Smith et al., 2019), and LAI from UAV-borne lidar at Paracou (unpublished data; Vincent et al., 2017). The MODIS LAI product was at 8 day and 500 m resolution, and pre- processed in PLUMBER2 (Ukkoloa et al., 2020). At Tapajos, plant area index (PAI) was derived from terrestrial lidar scanning (TLS) performed every 1-2 months in 2010, 2012, 2015 and 2017 along four 1-km long transects representing 0.4 ha with a spatial resolution of about 3 m to characterise canopy porosity (Smith et al., 2019). PAI was derived from lidar hits following Stark et al. (2012) and based on the MacArthur–Horn transformation (MacArthur & Horn, 1969). This PAI was then converted





 to LAI using an annual mean LAI of 5.7 (Stark et al., 2012). In Paracou, the PAI was derived from repeated UAV-borne lidar surveys, resulting in PAI mapping at 21 day and 1 m resolution between 2020 and 2022 over a 2.5 ha forest area. This PAI derived from UAV lidar was obtained by vertical integration of Plant Area Density (PAD) profiles previously recalibrated to match a TLS-derived PAD profile of a common 1-ha plot scanned in October 2019. This was required because the limited penetration of the UAV lidar yielded overestimation of raw PAD values (Vincent et al., 2023). This PAI was converted to LAI variation with a factor of 0.68, where the conversion factor is derived from other products.

 Simulated LAI variation per leaf age cohort (Eqs 56-57, Maréchaux et al. submitted companion paper) were compared qualitatively against the one derived from phenological cameras by Wu et al., (2016) at Tapajos and from the reanalysis of Yang et al. (2023) at both sites. Wu et al. (2016) analysed 478 images collected over 24 months from 65 tree crowns and fitted the transition from young to mature and from mature to old leaf pools, assumed to occur at 1 and 3 months, respectively. Yang et al. (2023) used global satellite observations of the TROPOMI satellite Solar Induced Fluorescence (SIF) sensor as an indicator of leaf photosynthesis variation, validated by *in situ* measurements, and set the transition from young to mature and from mature to old leaf pools, occuring at 1.71 and 5.14 months, respectively. By comparison, simulated leaf age per cohort depends on the individual leaf lifespan in TROLL 4.0 (see Maréchaux et al. submitted companion paper).

# **2.5 Evaluation of carbon and water fluxes**

To assess the model's ability to simulate carbon and water fluxes, we evaluated gross primary productivity (GPP, kgC m<sup>-2</sup> 294 year<sup>-1</sup>) and evapotranspiration (ET, mm day<sup>-1</sup>). We extracted GPP and latent heat flux (LE, W m<sup>-2</sup> half-hour<sup>-1</sup>) from the 295 FLUXNET 2015 dataset (Pastorello et al., 2020). ET was derived from LE and temperature (T, in  $^{\circ}$ C) using  $ET =$  $LE\times60\times30\times10$  <sup>-6</sup> 296  $\frac{LE \times 60 \times 30 \times 10^{-6}}{\lambda(T)}$  with  $\lambda(T) = 2.501 - (2.361 \times 10^{-3}) \times T$  (Allen et al., 1998). GPP was obtained from net ecosystem exchange with the nighttime partitioning method (Reichstein et al., 2005). We summarised half-hourly GPP and ET into daily values by calculating the daily mean and sum. TROLL 4.0 carbon fluxes were also compared with a remotely sensed product 299 of GPP derived from TROPOMI SIF using the formula  $GPP = 15.343 \times SIF$  (Chen et al. 2022). We compared how the fluxes depended on environmental drivers in both simulated and observed data. Using the FLUXNET 2015 dataset (Pastorello 301 et al., 2020), daily values of cumulative photosynthetically active radiation (PAR, mol m<sup>-2</sup>), maximum vapour pressure deficit (VPD, kPa), mean temperature  $(T, {}^{\circ}C)$ , and mean wind speed (WS, m s<sup>-1</sup>) were calculated, and simulated and observed responses of GPP and ET to PAR, VPD, T and WS were compared. TROLL 4.0 water fluxes were assessed using the relative variation of soil water content (RSWC, %) of the top horizon from the Paracou eddy flux tower (Bonal et al., 2008) and the relative variation of soil water content of the top horizon reanalysed against the climatic water deficit at Tapajos (Restrepo-306 Coupe et al., 2024). RSWC is defined as the daily mean of soil water content  $(m<sup>3</sup> m<sup>-3</sup>)$  divided by the annual 95th quantile of the daily mean.





- All simulations were run using TROLL 4.0 (Maréchaux et al., submitted companion paper) wrapped in the R package *rcontroll* (Schmitt et al., 2023) and encapsulated in a Singularity image (Kurtzer et al., 2017) leveraging a Python Snakemake workflow
- (Köster et al., 2012) on a high performance computing platform using 100 cores.

# **3 Results**

# **3.1 Forest structure and composition**

314 We calibrated background mortality rate  $(m)$  and crown radius scaling parameters  $(a_{CR}$  and  $b_{CR})$  at Paracou and Tapajos against 315 observed aboveground biomass, total abundance and abundance per 5-cm dbh classes, and found  $m=0.035$ ,  $a_{CR}=1.80$  and 316 *b<sub>CR</sub>*=0.3860 at Paracou, and  $m=0.040$ ,  $a_{CR}=2.45$  and  $b_{CR}=0.7565$  at Tapajos. The modelled aboveground biomass, total abundance and abundance per 5-cm dbh classes were in good agreement with observations (correlation coefficient, CC>0.99 at both sites, Fig. 1). The three parameter values were very similar across the five best simulations, i.e. the ones minimising 319 RRMSEP ( $m\pm0.0025$ ,  $a_{CR}\pm0.1$  and  $b_{CR}\pm0.057$  at Paracou and  $m\pm0.01$ ,  $a_{CR}\pm0.1$  and  $b_{CR}\pm0.0285$  at Tapajos), and we used the values of the best simulation in all subsequent simulations.







