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Micromechanics of fine grain infiltration in coarse grain sands

Fan Chen* · Antoine Wautier · Pierre Philippe · Nadia Benahmed · François Nicot

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Abstract The loss of fine particles can induce mechanical instabilities in granular soils subjected to internal fluid flow. An appealing countermeasure consists of the re-injection of fine grains with the objective of achieving retention in the soil matrix. In this study, both gravity- and fluid-driven infiltration of fine particles into coarse-grain columns with different solid fraction ϕ and size ratios R have been studied using coupled Pore-scale Finite Volume (PFV) and Discrete Element Method (DEM) schemes. Three clogging regimes, surface clogging, deep filtration, and percolation are detected, and the characteristic infiltration depths L_0 are found to grow exponentially with R under gravity- and fluid-driven cases. A probabilistic model derived from pore-constriction size statistics is then put forward, which could efficiently interpret the decaying distribution of fine retention for a given size ratio R and packing density. The mean transit velocity of fine grains follows an increasing trend with R under fixed ϕ and can be collapsed over an almost constant value with the appropriate scaling of ϕ/\sqrt{R} . Compared to gravitational percolation, more lateral dispersion is found in fluid-driven conditions, and an estimation of the related lateral dispersion coefficient D is provided based on ϕ and R .

Keywords Fine injection ; Suffusion remediation ; DEM-PFV ; Clogging ; Granular material

1 Introduction

Understanding the filtering or clogging of granular materials is of great importance in many industrial domains such as chemical engineering, metallurgical, food, pharmaceutical, and ceramic processing, as well as various geotechnical phenomena like debris flows [17, 18, 26, 39] and bedload sediment transport [14]. Underlying the governing mechanisms of granular material infiltration is rather challenging due to the dynamic collisions of particles in the interstitial void of such porous media. As a result, to date, a reliable description model or controlling technique of granular filtering processes considering both macro- and micro-scale grain behaviors is still missing [15, 17].

Numerous experimental and numerical studies have been conducted to interpret and model the fine sand infiltration in the coarse-grain medium. Three typical regimes of fine grain infiltration behaviors repeatedly

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11 occur depending on the size ratio R between coarse and fine particles: (1) instantaneous accumulation
 12 or surface clogging when the size ratio is less than about 3, for which only an additional shear-induced
 13 segregation mechanism allows for mixing the two material [53, 43]; (2) deep bed infiltration when fine
 14 particles are gradually retained, or bridged, at different depths, where grains larger than constriction is
 15 unlikely to pass through but clogged inside [21]; (3) spontaneous percolation or unimpeded static infiltration;
 16 in this case, fine sands can migrate through the smallest possible voids between large particles without being
 17 retained [5, 6, 7, 14]. In practice, undesired free percolation of fine particles can result in degraded quality
 18 of mixed granular assemblies, which is also one of the main reasons why a homogeneous distribution of fine
 19 and coarse components with a large size ratio can hardly be produced.

20 In the field of soil mechanics, the well-graded grain size distribution of soil based on stratigraphic
 21 analysis may span over several orders of magnitude (such as sand-silt or sand-gravel mixture), which
 22 somehow naturally splits the material into fine and coarse components [16, 47]. As a result, fine erosion is
 23 likely to occur. As regards soil material strength, research has proved that fine particles play an important
 24 role as they affects the peak shearing strength [32, 33], limit the development of plastic strain [37] and
 25 improve stability in loose soil matrix [49]. Apart from gravity, various environmental factors also induce
 26 unfavorable percolation of soil in nature. One typical geotechnical problem of undesired grain infiltration is
 27 suffusion, a process of internal erosion in which soil can lose a part of its finer fraction under the hydraulic
 28 action of an internal fluid flow. The long-term suffusion in dikes and embankments can increase the porosity
 29 of soil material that may ultimately lead to unexpected damages or failures of structure [44, 4, 30].

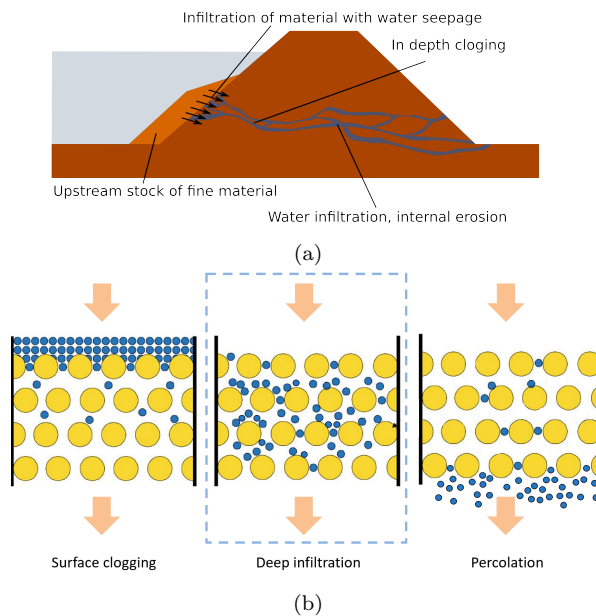


Fig. 1: Schematic of soil remediation method of the eroded dam from upstream fine injection: (a) fine injection method at engineering scale; (b) the three infiltration regimes at the microscopic scale: surface clogging (left), deep infiltration (middle) which is the desired regime for sand remediation, and percolation (right).

30 Suffusion is linked to fine percolation under the action of an internal flow [46, 36, 3, 10, 11]. As a
 31 remediation against suffusion, it is possible to use the flow to infiltrate some fine particles back into the
 32 coarse skeleton. However, the size of the fine particles has to be selected in a way to obtain deep infiltration.
 33 Such remediation technique is sketched in Figure 1(a), which implements an installed stock of fine material
 34 upstream of the eroded dam body. Then, the in-depth infiltration of such fine stock is expected to be
 35 induced by the existing fluid flow, during which the injected fine particles would gradually penetrate and
 36 finally be retained inside the dam to improve the global soil stability [37, 49]. As mentioned above, it is
 37 worth noting that the clogging effectiveness of such material is critical for this remediation concept: the
 38 unchanged free sifting of eroded fine grains would simply perpetuate the fine loss process, also injecting
 39 too many large grains at once is likely to cause surface clogging as reported by [27, 11], thus would prevent
 40 the fine sand from further penetration to repair the erosion part of the dam. Consequently, what this
 41 remediation technique aims to achieve is the so-called in-depth clogging, a balance allowing fine sands to
 42 penetrate sufficiently into the material while minimizing both free sifting and surface clogging, as depicted
 43 respectively in Figure 1(b).

The successful implementation of this remediation attempt requires a comprehensive understanding of infiltration behaviors considering various influencing key factors. Conventionally, filtration could be assessed using the threshold geometrical trapping ratio R_{th} . This trapping ratio is based on the pore structure of mono-dispersed sphere packing - the most restrictive void passage of three large contacting spheres: $R_{th} = d_l/d_{con} = \sqrt{3}/(2-\sqrt{3}) \approx 6.46$, where d_l represent coarse sphere diameter and d_{con} denotes the constriction threshold size that can pass through the void. Existing numerical and experimental studies claimed that the trapping ratio could be slightly larger than R_{th} (around 6.62 to 6.67), due to the occurrence of jammed arches formed by multiple fine grains [41, 20, 29, 34, 35]. Ever since, researchers have focused on either the percolation of fine particles with $R > R_{th}$ or the geometrical trapping threshold for other randomly packed beds [42, 17]. They have analyzed the infiltration behaviors such as percolation velocity, lateral dispersion, and residence time of fine grains falling through the static bed [41, 25, 20, 19, 35]. Since the 1970s, experimental and computational grain infiltration studies have been conducted considering factors such as particle size ratio R , fine particle quantity (collective effect of grain arching), system dimensions, inter-particle restitution coefficient and friction coefficient [12]. Key findings from these investigations include the observation that fine particles tend to maintain a constant infiltration velocity in homogeneous sand columns and exhibit dispersion patterns both perpendicular and parallel to the direction of gravity [17, 35, 25]. In addition, a higher concentration of injected fine particles plays a significant role in enhancing the potential for clogging and impeding the percolation process.

