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1 **Hydrodynamics in a stirred tank with a Retreat Blade Impeller: evaluating the potential of**
2 **IBM-LES through comparison with PIV data**

3
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9
10 **Abstract:** This work presents numerical and experimental studies on the turbulent flow in a stirred
11 tank reactor equipped with a two-finger baffle and a retreat blade impeller at $Re = 43,200$.
12 Numerical results were obtained from Large Eddy Simulation (LES) combined with an Immersed
13 Boundary Method (IBM) for the impeller and baffle representation. The experimental data consists
14 in a set of PIV measurements in a vertical plane containing the shaft axis. The numerical results
15 are compared with experimental data in terms of the flow field, mean velocity components, and
16 kinetic energy. Proper Orthogonal Decomposition (POD) is applied to the IBM-LES results to
17 extract the dominant modes and their time coefficients. The POD analysis suggests that the high
18 energy level found in the upper part of the tank results from an energy transfer from the coherent
19 structures created downstream the two-finger baffle. Finally, the trailing vortices behind the
20 impeller blades and the baffle were detected and visualized using Jeong and Hussain's technique.
21 The results show that IBM-LES is a reliable tool for studying the unsteady characteristics of
22 turbulent flow in agitated tanks with complex geometry.

23 **Keywords:** Retreat-blade impeller, immersed boundary method, computational fluid mechanics,
24 turbulence, large eddy simulation.

25

26 **1. Introduction**

27 Stirred tanks are routinely used in many industrial applications such as pharmaceutical,
28 biotechnology, food and beverage industries, water treatment, mineral processing, paint and
29 coating production, etc. [1-3]. In most cases the flow structure is complex and difficult to figure
30 out, hence the development of experimental and numerical techniques was dedicated to that
31 purpose. Within the class of optical methods, Particle Image Velocimetry (PIV) has now become
32 a standard [4, 5]. It is highly accurate but limited to laboratory scale, transparent devices, and
33 transparent fluids. Computational Fluid Dynamics (CFD) modeling is a simulation technique
34 providing cost-effective and accurate information about flow behaviors in stirred tanks [6, 7]. It is
35 routinely used to design, optimize [8], and scale up [9] stirred tanks. Thanks to computational
36 simulation techniques one can access comprehensive information on real-world and large-scale
37 mixers. The challenges ahead for the numerical study of turbulent flow in stirred tanks include the
38 choice of an appropriate turbulence model if an Unsteady Reynolds Average Navier-Stokes (U-
39 RANS) approach is selected and the description of the impeller motion. As far as turbulence
40 modeling is concerned, the Large Eddy Simulation (LES) technique is advantageous since there is
41 no assumption on the turbulence structure but it comes with a higher computational cost due to
42 fine meshing and high temporal resolution. Besides turbulence modeling, various strategies can be
43 used to account for the impeller motion. The most popular are the Moving Reference Frame (MRF)
44 [10, 11], the Sliding Mesh (SM) technique which emerged in the early 90's [12, 13] and the
45 Immersed Boundary Method (IBM) originally developed by Peskin [14].

46 The MRF is known to be the easiest to set-up, simply requiring separating the mesh into
47 two zones: one related to the impeller (inner zone) and another for the rest of the fluid domain
48 (outer zone). Momentum sources are added in the inner zone to model the impeller motion. It is
49 recommended for unbaffled stirred tanks [15, 16]. However, this method is limited to steady-state
50 simulation and only considers a particular position of the impeller relative to the baffles, if present.
51 The Sliding Mesh technique basically consists in rotating the inner mesh zone and sliding it along
52 the interface between the inner and outer zones, hence the name of the technique. It implies running
53 transient simulations. The use of the SM technique is computationally demanding, and attention
54 must be paid to mesh quality, mesh movement algorithms, and solver settings to ensure accurate

55 and stable simulations. The Immersed Boundary Method conceptually differs from the previous
56 ones in the sense that the impeller is not physically present in the computational domain but
57 represented as a time-varying source term in the momentum equations. In terms of meshing, the
58 main advantage of IBM is a simplified mesh generation process for complex geometries (e.g.,
59 complex impeller shape). Moreover, the conservative non-dissipative structured solver used in
60 IBM is adapted to eddy-resolving methods such as LES [17]. Today, IBM-based algorithms are
61 used in a wide variety of flow systems with complex and irregular geometries including, but not
62 limited to, biological flow [18], mixed flow pumps [17], flow around an arbitrarily moving body
63 [19], simulation of particulate flows [20, 21], etc.. In 2004, Verzicco *et. al* [22]., for the first time,
64 used the IBM to perform LES and Direct Numerical Simulations (DNS) of the flow in a cylindrical
65 un-baffled stirred tank equipped with a radial impeller at mid-height of the tank, at a Reynolds
66 number of 1636, i.e. in the transition regime. The LES results were in good agreement with the
67 available experimental data in terms of mean velocity profile. The authors also concluded that the
68 LES with dynamic sub-grid model can provide the same results as DNS, whilst being more cost-
69 effective numerically. Other examples using IBM in stirred tanks can be found in [23, 24]. A
70 combination of LES and IBM was used to evaluate the role of impeller speed perturbation on the
71 scalar mixing in a stirred tank [25], to simulate flow patterns in un-baffled and baffled stirred tanks
72 equipped with pitched blade impeller [26] or to study the flow in a stirred tank equipped with a
73 pitched blade impeller developing a parallel and adaptive algorithm [27].

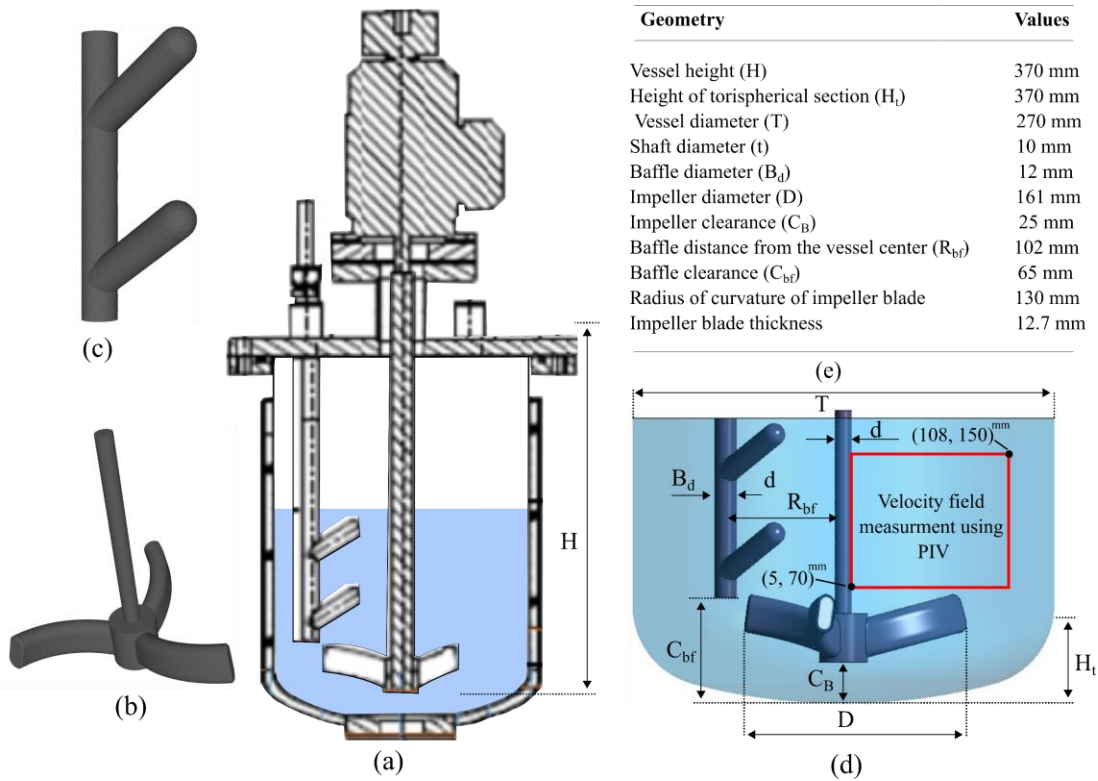
74 The present study focuses on a stirred tank equipped with a retreat-blade impeller and a
75 two-finger baffle. Retreat-blade impellers have been used in the fine chemical, pharmaceutical,
76 and biopharmaceutical industries for over 30 years. However, the number of studies dedicated to
77 them is rather limited. Campolo *et al.* [9, 28] conducted pioneering studies to numerically predict
78 macro mixing performances, including power consumption and pumping efficiency in two
79 different industrial-scale reactors equipped with retreat-curve impellers. One limitation of this
80 work is that it does not evaluate the turbulence behavior in this type of stirred tank. Following this,
81 in 2005, the hydrodynamic behaviors of three laboratory-scale vessels of 0.5, 2, and 20 liters were
82 studied. These vessels were geometrically similar and equipped with retreat-curve impellers and
83 cylindrical baffles. These vessels mimic reactors widely used in the pharmaceutical and fine
84 chemical industries. CFD simulations were used, and the results were validated using Laser