323 **Figure 1: Tree size structure at Paracou and Tapajos, expressed in terms of tree abundances per 5 cm-dbh classes. Comparison**  324 between distributions simulated by TROLL 4.0 after calibration of  $m$ ,  $a_{CR}$  and  $b_{CR}$  in blue and the ones derived from field inventories of trees with dbh >10 cm in black, at Paracou (left) and Tapajos (right). Ob 325 **of trees with dbh >10 cm in black, at Paracou (left) and Tapajos (right). Observed (black) and simulated (blue) densities of trees**  with dbh > 10 cm, and aboveground biomass are also provided. All simulated values correspond to the end-state of a 600-year 327 **regeneration from bare ground with calibrated values for**  $m$ **,**  $a_{CR}$  **and**  $b_{CR}$  **at each site.** 

- 328 After calibration, the canopy height distribution simulated by TROLL 4.0 matched that measured by lidar aerial scanning
- (ALS), with a root mean square error of prediction (RMSEP) of the proportion of  $1-m^2$  pixels per 1-m height class below 0.8% 330 and a correlation coefficient (CC) above 0.91, despite a slight overestimation of low canopy areas in Paracou, at heights below
- 331 20 m, and a slight underestimation of high canopy areas, above 40 m in Tapajos (Fig. 2). For example, in Paracou, 4% of the
- 1-m<sup>2</sup> pixels scanned by ALS had a canopy height around 25m. An RMSEP of 0.8% means that TROLL simulations could lead
- 333 to 3.2 or 4.8% of pixels with a canopy height of 25m. TROLL 4.0 simulations also reproduced the forest understory structure
- 334 characterised by basal area (BA) and tree abundance distribution per 1-cm diameter classes for trees < 10 cm dbh at Paracou
- 335 (Fig. 3). However, TROLL 4.0 underestimated the number of small trees (2,139 vs. 3,787 trees ha<sup>-1</sup>), resulting in an
- 336 underestimation of basal area (BA = 2.9 vs. 3.7 m<sup>2</sup> ha<sup>-1</sup>).
- 337











 **a canopy height model from airborne laser scanning (black lines). Simulated values and their confidence intervals correspond to the end-state of simulations of ten 4-ha 600-year regeneration from bare ground for each site.**

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 **Figure 3: Understory tree size structure at Paracou, expressed in terms of basal area distributions (left) and tree abundance (right) per 1 cm-dbh classes. The figures compare distributions simulated by TROLL 4.0 in blue and field inventory observations in black. Simulated values and their confidence intervals correspond to the end-state of simulations of ten 4-ha 600-year regeneration from bare ground. Confidence intervals at 95 % are shown with error bars and are based on variations among plots (9 plots of 1 ha) for the observations. Simulated (blue) and observed (black) total basal area (left) and densities (right) for trees with dbh >1 cm and < 10 cm are also provided. To the best of our knowledge, similar data was not available in Tapajos.**

At Paracou, the simulated and observed species rank-abundance curves were similar (Fig. 4), with a RMSEP of 3.67 trees ha-

and a CC of 0.93, but with an underestimation in the abundance of dominant species and an overestimation in the

abundance of rare species resulting in a higher evenness overall. At Tapajos, the simulated and observed rank-abundance

353 curves displayed similar patterns as at Paracou (RMSEP=3.62 trees ha<sup>-1</sup> and CC=0.94) but amplified , with a strong

underestimation of the abundance of dominant species and an overestimation of the abundance of rare species.







 **Figure 4: Species-rank abundance curves at Paracou and Tapajos. Comparisons between curves simulated by TROLL 4.0 (blue) and derived from field inventories at both sites. Simulations included 114 and 113 species at Paracou and Tapajos respectively. Curves derived from inventories were cut at the 114th species. Simulated values and their confidence intervals correspond to the end-state of ten 4-ha 600-year regeneration from bare ground. Confidence intervals at 95 % are shown with error bars and are**  based on variations among plots for observations.

- Functional trait distributions simulated by TROLL 4.0 were consistent with empirical ones at Paracou and Tapajos (Fig. 5),
- with a CC from 0.91 to 1.00 for all traits at both sites, except for leaf area at Paracou (CC=0.74) and Tapajos (CC=0.87).
- However, abundances of low wood density trees, high LA trees, and high LMA trees were underestimated in simulations
- when compared to observations at Paracou.







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 **Figure 5: Functional trait distributions at Paracou and Tapajos. Distributions derived from field inventories (black) were based on botanical identification at the species level in Paracou and the genus level in Tapajos. Simulated distributions (blue) were based on the final stage of ten 4-ha 600-year regeneration from bare ground. Confidence intervals are shown with repeated lines and are based on variations among plots for observations and among repetitions for simulations. dbhmax : maximum diameter in m, LA: leaf area in cm<sup>2</sup> , LMA: leaf mass per area in g cm-3 , Nmass: leaf nitrogen content per dry mass in mg g-1** , **Pmass: leaf phosphorus content**  371 per dry mass in mg g<sup>-1</sup>,  $\pi_{tlp}$ : leaf water potential at turgor loss point in MPa, WSG: wood specific gravity in g cm<sup>-3</sup>.

# **3.2 Leaf phenology**

 The calibration of the three parameters of the leaf shedding module against observed litterfall illustrated how each parameter affects the simulated timing and intensity of the litterfall peak during the dry season, with no or little effect on the background 375 litterfall rate (Fig. A2). Calibration resulted in a best-fit  $a_{T,o}$  value of 0.2, and a  $b_{T,o}$  value of 0.015 at both sites. The 376 calibrated  $\delta_o$  differed across sites ( $\delta_o$ =0.1 at Paracou and  $\delta_o$ =0.2 at Tapajos). The simulated seasonal variation of litterfall at Paracou and Tapajos shows qualitative agreement with the observed data (Fig. 6). Both empirical and simulated data showed a marked peak in litterfall during the dry season, despite a clear under-estimation of simulated litterfall flux during both wet and dry seasons, particularly at Tapajos, and a delayed peak during the dry season, particularly at Paracou, in comparison to observations.







