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# Histone H3 variants and chaperones in *Arabidopsis thaliana* heterochromatin dynamics

Matthias Benoit

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ÉCOLE DOCTORALE  
DES SCIENCES DE LA VIE, SANTÉ, AGRONOMIE, ENVIRONNEMENT

# *Thèse*

Présentée à l'Université Blaise Pascal  
pour l'obtention du grade de

**DOCTEUR D'UNIVERSITÉ**

Spécialité : Physiologie et Génétique Moléculaires

Soutenue le 17 Octobre 2014

Matthias BENOIT

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IN *ARABIDOPSIS THALIANA* HETEROCHROMATIN DYNAMICS**

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Rapporteurs : Dr. Cristel CARLES, LPCV, Grenoble  
Dr. Elaine DUNLEAVY, CCB, Galway  
Dr. Ortrun MITTELSTEN SCHEID, GMI, Vienne  
Directrice de thèse : Dr. Aline PROBST, Université Blaise Pascal, Aubière

Génétique, Reproduction & Développement  
UMR CNRS 6293 - Clermont Université - INSERM U1103  
24, avenue des Landais 63177 Aubière Cedex





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## Abstract

To understand how histones H3 are handled and how histone dynamics impact higher-order chromatin organization such as chromocenter formation in *Arabidopsis*, a comprehensive analysis of the different histone chaperone complexes is required. We identified and characterized the different subunits of the *Arabidopsis* HIR complex. AtHIRA is the central subunit and its loss affects non-nucleosomal histone levels, reduces nucleosomal occupancy not only at euchromatic but also at heterochromatic targets and alleviates transcriptional gene silencing. While the HIR complex-mediated histone deposition is dispensable for higher-order organization of *Arabidopsis* heterochromatin, I show that CAF-1 plays a central role in chromocenter formation. During post-germination development in cotyledons when centromeric and pericentromeric repeats cluster progressively into chromocenter structures, these repetitive elements but not euchromatic loci become enriched in H3.1 in a CAF-1-dependent manner. This enrichment, together with the appropriate setting of repressive histone post-translational marks, contributes to chromocenter formation, identifying chromatin assembly by CAF-1 as driving force in formation and maintenance of genome structure. Finally, while absence of HIR or CAF-1 complexes sustains viability, only the simultaneous loss of both severely impairs nucleosomal occupancy and plant development, suggesting a limited functional compensation between the different histone chaperone complexes and plasticity in histone variant interaction and deposition in plants.

**Keywords:** Heterochromatin dynamics, chromocenter, histone H3, histone chaperone, *Arabidopsis thaliana*

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Afin d'étudier la prise en charge des histones H3 jusqu'à l'ADN et pour comprendre l'influence de leur dynamique dans l'organisation d'ordre supérieur de la chromatine, une analyse des chaperonnes d'histones a été menée. Nous avons identifié et caractérisé les sous-unités du complexe HIR, impliqué dans l'assemblage de la chromatine réplication-indépendante chez *Arabidopsis*. La perte d'AtHIRA, la sous-unité centrale du complexe, affecte le niveau d'histone soluble, l'occupation nucléosomale des régions euchromatiniennes et hétérochromatiniennes ainsi que la mise sous silence transcriptionnel des séquences d'ADN répétées. Alors que le complexe HIR ne participe pas à l'organisation d'ordre supérieur de la chromatine, j'ai montré que CAF-1, impliqué dans l'assemblage de la chromatine au cours de la réplication, joue un rôle central dans la formation des chromocentres. Lors du développement post-germinatif des cotylédons, les séquences d'ADN répétées centromériques et péricentromériques se concentrent dans les chromocentres et s'enrichissent en histone H3.1 de manière CAF-1 dépendante. Cet enrichissement, associé à des modifications post-traductionnelles d'histones associées à un état répressif de la transcription, participe à la formation des chromocentres et met en évidence l'importance de l'assemblage de la chromatine par CAF-1 dans la structure et le maintien du génome. Alors que la perte individuelle de HIR ou de CAF-1 n'affecte pas la viabilité, l'absence des deux complexes altère fortement l'occupation nucléosomale et le développement des plantes. Ceci suggère que la compensation fonctionnelle entre ces complexes de chaperonnes ainsi que la plasticité des voies de dépôt des histones restent limitées.

**Mots-clés:** Dynamique de l'hétérochromatine, chromocentre, histone H3, chaperonne d'histone, *Arabidopsis thaliana*



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## Abbreviations

<b>AGO4:</b> Argonaute 4	<b>DRM2:</b> Domains Rearranged Methyltransferase 2
<b>ASF1:</b> Anti-Silencing Factor 1	<b>DRS:</b> Direct RNA Sequencing
<b>ATP:</b> Adenosine Triphosphate	<b>DSB:</b> Double-Strand Break
<b>ATRX:</b> Alpha Thalassemia/mental Retardation X-linked syndrome	<b>DUO1:</b> Duo Pollen 1
<b>ATXR:</b> Arabidopsis Trithorax-Related protein	<b>E2F:</b> Elongation Factor 2
<b>BAC:</b> Bacterial Artificial Chromosome	<b>FAS:</b> Fasciata
<b>BLAST:</b> Basic Local Alignment Search Tool	<b>FISH:</b> Fluorescent <i>In Situ</i> Hybridization
<b>Bp:</b> Base pair	<b>FITC:</b> Fluorescein Isothiocyanate
<b>CAC:</b> Chromatin Assembly Complex	<b>GABI:</b> Genomanalyse im Biologischen System Pflanze
<b>CAF-1:</b> Chromatin Assembly Factor-1	<b>gDNA:</b> Genomic DNA
<b>CBN:</b> Cabin	<b>GUS:</b> $\beta$ -Glucuronidase
<b>cDNA:</b> Complementary DNA	<b>HIR:</b> Histone Regulator
<b>CENH3:</b> Centromeric Histone H3	<b>HIRA:</b> Histone Regulator A
<b>CENP-A:</b> Centromere Protein A	<b>HP1:</b> Heterochromatin Protein 1
<b>ChIP:</b> Chromatin Immunoprecipitation	<b>HPT:</b> Hygromycin Phosphotransferase
<b>ChIP-Seq:</b> Chromatin Immunoprecipitation-Sequencing	<b>HTR:</b> Histone Three Related
<b>CLF:</b> Curly Leaf	<b>HXK1:</b> Hexokinase 1
<b>cM:</b> Centimorgan	<b>H3K9:</b> Histone 3 lysine 9
<b>CMT:</b> Chromomethylase	<b>H3K27:</b> Histone 3 lysine 27
<b>Dag:</b> Days after germination	<b>IDN2:</b> Involved in De Novo 2
<b>DAPI:</b> 4',6'-diamidino-2-phenylindole	<b>Kb:</b> Kilobase
<b>DAXX:</b> Death domain-associated protein	<b>kDa:</b> Kilodalton
<b>DCL3:</b> Dicer-Like 3	<b>KYP:</b> Kryptonite
<b>DDM1:</b> Decrease in DNA Methylation 1	<b>LHP1:</b> Like-HP1
<b>DLP:</b> DAXX-Like Protein	<b>lncRNA :</b> Long non-coding RNA
<b>DME:</b> Demeter	<b>LSH:</b> Lymphoid-Specific Helicase
<b>DML:</b> Demeter-Like	<b>LTR:</b> Long Terminal Repeat
<b>DNA:</b> Deoxyribonucleic Acid	<b>Mb:</b> Megabase
<b>DNMT:</b> DNA (cytosine-5)-Methyltransferase	<b>MEA:</b> Medea





<b>MEF2:</b> Myocyte Enhancer Factor 2	<b>SEM:</b> Standard Error of the Mean
<b>MET1:</b> Methyltransferase 1	<b>SDS-PAGE:</b> Sodium Dodecyl Sulfate-Polyacrylamide Gel Electrophoresis
<b>miRNA:</b> microRNA	<b>SHH1:</b> Sawadee Homeodomain Homolog 1
<b>M-MLV:</b> Moloney Murine Leukemia Virus	<b>SIN3:</b> SWI-independent 3
<b>MOM1:</b> Morpheus' Molecule 1	<b>siRNA:</b> Small interfering RNA
<b>MORC:</b> Microrchidia	<b>SPT5L:</b> Suppressor of Ty insertion 5-Like
<b>MSI1:</b> Multicopy Suppressor of Ira 1	<b>sRNA:</b> small RNA
<b>NASC:</b> Nottingham Arabidopsis Stock Centre	<b>SUMO:</b> Small Ubiquitin-like Modifier
<b>NCN:</b> Nuclein	<b>SU(VAR)3-9:</b> Suppressor of Variegation 3-9
<b>NOR:</b> Nucleolus Organizer Region	<b>SUVH:</b> Su(var)3-9 Homologue
<b>NRPE1:</b> Nuclear RNA Polymerase E1	<b>SWI/SNF2:</b> Switch/Sucrose Non Fermentable 2
<b>Nt:</b> Nucleotide	<b>TAIR:</b> The Arabidopsis Information Resource
<b>OCS:</b> Octopine Synthase	<b>T-DNA:</b> Transfer-DNA
<b>PCNA:</b> Proliferating Cell Nuclear Antigen	<b>TPR:</b> Tetratricopeptide Repeat
<b>PCR:</b> Polymerase Chain Reaction	<b>TSI:</b> Transcriptionally Silent Information
<b>PHD:</b> Plant Homeodomain	<b>TSS:</b> Transcription Start Site
<b>PoI IV:</b> RNA Polymerase IV	<b>TTS:</b> Transcription Termination Site
<b>PRC:</b> Polycomb Repressive Complex	<b>UBC28:</b> Ubiquitin-conjugating Enzyme 28
<b>RdDM:</b> RNA-directed DNA Methylation	<b>UBN1:</b> Ubinuclein1
<b>RDR2:</b> RNA-Dependent RNA polymerase 2	<b>UEV1C:</b> Ubiquitin-conjugating Enzyme E2 Variant 1C
<b>RHF:</b> Relative Heterochromatin Fraction	<b>UHRF1:</b> Ubiquitin-like containing PHD and RING Finger domains 1
<b>ROS1:</b> Repressor Of Silencing 1	<b>UTR:</b> Untranslated Region
<b>rDNA:</b> Ribosomal DNA	<b>UV:</b> Ultraviolet
<b>RNA:</b> Ribonucleic Acid	<b>VIM1:</b> Variant In Methylation 1
<b>RNAi:</b> Ribonucleic Acid interference	<b>WISC:</b> Wisconsin
<b>RNAPII:</b> RNA Polymerase II	<b>WMD:</b> Web MicroRNA Designer
<b>rRNA:</b> Ribosomal RNA	<b>WT:</b> Wild Type
<b>RT-qPCR:</b> Reverse Transcription-quantitative Polymerase Chain Reaction	<b>XNP:</b> ATRX homolog X-linked Nuclear Protein
<b>SAHF:</b> Senescence-Associated Heterochromatin Foci	<b>4C:</b> Circularized Chromosome Conformation Capture
<b>SAIL:</b> Syngenta Arabidopsis Insertion Library	<b>5mC:</b> 5-methylcytosine
<b>SD:</b> Standard Deviation	



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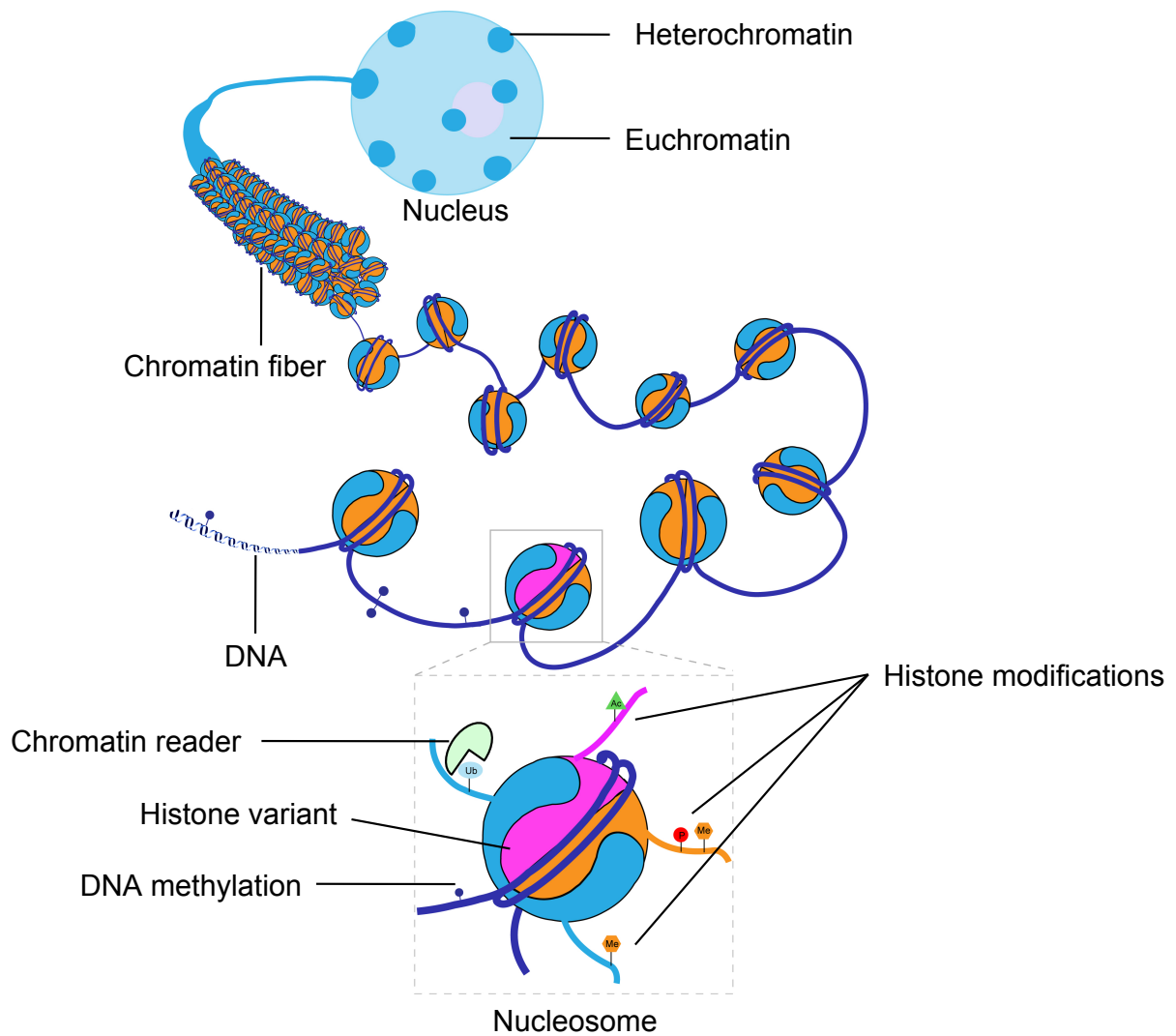
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***State of the art***



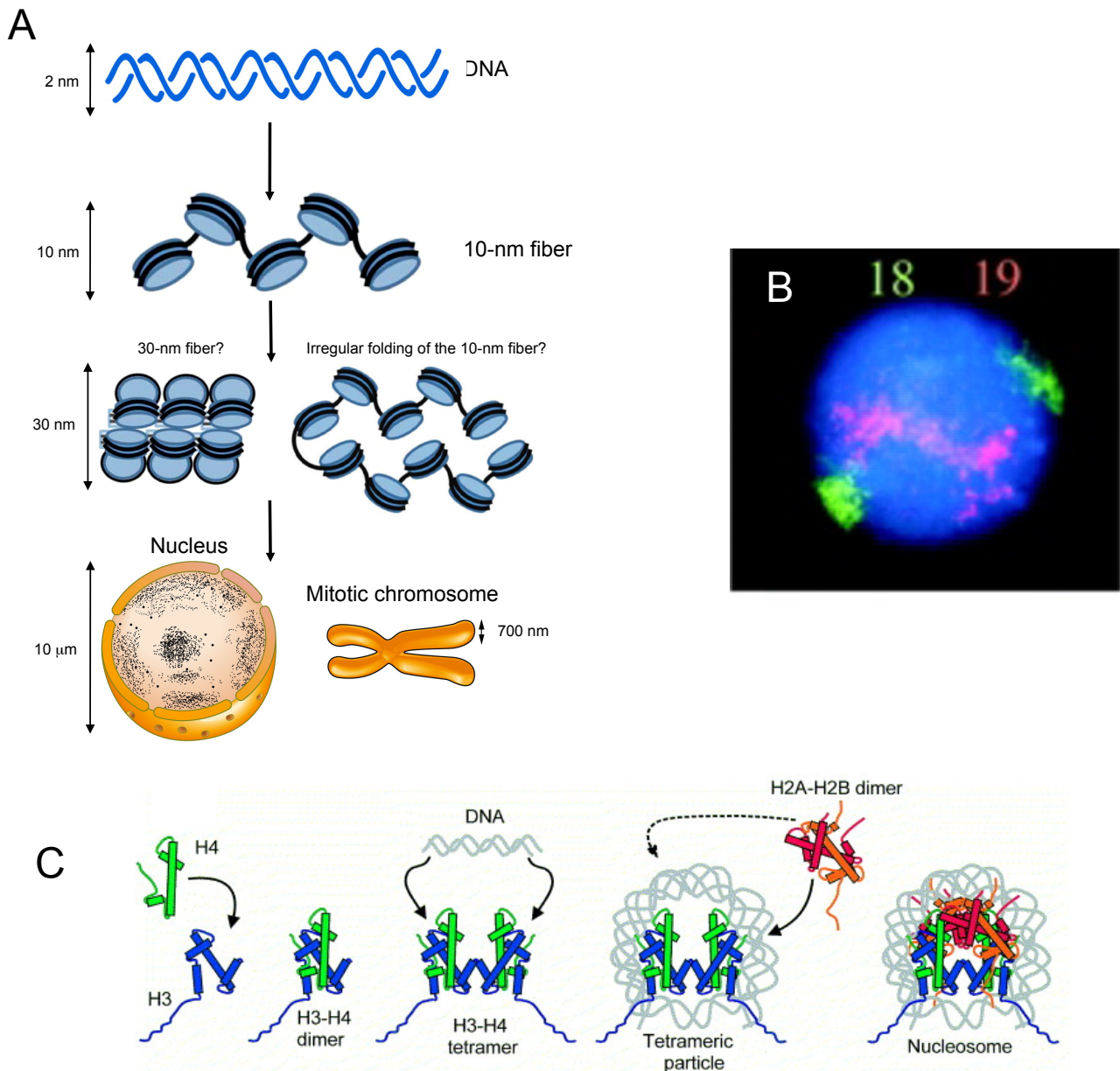
**Figure 1: Epigenetic information is chromatin-based.**

In the eukaryotic nucleus, DNA is organized together with histones forming the basic subunit of chromatin, the nucleosome, in which 146 bp of DNA wrap around a histone tetramere of H3-H4 and two H2A-H2B dimers. Candidates for key players in epigenetic inheritance are situated at different levels of chromatin and include DNA and histone modifications, histone variants, non-histone chromatin proteins that bind directly to DNA or histone modifications, and higher-order organization as well as spatial organization of a given locus within the nucleus. Histones can be post-translationally modified e.g. by acetylation, phosphorylation, methylation and each mark constitutes a signal red alone or in combination with other modifications on the same or neighbouring histones as the 'histone code'. The presence of histone variants, in particular H3 and H2A variants adds further complexity to the epigenetic information (adapted from Probst et al., 2009).

## **1. Organization of eukaryotic genomes in chromatin**

Individual cells of an organism comprise identical DNA content, but development and differentiation require specific gene expression patterns regulated in a time- and tissue-specific manner. How an organism controls the transcription of a given locus during development is therefore a key question. Besides specific sets of transcription factors and signaling cascades responding to environmental cues, epigenetic information, defined as mitotically heritable changes in gene expression that occur without alterations in DNA sequence (Riggs et al., 1996), is integrated at the chromatin level and is of critical importance regarding these questions.

Indeed, in eukaryotic organisms the genetic information encoded by the bulky linear DNA is tightly organized in a nucleoprotein structure called chromatin. Chromatin packages DNA to fit the small compartment of the cell nucleus, but also regulates DNA accessibility. The nucleosome is the basic subunit of chromatin and consists in DNA wrapped around histone proteins (Luger et al., 1997). Accessibility of the transcriptional machinery to DNA is determined by the levels of packaging based on electrostatic interactions between DNA and histones. Nucleosome features therefore directly impact accessibility of the nuclear machinery to the underlying DNA sequence, thus affecting fundamental DNA-based processes such as gene transcription, DNA replication and repair (Probst et al., 2009). Following this idea, chromatin appears as a potential framework for gene expression program maintenance and inheritance. Epigenetic information is essentially chromatin-based and includes covalent modifications at the level of DNA, histones, non-histone chromatin binding proteins, non-coding RNA and histone variants (Probst et al., 2009). Moreover, local properties of chromatin also have consequences on the higher-order nuclear organization and thus can influence the positioning of genes and entire chromosomes within the nucleus (Lieberman-Aiden et al., 2009; Saez-Vasquez and Gadal, 2010) (**Figure 1**). Therefore, epigenetic information carried by chromatin provides a form of memory critical for the maintenance of genome function, including both developmental gene expression patterns and the propagation of essential architectural features of the genome, such as chromosome positioning and definition of telomeres and centromeres (Probst et al., 2009).



**Figure 2: Different levels of chromatin compaction.**

A. The 2 nm-long DNA molecule is wrapped around a core histone octamer and forms a 10-nm “beads-on-a-string” fiber. The 10-nm fiber has long been assumed to fold into a 30-nm fiber that could be organized in a one-start helical solenoid model or in a two-start helix conformation (not shown). Recent data argue instead for the presence *in vivo* of an irregularly folded 10-nm fiber. These intermediate chromatin structures subsequently participate in the higher-order chromatin organization of interphase nuclei or mitotic chromosomes (adapted from Maeshima et al., 2010 and Volle and Dalal., 2014).

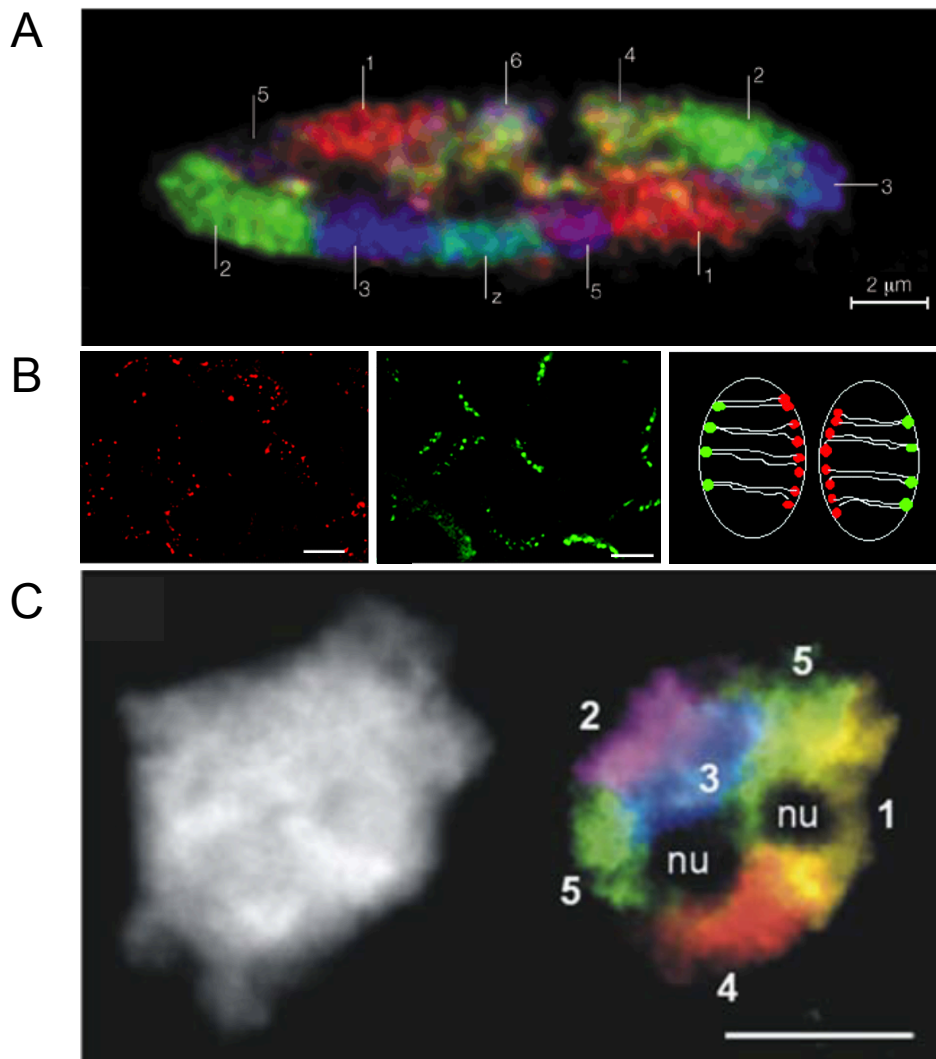
B. Higher levels of chromatin folding exemplified by the distinct chromosomal territories occupied by human chromosomes 18 (green) and 19 (red) in the nuclear volume during interphase. Chromosomes 18 and 19 are revealed by fluorescent *in situ* hybridization in human primary lymphocytes (from Croft et al., 1999).

C. The nucleosome core particle consists of 146 bp of DNA wrapped in a  $\frac{3}{4}$  superhelical turn around a histone octamer. The histone octamer consists of two molecules each of histone H2A, H2B, H3 and H4 with their N-terminal tails protruding from the globular domains (from Morales et al., 2001). First a H3-H4 dimer, then a tetramer is formed that associates with DNA to form a tetrameric particle and two H2A-H2B dimers complete the nucleosome core particle.

## 1.1. Functional significance of chromatin

We distinguish four major levels of chromatin organization (**Figure 2A**): (i) the nucleosome, where DNA is tightly wrapped around the histone core; (ii) the 10-nm beads-on-a-string nucleosomal array (iii) the 30-nm fiber, with an estimated linear compaction of 100-200 kb/ $\mu\text{m}$ ; (iv) higher folding levels, which position the chromatin fiber within a chromosome territory and allow the elevated compaction of metaphase chromosomes (**Figure 2B**). The general picture of chromatin is a polymer of nucleosomes, each of which is formed by an octamer of the core histones H2A, H2B, H3 and H4, around which a 146-bp DNA helix is wrapped 1.7 times in a left-handed superhelical fashion (Luger et al., 1997) (**Figure 2C**). The core histones are structurally defined by two distinct conserved motifs: the histone fold domain and the unstructured N-terminal and C-terminal histone tails that protrude from the globular part. The histone fold domain participates in interactions between core histones through three  $\alpha$ -helices ( $\alpha 1$ ,  $\alpha 2$ , and  $\alpha 3$ ) connected by short loops (Arents and Moudrianakis, 1995). Histones are highly basic proteins, since their amino acid sequence is lysine- and arginine-rich, which tightly interact with the acidic phosphate groups of the DNA forming fourteen non-covalent histone-DNA contact points within the nucleosome (Luger et al., 1997). The nucleosome core assembly is achieved by the initial heterodimerization of H3/H4 followed by dimerization to form a  $(\text{H3-H4})^2$  tetramer (Eickbush and Moudrianakis, 1978), initiating first contacts with DNA (Luger, 2001). Dimers of H2A/H2B then bind to the  $(\text{H3-H4})^2$  tetramer creating new histone/DNA contact points and facilitate DNA wrapping around the histone octamer to form the nucleosome core complex (Hayes et al., 1990, 1991; Luger, 2001).

The beads-on-a-string model consists of a linear array of nucleosomes stabilized by the binding of linker histone H1 to linker DNA (Thomas, 1999; Maeshima et al., 2014) (**Figure 2A**). The 20-60 bp of linker DNA between nucleosomes is critical for the spatial orientation of the nucleosomal array and fixes the distance between adjacent nucleosomes (Grigoryev et al., 2009; Arya et al., 2010). This tightly controlled architecture establishes the 10-nm beads-on-a-string structure, which is a basis for further compaction of the chromatin fiber (Horn and Peterson, 2002; Mohd-Sarip and Verrijzer, 2004; Yelagandula et al., 2014). Existence of chromatin in form of a 30-nm fiber remains controversial due to



**Figure 3: Chromatin arrangement in interphase nuclei.**

A. Chromosome painting on a chicken fibroblast nucleus reveals chromosomes organized within exclusive chromosome territories (from Cremer and Cremer, 2001).

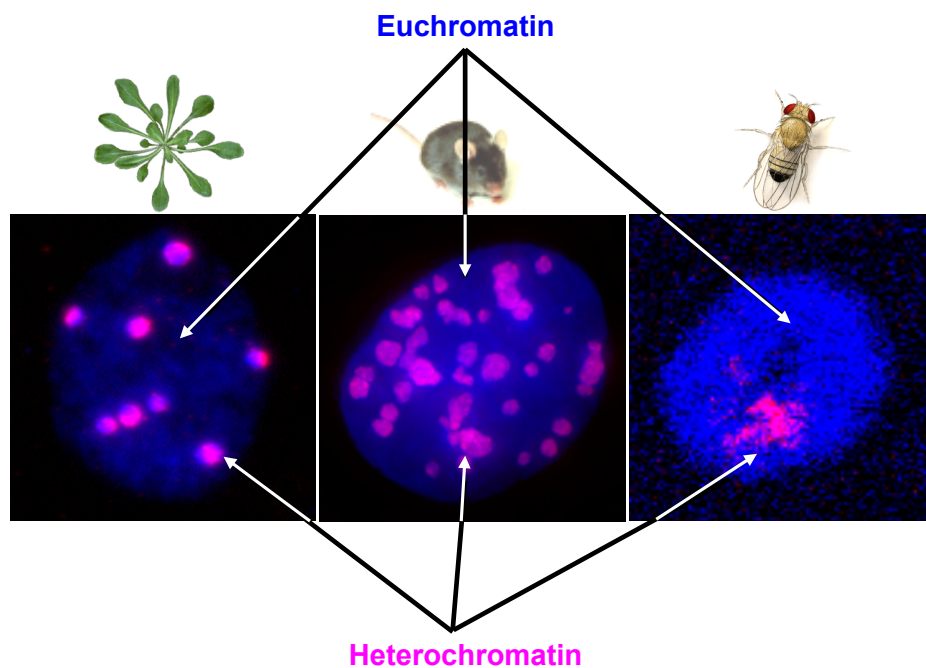
B. Interphase chromosomes of wheat meristematic root nuclei display Rabl configuration. Telomeres (in red) and centromeres (in green) are found at opposite poles of the interphase nucleus. Scale bars: 10 μm (from Santos and Shaw, 2004).

C. Chromosomes organize in distinct territories in *Arabidopsis thaliana*. DAPI-stained 4C leaf nucleus (left) and multi-color chromosome painting of individual *Arabidopsis* chromosome arms (right). Nucleoli (nu) and repetitive DNA sequences are unstained. Scale bar: 5 μm (from Pecinka et al., 2004).

structural complexity (Robinson and Rhodes, 2006; Maeshima et al., 2010; Joti et al., 2012). The 30-nm fiber is currently hypothesized following two models (Wu et al., 2007; Fransz and de Jong, 2011). The one-start helix (solenoid model) displays a linear arrangement and interactions between neighboring nucleosomes, while the two-start helix (zigzag model) exhibits repetitive units of nucleosomes that alternate into an irregular 3D zigzag architecture. However, some recent evidences suggest that *in vivo*, the 30-nm chromatin fiber could be preferentially replaced by irregularly folded 10-nm fibers participating in further organization of particular high-order chromatin domains within the nuclear volume (Maeshima et al., 2014; Volle and Dalal, 2014; Yelagandula et al., 2014).

Higher levels of folding locate the chromatin fiber within the 3D volume of the nucleus. The spatial organization of the chromosomes is not random and is mediated by many factors such as chromosome length, DNA sequence, changing gene activity during cell growth and differentiation, local or global chromatin context, nuclear volume or external stimuli. Within the nucleus, each chromosome preferentially occupies a proper subdomain called chromosome territory (**Figure 3**). Interestingly, gene-rich chromosomes are preferentially found in a central position (Croft et al., 1999; Kozubek et al., 2002; Chambeyron and Bickmore, 2004; Scheuermann et al., 2004), while gene-poor chromosomes tend to localize at the nuclear periphery, which is thought to form a transcriptionally repressive microenvironment (Croft et al., 1999; Boyle et al., 2001; Cremer and Cremer, 2001, 2010). However this organization is not universal since particularly highly-expressed genes have been described close to the nuclear periphery (Brown et al., 2006; Küpper et al., 2007). In mammals and plants, transcription leads to chromatin decondensation and looping out from the chromosome territory. Whether the distribution of chromosome territories is conserved through mitosis remains controversial (Gerlich et al., 2003; Kalmárová et al., 2008; Cremer and Cremer, 2010; Ishii and Houben, 2014). In Arabidopsis, chromosome territories location within the nucleus can be transmitted in a transient mirror-symmetrical pattern through mitosis (Berr and Schubert, 2007). Inside each chromosome territory, the centromere and the telomeres are arranged in a specific manner. Centromeric chromatin is mostly located at the periphery, near the nuclear membrane, whereas disposition of telomeric regions varies between species. In human cells, centromeres are partly localized to the nuclear periphery depending the cell cycle phase (Solovei et al., 2004). Plants with large genomes such as wheat and





**Figure 4: Euchromatin and heterochromatin are two distinct chromatin states in eukaryotes.**

Two distinct forms of chromatin exist in eukaryotic genomes: on the one hand euchromatin, stained here in blue by DAPI in nuclei from *Arabidopsis* leaf tissue, mouse fibroblasts and *Drosophila* salivary glands, is relatively decondensed and includes chromatin domains with transcription-permissive features. On the other hand, heterochromatin remains condensed throughout the whole cell cycle and forms at repetitive sequences and transposable elements – here visible in pink by DNA fluorescence *in situ* hybridization of repetitive sequences (adapted from Probst et al., 2003. Pictures of mouse and *Drosophila* courtesy of A. Probst and E. Brassat).

close relatives, as well as the budding yeast *Saccharomyces cerevisiae* exhibit a Rab1 organization, where centromeres cluster at the spindle pole body, facing telomeres found in perinuclear foci on the opposite side of the nucleus. In *Arabidopsis thaliana*, the centromeres are located at the nuclear periphery (Fang and Spector, 2005), while telomeres cluster at the periphery of the nucleolus (Fransz et al., 2002; Probst et al., 2003).