Many previous research simplified the infiltration process by considering mono-dispersed, gravity-driven infiltration when the particle size ratio approaches or is larger than R_{th} , while predominantly disregarding the fluid-driven infiltration into poly-dispersed soil with varied soil packing density, which exists in the case of this remediation method for internal erosion. Therefore, this numerical work, based on the coupled Discrete Element Method (DEM) and Pore-scale finite volume method (PFV), attempts to study both dry and fluid-driven infiltration behaviors of fine sand into granular columns, considering size ratio R from 5 to 12 encompassing the classical geometrical trapping ratio R_{th} . The base soil used in this work adopts the particle size distribution (PSD) of typical Hostun Sand HN1/2.5 mm with dense and loose packing density. The methodology and set-up of the model are introduced in section 2. In section 3, the numerical results like fine retention distribution, averaged infiltration velocity and lateral dispersion are analyzed. By comparing fluid-driven and gravity-driven infiltration, we investigate the effect of lateral flow fluctuations on the infiltration depth thanks to the PFV method that accounts for deviation of fluid paths from the main flow direction. A simple probabilistic model based on pore-constriction size distribution is put forward to interpret the average infiltration distance in terms of traveling lengths through pores and constrictions. This model, despite of its simplicity, has shown encouraging predictive capabilities. Furthermore, an estimation of the lateral dispersion coefficient D can be associated with the mean transit velocity based on ϕ and R .

2 Solid-fluid coupling numerical model

2.1 Discrete element method for the solid phase

The DEM has proved to be a powerful tool for analyzing particle mechanics. Based on simple modeling of contact between interpenetrating rigid particles, the forces and displacements of particles are calculated from Newton's second law [13]. Here, the inter-particle collision behaviors adopt the standard elasto-frictional contact law implemented in YadeDEM, an open-source software [8]. The inter-granular normal and tangential contact forces, F_n and F_t , and the overlaps, Δu_n and Δu_t , are governed by a simple linear elasto-frictional model:

$$\begin{cases} k_n = E \frac{2r_1 r_2}{r_1 + r_2} \\ k_t = \nu k_n \\ F_n = k_n \Delta u_n \\ F_t = \min(k_t \Delta u_t, F_n \tan(\Phi)) \end{cases} \quad (1)$$

These equations prescribe linear elastic behavior in both the normal and tangential directions with a Coulomb friction limit for the tangential force. In Equation 1, the contact stiffness k_n and k_t are derived from a material modulus E , the radii of the two contacting spheres r_1 and r_2 , and the stiffness ratio ν . The friction angle Φ dictates the maximum allowable tangential force, emulating the sliding relative motions between particles. The DEM parameters are given in Table 1 based on [22, 50].

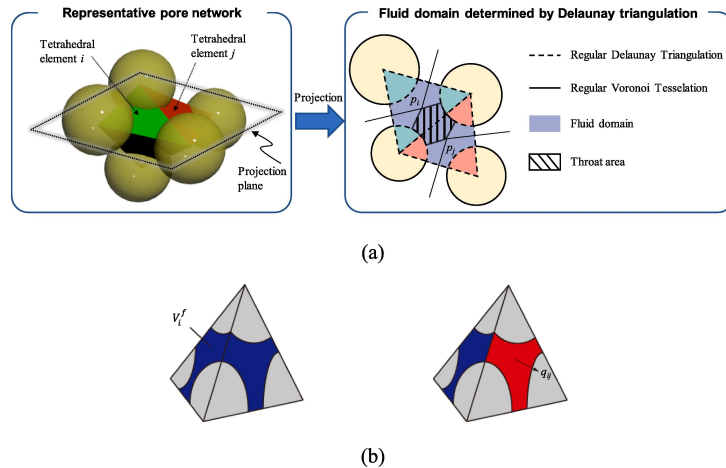


Fig. 2: Delaunay triangulation of the pore network adopted from [18]: (a) schematics of the representative pore network; (b) flux through the tetrahedral element i .

Table 1: Mechanical parameters in the elasto-frictional contact law.

Parameters	Value	Unit
Friction angle (ϕ)	0	$^\circ$
Density (ρ)	2300	kg/m ³
Young modulus (E)	356	MPa
Stiffness ratio (ν)	0.42	-
Friction grain-walls	0	$^\circ$
Coefficient of restitution	0.3	-

91 2.2 Pore-scale finite volume method for the fluid phase

92 The PFV method enables simulation of the interconnected fluid flow network in pore bodies of granular
 93 matter based on Delaunay triangulation to discretize the pore space [9]. The method facilitates solving
 94 the viscous fluid equations at the pore scale via the finite-volume method, as illustrated in Figure 2. It
 95 incorporates the fluid's incompressibility and the no-slip boundary condition at the particle-fluid interface.
 96 Here, the fluid density (ρ_w) is set as 1000 kg / m³ while the dynamic viscosity (μ) is equal to 10⁻³ Pa·s.
 97 The mass conservation equation for each tetrahedral element i can be expressed as:

$$\dot{V}_{f_i} = - \sum_{j=1}^4 q_{ij} \quad (2)$$

98 As Stokes regime predicts a linear relationship between fluid velocity and pressure gradient, the pore
 99 fluid flux between two adjacent pores is defined in the Darcy (or Poiseuille) form [9]. By assuming the fluid
 100 pressure to be constant in each pore, fluid flux can be computed as:

$$q_{ij} = g_{ij} \frac{p_i - p_j}{l_{ij}} \quad (3)$$

101 where g_{ij} represents the hydraulic conductivity of the constriction that connects pore i to pore j , and
 102 l_{ij} denotes the inter-pore distance. It is worth noting that the definitions of these crucial geometrical
 103 parameters are not explicitly provided in Equation 3. The precision and reliability of the PFV model
 104 depend critically on the careful characterization of these two parameters, which should ideally be based on
 105 the specific local geometry of the constrictions linking adjacent pores. For a comprehensive and rigorous
 106 elucidation of the definitions of g_{ij} and l_{ij} , as well as a comprehensive validation of the PFV scheme in
 107 comparisons with fully resolved CFD simulations, it is recommended to refer to the work in [9].

108 2.3 DEM-PFV full coupling

109 Given the fluid velocity field, the force of the fluid on the particle is deduced by integrating the shear and
 110 normal stresses acting on the grain surfaces. Eventually, these additional forces can be used in DEM to
 111 update the grain positions and the pore space geometry. The solid-fluid interaction force exerted on particle
 112 k is expressed as:

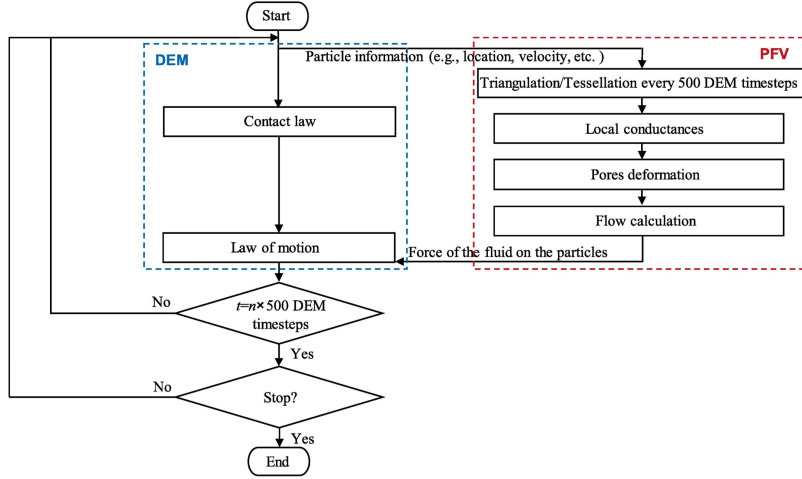


Fig. 3: Flowchart of coupled DEM-PFV method (adopted from [18]).

$$\vec{F}_k = \int_{\partial\Gamma_k} (p_a \vec{n}_k + \tau_{ij} \vec{n}_k) ds \quad (4)$$

113 where p_a is the absolute pressure, τ_{ij} the viscous stress tensor, $\partial\Gamma_k$ the external surface of particle k , and
 114 \vec{n}_k the exiting normal unit vector. Calculating the viscous shear force acting on the solid phase denoted as
 115 F_{ij}^V , involves integrating the momentum conservation equation over the volume of the throat that connects
 116 the two pores. This throat is defined as the space between the centers of the two pores and encompasses
 117 the centers of the three particles located between them. This integration results in three distinct terms:
 118 the viscous stress applied to the solid phase, the viscous stress within the fluid part, and the sum of the
 119 pressures between the two pores. The latter term can be neglected assuming that the pressure gradients
 120 are primarily balanced by the viscous stress on the solid phase. As a result, the viscous shear force exerted
 121 on the particle is directly proportional to the product of the throat's cross-sectional area, denoted as A_{ij} ,
 122 and the pressure difference between the two pores.

$$\vec{F}_{ij}^V = \int_{\partial\Gamma_k} \tau_{ij} \vec{n}_k ds \approx A_{ij} (p_j - p_i) \vec{n}_{ij} \quad (5)$$

123 In this work, we do not seek to further develop PFV-DEM, but use this algorithm already implemented
 124 in YADE to study the mechanisms of infiltration and clogging of fine grains into coarse skeleton [9, 8]. Under
 125 the simplified framework, the fluid-solid interaction problem can be solved efficiently by inverting the sparse
 126 matrix with specific algorithms [9]. To enhance computational efficiency, updates to the pore network are
 127 carried out either when a cumulative deformation threshold is reached or after a predetermined number of
 128 time steps. To maintain numerical stability, the time step in DEM is chosen as the minimum value between
 129 the critical time step determined by the highest characteristic frequency of the particle system and the
 130 critical time step determined by treating the fluid as a viscous damper. As suggested by [51], the updating
 131 of the pore network is scheduled at intervals of 500 DEM time steps.