 **Figure 6: Litterfall annual cycle from fortnightly litterfall fluxes at Paracou and Tapajos. Each thin line represents one year with points showing values at sampling dates, the thick lines represent polynomial smoothing among years, and the vertical yellow bands in the background correspond to the site's climatological dry season. Simulated values correspond to the last 10 years of 20-year simulations starting from the end-state of 600-year regeneration from bare ground with calibrated parameters at each site.**

The empirical LAI datasets displayed strikingly different results, illustrating the challenge of estimating LAI with confidence

in dense tropical forests (Fig. 7, Tab. A2). MODIS-derived LAI displayed almost no seasonality with mean LAI values





389 around  $6.0 \text{ m}^2 \text{ m}^2$  at both sites. At Paracou, LAI derived from UAV-borne lidar showed a clear seasonality, with lowest 390 values around 5.5 m<sup>2</sup> m<sup>-2</sup> from April to June and highest values of almost 6.0 m<sup>2</sup> m<sup>-2</sup> in December, at the end of the dry season. At Tapajos, LAI derived from terrestrial lidar showed no seasonality, around 5.8  $m^2 m^2$  throughout the year, but LAI derived from phenological cameras (PhenoCams) did display some seasonality, with lowest values at  $5.5 \text{ m}^2 \text{ m}^2$  in June and 393 highest values above  $6.0 \text{ m}^2 \text{ m}^2$  in December, at the end of the dry season. These observations were compared with 394 simulations. At Paracou, simulated LAI matched the one derived from UAV-borne lidar, both showing an increase during the dry season (CC=0.84, RMSEP=0.11  $m^2m^2$ ). At Tapajos, simulated LAI matched the empirical LAI derived from 396 PhenoCams (CC=0.91, RMSEP=0.15 m<sup>2</sup> m<sup>-2</sup>; Table A2).

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 The different datasets gathered to estimate LAI dynamics per cohorts also showed contrasted patterns (Fig. 8 and Fig. A3). At Tapajos, PhenoCams indicate a maximum young leaf LAI reached during the dry season and a minimum during the wet season, with inverse patterns for old leaf LAI. TROLL 4.0 simulations yielded patterns consistent with these observations (Fig. 8). However, Yang et al.'s (2023) reanalysis predicts the exact opposite trends for young and old leaves, with a maximum young leaf LAI during the wet season and a minimum during the dry season. At Paracou, we could only compare simulated trends against Yang et al. (2023)'s reanalysis and the match was relatively poor (Fig 8).







 **Figure 7: Mean annual cycle of leaf area index (LAI) at Paracou and Tapajos, derived from fortnightly means, from different sources (see methods). Bands are the intervals of means across years, and the vertical yellow bands in the background correspond to the site's climatological dry season. Simulated values correspond to 10 years of simulations starting from the end-state of 600-year**  regeneration from bare ground with calibrated parameters at each site.



 **Figure 8: Mean annual cycle of normalised leaf area index per leaf age cohorts, derived from fortnightly means, at Paracou and Tapajos. Note that the three leaf age cohorts (young, mature and old leaves) are not defined the same way in the three sources. Leaf age per cohort depends on the individual leaf lifespan in TROLL 4.0 (see Maréchaux et al., submitted companion paper), while the transition from young to mature and mature to old are respectively fixed to 1.71 and 5.14 months in Yang et al. (2023) and fitted to 1 and 3 months in Wu et al. (2016). The vertical yellow bands in the background correspond to the site's climatological dry season. See figure A3 for absolute variation per cohort, site and dataset. Simulated values correspond to 10 years of simulations starting from the end-state of 600-year regeneration from bare ground with calibrated parameters at each site.**

# **3.3 Water and carbon fluxes**

- TROLL 4.0 captured the seasonality of gross primary productivity (GPP) observed at the two sites, with an increase before the
- onset of the dry season, reaching its maximum during the dry season, and a decrease starting before or at the onset of the wet
- season (Fig. 9 and see Fig. A4 for interannual variations, Tab. A2). Comparison with eddy flux estimates with simulations
- were high both at Paracou (CC=0.60) and Tapajos (CC=0.46). TROLL 4.0 overestimated GPP at both sites, particularly during
- 422 the dry season, with a RMSEP of 0.75 and 1.12 kgC  $m<sup>2</sup>$  year<sup>1</sup> when compared with both eddy flux and TROPOMI SIF
- estimates at Paracou and Tapajos, respectively.







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 **Figure 9: Mean annual cycle of gross primary productivity for Paracou and Tapajos, derived from fortnightly means. The red lines represent the gross primary productivity estimated from TROPOMI SIF while the black lines represent the one derived from eddy flux measurements, and the blue lines the simulated gross primary productivity with TROLL 4.0. Bands are the intervals of means across ten years, and the vertical yellow bands in the background correspond to the site's climatological dry season. Simulated values correspond to 10 years of simulations starting from the end-state of 600-year regeneration from bare ground with calibrated parameters at each site. Inter-annual variations are shown in Figure A4.**

 The seasonality of water flux was captured by TROLL 4.0 (Fig. 10 and see Fig. A5 for interannual variations, Tab. A2), with a pronounced increase in evapotranspiration (ET) during the dry season at both sites, and leading to CC of 0.66 and 0.70 when compared with eddy flux estimates at Tapajos and Paracou respectively. Although intra-annual variations of simulated and observed values overlapped, TROLL 4.0 tended to overestimate ET in Tapajos during the dry season, leading to RMSEP values of 0.60 and 0.75 mm day<sup>-1</sup> when compared with eddy flux estimates at Paracou and Tapajos respectively. TROLL 4.0 also captured the seasonality in RSWC of the top soil layer at Paracou and Tapajos (Fig. A6, Table A2, see Fig. A7 for absolute variation with varying depth), with a high RSWC in the wet season close to 100% and a sharp decrease in RSWC in the dry season, although overall smoother in simulations than field estimates.