## **1.2. Heterochromatin is a specialized chromatin subdomain**

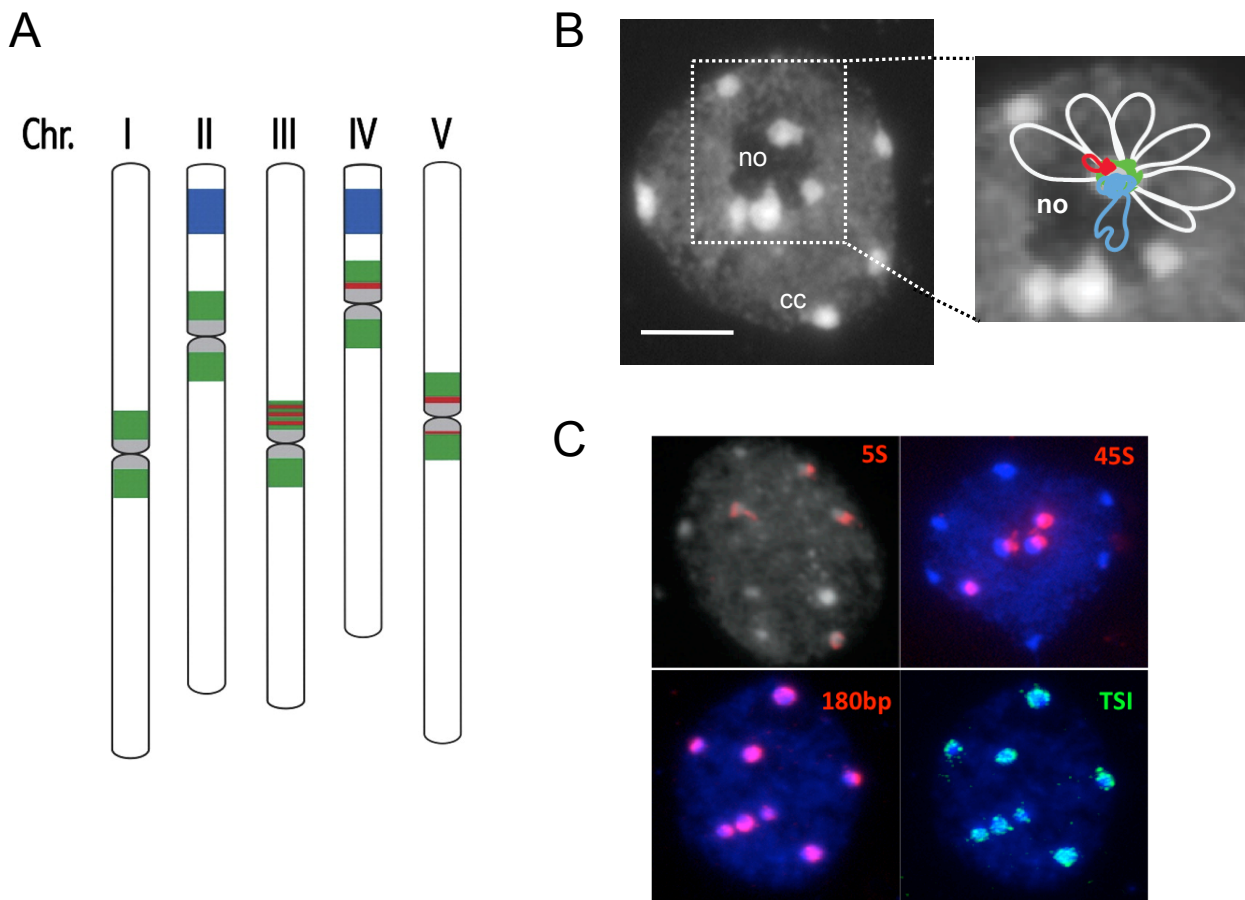
### **1.2.1. Structure of heterochromatin**

Local modifications of the nucleosome structure and chromatin fiber organization translate in the formation of two major chromatin states in eukaryotes, visible in cytological preparations after coloration by DNA stains (**Figure 4**). The less compact euchromatin includes mainly genes and promotes a transcriptionally permissive environment. Densely packaged heterochromatin instead forms mostly at repetitive sequences and transposable elements, rendering them largely inaccessible to RNA polymerases by adopting a “closed” chromatin conformation. Heterochromatin thereby contributes to the control of potentially deleterious DNA elements and organizes repetitive DNA sequences at both centromeres and telomeres. Historically, heterochromatin has been cytologically defined in moss as chromosomal regions or chromosomes that remain intensely stained during the whole cell cycle, in contrast to the lightly stained euchromatin that decondenses at interphase (Heitz, 1928). This distinction was initially established by light microscopy using chromatin-staining dyes such as acetocarmine and then validated by other cytological techniques, such as Fluorescent *In Situ* Hybridization (FISH). The definition of heterochromatin has been enriched afterwards by molecular, biochemical and structural features together with the finding that heterochromatin contains abundant tandem-repeated DNA, wrapped around densely arranged nucleosomes relatively insensitive to DNase I (Chodavarapu et al., 2010; Shu et al., 2012, 2013), enriched in heavily methylated DNA and histones H3 methylated at lysine in position 9 (H3K9) and 27 (H3K27), thus promoting transcriptional repression, and subjected to late-replication (Karpen and Allshire, 1997; Hennig,



1999; Henikoff, 2000; Chodavarapu et al., 2010; Almouzni and Probst, 2011; Shu et al., 2012). In most eukaryotic species, centromeric, pericentromeric and telomeric regions contain a high density of repetitive DNA sequences such as clusters of satellite sequences and transposons, and are principal candidates for heterochromatin formation (Martens et al., 2005; Schueler and Sullivan, 2006; Blasco, 2007; Slotkin and Martienssen, 2007; Schoeftner and Blasco, 2009). Centromeric and pericentromeric heterochromatin are required for correct chromosome segregation (Peters et al., 2001; Lippman and Martienssen, 2004; Dunleavy et al., 2005; Kanellopoulou et al., 2005; Pidoux and Allshire, 2005; Folco et al., 2008), and intra- and inter-chromosomal association of heterochromatin domains has been shown to be an important factor in the higher-order organization of chromosomes since it promotes the formation of specialized heterochromatin domains such as chromocenters in mouse (Guenatri et al., 2004) and *Arabidopsis* (Fransz et al., 2002). Chromocenters are conspicuous heterochromatin clusters containing most of the repetitive DNA content of the genome (Fransz et al., 2002; Guenatri et al., 2004).

In mouse *Mus musculus domesticus*, pericentromeres and centromeres consist of two types of repetitive DNA sequences spanning around 3.5% of the genome: the AT-rich major satellite repeats (6 Mb of 234 bp units) and the minor satellite repeats (600 kb of 123 bp units) respectively, localizing to the tip of the acrocentric chromosomes (Vissel and Choo, 1989; Choo, 1997; Lehnertz et al., 2003). *In situ* hybridization on metaphase chromosomes has shown that minor satellite sequences constitute the centromeric part, while major satellite repeats locate pericentromerically (Wong and Rattner, 1988; Joseph et al., 1989; Kuznetsova et al., 2006). Pericentromeres cluster to form chromocenters in interphase (Hsu et al., 1971; Guenatri et al., 2004). Chromocenter organization is found in most of the somatic mouse cells, but the levels of clustering and the spatial location of the chromocenters in the nucleus differ between cell types (Guenatri et al., 2004; Terranova et al., 2005; Solovei et al., 2009). At the molecular level, chromocenters are characterized by repressive epigenetic marks including DNA methylation, repressive post-translational modifications and strong enrichment in Heterochromatin Protein 1 (HP1) (Jeppesen et al., 1992; Peters et al., 2001; Lehnertz et al., 2003). The centromeric domain promotes kinetochore formation and the pericentromeric domain has been proposed to play a role in sister chromatid cohesion and proper



**Figure 5: Heterochromatin is organized in chromocenters in *Arabidopsis thaliana*.**

A. Schematic representation of the five *Arabidopsis* chromosomes ( $2n = 10$ ) in the Columbia accession. Chromosome II and IV carry the 45S rDNA loci (Nucleolus Organizer Regions, NOR, blue). The 5S rDNA loci (red) are present on chromosomes III, IV and V, in close proximity to centromeric repeats (180 bp repeats, gray) and inside the pericentromeric domains (green) (from Benoit et al., 2013).

B. Left: Spread of an *Arabidopsis* leaf mesophyll nucleus stained with DAPI. Note the euchromatin in light gray and the nine brightly stained chromocenters (cc). The nucleolus (no) appears as a DAPI-unstained region. Scale bar: 5  $\mu$ m. Right: Model of an *Arabidopsis* chromocenter of chromosome IV adjacent to the nucleolus (no). The chromocenter of chromosome IV comprises the 45S (blue), 5S (red), centromeric (light gray) and pericentromeric (green) repeats from which euchromatic loops (white) emanate (adapted from Fransz et al., 2002). Parts of the ribosomal DNA repeats which are actively transcribed are represented as 45S rDNA sequences (blue) that loop out from the chromocenter into the nucleolus (Probst et al., 2004) and 5S rDNA (red) loops within the euchromatin compartment (Mathieu et al., 2003) respectively.

C. Clustering of repetitive sequences in chromocenters revealed by FISH. Different repetitive sequences (5S, 45S, 180 bp, and Transcriptionally Silent Information (TSI) repeats) are revealed by DNA FISH (adapted from Douet et al., 2008 and Probst et al., 2003).

chromosome segregation (Guenatri et al., 2004). Indeed, mice lacking both the methylation at H3 lysine 9 (H3K9me) and HP1 at major satellites show chromosome missegregation (Peters et al., 2001; Lippman and Martienssen, 2004; Dunleavy et al., 2005; Kanellopoulou et al., 2005). The centromere region of the fruit fly *Drosophila melanogaster* displays a tripartite organization (Miklos and Cotsell, 1990), with the core domain consisting in gene-poor highly repetitive DNA and the flanking segments, observable only in polytene chromosomes, forms at middle repetitive DNA, interspersed with domains containing high density of genes (Eberl et al., 1993; Lohe et al., 1993). These heterochromatin domains are enriched in H3K9me<sub>2/3</sub> and HP1 (Roy et al., 2010; Kharchenko et al., 2011; Riddle et al., 2011).

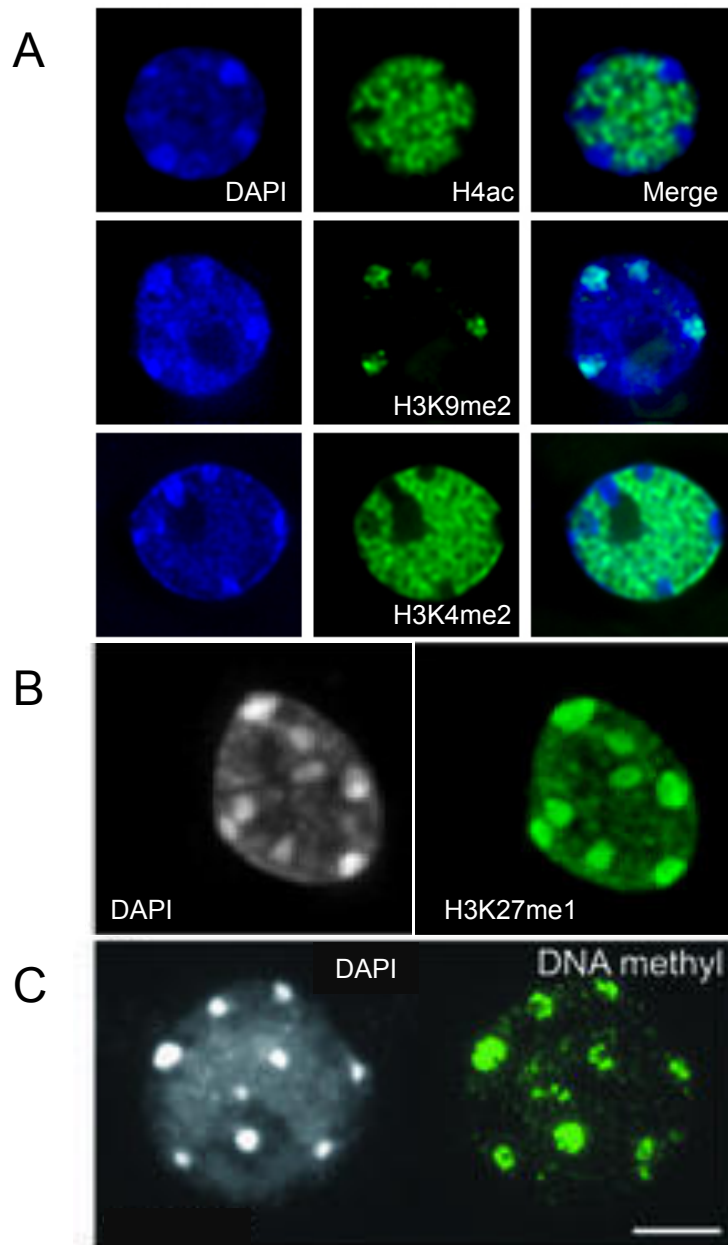
In *Arabidopsis*, heterochromatin is essentially composed of repetitive sequences and transposable elements, including the centromeric 180 bp repeats and interspersed pericentromeric repeats, as well as silenced 45S and 5S rRNA genes (Kumekawa et al., 2000; Nagaki et al., 2003; Benoit et al., 2013) (**Figure 5A**). The centromeres of *Arabidopsis thaliana* have been genetically mapped (Copenhaver et al., 1999; Heslop-Harrison et al., 1999). The centromere core is depleted from genes while silent and low-density genes (<1 per 100 kb compared to euchromatin with 1 gene per 5 kb) are found in pericentromeric regions (Kumekawa et al., 2000; The Arabidopsis Genome Initiative, 2000; Haupt et al., 2001; Hosouchi et al., 2002; Hall et al., 2003), together with various types of repetitive DNA elements, including transposons, retrotransposons and telomere-like repeats, were identified in the pericentromeric region (Richards, 1991; The Arabidopsis Genome Initiative, 2000). The organization of the Arabidopsis centromeric region translates in two domains: the centromere core, containing the functional centromere/kinetochore complex and consisting mainly in 180 bp repeats, and the flanking pericentromeric domains. Each Arabidopsis centromere core contains several megabases (near 20,000 copies spanning about 1.3 to 2.1 Mb) of the 180 bp repeat (Copenhaver et al., 1999; Kumekawa et al., 2000; Hosouchi et al., 2002; Hall et al., 2003), organized into long tandem arrays (The Arabidopsis Genome Initiative, 2000). In addition, a 398 bp fragment of the Athila2 Long Terminal Repeat (LTR) called 106B was discovered as associated with the 180 bp centromeric repeats (Thompson et al., 1996; Slotkin, 2010). The 106B repeat is interspersed as single copies within long stretches of 180 bp repeats. The centromere core region is flanked by pericentromeric heterochromatin domains that contain many transposons,



retrotransposons and telomere-like repeats (Richards, 1991; The Arabidopsis Genome Initiative, 2000). The Athila family of LTR retrotransposons (approximately 500 copies) (Pélissier et al., 1996) and TSI (Transcriptionally Silent Information) repeats constitute most of the genomic content of pericentromeric heterochromatin regions of Arabidopsis chromosomes. TSI repeats exhibit sequence homology with the 3' terminal part of the Athila retrotransposon (Steimer et al., 2000; Slotkin, 2010). Contrary to *Drosophila*, chromosome-specific repeats have not yet been identified in Arabidopsis except for the ribosomal gene clusters (Cloix et al., 2000). The genome of *Arabidopsis thaliana* encodes approximately 1000 copies of 5S rRNA genes, which are arranged as tandem arrays in several loci located in the pericentromeric heterochromatin of chromosomes 3, 4 and 5 in the Columbia accession (Campbell et al., 1992; Layat et al., 2012b; Benoit et al., 2013) (**Figure 5A**). 5S rRNA gene transcription has been found only at the array located on chromosome 4 and at the large locus on chromosome 5, while 5S rRNA genes from chromosome 3 and from the small locus on chromosome 5 are transcriptionally silent (Cloix et al., 2002, 2003; Layat et al., 2012b). Interestingly, at active 5S rDNA loci only the most distal copies are expressed while units close from to centromere remain largely silenced and display increasing levels of mutations (The Arabidopsis Genome Initiative, 2000; Cloix et al., 2002; Vaillant et al., 2008). Upon developmental cues, some of the low-mutated silent units can revert and become transcribed to ensure appropriate amount of 5S rRNA. In Arabidopsis, the 45S rRNA genes are located at the tips of the short arms of chromosomes 2 and 4, in domains called Nucleolar Organizer Regions (NOR). The 570 to 750 copies of 45S rRNA genes are organized in tandem arrays (Copenhaver et al., 1995; Copenhaver and Pikaard, 1996; Layat et al., 2012b). Similar to the 5S rRNA genes, only a fraction of the 45S rRNA gene units are transcribed but the extent of this fraction can be dynamically modified during development (Pontvianne et al., 2010).

Centromeric and pericentromeric heterochromatin clusters with silenced 45S and 5S rDNA arrays of the same chromosome in a chromocenter (Fransz et al., 2002; Fransz and de Jong, 2011) (**Figure 5B**). In 4',6'-diamidino-2-phenylindole (DAPI)-stained interphase nuclei, these chromocenters appear as 6-10 intensely stained chromatin foci (Fransz et al., 2002; Dittmer et al., 2007). Immunolocalization studies confirmed the enrichment in repressive chromatin marks, such as high levels of DNA methylation, H3K9me2 and H3K27me1 (Soppe et al., 2002; Probst et al.,





**Figure 6: Chromocenters are enriched in repressive chromatin marks.**

A. Immunolocalization of H4ac (top), H3K9me2 (middle) and H3K4me2 (bottom) in interphase nuclei isolated from young rosette leaves counterstained with DAPI. The repressive H3K9me2 mark colocalizes with heterochromatic chromocenters, while active marks H4ac and H3K4me2 are excluded (from Probst et al., 2003).

B. Immunodetection of H3K27me1 in interphase nuclei from young rosette leaves counterstained with DAPI. As a repressive histone post-translational modification, the H3K27me1 immunosignal is found colocalizing with chromocenters but is also present in euchromatin (from Mathieu et al., 2005).

C. Immunolocalization of DNA methylation with a 5mC specific antibody on interphase nuclear spreads from young rosette leaves counterstained with DAPI. The DNA methylation immunosignal concentrates in chromocenters. Scale bar: 5  $\mu\text{m}$  (from Soppe et al., 2002).

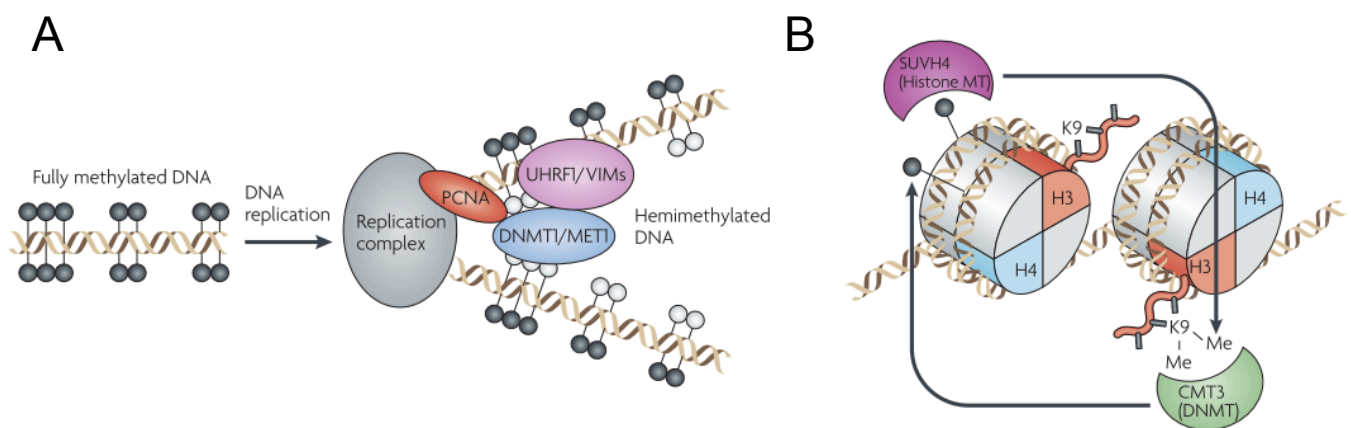
2003; Mathieu et al., 2005) (**Figure 6**). The model emitted by Fransz and collaborators, based on FISH analysis in interphase nuclei, suggests that gene-rich euchromatin loops visualized using gene-rich Bacterial Artificial Chromosomes (BAC) as probes emanate from the chromocenters, thus defining chromosome territories (Fransz et al., 2002; Pecinka et al., 2004) (**Figure 5B**). Such an organization is important for proper compartmentalization and transcriptional silencing of repetitive DNA, meanwhile allowing efficient transcription of genes located in the euchromatic loops. Cytological observations were further confirmed by testing intra- and inter-chromosomal interactions using a 4C assay, revealing that heterochromatic repetitive sequences, including the ones dispersed along the chromosome arms, interact preferentially with each other thus generating specific and well-defined chromatin landscapes (Grob et al., 2013).

### **1.2.2. Heterochromatin is actively defined by epigenetic mechanisms**

A defining feature of heterochromatin is that its transcriptionally repressed state and highly condensed structure self-perpetuates during the cell cycle in a region-specific manner (Probst et al., 2009). During S phase, epigenetic marks are diluted as a consequence of DNA replication. Therefore, sophisticated mechanisms that exploit the mutual reinforcement of DNA and histone modifications are required to ensure inheritance of chromatin marks and maintenance of heterochromatin in its specific higher-order structure (Probst et al., 2009).

#### **1.2.2.1. DNA methylation**

Cytosine residues are methylated in a wide diversity of organisms, including plants, mammals and *Neurospora*, while very low DNA methylation levels are present in yeast, *Drosophila* or *Caenorhabditis elegans* (Simpson et al., 1986; Lyko et al., 1999; Tang et al., 2012). DNA methylation has been shown since long to be critical for development in plants and mammals, involved in transcriptional gene silencing, imprinting and X-chromosome inactivation. Methylation acts in many ways and is



**Figure 7: Model of CG and CHG methylation maintenance.**

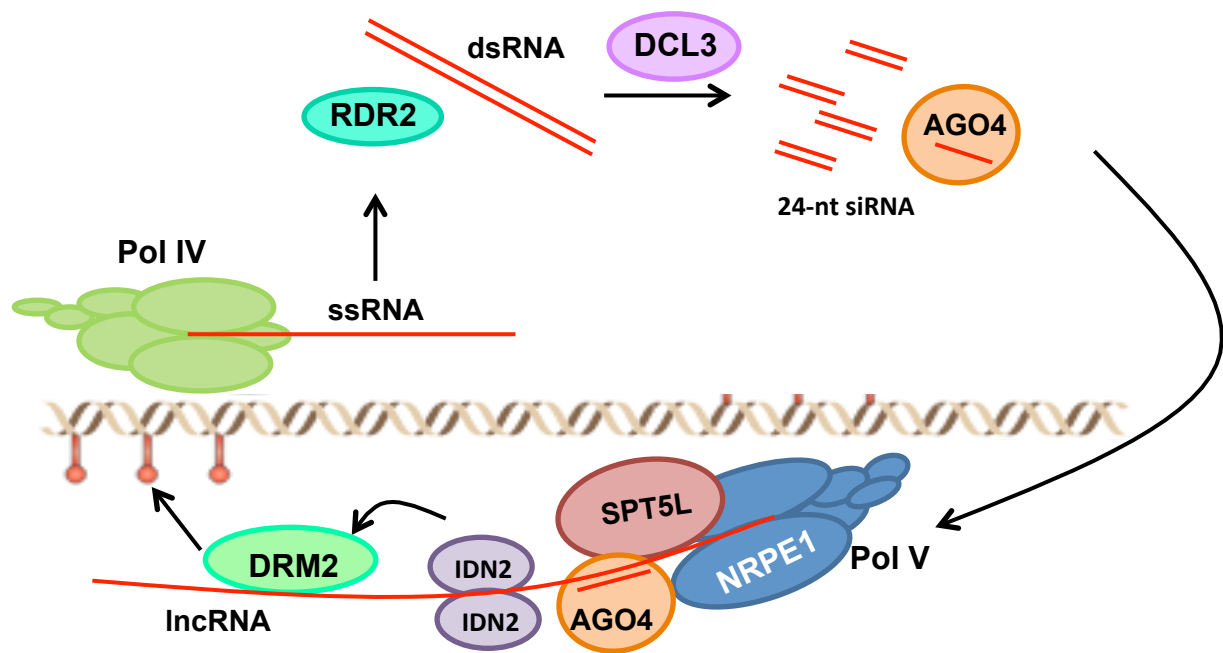
A. Model of CG methylation maintenance during DNA replication. DNMT1 is targeted to the replication fork *via* interaction with UHRF1, specifically binding hemimethylated DNA, and PCNA. Following recruitment, DNMT1 fully reestablishes methylation patterns. In *Arabidopsis thaliana*, DNMT1 and UHRF1 orthologs, respectively MET1 and VIM, are involved in a similar manner in CG methylation maintenance (from Law and Jacobsen, 2010).

B. Model of CHG methylation maintenance in plants by a self-reinforcing loop between DNA and histone methylation. The DNA methyltransferase CMT3 establishes CHG methylation, which is recognized by SUVH4 histone methyltransferase. SUVH4 in turn dimethylates H3K9. This modification is then recognized by the chromodomain of CMT3 allowing maintenance of CHG methylation (from Law and Jacobsen, 2010).

susceptible to block binding of transcription factors, thus preventing transcription, or it can interact with chromatin modifiers that modify the neighbouring histones and enhancing transcriptional silencing. DNA methylation can occur in three different nucleotide sequence contexts: CG, CHG and CHH (H = C, T or A). CG methylation is observed widely in plants and mammals, while CHG as well as CHH are preferentially found in Arabidopsis. Cytosine methylation (5mC) is predominantly present at highly repetitive DNA, including centromeric and pericentromeric repeats, rDNA arrays and transposable elements (**Figure 6C**), where it often coexists with H3K9me2 and H3K27me1 (**Figure 6AB**), but also in the body of 30% of genes, many of which are characterized by moderate expression levels (Zhang et al., 2006; Zilberman et al., 2007; Vaughn et al., 2007; Bernatavichute et al., 2008; Cokus et al., 2008; Lister et al., 2008). Interestingly, while gene bodies contain mainly CG methylation (Cokus et al., 2008), CHG methylation is linked to centromeric and pericentromeric regions enriched in H3K9me2 (Bernatavichute et al., 2008), while CHH is a hallmark of long transposable elements (Zemach et al., 2013; Cavrak et al., 2014). CHH methylation establishment and maintenance rely on the production of small interfering RNA (siRNA) and the RNA-directed DNA methylation (RdDM) pathway.

#### 1.2.2.1.1. Maintenance

In both mammals and plants, maintenance of CG methylation is achieved by a specific DNA methyltransferase associated to a co-factor that senses hemimethylated DNA at the replication fork and by interaction with PCNA (Proliferating Cell Nuclear Antigen). In Arabidopsis, this is achieved by MET1 (DNA METHYLTRANSFERASE 1) and VIM1 (VARIATION IN METHYLATION 1) activity and in mammals, by DNMT1 (DNA cytosine-5-Methyltransferase 1) and UHRF1 (Ubiquitin-like containing PHD and RING Finger domains 1) (Law and Jacobsen, 2010) (**Figure 7A**). Moreover, the *de novo* DNA methyltransferases DNMT3A and DNMT3B are also involved in the maintenance of CG methylation patterns at some loci (Chen et al., 2003). Mutant alleles of *MET1* display an important decrease in CG methylation levels, but also CHG and CHH, at centromeric repeats (Saze et al., 2003). Concomitantly, H3K9me2 is redistributed from the chromocenters, suggesting



**Figure 8: RNA-directed DNA methylation pathway.**

RNA-directed DNA Methylation (RdDM) necessitates the synthesis of single-stranded RNA (ssRNA), produced from the repetitive and transposon-rich DNA domains to be silenced by the plant-specific DNA-dependent RNA polymerase Pol IV. These transcripts are then processed by RDR2 for synthesis of double-strand RNA (dsRNA). After fragmentation in 24-nt long sequences by DCL3 the siRNA are loaded in AGO4 for transport to the sequence of origin where they are thought to recognize scaffold RNA originating from Pol V activity. Interaction between 24-nt siRNA and lncRNA is the basis for further recruitment of DRM2, the main DNA methyltransferase involved in RdDM, for methylation of the DNA domain (figure courtesy of M. Thomas).

a fundamental role of CG methylation for H3K9me2 setting at chromocenters (Tariq et al., 2003; Mathieu et al., 2005).

DNA methylation in the CHG context is found widely in Arabidopsis and other plant genomes. Histone methylation by the KYP (KRYPTONITE) / SUVH4 (SUPPRESSOR OF VARIATION 3-9 HOMOLOGUE 4) methyltransferase together with the plant-specific DNA methyltransferase CMT3 (CHROMOMETHYLASE 3) sustain a feed-forward loop that maintains CHG methylation (Lindroth et al., 2001; Jackson et al., 2002; Johnson et al., 2007; Feng et al., 2010) (**Figure 7B**). Depletion of CMT3 leads to genome-wide decrease in CHG context methylation (Lindroth et al., 2004). CMT3 recognizes H3K9me2, which colocalizes with H3K27me1/2 at CMT3-controlled loci, suggesting that CMT3 recruitment relies on the combination of both marks (Lindroth et al., 2004; Du et al., 2012; Law et al., 2013).

CHH methylation is driven by two distinct pathways: one is the RdDM pathway that involves siRNA originating from repeats and transposon-rich heterochromatin domains and participates in the control of transcriptional gene silencing by targeting DNA methylation to the sequences to be suppressed (Lippman et al., 2004; Lippman and Martienssen, 2004) (**Figure 8**). 24-nt-long siRNA are produced from heterochromatic repeats and transposable elements. The siRNA synthesis is achieved by the plant-specific DNA-dependent RNA polymerase Pol IV, which produces single-stranded RNA from repetitive regions (Herr et al., 2005; Onodera et al., 2005; Sidorenko et al., 2009; Havecker et al., 2010). Pol IV transcripts are then converted into double-strand RNA by RNA-Dependent RNA polymerase 2 (RDR2) and subsequently cut in 24-nt-long sequences by DICER-LIKE3 (DCL3) (Xie et al., 2004). These 24-nt siRNA are then loaded in AGO4 (Argonaute 4) complexes which target the siRNA to the locus of origin by sequence homology (Chan et al., 2004; Qi et al., 2006; Ye et al., 2012) where they hybridize to genomic DNA or scaffold RNA (Wierzbicki et al., 2009). Scaffold RNA molecules are long non-coding RNA (lncRNA) produced independently from siRNA by Pol V (Wierzbicki et al., 2008, 2012). Complementarity between 24-nt siRNA and scaffold RNA is thought to help the recruitment of DNA methyltransferases, notably DRM2 (DOMAINS REARRANGED METHYLTRANSFERASE 2 – homolog of DNMT3) (Naumann et al., 2011), but the precise mechanism remains poorly characterized. siRNA-mediated heterochromatin



formation is found in many other eukaryotes, including fission yeast, *Drosophila* and mammals (Hall et al., 2002; Volpe et al., 2002; Verdel et al., 2004).

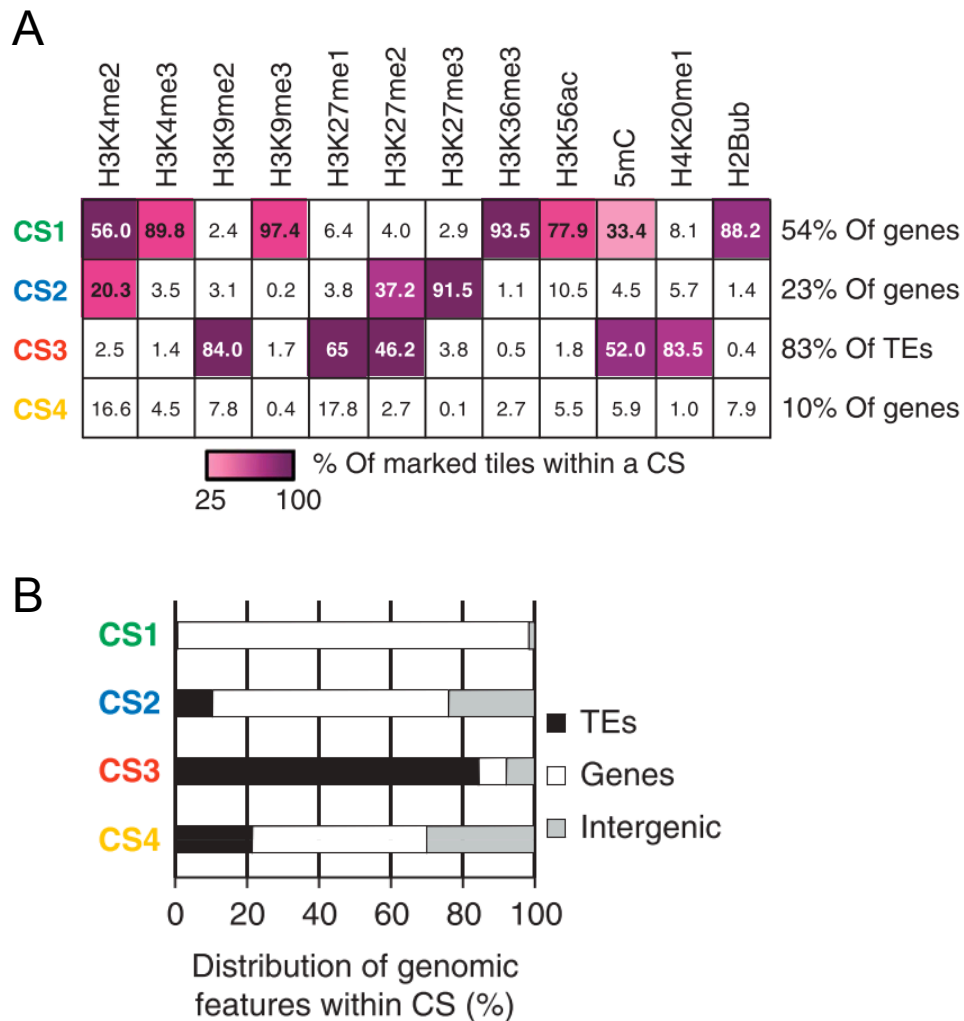
The second pathway involves CHROMOMETHYLASE 2 (CMT2), methyltransferase maintaining both CHG and CHH. Indeed, *drm1 drm2 cmt2* triple mutants lose all methylation in the CHH context (Stroud et al., 2014). Interestingly, DRM2 activity is thought to be promoted through the recruitment of Pol IV by the binding of methylated histone binding protein SHH1 to H3K9me1, H3K9me2 and H3K9me3, as observed for CMT3. While CMT3 exhibits no preference in binding affinity towards the number of methyl groups at H3K9, CMT2 interacts preferentially with H3K9me2 (Stroud et al., 2014). Together, these data suggest that DRM2, CMT2 and CMT3 control non-CG methylation in plants, and establish a self-reinforcing loop together with H3K9 methylation. Both CHG and CHH methylation also exist at low levels in mammalian embryonic stem cells where they are probably mediated by DNMT3A and DNMT3B (Ramsahoye et al., 2000; Chen et al., 2003; Lister et al., 2009).

The combination of genome-wide DNA methylation patterns with global nucleosome positioning maps revealed that DNA methylation deposition is influenced by nucleosome positioning in *Arabidopsis* (Chodavarapu et al., 2010). Consistently, DNA methyltransferases preferentially target nucleosome-bound DNA. The same observation has been described for human nucleosomal DNA, suggesting that DNA methylation and nucleosomal occupancy are tightly linked (Chodavarapu et al., 2010).

#### **1.2.2.1.2. Demethylation**

Removal of methylated cytosines occurs during epigenome reprogramming. In plants, four bifunctional helix-hairpin-helix DNA glycosylases and AP lysases are found. DME (DEMETER), DML2 (DEMETER-LIKE 2), DML3 (DEMETER-LIKE 3) and ROS1 (REPRESSOR OF SILENCING 1) excise methylated cytosines. The remaining 1-nt gap is then filled by a new unmethylated cytosine by a still unknown DNA ligase (Zhu, 2009). DML2, DML3 and ROS1 activities are mainly found in vegetative tissues and target hundreds of genes throughout the genome (Penterman et al., 2007; Yu et al., 2013). In the mammalian embryo, *de novo* DNA methylation of





**Figure 9: At least four different chromatin states can be defined in Arabidopsis based on their enrichment in histone post-translational marks.**

A. The genome-wide distribution of the twelve chromatin marks analyzed defines four main chromatin states based upon heat map values ranging from 25% (light purple) to 100% (dark purple) (from Roudier et al., 2011).

B. The four chromatin states defined display different relative proportion of genomic features. While active marks-associated chromatin state 1 (CS1) and CS2 contain mostly genes, CS3 covers most of the transposable elements, which is coherent with its strong bias towards repressive mark enrichment. CS4 shows no particular enrichment in any of the studied chromatin marks and contains mainly genomic regions outside of genes and transposable elements (modified from Roudier et al., 2011).

the inner cell mass following massive demethylation is critical to drive the identity and stability of embryonic lineages, whereas the placenta remains mainly unmethylated (Law and Jacobsen, 2010; Seisenberger et al., 2013). In plants, genome-wide demethylation occurs during plant reproduction in the companion cells of the sperm and egg cells (Ibarra et al., 2012) and then during post-fertilization seed development (Gehring et al., 2009; Hsieh et al., 2009). At this stage the nutrient tissue, the endosperm, undergoes genome-wide demethylation in contrast to the embryo (Hsieh et al., 2009). This phenomenon is of particular importance for early embryogenesis by the establishment of maternal imprinted gene expression patterns (Pillot et al., 2010; Autran et al., 2011; Raissig et al., 2011). As a conclusion, DNA methylation reprogramming is important to establish epigenetic patterns of embryonic and extra-embryonic lineages.

#### **1.2.2.2. Histone post-translational marks associated with heterochromatin**

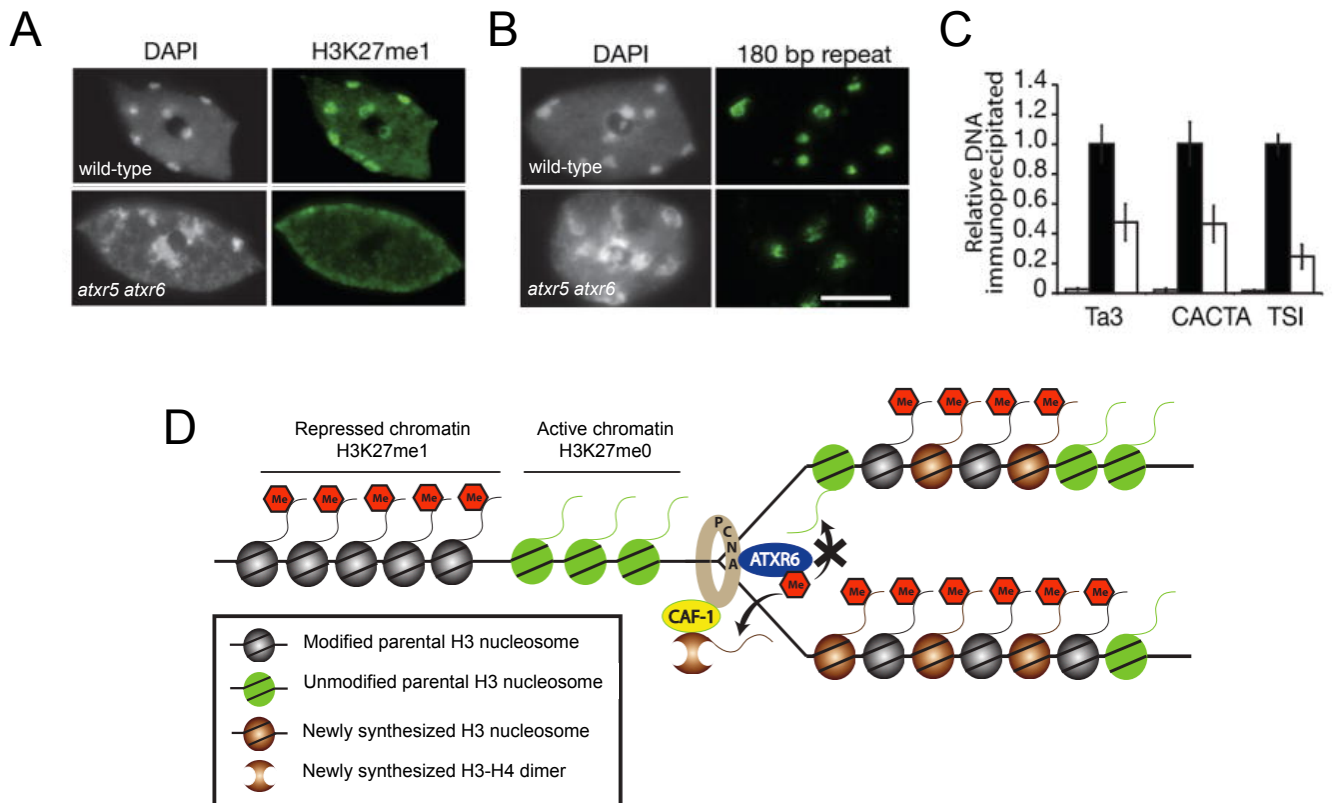
Histone tail domains are preferential targets for many and diverse post-translational modifications such as acetylation, methylation, and phosphorylation (Kouzarides, 2007). Such modifications can influence the biochemical properties of the nucleosome as well as its stability and interactions with remodeling factors (Zheng and Hayes, 2003), thus participating in the regulation of genome activity (Davie and Chadee, 1998) and higher-order chromatin architecture (Dorigo et al., 2003). Genome-wide studies argue for the role of epigenetic marks such as DNA methylation, histone post-translational modifications and chromatin binding proteins in establishing functional chromatin landscapes and defining euchromatin and heterochromatin (Filion et al., 2010; Roudier et al., 2011; Sequeira-Mendes et al., 2014) (**Figure 9**). Consequently, while transcribed regions of the genome bear marks permissive for transcription such as H3K4me2/3 and H4 acetylation (**Figure 6A**), repetitive sequences contained in chromocenters are enriched in histone marks involved in transcriptional repression such as H3K9 and H3K27 methylation (Soppe et al., 2002; Jasencakova et al., 2003; Probst et al., 2003; Lindroth et al., 2004; Mathieu et al., 2005; Naumann et al., 2005) (**Figure 6AB**).