85 Doppler Anemometry (LDA) measurements and empirical power consumption literature data [29].
86 In 2007, an experimental and numerical research study was conducted to evaluate the flow and
87 mixing characteristics of a retreat-curve impeller in a conical-based vessel [30]. CFD simulations
88 with a standard $k-\varepsilon$ turbulence model were utilized to predict flow patterns and power numbers
89 under various configurations (baffle arrangements and impeller spacings). Flow visualization
90 revealed that the region inside the conical base is effectively unbaffled, with strong tangential
91 velocity components, but little axial or radial circulation. Recently, in 2017, Sirasitthichoke and
92 Armenante investigated the power dissipation and power number correlation in a torispherical-
93 bottomed vessel equipped with a retreat blade impeller under different baffling conditions [31].
94 They established correlations for the Power number over a wide range of Reynolds numbers ($1 <$
95 $Re < 400,000$), and for several types of baffles (beavertail, D-type, H-type, finger-type, fin-type
96 baffles). Interestingly, the authors, in another research study [32], compared various CFD
97 simulation techniques (MRF, SM and Lattice Boltzmann Method) in terms of total energy
98 dissipation and torque, but no comparison of experimental and simulated velocity profiles were
99 reported.

100 The impact of different baffle types makes it difficult to understand flow dynamics in stirred tanks
101 with retreat-blade impellers. Although CFD simulations and some experimental studies (like using
102 LDA) have been used to investigate the hydrodynamics in these tanks, validating these models
103 with experimental data, such as PIV, is still a challenge. This includes predicting local flow
104 patterns and hydrodynamics. The aim of the current work is to perform the analysis of the flow
105 structure in a stirred tank with a retreat blade impeller using a combination of numerical simulation
106 (IBM-LES) and experimental PIV data. Beside the good agreement in terms of velocity profiles,
107 it is found that the turbulent kinetic energy content increases with the distance from the impeller.
108 This unexpected result motivated the use of POD for a better understanding of the contribution of
109 the baffle to flow unsteadiness. IBM-LES results collected in the PIV data plane were processed
110 to extract the dominant modes and their time coefficients. A POD analysis of IBM-LES data in
111 the impeller swept zone is an originality of this work and proved to be rather straightforward owing
112 to the absence of mesh motion. It alleviates the cumbersome procedure previously developed by
113 Mayorga et al. to perform flow analysis and reconstruction based on Sliding Mesh simulation data
114 [33].

115 **2. Stirred tank configuration**

116 A sketch of the stirred tank and impeller geometries considered in this study is shown in Fig. 1. The
 117 total volume of the tank is 20 Liters with a working volume of 8 Liters. A three-blade impeller
 118 named Retreat Blade Impeller (Fig. 1b), is used to mix the fluid in the tank. Its diameter is 161 mm.
 119 It is mounted on a 10 mm shaft and placed in the center of the stirred tank, at 25 mm from the
 120 bottom. A high-performance Ika EUROSTAR 400 digital motor is used to drive the shaft. The
 121 rotational speed of the impeller is set at 100 RPM. To limit the formation of a central vortex in the
 122 tank and the deformation of the free surface, a baffle with two fingers oriented towards the center
 123 of the tank is positioned 65 mm from the bottom and 20 mm from the wall (Fig. 1c). Exact
 124 dimensions of the different elements are presented in Fig. 1e.



125

126 *Fig. 1 : (a) Sketch of the experimental device; (b) retreat blade impeller; (c) two-finger baffle; (d) Details*
 127 *of the simulated geometry (the PIV window is marked in red); (e) dimensions of the different elements.*

128 Considering the rotation speed of the impeller, the properties of water and an impeller
 129 Power number of 0.529, the Reynolds number is 43,200 and the flow regime is fully turbulent.

130 The power number was estimated using the correlation established by Sirasitthichoke and
131 Armenante in a similar configuration [31]. The estimated volumetric dissipation rate in the stirred
132 tank $\langle \varepsilon \rangle$ is about $0.03 \text{ m}^2/\text{s}^3$.

133

134 **2.2. PIV experiments:**

135 In-plane 2D 2C PIV measurements were carried out in a vertical plane described in Fig. 1d.
136 In the following, z refers to the vertical coordinate, along the rotation axis, and x refers to the radial
137 coordinate. In-plane 2D 2C means that only two components of the velocity vector are retrieved
138 from the images, the orthoradial component is not measured. Images are acquired in a vertical
139 plane containing the symmetry axis of the tank, located 120° downstream the baffle (in the
140 direction of the flow). The $108 \text{ mm} \times 108 \text{ mm}$ window is vertically centered around the horizontal
141 line $\frac{z}{T} = \frac{1}{3}$ and spans the range $0.018 < \frac{x}{T} < 0.26$. The PIV system includes a double-cavity Nd:
142 YAG laser which generates light pulses of 45 mJ at a wavelength of 532 nm and a Nikon Micro-
143 Nikkor 105 mm f/2.8G camera (3 kHz at a resolution of 2032×2032 pixel). The camera is
144 equipped with an appropriate filter centered on the emission wavelength of the seeding particles
145 (532 nm) to obtain the best signal quality and to avoid spurious reflections from ambient lighting.
146 Spherical fluorescent polymer particles (PMMA-RhB) with the range size of 1 - 20 μm were used
147 as seeding particles. The velocity field is analyzed with adaptive cross-correlation of 50%
148 overlapping windows with interrogation cell sizes of 16×16 pixels². With these settings, the 16
149 pixels interrogation area corresponds to the special resolution $\Delta z = 852 \mu\text{m}$ which also constitutes
150 the PIV filter size. In addition, the filter should be smaller than Taylor microscale to correctly
151 estimate TKE

152 For each set of PIV measurements, 5000 pairs of images were taken at 10 Hz which
153 corresponds to a total survey time of 500 seconds. At $N = 100$ rpm, a blade passes through the
154 measuring plane every 0.2 seconds. Consequently, during an acquisition time of 500 seconds, 2500
155 blade passages are recorded in the database. The statistical convergence of the ensemble and
156 fluctuating velocities was checked in different locations (see supplementary information **Fig. S1-**
157 **S4**).

158 3. Numerical Methodology

159 3.1. IBM-LES

160 The governing equations for LES are derived by applying a filtering operation to the time-
161 dependent Navier-Stokes equations in physical space. The resulting governing equations for
162 incompressible flows are as follows:

$$\nabla \cdot \hat{\mathbf{u}} = 0 \quad (1)$$

$$\frac{\partial \hat{\mathbf{u}}}{\partial t} + \nabla \cdot \hat{\mathbf{u}}\hat{\mathbf{u}} = -\nabla \hat{p} - \nabla \cdot \tau + \frac{1}{Re} \nabla^2 \hat{\mathbf{u}} + \mathbf{f} \quad (2)$$

163 In these equations, $\hat{\cdot}$ represents filtered variables, t denotes time, Re is the Reynolds number, $\hat{\mathbf{u}}$ is
164 the filtered velocity vector, \hat{p} being a modified pressure that incorporates the trace of the SubGrid-
165 Scale (SGS) viscous stress tensor τ and \mathbf{f} representing a forcing term. The boundary body force \mathbf{f}
166 is prescribed at each time step to add momentum corresponding to the desired blade velocity onto
167 an arbitrary surface that need not coincide with the grid. This method is known as the Immersed
168 Boundary Method which basically models the solid surfaces as a source term in the fluid flow
169 equations, forcing the fluid velocity to match the solid velocity. IBM was developed to deal with
170 complex fluid-structure interaction situations in which the solid boundaries or submerged objects
171 do not align with the computational grid [14]. Details on the implementation of this method can be
172 found in [34].