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 **Figure 10: Mean annual cycle of evapotranspiration for Paracou and Tapajos, derived from fortnightly means. The black lines represent the evapotranspiration derived from eddy flux measurements and the blue lines the evapotranspiration simulated with TROLL 4.0. Bands are the intervals of means across years, and the yellow vertical bands in the background correspond to the site's climatological dry season. Simulated values correspond to 10 years of simulations starting from the end-state of 600-year regeneration from bare ground with calibrated parameters at each site. Inter-annual variations are shown in Figure A5.**

 Both eddy flux-derived and simulated GPP showed a positive logarithmic relationship with cumulative incoming PAR and maximum VPD, and a positive linear relationship with mean temperature at daily scale (Fig. 11). TROLL 4.0 predicted a higher PAR conversion to carbon under high irradiance, high VPD and high temperature conditions when compared to eddy flux estimates, consistent with the higher dry-season GPP in simulations (Fig. 9). Responses of SIF-derived GPP to climatic variables were weak in comparison to simulated and eddy flux derived GPP. Simulated ET was positively correlated with maximum VPD, cumulative PAR and mean temperature, similarly to eddy flux derived ET (Fig. 12). At Paracou, the relationships between environmental drivers and simulated ET, closely aligned with the ones obtained from eddy flux estimates. However, at Tapajos, simulated ET was overestimated under high irradiance, VPD, temperature and windy conditions in comparison to eddy flux estimates. Simulated GPP and ET at both sites were more strongly controlled by 455 environmental variables (higher  $R^2$  in Figs. 11-12) than eddy flux derived GPP and ET.







 **Figure 11: Daily averages of gross primary productivity as a function of daily maximum vapour pressure deficit, total incoming photosynthetically active radiation, average temperature, and average wind speed for model-, satellite- and eddy flux-based estimates at Paracou (top) and Tapajos (bottom). Lines illustrate the linear regression of form y ~ log(x), and text the squared** 

**Pearson's R correlation coefficient.**







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 **Figure 12: Daily total evapotranspiration as a function of daily maximum vapour pressure deficit, total incoming photosynthetically active radiation, average temperature, and average wind speed for model- and eddy flux estimates at Paracou and Tapajos. Lines**  illustrate the linear regression of form  $y \sim log(x)$ , and text the squared Pearson's R correlation coefficient.

#### **4 Discussion**

 Here we tested the performance of TROLL 4.0 in reproducing observed forest structure and diversity, but also water and carbon fluxes, and leaf dynamics. We conducted a detailed model evaluation for two Amazonian rainforest sites, Paracou and Tapajos, presenting contrasting climate and soil properties. Both sites have been intensively monitored over the past decades, and we compared the model outputs with available data. We now discuss the consistencies and discrepancies between simulated and observed patterns, potential uncertainties in our results, and the advantages and possible improvements of TROLL 4.0.

# **4.1 Forest structure and composition**

 TROLL 4.0 was found to jointly simulate realistic forest structure and species composition (Maréchaux et Chave, 2017). The calibration of three global parameters led to simulated tree abundances across size classes and basal area or aboveground biomass in good agreement with observations from forest inventories. Also, aerial lidar data allowed forest structure to be assessed independently of calibration data. This revealed a good ability of TROLL 4.0 to simulate the horizontal and vertical





 structure of both forests, which is promising for various applications, including biomass estimation (Knapp et al., 2018). Understory inventories at Paracou also allowed us to independently evaluate TROLL 4.0's ability to simulate tree community structure in the 1 to 10-cm tree diameter range. TROLL 4.0 simulated the distribution of smaller trees reasonably well, although it underestimated individuals from the smallest cohorts. This underestimation of the density of small trees may be partly explained by the fact that the one-metre resolution of the voxel grid used in TROLL 4.0 only allows for one tree per square metre of ground, whereas smaller trees may be squeezed into certain areas of the understorey. However the number of simulated small stems remains lower than the maximal potential number in simulations. Another explanation could be the lack of light heterogeneity in the understorey in simulations in comparison to observations (Montgomery and Chazdon, 2001), thus limiting the opportunities for recruitment of small stems. Explorations of simulated micro-environmental variations within the canopy (de Frenne et al., 2019) and inclusion of trait ontogenetic shifts (Fortunel et al., 2019) could further help understand and improve TROLL's ability to simulate forest structure in the understory.

 TROLL 4.0 attributes individual trees to botanical species and it permits tree functional traits to vary within species. It thus provides a finer-grained description of biodiversity compared to models based on plant functional types (e.g. Longo et al., 2018), and uses a description matching the one of ecologists, in contrast with taxonomy-free continuous trait spectrum approaches (e.g. Sakschewski et al., 2015). The simulated species composition presented classically observed L-shaped profile of species rank abundance distribution in the two sites, but with an over-estimated species evenness resulting in under-abundant dominant species and over-abundant rare species, as already observed in previous versions of the model (Maréchaux and Chave, 2017). Several simulation factors could have resulted in the overestimation of species evenness. The species trait values were extracted from global databases and partially imputed and may therefore not represent the true trait values for the region concerned, which could affect the behaviour of individual species in the model. However, as this noise is random, it seems unlikely that the global values and imputation have led to the skewed species abundance. More likely, the simulations used an external seed rain representing immigration from a continuous forest matrix. We here implemented a homogeneous seed rain, in which all species are equally-abundant, as a conservative test of the model's ability to represent community assembly. Here, the simulated composition after regeneration from bare ground is determined by species traits and their simulated effect on demographic processes and species fitness, rather than prescribed differences in seed rain. However, this homogeneous, and therefore unrealistic, seed rain maintains diversity in the simulated forest with a rescue effect, and can dampen species dominance by promoting less dominant species through a high immigration. The effects of the representation of seed production, dispersal and recruitment on simulated communities should be further explored in the future, especially for projections under disturbance scenarios where forest regeneration is key (Diaz-Yanez et al., 2024, Hanbury-Brown et al., 2022).

 TROLL 4.0 also explicitly simulates forest functional diversity in the community. Simulated functional trait distributions matched well the observed distributions at both sites, as already observed in previous versions of the model (Maréchaux and Chave, 2017). In Paracou, the main discrepancies were the lack of individuals with high LMA (between 120 and 150 g m<sup>-2</sup>),