### 1.2.2.2.1. H3K9me2/3

In eukaryotes, H3K9 methylation is a hallmark of heterochromatic repeats. Methylation of this lysine residue, which can be mono-, di- or trimethylated, is a characteristic of transcriptional silencing. In mammals, modified H3 histones H3K9me3, catalyzed by histone methyltransferases Suv39h, are associated with pericentromeric heterochromatin (Peters et al., 2001; Lehnertz et al., 2003). H3K9me3 is recognized by HP1 *via* its chromodomain (Bannister et al., 2001). Furthermore, HP1 has the ability to recruit Suv39h which allows setting of new HP1-binding sites, thereby forming a self-reinforcing loop (Maison and Almouzni, 2004; Krouwels et al., 2005). This is the basis for further recruitment of H4K20 histone methyltransferases and DNA methyltransferases (Fuks et al., 2003; Schotta et al., 2004; Karachentsev et al., 2005). Pericentromeric heterochromatin of knockout mice for Suv39h methyltransferases lacks H3K9me3 and HP1. These mice display genomic instability and impaired viability revealing the role of this histone post-translational mark in mammalian development (Maison et al., 2002; Guenatri et al., 2004). Suv39h homologs have been shown in many organisms to play roles in heterochromatin formation and gene silencing. A few examples include Cryptic Loci Regulator 4 (Clr4) in yeast (*Schizosaccharomyces pombe*) and SU(VAR)3-9 proteins in *Drosophila* (Nakayama et al., 2001; Schotta et al., 2002).

In *Arabidopsis*, contrary to other eukaryotes, only H3K9me2 is enriched in heterochromatin. Several histone methyltransferases such as SUVH4, SUVH5 and SUVH6 are responsible for H3K9me2 deposition (Jackson et al., 2002; Ebbs et al., 2005; Ebbs and Bender, 2006). Genome-wide analysis by Chromatin Immunoprecipitation (ChIP) in *Arabidopsis* showed that H3K9me2 is highly enriched at pericentromeric heterochromatin in large and continuous blocks, but can also be found punctually at euchromatic repeats and transposons (Bernatavichute et al., 2008; Roudier et al., 2011). The *Arabidopsis* ortholog of HP1, LIKE HETEROCHROMATIN PROTEIN 1 (LHP1), does not bind H3K9me2 as its counterpart in yeast and mammals. Instead, it binds H3K27me3 *in vitro* through its chromodomain and is associated genome-wide with H3K27me3 (Gaudin et al., 2001; Libault et al., 2005; Turck et al., 2007). Instead, *Arabidopsis* H3K9me2 can be recognized by the maintenance DNA methyltransferase for CHG sites CMT3, which also contains a chromodomain (Lindroth et al., 2004; Du et al., 2012). The



**Figure 10: Arabidopsis *atxr5 atxr6* mutants display defects in heterochromatin organization and transcriptional silencing.**

A. Immunodetection of H3K27me1 (green) in interphase nuclei from either wild type (WT) or *atxr5 atxr6* leaves. DNA is counterstained with DAPI (grey). Most of *atxr5 atxr6* nuclei display chromocenter decondensation and show no H3K27me1 staining at residual chromocenters (from Jacob et al., 2009).

B. FISH on spread interphase nuclei with probes detecting 180 bp centromeric repeats in WT and *atxr5 atxr6* plants. DNA is counterstained with DAPI (grey). The centromeric repeats display mild dispersion from the chromocenters in *atxr5 atxr6*. Scale bar: 5  $\mu$ m (from Jacob et al., 2009).

C. ChIP assay showing relative H3K27me1 enrichment at repetitive sequences. Relative enrichment of H3K27me1 at Ta3, CACTA and TSI is lower in *atxr5 atxr6* mutants (white bars) compared to WT (black bars). Grey bars represent no-antibody controls (from Jacob et al., 2009).

D. Model for the role of ATXR5 and ATXR6 in the maintenance of H3K27me1 during DNA replication in Arabidopsis. ATXR5 and ATXR6 participate in the mitotic inheritance and distribution of H3K27me1 in Arabidopsis. Following recruitment to the replication fork during S phase through their interaction with PCNA, they specifically monomethylate K27 at newly incorporated H3 to ensure maintenance of chromatin states (modified from Jacob et al., 2014).

maintenance of H3K9me2 and CHG methylation in heterochromatin is achieved by a self-reinforcing loop between SUVH4 and CMT3. In mammals, the histone H3K9 monomethyltransferase SetDB1 (SET domain bifurcated-1) and the K9 dimethylase G9a interact with PCNA and are recruited to the replication fork (Estève et al., 2006; Loyola et al., 2006, 2009). In *Schizosaccharomyces pombe*, transcription of centromeric chromatin during S phase is essential for preservation of H3K9 methylation by means of a mechanism involving RNA, the HP1 homologue Swi6, the methyltransferase Clr4 and the RNA-induced transcriptional silencing complex (Volpe et al., 2002; Volpe and Martienssen, 2011).

#### 1.2.2.2. H3K27me1

H3K27me1 is a silencing mark described in plant and mammals as DNA methylation- and H3K9me2-independent (Ebert et al., 2004; Mathieu et al., 2005). H3K27me1 was first identified in mouse at heterochromatic major and minor satellite repeats (Peters et al., 2001). In Arabidopsis, immunolocalization and genome-wide ChIP-seq data have revealed enrichment of H3K27me1 in centromeric and pericentromeric heterochromatin (Lindroth et al., 2004; Jacob et al., 2009; Roudier et al., 2011) (**Figure 10AB**). H3K27me1 deposition at chromocenters is mediated by the H3K27 monomethyltransferases ARABIDOPSIS TRITHORAX-RELATED PROTEIN 5 (ATXR5) and ATXR6 in Arabidopsis (Jacob et al., 2009, 2010, 2014) (**Figure 10AB**). Maintenance of the H3K27me1 mark is achieved through the interaction of the plant homeodomain (PHD) of both ATXR5 and ATXR6 proteins with PCNA at the DNA replication fork (**Figure 10D**).

*atxr5 atxr6* mutants show pleiotropic effects on plant development, significant decondensation of chromocenters and alleviation of transcriptional silencing of a wide variety of repetitive sequences including TSI and transposable elements (e.g. Ta3, CACTA) (Jacob et al., 2009) (**Figure 10C**). Moreover, the simultaneous mutation of both *ATXR5* and *ATXR6* allows re-replication of reactivated transposons and repetitive elements normally associated with high levels of H3K27me1 pointing to a positive correlation between repetitive sequences transcriptional reactivation and over-replication (Jacob et al., 2010).



### 1.2.2.3. Chromatin remodeling factors

#### 1.2.2.3.1. DDM1

DDM1 (DECREASE IN DNA METHYLATION 1), known as LSH in mice, is an important chromatin remodeling factor involved in DNA and histone methylation and required for transcriptional silencing at repeats and transposable elements. This Switch/Sucrose Non Fermentable 2 (SWI/SNF2)-like ATP-dependent chromatin remodeler was historically found through a genetic screen for defects in genomic 5mC levels in Arabidopsis mutants (Jeddeloh et al., 1999). DDM1 participates in the control of silent centromeric and pericentromeric repeats and transposable elements through maintenance of CG and non-CG methylation (Jeddeloh et al., 1999; Zemach et al., 2013). Recently, Zemach and collaborators have shown that DDM1 controls DNA methylation at chromatin regions highly enriched in the linker histone H1 (Zemach et al., 2013). They suggest that accessibility of the DNA methyltransferases to repeats and transposable elements necessitates the remodeling of H1-containing heterochromatin by DDM1. Their conclusions are based on the observation that *h1* single mutants show increased DNA methylation in heterochromatin, while the double *h1 ddm1* mutant restores normal levels of DNA methylation. Plants defective in DDM1 exhibit loss of DNA methylation, H3K9me2 and 24-nt siRNA targeting 180 bp centromeric repeats and transposable elements (Gendrel et al., 2002; Lippman et al., 2004; Lippman and Martienssen, 2004; May et al., 2005), resulting in transcriptional reactivation of silent repeats. The *ddm1-5* mutant, which lacks detectable levels of DDM1 transcripts, displays a severe chromatin phenotype in which pericentromeric heterochromatin, including the 180 bp centromeric repeats, exhibit reduced DNA methylation levels and is decondensed from chromocenters (Mittelsten Scheid et al., 2002; Probst et al., 2003; May et al., 2005). Taken together, these data indicate the important role of the chromatin remodeler DDM1 in the formation and maintenance of heterochromatin domains in plants.



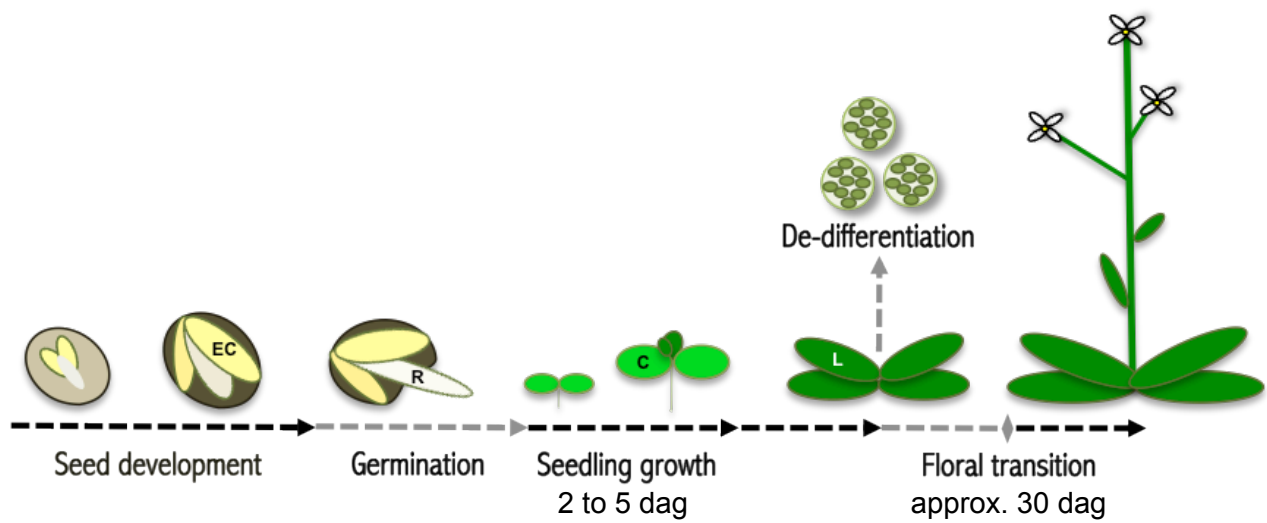


### 1.2.2.3.2. MOM1

MORPHEUS' MOLECULE 1 (MOM1) is a component of the transcriptional silencing system operating in heterochromatin specific for the plant kingdom (Amedeo et al., 2000; Steimer et al., 2000; Mittelsten Scheid et al., 2002; Probst et al., 2003). The *mom1* mutant results in transcriptional activation of pericentromeric repetitive sequences such as TSI or silent 5S rRNA genes (Mathieu et al., 2003; Tariq et al., 2003; Mathieu et al., 2005; Habu et al., 2006; Vaillant et al., 2006), without any effect on DNA methylation or histone marks (Amedeo et al., 2000; Numa et al., 2010). Transcriptional gene silencing activity of MOM1 is driven by its Conserved MOM1 Motif 2 (CMM2) (Caikovski et al., 2008). However, compared to the *ddm1* mutant, mutation in the *MOM1* gene does not lead to chromocenter decondensation and heterochromatin architecture preserves wild-type morphology (Soppe et al., 2002; Probst et al., 2003).

### 1.2.2.3.3. MORC

Members of the conserved microorchidia (MORC) adenosine triphosphatase (ATPase) family have been described as regulator of heterochromatin silencing and structure in eukaryotes. The Arabidopsis genome encodes seven MORC paralogs, among which AtMORC1 and AtMORC6 have been characterized as mediators of transcriptional silencing of repetitive DNA and maintain heterochromatin superstructure. The *atmorc1* and *atmorc6* mutants display derepression of pericentromeric regions and transposable elements but surprisingly do not affect DNA methylation, histone methylation and siRNA levels. While this pattern resembles the one in *mom1* mutants, *mom1 morc6* double mutants show synergistic release of silencing suggesting that they operate in different pathways (Moissiard et al., 2012, 2014). In the nucleus, AtMORC1 and AtMORC6 proteins are found in small nuclear foci not within but at the immediate periphery of chromocenters and plants lacking both paralogs exhibit decondensed chromocenters, consistent with an active role in the maintenance of heterochromatin compaction (Moissiard et al., 2012, 2014). AtMORC1 and AtMORC6 appear as a novel layer of control of heterochromatin



**Figure 11: Overview of developmental phase transitions involving important chromatin dynamics in *Arabidopsis thaliana*.**

Large-scale chromatin dynamics take place in embryonic cotyledons (EC, yellow) during seed development and germination, in cotyledons (C, light green) during seedling growth from 2 to 5 days after germination (dag) and in leaves (L, dark green) upon de-differentiation into protoplasts or during the floral transition. Developmental transitions associated with heterochromatin decondensation are marked with gray, those implicating predominantly heterochromatin condensation with black arrows. The radicle (R) is shown in white in the developing and germinating seed (from Benoit et al., 2013).

silencing and heterochromatin compaction but the precise way of action remains to be deciphered.

### **1.3. Dynamics of heterochromatin during developmental phase transitions in Arabidopsis**

To ensure successful reproduction, annual plants need to finely tune their major developmental phase switches such as germination and flowering according to environmental stimuli. The transition from a developmental phase to the next requires changes in the spatial and temporal patterns of gene expression. Many signaling cascades and receptors have been described (Amasino, 2010; Huijser and Schmid, 2011), as well as specific sets of genes undergoing selective transcriptional activation or repression through different developmental phase changes (Schmid et al., 2003; Holdsworth et al., 2008). Transcriptional reprogramming of these genes involves active modification of their chromatin structure (Exner and Hennig, 2008; Adrian et al., 2009; He, 2009; Jarillo et al., 2009; Wollmann and Berger, 2012). Interestingly, studies in the model plant *Arabidopsis thaliana* revealed certain environmental conditions and developmental transitions not only to locally affect chromatin structure of the genes undergoing activation or repression but to profoundly impact higher-order chromatin organization (**Figure 11**).

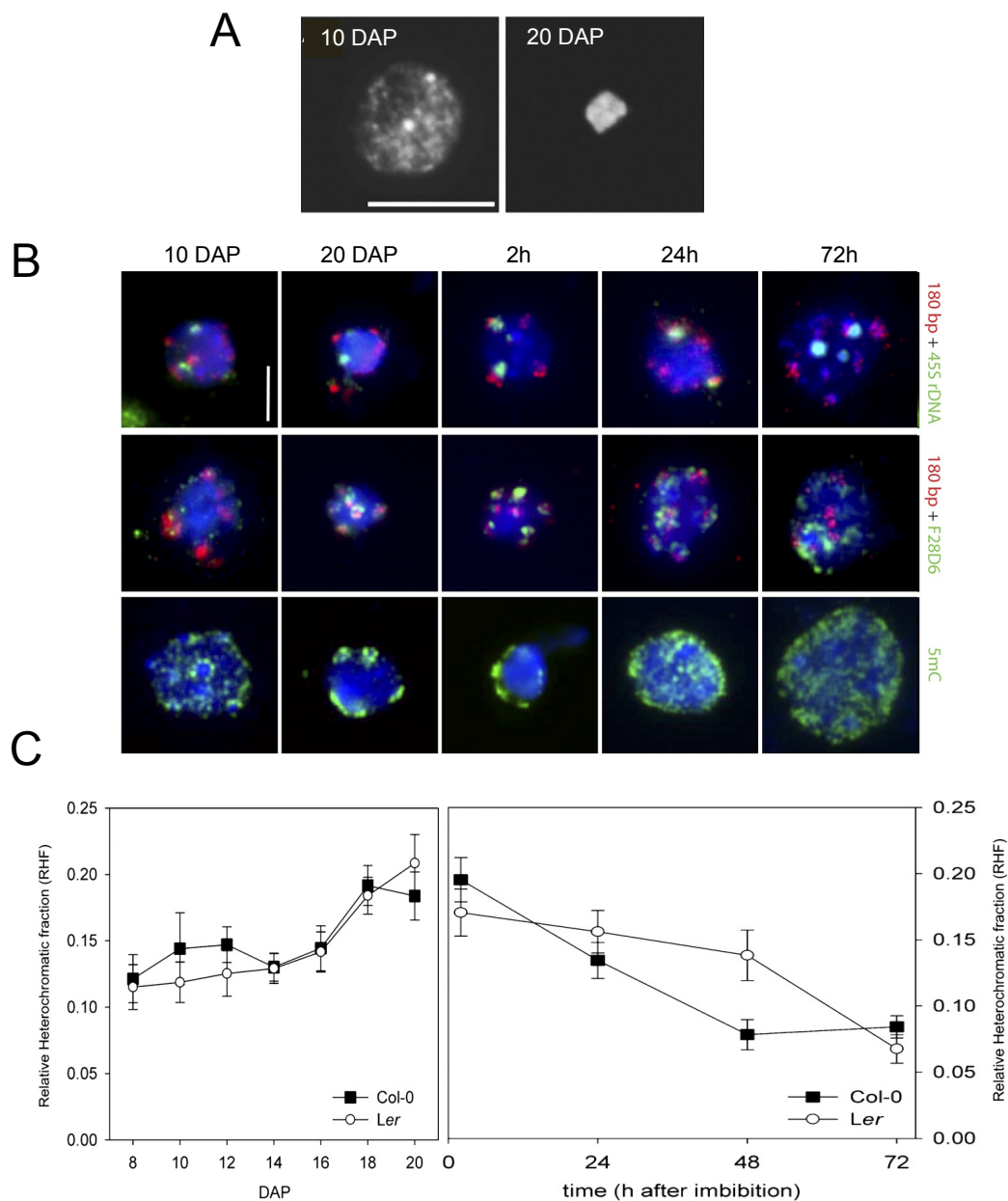
#### **1.3.1. Heterochromatin remodeling during floral transition and dedifferentiation**

Floral transition occurs when the plant is exposed to favorable photoperiod and temperature conditions. Flowering is initiated involving the reprogramming of gene expression patterns specific for vegetative to those for reproductive growth (Liu et al., 2009; Huijser and Schmid, 2011). This transition requires external and endogenous signals transmitted to the apical meristem, the meristematic tissue at the tip of the plant shoot, which then undertakes fate change. During reproductive phase transition, chromatin of leaves undergo global reorganization, illustrated by decondensation of chromocenters observed 4 days before emergence of the floral



stem (Tessadori et al., 2007b). A strong decompaction of repetitive sequences from chromocenters was measured (Tessadori et al., 2007b). This reduction resulted essentially from the dispersion of pericentromeric repeats and 5S rDNA, while centromeric 180 bp repeats and 45S rDNA arrays stayed highly condensed (Tessadori et al., 2007b). Therefore, the different repeats of the Arabidopsis genome are unequally affected by the chromatin remodeling during floral transition (Tessadori et al., 2007b). Then, the chromocenters reform once the elongation of the floral stem is achieved, endorsing the reversibility of global heterochromatin decondensation processes.

Decondensation of heterochromatin was also described by other authors (Tessadori et al., 2007a; Koukalova et al., 2005; Zhao et al., 2001; Ondrej et al., 2009) associated to dedifferentiation of Arabidopsis, cucumber and tobacco leaf cells into protoplasts which are cells devoid of cell walls. Indeed, during the dedifferentiation of Arabidopsis cells, the heterochromatin fraction of the nucleus decreases, associated with a reduced number of chromocenters revealed by DAPI (Tessadori et al., 2007a). FISH analysis showed that pericentromeric repeats, 5S rRNA genes and even the centromeric 180 bp repeats were excluded from the remaining chromocenter structures, while the only partially condensed 45S rDNA sequences were retained within the chromocenters. This decondensation occurs rapidly but could be partially reversed during further protoplast culturing (Tessadori et al., 2007a). Importantly, floral transition-associated heterochromatin decondensation seems not to involve major changes in methylation since repetitive sequences remain highly DNA methylated. Only the nuclear distribution of 5mC, cytologically revealed by an antibody recognizing methylated cytosines, mirrors the decondensation of the repeats and appears dispersed in the nucleus (Tessadori et al., 2007b). However, dynamics of other repressive marks classically associated with chromocenters such as H3K9me2 or H3K27me1 have not been tested during floral transition. Concomitantly after dedifferentiation in protoplasts, nuclear 5mC signals are redistributed, while Southern blots revealed that DNA methylation at repetitive sequences was globally unaltered. In parallel, no difference in the level of the repressive histone modification H3K9me2 was observed (Tessadori et al., 2007a). Other studies however revealed subtle localized changes in DNA methylation levels at an Athila retrotransposon (Avivi et al., 2004). Whether the transcriptional silencing of heterochromatic sequences is affected during floral transition and dedifferentiation



**Figure 12: Heterochromatin dynamics in embryonic cotyledons during seed development and germination.**

A. Chromatin organization and nuclear size change in embryonic cotyledons during seed maturation. Representative DAPI-stained embryonic cotyledon nuclei at 10 days (left) and 20 days (right) after pollination (DAP). Scale bar: 10  $\mu$ m (from Van Zanten et al., 2011).

B. Cytogenetic analysis of embryonic cotyledon nuclei during seed maturation, imbibition, and germination reveals large-scale chromatin dynamics. FISH with specific probes of 180 bp repeats (red, top and middle), 45S rDNA repeats (green, top) and a BAC (F28D6) (green, middle) containing pericentromeric repeats, as well as immunodetection of 5mC (green, bottom) during seed maturation (10 and 20 DAP) and germination (2h, 24h, and 72h after imbibition). DNA is counterstained with DAPI (blue). Scale bar: 5  $\mu$ m (modified from Van Zanten et al., 2011).

C. RHF measured during seed maturation (left), imbibition and germination (right) in Col-0 (black squares) and Ler (white circles) ecotypes. The RHF increases during seed maturation prior to a dramatic decrease at imbibition and germination (from Van Zanten et al., 2011).

has not been widely studied and was limited to Athila (Avivi *et al.*, 2004) and a repetitive transgenic locus (Tessadori *et al.*, 2007b) which do not undergo transcriptional silencing release.

### **1.3.1.1. Heterochromatin dynamics in cotyledons**

In addition to the chromatin dynamics occurring in mature leaf tissues, major changes in heterochromatin organization have also been observed in Arabidopsis cotyledons (Mathieu *et al.*, 2003; Douet *et al.*, 2008; van Zanten *et al.*, 2011). Cotyledons are the first aerial tissues to emerge at germination. They are formed during embryogenesis and then expand after germination, become photosynthetic, and are maintained throughout the vegetative life of the plant (Chandler, 2008).

#### **1.3.1.1.1. Heterochromatin dynamics during seed development**

Seed development is initiated at fertilization and can be divided in three phases: a phase of embryogenesis, followed by the maturation of the seed and finally the acquisition of dormancy and desiccation tolerance. As discussed before for other developmental phase transitions, major changes in the transcriptional program associated with chromatin reorganization occur in embryonic cotyledons during seed maturation (van Zanten *et al.*, 2011, 2012). Pollination is the starting point of seed development in a process that requires twenty days in Arabidopsis. The embryo is completely formed at day 8-10 after pollination, and seed maturation occurs afterwards (Van Zanten *et al.*, 2011). Van Zanten and collaborators demonstrated that embryonic cotyledon nuclei at 8 days after pollination exhibit heterochromatin compaction similar to mature leaf nuclei (van Zanten *et al.*, 2010). Chromatin condensates further during seed maturation, and compaction reaches maximum levels in the dry seed. Concomitantly, a reduction in nuclear size was seen but nuclear size and chromatin compaction, since displaying different dynamics were suggested to be independent phenomena (**Figure 12A**). Distribution of centromeric, pericentromeric, and 45S rDNA sequences in cotyledons during seed development were assessed by FISH which revealed clustering of repetitive sequences in

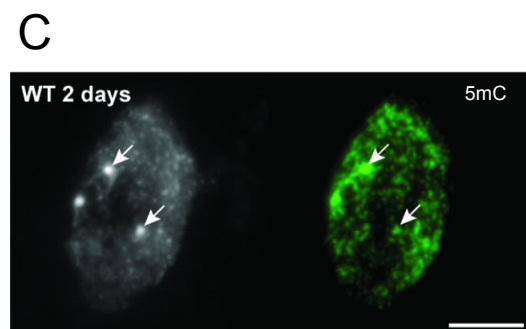
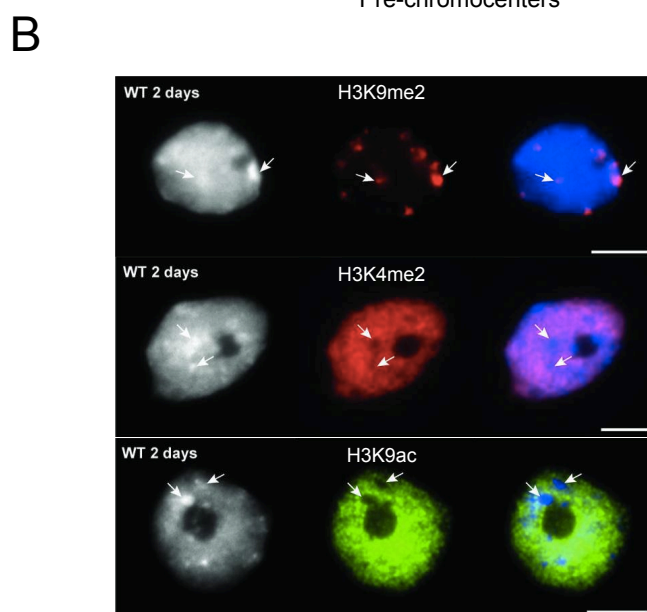
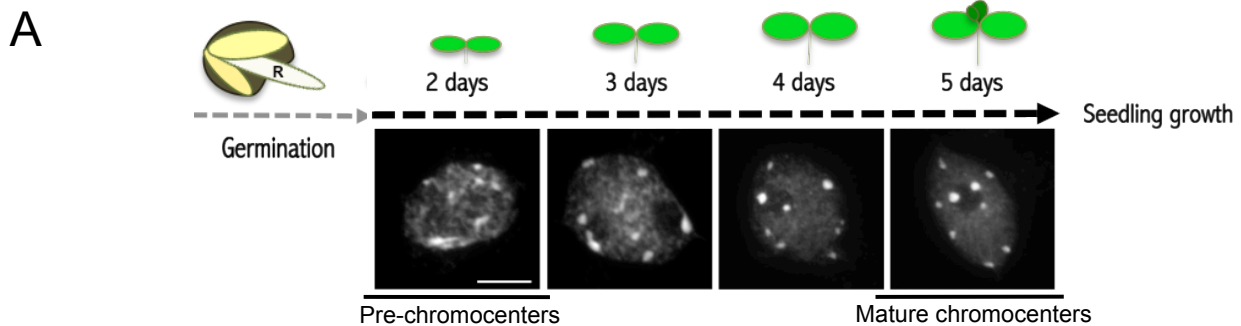




chromocenters in dry seeds (van Zanten et al., 2011) (**Figure 12B**). While 180 bp repeats and 45S rDNA were always found organized into chromocenters, pericentromeric domains cluster progressively through seed maturation. This is associated with changes in the patterns of nuclear 5mC distribution from early to late seed maturation, mirroring the localization of pericentromeric sequences. From dispersion at 8 and 10 days after pollination, pericentromeric repeats and 5mC signals match with chromocenters in dry seeds. To quantify compaction of chromatin into chromocenters, the relative heterochromatin fraction (RHF), which is defined by the area and fluorescence intensities of the chromocenters relative to the area and fluorescence intensity of the entire nucleus (Soppe et al., 2002) was used. While most phase transitions are associated with transient chromatin decondensation, the transition from embryo to dry seed involves increased chromatin compaction (van Zanten et al., 2011), likely because chromatin features in mature seed contribute to maintain chromatin in a transcriptionally inert stage, and to protect the underlying DNA from desiccation (**Figure 12BC**). Indeed, consistently with the negative correlation between chromatin compaction and gene expression (Tessadori et al., 2004; Exner and Hennig, 2008; Fransz and de Jong, 2011), transcription in mature seeds is very low. Upon germination, the nuclear volume increases and heterochromatin expands followed by important changes in the transcriptome (Holdsworth et al., 2008).

#### **1.3.1.1.2. Heterochromatin dynamics during germination and seedling growth**

Under favorable environmental conditions, the seed exits dormancy and initiates germination. During seed imbibition and the following germination process, heterochromatin strongly decondenses in embryonic cotyledon nuclei (van Zanten et al., 2011). FISH experiments revealed that centromeric and pericentromeric sequences disperse during the transition from dry seed to seedling, concomitantly with changes in the distribution of 5mC signals on nuclear spreads (van Zanten et al., 2011) (**Figure 12B**). Accordingly, studies from our laboratory showed reduced chromocenters size and the presence of small pre-chromocenters in cotyledon nuclei of *Arabidopsis* after germination (Mathieu et al., 2003; Douet et al., 2008).



**Figure 13: Repressive chromatin marks localize to pre-chromocenters.**

A. Chromocenters form progressively between 2 and 5 dag in cotyledons. Representative DAPI-stained nuclei display small pre-chromocenters only in cotyledons at 2 dag. The pre-chromocenters progressively organize through the first days of post-germination development to reach a mature-like chromocenter shape in 5 dag nuclei. Scale bar: 5  $\mu$ m (modified from Benoit et al., 2013).

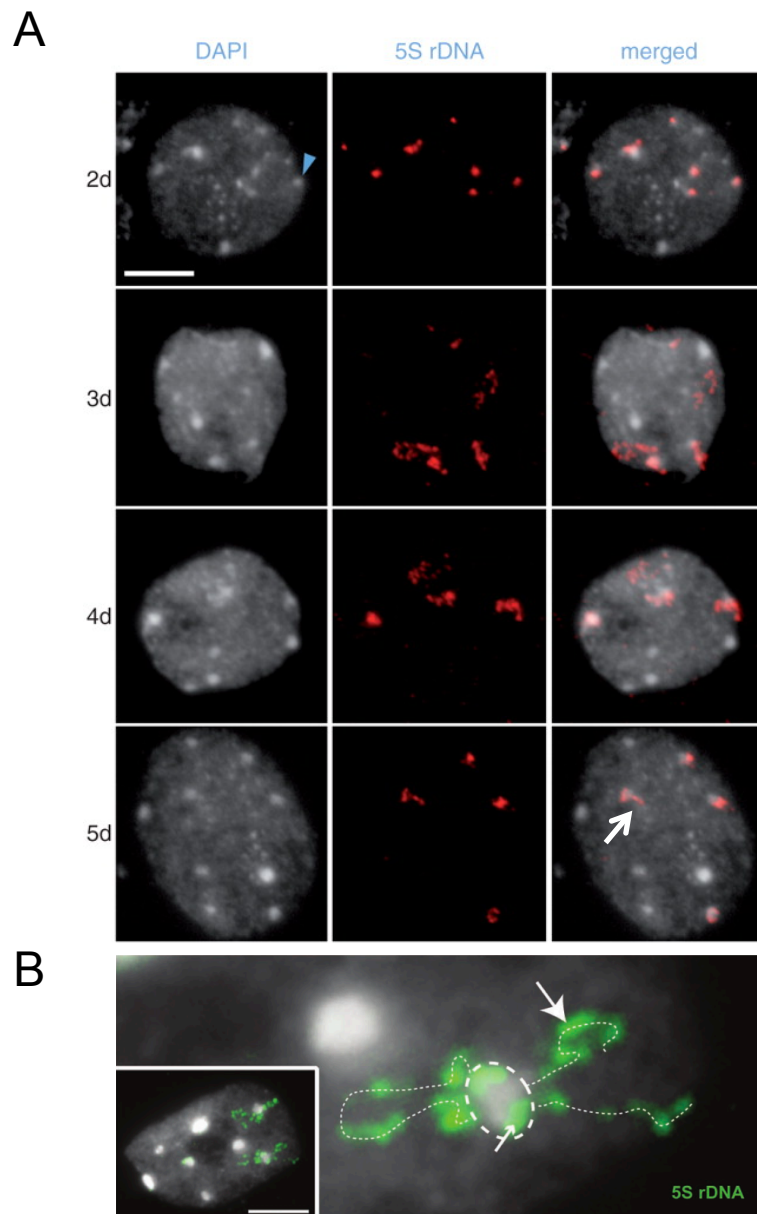
B. Immunolocalization of chromatin modifications in cotyledon nuclei at 2 dag. Histone H3K9me2 immunosignals (red, top) localize preferentially to pre-chromocenters, while H3K4me2 (red, middle) and H3K9ac (green, bottom) immunosignals are enriched in euchromatin and excluded from heterochromatin. DNA is counterstained with DAPI (left), immunosignals (middle), and the merge of both (right) are shown. Arrows indicate pre-chromocenters. Scale bars: 5  $\mu$ m (from Mathieu et al., 2003).

C. Immunolocalization of 5mC in cotyledon nuclei at 2 dag. 5mC signals are dispersed throughout the nucleus, but show enrichment at pre-chromocenters. DAPI staining (left) and immunosignals (right, green) are shown. Arrows indicate pre-chromocenters. Scale bar: 5  $\mu$ m (from Mathieu et al., 2003).

Consequently, heterochromatin in embryonic cotyledons undergoes decondensation when the dry seed, in which chromatin is highly condensed and nuclear size reduced, initiates germination (**Figure 12C**).

After seed germination, which is associated with heterochromatin decompaction, the cotyledons start to expand and the seedling undergoes the transition from heterotrophic to photoautotrophic growth. During seedling growth a chromocenter organization similar to mature leaf tissues is progressively established: at 2 days after germination (dag) in cotyledon nuclei, poorly-condensed pre-chromocenters that are much smaller than chromocenters of mature leaf nuclei were monitored by DAPI staining (Mathieu et al., 2003; Douet et al., 2008) (**Figure 13A**). Heterochromatin then organizes progressively and mature-shaped chromocenters are detectable at 4 dag in cotyledon nuclei, in a range similar to those observed for mature leaf nuclei (**Figure 13A**). The dynamics of two chromatin marks classically associated with heterochromatin have been tested by immunofluorescence between 2 and 5 dag in cotyledon nuclei (Mathieu et al., 2003) (**Figure 13BC**). Surprisingly, it appeared that both 5mC and H3K9me2 already colocalized at 2 dag pre-chromocenters and remained tightly associated with heterochromatin all along the maturation process while active H3K4me2 and H3K9ac were excluded (**Figure 13BC**). However, beyond cytologically defined condensed heterochromatin structures, little is known concerning local features of centromeric and pericentromeric heterochromatic repeats and how these impact the chromatin fiber folding and ultimately higher-order structures.