173 In this study, the Smagorinsky-Lilly sub-grid closure model is employed to parameterize
174 the SGS stresses [35]. The sub-grid model assumes that the Reynolds number is sufficiently high
175 to ensure that energy is transferred from the large to the small scales, which are responsible for the
176 energy dissipation [36]. It assumes a local equilibrium between production and dissipation of
177 turbulent kinetic energy at the cut-off scale [37, 38]. The contribution of unresolved scales in the
178 filtered Navier-Stokes equations are modeled using the eddy viscosity (3), where the eddy
179 viscosity (ν_t^{SM}) is defined by (4). [6, 17]. This sub-grid model is a popular and cost-effective choice
180 in applications involving rotating machinery.

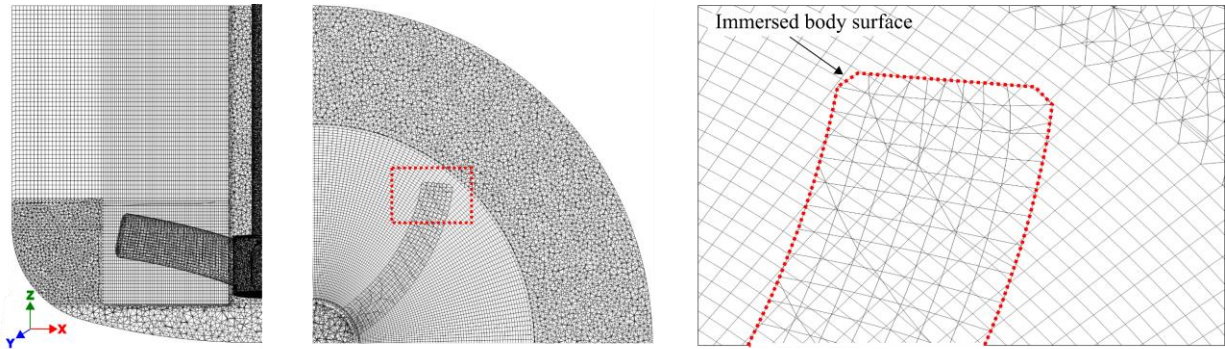
$$\tau - \text{Tr}(\tau) = -2v_t \widehat{\mathcal{S}} \quad (3)$$

$$v_t^{SM} = (C_s \Delta)^2 |\widehat{\mathcal{S}}|, \text{ and } |\widehat{\mathcal{S}}| = (2\widehat{\mathcal{S}} \cdot \widehat{\mathcal{S}})^{1/2} \quad (4)$$

181 $\widehat{\mathcal{S}}$ represents the resolved strain rate tensor, C_s stands for the Smagorinsky constant, Δ is the local
 182 filter size, defined as the cubic root of the cell volume, and $\text{Tr}(\tau)$ is the sum of the normal stresses
 183 in the tensor τ . The Smagorinsky constant is set to 0.2 [6].

184 3.2. Meshing

185 The impeller swept zone is meshed using a fine structured mesh. The impeller blade
 186 surfaces are meshed using rectangular elements. The mesh grid near the tip of the blades is refined
 187 to get a more accurate description of actual shape in this zone. The immersed boundary is identified
 188 by three types of cells: (i) Fluid Cells having all their vertices located outside of the solid region;
 189 (ii) Immersed Boundary cells (they represent the interface between the fluid and the immersed
 190 boundary (solid structure) with vertices in both the fluid and solid regions; (iii) Solid Cells with
 191 their vertices in the solid region. Accordingly, the mesh has to be sufficiently fine to facilitate
 192 effective interpolation of fluid properties near the immersed-solid boundary. The meshing strategy
 193 is actually much simpler than when the Sliding Mesh technique is used, whilst the simulation does
 194 not entail computationally demanding mesh motion.



195
 196 *Fig. 2 : Mesh design employed for the IBM-LES simulations*

197 A suitable mesh was obtained through a mesh independence study using experimental PIV
 198 data as a reference. A coarse mesh with 1,234,011 elements is compared to a finer mesh with
 199 4,231,548 elements. The latter is presented in Fig. 2. As shown in Fig. 3, there are significant

200 difference between the coarse mesh and the fine mesh in terms of ensemble average velocity
 201 prediction. The magnitude of the predicted velocity is in good agreement with the experimental
 202 results when the fine mesh is used. Also, this mesh will lead to a better resolution of the small
 203 scales of turbulence which can be inferred through the estimation of turbulent length scales
 204 presented in Table 1. In order to identify the suitable mesh size for LES simulations, a preliminary
 205 study using the SM-URANS approach and a standard turbulence model of $k-\varepsilon$ was conducted (see
 206 details in the Supplementary Information).

207 *Table 1: Comparison between the cell size and the turbulent length scales of the flow*

	Mesh number (M)	Cell size (mm) ^a		Turbulent length scales (mm)	
		Min	Max	^b $\langle \lambda \rangle$	^c $\langle \eta \rangle$
Coarse	1.23	3	3.67	5.5	0.12
Fine	4.23	0.6	2.5		

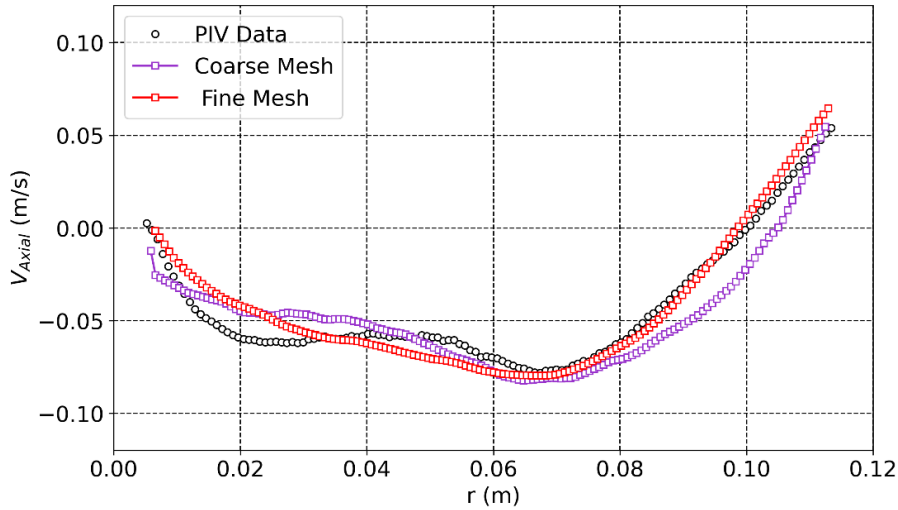
^a The cell size was calculated based on the cubic root of the cell volume

^b Taylor micro scale $\lambda = \left(\frac{10vk}{\varepsilon}\right)^{1/2}$ estimated from the computed turbulent kinetic energy k and resolved ε from SM-URANS simulation on the fine mesh (See Supplementary Information)

^c Kolmogorov scale $\eta = \left(\frac{\nu^3}{\langle \varepsilon \rangle}\right)^{1/4}$ with $\langle \varepsilon \rangle$ estimated from the Power Number reported by Sirasitthichoke and Armenante, 2017 [31]

208 It is observed that the fine mesh has its maximum cell size two times smaller than the
 209 (smallest) Taylor micro-scale. Hence, it is expected to capture all scales of the turbulent kinetic
 210 energy with the fine mesh. In contrast, the min cell size is still ten times larger than the Kolmogorov
 211 length scale suggesting that the resolution is insufficient to capture all scales of energy dissipation
 212 rate, even with the fine mesh. Thus, the presented mesh is such that the LES simulation directly
 213 resolves all turbulent scales down to 10η , while the small-scale turbulence is filtered out. Indeed,
 214 Saarenrinne *et al.* showed that, for a stirred tank equipped with two-bladed paddle in turbulent
 215 regime and a specific resolution of 9η , only 65% of the dissipation rate was retrieved, while a
 216 resolution of 2η was required to achieve 90% [39].