132 2.4 Model preparation and simulation schemes

133 This study generates a series of parallelepipedic columns of packed spheres to study the gravity- and flow-
 134 driven infiltration behaviors. Two key controlling parameters are considered: the initial packing fraction ϕ
 135 for the coarse particles and the size ratio R between mobile fine particles and static coarse spheres. The
 136 spheres of the packing replicate the particle size distribution (PSD) of Hostun sand HN1/2.5 mm as given in
 137 Figure 4(a). The selection of this PSD introduces a polydispersed base soil, closer to real-world conditions of
 138 natural variability in particle sizes than somehow unrealistic mono-size distribution. This narrowly graded
 139 PSD is particularly suitable for representing the coarse fraction of soils often subjected to suffusion.

140 The D_{50} of Hostun sand, referring to the particle size at which 50% of the soil sample's total mass
 141 are finer, is equal to 1.71mm and is selected to define fine/coarse size ratio R . Note that various existing
 142 filtering criteria [28, 29, 23, 45] are alternatively based on the characteristic value D_{15} as a representative
 143 filter pore size, which is here 1.37 mm. Thus, the corresponding ratio of $R_{15} = D_{15}/d$ varies from 4 to 9.6
 144 as $R = D_{50}/d$ increases from 5 to 12. In the following, D_{50} is assumed to satisfactorily account for the

145 geometric properties of the sphere bed. Therefore, it will be used as the characteristic normalizing length
 146 within the porous medium appearing in any distance or velocity terms.

147 The parallelepipedic column has an equal dimension of 40 mm (around $23.5D_{50}$) in width and length,
 148 large enough to eliminate the boundary effect resulting in an abrupt increase of void space near the wall
 149 [1]. Columns with loose or dense packing density are generated using the gravity-deposition method by
 150 tuning the inter-granular friction angle as explained in [2]. The increase in friction promotes a higher global
 151 void ratio e of the deposited DEM sample, as shown in Figure 4b. Here, 0° and 30° are adopted as ideal
 152 friction angles to reproduce the densest ($e = e_{min} = 0.61$) and the loosest ($e = e_{max} = 0.85$) sand column
 153 as observed experimentally in [40]. The corresponding packings are shown in Figure 4(c) and Figure 4(d)
 154 with a solid fraction ϕ equal to 0.62 and 0.54 respectively. Once the two sand columns are deposited and
 155 stabilized, the spheres composing this column are fixed to avoid any geometric change in the pore space.
 156 Note that the assumption of fixed coarse particles is only valid for small enough hydraulic gradients. This
 157 simplification can help accelerate the collision detection procedure in the DEM algorithm. Consequently,
 158 the full DEM-PFV coupling applies only to the fine grains.

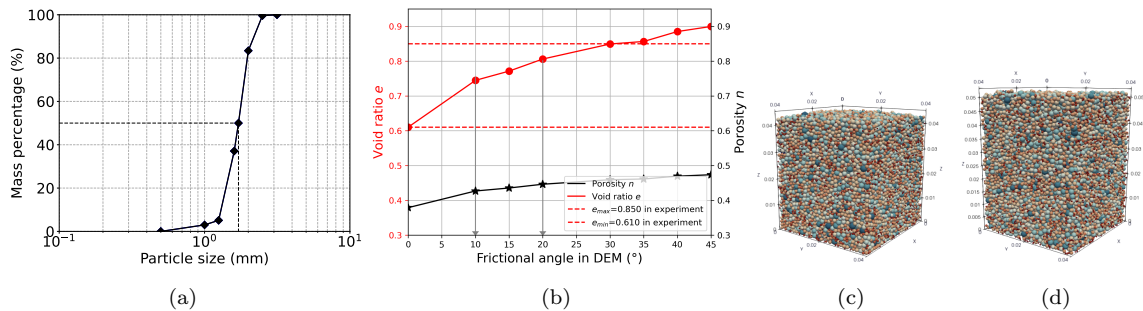


Fig. 4: Coarse sand column preparation process: (a) adopted PSD of Hostun sand HN1/2.5 mm; (b) void ratio e of gravity-deposited DEM sample with various initial friction angle; (c) densest and (d) loosest sand columns with friction angle of 0° and 30° .

159 The numerical protocol is based on the repetition of four similar simulations. For each 100 fine particles
 160 of the same size, initially positioned randomly in the central area above the column to avoid infiltrating
 161 close to the walls, are released either under gravity or imposed hydraulic force (Figure 5(a)). This protocol
 162 enables to have statistics over the independently released 400 grains so that no collective effects exist within
 163 the simultaneous release of 100 grains.

164 Eight different fine sizes are considered, with the sizes ratio $R = D_{50}/d$ varying from 5 to 12. The inter-
 165 particle friction coefficient is set to 0 during the infiltration process. The coefficient of restitution is set to 0.3
 166 as suggested in [2]. The gravity in dry infiltration cases is enlarged to 1000 times to reduce computational
 167 cost. This simulation scheme systematically includes two different packing densities of the sand columns,
 168 gravitational or fluid-driven infiltration, and eight varied ratios R . In the fluid-driven infiltration case,
 169 the granular filters were exposed to a hydraulic gradient of 5 imposed in the same direction as gravity.
 170 To isolate the effect of hydrodynamic forces on fine movement in fluid filtration processes, gravity was
 171 set to zero (Figure 5). In the coupled DEM-PFV, the calculation of hydraulic forces acting on individual
 172 particles is performed at each time step, taking into account the characteristics of the pore network. All
 173 the simulations are stopped either when all fine particles have either been trapped or reached the bottom
 174 of the column.

175 3 Numerical simulation results

176 3.1 Fine retention distribution

177 The retention of fine particles trapped during their transit through the porous column is firstly illustrated.
 178 In Figure 6, the column is sub-divided by equal-distance layers for both loose and dense columns, and the
 179 percentages of clogged fine sands in each layer are represented as shown by the histograms (blue for the
 180 dense column and red for the loose column). The dry and fluid-driven cases with R equals 5, 7, 8, 10, 11,
 181 and 12 are plotted in the first and second rows of Figure 6, respectively.

182 Here, surface clogging is observed with R smaller than 7 (R_{15} smaller than 5.6) as over 95% of the
 183 fine sands are trapped within a shallow depth of less than $10D_{50}$ in dense or loose columns. Next, as R
 184 increases from 8 to 10 (R_{15} from 6.4 to 8.0), deep infiltration occurs with a major fraction of fine sands

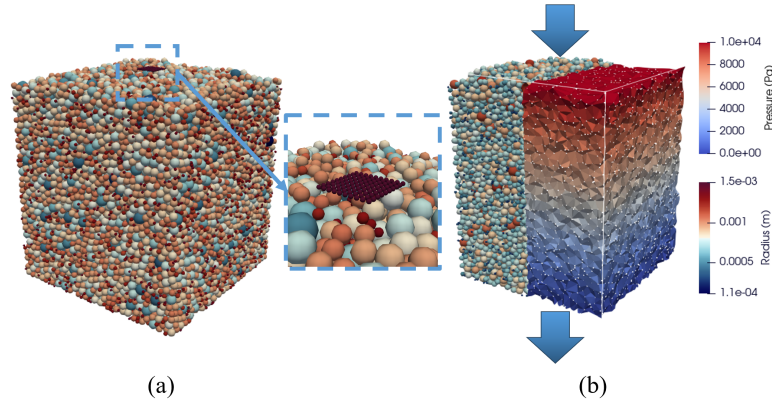


Fig. 5: Initiation of fine infiltration simulation: (a) fine grains positioned above the coarse-granular column; (b) fluid-driven infiltration by coupled DEM-PFV.