During the developmental time window situated between 2 and 5 dag in cotyledon nuclei, the dynamics of a particular repetitive DNA family, the 5S rDNA, has been studied extensively in the laboratory both at the level of chromatin organization and transcription pattern (Mathieu et al., 2003; Douet et al., 2008; Layat et al., 2012a). The 5S rDNA repeat arrays are localized in the pericentromeric regions of chromosome 3, 4 and 5 in the Columbia ecotype and undergo large-scale reorganization between 2 and 5 dag in cotyledon nuclei (Douet et al., 2008). At 2 dag, despite the detection of small pre-chromocenters and dispersion of 45S rDNA repeats (Pontvianne et al., 2010; van Zanten et al., 2011), 5S rDNA loci exhibit precocious condensation and co-localize with the pre-chromocenters (**Figure 14A**). At 3 dag, 5S rDNA arrays undergo sudden decondensation and adopt a mature organization in which part of the 5S rDNA locus forms loop structures (**Figure 14B**).



**Figure 14: 5S rDNA chromatin organization is dynamic during early post-germination development in cotyledons.**

A. FISH labeling of 5S rDNA repeats (red, middle), DAPI staining (left) and merge (right) of cotyledon nuclei at 2 to 5 dag. 5S rDNA decondenses between 2 and 3 dag in cotyledons, prior to subsequent recondensation and establishment of a mature organization with small 5S rDNA loops. The blue arrow points to a pre-chromocenter. The white arrow shows a 5S rDNA loop. Scale bar: 5  $\mu$ m (from Douet et al., 2008).

B. 5S rDNA loops (white lines) emanate from a chromocenter (circled) revealed by FISH with a 5S rDNA probe (green) on 3-week-old plants. Inset: whole nuclei with 5S rDNA loops. Fraction of the 5S rDNA probe hybridizes to the chromocenter (small arrow), and the other localizes within euchromatin loops (large arrow). Scale bar: 5  $\mu$ m (from Mathieu et al., 2003).

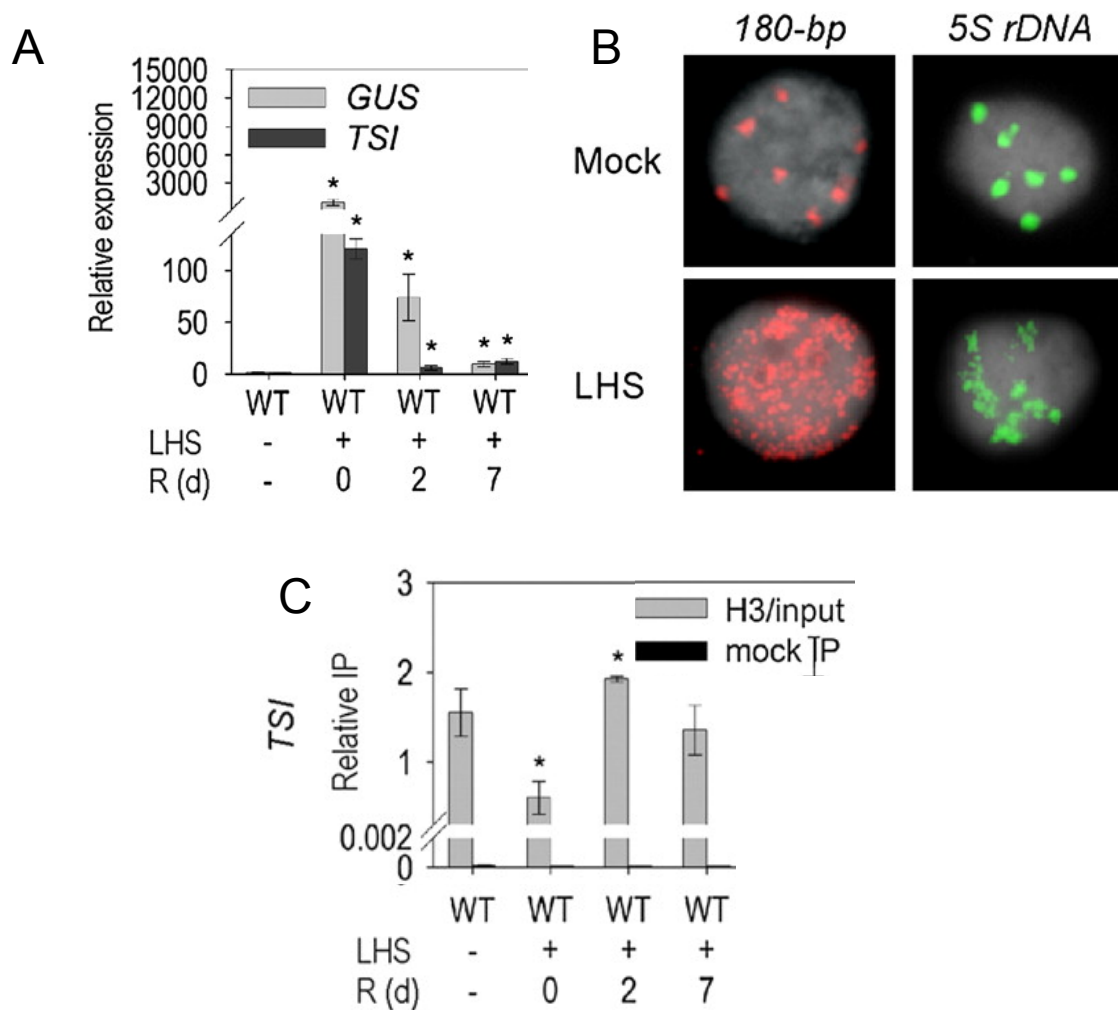
These 5S rDNA repeats in the loops are thought to constitute the transcriptionally active fraction, while the repressed ones are considered to remain condensed in the core of the chromocenter (Mathieu et al., 2003; Douet et al., 2008) (**Figure 14B**). Being sequences to be expressed within a silent heterochromatic environment, the 5S rRNA genes are susceptible to present specific epigenetic features in order to preserve efficient transcription during heterochromatin remodeling.

In contrast to the chromatin decondensation during floral transition that seems not to implicate important changes in DNA methylation (Tessadori et al., 2007b), 5S rDNA repeats gain symmetric (CG, CHG) (Mathieu et al., 2003) and loose asymmetric (CHH) DNA methylation. CHH demethylation of 5S rDNA repeats during seedling growth requires the DNA demethylase ROS1 (Douet et al., 2008). ROS1 is also required for 5S rDNA decondensation, suggesting a link between DNA methylation reprogramming and chromatin reorganization of ribosomal arrays in this context.

In addition, the same study (Douet et al., 2008) reported that 5S rDNA chromatin fails to recondense at 4 and 5 dag in mutants of a common subunit of RNA polymerases Pol IV and Pol V. These plant-specific polymerases are involved in RdDM at heterochromatic targets (Herr et al., 2005; Kanno et al., 2005; Pontier et al., 2005; Zhang et al., 2007). These data highlight the importance of a proper setting of chromatin marks for heterochromatin dynamics to achieve proper organization of 5S rRNA genes in Arabidopsis. The important chromatin reorganization observed for the 5S rDNA loci opened the possibility to investigate the link between chromatin dynamics and transcriptional regulation at these developmental stages. The dynamics by which other repetitive sequences acquire a mature organization and to what extent the organization and composition of the chromatin fiber changes during early seedling development has not been addressed to date.

### **1.3.1.2. Environment-induced heterochromatin dynamics**

Plants are sessile organisms, and have therefore to cope with varying environmental conditions such as temperature stress. Adaptation through modification of gene expression patterns permits survival and reproduction and involves changes in chromatin organization and chromatin marks. Recent studies



**Figure 15: Prolonged high temperature stress results in heterochromatin disorganization and release of transcriptional silencing.**

A. Long heat stress (LHS) induces alleviation of transcriptional gene silencing at repetitive sequences, which is progressively reinstated during recovery at standard growth temperatures. Relative expression of GUS (grey) and TSI (black) assessed by quantitative RT-PCR on 3-week-old plantlets of line L5 after heat stress. LHS = 30 h at 37°C, R(d) = recovery time in days (from Pecinka et al., 2010).

B. FISH staining reveals 180 bp (red, left) and 5S rDNA (green, right) on nuclei isolated from control plants and plants subjected to LHS reveals a loss of heterochromatin compaction induced by LHS (from Pecinka et al., 2010).

C. Nucleosome occupancy is affected upon heat stress. Original levels are resumed within a few days following application of the stress. Relative immunoprecipitation of histone H3 assessed by ChIP (grey) on 3-week-old plantlets after heat stress. Bars corresponding to no-antibody control (mock) are in black (from Pecinka et al., 2010).

have shown that a prolonged high temperature stress results in important changes in the plants' transcriptome including a genome-wide alleviation of silencing of many repetitive elements in pericentromeric and centromeric heterochromatin, including tandem repeat 180 bp satellite sequences, 5S ribosomal DNA arrays, 106B interspersed repeats and transposable elements (Lang-Mladek et al., 2010; Pecinka et al., 2010; Tittel-Elmer et al., 2010; Ito et al., 2011; Barah et al., 2013; Iwasaki and Paszkowski, 2014) (**Figure 15A**). Transcriptional reactivation of silent transgenes also takes place suggesting that the response to heat stress results from changes of local epigenetic features rather than to depend on the DNA sequences of the different elements (Pecinka et al., 2010; Tittel-Elmer et al., 2010). Transcriptional silencing is rapidly re-established upon return to classical growth temperatures (**Figure 15A**). Surprisingly, the heat-induced alleviation of transcriptional silencing is not associated with changes in DNA methylation or H3 methylation levels at K9 and K27, classically linked to silencing (Pecinka et al., 2010; Tittel-Elmer et al., 2010). Senescence, DNA repair, and heat stress signaling are also not involved in this process (Pecinka et al., 2010). Moreover, re-establishment of silencing after heat stress treatments is not altered in Arabidopsis mutants involved in *de novo* DNA methylation or H3K9me deposition (Tittel-Elmer et al., 2010). Many plants impaired in chromatin modifiers, including members of the RdDM pathway, revealed identical levels of transcription induction and similar kinetics of resilencing after heat stress (Kanno et al., 2004; Tittel-Elmer et al., 2010). This suggests that transcriptional reactivation of heterochromatin upon heat stress is independent of major repressive chromatin marks and characterized chromatin modifiers. However, heat stress affects chromocenter organization. FISH analysis of 180 bp and 5S rDNA repeats revealed significant dispersion from chromocenters in interphase nuclei of leaves subjected to heat stress (Lang-Mladek et al., 2010; Pecinka et al., 2010; Tittel-Elmer et al., 2010; Ito et al., 2011; Barah et al., 2013) (**Figure 15B**). These higher-order heterochromatin structure defects are long-lasting since visible for up to one week of recovery and persisting beyond re-establishment of transcriptional silencing. This global modification of heterochromatin correlates with local modifications of the chromatin fiber structure as nucleosome occupancy is strongly altered upon heat stress (Pecinka et al., 2010) (**Figure 15C**). The initial level of nucleosome occupancy is then restored during post-stress recovery. These dynamics of nucleosome occupancy are not restricted to sequences undergoing transcriptional reactivation but

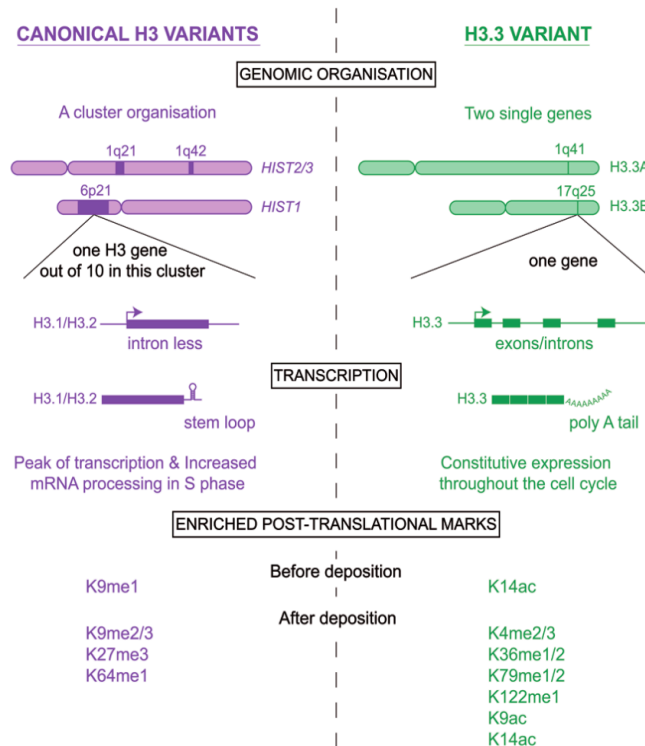




are found genome-wide. Initial observations support an implication of chromatin assembly and disassembly in nucleosomal dynamics. Indeed, there are evidences for a role of chromatin assembly in recovery of transcriptional gene silencing and nucleosome occupancy, but the nature of the histones involved, their source and the exact implication of the different histone deposition machineries remain to be elucidated.

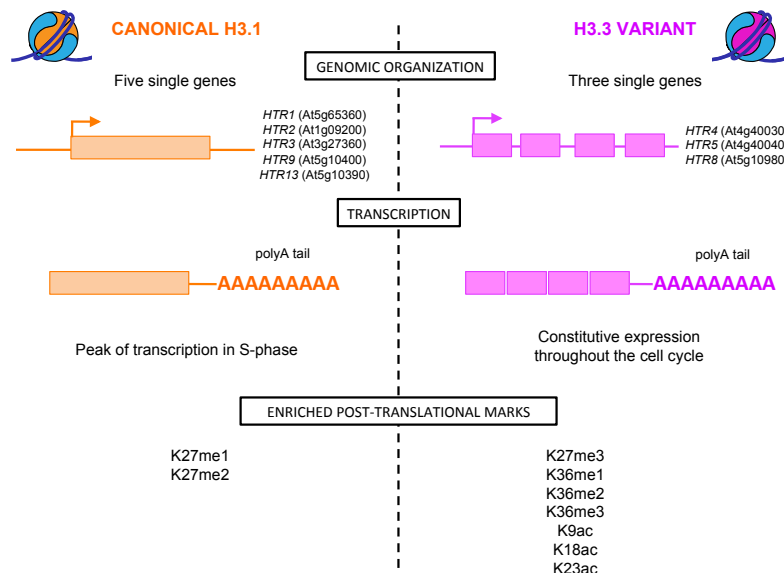
## **2. Biology of histone H3**

Structural and biochemical features of chromatin are influenced by the characteristics of nucleosomes, with increasing evidence accounting for a master role of core histone proteins (Zlatanova et al., 2009). Histones H2A, H2B, H3 and H4 are highly conserved proteins due to their role in structuring the nucleosome core. From an inert single wrapping unit, nucleosomes have emerged nowadays as carrier of epigenetic marks. The emergence of histone variants supports the idea that intrinsic features of the nucleosome contribute to chromatin regulation. Interestingly, variant versions are found for all core histones with the exception of H4 (Talbert and Henikoff, 2010). Most of the time, variant counterparts exhibit few amino acid substitutions, but some histone variants such as macroH2A differ by large protein domains from their canonical counterparts. These changes often have singular consequences on assembly and genomic localization of the histone variant and modify the structural and biochemical features of nucleosomes, and consequently their stability, which may translate in an open or closed chromatin state. For example, histone variant H2A.Z is mainly associated to transcriptionally poised chromatin (Jin et al., 2009; Thakar et al., 2009), while nucleosomes containing macroH2A are most stable and are susceptible to promote a repressive chromatin state (Chakravarthy and Luger, 2006). Moreover, some histone variants are associated with important cellular processes, exemplified by the critical role of the phosphorylated form of H2A.X in DNA repair (Rogakou et al., 1998). From a gene expression point of view, histone-encoding genes can be expressed in a replication-dependent or replication-independent manner, and ubiquitously or in a tissue-specific fashion (Schümperli, 1986). Replication-dependent histones are the predominant group of histones and their expression peak in S phase of the cell cycle, when newly replicated DNA has to



**Figure 16: Human canonical histone H3.1/H3.2 compared to replacement variant H3.3.**

Comparison of the canonical H3 variants (H3.1 and H3.2) features (purple) with the replacement variant H3.3 (green) in mammals. Canonical histone genes are organized in tandem within gene clusters, lack introns and corresponding transcripts display a stem loop, facilitating transcription and processing in S phase. In contrast, the genes encoding H3.3 are polyadenylated and expressed throughout the cell cycle. H3.1/H3.2 and H3.3 are differentially modified by post-translational marks before and after assembly in chromatin (modified from Szenker et al., 2011).



**Figure 17: Summary of distinct features discriminating the canonical histone H3.1 from the replacement variant H3.3 in *Arabidopsis thaliana*.**

*Arabidopsis* H3.1 is encoded by five single intron-less genes producing polyadenylated transcripts mainly in S phase (Okada et al., 2005). *Arabidopsis* H3.3 is encoded by three genes bearing introns and which are constitutively transcribed in polyadenylated transcripts all along the cell cycle. H3.1 and H3.3 are found to carry distinct post-translational marks in chromatin (Johnson et al., 2004).

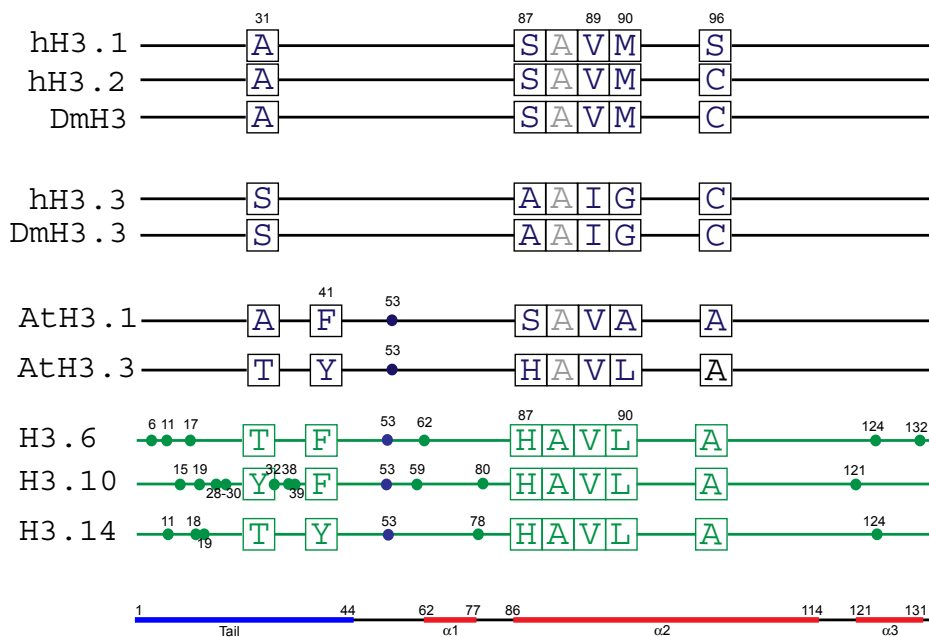
be organized into chromatin (Franklin and Zweidler, 1977; Ahmad and Henikoff, 2002a; Szenker et al., 2011). These histones (H2A, H2B, H3 and H4) are considered as the canonical histones (Zweidler, 1984). In contrast, the replication-independent histones are not expressed exclusively during S phase, but throughout the cell cycle and are widely defined as replacement histones (Ahmad and Henikoff, 2002b; Elsaesser et al., 2010; Szenker et al., 2011).

When considering histones as carrier of epigenetic and therefore heritable marks, histone H3 can be considered as a central player. Not only the H3-H4 dimers have slow exchange rates compared to H2A-H2B dimers (Zweidler, 1984; Jackson, 1990; Brooks and Jackson, 1994; Kimura and Cook, 2001), but H3 carries an important variety of post-translational modifications and, in contrast to H4, occurs in several variants in eukaryotes as distant as humans, flies or plants. The number of histone H3 genes varies between organisms: yeast encodes three histone H3 genes, *Drosophila* four genes, *Caenorhabditis elegans* twenty-four genes and mouse fifty-seven genes (Okada et al., 2005; Nakano et al., 2011). In most organisms, the replicative histones H3.1/H3.2 (Ahmad and Henikoff, 2002a; Marzluff et al., 2002; Loyola and Almouzni, 2007; Szenker et al., 2011), the replacement variant H3.3 (Ahmad and Henikoff, 2002b; Loyola and Almouzni, 2007; Szenker et al., 2011), the centromere-specific CENH3 variant (Allshire and Karpen, 2008; Talbert and Henikoff, 2010) and tissue-specific H3 variants can be distinguished.

## 2.1. Canonical H3.1

### 2.1.1. Gene organization and expression

Synthesis of the canonical H3.1 is tightly coupled to DNA replication. Indeed, H3.1 expression peaks exclusively in early S phase and is tightly linked to the need to reassemble chromatin at the neo-synthesized DNA following passage of the replication fork (Franklin and Zweidler, 1977; Ahmad and Henikoff, 2002a; Marzluff et al., 2002; Loyola and Almouzni, 2007; Szenker et al., 2011). In mammals and *Drosophila*, H3.1-encoding genes are intron-less and organized in tandem multicopy clusters (**Figure 16**). Transcription of these H3.1 gene arrays produces non-polyadenylated mRNA with a stem-loop structure (**Figure 16**). The recognition of the



**Figure 18: Schematic representation of amino acid sequence of canonical and variant histone H3.**

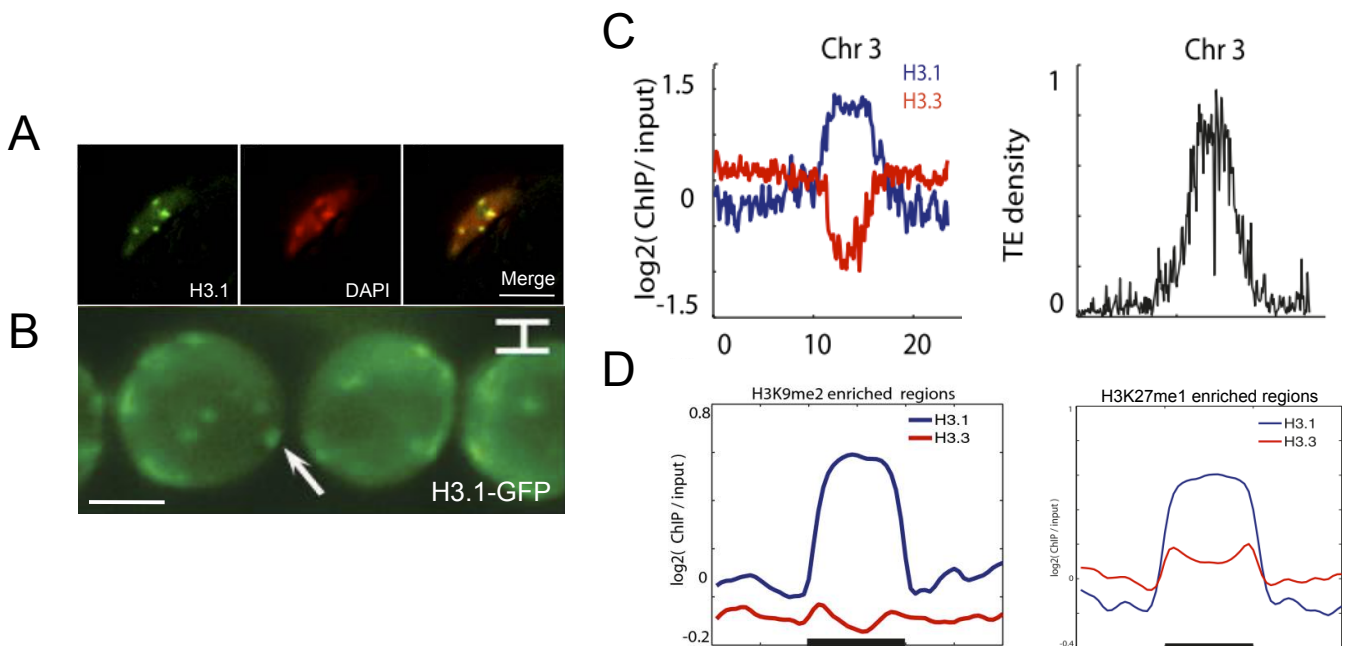
Amino acids in squares differ between the canonical histone H3.1/H3.2 and the replacement H3.3 variant in different species. Amino acids in squares are highlighted to illustrate important differences. Colored dots present amino acid changes in the Arabidopsis (At) sequence compared to the human (h)/Drosophila (Dm) sequence. H3.6, H3.10 and H3.14 are H3.3-like proteins. The tail region as well as  $\alpha$ -helices in the globular histone domain is indicated below. While in mammals, amino acid differences between H3.2 and H3.3 are restricted to position 31, 87, 89 and 90, only two amino acid differences concern the globular region (87 and 90) in Arabidopsis, two other localize to the tail region (31 and 41). In addition to the position, distinct amino acid changes distinguish H3.1/H3.2 and H3.3 in animals and plants (adapted from Elsaesser et al., 2010).

stem-loop binding protein together with the U7 small nuclear RNA to the 3' end of the histone mRNA participates in mRNA stability and tightly regulates its translation (Marzluff et al., 2002; Dominski and Marzluff, 2007). Together, these features allow an important production of canonical H3.1 histones at early S phase, ensuring ample supply for chromatin reassembly of the neo-replicated DNA at the replication fork. Canonical H3.1 can also be incorporated in a DNA synthesis-dependent manner at sites of DNA repair after UV lesion and other genome integrity impairment (Polo et al., 2006; Adam et al., 2013).

Bioformatical BLASTX analysis revealed the presence of fifteen histone genes in the Arabidopsis genome (Okada et al., 2005). Five of them show the highly conserved replicative H3.1-encoding sequence: *HTR1* (At5g65360), *HTR2* (At1g09200), *HTR3* (At3g27360), *HTR9* (At5g10400) and *HTR13* (At5g10390) (Okada et al., 2005; Ingouff and Berger, 2010; Ingouff et al., 2010; Talbert et al., 2012). As observed for their animal counterparts Arabidopsis H3.1 genes are intronless, but are not organized in clusters. Instead, two of them, *HTR9* and *HTR13* are organized in tandem, likely arising from a duplication, while the others are dispersed throughout the genome (Okada et al., 2005) (**Figure 17**). Moreover, H3.1 transcripts are polyadenylated (Wu et al., 1989), and it has been described that induction of H3.1 gene expression occurs mainly at the transcriptional level and is linked to initiation of DNA replication (Reichheld et al., 1995, 1998). In parallel, H3.1 synthesis tightly follows DNA synthesis and is managed at the post-transcriptional and possibly translational level, before rapid degradation of remaining H3.1 transcripts are upon S phase completion (Reichheld et al., 1998).

### 2.1.2. Protein structure

In term of amino acid sequence, Arabidopsis H3.1 differs from mammalian and *Drosophila* canonical H3 by four residues at positions 41, 53, 90 and 96 (**Figure 18**). A large-scale expression analysis experiment in Arabidopsis synchronous cell suspensions allowed the monitoring of histone gene expression during cell-cycle progression (Menges et al., 2003). H3.1-encoding genes were found expressed in early S phase. This has been further supported by Affymetrix chip data showing that H3.1 genes are highly expressed in actively dividing tissues (Okada et al., 2005).



**Figure 19: Arabidopsis histone H3.1 shows specific localization in the genome.**

A. H3.1 is found genome-wide and particularly enriched at chromocenters. Left: H3.1/HTR1-GFP in a nucleus of a leaf epidermal cell. Middle: DAPI-stained leaf epidermal cell nucleus reveals the position of chromocenters. Right: Merge of both. Scale bar: 10  $\mu\text{m}$  (from Shi et al., 2011).

B. H3.1/HTR2-GFP in a nucleus of a root cell. The white arrow points to a chromocenter. Scale bar: 5  $\mu\text{m}$  (from Ingouff et al., 2010).

C. H3.1 and H3.3 display distinct genomic distribution. Enrichment of H3.1 and H3.3 relative to input genomic DNA at chromosome 3 obtained by ChIP-seq (left). Plot showing transposable element density indicates location of pericentromeric heterochromatin (right). H3.1 occupancy at centromeric and pericentromeric heterochromatin is important in comparison to euchromatic chromosome arms (from Stroud et al., 2012).

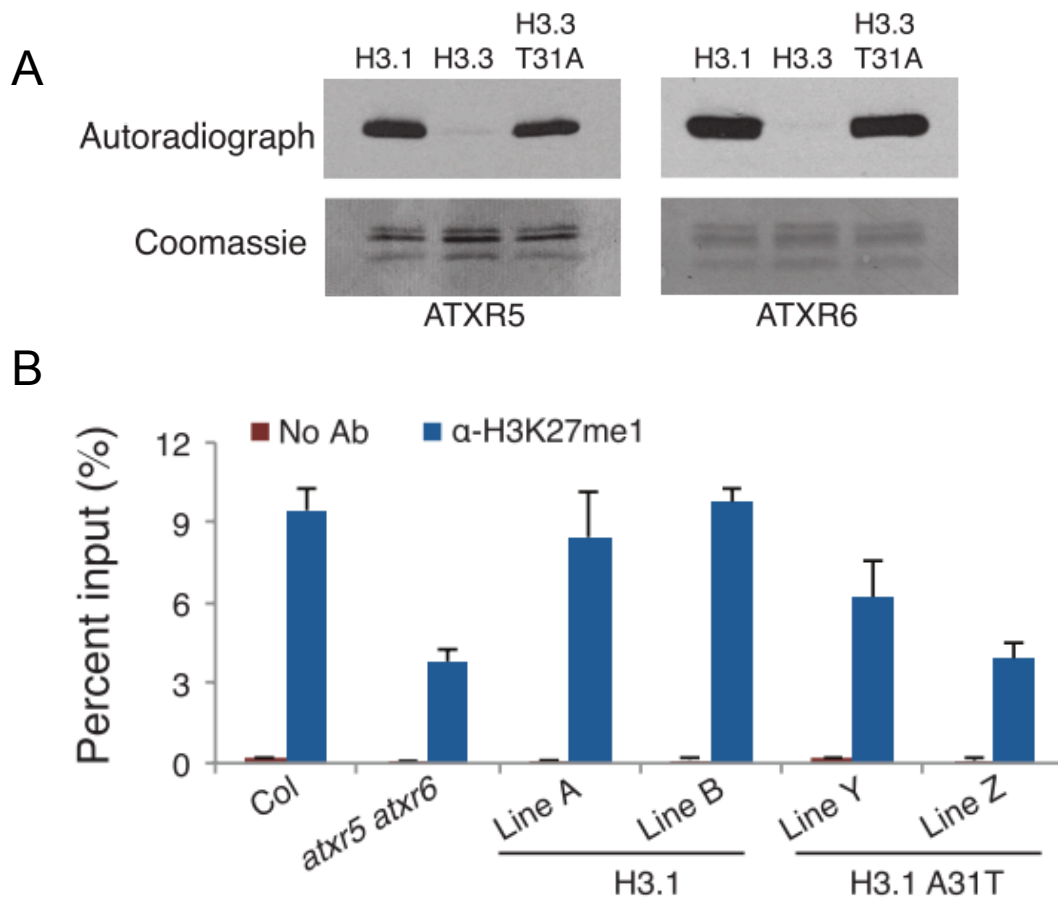
D. H3.1 distribution correlates with enrichment in repressive post-translational marks. Distribution of H3.1 and H3.3 over H3K9me2 (left) and H3K27me1 (right) enriched regions (from Stroud et al., 2012).

However the *cis*-acting element OCT motif, participating in proliferation-coupled and S phase-specific gene expression regulation, has been found only in the promoter of *HTR2* and *HTR9* (Meshi et al., 2000). This suggests other regulatory mechanisms such as the lack of introns (Seiler-Tuyns and Paterson, 1986; Okada et al., 2005) may contribute to the expression timing of these genes.

### 2.1.3. Genomic localization

First evidences for plant H3.1 subnuclear localization in Arabidopsis were obtained by the observation of H3.1 fused to a fluorescent protein (Ingouff et al., 2010). These studies showed that H3.1 is found in the whole chromatin and is enriched in chromocenters (**Figure 19AB**). This is in agreement with incorporation throughout the genome during replication and selective maintenance at silenced areas, including centromeric and pericentromeric heterochromatin. Genome-wide studies gave a more precise view on H3.1 distribution (Stroud et al., 2012; Wollmann et al., 2012; Vaquero-Sedas and Vega-Palas, 2013; Sequeira-Mendes et al., 2014) (**Figure 19C**). Interestingly, the pattern of H3.1 enrichment at genes revealed an anti-correlation between H3.1 occupancy and transcriptional activity. Consistently, H3.1 levels are high over centromeric and pericentromeric transposable elements, suggesting that H3.1 is tightly associated with heterochromatin (**Figure 19C**). This study also stated a positive correlation between H3.1 enrichment and DNA methylation in all sequence contexts. Another evidence for H3.1 association with silent genes was given by the observation that H3K9me2- and H3K27me3-rich regions contain canonical H3.1 (**Figure 19D**). These sites also show globally higher nucleosome occupancy, suggesting that regions enriched in H3.1 tend to display a closed chromatin conformation, densely packed with nucleosomes carrying repressive histone marks, isolating the underlying DNA from the transcriptional machinery and thus setting transcriptional silencing.





**Figure 20: H3.1 is preferentially monomethylated at K27 by ATXR5 and ATXR6.**

A. H3.1 monomethylation by ATXR5 and ATXR6 as revealed by *in vitro* histone lysine methyltransferase assays using chromatin containing H3.1, H3.3, or mutagenized H3.3 T31A. A31 of H3.1 is critical for correct H3K27me1 deposition *in vitro* (from Jacob et al., 2014).

B. H3K27me1 enrichment at TSI repeats is reduced *in vivo* in *atxr5 atxr6* double mutants and plants expressing a mutated version of H3.1 (A31T) as revealed by CHIP (from Jacob et al., 2014).

#### 2.1.4. Histone post-translational modifications

Initial analyses of H3 variant enrichment in specific histone post-translational marks were done on *Medicago sativa* total acid-extracted material, mostly consisting of nucleosomal histone content (Waterborg, 1990). This approach, further confirmed by other methods, has shown that H3.1 is widely associated with repressive marks and show relative depletion of active acetylation mark (Waterborg, 1990). This analysis has then been performed in mammals (Benson et al., 2006; Hake and Allis, 2006; Hake et al., 2006; Loyola et al., 2006; Loyola and Almouzni, 2007) (**Figure 16**), *Drosophila* (McKittrick et al., 2004) and *Arabidopsis* (Johnson et al., 2004) (**Figure 17**). The first characterization of histone H3 N-terminal modifications in *Arabidopsis* using mass spectrometry by Johnson and collaborators reported H3.1 depletion in active transcription marks and preferential enrichment in marks associated with transcriptional silencing (Johnson et al., 2004) (**Figure 17**). In this study, around 80% of H3.1 are modified with silencing-related H3K27 methylation marks (60% H3K27me1, 16% H3K27me2 and 5% H3K27me3), associated with null or low level of active marks (H3K9ac, H3K14ac, H3K36me). A similar pattern has also been observed in mammals (Hake et al., 2006; Loyola et al., 2006) (**Figure 16**), *Drosophila* (McKittrick et al., 2004) and *Medicago sativa* (Waterborg, 1990). Surprisingly, no particular evidence for a preferential methylation of H3.1K9 has been found, suggesting that the H3K9 methyltransferases can act independently of the histone variant type (Jacob et al., 2014). The methyltransferases ATXR5 and ATXR6 have been described as the major H3K27me1 methyltransferases responsible for deposition of this mark in heterochromatin (Jacob et al., 2009, 2010, 2014). By histone lysine methyltransferase assays, Jacob and collaborators showed *in vitro* that the ATXR5 and ATXR6 activities are preferentially directed to H3.1-containing nucleosomes (Jacob et al., 2014) (**Figure 20**). ATXR5 reads the H3.1-specific S31 and any other residue at position 31, such as H3.3 T31, inhibits ATXR5 and ATXR6 activity *in vivo* (**Figure 20**). H3.1-specific activity was not found *in vitro* for other H3K27 methyltransferases, such as Polycomb Repressive Complex 2 (PRC2) complexes MEDEA (MEA) and CURLY LEAF (CLF), which catalyze H3K27me3 (Jacob et al., 2014). In summary, the canonical histone H3.1 is enriched in modifications associated with transcriptional silencing and shows a strong bias towards K27me1 modification by the ATXR5 and ATXR6 methyltransferases.



## 2.2. Replacement variant H3.3

### 2.2.1. Gene organization and expression

Replacement histone variant H3.3 was originally identified as a product of histone genes whose synthesis occurs outside of S phase (Zweidler, 1984). Synthesis of the replication-independent variant H3.3 occurs not only in S phase but throughout the cell cycle in contrast to the canonical H3.1 (Ahmad and Henikoff, 2002b; Loyola and Almouzni, 2007; Szenker et al., 2011). In mouse, human and *Drosophila*, replacement histones H3.3 are encoded by two single genes, *H3.3A* and *H3.3B* (Wellman et al., 1987; López-Fernández et al., 1997) (**Figure 16**). Contrary to their canonical counterparts, they possess introns and are polyadenylated. Both genes encode identical H3.3 proteins, but have distinct untranslated regions (Szenker et al., 2011) (**Figure 16**).

In *Arabidopsis*, H3.3 is encoded by three genes: *HTR4* (At4g40030), *HTR5* (At4g40040) and *HTR8* (At5g10980) (Okada et al., 2005; Ingouff and Berger, 2010) (**Figure 17**). As observed in other eukaryotes, all *Arabidopsis* H3.3 genes possess introns (Okada et al., 2005; Ingouff and Berger, 2010) (**Figure 17**). Expression analysis of H3.3 genes in *Arabidopsis* synchronous cell suspensions suggested that H3.3 gene expression is constitutive throughout the cell cycle and does not peak in S phase in contrast to H3.1 (Menges et al., 2003). The combination of endogenous H3.3 promoters together with introns in the 5' untranslated regions (5' UTR) is critical for driving H3.3 gene expression throughout the cell cycle (Chaubet-Gigot et al., 2001).