217 The SM-URANS preliminary study revealed that the interaction of the rotating fluid
 218 motion and the baffle promotes the detachment of coherent structures in the wake of the baffle.
 219 The correct description of the energy transfer from these organized structure to the turbulent
 220 motion requests an appropriate choice of the turbulence model which is difficult to perform a
 221 priori. As it was beyond the scope of this study to compare the merits of the different turbulence
 222 models, efforts were put on the use of the IBM-LES approach, which makes fewer assumptions
 223 about turbulence structure.



224
 225 *Fig. 3: Mesh sensitivity study for IBM-LES (Z = 0.085 m)*

226 **3.2. Computational aspects**

227 All simulations were performed using CFX, ANSYS 2022 R2 using double-precision solver. The
 228 computational hardware includes a workstation equipped with Intel(R) Xeon(R) silver 4216 CPU
 229 @ 2.10GHz 2.10 GHz (2 processors) and NVIDIA Quadro P1000 graphics processor (GPU).
 230 Water at 25 °C, $\rho = 10^3 \text{ kg.m}^{-3}$, $\mu = 10^{-3} \text{ Pa.s}$ was considered. The rotation speed, N , of the
 231 impeller is set to 100 RPM which results in a Reynolds number of 43,200 ($Re = \rho ND^2/\mu$) and a
 232 tip velocity of 0.84 m.s^{-1} . High-order schemes were employed for all equations, while the
 233 transient terms were discretized using the second-order backward Euler scheme. Convergence was
 234 judged based on normalized scaled residuals for continuity, momentum, and turbulence equations,
 235 with a convergence criterion set to 10^{-6} . These criteria were met within a maximum of 10 iterations
 236 per time step. Unsteady simulations were run until robust statistical convergence on the mean and

237 fluctuating velocities was achieved. The time step is set to 0.002 s which corresponds to an angular
 238 displacement of the impeller of 1.2°. During the simulations, results were stored every time step
 239 during 38 revolutions, which corresponds to approximately 23 seconds of real-time and 11,238
 240 data files. Data recovery and processing are performed with an in-house python code.

241 The CFD results being time resolved (every 0.002 s or equivalently every 1.2°), one can produce
 242 phase-averaged results in 100 vertical planes (referred as k -plane) between two blades. The
 243 impeller having three blades, each impeller rotation produces three events for any k -plane, leading
 244 to a total of $n_a = 11,238/3 = 3776$ acquisitions for each angular position. In the following, each
 245 acquisition in a given k -plane is referenced by its index l .

246 3.3. Theory and data analysis

247 3.3.1. Triple decomposition of instantaneous IBM-LES velocities

248 In stirred tanks, especially in the impeller region, the local instantaneous velocity, $U_i^{k,l}(M, t)$ at
 249 each time step (k stands for a blade position and l stands for an event in that position) is usefully
 250 decomposed into an mean velocity, $\overline{U_i(M)}$, a periodic velocity, $\tilde{u}_i^k(M, t)$, and a fluctuating velocity
 251 $u_i'^{k,l}(M, t)$ [5, 6].

$$U_i^{k,l}(M, t) = \overline{U_i(M)} + \tilde{u}_i^k(M, t) + u_i'^{k,l}(M, t) \quad (5)$$

252 The mean components $\overline{U_i(M)}$ are determined by ensemble-averaging the 11,238 instantaneous
 253 velocity fields extracted from the simulation. It can also be regarded as the mean over all planes
 254 and all events by plane, thus leading to

$$\overline{U_i(M)} = \sum_{k=1}^{n_p} \frac{1}{n_p} \sum_{l=1}^{n_a} \frac{U_i^{k,l}(M, t)}{n_a} \quad (6)$$

255 Where, n_p is the blade positions between the passage of two blades. Introducing the phase-averaged

$$\langle U_i^k(M, t) \rangle = \sum_{l=1}^{n_a} \frac{U_i^{k,l}(M, t)}{n_a} \quad (7)$$

256 velocity

257 and considering that, by construction, the average of the fluctuating component $u_i^{k,l}(M, t)$ over n_a
 258 independent events is zero, allows to express the periodic velocity as follows:

$$\tilde{u}_i^k(M, t) = \langle U_i^k(M, t) \rangle - \overline{U_i(M)} \quad (8)$$

259 Finally, injecting equation (8) in the definition (5) gives access to the fluctuating velocities

$$u_i^{k,l}(M, t) = U_i^{k,l}(M, t) - \langle U_i^k(M, t) \rangle \quad (9)$$

260

261 3.3.2. Kinetic energy of IBM-LES

262 Following the velocity decomposition, three types of kinetic energy can be identified. The first
 263 kinetic energy is due to the mean flow, the second one is the periodic kinetic energy, k_p , related
 264 to the blade passage and the third one is the turbulent kinetic energy, k_t , related to turbulent
 265 fluctuations. The total kinetic energy, k_{tot} , is the sum of these three types of kinetic energy.

266 The periodic stress tensor can be calculated using equation (10) and the periodic kinetic energy k_p
 267 is readily deduced from it, according to equation (11).

$$\overline{\tilde{u}_i(M, t)\tilde{u}_j(M, t)} = \sum_{k=1}^{n_p} \frac{\tilde{u}_i^k(M, t)\tilde{u}_j^k(M, t)}{n_p} \quad (10)$$

$$k_p = \frac{1}{2} \sum_{i=1}^3 \overline{\tilde{u}_i^2(M, t)} \quad (11)$$

268 The turbulent kinetic energy k_t is obtained in a similar way. The components of the turbulent stress
 269 tensor are obtained through an ensemble averaging which can also be regarded as double averaging
 270 as shown in equations (12) and (13) and the turbulent kinetic energy is calculated using (14).

$$\langle u_i'^k(M, t) u_j'^k(M, t) \rangle = \sum_{l=1}^{n_a} \frac{u_i'^{k,l}(M, t) u_j'^{k,l}(M, t)}{n_a} \quad (12)$$

$$\overline{u_i'^k(M, t) u_j'^k(M, t)} = \sum_{k=1}^{n_p} \frac{\langle u_i'^k(M, t) u_j'^k(M, t) \rangle}{n_p} \quad (13)$$

$$k_t = \frac{1}{2} \sum_{i=1}^3 \overline{u_i'^2(M, t)} \quad (14)$$

271 3.3.3. Viscous dissipation of kinetic energy of IBM-LES

272 Theoretically, the turbulent kinetic dissipation rate (ε) can be estimated using (15) and involves
 273 the instantaneous velocity gradients at the Kolmogorov length scale [6].

$$\varepsilon = \nu \langle S_{ij} S_{ij} \rangle = \frac{\nu}{2} \overline{\left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)^2} \quad (15)$$

274 Since the mesh size is larger than the Kolmogorov length scale in LES simulations, only a part of
 275 the dissipation can actually be accessed from the resolved scales, $\hat{\mathbf{u}}$, and the other part is estimated
 276 using a so-called Sub-Grid Scale model (SGS).