512 low wood specific gravity (below  $0.4$  g cm<sup>-3</sup>) and/or high leaf area (above 100 cm<sup>2</sup>). In contrast, in Tapajos, the model tended to simulate lower LMA and less negative turgor loss points on average. Since trait combinations are structured at the species level, and trait integration is high dimensional in tropical forests, with decoupled leaf and wood economic spectra (Baraloto et al., 2010) and weak associations between leaf turgor loss point and other leaf traits (Maréchaux et al., 2019), these discrepancies can be more easily interpreted at Paracou where the trait distributions are built on species-level (and not genus- level) information. Regarding the lack of high LMA individuals, TROLL 4.0 underestimated the abundance of common species such as *Lecythis persistens* or *Licania alba*, which present high LMA. These species come from genera that are hyperdominant across the Amazon basin (ter Steege et al., 2013) but may be underrepresented in the simulations due to the overestimation of species evenness in TROLL 4.0 as discussed above. The lack of light wood and high leaf area individuals can be related to the underestimated abundances of light demanding and pioneer species with fast growth (Chave et al., 2009), such as the ones of the genus *Cecropia*. These species are known to quickly colonise forest gaps under high light conditions, thanks to fast carbon assimilation and growth, and the dispersal of a high number of small, potentially dormant, seeds, leading to an omnipresence of these species in the forest seed bank (Holthuijzen and Boerboom, 1982; Alvarez-Buylla and Martínez-Ramos, 1990). In TROLL 4.0, the seed-size mediated tolerance-fecundity trade-off (Muller-Landau et al., 2010) is assumed to be perfectly equalising, and all species present in the local seed bank and able to strive under the local light availability have the same probability of being recruited per seed. However, this assumption likely disadvantages gap-affiliated species with a colonisation strategy, and could easily be revisited in future model developments.

# **4.2 Leaf phenology**

530 We calibrated and evaluated the new phenology module of TROLL 4.0. The calibration of the three module parameters  $(a_{T,o}$ ,  $b_{T\rho}$  and  $\delta_{\rho}$ ), which together control the variation of old leaf fall under drying conditions, was conducted using litterfall trap data. This resulted in a realistic litterfall seasonality with a peak during the dry season as already documented (Manoli et al., 2018, Chave et al., 2010, van Langenhove et al., 2020). Interestingly, the calibration resulted in the same values for two 534 parameters at the two sites  $(a_{T,0}, b_{T,0})$  and close values for the third one  $(\delta_0)$  to which the simulated litterfall pattern is less sensitive (Fig. A2). At both sites, simulations with the mean value of the third parameter resulted in similar evaluations (not shown). This suggests a good transferability of the phenology module across sites without the need for site-specific calibration, 537 although this remains to be further tested at additional sites and in contrasted conditions (e.g. Restrepo-Coupe et al., 2017). A faster shedding of old leaves was assumed to depend on soil water potential in the root zone, rather than soil water content, on individual leaf water potential at turgor loss point, and on tree size. These are biologically reasonable hypotheses and this supports a good generality of the module. However, the current implementation of leaf dynamics in TROLL 4.0 leads to an underestimation of the flux of litterfall in wet and dry seasons and, as a result, of total annual litterfall at both sites. In TROLL 4.0, leaf lifespan was parameterized based on an empirical relationship with leaf structure (leaf mass per area; Maréchaux et al., companion paper). Previous relationships provided in the literature (Reich et al., 1991; Reich et al., 1997; Wright et al., 2004) provided contrasting leaf lifespan estimates, with the one implemented in TROLL 4.0 providing among the highest values, calling for a more in-depth exploration of the reliability and transferability of these empirical relationship. Alternative





 representations, such as the ones based on optimality principles (Kikuzawa 1991, Franklin et al., 2020, Manzoni et al., 2015), and their combination with the environmentally-driven old leaf shedding acceleration implemented in the new module could be explored in the future.

 The evaluation of leaf area index (LAI) and its dynamics was difficult due to the number of products that yield inconsistent time series. Remotely sensed MODIS LAI showed a very small seasonal variation with a slight increase of LAI starting at the beginning of the dry season at both sites. However, MODIS LAI data products are known to be susceptible to the uncertainty affecting the bidirectional reflectance, and to saturate at high LAI values (Petri and Galvão, 2019). Local measurements of 554 LAI through UAV-borne lidar in Paracou showed a stronger increase of total LAI of  $0.5 \text{ m}^2 \text{ m}^{-2}$  starting at the beginning of the dry season, and leading to a maximum in the dry season. This pattern of variation was in strong agreement with that simulated for LAI by TROLL 4.0. Similarly, local measurements of top canopy LAI derived from phenological cameras in Tapajos (Wu et al., 2016) also showed a high increase of total LAI in the dry season, above 0.5 m<sup>2</sup> m<sup>-2</sup>, also in good agreement with the seasonal LAI variation simulated by TROLL 4.0 at that site. By contrast, the LAI derived from terrestrial vertical lidar in Tapajos showed almost no variations (Smith et al., 2019), and such differences with both the patterns derived from phenological cameras and simulations need to be further scrutinised. Among potential explanations, LAI from TLS in Tapajos was adjusted to the annual mean of 5.7 (Stark et al., 2012), leading to lower absolute variations than what was obtained elsewhere, and used coarse spatial and temporal resolutions over small spatial and temporal extents (see material and methods). The discrepancy with simulated patterns could also be linked to uncertainties in LAI variations in the understory in our simulations. Recent studies have suggested opposite variations in LAI between the canopy and the understorey (Nunes et al., 2022), which should be further explored with TROLL 4.0. Overall, while obtaining a robust estimate of LAI temporal variation in tropical forests remains a challenge (Vincent et al., 2023; Bai et al., 2023), the relative variation of LAI simulated by TROLL 4.0 matched the most reliable products at each site, providing an encouraging assessment of this model's ability. Importantly, while total LAI variation remains limited on average within a year in tropical rainforests, this hides important turnover across leaf ages and species, and to ensure robust predictions models should endeavour to represent such turnover and its underlying processes (Wu et al., 2017).