### 2.2.2. Protein structure

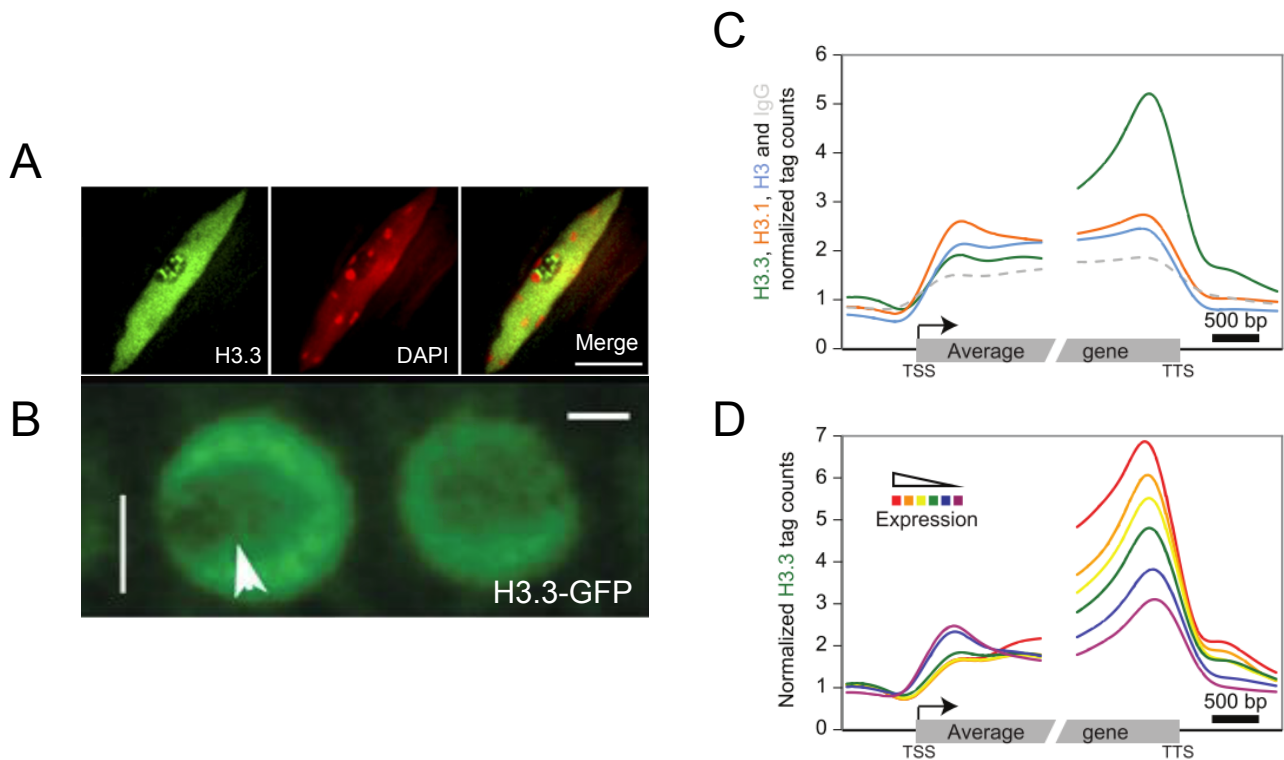
Remarkably, the replacement variant H3.3 is one of the most conserved proteins in the eukaryotic kingdom, since H3.3 proteins are thought to be the ancestors of the H3.1 histones (Wells et al., 1987). In mammals and *Drosophila*, four amino acid substitutions discriminate H3.3 (S31-A87-I89-G90) from H3.1 (A31-S87-V89-M90) (**Figure 18**). Interestingly, residues at positions 87, 89 and 90 are located in the  $\alpha 2$  helix of the histone fold domain, which drives arrangement in the



nucleosome core particle. In *Drosophila*, amino acid substitution S87-V89-M90 from H3.1 towards the A87-I89-G90 residues of H3.3 results in DNA synthesis-independent incorporation of H3.1 (Ahmad and Henikoff, 2002b). This suggests that the nature of amino acids at positions 87, 89 and 90 is responsible for the replication-dependent or independent histone deposition. In *Arabidopsis*, amino acids at position 31, 41, 87, and 90 discriminate H3.1 from H3.3, but involve a different set of amino acids. H3.3 T31-Y41-H87-L90 differs from H3.1 A31-F41-S87-A90 (**Figure 18**). Comparison of H3.3 and H3.1 protein sequences, including the plant-specific substitution at position 41 of the N-terminal tail (Okada et al., 2005), suggest that H3 variants evolved independently in plants and animals. Amino acid at position 31 and 41 are sufficient to determine the time- and region-specific deposition of the histone variant since H87 is critical for the correct deposition of H3.3 at the transcriptionally active rDNA arrays (Shi et al., 2011). Furthermore, the N-terminal histone tail residues T31 and Y41 are required for H3.3 removal from the rDNA loci when nucleolar transcription stops. In summary, the small number of amino acid changes in H3.3 *versus* H3.1 variants together with their distinct expression patterns is thought to drive their respective dynamics of incorporation into chromatin. Moreover, this may lead to unique local chromatin structures, and ultimately, specific biological functions such as gene transcription or transcriptional silencing.

### 2.2.3. Genomic localization

H3.3 distribution along the genome has been increasingly studied for the last few years. First analysis using immunofluorescence assays targeting either tag- or fluorophore-H3.3 fusion in *Drosophila* Kc cells have shown dispersion in euchromatin, no particular enrichment in heterochromatin and high concentration at nucleolar rDNA foci (Ahmad and Henikoff, 2002b). In plants, the use of H3.3-GFP proteins in root nuclei showed no particular enrichment in chromocenters and wide distribution in euchromatin (Ingouff et al., 2010; Shi et al., 2011) (**Figure 21AB**). Chromatin immunoprecipitation together with high-resolution genome mapping has provided a detailed genome-wide localization profile of H3.3 in mammals, *Drosophila* and *Arabidopsis*. In both mammals and *Drosophila*, sites of gene expression are associated with H3.3 enrichment, together with high levels of RNA Polymerase II



**Figure 21: Arabidopsis histone H3.3 variant shows specific localization in the genome.**

A. H3.3 is widely found in euchromatin and is not particularly enriched at chromocenters. Left: H3.3/HTR4-GFP in a nucleus of a leaf epidermal cell. Middle: DAPI-stained leaf epidermal cell nucleus reveals the position of chromocenters. Left: Merge of both. Note the enrichment of H3.3 at the NOR. Scale bar: 10  $\mu\text{m}$  (from Shi et al., 2011).

B. H3.3/HTR5-GFP in a nucleus of a root cell. The arrowhead shows the nucleolus. Scale bar: 5  $\mu\text{m}$  (from Ingouff et al., 2010).

C. H3.3 enrichment is biased towards the 3' end of genes. H3.3 occupancy (green), H3.1 (orange), H3 (blue) and IgG (dashed grey) data obtained by ChIP-seq plotted over gene bodies of Arabidopsis protein-coding genes (from Wollman et al., 2012).

D. H3.3 enrichment over protein-coding genes grouped according to their expression levels reveals positive correlation between expression level and H3.3 enrichment (from Wollman et al., 2012).

(RNAPII). H3.3 is found at transcription start sites (TSS), gene bodies and transcription termination sites (TTS) of genes. In *Drosophila*, H3.3 is particularly enriched in 5' of genes (Mito et al., 2005), while H3.3 enrichment was observed in 3' in mammals and plants (Goldberg et al., 2010; Wollmann et al., 2012; Shu et al., 2014) (**Figure 21C**), suggesting that H3.3 deposition at genes could be intimately linked to chromatin remodeling during transcription. The H3.3 enrichment profile over genes correlates with expression, since H3.3 occupancy in 3' of genes is positively correlated with the level of gene transcription (**Figure 21D**). Nucleosomes containing H3.3 have been described particularly instable compared to nucleosomes with H3.1, thus facilitating removal of nucleosomes and maintenance of an open chromatin during transcription (Henikoff et al., 2004; Jin and Felsenfeld, 2007). Concomitantly, H3.3 nucleosome replacement occurs mostly at transcribed regions of genes and at promoters (Mito et al., 2005; Jin et al., 2009; Ooi et al., 2010). Furthermore, promoters are enriched in H3.3 as well, which might be important for the activity of *cis*-regulatory elements. Interestingly, nucleosomes containing both H3.3 and the histone variant H2A.Z are found at nucleosome-free regions of active promoters and other regulatory regions in the human genome (Jin et al., 2009). H3.3 has also been found to accumulate together with H3.1 at origins of DNA replication in order to maintain high level of nucleosome occupancy at these sites (Deal et al., 2010; MacAlpine et al., 2010; Stroud et al., 2012). This suggests that a certain crosstalk exists between histone variants to establish and maintain specific local chromatin landscapes.

H3.3 incorporation is however not limited to euchromatic regions of the genome since H3.3 has also been detected at transcriptionally inactive genes and pericentromeric heterochromatin in mammals (Szenker et al., 2011; Filipescu et al., 2013). H3.3 localization at telomeres has been described in mouse embryonic cells where it mediates repression of telomeric repeats (Goldberg et al., 2010; Lewis et al., 2010). Accumulation of H3.3 in pericentromeric heterochromatin has been observed in mouse embryos, ES cells and embryonic fibroblasts as well as in human HeLa cells (Hake et al., 2006; Drané et al., 2010; Santenard et al., 2010; Akiyama et al., 2011). During mammalian meiotic sex chromosome inactivation, the transcriptional silencing of the X and Y chromosomes that occurs during the meiotic phase of spermatogenesis, H3.3 accumulates at the silent XY body (Turner, 2007; van der Heijden et al., 2007). Interestingly, Kraushaar and collaborators have analyzed





histone H3.3 turnover at the genome-wide level by studying epitope-tagged H3.3 enrichment at different time points after induction in mammalian cells (Kraushaar et al., 2013). They showed that H3.3 turnover was different depending on the region of the genome. Enhancers, promoters and gene bodies display high rates of H3.3 nucleosome turnover, together with active marks (H3K4me3, H3K9ac and RNAPII enrichment). Heterochromatin is associated with a slow or negligent turnover of H3.3 at telomeres and pericentromeric regions, respectively. This suggests that the genomic context of H3.3 deposition is of high importance and might suggest different biological significance of H3.3 occupancy at these regions. Indeed, rapid turnover of H3.3 at genes suggests a role in initiation and transcriptional elongation, while H3.3 that present a slow turnover within telomeric and centromeric repeats are susceptible to contribute to stabilization of heterochromatin structure, transcriptional silencing and maintenance of genome stability.

Genome-wide distribution of H3.3 is dynamically modified during development in order to respond to stage- or cell-specific chromatin remodeling associated with modification of gene expression patterns (Tagami et al., 2004; Mito et al., 2005; Goldberg et al., 2010; Stroud et al., 2012; Wollmann et al., 2012). In both mice and *Drosophila*, functional copies of *H3.3A* and *H3.3B* genes are required for fertility (Hödl and Basler, 2009; Sakai et al., 2009; Tang et al., 2013). Heterozygous *H3.3B* male mice display impaired spermiogenesis (Tang et al., 2013). In female mice, H3.3 enrichment is visible in euchromatin during oocyte maturation (Akiyama et al., 2011). Depletion of H3.3 in the *Drosophila* male germline leads to meiotic chromosome missegregation and defective post-meiotic genome remodeling (Sakai et al., 2009). At fertilization in *Drosophila*, decondensation of the paternal genome occurs concomitantly with a global deposition of maternal H3.3 replacing protamines before the first round of DNA replication (Loppin et al., 2005; Bonnefoy et al., 2007; Orsi et al., 2009, 2013). In mice, *de novo* heterochromatin formation in the male pronucleus is associated with a transient H3.3 enrichment. H3.3 is found in both euchromatin and heterochromatin until compartmentalization to euchromatin only at late preimplantation stages (Santenard et al., 2010; Akiyama et al., 2011). Interestingly, mutation of H3.3K27 but not H3.1K27 provokes aberrant transcription of pericentromeric repeats and defective chromosome segregation (Santenard et al., 2010). In *Xenopus laevis*, high H3.3 expression is required for correct gastrulation (Szenker et al., 2012; Lim et al., 2013).



The genome-wide analysis of H3.3 localization in *Arabidopsis* has given new insights in the function of this replacement variant in plants (Stroud et al., 2012; Wollmann et al., 2012; Sequeira-Mendes et al., 2014; Vaquero-Sedas and Vega-Palas, 2013; Shu et al., 2014). H3.3 is positively correlated with gene expression and gene length and thus associated with transcribed genes. Plant H3.3 enrichment is high at the 3' end of genes, peaking immediately upstream of the TTS (Stroud et al., 2012; Wollmann et al., 2012; Shu et al., 2014). Consistent with this observation, H3.3 accumulation is associated with epigenetic marks permissive for transcription such as H3K4me1, CG gene body methylation, ubiquitinated H2B and RNAPII occupancy. *Arabidopsis* H3.3 levels decreased over the centromere compared to the chromosome arms, and low H3.3 enrichment associates with centromeres and transposable elements compared to H3.1 (Stroud et al., 2012; Wollmann et al., 2012; Vaquero-Sedas and Vega-Palas, 2013; Shu et al., 2014). Meanwhile, telomeres are enriched in H3.3 compared to centromeric regions (Stroud et al., 2012; Wollmann et al., 2012; Vaquero-Sedas and Vega-Palas, 2013). While a general pattern of H3.3 localization emerges, plant and animal H3.3 localization also exhibit differences: H3.3 enrichment at *cis*-regulatory elements and repressed genes is not obvious in plants contrary to mammals and *Drosophila*. Altogether these data suggest similar trends and convergent evolution of the regulatory functions of H3.3 in plants and animals.

#### 2.2.4. Histone post-translational modifications

Initial analysis of histone post-translational marks associated with H3.3 in *Medicago sativa* showed an initial two-fold enrichment in acetylation compared to H3.1 (Waterborg, 1990). Consistent with its localization in euchromatic regions of the genome, H3.3 is enriched in transcriptionally permissive marks K4 and K36 methylation, and K9, K18 and K23 acetylation in mammals (Benson et al., 2006; Hake and Allis, 2006; Hake et al., 2006; Loyola et al., 2006; Loyola and Almouzni, 2007) (**Figure 16**), *Drosophila* (McKittrick et al., 2004) and *Arabidopsis* (Johnson et al., 2004). Consistent with its relative depletion from pericentromeric heterochromatin, a clear anti-correlation between H3.3 and H3K9me2 levels over centromeres has been revealed in *Arabidopsis* (Stroud et al., 2012; Wollmann et al., 2012). H3.3K27 is poorly mono- or dimethylated in contrast to promoters of genes



enriched in H3K27me3 and submitted to strong developmental regulation by Polycomb group proteins (Shu et al., 2014). Moreover in mammals, the histone post-translational marks already present in non-nucleosomal H3.3 differs significantly from those on non-nucleosomal H3.1. Notably, H3.3 pre-modifications H3K9me2, H3K9ac and H3K14ac suggest the establishment of an open chromatin structure while pre-deposition H3.1 modified by H3K9me1 could be a basis for heterochromatinization by further H3K9me3 synthesis by the histone methyltransferase Suv39h (Loyola et al., 2006) (**Figure 16**).

### 2.3. H3.3-like

Additional H3 variants have been described in many organisms. They are considered as H3.3-like proteins since they display amino acid substitutions commonly found in variant H3.3 at positions 31, 41, 87 and 90. Their synthesis is independent from the cell cycle phase and most of them are expressed in a tissue-specific and / or developmental stage-specific manner (López-Fernández et al., 1997; Malik and Henikoff, 2003; Tachiwana et al., 2008; Talbert et al., 2012). In Arabidopsis, the H3.3-like variant family is defined as such: absent or degenerated N-terminal region of the protein, H3.3 residues at positions 31, 41, 87, and 90 and absence of introns. High degeneration of protein sequences is associated with pseudogenization in animals (Wellman et al., 1987; Wells et al., 1987) and in Arabidopsis (Okada et al., 2005). Indeed, *HTR7* (At1g75610) lacks a part of the N-terminal region and RT-PCR analysis revealed absence of transcription, as observed for *HTR15* (At5g12910) (Okada et al., 2005). *HTR11* (At5g65350) is expressed following a read-through transcript together with *HTR1* (At5g65360), with coding regions separated by only 273 nucleotides. However, since this transcript bears an intron overlapping from the 3' UTR of *HTR1* to the N-terminal coding region of *HTR11*, the latter is also suspected to produce a non-functional protein.

Based on these features, only Arabidopsis H3.6, H3.10 and H3.14 variants have been classified as histone H3.3-like family members and are likely to be expressed. The H3.10 variant, encoded by *HTR10* (At1g19890) accumulates in sperm cells (Okada et al., 2005; Ingouff et al., 2007, 2010). Its expression is under control of the DUO1 transcription factor which is specific for the sperm cell lineage



(Brownfield et al., 2009). The enrichment of H3.10 has been suggested to contribute to the male gamete-specific gene expression program. H3.10 may also have a role in organizing the highly condensed chromatin structure in sperm cells (Okada et al., 2005). Surprisingly, diminution of H3.10 activity in a T-DNA insertion mutant did not lead to aberrant vegetative growth or altered fertility (Okada et al., 2005). H3.14, encoded by *HTR14* (At1g75600), is expressed only in both the vegetative cell nucleus and the central cell and but not in the mature egg cell (Ingouff et al., 2007, 2010). Expression of *HTR6* (At1g13370) encoding H3.6 has not been detected in vegetative or reproductive tissues but only in cell suspensions where its transcription varies in a cell cycle dependent manner (Menges et al., 2003). However, *HTR6* and *HTR14* gene transcription are concomitantly upregulated in plants lacking the replication-dependent chromatin assembly pathway and upon heat stress. These genes are therefore potentially co-regulated and might have similar roles (Schönrock et al., 2006; Exner et al., 2008; Tittel-Elmer et al., 2010; Probst et al., unpublished results).

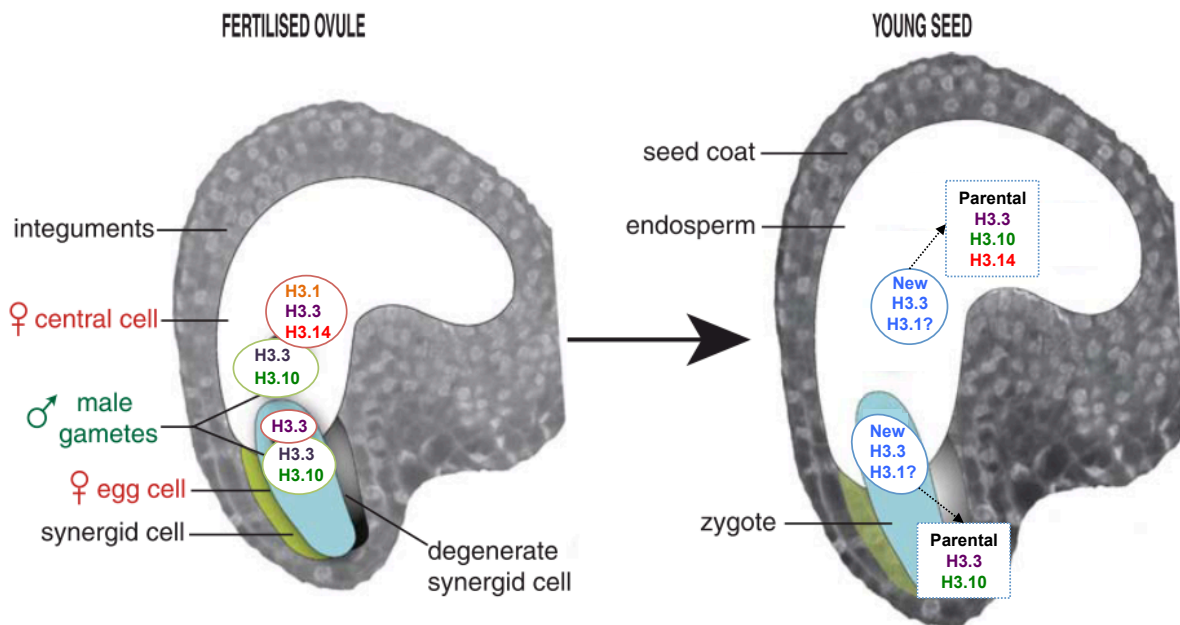
## 2.4. CENH3

CENH3 histone variants are specifically deposited in centromeric chromatin (Yoda et al., 2000; Ahmad and Henikoff, 2002a; Blower et al., 2002). While H3 is one of the most conserved proteins within the eukaryotic proteome, CENH3 is rapidly evolving, displaying high divergence in amino acid sequence and N-terminal tail length between species (Malik and Henikoff, 2001; Cooper and Henikoff, 2004; Talbert et al., 2002). CENP-A was the first centromeric H3 variant identified in humans (Palmer et al., 1987, 1991). Studies of chromatin fibers showed that the building blocks of CENH3-containing nucleosomes are linearly interspersed with H3.1-containing and H3.3-containing domains creating functional centromeres (Sullivan and Karpen, 2004; Dunleavy et al., 2011). CENP-A deposition is cell cycle-regulated and was first thought to take place in G2 phase, supported by the observation that treatment by the DNA replication inhibitor aphidicolin does not block CENP-A assembly. Concomitantly with CENP-A protein levels peaking in late G2 phase (Shelby et al., 1997, 2000), a SNAP tag approach showed that the deposition of new CENP-A at centromeres is restricted to telophase–early G1 phase in



H3 family	Gene	ID
H3.1	<i>HTR1</i>	At5g65360
	<i>HTR2</i>	At1g09200
	<i>HTR3</i>	At3g27360
	<i>HTR7</i>	At1g75610
	<i>HTR9</i>	At5g10400
	<i>HTR11</i>	At5g65350
	<i>HTR13</i>	At5g10390
H3.3	<i>HTR4</i>	At4g40030
	<i>HTR5</i>	At4g40040
	<i>HTR8</i>	At5g10980
H3.3-like	<i>HTR6</i>	At1g13370
	<i>HTR10</i>	At1g19890
	<i>HTR14</i>	At1g75600
CenH3	<i>HTR12</i>	At1g01370

**Table 1: H3-encoding genes in Arabidopsis.**



**Figure 22: Histone H3 variant dynamics at fertilization in Arabidopsis.**

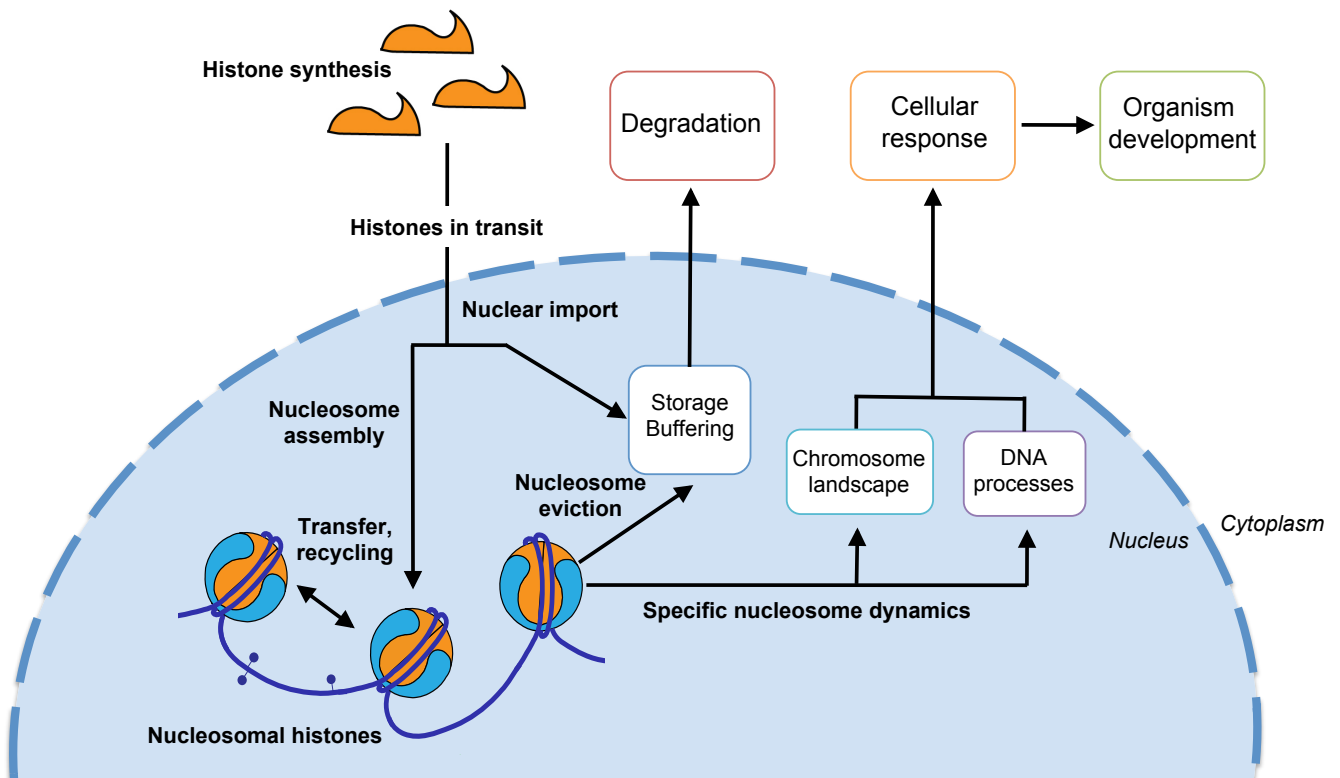
Schematic dynamics of the parental H3 variants at fertilization in Arabidopsis. H3.3 and H3.10 accumulate in the sperm nuclei, while the central cell nucleus contains H3.1, H3.3 and H3.14, and the egg cell nucleus exhibits H3.3. Upon fertilization of the egg cell by a sperm cell, parental H3.3 and H3.10 are rapidly removed from the zygote before the first round of replication and actively replaced by newly synthesized H3.3. The fertilization of the central cell by the second sperm cell initiates endosperm development. Here, parental H3.3, H3.10 and H3.14 are thought to be removed by dilution through DNA replication (modified from Ingouff et al., 2010).

mammalian cells (Jansen et al., 2007). CenH3 has been described in all eukaryotic models (Henikoff et al., 2001; Sullivan et al., 2001). First evidence of a plant centromeric H3 variant arose from works on *Arabidopsis* and maize (Talbert et al., 2002; Zhong et al., 2002). *Arabidopsis* CENH3, encoded by the single *HTR12* gene, appears drastically divergent from other H3 variants, in particular in its N-terminal domain. CENH3 localizes at the 180 bp repeats centromeric region and has been identified as a major player in kinetochore establishment and chromosome segregation during mitosis and meiosis (Ingouff et al., 2010).

*Arabidopsis* H3-encoding genes are listed in **Table 1**.

## 2.5. Histone dynamics in *Arabidopsis* development

In *Arabidopsis*, H3 dynamics are particularly obvious during gamete formation and fertilization. The male gametophyte is the pollen and consists of three cells: two sperm cells associated to an accessory vegetative cell that delivers the sperm cells to the female gametes. The embryo sac is the female gametophyte that contains the egg cell and the central cell (Rudall, 2006; Rudall and Bateman, 2007). Interestingly, gametophytic nuclei display different chromatin features with particular differences in histone variant content. In the male gametophyte, sperm cell nuclei have compact chromatin compared to the vegetative cell nucleus. The sperm cells present high levels of H3.3 encoded by *HTR5*, as well as the expression of the sperm-specific H3.10 (**Figure 22**). At the same time, H3.1 and CENH3 are not detected (Okada et al., 2005; Ingouff et al., 2007, 2010). In addition, chromatin of the vegetative cell is more relaxed than the sperm nuclei, and presents a different H3 repertoire with the presence of H3.3 encoded by both *HTR5* and *HTR8*, together with H3.14 and enrichment in permissive histone post-translational modifications (Okada et al., 2005; Ingouff et al., 2007, 2010). Histone variant composition between the female gametes appears different as well. The egg cell possesses only the *HTR5*-encoded H3.3, also observed in the sperm cells, *HTR8*-encoded H3.3 being lost during maturation and without any detectable H3.1 proteins despite a few transcripts (Ingouff et al., 2010) (**Figure 22**). The central cell exhibits *HTR8*-encoded H3.3 together with the H3.3-like variant H3.14 and the presence of *HTR3*-encoded H3.1 (**Figure 22**). Based on these



**Figure 23: Histone chaperones are critical players in histone dynamics and participate in several DNA metabolic processes.**

All along their cellular life, histones are found associated to a network of escorting chaperones controlling their nuclear transport, buffering, recycling, degradation, assembly, and dynamics at chromatin. These processes are fundamental for correct genome activity and cellular functions, affecting ultimately the development of the whole organism (adapted from Gurard-Levin et al., 2014).

observations, the gametes can be distinguished from their respective companion cells based on their H3 variant content.

Double fertilization occurs between sperm cells and female gametes. The fertilized egg develops into the embryo, while the fertilized central cell generates the extra-embryonic nurturing endosperm tissue (Talbert et al., 2002; Zhong et al., 2002). The zygote chromatin is progressively depleted from paternal and maternal H3 variants. At 8 hours after fertilization, *de novo* synthesis of H3.3 and CENH3 occurs, followed by *de novo* synthesis of canonical H3 at the two-cell embryo stage, restoring the somatic H3 variant repertoire (Lermontova et al., 2006; Ingouff et al., 2010; Lermontova et al., 2011; Ravi et al., 2011) (**Figure 22**). This implies that H3 variants are not carrier of transgenerational inheritable information in this process since parental H3 variants are immediately removed upon fertilization. However, some histones could still be retained at specific loci or regulatory regions at levels not detectable with fluorescent protein fusions.

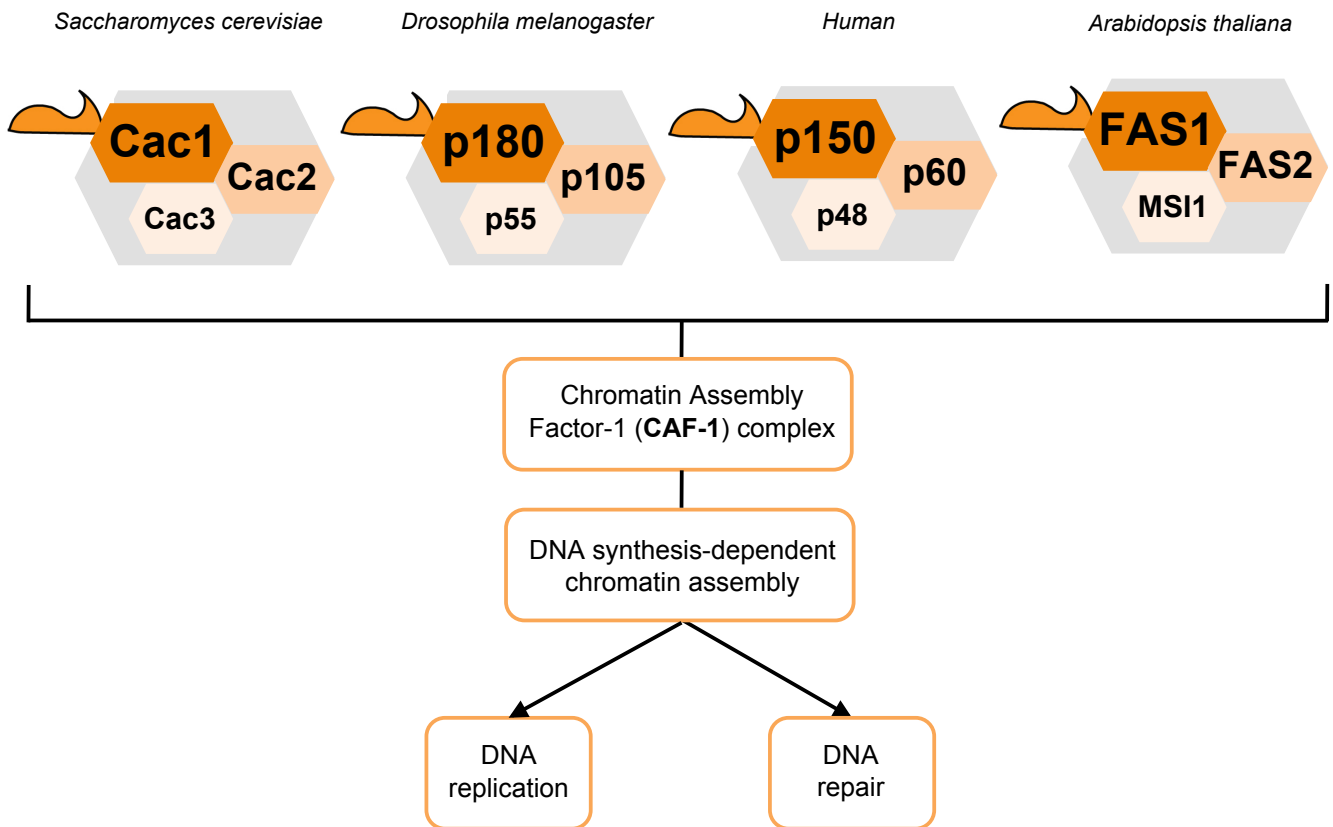
### **3. Biological significance of histone chaperone networks**

The dynamics of H3 variants are finely tuned *in vivo* by a set of histone chaperones, controlling their nuclear transport, storage, recycling, degradation, assembly, and dynamics in chromatin (**Figure 23**). Histone chaperones are defined as escort proteins that associate with soluble histones to control their supply and incorporation into chromatin (De Koning et al., 2007). Histone chaperones contribute to the localization of a dedicated variant to particular sites of the genome.

#### **3.1. Chromatin Assembly Factor-1 (CAF-1)**

##### **3.1.1. Composition**

CAF-1 (Chromatin Assembly Factor-1) is a heterotrimeric complex found highly conserved in eukaryotes. Termed Chromatin Assembly Complex (CAC) in yeast, it is composed of three subunits (Cac1, Cac2 and Cac3) (Kaufman et al., 1997), while mammalian Chromatin Assembly Factor-1 (CAF-1) is constituted by the



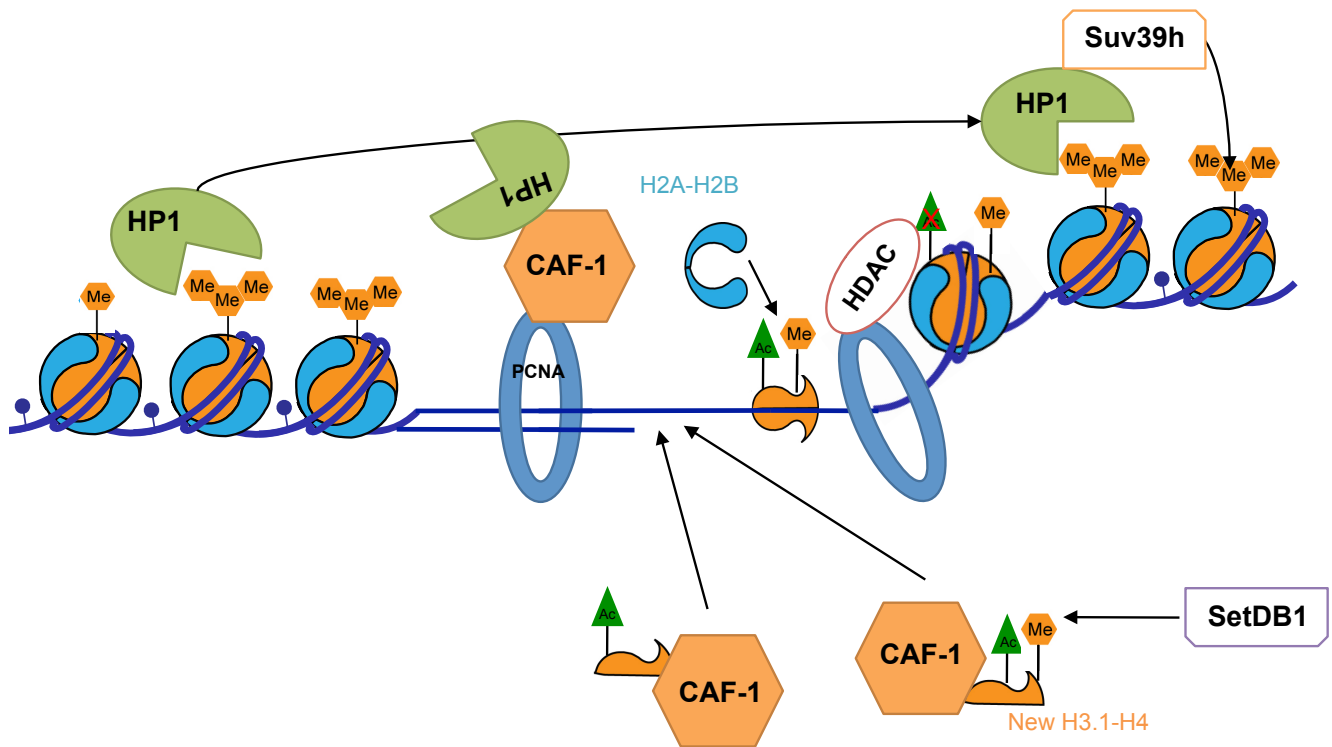
**Figure 24: Chromatin Assembly Factor-1 chaperone complex.**

CAF-1 (Chromatin Assembly Factor-1) is a heterotrimeric complex found highly conserved in eukaryotes. In *Saccharomyces cerevisiae*, the CAF-1 complex is composed of Chromatin Assembly Complex1 (Cac1), Cac2 and Cac3, which are the large, middle and small subunit respectively. The *Drosophila* CAF-1 complex comprises the p180, p105 and p55 subunits. The human CAF-1 complex consists of the large p150, middle p60 and small p48 subunits. Finally, the *Arabidopsis* CAF-1 complex is composed of FASCIATA1 (FAS1), FASCIATA2 (FAS2) and MULTICOPY SUPPRESSOR OF IRA1 (MS11) subunits. CAF-1 function involves DNA synthesis-dependent chromatin assembly during DNA replication and repair.

p150, p60 and p48 subunits (Stillman and Smith, 1989; Kaufman et al., 1995; Verreault et al., 1996). Arabidopsis orthologs of the large p150, middle p60 and small p48 subunits are termed FASCIATA1 (FAS1), FASCIATA2 (FAS2) and MULTICOPY SUPPRESSOR OF IRA1 (MSI1), respectively (Leyser and Furner, 1992; Kaya et al., 2001; Hennig, 2003) (**Figure 24**).