185 retained inside the sand column as their infiltration is facilitated by the disappearance of surface clogging.
 186 Specifically, the fine sands are more uniformly distributed in the dense column when $R=10$, whereas in the
 187 loose column, fine grains tend to accumulate at the bottom layer. When R exceeds 10 (R_{15} exceeds 8.0),
 188 a clear percolation of fine grains is observed in both dense and loose columns, and most fine grains finally
 189 travel through the whole column. These results are consistent with existing research on the size effect of
 190 particle segregation [17, 53, 43]. On the other hand, dry or fluid-driven infiltration seems to obtain a similar
 191 result concerning the fine distribution (Figure 6), which requires detailed quantitative comparison.

192 Compared to the loose column, the dense case shows a shift in the R values at the transition between
 193 successive regimes. This can be explained by considering pore-constriction size statistics. Figure 7 provides
 194 the probability distribution function (PDF) of pores and constrictions in loose and dense columns based on
 195 Delaunay triangulation implemented in the PFV method. A constriction is defined as the smallest cylindrical
 196 channel connecting each pair of neighboring pores. It is noted that no merging criteria are used here to
 197 determine pores when joint tetrahedrons are too elongated [48]. It can be seen that the dense column has
 198 clearly narrowly distributed pore and constriction sizes compared to the loose base soil whose corresponding
 199 ranges are much larger. The reduction of pore-constriction space in dense soil samples promotes clogging
 200 of fine grains thus inhibiting the infiltration. These physical-based statistics lead to a simple model in the
 201 following sections to interpret the fine retention results.

202 3.2 Characteristic infiltration depth

203 Based on Figure 6, the gradual process of sieving can be shown in Figure 8 using the so-called passing
 204 fraction, i.e., the percentage of fine sands able to reach a given depth along the column. The data from the
 205 last layer is disregarded in the fitting process to avoid boundary effects. Similarly, it is observed that the fine
 206 sands are filtered within a short distance with a small R . As R increases, fine sands become progressively
 207 clogged during infiltration. From Figure 8, the curves in the loose column move to the upper right compared
 208 to dense cases, showing an enhanced penetration depth.

209 Previous research proposed an exponential decaying function to determine this phenomenon in homo-
 210 geneous soil sample [18]. Therefore, a global exponential fitting curve is adopted for the distribution of fine
 211 sands retention $P(z) = P_0 e^{-z/L_0}$, where z is the penetration depth and P_0 as the initial value of fine sands
 212 retention and L_0 the characteristic infiltration depth (trapping coefficient in some filtration studies). It is
 213 noted that for the probability density function (PDF) $P_0 = 1/L_0$ by normalization (integral of P from 0
 214 to infinity is 1). L_0 is the key parameter to determine the decaying characteristics of fine sands retention.
 215 The exponential curves fit satisfactorily with the passing fraction data as shown in Figure 8. It is worth
 216 noting that L_0 also coincides with the mean penetration depth since:

$$L_{\text{avg}} = \int_0^{\infty} \frac{z}{L_0} e^{-z/L_0} dz = L_0 \quad (6)$$

217 L_0 values are plotted in Figure 9 against the size ratio R under different infiltration conditions. The
 218 analysis reveals an exponential growth pattern of L_0 with R for both loose and dense columns. Specifically,
 219 the mean traveling distance of fine grains in the loose column is two or three times greater than in the dense
 220 one. This finding demonstrates the influence of packing density on fine infiltration. However, the difference
 221 between loose and dense columns is almost negligible at the beginning of the curves for R smaller than

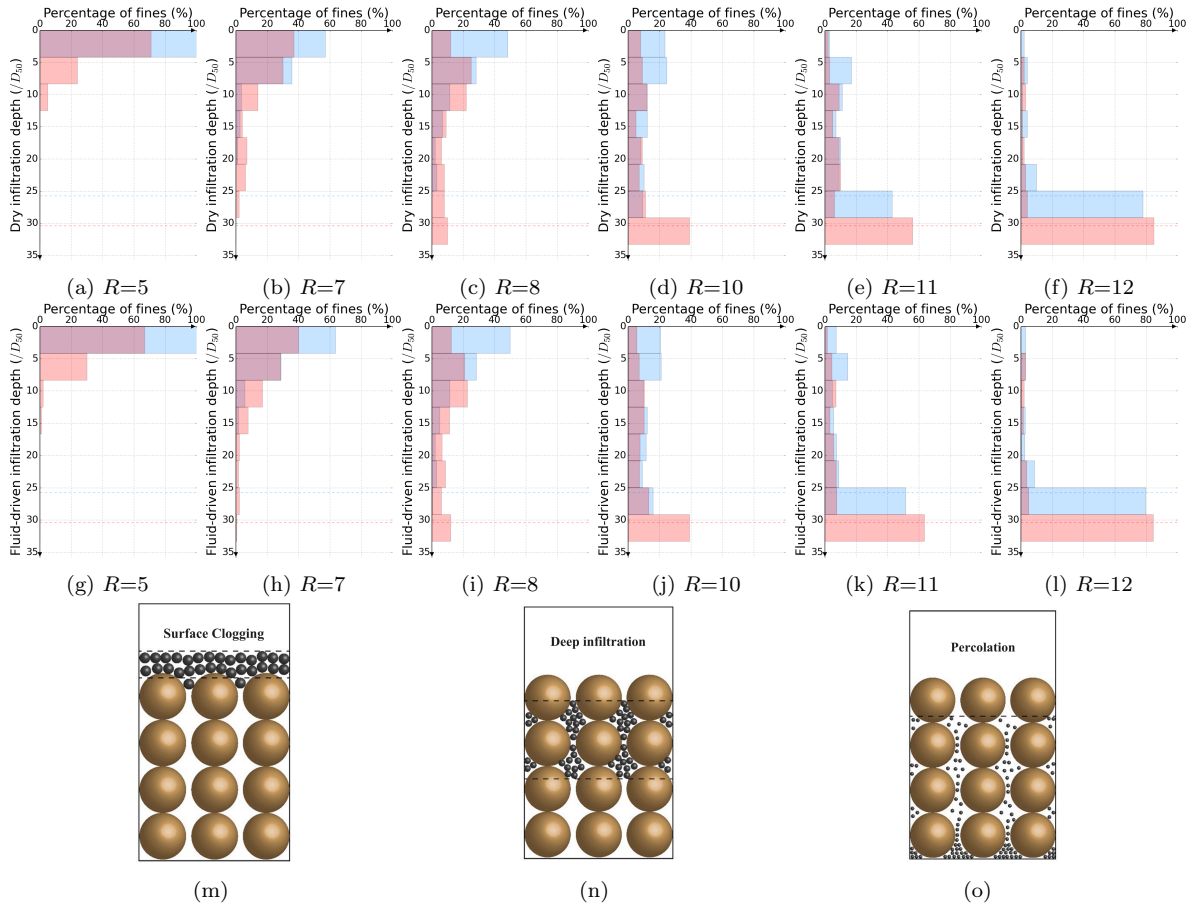


Fig. 6: Spatial distribution of retained fine sands with size ratios of $R=5, 7, 8, 10, 11$ and 12 : blue and red histograms represent results from the dense and loose sand column; first rows ((a) to (f)) is dry infiltration and the second row ((g) to (l)) is fluid-driven infiltration; schematics of the three infiltration regimes encountered: surface clogging (m); deep infiltration (n); percolation (o).

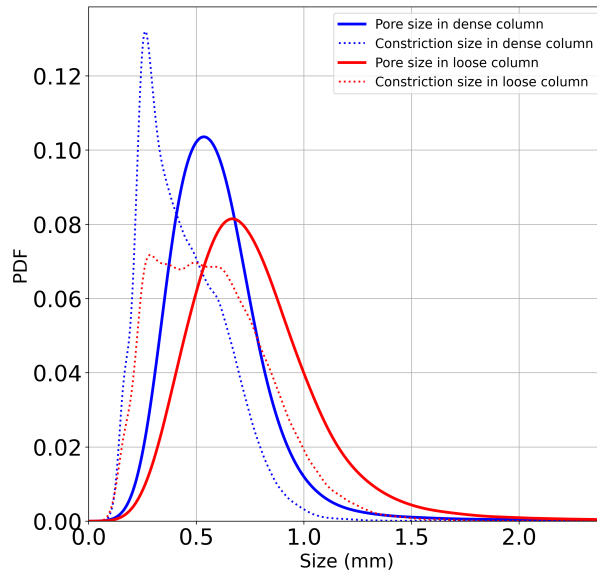


Fig. 7: Probability distribution of pore and constriction size in the loose (red) and dense (blue) columns based on Delaunay triangulation.