 The dry-season increase in total LAI simulated in TROLL 4.0 corresponds to a rejuvenation of the canopy leaf cover associated with a decrease in the LAI of old leaves at the beginning of the dry season, directly followed by an increase in the LAI of young leaves during the dry season. This turnover is in very good agreement with the one captured by phenological cameras at Tapajos (Wu et al., 2016) and documented in other studies (Yang et al., 2021; Doughty and Goulden, 2008), while the SIF- derived young LAI pattern (Yang et al., 2023) showed an opposite pattern at this site. The main difference in simulated cohorts between the two sites is the continuous dominance of old LAI in Tapajos while mature leaves dominated at the end of the dry season in Paracou. This dominance of older (and less efficient) leaves in Tapajos simulations may be linked to the underestimated litterfall flux and soil water depletion during the dry season at this site. However, the relative proportion of leaf area across the different leaf age pools within and across datasets strongly depends on the definition of the leaf age pools





 themselves. These pools depend on the individual leaf lifespan in TROLL 4.0 (see section 2.6.2 in Maréchaux et al., submitted companion paper), while the transition from young to mature and mature to old are respectively fixed to 1.71 and 5.14 months in Yang et al. (2023) and fitted to 1 and 3 months in Wu et al. (2016). These contrasting approaches may explain the higher relative importance of old leaves in Wu et al. (2016) compared to Yang et al. (2023) and the intermediate values of TROLL 4.0 (Fig. 6). The seasonal dynamics of leaf cohorts remains poorly known in tropical forests and additional high-resolution optical imagery, *e.g.* by drones or phenological cameras, would be extremely useful to better document these patterns.

# **4.3 Water and carbon fluxes**

 At Tapajos, DGVMs simulated opposite seasonal trends in carbon and water fluxes compared to the observed ones (e.g. Fig. 1 in Chen et al., 2020; Fig. 5 in Longo et al., 2019b; Fig. 3 in Restrepo-Coupe et al., 2017). In contrast, TROLL 4.0 showed a good ability to represent the dynamics of both carbon and water fluxes estimated with eddy covariance data. In particular, TROLL 4.0 captures the dry season increase in gross primary productivity (GPP) and evapotranspiration (ET) documented for light-limited forests (Guan et al. 2017, Wagner et al. 2016, Aguilos et al. 2018). Simulated GPP and ET also presented realistic daily responses to environmental drivers, namely vapour pressure deficit (VPD), temperature, incident radiation and wind speed, both in direction and relative magnitude.

 However, at Tapajos, we found that TROLL 4.0 overestimated ET during the dry season in comparison to eddy flux-derived ET values, under high irradiance, high VPD and high temperature. Simulated ET consists in tree transpiration summed over simulated individuals, water evaporation from the topsoil layer, and the direct evaporation of the rainfall intercepted by the canopy (Kunert et al., 2017). TROLL 4.0 may underestimate the stomatal control of transpiration during the dry season at Tapajos. Accordingly, the control of ET by atmospheric conditions in Tapajos was overestimated in simulated data in comparison to observations, suggesting a stronger coupling of vegetation and atmosphere at that site than simulated (de Kauwe et al., 2017). Underestimation of stomatal control can result from the representation of stomatal conductance and its responses to soil water availability. These are active areas of research and alternative representations could be considered in the future (Wolf et al. 2016; Anderegg et al. 2018; Sabot et al., 2022, Lamour et al., 2022; see sections 2.5.2 and 2.5.3 and Appendix B in Maréchaux et al. submitted companion paper). Alternatively, during the dry season, a lack of stomatal control can be due to an overestimation of soil water availability in the model. Soil water content dynamics depend on both the soil depth (Fig. A7) and on the soil hydraulic properties. The two sites are known to present heterogeneity in soil properties but we here performed simulations with homogenous soil properties, both horizontally and vertically. For instance in Paracou, the topsoil layer is sandier than the 15-30 cm layer (Van Langenhove et al., 2021). Although TROLL 4.0 quantitatively captures the soil water depletion observed during the dry season, it appears to underestimate this depletion compared to empirical estimates at both sites (Fig. A6). This underestimation occurs in spite of the agreement between simulated and eddy covariance-derived ET during the dry season in Paracou, and of the higher simulated than eddy-covariance-derived ET during the dry season at Tapajos. Testing the model's sensitivity to soil layer thickness and properties will be important to perform prior to forest projections under drier future conditions and model spatial up-scaling (Meunier et al., 2022). For example, simulations with





 the ED2 model suggested that forest responses to drier conditions at Tapajos strongly depended on soil texture (Longo et al., 2018). Finally, the greater disagreement between simulated and eddy-covariance-derived ET at Tapajos than Paracou also calls for an in-depth evaluation of the global reanalysis precipitation data at this site. More generally, climate of the Amazon is notoriously challenging for models and it is important to further explore climate forcings in vegetation models.

 TROLL 4.0 tended to overestimate empirical GPP estimates, particularly during the dry season, in comparison to both eddy covariance- and SIF-derived GPP. GPP is driven by the photosynthetic activity of the canopy, which depends on multiple processes (Diao et al., 2023; Slot et al., 2024) and further work would be needed to precisely discriminate among them, while accounting for eddy covariance uncertainties (Cui and Chui, 2019). Among others, simulated GPP is sensitive to the parameters that control light transmission and absorbance (light extinction coefficient, apparent quantum yield; Maréchaux & Chave, 2017). Both are assumed fixed and constant in simulations, but are known to vary with leaf angle distribution and leaf optical properties, depending on micro-environmental conditions and species (Long et al., 1993; Poorter et al., 1995; Meir et al., 2000; Kitajima et al., 2005). Also, the response of leaf-level gas exchanges to soil water availability shows no clear consensus across models (Powell et al, 2013; Trugman et al., 2018), and could be underestimated during the dry season in TROLL 4.0 simulations. Simulated GPP was higher than inferred from eddy covariance data, which was itself higher than GPP inferred from SIF satellite data (Chen et al., 2022). The eddy covariance-derived GPP were obtained from the net ecosystem exchanges using the nighttime partitioning method (Reichstein et al., 2005). This method was developed for temperate forests with greater temperature variations than tropical forests, which could therefore bias the empirical estimates. In addition, the eddy flux method has long been reported to underestimate CO2 fluxes (Baldocchi, 2003; Gao et al., 2019). Similarly, even though solar induced fluorescence offers a great potential for the evaluation or the calibration of seasonal carbon fluxes in vegetation models, especially as the tropics are underrepresented by eddy flux tower networks (Villarreal et Vargas, 2021), current SIF products should be used with care (Marrs et al., 2020).