Direct interaction between human CAF-1 and histone H3-H4 dimers is mediated by the acidic domain of the large p150 subunit (Kaufman et al., 1995; Ramirez-Parra and Gutierrez, 2007b). The p150 subunit also mediates association with PCNA at the replication fork, targeting CAF-1 to replication- and repair-coupled chromatin assembly sites (Ridgway and Almouzni, 2000). Both the middle subunit (p60/Cac2/FAS2) and the small subunit (p48/Cac3/MSI1) bear WD40 repeats mediating protein-protein interactions, notably with histone deacetylases (Martínez-Balbás et al., 1998; Vermaak et al., 1999). The smallest subunit also participates in other complexes and interacts with other cell cycle-regulating proteins such as Retinoblastoma (Qian and Lee, 1995; Nicolas et al., 2001; Ausín et al., 2004) and E2F components (Gutierrez, 2005), with Polycomb group proteins (Czermin et al., 2002; Kuzmichev et al., 2002; Köhler et al., 2003) and components of the flowering time control pathway in Arabidopsis (Bouveret et al., 2006).

In Arabidopsis, transcription of the large subunit-encoding gene *FAS1* is cell cycle regulated. Coherent with a role of CAF-1 in chromatin assembly during DNA replication, *FAS1* expression peaks in S phase (Kaya et al., 2001). Sequence analysis of the promoter region of *FAS1*, but also of *FAS2* and *MSI*, showed presence of binding sites for the E2F transcription factors. Binding of E2F proteins to the promoter as been shown *in vitro* and *in vivo* by electrophoretic mobility shift assays and ChIP respectively (Ramirez-Parra and Gutierrez, 2007a, 2007b). Overexpression of E2F increases *FAS1* transcription while overexpressing the E2F repressor causes decrease in *FAS1* expression, suggesting that E2F is a key regulator of *FAS1* transcription. Expression patterns of *FAS1* have been assessed using a reporter construct expressing the  $\beta$ -glucuronidase gene under the control of the *FAS1* promoter and revealing a correlation between *FAS1* expression and highly proliferative tissues (Ramirez-Parra and Gutierrez, 2007a). However, available microarray data from synchronized cell cultures suggested that neither *FAS2* nor *MSI1* expression peak during S phase despite presence of E2F binding sites within their promoter regions (Menges et al., 2003). This view has recently been challenged



**Figure 25: Interaction between CAF-1 and the replication machinery tightly links DNA replication and maintenance of chromatin states.**

CAF-1 is recruited *via* PCNA to the replication fork where it participates actively in the maintenance of chromatin states and nucleosome assembly. Histones H3 in the CAF-1 complex can be monomethylated at position K9 by SetDB1 prior to deposition (Loyola et al., 2009). CAF-1 is also involved in the transfer of HP1 ahead of the replication fork to newly assembled chromatin (Quivy et al., 2008). HP1 further helps recruitment of the Suv39h methyltransferase for subsequent H3K9me3 methylation of new monomethylated H3 deposited by CAF-1 (adapted from Almouzni et al., 2011).

by mRNA sequencing data (www.cyclebase.org) (Gauthier et al., 2010; Wollmann et al., 2012) showing that *FAS2* transcription is higher in dividing *versus* non-dividing tissues.

### 3.1.2. Functions

DNA replication in eukaryotes necessitates coordinated nucleosome disassembly and subsequent re-packaging of the newly synthesized strand in nucleosomes. CAF-1 is the master player in replication-coupled nucleosome assembly and its activity has been shown in a wide variety of organisms, including mammals, *Drosophila*, *Xenopus*, yeast and *Arabidopsis thaliana* (**Figure 24**). First evidences for such a role has been provided *in vitro* by Smith and collaborators, showing that CAF-1 mediates assembly of nucleosomes through deposition of H3-H4 onto newly newly-replicated DNA in human cell extracts (Stillman and Smith, 1989; Shibahara and Stillman, 1999). *In vivo*, CAF-1 deposits H3-H4 preferentially onto replicating DNA. Consistently, CAF-1 is localized at replication foci during S phase and is also found at DNA damage-associated PCNA foci (Gaillard et al., 1996). Interaction between CAF-1 and the replication machinery tightly links DNA replication and chromatin assembly events, presenting CAF-1 as a DNA replication-dependent histone chaperone (**Figure 25**). Human CAF-1 is found in a high specificity complex with the replicative H3.1 and H4 (Tagami et al., 2004). In sharp contrast, CAF-1 is not found associated with the replacement histone variant H3.3.

Furthermore, CAF-1 displays interactions with many chromatin-interacting proteins such as HP1 (Murzina et al., 1999; Quivy et al., 2004), the H3K9-specific histone methyltransferase SetDB1, and the small H3-H4 histone chaperone Anti-Silencing Function 1 (ASF1) (Mello et al., 2002; Tamburini et al., 2006) (**Figure 25**). At the replication fork, CAF-1 participates in the propagation of H3K9 methylation by interacting with SetDB1 to facilitate K9 methylation of new H3 prior to deposition onto newly synthesized DNA (Reese et al., 2003; Sarraf and Stancheva, 2004). Moreover, CAF-1 participates in HP1 dynamics by removing HP1 upon replication fork progression and replacing it back afterwards (Murzina et al., 1999; Quivy et al., 2008) (**Figure 25**). This suggests that CAF-1 is actively involved in maintenance and establishment of chromatin features at the replication fork.

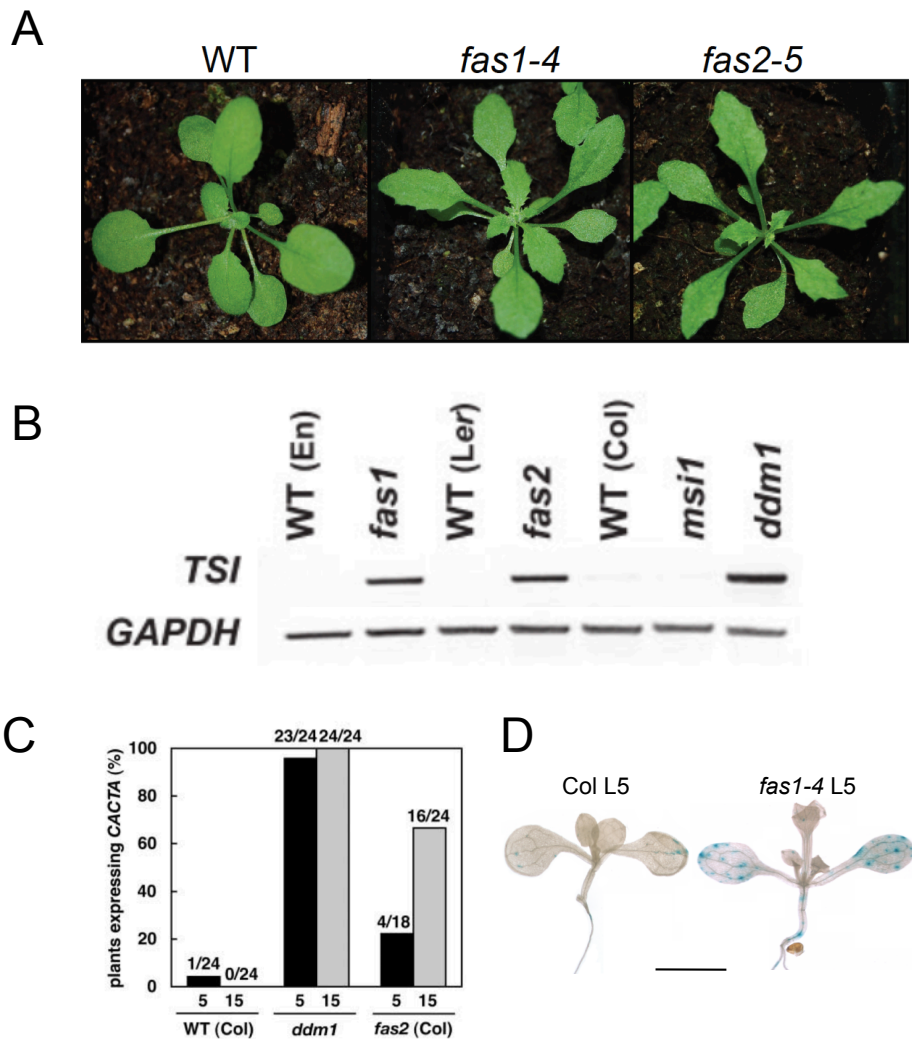




In addition to its role in chromatin assembly at the replication fork, CAF-1 nucleosome assembly activity has also been studied in the context of DNA repair. *In vitro* experiments demonstrated that epitope-tagged H3.1 are actively loaded by CAF-1 onto DNA after UV damage (Polo et al., 2006). In human cells, CAF-1-dependent nucleosome assembly using newly synthesized tagged-H3.1 has been described *in vivo* at sites of DSB and UV-induced damage (Polo et al., 2006). CAF-1 is targeted to the sites of DNA damage through its interaction with PCNA, establishing a molecular link between DNA synthesis and chromatin assembly in both replication and repair processes (Martini et al., 1998; Moggs et al., 2000; Green and Almouzni, 2003). This highlights the fact that CAF-1-mediated H3.1 deposition, which is mainly restricted to S phase, can also be found outside of S phase and is critical for restoration of chromatin integrity after DNA repair.

Loss of the CAF-1 complex results in a wide variety of consequences in eukaryotes. Knockdown of CAF-1 in human cell cultures leads to slower DNA replication and accumulation of cells in early and mid S phase due to checkpoint activation (Krude, 1999; Hoek and Stillman, 2003; Ye et al., 2003; Nabatiyan and Krude, 2004; Sanematsu et al., 2006). In mice, embryos lacking the large p150 subunit of CAF-1 arrest development at the 16-cell stage with severe alterations in heterochromatin organization (Houlard et al., 2006). Moreover, knockdown of p150 promotes aberrant heterochromatin formation and H3 variant distribution after fertilization (Akiyama et al., 2011). In *Xenopus*, expression of a dominant negative form of CAF-1 provokes developmental arrest before gastrulation (Quivy et al., 2001), and downregulation of p150 alters gastrulation at a later stage (Szenker et al., 2012). Concomitantly, *Drosophila* CAF-1 mutants die during larval stages (Klapholz et al., 2009). In yeast, CAC mutants display chromosomal rearrangements (Myung et al., 2003) and aberrant chromatin assembly (Adkins and Tyler, 2004). This is translated by an alleviation of transcriptional silencing at mating-type loci (Osley and Lycan, 1987; Enomoto and Berman, 1998; Kaufman et al., 1998) and telomeres (Monson et al., 1997) together with increased UV sensitivity (Kaufman et al., 1995). In *Caenorhabditis elegans*, CAF-1-dependent chromatin assembly is required for normal neuronal bilateral asymmetry (Nakano et al., 2011).

In contrast to *Drosophila* and mammals, *Arabidopsis* mutants lacking either the FAS1 or the FAS2 subunit are viable, despite pleiotropic morphological defects, aberrant meristem organization, phyllotaxy, leaf structure and trichome branching



**Figure 26: Effects of loss of CAF-1 on plant development and maintenance of heterochromatin silencing.**

A. Representative 25-day-old plantlets of WT, *fas1-4* and *fas2-5* mutants grown on soil (from Duc, Benoit et al., submitted).

B. Transcriptional silencing of TSI pericentromeric repeats is alleviated in *fas* mutants. RT-PCR detection of TSI transcripts in WT, *fas1*, *fas2* and *ddm1* (from Schönrock et al., 2006). *ddm1* is a well described mutant impaired in transcriptional gene silencing of repetitive sequences (Probst et al., 2003).

C. Transcriptional silencing of the CACTA transposon is alleviated in *fas* mutants. The percentage of plants showing CACTA transcripts in either 5-day-old (black) or 15-day-old (grey) WT, *ddm1* and *fas2* seedlings are plotted (from Ono et al., 2006).

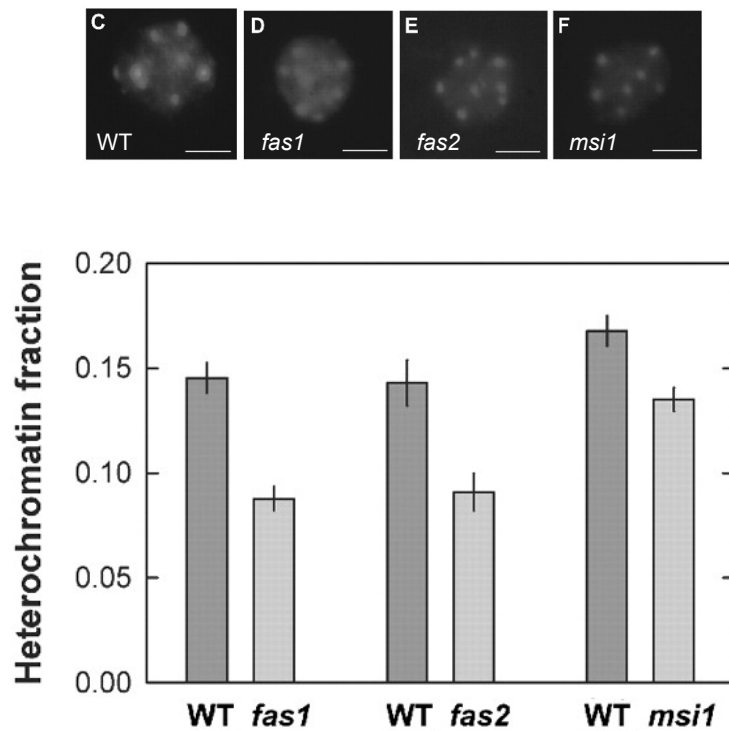
D. Release of repression of the transcriptionally silent GUS locus in *fas1* mutants. Fourteen-day-old WT (left) and *fas1-4* (right) plants carrying the GUS locus from the L5 line. Blue staining on the tissue reveals transcriptional silencing release of the GUS transgene. Scale bar: 5 mm (Bassler and Benoit, unpublished results).

(Kaya et al., 2001; Endo et al., 2006; Exner et al., 2006; Kirik et al., 2006; Schönrock et al., 2006; Exner et al., 2008) (**Figure 26A**). Defects in meristem identity in *fas* mutants were the first clue arguing for a role of CAF-1 in maintenance of correct genome activity in plants. Consistent with a role in DNA replication-dependent chromatin assembly, many studies addressed the role of CAF-1 in maintenance of transcriptional gene silencing and chromatin structure (Takeda et al., 2004; Exner et al., 2006; Ono et al., 2006; Schönrock et al., 2006). *fas1* and *fas2* mutants show weak alleviation of transcriptional silencing of endogenous repeats such as TSI together with the reactivation of some transposable elements and of a GUS transgene subjected to silencing (Ono et al., 2006; Schönrock et al., 2006) (**Figure 26BCD**).

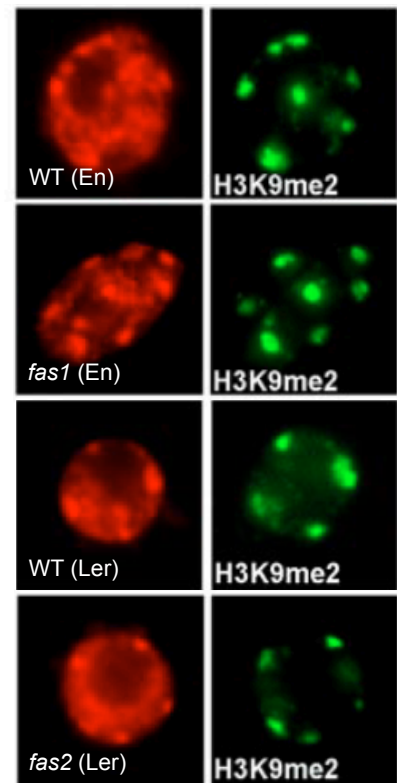
Cytological analysis of *fas1* and *fas2* mutant nuclei revealed a decrease in heterochromatin fraction (Schönrock et al., 2006) (**Figure 27A**). Concomitantly, DNase I hypersensitivity of chromatin from mature *fas1* leaf nuclei indicates a lower nucleosome density and a global decondensation of chromatin in CAF-1 mutants (Kirik et al., 2006). H3-ChIP experiments (Pecinka et al., 2010) further indicated a role for CAF-1 in establishing and maintaining correct nucleosome occupancy in mature tissues. While *fas1* mutants display a mildly reduced nucleosomal enrichment at steady state, resilencing and histone deposition at activated elements in response to heat stress is delayed in comparison to the wild type. Nucleosome occupancy further decreases in this mutant upon heat stress, and the initial level is not recovered efficiently even 7 days after treatment. This suggests that CAF-1-dependent histone reloading is important for efficient establishment and further restoration of nucleosomal density and silencing in heterochromatin after heat stress (Pecinka et al., 2010).

MSI1 mutations are lethal since this subunit is involved in other chromatin modifying complexes. However nuclei of plants with reduced MSI1 levels also show a lower heterochromatin amount and an impaired recruitment of repetitive DNA sequences to chromocenters (Hennig, 2003). Surprisingly, DNA methylation levels of the repetitive sequences undergoing transcriptional reactivation and relocalization remain largely unaffected in CAF-1 mutants. In parallel, the heterochromatic histone mark H3K9me2 remains globally enriched at chromocenters of *fas1* nuclei (Kirik et al., 2006; Ono et al., 2006; Schönrock et al., 2006) (**Figure 27B**). These observations argue strongly for a role of CAF-1 in heterochromatin formation, but highlight as well

A



B



**Figure 27: CAF-1 is required for heterochromatin compaction.**

A. CAF-1 mutants display a reduced heterochromatin fraction. Representative DAPI-stained nuclei of either *fas1-1* (En), *fas2-1* (Ler) or *msi1-as* (Col) (top) and their corresponding WT. Scale bars: 5  $\mu$ m. The heterochromatin fraction in nuclei was quantified from DAPI-stained nuclear spreads (bottom) (from Schönrock et al., 2006).

B. H3K9me2 remains globally unaffected at chromocenters in CAF-1 mutants. Immunofluorescence signals of H3K9me2 (green, right) reveal enrichment in chromocenters in WT, *fas1* and *fas2* mutants. DNA is counterstained with DAPI (red, left) (adapted from Schönrock et al., 2006).

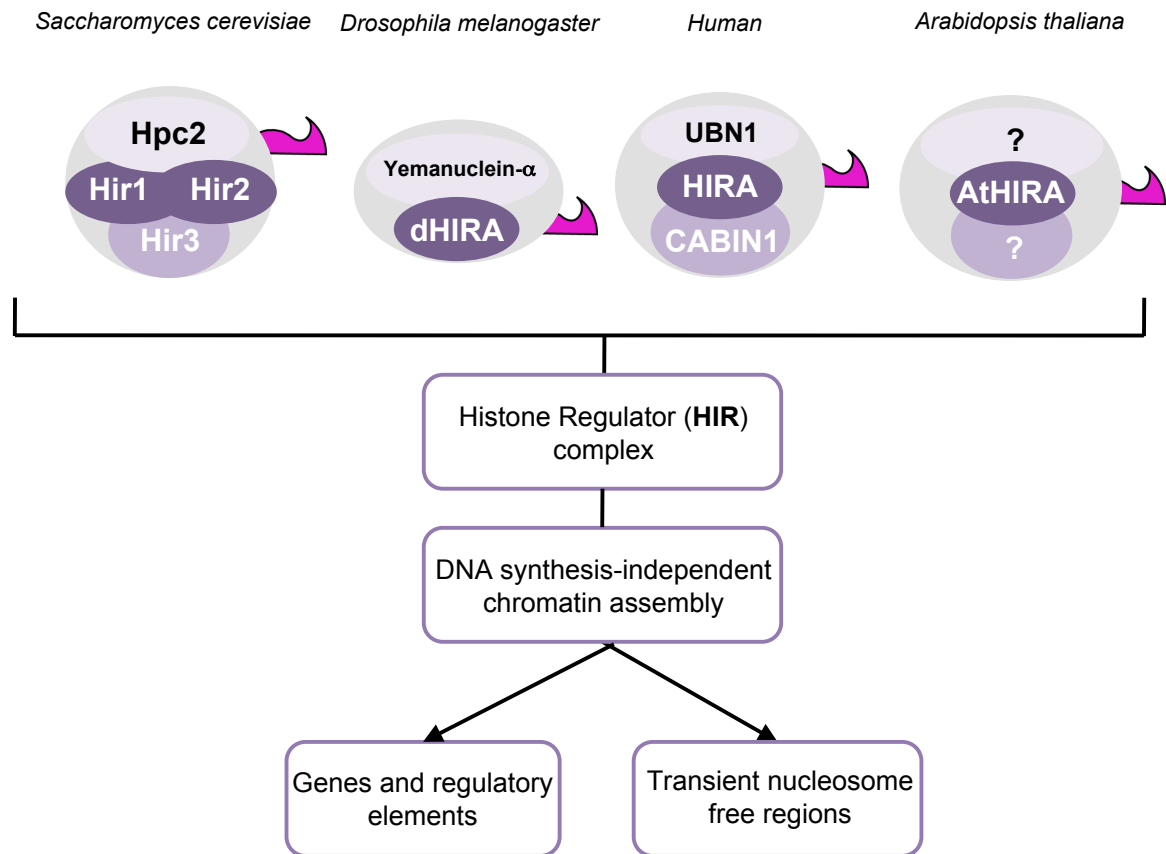
the complex molecular link between transcriptional silencing mechanisms and heterochromatin organization in the nucleus.

Endoreplication is a particular process in which the genome undergoes sequential rounds of DNA replication without a subsequent mitosis step, thus increasing nuclear DNA content. This phenomenon is of particular importance in plant cell differentiation and organogenesis since endoreplication favors cell expansion, cell differentiation and metabolic activity (Kondorosi et al., 2000; Edgar and Orr-Weaver, 2001; Larkins et al., 2001). Being a master regulator of DNA synthesis-coupled chromatin assembly, lack of CAF-1 may cause DNA damage and genome instability, stopping cell proliferation and ultimately leading to a premature switch to endoreplication which translates in shorter hypocotyls (Exner et al., 2006), reduction in leaf epidermal cell numbers, and increased cell size (Exner et al., 2006; Ramirez-Parra and Gutierrez, 2007a). Furthermore, plants lacking CAF-1 display hypersensitivity to DNA damage. *fas1* mutant plants show a basal increase in DNA double-strand break levels and higher somatic homologous recombination frequency (Endo et al., 2006; Kirik et al., 2006). This leads to the activation of the G2 DNA damage checkpoint, susceptible to promote endoreplication. Together, these observations argue for genome instability in plants lacking CAF-1, as a direct consequence of impaired chromatin assembly.

## 3.2. Histone Regulator (HIR) complex

### 3.2.1. Composition

The Histone Regulator (HIR) multimeric complex was first identified in the budding yeast *Saccharomyces cerevisiae* where it consists of the four subunits Hir1, Hir2, Hir3 and Hpc2, and functions as repressor of histone gene expression outside S phase (Osley and Lycan, 1987) (**Figure 28**). The importance of HIR is evident by its evolutionary conservation, and it has been described in diverse organisms. The ortholog of Hir1 and Hir2 is HIRA in *Drosophila* and mammals (**Figure 28**). In humans, UBINUCLEIN1 (UBN1) and the hypothetical protein FLJ25778 (also termed UBINUCLEIN2) are the orthologs of yeast Hpc2 (**Figure 28**). UBINUCLEIN1 is a ubiquitously expressed nuclear protein that binds to various transcription factors,



**Figure 28: Histone Regulator chaperone complex.**

HIR (Histone Regulator) chaperone is a multimeric complex first characterized in *Saccharomyces cerevisiae* where it consists of four subunits Hir1, Hir2, Hir3 and Hpc2. The *Drosophila* HIR complex consists of the proteins dHIRA and Yemanuclein- $\alpha$ . The human HIR complex is composed of the proteins HIRA, Ubinuclein1 and Cabin1. The HIR complex remains largely uncharacterized in *Arabidopsis thaliana* since only AtHIRA has been described. HIR functions involve DNA synthesis-independent chromatin assembly, required for chromatin remodeling at different genomic regions (adapted from Amin et al., 2012).

including EB1 and c-jun (Aho et al., 2000). Finally, Calcineurin-binding protein 1 (CABIN1) is the ortholog of Hir3. CABIN1 has been identified as a repressor of the transcription factor MEF2, which is activated by calcium signaling causing apoptosis in T-cells (Sun et al., 1998) (**Figure 28**). Activation of calcium signaling leads to dissociation of CABIN1 from MEF2, as a result of the binding of active calmodulin to CABIN1 (Youn et al., 1999). CABIN1 functions as a co-repressor of transcription by interacting with Sin3 and associated histone deacetylases (Balaji et al., 2009). All HIR subunits co-purify with epitope-tagged H3.3 or HIRA (Tagami et al., 2004; Drané et al., 2010; Ray-Gallet et al., 2011). HIRA seems to be central to the complex, as it mediates the binding to UBN1 *via* its N-terminal WD40 repeats (Balaji et al., 2009) and to CABIN1 *via* its Hira domain (Yang et al., 2011). HIRA further interacts with the small chaperone ASF1 through its conserved B domain (Tang et al., 2006). Moreover, all HIR complex members have the ability to interact directly with DNA (Ye et al., 2003; Ray-Gallet et al., 2011).

### 3.2.2. Functions

Evolutionary conservation of the HIR complex reveals its functional importance. HIR complex activity has been found in several organisms including budding yeast, fission yeast, *Drosophila*, *Xenopus*, fish, chicken, mice and human. HIRA remains the member the best described in the literature so far. Its specific ability to deposit H3-H4 was demonstrated *in vitro*. Experiments using *Xenopus laevis* egg extract showed the H3 chaperone activity of HIRA in the DNA synthesis-independent nucleosome assembly pathway (Ray-Gallet et al., 2002). While the study of the human complexes mediating nucleosome assembly showed that CAF-1 specifically interacts with H3.1, H3.3 was found to interact with HIRA (Tagami et al., 2004). Genome-wide deposition of H3.3 by HIRA has been extensively studied and showed that HIRA deposits H3.3 preferentially at promoters, gene bodies, and regulatory elements at which DNA is transiently free of nucleosomes due to transcription or remodeling (Loyola and Almouzni, 2007; Goldberg et al., 2010; Ray-Gallet et al., 2011; Schneiderman et al., 2012). Despite GFP-tagged HIRA localization to telomeres and pericentromeres (Wong et al., 2009), H3.3 specific

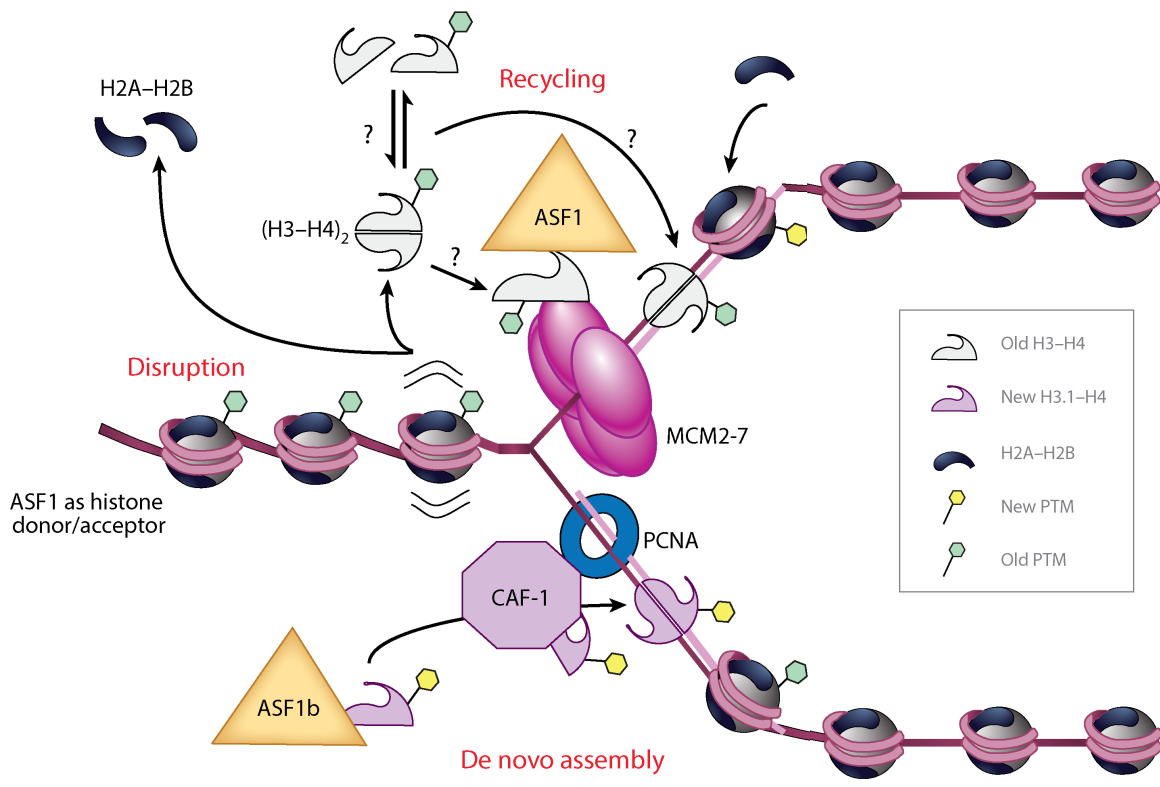




deposition at these sites is HIRA-independent in mouse embryonic stem cells (Goldberg et al., 2010; Lewis et al., 2010).

As observed for CAF-1, loss of HIRA is lethal in mammals, the embryo showing gastrulation defects and early embryonic lethality (Roberts et al., 2002). HIRA depletion in mouse embryonic stem cells causes reduced genome-wide H3.3 deposition (Goldberg et al., 2010) and downregulation of HIRA in *Xenopus* embryos causes gastrulation defects (Szenker et al., 2012). In contrast, *Drosophila* HIRA is not necessary for viability (Bonney et al., 2007). Surprisingly, HIRA mutants do not show global H3.3 deposition defects in *Drosophila* embryos or adult cells, suggesting that other chaperones accomplish H3.3 nucleosome assembly in its absence (Loppin et al., 2005; Bonney et al., 2007). HIRA and Yemanuclein- $\alpha$ , the *Drosophila* ortholog of UBINUCLEIN (Loppin et al., 2005; Bonney et al., 2007; Orsi et al., 2013) are in contrast absolutely required for chromatin assembly and H3.3 deposition during sperm nucleus decondensation after fertilization (Loppin et al., 2005; Bonney et al., 2007; Nakayama et al., 2007). In humans, CABIN1, UBN1 and UBN2 co-immunoprecipitate with H3.3 and HIRA, showing that the yeast HIR complex is conserved between organisms (Tagami et al., 2004; Balaji et al., 2009; Banumathy et al., 2009; Rai and Adams, 2012), implying that HIRA mediates H3.3 nucleosome assembly in a chaperone complex.

How much plants depend on HIRA for normal development, and how histones are assembled outside S phase in plants remain unclear and under debate. On the one hand, an ortholog of the metazoan HIRA protein, encoded by *AtHIRA* (At3g44530), was identified in *Arabidopsis* and its knockout was reported to be embryo lethal (Phelps-Durr et al., 2005). In addition, *AtHIRA* co-suppression lines displayed defects in developing leaves, which are thought to originate from defective silencing of the class I KNOX homeobox genes (Phelps-Durr et al., 2005; Guo et al., 2008). On the other hand, a loss-of-function mutant caused by another independent T-DNA insertion displayed no defects during vegetative growth or sexual reproduction (Ingouff et al., 2010). Taken together, the function of *AtHIRA* and the molecular consequences of its loss in *Arabidopsis* remain contradictory and it is therefore of particular interest to characterize the role of *AtHIRA* and the HIR complex in plant development and genome regulation.



**Figure 29: ASF1 is actively involved in maintenance of chromatin states during DNA replication.**

ASF1 participates in the transfer of parental H3-H4 from nucleosomes disrupted ahead of the replication fork to the new DNA strand to re-assemble nucleosomes. Evicted H3-H4 tetramers may proceed through a transient dimeric state to allow ASF1 binding. During recycling, ASF1 is targeted to the replication fork through the interaction between MCM2-7 helicases, and histones. During *de novo* chromatin assembly upon the passage of the replication fork, ASF1 transfers newly synthesized H3.1-H4 dimers to CAF-1 for incorporation. PTM = Post-translational modification (modified from Gurard-Levin et al., 2014).

### 3.3. Other histone H3.3 chaperones

In addition to the HIR complex, H3.3 is also found associated in assembly complexes with the Death domain-associated protein (DAXX) and the Alpha-thalassemia/mental retardation X-linked syndrome protein (ATRX), belonging to the SNF2-like ATP dependent chromatin remodeling factor family (Salomoni and Khelifi, 2006; Drané et al., 2010; Elsässer et al., 2012). DAXX has a H3.3 specific chaperone and chromatin assembly activity (Drané et al., 2010; Lewis et al., 2010) and interacts with ATRX (Xue et al., 2003). Contrary to HIRA, H3.3 deposition at genes and regulatory elements does not require DAXX/ATRX. Instead, DAXX deposits H3.3 at pericentromeric heterochromatin in mouse embryonic fibroblast (Drané et al., 2010) together with ATRX, which localizes to pericentromeric heterochromatin in HeLa cells (McDowell et al., 1999) and to telomeres in murine embryonic stem cells (Goldberg et al., 2010; Lewis et al., 2010). On a developmental point of view, ATRX is required for correct trophoblast development in mice (Garrick et al., 2006) and mice lacking DAXX die during early embryo development (Michaelson et al., 1999). DAXX is further found at the XY body during meiotic sex chromosome inactivation (Rogers et al., 2004). Interestingly, DAXX can bind H3.3 without ATRX, suggesting that ATRX might specify H3.3 targeting to defined and localized chromosome landmarks. Surprisingly, DAXX-deficient cells show association of CAF-1 with H3.3, suggesting that a replication-dependent pathway for H3.3 deposition can be used to bypass the loss of DAXX (Drané et al., 2010). The simultaneous deletion of *Drosophila* HIRA and XNP, the *Drosophila* homologue of ATRX causes lethality during larval development (Schneiderman et al., 2012). In summary, HIRA/CABIN1/UBN1 and DAXX/ATRX are two distinct replication-independent H3.3 nucleosome assembly complexes, thought to direct H3.3 deposition at separate chromatin domains.

DEK was initially defined as structurally unique component of metazoan chromatin (Kappes et al., 2001), maintaining heterochromatin structure by enhancing HP1 binding to H3K9me3 (Kappes et al., 2011). Analysis of the DEK-containing complexes in *Drosophila* and human cells revealed that DEK serves as histone chaperone facilitating H3.3 assembly (Sawatsubashi et al., 2010). Loss of DEK leads to a *Su(var)* phenotype, suggesting that DEK maintains identity of the heterochromatin / euchromatin boundaries regions (Kappes et al., 2011). Homologous proteins of mammalian DEK are annotated in the *Arabidopsis* genome.



### 3.4. Anti-Silencing Function 1 (ASF1)

ASF1 (Anti-Silencing Function 1) has been first described in yeast as alleviating transcriptional silencing of mating-type loci upon overexpression (Le et al., 1997). ASF1 is involved in regulation of transcription in yeast (Adkins and Tyler, 2004; Han et al., 2007; Mousson et al., 2007) and *Drosophila* (Moshkin et al., 2002; Goodfellow et al., 2007). *In vitro* replication-coupled nucleosome assembly assays described ASF1 as a H3-H4 chaperone facilitating CAF-1-dependent chromatin assembly (Tyler et al., 1999, 2001; Mello et al., 2002). In humans, there are two ASF1 isoforms, ASF1A and ASF1B, sharing 71% amino acid identity. Their highly conserved N-termini interact with the C-terminal helix of histone H3 (Munakata et al., 2000; Mousson et al., 2005; English et al., 2006; Natsume et al., 2007). In the cytoplasm, ASF1 proteins bind newly synthesized H3-H4 dimers and shuttle them to the sites of nucleosome assembly in the nucleus (Groth et al., 2007). ASF1 proteins also buffer the transient overaccumulation of histones as a result of replicational stress (Groth et al., 2005). ASF1 activity during replication can be separated in two distinct pathways. On the one hand, ASF1 provides new H3.1 to CAF-1 through interaction with the p60 subunit for replication-coupled nucleosome assembly downstream of the replication fork (Tyler et al., 1999, 2001; Krawitz et al., 2002; Mello et al., 2002; Groth et al., 2005; Sanematsu et al., 2006; Tang et al., 2006; Jasencakova et al., 2010) (**Figure 29**). On the other hand, ASF1 transfers parental H3-H4 dimers to the new DNA strand during replication fork progression through interaction with the Minichromosome Maintenance (MCM) helicases (Tagami et al., 2004; Groth et al., 2007) (**Figure 29**). These proteins unwind DNA and are critical for accessibility of the chaperones handling histones at the replication fork (Groth et al., 2007; Jasencakova et al., 2010). The expression of ASF1B, but not ASF1A, which is ubiquitously expressed, seems to be linked to the cell cycle since its levels fall about 5-fold upon the exit from the cell cycle (Corpet et al., 2011). Concomitantly, ASF1B is highly expressed in proliferative tissues, such as testes and tumors (Corpet et al., 2011).