277 Computation of sub-grid dissipation rate is given in equation (16)

$$\varepsilon_{\text{SGS}} = \tau_{ij} S_{ij} = 2\nu_{\text{T}} \hat{S}_{ij} \hat{S}_{ij} = \frac{\nu_{\text{T}}}{2} \left(\frac{\partial \hat{u}_i}{\partial x_j} + \frac{\partial \hat{u}_j}{\partial x_i} \right)^2 \quad (16)$$

278 And the total energy dissipation rate can be computed from (17)

$$\varepsilon = 2(\nu_{\text{T}} + \nu) \langle S_{ij} S_{ij} \rangle = \frac{\nu_{\text{T}} + \nu}{2} \overline{\left(\frac{\partial \hat{u}_i}{\partial x_j} + \frac{\partial \hat{u}_j}{\partial x_i} \right)^2} \quad (17)$$

279

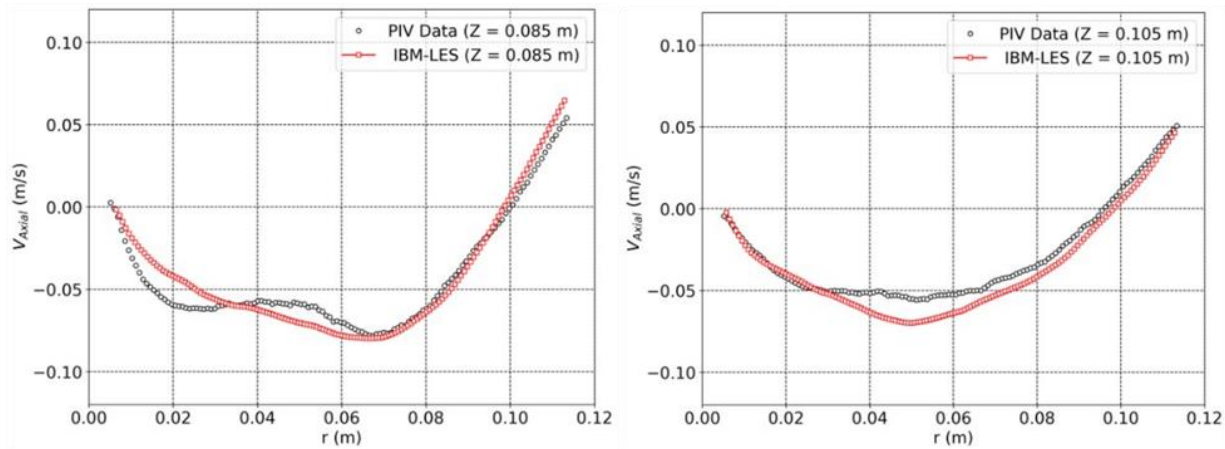
280 4. Results and discussion

281 **4.1. Mean flow field**

282 The statistical convergence of the mean and RMS velocities is given as supplementary information
283 (Fig S1-S4). Convergence is reached after 8000 acquisitions (a total of 11,238 being made).

284 Radial profiles for average velocity components of numerical results (IBM-LES) and PIV
285 measurements for two axial positions $Z = 0.085$ m and $Z = 0.105$ m are shown in Fig. 4. For the
286 axial position $Z = 0.085$ m, i.e., slightly above the impeller, IBM-LES gives a good estimation of
287 axial mean velocity component. For the axial position $Z = 0.105$ m, i.e., far above the impeller, the
288 agreement between the numerical results and the PIV data in terms of the axial mean velocity
289 component is also acceptable. The main point for the $Z = 0.105$ m position is that the baffle plays
290 an important role in flow structure. The results show that representing the baffle by an immersed
291 solid boundary is a reasonable option.

292



293

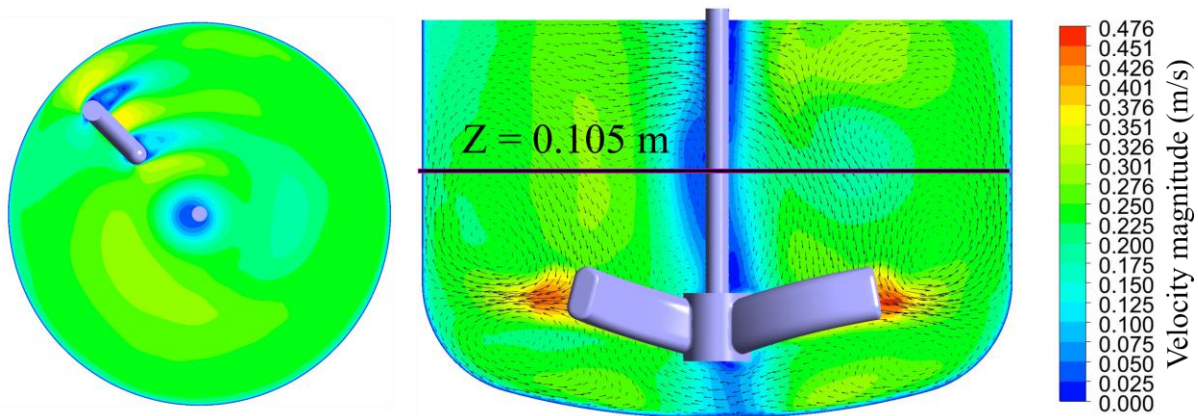
294 *Fig. 4. Radial profile of the mean axial velocity at $Z = 0.085$ m and $Z = 0.105$ m*

295

296 After validating the numerical simulation in terms of mean velocity profiles with experimental
297 data, IBM-LES results are used to investigate the overall flow patterns in the stirred tank and
298 evaluate the effect of baffle and impeller on the flow behavior. Fig. 5 shows the predicted two-
299 dimensional velocity contours with velocity vectors, in a central vertical section through the stirred
300 tank in a horizontal section at an axial position ($Z = 0.105$ m) from the bottom of the stirred tank.

301 The radial jet originating from the tips of the impeller blades forms secondary swirl rings above
302 and below the impeller. The flow patterns above and away from the impeller are significantly
303 affected and controlled by the baffle. In the upper part of the tank, the baffles break the circular
304 flow caused by the impeller and make the liquid move in more diverse directions. Near the baffles,
305 these vectors are in different directions compared to areas far from the baffles, showing how the
306 flow pattern changes. On the other hand, in the lower parts of the stirred tank, the baffle obstructs
307 the flow and changes the overall flow pattern. The tangential flow in the stirred tank is significantly
308 affected by the two-finger baffle. It is remarkable that the mean flow is not axisymmetric which
309 can be regarded as a consequence of the single two-finger baffle.

310



311

312 *Fig. 5 Flow field contours with mean velocity vectors obtained from IBM-LES*

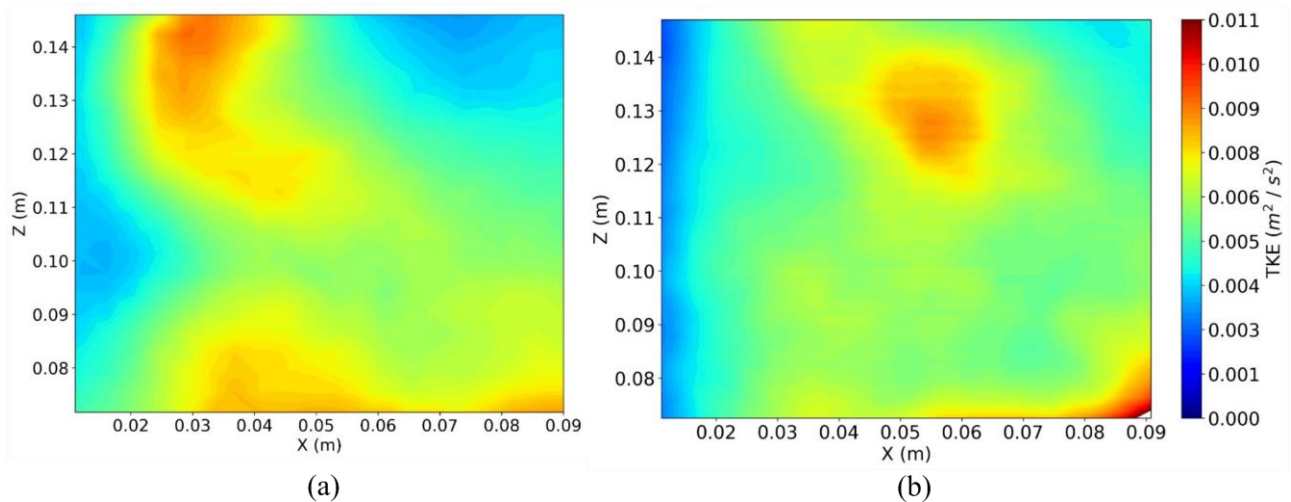
313

314 **3.2. Kinetic energy**

315 In this section, the statistical convergence of the velocity component variances was initially
316 examined. Convergence for all components was achieved after 11,238 acquisitions. Subsequently,
317 the simulated flow field was validated by comparing the distribution of turbulent kinetic energy.
318 Since the experimental data were obtained using 2D-2C PIV, the numerical results presented are
319 based on only two components of turbulent kinetic energy. Fig. 6 compares the turbulent kinetic
320 energy distribution between IBM-LES and experimental results. In the bottom part of each contour

321 plot, a high amount of turbulent kinetic energy is observed in the region located just above the
322 impeller. Surprisingly, similar amounts of turbulent kinetic energy are also observed in the upper
323 part of the tank, away from the impeller. This point will be re-examined in the last two sections of
324 this work.