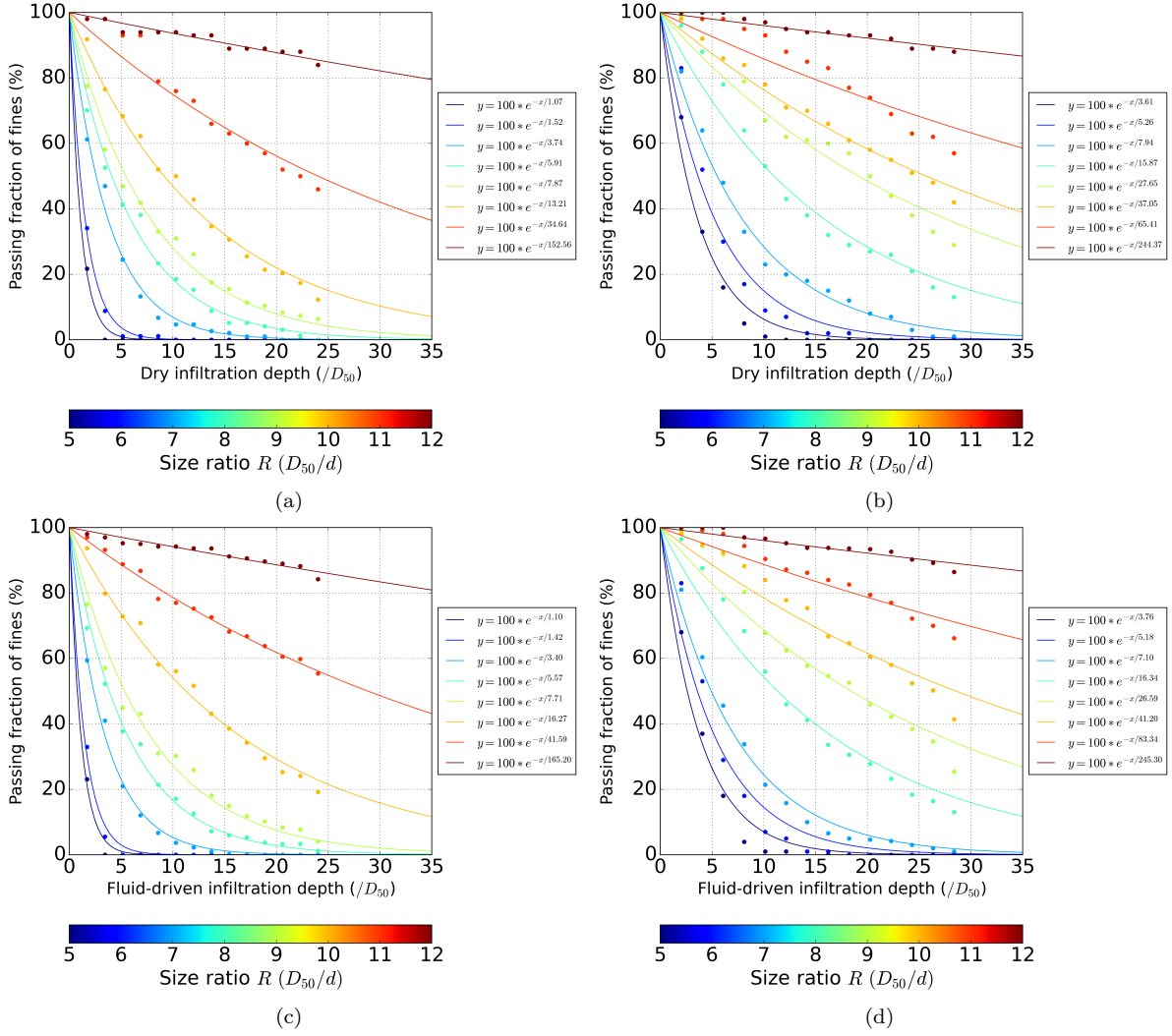


Fig. 8: Fitted exponential decay curves based on fine passing fraction using $y = 100 * e^{(-z/L_0)}$: (a), (b) dry infiltration in dense and loose columns; (c), (d) fluid-driven infiltration in dense and loose columns.

7, suggesting that in the surface clogging regime, packing density or void ratio e of the column has little effect on L_0 . Then, in the deep infiltration regime, L_0 increases sharply but to distinct extents depending on the density of the base soil. For instance, when R is 8, 9, and 10, L_0 is three times larger in the loose column compared to the dense one. In the end, for R greater than 10, the percolation depth of L_0 increases dramatically, reflecting a transition from deep bed filtration to unimpeded percolation. In the context of soil erosion by seepage flow, this behavior highlights the high susceptibility of the fine fraction.

Another observation is the slight but noticeable increase in L_0 in fluid-driven infiltration cases compared to dry ones in both loose and dense columns. The difference is initially negligible at the beginning when R is lower than 9. Previous studies [19, 2] suggested that the hydraulic channels might drive the fine particles to explore more lateral paths compared to dry conditions. In this study, when R is smaller than 9, most fine sands are retained at shallow depth due to void geometry, thus the hydraulic force plays a limited role in boosting penetration. Whereas, as the fine sands are getting smaller, the fluid-driven lateral movement becomes prominent (see detailed analysis in subsection 3.5). According to Figure 9, L_0 increases from $34D_{50}$ and $65D_{50}$ to $41D_{50}$ and $83D_{50}$ for R equal to 11 in dense and loose column respectively. This supports the assumption from existing literature that hydraulic forces may favor the infiltration of fine sands as indicated by the augmentation of L_0 in Figure 9. It should be highlighted here that such a feature could only be observed under a pore-scale fluid-grain coupling scheme (PFV or other resolved CFD methods), whereas the conventional unresolved CFD-DEM, relying on averaged fluid friction under coarse fluid mesh, is not adapted to capture these fine-scale hydraulic effects.

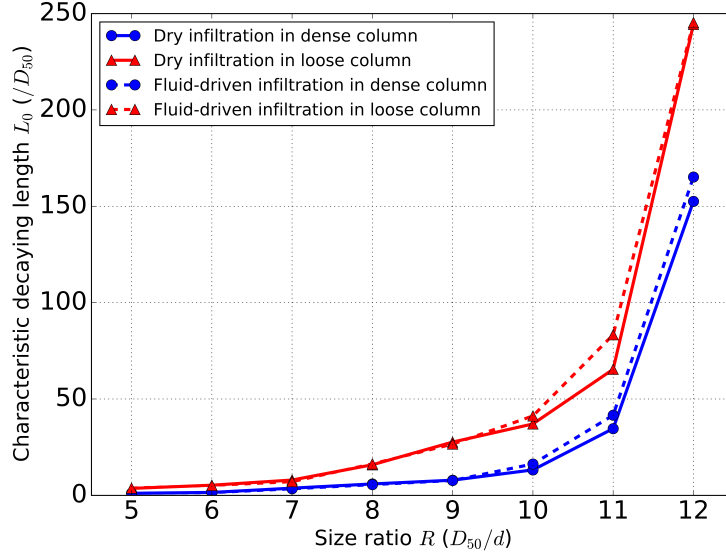


Fig. 9: Comparison of characteristic decaying length L_0 in all cases.

241 3.3 Microstructurally-based probabilistic model

242 In this section, a simple probabilistic model is proposed based on the fine particle diameter and pore-
 243 constriction size statistics [24]. This model aims at understanding and predicting the fine particle retention
 244 distribution represented by the previous decaying length L_0 . The model is based on the following as-
 245 sumptions: (1) The geometry or pore network within the base soil is homogeneous; (2) The probability
 246 of fine sands clogging is determined by the proportion of constrictions smaller than fine sand diameter;
 247 (3) Constrictions are equally spaced from one another; (4) Only downward fine particles displacements are
 248 considered with no lateral propagation.