In addition to its role facilitating CAF-1-directed H3.1 chromatin assembly, ASF1 co-purifies also with the replacement histone H3.3 (Tagami et al., 2004), and the different members of the HIR complex (Sharp et al., 2001; Green et al., 2005; Zhang et al., 2005; Tang et al., 2006; Malay et al., 2008). While CAF-1 interacts



indifferently with ASF1A and ASF1B, HIR displays preferential interaction with ASF1A. ASF1A interacts with HIRA through the recognition of its conserved N-terminus by the HIRA B domain (Daganzo et al., 2003; Tagami et al., 2004; Zhang et al., 2005). However, binding specificity of HIRA for ASF1A compared to ASF1B is driven by sequences flanking the core interaction domains of both proteins (Tang et al., 2006). This specificity of interaction suggests that ASF1A only is associated with H3.3 replication-independent chromatin assembly (Tang et al., 2006). In fission yeast, the HIRA/Asf1/H3-H4 complex is targeted to heterochromatin by HP1 and mediates, through the histone deacetylase Clr6, large-scale deacetylation of histones (Yamane et al., 2011).

The fact that both HIRA and CAF-1 p60 use similar motifs to bind ASF1 suggests a competition for this chaperone (Tang et al., 2006). In fact, the CAF-1 p60 C-terminus contains B domain-like motifs that resemble those in HIRA and recognize the same region of ASF1A, explaining why these two histone chaperones bind ASF1A in a mutually exclusive manner, as was recently confirmed in vertebrate cells (Tyler et al., 2001; Mello et al., 2002; Green et al., 2005; De Koning et al., 2007; Campos and Reinberg, 2010).

Chicken, *Drosophila* and human cells lacking simultaneously both ASF1 proteins exhibit stalling in S phase and problems in DNA replication leading to cell death (Groth et al., 2005; Sanematsu et al., 2006; Schulz and Tyler, 2006). Moreover depletion of ASF1 in yeast impairs S phase and provokes aberrant DNA unwinding due to defects in histone supply dynamics during DNA repair-associated chromatin assembly (Franco et al., 2005; Chen et al., 2008). Genome instability and DNA repair defects have been reported in yeast lacking ASF1 (Myung et al., 2003; Prado et al., 2004; Ramey et al., 2004). These defects can be overruled by introduction of human ASF1A, while normal growth and protection from replication stress is rescued by human ASF1B (Tamburini et al., 2005). This is coherent with mice lacking ASF1A showing embryonic lethality (Hartford et al., 2011), while ASF1B mutants are viable ([www.informatics.jax.org](http://www.informatics.jax.org)). This suggests that the two human ASF1 proteins have different functions regarding maintenance of chromatin integrity, possibly related to their specificity in interaction with either CAF-1 or HIR chromatin assembly complexes. Histone deposition by the HIR complex is severely impaired upon loss of interaction with ASF1 (Ray-Gallet et al., 2007). Conversely, deletion of any of the HIR complex subunits disrupts the binding to Asf1 in yeast (Green et al., 2005),



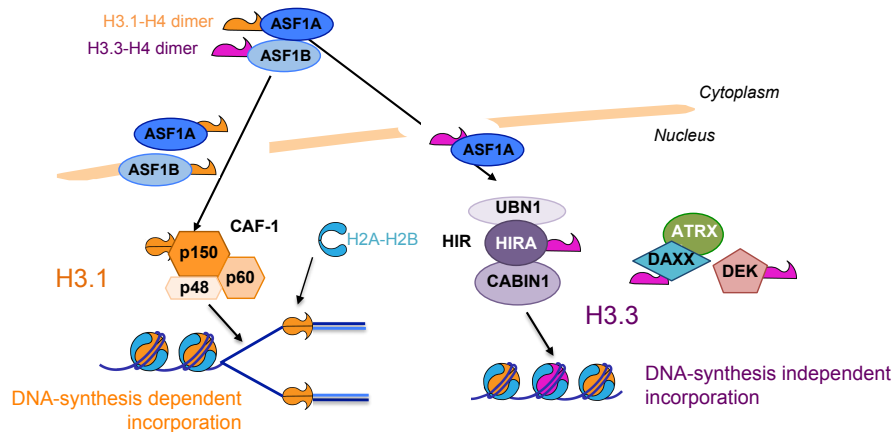
Complex	Gene	Gene ID
CAF-1	<i>FAS1</i>	At1g65470
	<i>FAS2</i>	At5g64630
	<i>MSI1</i>	At5g58230
HIR	<i>AtHIRA</i>	At3g44530
	<i>NCN1</i>	At1g77310
	<i>NCN2</i>	At1g21610
	<i>CBN</i>	At4g32820
	<i>AtASF1A</i>	At1g66740
	<i>AtASF1B</i>	At5g38110

**Table 2: H3 chaperone-encoding genes characterized in Arabidopsis.**

suggesting that binding activity of Asf1 to the HIR complex is important for chromatin assembly activity. Yeast lacking both Hir1 and Asf1 show decreased deposition of H3 and defects in telomere and mating-type loci silencing, but effects were comparable to those observed in single *asf1* or *hir1* mutants (Sharp et al., 2001). This suggests that both proteins belong to the same nucleosome assembly and transcriptional silencing pathway. Moreover, telomeric silencing is partially maintained in yeast lacking either CAF-1 or displaying a mutated PCNA form with low affinity for CAF-1, but totally alleviated upon Asf1 or Hir1 loss (Sharp et al., 2001), suggesting that CAF-1 and HIR/Asf1 are different yet functionally overlapping heterochromatin maintenance pathways in yeast.

In Arabidopsis, AtASF1A and AtASF1B are encoded by the paralogs *AtASF1A* (At1g66740) and *AtASF1B* (At5g38110) (Zhu et al., 2011). Both AtASF1A and AtASF1B are found in complex with H3, and they are expressed ubiquitously in different plant tissues with high levels of proliferation. Both proteins localize to the cytoplasm and the nucleus. *AtASF1A* and *AtASF1B* genes are targets of the E2F transcription factor; their expression is therefore linked to cell cycle progression. Indeed, based on the data obtained from synchronous Arabidopsis cell cultures (Menges et al., 2003), *AtASF1A* and *AtASF1B* expression peak in S phase. *AtASF1A* and *AtASF1B* transcription have recently been described to increase following UV-B treatment (Lario et al., 2013). The same study also shows that AtASF1A and AtASF1B interact with acetylated N-terminal H3 tails and associated histone acetyltransferases, suggesting that AtASF1A and AtASF1B participate in the regulation of genome structure and activity by modulating histone mark deposition. Furthermore, AtASF1A and AtASF1B participate in transcriptional activation of heat stress responsive *HEAT STRESS FACTOR* and *HEAT STRESS PROTEIN* genes by stimulating H3K56ac enrichment at these loci (Weng et al., 2014). Single mutants of either AtASF1A or AtASF1B show no obvious phenotypic defects, while plants lacking both proteins display impaired plant growth and abnormal vegetative and reproductive organ development (Zhu et al., 2011; Weng et al., 2014). Moreover, *atasf1ab* plants have reduced cell number, S phase delay and reduced endopolyploidy levels (Zhu et al., 2011). Overexpression of S phase checkpoint (G2 to M transition) specific genes was observed in loss-of-function mutants. Absence of both AtASF1A and AtASF1B results in increased levels of DNA damage due to replication fork stalling despite activation of the DNA damage checkpoint and

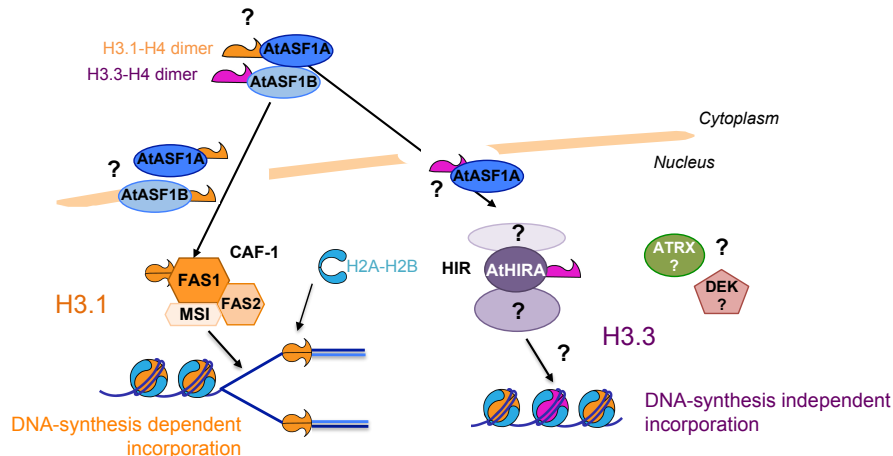
In mammals



**Figure 30: Schematic representation of the different histone H3-H4 assembly networks as known in mammals.**

Histone supply, buffering and dynamics are finely tuned *in vivo* by a complex network of histone chaperones. Chaperones direct the assembly of histones to an appropriate genomic region. The small chaperone ASF1 binds histone H3-H4 dimers in the cytoplasm and is involved in their transport into the nucleus. ASF1A and ASF1B hand over H3.1-H4 to CAF-1, which will deposit the canonical H3.1 in a DNA synthesis-dependent manner during replication and repair. In contrast, only ASF1A hands over H3.3-H4 to the HIR complex, which deposits H3.3 in a DNA synthesis-independent manner at genes and regulatory regions. Other H3.3 chaperones such as DEK and DAXX/ATRX deposit H3.3 at other genomic regions such as pericentromeric heterochromatin and telomeres.

In Arabidopsis



**Figure 31: Schematic representation of the different histone H3-H4 assembly networks as known in Arabidopsis.**

Contrary to its mammalian counterpart, the histone H3-H4 assembly network remains poorly described in Arabidopsis. The Arabidopsis genome encodes two ASF1 orthologs (Zhu et al., 2011) and the well-described CAF-1 complex (Kaya et al., 2001). The HIR subunit AtHIRA has also been described. Whether other replacement histone chaperone complexes exist and whether the different chaperone complexes show a clear specificity for histone H3 variants as it is the case in mammals remains to be determined.

associated repair genes of the homologous recombination pathway. In addition, plants with decreased levels of both AtASF1A and AtASF1B display reduced DNA damage response after UV-B treatment (Lario et al., 2013). The gene duplication leading to *AtASF1A* and *AtASF1B* in plants appears relatively recent compared to other eukaryotes (Zhu et al., 2011), questioning whether there is subfunctionalization of the different Arabidopsis ASF1 proteins. To date, we have no evidence arguing for a specific binding to canonical or H3 variants or linking the different phenotypes observed in the mutants to a preferential interaction with either CAF-1 or HIR chromatin assembly pathways.

As a summary, histone chaperones are key regulators of all facets of histone metabolism since they finely tune the supply and dynamics of histones for chromatin assembly and disassembly. Correct genomic and timely distribution of canonical and histone variants defines distinct chromatin landscapes that impact directly genome activity, stability, and cell identity (**Figure 30**). Interestingly, these networks are well conserved between species, but remain largely unknown in the plant model Arabidopsis (**Figure 31**).

H3 chaperone-encoding genes characterized in Arabidopsis are listed in **Table 2**.



# ***Objectives***



Establishment of chromocenters compartmentalizes heterochromatin away from the rest of the genome and is thought to contribute to transcriptional repression by creating a high local concentration of silencing factors (Almouzni and Probst, 2011). How the organization of heterochromatin into chromocenters is achieved during development and subsequently maintained is a critical question regarding eukaryotic genome integrity. Since histones are an evolutionary highly conserved set of proteins that play a central role in the functional organization of eukaryotic DNA into chromatin, they are likely candidates to play a role in this process. The plant model *Arabidopsis* offers a unique system to gain insight into the role of histone dynamics in heterochromatin organization, given that mutants deficient in histone chaperones or chromatin modifiers are viable and that dynamics of higher-order heterochromatin organization in chromocenters can be easily followed cytologically.

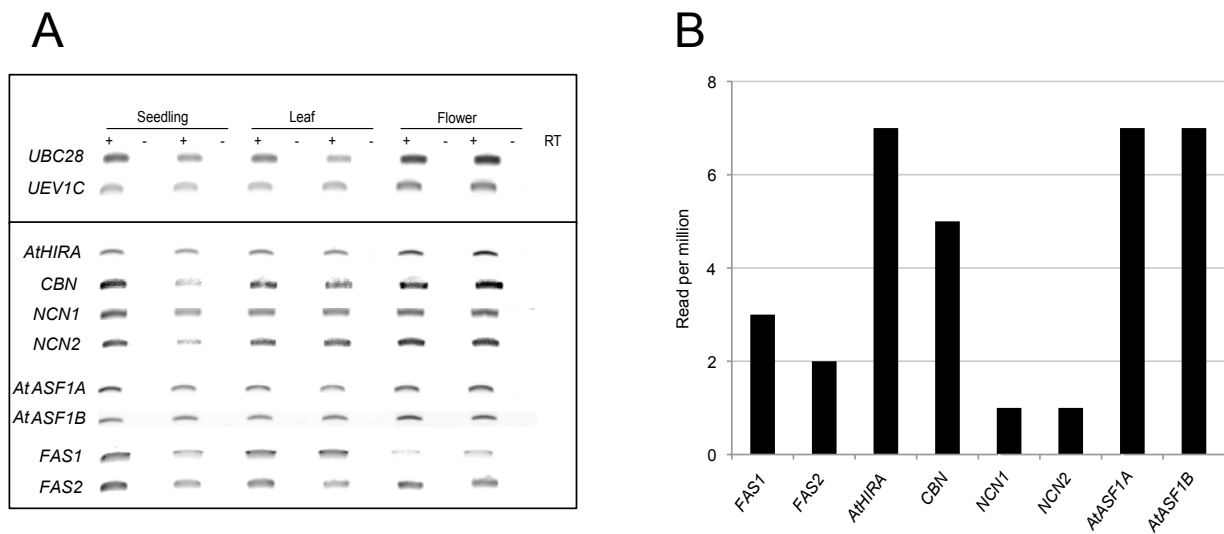
This thesis aimed to contribute to our understanding of the mechanisms and the molecular players involved in heterochromatin dynamics. I focused on the role of histone H3 variants as well as the network of their specific histone chaperones. My work is based on the hypothesis that proper chromatin assembly of histone variants and the consecutive setting of specific histone post-translational modifications contributes to the progressive establishment of distinct chromatin features at genes and repetitive sequences and subsequently the formation of specific higher-order structures such as chromocenters. Different mechanisms might be involved in the formation of higher-order structures *versus* their maintenance. It is therefore critical to understand how histones are handled, deposited and modified during different developmental stages as well as to enlighten how the tight interrelationship between histone variants and post-translational modifications contributes to the formation of chromocenters.

This work, requiring the characterization of a repertoire of *Arabidopsis* mutants for histone H3 variants and chaperones, together with the creation of lines expressing epitope-tagged version of the H3 variants (**Chapter I**), was driven by two objectives: (i) to gain insight into the handling of histone H3 by specific histone chaperones in plants together with the genetic interaction between the different chaperone pathways and the role of histone dynamics in maintenance of chromatin organization (**Chapter II**), and (ii) to understand how chromatin assembly of specific H3 variants and their dedicated set of post-translation modifications contribute to the formation of chromocenters during post-germination development (**Chapter III**).





# ***Results***



**Figure 32: H3 chaperone-encoding genes are ubiquitously expressed.**

A. RT-PCR analysis of *AtHIRA*, *CBN*, *NCN1*, *NCN2*, *AtASF1A*, *AtASF1B*, *FAS1* and *FAS2* expression in 10-day-old WT seedlings, rosette leaves and flowers.

B. Transcript levels of *FAS1*, *FAS2*, *AtHIRA*, *CBN*, *NCN1*, *NCN2*, *AtASF1A* and *AtASF1B* as determined by Direct RNA Sequencing of RNA from 2-week-old seedlings (from Sherstnev et al., 2012).

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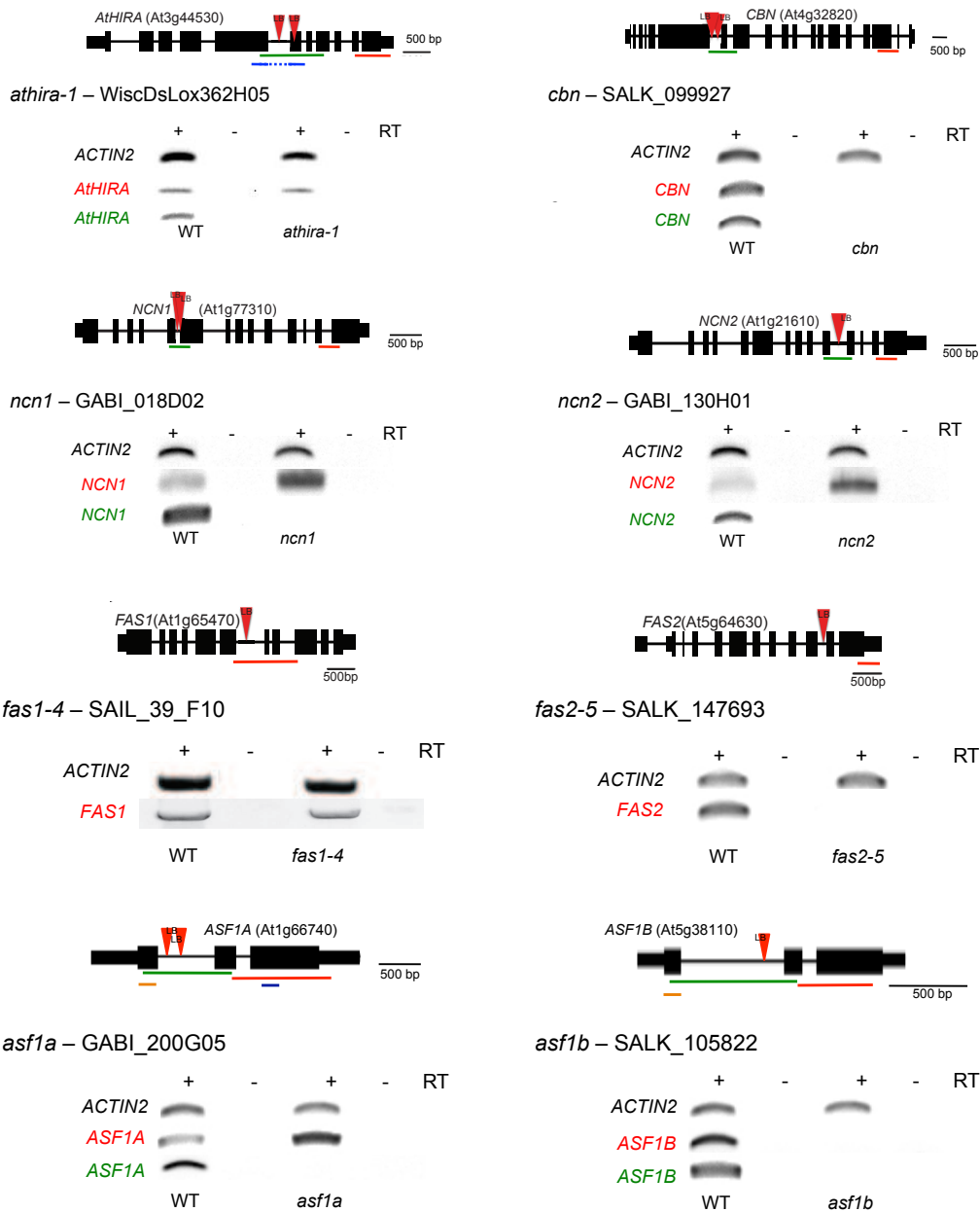
## **Chapter I: Characterization and functional analysis of H3.1, H3.3 and associated histone chaperones in Arabidopsis**

### **1.1. Characterization of Arabidopsis histone H3 chaperones**

Histones are important structural components of chromatin and carriers of epigenetic information. Proper handling of histones during their cellular life is therefore required for correct genome function and is ensured by a network of histone chaperones. The role of histone H3 chaperones in chromatin dynamics is so far poorly understood in Arabidopsis and research has focused nearly exclusively on the CAF-1 complex. While the roles of several histone chaperones in other organisms are well described, the function of the plant homologs may not necessarily be conserved and developmental stage-specific roles or different requirements in response to the dynamic need of chromatin reorganization can be expected. To get insights into the Arabidopsis histone H3 chaperone network I first focused on the expression patterns of different genes encoding subunits of the H3 chaperone complexes that were previously identified (*AtASF1A*, *AtASF1B*, *FAS1*, *FAS2* and *AtHIRA*). We also identified homologs of the other mammalian and yeast HIR complex subunits by BLAST analysis, which we termed NUCLEIN1 (NCN1), NUCLEIN2 (NCN2) AND CABIN (CBN). I then characterized Arabidopsis mutants for the different chaperone proteins to investigate their implication in chromatin dynamics and maintenance.

#### **1.1.1. Study of expression of H3 chaperone-encoding genes**

I first analyzed transcript levels of the different chaperone-encoding genes and tested if some of them display tissue-specific expression patterns (**Figure 32A**). To do so, I performed reverse transcription on total RNA extracted either from 10-day-



**Figure 33: Validation of H3 chaperone mutants.**

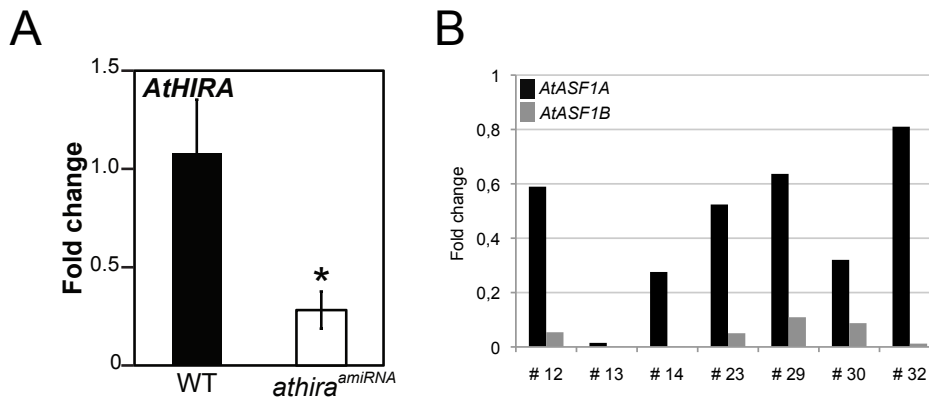
Structure of H3 chaperone gene loci. Exons are denoted by rectangles, UTR by adjoining narrower rectangles and introns by lines. T-DNA insertion sites are displayed by red triangles. Orientation symbolized by the left border (LB) of the T-DNA. Absence of full-length transcripts is revealed by RT-PCR using primers spanning the T-DNA insertion site (green). Primers located in the 3' region (red) of the transcript reveal partial transcripts in certain mutants. Sequence targeted by an artificial miRNA in *AtHIRA* and *AtASF1A* transcripts is indicated by a blue line. Another single artificial miRNA further targets both *AtASF1A* and *AtASF1B* transcripts (orange).

old seedlings, rosette leaves or flowers. Transcript levels of the different chaperone-encoding genes were determined by semi-quantitative PCR using specific primers (**Table 4** - Material and Methods). This analysis revealed a ubiquitous expression of all H3 chaperones tested in seedlings, leaves and flowers (**Figure 32A**).

The broad pattern of expression of H3 chaperones was further confirmed by Direct RNA Sequencing (DRS) data of 2-week-old seedlings (Sherstnev et al., 2012), detecting transcripts from HIR members-encoding genes, as well as both CAF-1 subunits FAS1 and FAS2 together with AtASF1A and AtASF1B (**Figure 32B**). Altogether, these data revealed broad expression of H3 chaperone genes.

### 1.1.2. Analysis of the role of the H3 chaperones *in vivo*

To gain insight into the roles of Arabidopsis H3 chaperones in chromatin establishment and maintenance during plant development, I used Arabidopsis mutant lines for the different H3 chaperone-encoding genes. Two strategies were used: (i) the characterization of available T-DNA insertion lines and (ii) the creation of lines in which selected chaperone transcripts are targeted by specific artificial miRNA (Schwab et al., 2006; Ossowski et al., 2008). I took benefit of the large collection of T-DNA insertion mutant lines available at the European Arabidopsis Stock Center (NASC, <http://arabidopsis.info>) to test lines displaying T-DNA insertions in genes encoding H3 chaperones. Plants homozygous for the T-DNA insertion within the gene of interest were identified by genotyping using PCR. In order to determine whether the T-DNA insertion affects the transcription of the gene, I performed reverse transcription on total RNA extracted from plants homozygous for the T-DNA insertion in the gene of interest, followed by PCR using primers located either at the 3' end of the transcript or spanning the T-DNA insertion site (**Figure 33**). Both approaches were necessary since, in some cases (*AtASF1A*, *AtHIRA*, *NCN1* and *NCN2*), the primers located in the 3' end detected a transcript, likely a truncated non-functional and aberrant transcript that might originate from promoters contained within the T-DNA, while we showed the absence of full-length transcripts using T-DNA-spanning primers. While it was known that mutants in the genes encoding the two large subunits of the CAF-1 complex were viable, at the beginning of our study we did not know what phenotype to expect for plants missing the small AtASF1

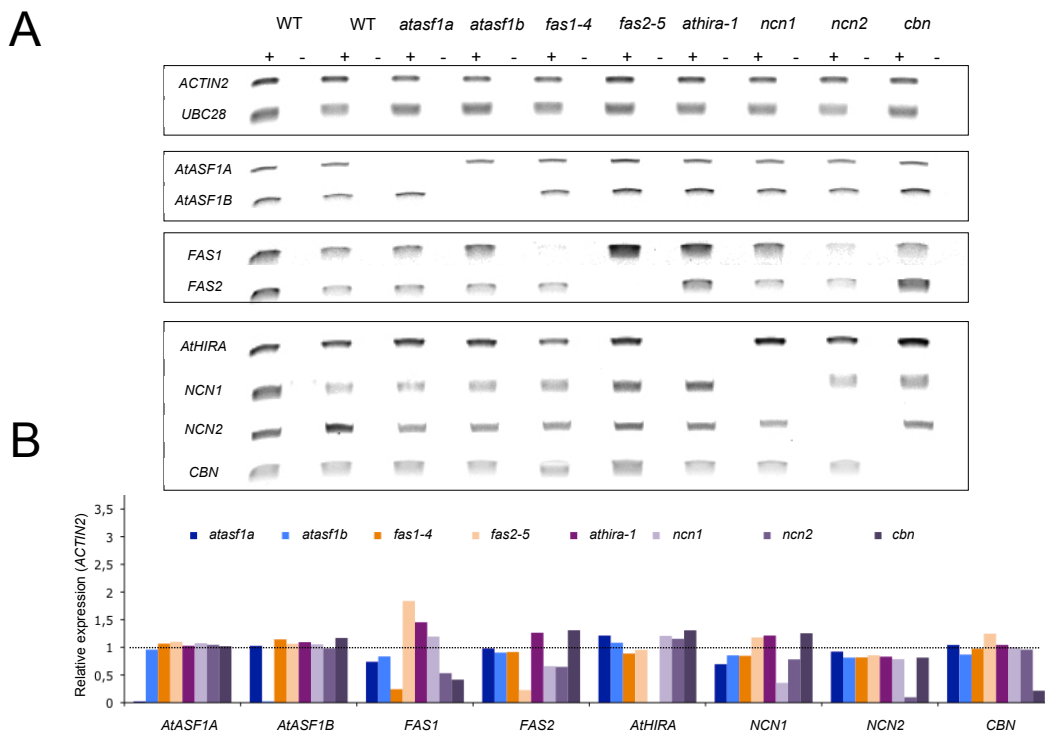


**Figure 34: Artificial miRNA efficiently interfere with *AtHIRA*, *AtASF1A* and *AtASF1B* transcripts.**

A. RT-qPCR analysis of *AtHIRA* expression in the *athira* amiRNA line. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression.

\*  $p < 0.05$ ; Student's t-test.

B. Semi-quantitative RT-PCR analysis of *AtASF1A* and *AtASF1B* expression in the amiRNA line targeting simultaneously both *AtASF1A* and *AtASF1B* transcripts. Histograms show transcript levels for *AtASF1A* (black) and *AtASF1B* (grey) from individual *atasf1ab* amiRNA T1 lines identified on the x-axis from one PCR amplification relative to WT (set to 1) after normalization to *ACTIN2* transcript levels.



**Figure 35: Loss of H3 chaperones does not lead to changes in transcription of other chaperone genes.**

A. RT-PCR analysis of *AtASF1A*, *AtASF1B*, *FAS1*, *FAS2*, *AtHIRA*, *NCN1*, *NCN2* and *CBN* expression in 10-day-old mutant seedlings lacking different H3 chaperones.

B. Quantification of *AtASF1A*, *AtASF1B*, *FAS1*, *FAS2*, *AtHIRA*, *NCN1*, *NCN2* and *CBN* expression by semi-quantitative RT-PCR relative to *ACTIN2* and *UBC28* in 10-day-old mutant seedlings lacking different H3 chaperones.

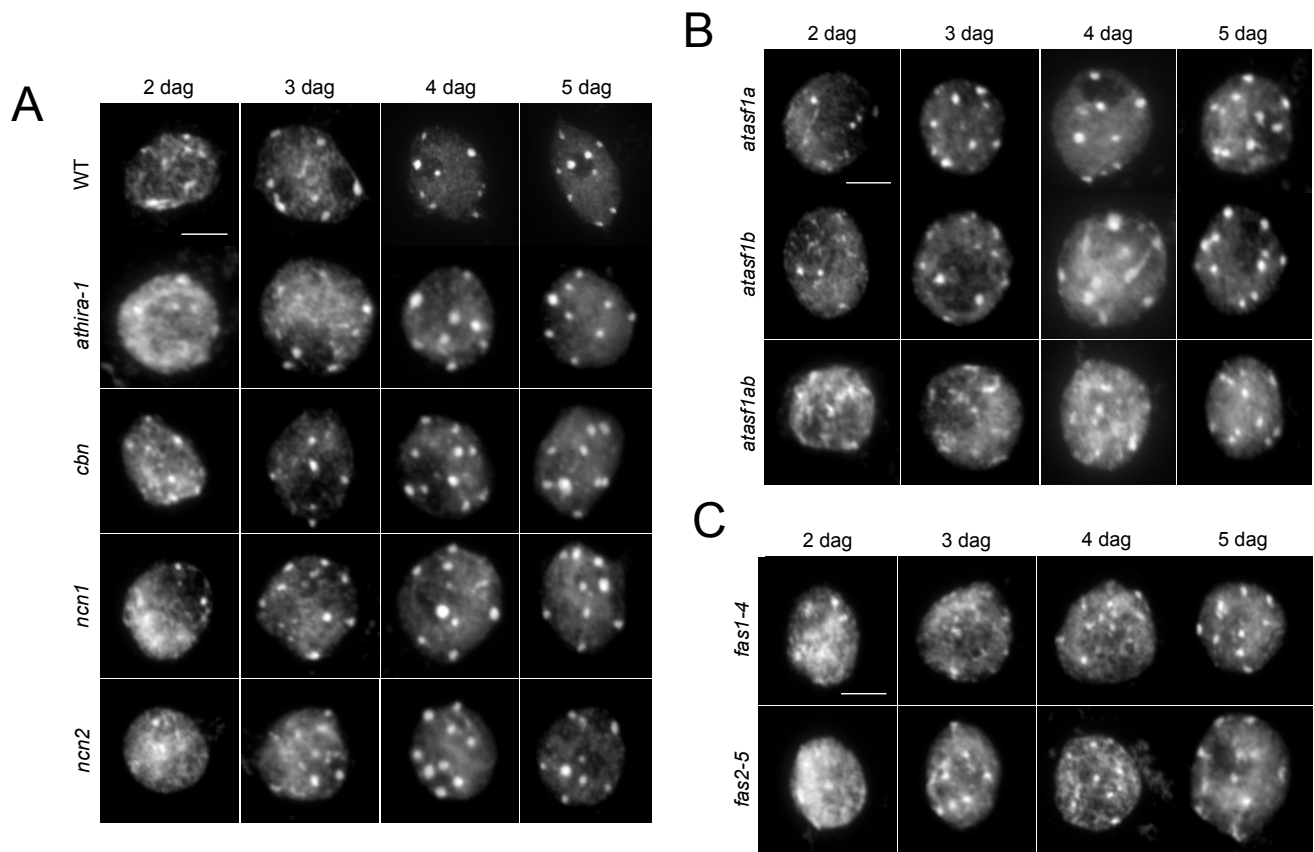
chaperones or the HIR complex. Indeed, the simultaneous deletion of both *AtASF1A* and *AtASF1B* proteins causes severe developmental phenotypes (Zhu et al., 2011), and a T-DNA insertion in the 5' end of the *AtHIRA* gene was reported to cause lethality (Phelps-Durr et al., 2005). For this reason I led in parallel the generation of lines expressing artificial miRNA targeting the mRNA of *AtHIRA*, *AtASF1A* and *AtASF1B*. This approach has the advantage to recover lines with distinct degrees of transcript interference and allowed me to target simultaneously different members of a multigenic family. Transcription of the artificial miRNA constructs is under control of the strong viral 35S promoter known to drive high and constitutive expression during *Arabidopsis* development. The level of interference with the target mRNA was monitored by RT-PCR and a significant decrease in transcript levels was observed, as exemplified for *AtHIRA* (**Figure 34A**), *AtASF1A* and *AtASF1B* (**Figure 34B**). The artificial miRNA construct targeting both *AtASF1A* and *AtASF1B* transcripts was found to be more effective on the latter.

In order to check potential compensation of the loss of one chaperone by increased transcription of other chaperone-encoding genes, I tested by semi-quantitative RT-PCR the expression of the different chaperones in plants with T-DNA insertions in the *AtASF1A*, *AtASF1B*, *FAS1*, *FAS2*, *AtHIRA*, *NCN1*, *NCN2* and *CBN* genes (**Figure 35A**). This revealed that the transcript levels of the other H3 chaperones remain broadly unchanged in case of loss of a certain chaperone (**Figure 35B**). Small but biologically significant effects can however be envisaged and would require more precise analysis by quantitative PCR. I further generated double H3 chaperone mutants by crossing single mutant lines. Notably, *atasf1ab*, *athira-1 fas1* and *athira-1 fas2* mutants showed interesting phenotypes and will be characterized further in this manuscript. The exhaustive list of H3 chaperone mutant lines used during this thesis can be found in **Table 3**.

### 1.1.3. Functional analysis of histone H3 chaperones and impact on chromatin organization

Heterochromatin organization is highly dynamic during early post-germination development (Mathieu et al., 2003; Douet et al., 2008). After seed germination, which is associated with heterochromatin decompaction, the cotyledons formed during



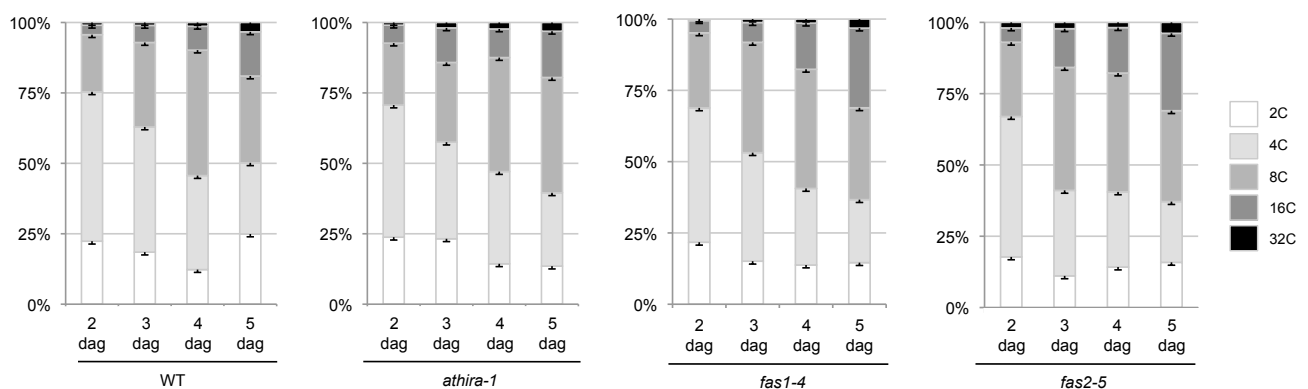


**Figure 36: Chromocenter formation is impaired in absence of CAF-1, AtASF1A and AtASF1B while loss of HIR members has no effect.**

A. Representative nuclear spreads from WT, *athira-1*, *cbn*, *ncn1* and *ncn2* cotyledons aged 2, 3, 4 and 5 dag. DNA is counterstained with DAPI. Scale bar: 5  $\mu$ m.