325 As shown in Figure 6, the LES-IBM simulation and experimental data show discrepancies in the
326 kinetic energy distribution. However, evaluating the Taylor micro-scale (2.5–7 mm) confirms that
327 both PIV (pixel size = 0.85 mm) and CFD resolutions (grid resolution = 0.6–2.5 mm) are sufficient
328 to capture turbulence scales. Therefore, these discrepancies can be attributed to the following
329 reasons: (i) boundary condition sensitivity, slight differences in impeller, shaft clearance, wall
330 roughness, or surface imperfections impact turbulence generation, especially in high-shear regions.
331 In this project, only two turbulence components ($u'u'$ and $v'v'$) were captured. The captured
332 components are small and highly sensitive to boundary conditions and setup variations; (ii)
333 turbulence's chaotic nature in stirred tanks, with small variations in impeller or baffle wakes
334 causing localized turbulence changes; and (iii) anisotropic turbulence and large-scale coherent
335 structures, which are resolved in LES but smoothed or spatially displaced in PIV due to ensemble-
336 averaging, leading to differences in turbulence features and their locations.



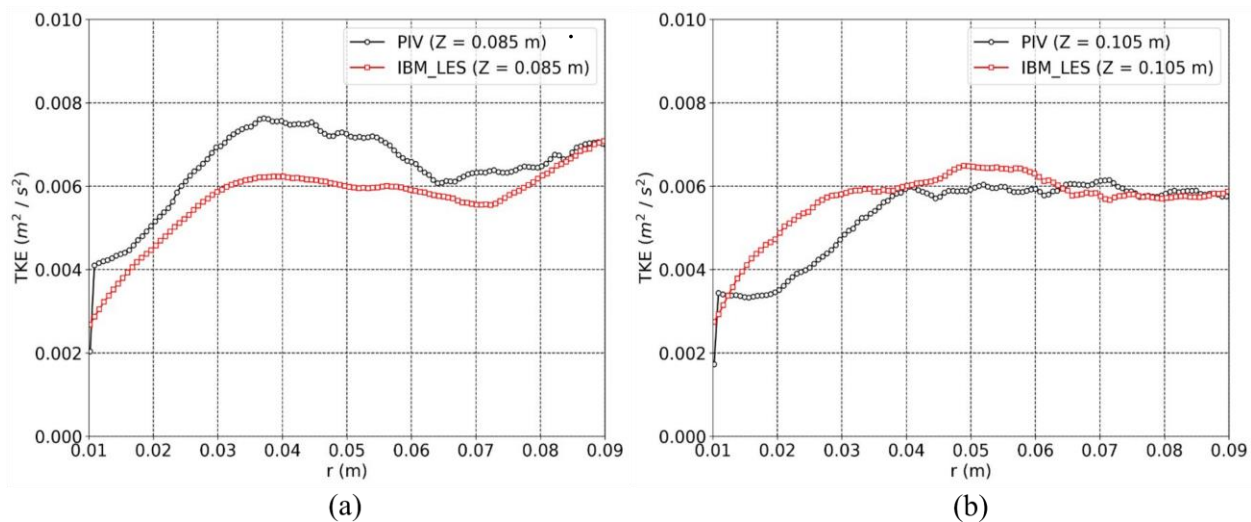
337

338 *Fig. 6 Contours of turbulent kinetic energy of the 2D velocity field. (a) PIV data, (b) IBM-LES*
339 *simulation.*

340

341 Fig. 7 shows the comparison between the calculated turbulent kinetic energy of the numerical
 342 simulations (IBM-LES) and the experimental results for two axial positions of $Z = 0.085$ m
 343 and $Z = 0.105$ m. There is good agreement between the numerical simulation results and the
 344 experimental data in terms of magnitude and shape of turbulent kinetic energy profiles. In addition,
 345 the IBM-LES result shows good agreement with the experimental data, especially in the region of
 346 high turbulent kinetic energy. This result confirm that the mesh size is fine enough, smaller than
 347 the Taylor scale, to capture all kinetic energy scales.

348



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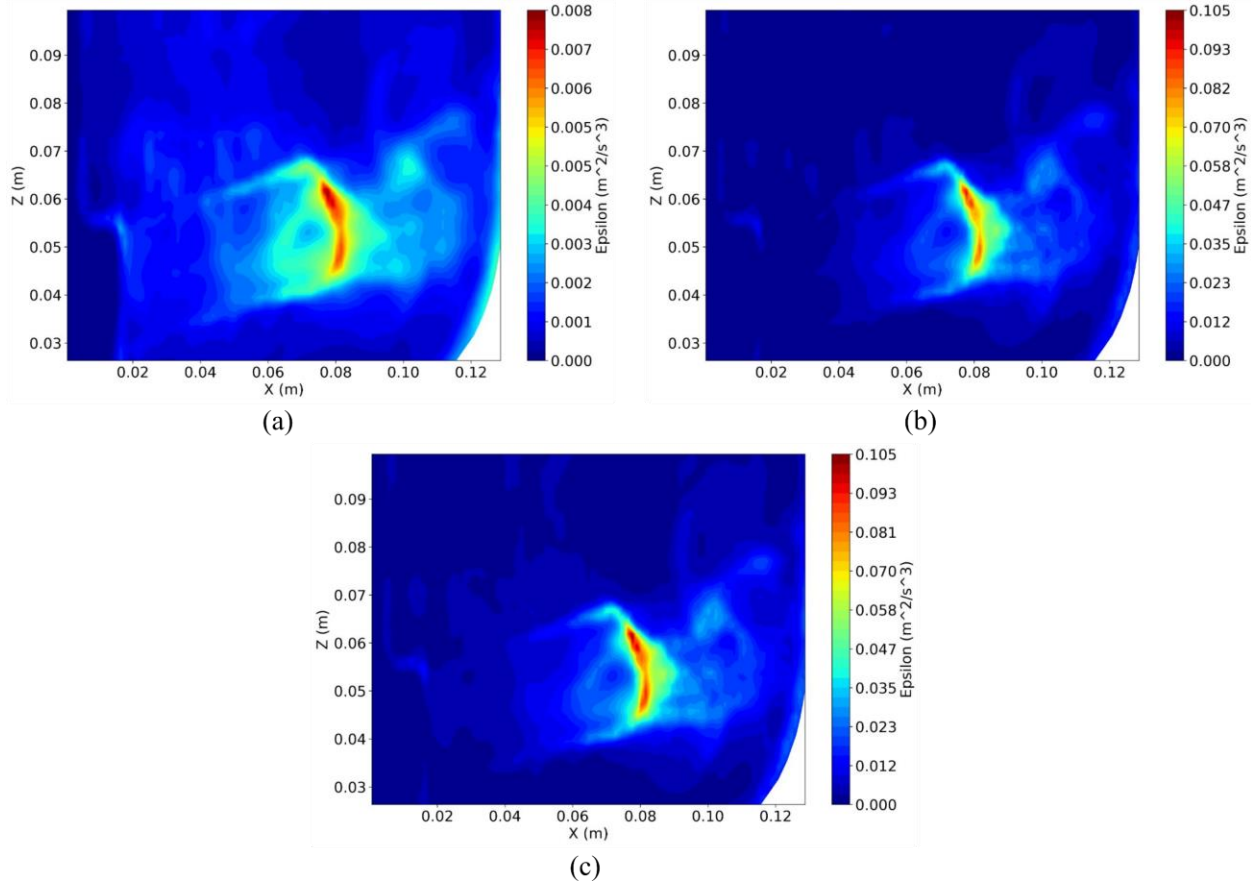
350 *Fig. 7. Comparison of turbulent kinetic energy profiles at (a) $Z = 0.085$ m and (b) $Z = 0.105$ m.*