249 Consequently, the build-up of this model is as follows:

- 250 1. Firstly, given the statistics of pore-constriction size shown in Figure 7, the probability for a fine particle
 251 of diameter d to pass a constriction is derived as the percentage of constrictions larger than d ($d_{cons} > d$)
 252 among all the constrictions. This probability is denoted as P_d . Figure 10(a) plots the PDF of D_{50}/d_{cons}
 253 in loose and dense columns with the dotted vertical lines representing different diameters of fine particles
 254 successively implemented. The computed results of P_d are given in Figure 10(b). The results show that
 255 P_d depends on the sample density for R values up to 12 as considered in this study.
- 256 2. Then, based on a homogeneous assumption of pore-constriction size in the soil sample, it is assumed that
 257 fine particles always move between pores of fixed size that equals to the median value of pore size D_{p50} .
 258 Here, D_{p50} is equal to $0.334D_{50}$ and $0.424D_{50}$ for dense and loose sample respectively (see Figure 7).
 259 Each time a fine particle passes through a constriction, its local penetration depth is incremented by an
 260 elementary drop path Δz . This mean distance between two successive constrictions can be calculated
 261 using an ideal granular configuration of two neighboring tetrahedrons formed by five closely contacting
 262 grains as shown in Figure 10(c). In this configuration, Δz is correlated to D_{pore} by a multiplicative
 263 ratio λ defined by $\frac{\Delta z}{D_{pore}} = \frac{\sqrt{6}}{3(\sqrt{6}-2)}$. Consequently, Δz is equals to $0.60D_{50}$ and $0.77D_{50}$ in dense and
 264 loose column respectively. Interestingly, this physics-based ratio has been observed in another similar
 265 numerical work [18], where the averaged Δz between two passable throats is found very consistent
 266 ranging from $0.65D_{50}$ to $0.72D_{50}$ across varied packing densities with limited change in R .
- 267 3. Finally, the probability for a fine grain to be stopped at depth z is $P_d^{z/\lambda D_{p50}}$ since it has to cross a
 268 number of constrictions equal to $z/\lambda D_{p50}$. The exponential decay of the fine retention PDF can be
 269 recovered based on P_d and D_{p50} as we can define L_0 as:

$$L_0 = \frac{-1}{(3 - \sqrt{6})} D_{p50} / \ln(P_d) \quad (7)$$

270 Figure 10(d) compares the predicted values of L_0 for each given size of injected fine sands with the
 271 fitting results of the fine grain retention PDFs from the simulation. Interestingly, this simple probabilistic
 272 model is found to predict quite well the characteristic length L_0 , showing a linear trend for $\log(L_0)$ versus
 273 R in both dense and loose columns. This consistency between the model prediction and the simulation
 274 validates the idea that the average probability P_d of fine particles passing through constrictions in soil with

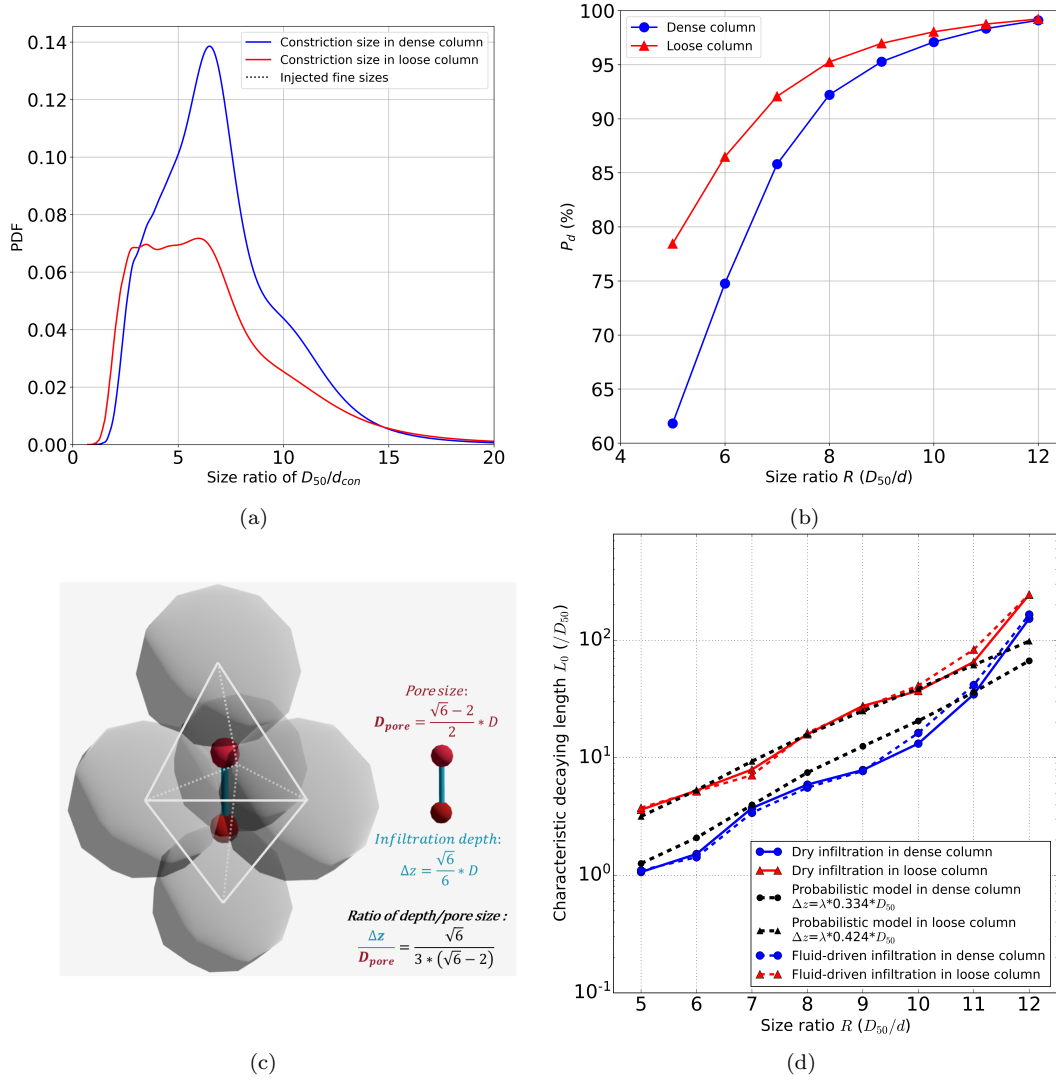


Fig. 10: Build-up of probabilistic model predicting L_0 : (a) PDF of D_{50}/d_{con} in loose and dense columns (with d_{con} being the constriction diameter); (b) computed passing probability P_d in dense and loose column for each given fine diameter d ; (c) schematic of the ideal granular configuration of two neighboring tetrahedrons made of five closely contacting grains and derivation of Δz from D_{pore} ; (d) L_0 as a function of size ratio R obtained from previous simulations and probabilistic approach.

275 a homogeneous pore-constriction network captures the key physics governing their infiltration. Furthermore,
 276 the linear trend observed between $\log(L_0)$ and R suggests a systematic relationship between the size ratio
 277 and the characteristic infiltration depth, highlighting the importance of considering PSD when predicting
 278 fine particle retention in the base soil.

279 3.4 Averaged penetration velocity

280 When fine particles infiltrate into granular material, they are likely to reach a mean steady percolation
 281 velocity resulting from the interplay between driving forces (gravity or fluid) and collision with coarse
 282 grains [31]. To evaluate this mean velocity V we calculate the total vertical displacement of each particle
 283 divided by its total time interval from its entry into the static bed until either being clogged or reaching the
 284 bottom of the column. In Figure 11(a), the values of V are plotted against size ratio R being normalized
 285 by $\sqrt{2gD_{50}}/2$. This normalization accounts for the averaged velocity of a grain in free fall over a distance
 286 of D_{50} driven by gravity [12]. These values are compared with previous experimental and numerical data
 287 [25, 18, 7], revealing a positive correlation of dimensionless velocity with R and a negative correlation
 288 with ϕ . This last result can be attributed to the fact that denser packing (higher value of ϕ) or larger
 289 fine size increases the probability of particle bouncing and thus disturbs the free falling of fine sands.

290 Since we considered both the densest and loosest limits, the numerical result represents the whole range of
 291 infiltration velocity magnitudes whatever the packing density for each given R . Consistently with previous
 292 works, we can conclude that the dimensionless velocity seems to smoothly increase from surface clogging
 293 to percolating regime since no sharp turning point is observed.