#### **5 Conclusions**

 Here we evaluated the TROLL 4.0 individual-based forest dynamics model, which is capable of jointly simulating forest structure, diversity and functioning. To this end, we assembled data from forest inventories, eddy flux towers, litterfall traps, UAV-borne and terrestrial lidar, phenological cameras, and satellite products at two Amazonian forest sites and found that TROLL 4.0 was able to realistically simulate the forest structure and composition, water and carbon fluxes, and leaf area dynamics. In using data of different nature and under the control of different processes, we limited the emergence of equi-finality issues (Medlyn et al., 2005), suggesting a good transferability and robustness of TROLL 4.0.

 Comparison with field inventories, aerial and satellite data confirm TROLL 4.0's ability to realistically simulate the structure and composition of tropical forests, without imposing constraints beyond the species pool and calibrating more than three parameters. Discrepancies between observed and simulated tree abundances in small size classes and abundance of trait values





 specific to colonising species suggest further developments of regeneration processes are needed, a worthy endeavour in the context of increased disturbance regimes. TROLL 4.0 was further able to simultaneously simulate the seasonality of productivity, evapotranspiration and leaf area in these two light-limited forests, as opposed to many current DGVMs (Chen et al., 2020; Restrepo-Coupe et al., 2017; Longo et al., 2019). The model's ability to simulate ecosystem fluxes is further shown by the responses of carbon and water fluxes to environmental drivers, whose direction and relative importance were well aligned with observations at both sites despite contrasting climate and soil properties. Additionally, the dynamics of total leaf area appeared realistically partitioned into different leaf pools, as shown by the leaf rejuvenation during the dry season in these systems (Wu et al., 2016; Yang et al., 2021). However, further inspection of the leaf area dynamics across the canopy vertical profile would be useful. Also, the model overestimation of productivity and evapotranspiration during the dry season calls for a more in-depth exploration of the model representation of respiration, plant hydraulics (e.g., stomatal control), and soil hydrology.

 Overall, our analyses establish the suitability of TROLL 4.0 for simulating forest structure, diversity and ecosystem functioning in short- and long-term studies of tropical forest dynamics, paving the way for multiple applications (Maréchaux et al., 2021). TROLL 4.0 could thus be used for projections of the effects of climate change on tropical forests, and exploration of the effect of biodiversity on forest resilience to these changes (Sakschewski et al., 2016). Similarly, as TROLL 4.0 retains the species- level taxonomic description, it can also help explore the effects of management practices such as timber production, for which half of tropical forests are designated (Blaser et al., 2011). While the development of TROLL 4.0 will continue, in light of knowledge improvement, novel data collection and identification of uncertainties and discrepancies, we believe it represents a valuable tool for addressing the major challenges tropical forests are currently facing.

# **Code and data availability**

 The TROLL version 4.0 and further developments are publicly available on GitHub as a C++ standalone at https://github.com/TROLL-code/TROLL or wrapped into an R package at https://github.com/sylvainschmitt/rcontroll/. All the code associated with the analyses described in this paper are available at https://github.com/sylvainschmitt/troll\_eval and permanently stored at add a zenodo doi after acceptance with corresponding analyses notebook at 673 https://sylvainschmitt.github.io/troll\_eval/. Inventories data for Paracou trees over 10 cm are available through request on the CIRAD dataverse: https://dataverse.cirad.fr/dataverse/paracou. Paracou trees understory trees are available through request, PI: GS, GD, JC. Aerial Lidar Scanning from Paracou are available through request (PI: GV) and from dos-Santos et al. (2019) for Tapajos. Species data are available from Jucker et al., (2022), Maréchaux et al., (2015), Guillemot et al., (2022), Vleminckx et al., (2021), Maréchaux et al., (2019), Nemetschek et al., (2024), Schmitt and Boisseaux (2023), Boisseaux et al., (submitted), Ziegler et al., (2019), Baraloto et al., (2010), and from TRY (Kattge, Bönisch, et al., 2020). Soil data have been collected from Van Langenhove et al., (2021), Silver et al., (2000), Quesada et al., (2010), Sabatier et al., (1997), and Nepstad et al., (2002). Eddy covariance data from Paracou and Tapajos sites are available on FLUXNET at https://fluxnet.fluxdata.org (last access:





 6 September 2023). ERA5-Land data are available on the Climate Data Store: https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land?tab=overview. TROPOMI SIF satellite data are available in Chen et al., (2022). Litterfall data at Tapajos are available online through the Oak Ridge National Laboratory (ORNL) Distributed Active Archive Center (DAAC): https://daac.ornl.gov/LBA/guides/CD10\_Litter\_Tapajos.html and upon- request at Paracou, PI: DB. MODIS LAI data are available online and were extracted from PLUMBER2 on Research Data Australia: https://researchdata.edu.au/plumber2-forcing-evaluation-surface-models/1656048. Terrestrial LAD data from Tapajos are available in Smith et al., (2019). Lidar PAD data from Paracou are available upon-request, PIs: NB and GV. LAI variations among young, mature and leaf cohorts are available from the reanalysis of Yang et al. (2023) at: https://figshare.com/articles/dataset/Leaf\_age-dependent\_LAI\_seasonality\_product\_Lad-

- 690 LAI over tropical and subtropical evergreen broadleaved forests/21700955/4 and from the phenological camera of Wu et
- al., (2016) at: https://datadryad.org/stash/dataset/doi:10.5061/dryad.8fb47. Tapajos soil moisture data from Restrepo-Coupe et
- al. (2024) are available at: https://datadryad.org/stash/dataset/doi:10.5061/dryad.d51c5b08g.

# **Author contributions**

- SS and IM designed the model assessment and carried out the TROLL 4.0 simulations. SS, FJF, JC and IM developed TROLL
- 4.0. SS, FJF, NB, MB, DB, BB, XC, GD, JL, DM, NRC, ScS, GS, PV, GV, CZ, JC, IM contributed to the data collection and
- compilation. SS and IM wrote the paper.

# **Competing interests**

The authors declare that they have no conflict of interest.

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# 1153 **Appendix**

# 1154 **Table A1: TROLL 4.0 global parameters.**















<sup>\*</sup>Assumed is a value that is supposed; Calibrated is a value that was previously calibrated; Constant is a fundamental physic constant; 1156 Literature is a value prescribed from the literature. Literature is a value prescribed from the literature.