351

352 3.3. Turbulence dissipation rate

353 In LES, part of the energy dissipation is resolved by the mesh while contribution from the smallest
 354 scales is quantified through a sub-grid scale model. Assuming a local equilibrium between the
 355 production and dissipation of turbulent kinetic energy at the cut-off scale, the sub-grid dissipation
 356 rate is here computed using the Smagorinsky constant $C_s=0.2$. The total energy dissipation rate,
 357 combining resolved and sub-grid scales, is depicted in Fig. 8. The results show that the rate of
 358 energy dissipation in the tank is very inhomogeneous, its magnitude in the vicinity of the impeller
 359 is several times larger than in other areas of the tank. It should be noted that since the mesh size in
 360 the current study is about 10 times the Kolmogorov scale, directly resolving all scales of dissipation

361 rate through numerical simulation out of reach. Despite this limitation, the agreement between the
362 predicted turbulent kinetic energy and experimental results suggests a reasonable assumption that
363 the simulations accurately predict the distribution of energy dissipation rates.



364

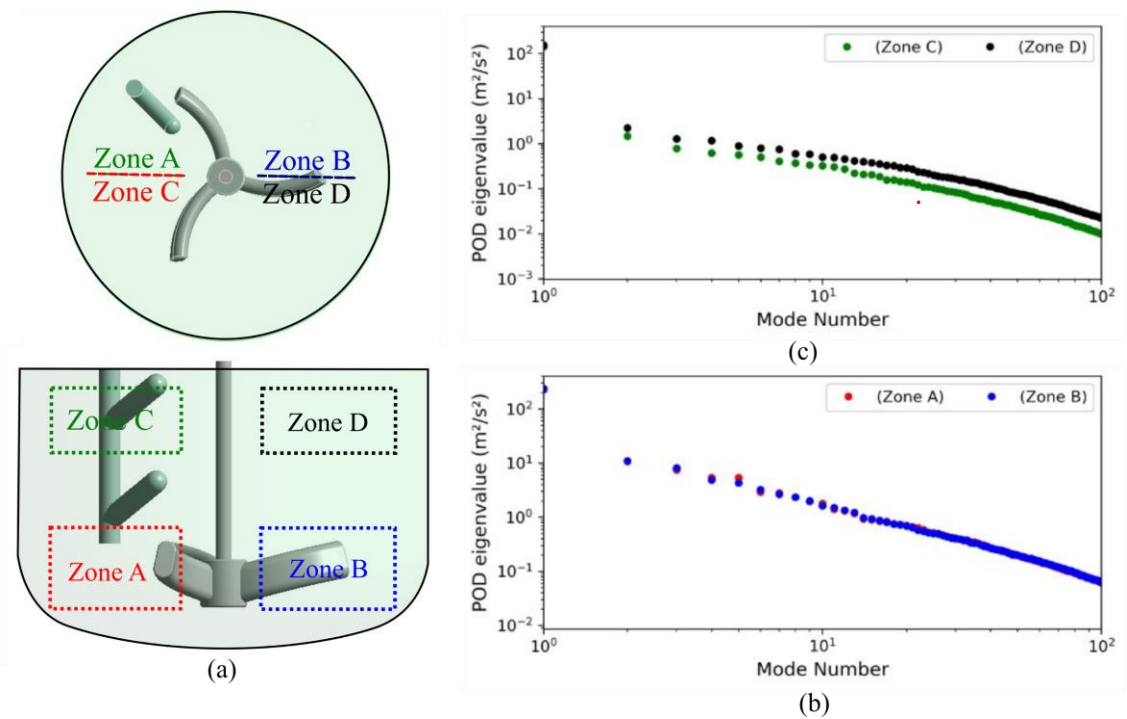
365 *Fig. 8. Energy dissipation rate of the IBM-LES: (a) mesh resolved; (b) computed based on the sub-grid*
366 *model; (c) total energy dissipation rate.*

367

368 3.4. POD analysis

369 A POD analysis is now proposed to analyze in more detail the energy content in the impeller zone
370 and in the upper zone where unexpectedly high amount of kinetic energy are found (in the
371 measurement plane). Proper Orthogonal Decomposition (POD) is an effective method for
372 analyzing instantaneous velocity fields, enabling the reconstruction of velocity as a sum of modes
373 ranked according to their contribution to the total kinetic energy. These modes can be obtained

374 from the Fredholm eigenvalue integral equation introduced by Sirovich [40]. The result is an
 375 orthonormal basis of eigenfunctions and associated eigenvalues. The instantaneous velocity field
 376 can be projected onto each POD eigenfunction. These projections yield POD coefficients, which
 377 can be used to reconstruct the instantaneous velocity fields. The POD technique is detailed in the
 378 literature [33, 41-43]. In this study, we used the snapshot method on IBM-LES results. More
 379 information on our snapshot method can be found in Liné et al. (2013) [41] and Liné (2016) [43].
 380 Since the IBM method performs on a fixed mesh, applying POD to the IBM-LES results is
 381 straightforward. Data were collected in four two-dimensional zones located in the same vertical
 382 plane, as shown in Fig. 9a. Zone A and B are swept by the impeller, the number of sampling points
 383 in each zone is the same. Zone C and D are in the upper part of the tank, here also the number of
 384 sampling point is the same in both zones but it is different from that used in zones A and B. The
 385 impeller rotates in the clockwise direction so zones A and C are located upstream of the baffle
 386 whilst zones B and D are located downstream.



387

388 *Fig. 9. (a) Zones of interest for POD analysis; (b) Energy spectrum in Zones A and B; (c) Energy*
 389 *spectrum in Zones C and D*

390 Fig. 9b presents the energy spectrum in zone A and B. The total energy content is 293.68 (m^2/s^2)
391 and 304.83 (m^2/s^2) in zone A and B, respectively. There is no significant difference in terms of
392 total energy content between these zones, as the flow is primarily controlled by the impeller. The
393 energy spectra in these two zones are almost similar as shown in Fig. 9b. It is however remarkable
394 that mode 4 and mode 5 contains the same amount of energy in the Zone A. This feature is typical
395 of modes associated with organized motion and can thus be related to trailing vortices. In contrast,
396 the energy content of mode 5 in the Zone B is distinctively smaller, which might be the
397 consequence of the interaction of the trailing vortices with the baffle.

398 Fig. 9c presents the energy spectrum in zone C and D. The total energy content expressed as the
399 sum of eigenvalues is 153.32 (m^2/s^2) in Zone C, and goes up to 173.89 (m^2/s^2) in Zone D. The
400 energy content of the first mode is similar in Zones C (143.34 m^2/s^2) and D (153.84 m^2/s^2). This
401 first mode holds for 93.5 % of the total energy content in zone C but only 88.5 % in Zone D. The
402 major difference is visible for higher order modes where the spectrum is shifted upward in Zone
403 D compared to Zone C. Thus, the POD analysis indicates that the energy distribution is different
404 in Zones C and D, the amount of energy related to the mean flow is identical whereas a
405 significantly higher amount of organized and turbulent kinetic energy is found in Zone D, i.e
406 downstream of the baffle in the upper part of the tank, as previously illustrated in Fig. 6. These
407 findings are illustrated by a series of figures provided as supplementary information (**Fig. S8-S10**).
408 The results indicate that in the zone where the impeller controls the flow, the flow kinetic energy
409 is axisymmetric. However, in the regions influenced by the baffle, the fluid exhibits asymmetric
410 behavior, confirming that the baffle affects the flow.