294 To further quantify the influences of ϕ and R , an approximate scaling can be proposed when multiplying
 295 the dimensionless velocity by ϕ/\sqrt{R} . As previously introduced by [17], this scaling in Figure 11(b) almost
 296 brings the data together, around a roughly constant value of approximately 0.075 regardless of R and ϕ .
 297 Even though the physical meaning behind this scaling remains unclear according to [18], this strategy is
 298 promising for estimating the penetration velocity in future research. Based on the mean infiltration depth
 299 L_0 and velocity V , a characteristic duration $T_0 = L_0/V$ is calculated in Figure 11(c). Interestingly, T_0
 300 follows a linear exponential increasing trend with R as L_0 in Figure 9, meaning that T_0 is mostly governed
 301 by the magnitude of L_0 , despite of higher infiltration velocity for the finer injected particles.

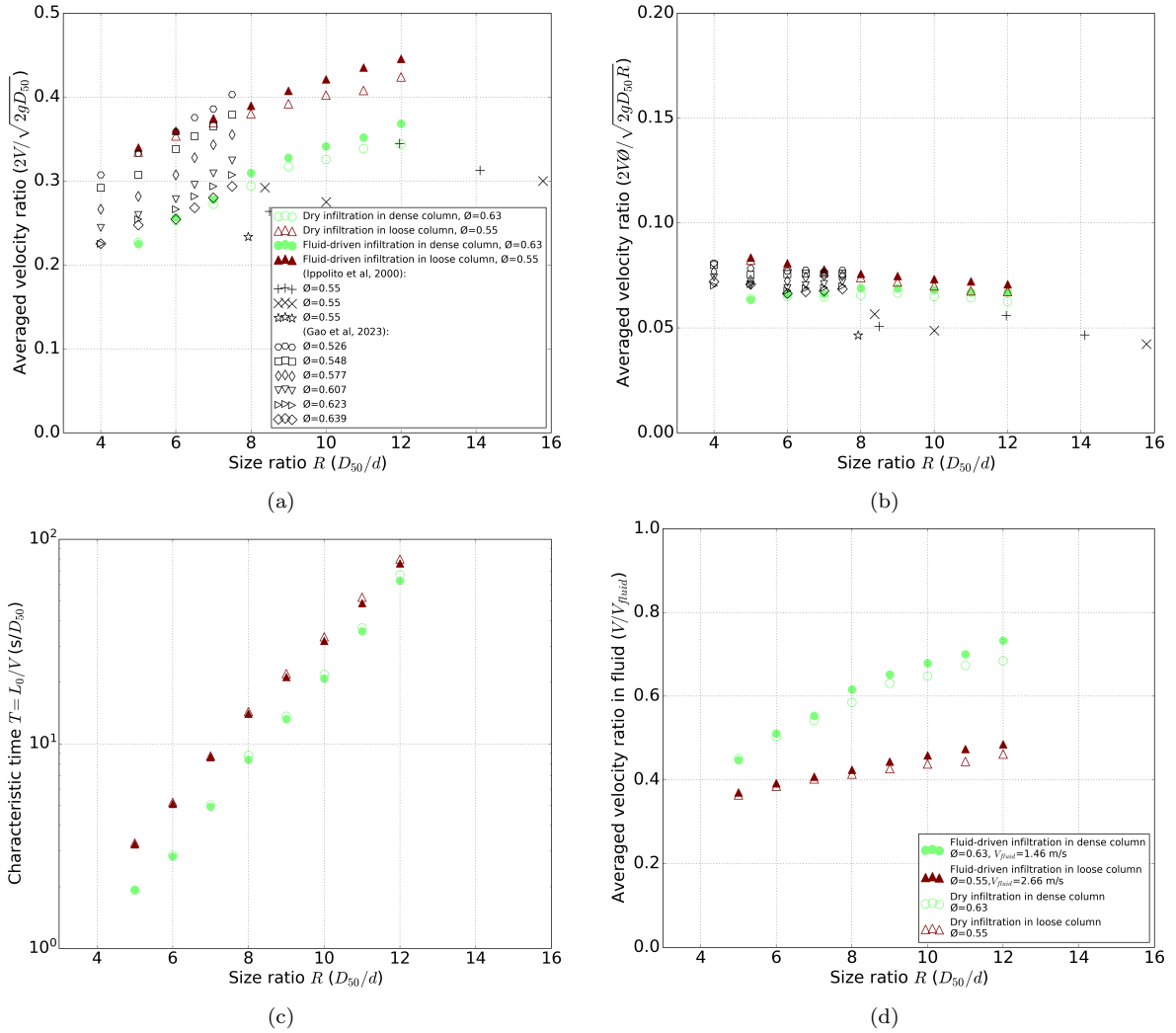


Fig. 11: Dimensionless mean percolation velocity for various R with comparison to existing numerical [18] and experimental [25] data: (a) normalized percolation velocity $2V/\sqrt{2gD_{50}}$; (b) proposed scaling the normalized percolation velocity by solid fraction and size ratio $2V\phi/\sqrt{2gD_{50}R}$ to achieve a constant level; (c) characteristic infiltration time T_0 using L_0 divided by averaged velocity V ; (d) normalized averaged velocity V scaled by the mean fluid velocity V_{fluid} based on PFV calculation.

302 Considering the fluid-driven infiltration simulations presented in Figure 11(d), the averaged fine grain
 303 percolation velocity is no longer normalized by a free fall velocity but alternatively by the average flow
 304 velocity computed in the PFV method since the relative velocity between the liquid flow and a mobile
 305 particle ($|v_p - v_{fluid}|$) now drives the infiltration process. Here, the averaged fluid velocity V_{fluid} is calculated
 306 based on Darcy's flow relation in porous media given by $v_{fluid} = Q/(S(1 - \phi))$, where Q is the volume flow
 307 rate and S is the cross-section perpendicular to flowing direction. V_{fluid} is 1.46 m/s and 2.66 m/s in dense

and loose column respectively. From Figure 11(d), an increasing relative gap can be observed for R under different densities with a range of 0.36 to 0.5 for the loose column, and a higher ratio from 0.45 to 0.7 for the dense column. This indicates that the relative velocity between the fluid flow and fine particles plays a crucial role in driving the infiltration process, with denser soil samples experiencing higher driving forces due to the increased fluid velocities.

3.5 Lateral dispersion

During infiltration, the injected particles also move laterally, due to the pore space tortuosity and random collisions with the coarse grains. The fine particle's lateral displacement, denoted as r , is introduced as the deviation from its initial position on the horizontal plane. The classical diffusion-based theory suggests a relationship between lateral mean square displacement and time: $\langle r^2 \rangle = Dt$, where D is the lateral dispersion coefficient and t is the duration spent in the porous bed. Previously the penetration depth z of fine particles can be obtained by the mean velocity Vt . Therefore in Figure 12 the dimensionless mean square lateral displacement $\langle r^2 \rangle / D_{50}^2$ is plotted against the dimensionless infiltration depth z / D_{50} . The high degree of linearity observed corresponds well to the diffusion-based theory by $\langle r^2 \rangle = kz$, where k equals $D / (VD_{50})$. It suggests that lateral particle movement during infiltration can be effectively described by diffusion processes, with k providing a measure of the relationship between lateral dispersion, mean velocity, and particle size.

In dry infiltration, the dimensionless mean square lateral dispersion curves of $\langle r^2 \rangle / D_{50}^2$ versus z / D_{50} are shown in Figure 12(a) by dotted and solid lines for dense and loose columns respectively. The slope k of the curve is found to increase with R but within distinct ranges depending on whether the column is loose or dense. The lowest lateral dispersion coefficients and the smallest penetration depths are obtained in the dense case. In fluid-driven cases plotted in Figure 12(b), the lateral dispersion is shown to be somewhat increased compared to dry infiltration. The average values of the dimensionless lateral dispersion coefficient k are given for all curves in Figure 12(c). Indeed, the fluid-driven cases enhance the lateral coefficient compared to dry infiltration, particularly in the loose column. The reason is that in fluid-driven infiltration, the preferential path follows the maximal inter-pore hydraulic gradient, while in dry infiltration, the preferential path is more likely to be parallel with gravitational force. Consequently, more laterally oriented preferential paths are explored in the hydraulic-oriented infiltration compared to gravity-driven conditions.

The simulated results in dry conditions are compared here with a set of dry infiltration experiments from [25]. These experiments provide a reference range of k of gravity-driven infiltration behavior of stainless steel particles into a loose granular column ($\phi=0.55$, same as the loose sand column in this work) considering R values of 8.61 and 14.2. The experimental lateral displacement data are set to zero to avoid the influence of arbitrary bouncing of the fine particles above the column since they are dropped from a certain altitude, possibly leading to a non-zero $\langle r^2 \rangle$ when infiltration depth is zero according to [25]. The values of k in [25] are plotted in Figure 12(c), showing that they are slightly higher than the numerical results in the loose column but are of the same order of magnitude. This difference is probably due to the restitution coefficient of stainless steel particles, which is typically higher than 0.8 according to previous studies [25, 38], while it is set to 0.3 in this numerical work. Although the effect of the restitution coefficient on lateral dispersion is beyond the scope of this work, this comparison indicates that experimental measurements and numerical calculations can achieve a level of alignment from the lateral dispersion aspect.