![](_page_47_Picture_1.jpeg)

![](_page_47_Picture_2.jpeg)

1158 Table A2: Evaluation of forest structure, composition and fluxes explored at Paracou and Tapajos. Evaluations include the goodness-<br>1159 of-fit R<sup>2</sup> from the linear regression with a null intercept, the Pearson's r co 1159 of-fit R<sup>2</sup> from the linear regression with a null intercept, the Pearson's r correlation coefficient CC, the root mean square error of 1160 prediction RMSEP, the standard deviation of the error of prediction SD. prediction RMSEP, the standard deviation of the error of prediction SD.

![](_page_47_Picture_538.jpeg)

![](_page_48_Picture_1.jpeg)

![](_page_48_Picture_2.jpeg)

![](_page_48_Figure_3.jpeg)

1163 **Figure A1: Representativity of imputed functional traits values (red) against raw functional trait values (blue) from various datasets**  1164 (see methods). Traits were imputed using predictive means matching for dbh<sub>max</sub>, hlim, and  $\pi_{tlp}$  only. The number in each subplots represents the number of species with a trait value in the raw data and after impu 1165 **represents the number of species with a trait value in the raw data and after imputation composing respectively the blue and red**  curves.

![](_page_49_Picture_1.jpeg)

![](_page_49_Figure_2.jpeg)

![](_page_49_Figure_3.jpeg)

1168 **Figure A2. Effect of each parameter of the new leaf shedding module on the simulated timing and intensity of the litterfall peak <br>1169 during the dry season. Top panels illustrate simulated variations of litterfall** 1169 during the dry season. Top panels illustrate simulated variations of litterfall at both sites for varying  $a_{T,0}$ ,  $b_{T,0}$ , and  $\delta_0$  with the other parameters fixed to a calibrated value. Bottom panels illustrate 1170 parameters fixed to a calibrated value. Bottom panels illustrate the corresponding timing and intensity of the dry season litterfall<br>1171 peak: (i) the day of the litterfall peak as the julian day of the maximum annua **peak:** (i) the day of the litterfall peak as the julian day of the maximum annual value (day), and (ii) the ratio between the peak value (1722) (computed as the average of litterfall flux over the two consecutive time int 1172 **(computed as the average of litterfall flux over the two consecutive time intervals before and after the peak day) divided by the basal <br>1173 <b>flux (computed as the average between January and April)** (ratio).  $a_{T,$ 1173 **flux (computed as the average between January and April) (ratio).**  $a_{T,0}$  **mainly limited the intensity of the peak with a peak up to 60**  $1174$  **times the wet season base litter flux with small parameter values close** 1174 times the wet season base litter flux with small parameter values close to 0.01 and no peak with values greater than 0.3, when <br>1175  $b_{T,0}$ =0.02 and  $\delta_0$  =0.2. Values of  $a_{T,0}$  greater than 0.1 also resulted in  $h_{T,0} = 0.02$  and  $\delta_0 = 0.2$ . Values of  $a_{T,0}$  greater than 0.1 also resulted in a later peak during the dry season.  $b_{T,0}$  mainly influenced the <br>1176 date of the simulated peak during the dry season, as well as th 1176 **date of the simulated peak during the dry season, as well as the intensity of the simulated peak for values greater than 0.1. Indeed,**  1177 **low values of**  $b_{T,0}$ **, close to 0.01, resulted in a peak starting in September, while high values showed a peak starting in December,** 1178 when  $a_{T,0}=0.2$  and  $\delta_0=0.2$ . Finally,  $\delta_0$  appeared to have a smaller influence on the intensity and timing of the simulated litter peaks. 1179 **Higher values of**  $\delta_0$  **increased the duration of the simulated peaks or the litter flux between two peaks during the same dry season.** 

![](_page_50_Picture_1.jpeg)

![](_page_50_Figure_2.jpeg)

![](_page_50_Figure_3.jpeg)

1181

1182 **Figure A3: Mean annual cycle of leaf area index per leaf age cohorts, derived from fortnightly means, at Paracou and Tapajos. Note** 

1183 **that the three leaf age cohorts (young, mature and old leaves) are not defined the same way in the three sources. Leaf age per cohort**  1184 depends on the individual leaf lifespan in TROLL 4.0 (see Maréchaux et al., submitted companion paper), while the transition from young to mature and mature to old are respectively fixed to 1.71 and 5.14 months in Yan 1185 young to mature and mature to old are respectively fixed to 1.71 and 5.14 months in Yang et al. (2023) and fitted to 1 and 3 months in Yu et al. (2016). Bands are the intervals of means across years, and the vertical 1186 **in Wu et al. (2016). Bands are the intervals of means across years, and the vertical yellow bands in the background correspond to**  the site's climatological dry season.

![](_page_51_Picture_1.jpeg)

![](_page_51_Picture_2.jpeg)

1188

1189

![](_page_51_Figure_5.jpeg)

TROLL Satellite Tower

1190

1191 **Figure A4: Daily and monthly means of gross primary productivity for Paracou and Tapajos. Dark lines are the monthly means,** 

semi-transparent lines are the daily means variations with the exception of satellite data for which data are available only every 8 days. 1193 **days.**

![](_page_52_Picture_1.jpeg)

![](_page_52_Picture_2.jpeg)

1194

![](_page_52_Figure_4.jpeg)

1195

1196 **Figure A5: Daily and monthly total of evapotranspiration for Paracou and Tapajos. Dark lines are the monthly means, semi**transparent lines are the daily means variations.

![](_page_53_Picture_1.jpeg)

![](_page_53_Picture_2.jpeg)

![](_page_53_Figure_3.jpeg)

1199

1200 **Figure A6: Mean annual cycle from daily means of relative soil water content for Paracou and Tapajos for the topsoil layer up to 10**  1201 **cm. Dark lines are the daily mean across years, semi-transparent lines are the daily means per year. The vertical yellow bands in** 

the background correspond to the site's climatological dry season.

![](_page_54_Picture_1.jpeg)

![](_page_54_Figure_2.jpeg)

![](_page_54_Figure_3.jpeg)

1204

1205 **Figure A7: Mean annual cycle from daily means of soil water content for Paracou and Tapajos at different depths. The depth value**  1206 **indicates the maximum depth of the layer. Dark lines are the daily means across years, and bands are the intervals of means across**  ten years The vertical yellow bands in the background correspond to the site's climatological dry season.