411 **3.5. Trailing vortex identification according to Jeong and Hussain technique**

412 In order to confirm the hypothesis that the baffle is responsible for unfamiliar spatial distribution
413 of kinetic energy in such a tank, the method of Jeong and Hussain was used to visualize the
414 presence of coherent structures such as trailing vortices formed behind the impeller blades [44].
415 According to this method, based on the strain rate tensor and rotation rate tensor, the core of the
416 trailing vortex is defined by a region where the second eigenvalue λ_2 of the $S^2 + \Omega^2$ tensor is
417 negative. S and Ω are the symmetric and the anti-symmetric parts of the velocity gradient tensor
418 respectively

$$S_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \quad (18)$$

$$\Omega_{ij} = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} - \frac{\partial u_j}{\partial x_i} \right) \quad (19)$$

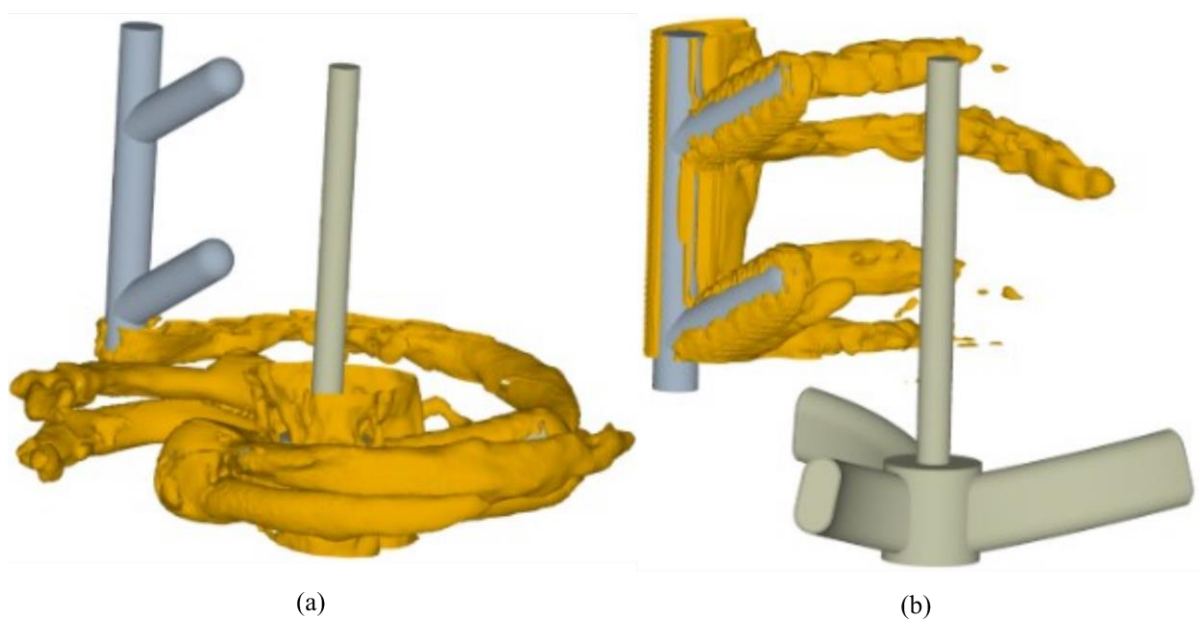
419

420 Using nine components of these velocity gradient tensor stored in the database, the symmetric (S)
 421 and antisymmetric (Ω) parts of the velocity gradient tensor are estimated. These two tensors were
 422 evaluated in each k -plane to determine the shape of trailing vortices every 1.2° .

423 The iso-surface depicted in Fig. 10 illustrates the development of a trailing vortex behind both the
 424 impeller blades and baffle fingers at a rotational speed of 100 rpm, with the impeller rotating in a
 425 clockwise direction. In Fig. 10a, two distinct trailing vortices are observed in the wake of the
 426 impeller blades. Their aspect is typical of trailing vortices produced by radial impeller [45]. These
 427 vortices contribute to both the radial jet flow and intense mixing in this zone. Note that the bottom
 428 tip of the baffle interacts with these trailing vortices, whilst other trailing vortices have similar
 429 extent and size. Fig. 10b illustrates the presence of coherent structures attached to the baffle fingers
 430 and caused by the interaction of the orthoradial flow with the cylindrical obstacles. These coherent
 431 structures develop over about 100 degrees, contributing to the dissymmetry of the mean flow field
 432 visible in Fig. 5. Along with the analysis of the energy content of the flow brought by the POD
 433 visible in Fig. 10c, it can be concluded that this extra amount of turbulent kinetic energy comes
 434 from the coherent structures which develop in the wake of the baffle.

435 Based on the information presented above, the presence of a two-finger baffle alters the flow field
 436 structure in the stirred tank by influencing the spatial energy distribution and forming trailing
 437 vortices. In terms of energy transfer mechanisms, the two-finger baffle creates localized regions
 438 of flow that act as sources of instabilities in the flow structure. As shown in Fig. 10, these
 439 instabilities promote the production of smaller-scale vortices, thereby enhancing the cascade of
 440 kinetic energy. Additionally, the interaction between the flow generated by the impeller and that
 441 generated by the two-finger baffle further intensifies this process, resulting in high kinetic energy
 442 downstream of the baffle. In terms of vortices formation, in this stirred tank, two types of trailing

443 vortices are formed. The primary trailing vortices result from tip leakage flow at the impeller blade
444 tip and shear stresses along the blade edges. The secondary vortices develop at the edges of the
445 two-finger baffle due to flow separation. Near the region where the baffle and impeller are close,
446 the impeller-induced flow interacts with the baffle. This interaction causes flow blockage and
447 deflection around the baffle fingers, leading to vortex stretching and partial fragmentation. So, the
448 interaction between these two vortices creates complex vortex dynamics in the tank, disrupting the
449 coherence of the wake vortices. This results in smaller, less coherent structures as they propagate
450 downstream. This mechanism aligns with the findings shown in Fig. 10, based on Jeong and
451 Hussain's vortex identification method.



452 (a) (b)

453 *Fig. 10. Visualization of the trailing vortices in the simulation volume based on Jeong and Hussain's*
454 *technique: (a) behind the impeller; (b) behind the baffle*

455

456 Altogether, these multiple interactions lead to a complex flow structure where a strong turbulence
457 anisotropy is at stake. In that situation, IBM-LES is a valuable modeling choice to describe the
458 dynamics of this flow field.

459

460

461 5. Summary and conclusions

462 In the present work, IBM-LES simulation was performed to analyze the hydrodynamic behavior
463 in a stirred tank equipped with a retreat blade impeller and a two-finger baffle. The results of the
464 numerical simulations were evaluated using detailed PIV data. The first goal of this paper was to
465 evaluate the possibility of using the IBM approach in predicting the fluid behavior of a stirred tank
466 with a complex geometrical configuration under high Reynolds number operating conditions.
467 Upon comparing the numerical predictions of IBM-LES with PIV data, a commendable agreement
468 was identified between the simulation and experimental results in terms of mean velocities and
469 turbulent kinetic energy. In addition, the mesh generation process for a stirred tank with complex
470 geometric configurations is significantly simpler with IBM-LES, especially here where the
471 distance between the tip of the blade and the baffle is very small.

472 The second objective of the paper was to evaluate the effect of the retreating blade impeller and a
473 two-finger baffle on the hydrodynamic characteristics of the stirred tank using IBM-LES results.
474 In contrast to other numerical strategies involving a moving mesh, the IBM-LES technique allows
475 to perform a POD treatment of numerical results readily, in a baffled tank, despite the presence a
476 rotating impeller, because the location of mesh nodes is time-independent. The POD analysis of
477 the IBM-LES results confirmed that the high energy level found in the upper part of the tank is
478 related to the energy transfer from the coherent structures created downstream the two-finger
479 baffle. Finally, in addition, this study identifies and characterizes trailing vortices created behind
480 the impeller blade and two-finger baffle. Trailing vortices were identified using Jeong and
481 Hussain's technique, which relies on an objective definition of vortical structures. The findings
482 indicate a significant influence of the baffle on the flow patterns above and away from the impeller.
483 In particular, the two-finger baffle significantly affects the tangential flow in the stirred tank. The
484 outcomes of trailing vortices identification indicate the formation of two separate trailing vortices
485 behind both the impeller blades and the baffle fingers.

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490

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