Based on the linearity of $\langle r^2 \rangle$ with z and the collapse of the dimensionless mean velocity multiplied by ϕ / \sqrt{R} to 0.075, the classic diffusion-based theory of $\langle r^2 \rangle = Dt$ could be rewritten as follows:

$$\langle r^2 \rangle = \frac{0.075 \sqrt{g D_{50} R / 2}}{\phi} D_{50} k t \quad (8)$$

in which the magnitude of k ranges from 0.2 to 0.4 in the loose column and from 0.07 to 0.27 in the dense one based on Figure 12(c), meaning that a further dependency with R is still missing to reach a complete scaling. Equation 8 could provide an estimation of the lateral dispersion coefficient D of fine infiltration in coarse-grain media based on its packing density ϕ and size ratio R .

4 Conclusion and outlook

The fine injection into the eroded dam body is primarily investigated numerically via the coupled PFV-DEM approach. The infiltration and clogging of injected fine particles into the soil column are simulated considering a wide range of particle size ratio R , column packing solid fraction ϕ , and gravity or fluid-driven condition. The transfers of three distinct fine clogging regimes are identified, shedding light on the

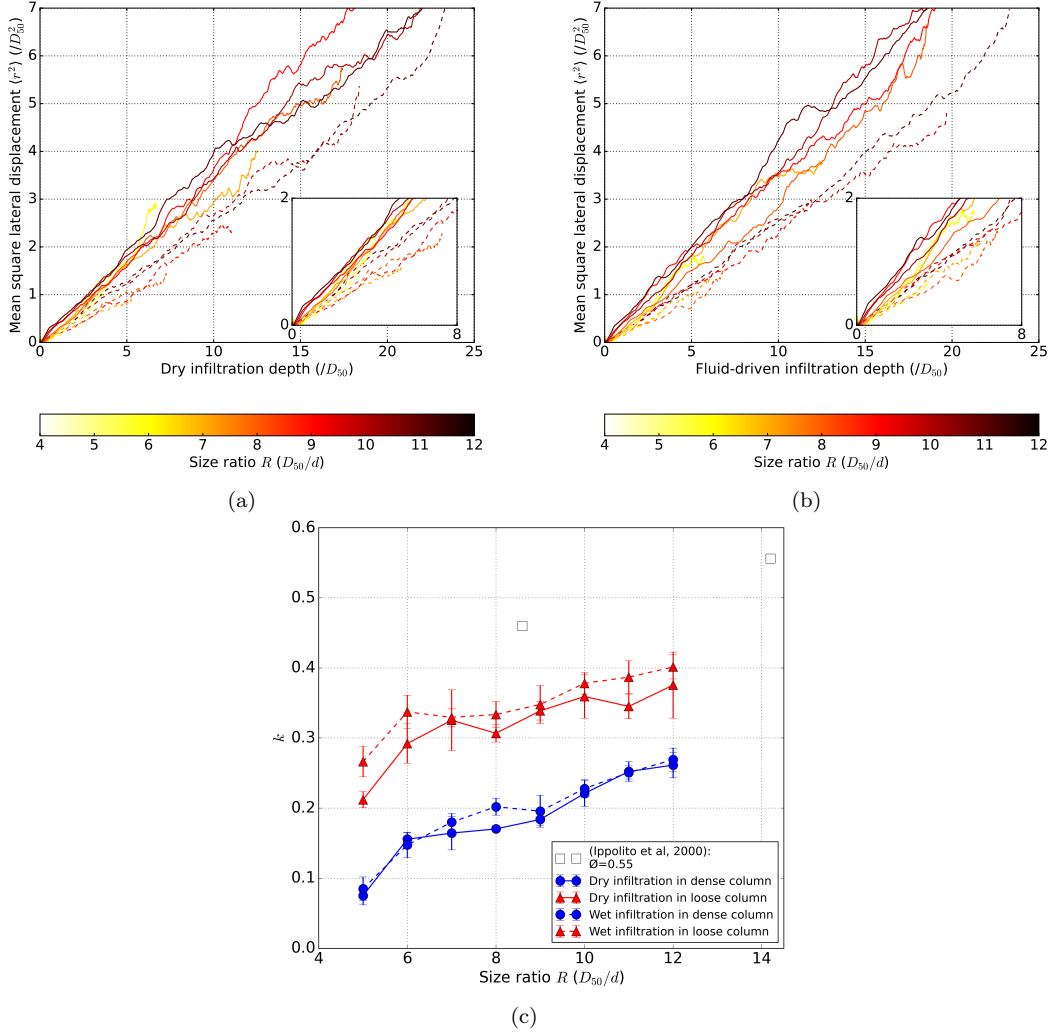


Fig. 12: Lateral dispersion curves: mean square lateral displacement $\langle r^2 \rangle$ of injected fine sands along vertical penetration depth: (a) Dry infiltration; (b) Fluid-driven infiltration; (c) Averaged slope k values of all curves in comparison with dry infiltration experiments from [25].

360 complex interplay between particle size, soil packing density, and infiltration dynamics. The fine retention
 361 distribution, averaged penetration velocity and lateral dispersion are thoroughly analyzed to understand
 362 the underlying mechanisms governing fine particle transport in porous media. The main conclusions are as
 363 follows:

- 364 – The characteristic decaying length L_0 of fine retention increases exponentially with R , but at a lower
 365 rate in the denser soil column than in the loose column, underlying the effect of particle size and density;
 366 and fluid-driven infiltration has an enhancing but rather limited effect on fine infiltration depth.
- 367 – Taking into account the statistical distribution of pores and constrictions, a probabilistic model is
 368 proposed to interpret L_0 in terms of mean traveling distance through pores and constrictions. It is
 369 demonstrated that our model, despite its simplicity, can provide encouraging estimation on fine particle
 retention considering multiple factors such as soil density and size ratio R .
- 371 – No sharp transitions of averaged penetration velocity are found for different clogging regimes with
 372 increasing R in both gravity or fluid-driven conditions. When scaled properly, the averaged penetration
 373 velocity of fine sands during infiltration would converge to a constant value using the normalization
 374 strategy of ϕ/\sqrt{R} .
- 375 – The square lateral displacement increases linearly with penetration depth and correlates positively
 376 with R and negatively with packing density, with an alignment with experimental measurements from
 377 [25]. Moreover, laterally oriented preferential paths are explored in the hydraulic-oriented infiltration
 378 compared to gravity-driven conditions. Finally, the lateral dispersion coefficient D could be estimated
 379 using $r^2 = \frac{0.075\sqrt{gD_{50}R/2}}{\phi} D_{50}kt$.

The numerical results mentioned above suggest that when injecting fine particles of fixed size into an eroded dam body, the method is effective within a certain remediation distance (passing fraction at averaged infiltration depth) varying with infiltration condition, size ratio R , and base soil solid fraction ϕ . Also, the remediated area in the perpendicular direction should be carefully examined, since it can be limited under relatively high soil compaction. Therefore, the effectiveness of the injection remediation is probably more suited for relatively loosely compacted granular material. It is worth noting that a comparison of our model with experimental tests is currently in progress and will be presented shortly.

Several perspectives for future research in the field of injection remediation for dam erosion control can be proposed as follows: one is the optimization of the PSD and concentration of injected sand suspension for achieving more efficient retention by considering poly-dispersity and the collective effect of clogging by bridging or straining. For this purpose, the zero-friction condition of fine sands applied in this study must be relaxed, so that the model will be no longer limited to fine capture and retention only due to size exclusion. On the contrary, it could include multi-particle mechanisms as clogging or local arching and thus be considered as the upper bound of infiltration distance. Second, the derived probabilistic model has the potential for an extended application to predict the fine retention distribution. By using the pore-constriction statistics obtained from scanning technologies such as Computed Tomography (CT) or Scanning Electron Microscope (SEM), or from numerical samples via DEM, the model could be further refined and applied to various scenarios. Future work will also focus on investigating the enhanced mechanical strength and stability of eroded soil induced by fine injection in deep infiltration conditions, according to the second-order work criterion [49, 52].

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6 Declaration of interests

None.

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