B. Representative nuclear spreads from *ataf1a*, *ataf1b* and *ataf1ab* cotyledons aged 2, 3, 4 and 5 dag. DNA is counterstained with DAPI. Scale bar: 5  $\mu$ m.

C. Representative nuclear spreads from *fas1-4* and *fas2-5* cotyledons aged 2, 3, 4 and 5 dag. DNA is counterstained with DAPI. Scale bar: 5  $\mu$ m.

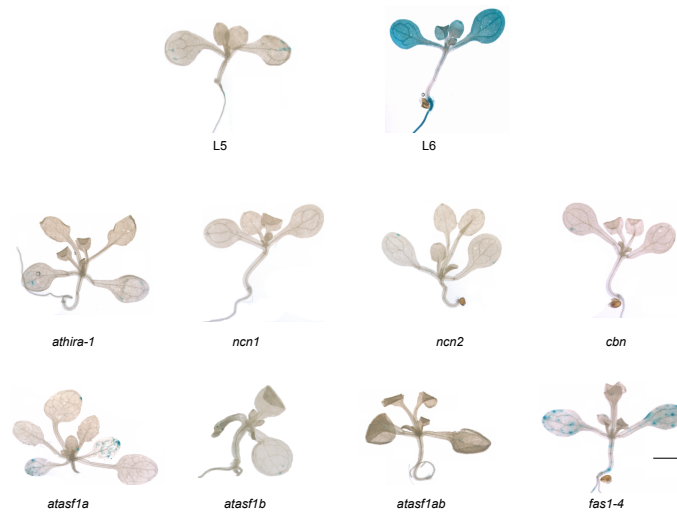


**Figure 37: Plants lacking CAF-1 display precocious switch to endoreplication and increased polyploid nuclei content.**

Ploidy distribution of WT, *athira-1*, *fas1-4* and *fas2-5* cotyledon nuclei aged 2, 3, 4 and 5 dag. Average values of each nuclear DNA content class  $\pm$  SD obtained for two independent experiments are presented.

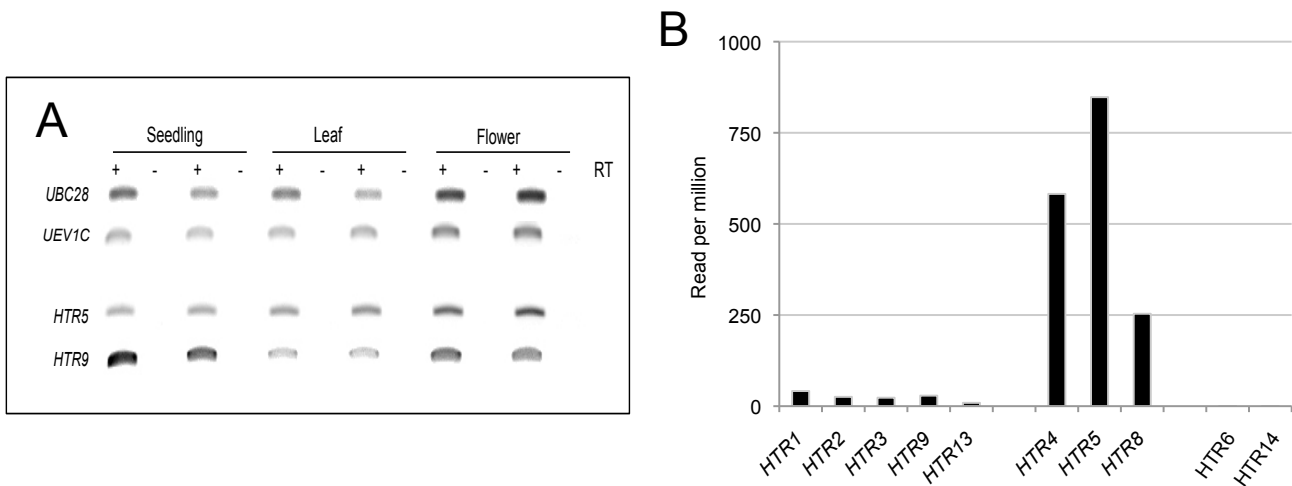
embryogenesis start to expand. Between 2 and 5 dag in cotyledons, heterochromatin clusters progressively in conspicuous chromocenters. I investigated the impact of chromatin assembly on this process. To this aim, I isolated cotyledon nuclei from *fas1*, *fas2*, *athira*, *ncn1*, *ncn2*, *cbn*, *atasf1a*, *atasf1b* and *atasf1ab* mutant plants at 2, 3, 4 and 5 dag. Chromatin organization was revealed by DAPI staining on spread nuclei. This analysis showed that the establishment of chromocenters remained largely unaffected by the loss of HIR complex members (AtHIRA, NCN1, NCN2 and CBN) compared to the WT, exemplified by the detection of nine well-defined chromocenters in cotyledon nuclei at 5 dag (**Figure 36A**). Similarly in single *atasf1a* and *atasf1b* mutants, the kinetics of chromocenter formation is largely maintained (**Figure 36B**). In contrast, nuclei isolated from cotyledons of plants lacking both AtASF1A and AtASF1B showed defects in chromocenter formation, particularly obvious in cotyledon nuclei at 4 and 5 dag (**Figure 36B**). Interestingly, *atasf1ab* chromocenters appeared smaller than the WT even at 5 dag and would require further characterization. In parallel, loss of CAF-1 subunits FAS1 and FAS2 strongly impaired chromocenter establishment (**Figure 36C**). Following this initial observation, I carried out deeper cytological and molecular analysis to understand the involvement of CAF-1 in chromocenter formation. The results are presented in **Chapter II**. It is interesting to note that the pattern of decompaction of chromocenters at 2 dag in cotyledons achieved during germination is similar in the WT and in the different H3 chaperone mutants analyzed, suggesting that chromocenter decondensation upon germination is independent of these chromatin assembly pathways.

The switch from the mitotic cycle to the endocycle is important for cell expansion and cell differentiation in cotyledons (Ramirez-Parra and Gutierrez, 2007a; De Veylder et al., 2011; Edgar et al., 2014). Previous studies have shown a premature switch to the endocycle in *fas* mutants (Ramirez-Parra and Gutierrez, 2007a). I therefore wanted to know to which extent the absence of histone H3 chaperones impacts the transition from the mitotic cycle to the endocycle in cotyledons in my experimental setup. In WT cotyledons at 2 dag, the majority of nuclei are 2C and 4C, with less than 25% of polyploid nuclei. The number of polyploid nuclei increases progressively at 3 dag until 4 and 5 dag where the 8C, 16C and 32C fraction increases up to 50%. Analysis of ploidy levels in *athira* cotyledons from 2 to 5 dag revealed no difference compared to the WT (**Figure 37**). In contrast, plants lacking FAS1 or FAS2 displayed an early switch to the endocycle, exemplified



**Figure 38: Transcriptional gene silencing at the multicopy GUS locus is maintained in all H3 chaperone mutants tested except *fas1-4* mutants.**

Two-week-old plants of WT, *athira-1*, *ncn1*, *ncn2*, *cbn*, *atasf1a*, *atasf1b*, *atasf1ab* and *fas1-4* plants carrying the silent multicopy GUS locus from the L5 line. The L6 line, displaying constitutive expression of the GUS gene, is used as positive control. Scale bar: 2 mm.



**Figure 39: H3.1- and H3.3-encoding genes are ubiquitously expressed.**

A. RT-PCR analysis of *HTR9* and *HTR5*, representative H3.1- and H3.3-encoding genes respectively, in 10-day-old WT seedlings, rosette leaves and flowers.

B. Transcripts levels of *HTR1*, *HTR2*, *HTR3*, *HTR9*, *HTR13*, *HTR4*, *HTR5*, *HTR8*, *HTR6* and *HTR14* as determined by DRS (from Sherstnev et al., 2012).

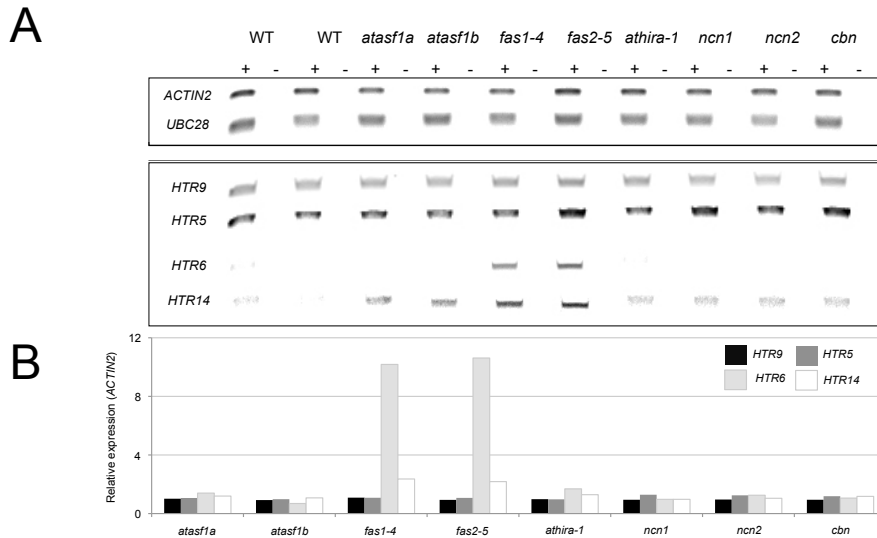
by the increased percentage of 8C nuclei at 3 dag compared to WT. Such shift in the endocycle progression is further found at 5 dag where both *fas1* and *fas2* cotyledons display a higher number of 16C nuclei compared to WT (**Figure 37**). Consistent with an early switch to endoreplication and cell expansion, *fas1* and *fas2* cotyledons display increased size compared to WT and *athira*. However, the role of CAF-1 in this process is complex and not well understood, but the switch to the endocycle could be the consequence of the resulting DNA damage (Ramirez-Parra and Gutierrez, 2007a). Furthermore, as an outcome of its DNA synthesis-dependent chromatin assembly activity, absence of CAF-1 is thought to interfere with correct processing of the replication fork and transmission of epigenetic marks.

I further investigated the capacity of the chaperone mutant plants to maintain transcriptional gene silencing of repetitive sequences in the different plant tissues. To this aim I introduced line L5, which carries a transcriptionally silent GUS transgene under control of a 35S promoter (Elmayan et al., 2005) by crossing into individual chaperone mutant lines. Blue staining revealed by the GUS tissue assay is readout for release of gene silencing. The level and pattern of GUS staining were compared to *fas1* mutant plants, which have previously been reported to release transcriptional silencing at the GUS locus (Ono et al., 2006). This qualitative study revealed that transcriptional silencing of the GUS transgene is mostly unaffected by the loss of the different chaperones exemplified by no or very low staining of plantlets at 10 dag (**Figure 38**). Further analyses on the ability of plants lacking HIR complex members to maintain transcriptional gene silencing are presented in **Chapter II** of this manuscript.

## 1.2. Characterization of Arabidopsis histone H3 variants

### 1.2.1. Study of expression of H3 variant-encoding genes

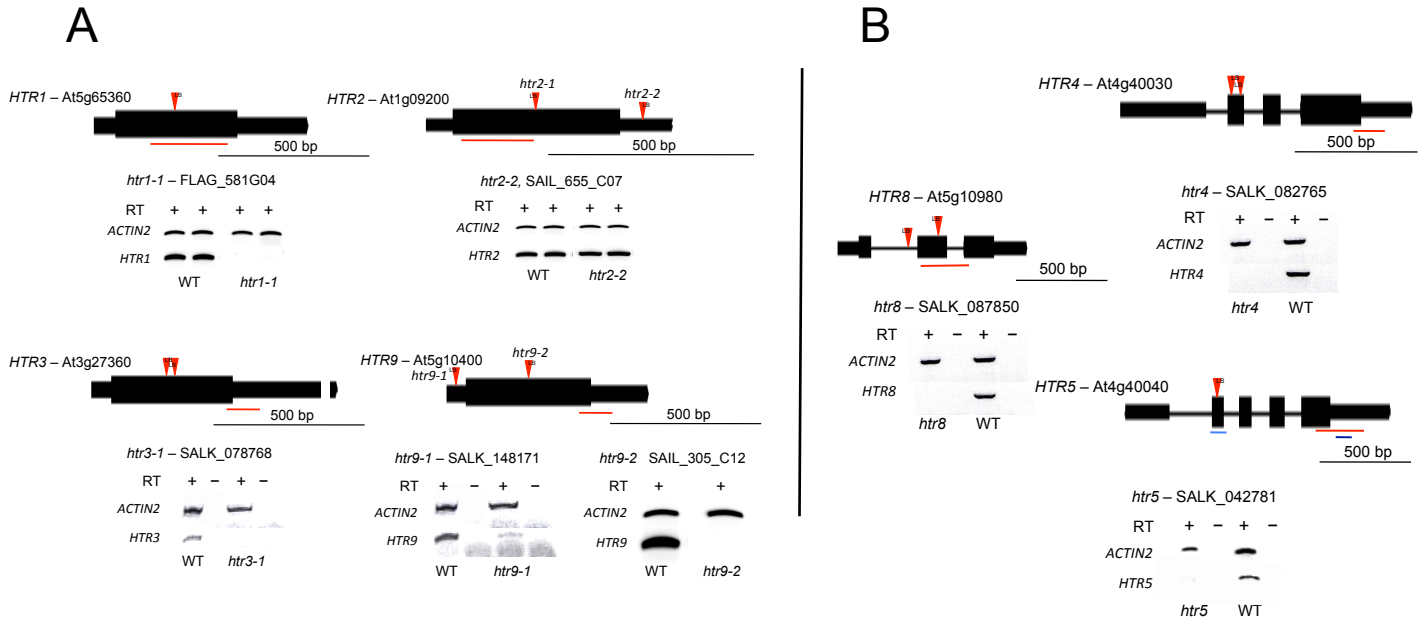
I first checked the expression of H3.1- and H3.3-encoding genes in different plant tissues (**Figure 39A**). RT-PCR analysis showed that *HTR9* and *HTR5*, chosen as representative H3.1- and H3.3-encoding genes respectively, were ubiquitously expressed in the tissues tested. DRS data obtained from 2-week-old seedlings



**Figure 40: H3.1- and H3.3-encoding genes remain unaffected by loss of H3 chaperones, while H3.3-like genes are overexpressed in CAF-1 mutants.**

A. RT-PCR analysis of *HTR9* and *HTR5*, representative H3.1- and H3.3-encoding genes respectively, as well as *HTR6* and *HTR14* encoding H3.3-like histones, in 10-day-old WT and mutant seedlings lacking different H3 chaperones.

B. Quantification of *HTR9*, *HTR5*, *HTR6* and *HTR14* expression in 10-day-old seedlings lacking different H3 chaperones relative to WT (set to 1) and normalized to *ACTIN2* expression.



**Figure 41: Validation of T-DNA insertion mutants for H3.1- and H3.3-encoding genes.**

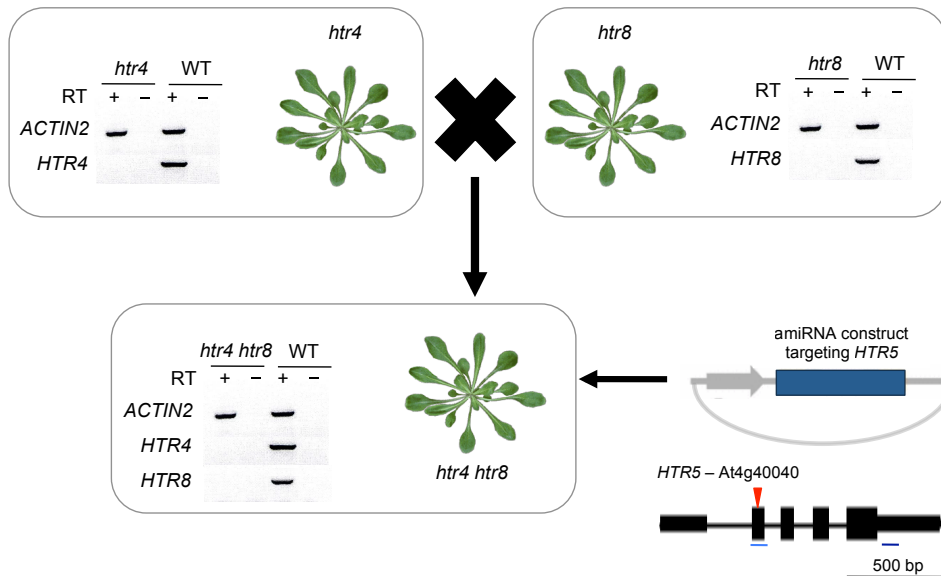
Structure of H3.1 (A) and H3.3 (B) histone gene loci. Exons are denoted by rectangles, UTR by adjoining narrower rectangles and introns by lines. T-DNA insertion sites are displayed by red triangles. Orientation symbolized by the left border (LB) of the T-DNA. Interference with the full-length transcript is revealed by RT-PCR using primers amplifying the genomic region identified by a red line. *HTR5* transcripts are further independently targeted by two different artificial miRNA (light blue and dark blue).

(Sherstnev et al., 2012) revealed elevated transcript levels for the H3.3-encoding genes *HTR4*, *HTR5* and *HTR8* compared to the H3.1-encoding genes *HTR1*, *HTR2*, *HTR3*, *HTR9* and *HTR13* (**Figure 39B**). Meanwhile, no transcripts originating from genes encoding H3.6 and H3.14 belonging to the H3.3-like class were detected in this tissue. Based on this experiment, H3.3 genes would be more expressed at this developmental stage than H3.1. More detailed analysis comparing different developmental stages are ongoing to determine whether expression of canonical and H3 variants are dynamic during development.

Since histone assembly is finely tuned *in vivo* by histone chaperones, I wondered if the transcription of histone H3-encoding genes is modified upon loss of histone chaperones. To test this, I checked by semi-quantitative RT-PCR the expression of *HTR9*, *HTR5*, *HTR6* and *HTR14* in different chaperone mutant contexts (**Figure 40A**). While *HTR9* and *HTR5* expression remained unchanged despite loss of the chaperones, plants lacking *FAS1* and *FAS2* exhibit strong increase in *HTR6* expression, and in a lower extent *HTR14* expression (**Figure 40B**). This has been described previously (Schönrock et al., 2006), but it appears here for the first time that loss of *FAS1* or *FAS2* specifically impacts expression of the H3.3-like genes and not H3.3 variants exemplified by *HTR5*. Origins and consequences of the overexpression of *HTR6* and *HTR14* still remain elusive but may suggest mobilization of a new and CAF-1 independent pathway upon disruption of replication-dependent chromatin assembly. To date, the histone chaperones mediating H3.6 and H3.14 deposition are still unknown.

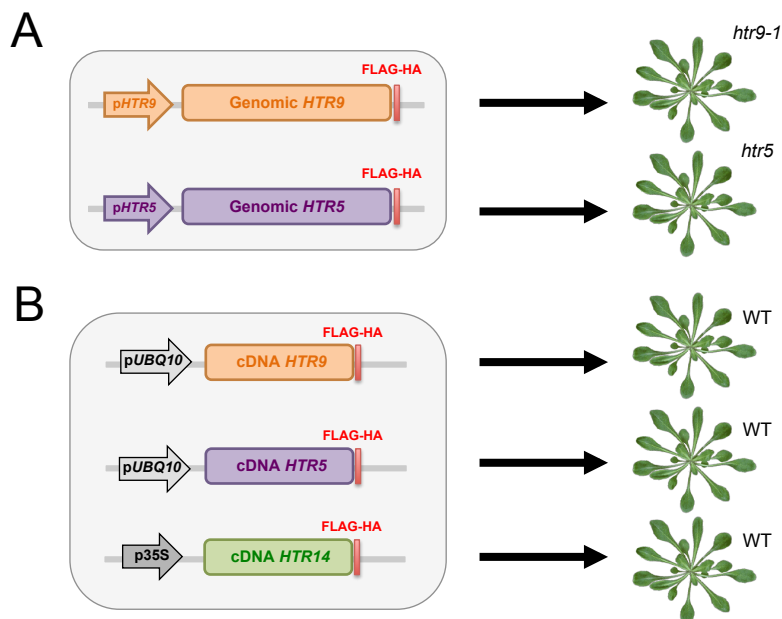
### 1.2.2. Generation of plants with reduced levels of canonical and H3 variants

In order to investigate the role of canonical and replacement H3 in chromatin dynamics and gene expression, I generated and characterized mutant plants for either H3.1- or H3.3-coding genes using a combination of T-DNA insertion lines and artificial miRNA constructs. I obtained *htr1-1* and *htr3-1* alleles displaying total abolition of *HTR1* and *HTR3* expression respectively (**Figure 41A**). Meanwhile, *HTR2* expression remained unchanged in *htr2-1* and *htr2-2* mutant alleles with T-DNA insertions in the 5' and 3' UTR respectively (**Figure 41A**). The *htr9-1* allele (two



**Figure 42: Triple H3.3 mutants were obtained using a combination of T-DNA insertions and artificial miRNA.**

Plants lacking both *HTR4* and *HTR8* expression obtained by crossing single *htr4* and *htr8* mutants and were transformed with two different artificial miRNA constructs targeting *HTR5* transcripts (at regions revealed by light blue and dark blue lines).



**Figure 43: Transgenes encoding H3.1 and H3.3 proteins fused to a tag were created to follow experimentally H3 dynamics.**

A. Scheme of transgenes containing both the promoter and the coding region of either *HTR9* or *HTR5* fused to a FLAG-HA were transformed in *htr9-1* and *htr5* mutants respectively.

B. Transgenes containing either full-length *HTR9* or *HTR5* cDNA fused to a FLAG-HA and under control of a *pUBQ10* promoter were transformed in WT plants. In parallel, FLAG-HA-fused full-length *HTR14* cDNA controlled by a 35S promoter was transformed in WT plants.

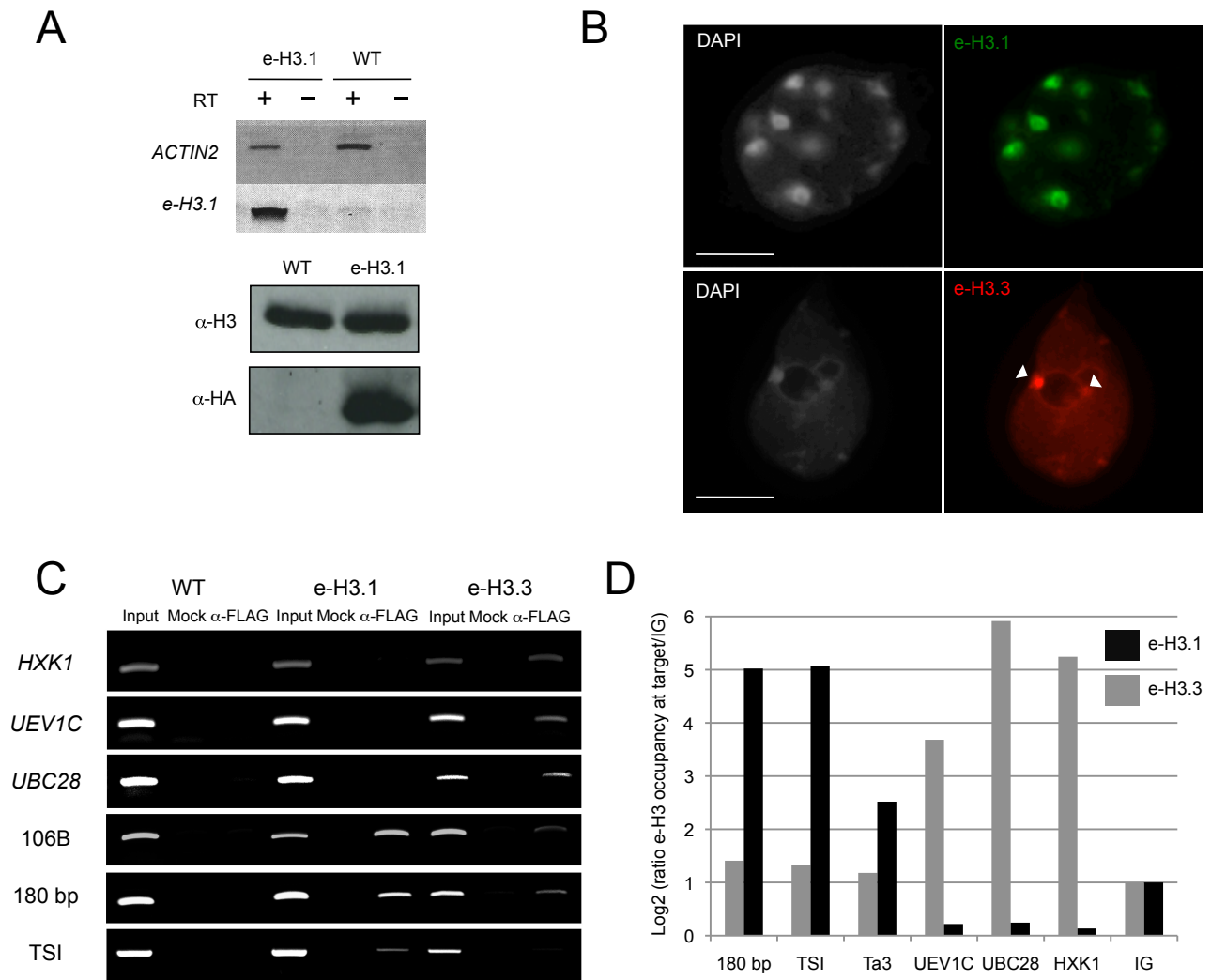
T-DNA insertions, one in the 5' UTR) resulted in a diminution of *HTR9* transcripts of approximately 20%. We therefore later obtained a second allele, *htr9-2*, which contains a single insertion in the exon and abolishes transcription (**Figure 41A**). Double and triple H3.1 mutants were obtained by crossing single mutants and characterization of these mutants is ongoing.

In parallel, I established single, double and triple mutants deficient for different combinations of the three H3.3-encoding genes (*HTR4*, *HTR5* and *HTR8*). T-DNA insertion lines were available for all three genes and *htr4*, *htr5* and *htr8* mutants displayed complete loss of their respective full-length transcripts (**Figure 41B**), but do not show obvious differences in phenotype. I turned into generating triple H3.3 mutants by crossing single mutants. However, given the physical proximity of *HTR4* (At4g40030) and *HTR5* (At4g40040), double mutants were unlikely to be obtained. I therefore first created double *htr4 htr8* mutants, which have been subsequently transformed with one of the two different artificial miRNA constructs (Ossowski et al., 2008) targeting *HTR5* transcripts (**Figure 42**). Since I expected lethality or severe phenotypes, this approach had the advantage to allow selection by RT-PCR of plants with different degrees of *HTR5* downregulation. The impact of H3.3 deficiency in these triple mutants on general chromatin organization, correct gene expression and maintenance of transcriptional silencing of heterochromatin is currently ongoing. The exhaustive list of H3 mutant lines used during this thesis can be found in **Table 3**.

### 1.2.3. Generation of histone tagged lines

To dissect the role of canonical and H3 variants in chromatin organization and genome expression, I wanted to monitor their localization within chromatin together with their dynamics and regulation during their cellular life. Since no antibodies are available discriminating Arabidopsis H3.1 from H3.3, I generated plant lines expressing transgenes encoding H3 proteins fused to an epitope. I used two distinct strategies. On the one hand, I used a genomic fragment containing the promoter and the coding region of a representative H3.1- or H3.3-encoding gene (**Figure 43A**), with the aim to conserve native expression patterns. On the other hand, I used full-length cDNA of H3.1-, H3.3- or H3.14-encoding genes cloned under control of a *pUBQ10* promoter (Grefen et al., 2010) (H3.1 and H3.3) or a strong viral 35S





**Figure 44: e-H3.1 and e-H3.3 assembly into chromatin defines distinct genomic regions.**

A. RT-PCR analysis revealing the presence of e-H3.1 fusion transcripts (top). Western blot of nucleosomal histones showing chromatin incorporation of the tagged histone H3.1 variant (bottom).

B. Immunofluorescence staining of 10-day-old cotyledon nuclei of the e-H3.1 line and the e-H3.3 line revealed using an  $\alpha$ -HA. Scale bars: 5  $\mu$ m.

C. ChIP experiment in 3-week-old plants expressing either e-H3.1 or e-H3.3 with  $\alpha$ -FLAG antibodies reveals enrichment of H3.1 at heterochromatic repeats while H3.3 is preferentially found at euchromatic loci. Immunoprecipitated and input DNA were amplified by PCR.

D. Ratio of e-H3.1 and e-H3.3 occupancy (log<sub>2</sub>) determined by FLAG-ChIP qPCR relative to input and normalized to levels at an intergenic region (IG) in 10-day-old seedlings at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon) and euchromatic loci (*HXK1*, *UBC28* and *UEV1C*). Histograms show mean percentage of 2 independent e-H3.1 lines (black) and 4 independent e-H3.3 lines (grey).

promoter (H3.14) (**Figure 43B**), for ectopic expression of the epitope-tagged histone variant. For the genomic constructs, *HTR9* and *HTR4* were first chosen as representative H3.1 and H3.3 genes respectively regarding their high level of expression in seedlings during early post-germination phase as well as in other tissues (Ingouff et al., 2010 and our data). However, I was unable to amplify a full-length genomic fragment of *HTR4* including its promoter, so I switched towards the amplification and cloning of *HTR5*, which displays similar expression patterns (Ingouff et al., 2010). *HTR9*, *HTR4* and *HTR14* were used for ectopic expression of H3.1, H3.3 and H3.14 from the cDNA construct respectively. We decided to tag our different H3 histone variants with a FLAG-HA, which has been successfully used to purify histones and histone complexes in mammals (Tagami et al., 2004). The tag was positioned in the structured C-terminal region in order to avoid any perturbation of the N-terminal tail, which is heavily post-translationally modified. The small size of the FLAG-HA tag (2.2 kDa) is susceptible to minimize interference with H3 ( $\approx 15$  kDa) function and assembly compared to a fluorescent protein tag such as GFP ( $\approx 27$  kDa). I will refer to those lines in the remaining manuscript as epitope-tagged H3 (e-H3).

Once transformed in appropriate *Arabidopsis* plants (**Figure 43AB**) and selection of homozygous monolocus insertion of the e-H3-encoding transgenes, I tested the functionality of the constructions. Here I focus on the characterization of the lines expressing either e-H3.1 or e-H3.3 under the control of their respective endogenous promoter. We decided to transform the e-H3.1 construct (*HTR9*) into the *htr9-1* mutant and the e-H3.3 construct (*HTR5*) in the *htr5* mutants with the aim to least affect total H3 or relative H3.1/H3.3 levels in the transgenic lines. I first checked by RT-PCR the transcription of the transgenes using primers specific for the e-H3 fusion transcripts (exemplified in **Figure 44A** for e-H3.1). I then confirmed the correct synthesis and chromatin incorporation of the fusion protein by Western blot of nucleosomal histones in 2-week-old leaves (**Figure 44A**). Nuclear distributions of e-H3.1 and e-H3.3 were tested by immunofluorescence on isolated 2-week-old leaf nuclei using an  $\alpha$ -HA. While immunosignals of e-H3.1 were found largely colocalized with chromocenters and low in euchromatin, e-H3.3 immunosignals were found in the whole nucleus and enriched in NOR (**Figure 44B**). The distribution of e-H3.1 and e-H3.3 at euchromatic loci (*HXK1*, *UEV1C* and *UBC28*) and heterochromatic repeats

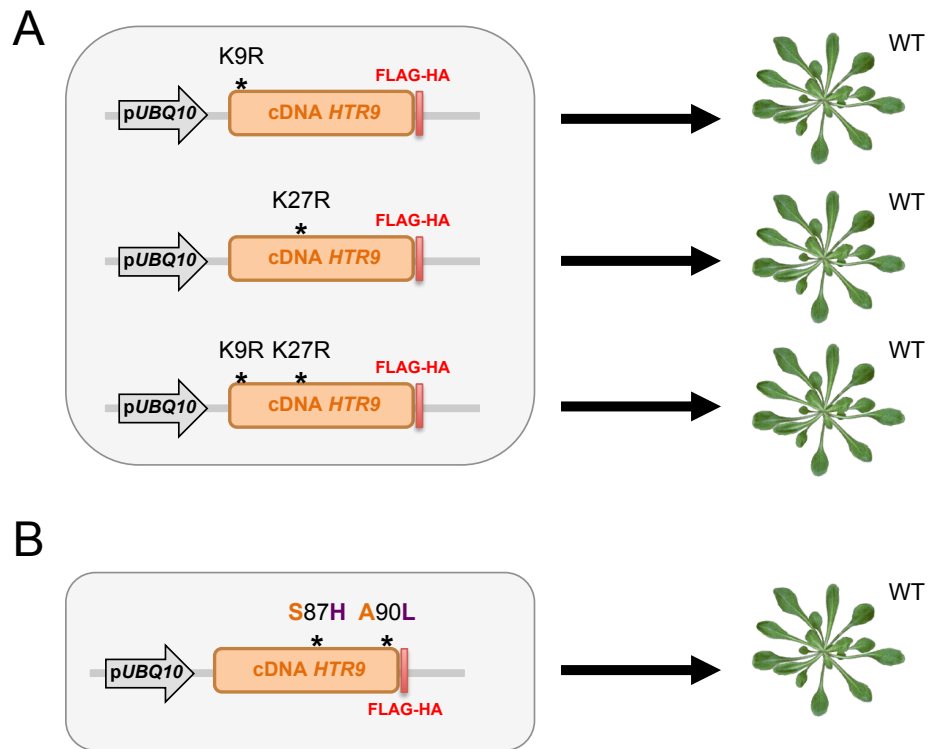
Type	Description	Gene ID	Allele	ID	Ecotype
Histone chaperones	FAS1	At1g65470	<i>fas1-4</i>	SAIL_39_F10	Columbia-0
	FAS2	At5g64630	<i>fas2-5</i>	SALK_147693	Columbia-0
	AtHIRA	At3g44530	<i>athira-1</i>	WiscDsLox362H05	Columbia-2
	NCN1	At1g77310	<i>ncn1</i>	GABI_018D02	Columbia-0
	NCN2	At1g21610	<i>ncn2</i>	GABI_130H01	Columbia-0
	CBN	At4g32820	<i>cbn1</i>	SALK_099927	Columbia-0
	AtASF1A	At1g66740	<i>asf1a</i>	GABI_200G05	Columbia-0
	AtASF1B	At5g38110	<i>asf1b</i>	SALK_105822	Columbia-0
Histones	HTR1	At5g65360	<i>htr1-1</i>	FLAG_581G04	Wassilewskija
	HTR2	At1g09200	<i>htr2-1</i>	SALK_022688	Columbia-0
			<i>htr2-2</i>	SAIL_655_C07	Columbia-0
	HTR3	At3g27360	<i>htr3-1</i>	SALK_078768	Columbia-0
	HTR4	At4g40030	<i>htr4</i>	SALK_082765	Columbia-0
	HTR5	At4g40040	<i>htr5</i>	SALK_042781	Columbia-0
	HTR6	At1g13370	<i>htr6</i>	GABI_428G03	Columbia-0
	HTR8	At5g10980	<i>htr8</i>	SALK_087850	Columbia-0
	HTR9	At5g10400	<i>htr9-1</i>	SALK_148171	Columbia-0
<i>htr9-2</i>			SAIL_305_C12	Columbia-0	
Chromatin Modifiers	ATXR5	At5g09790	<i>atxr5</i>	SALK_130607	Columbia-0
	ATXR6	At5g24330	<i>atxr6</i>	SAIL_240_H01	Columbia-0
	SUVH4	At5g13960	<i>kyp-6</i>	SALK_041474	Columbia-0
	SUVH5	At2g35160	<i>suvh5-2</i>	GABI_263C05	Columbia-0
	SUVH6	At2g22740	<i>suvh6-1</i>	SAIL_1244_F04	Columbia-0
	MOM1	At1g08060	<i>mom1-2</i>	SAIL_610_G01	Columbia-0

**Table 3: Arabidopsis mutant lines used in this work.**

(106B, 180 bp and TSI) was then analyzed by ChIP performed on 2-week-old leaf material. This analysis revealed enrichment of e-H3.1 at repetitive sequences over euchromatic loci (**Figure 44C**), consistent with the pattern of immunolocalization. Meanwhile, e-H3.3 occupancy was found at both euchromatic and heterochromatic loci with a preferential localization at genes compared to repetitive sequences (**Figure 44C**), in line with the immunolocalization data. The distribution of the e-H3 over the genomic domains has further analyzed for two individual H3.1 and four individual H3.3 lines by FLAG-ChIP qPCR (**Figure 44D**) on the same type of tissue. Here, e-H3.1 and e-H3.3 occupancy at euchromatic and heterochromatic loci are plotted relative to occupancy at an intergenic region (IG - between At2g17670 and At2g17680), revealing enrichment of e-H3.1 in heterochromatin relative to euchromatin. On the contrary, a preferential deposition of e-H3.3 at euchromatic loci was observed, together with reduced but nevertheless significant levels at repetitive sequences. In accordance with recent analysis of the genomic distribution of either Myc- or fluorescent protein-tagged H3.1 (*HTR13*) and H3.3 (*HTR5*) in Arabidopsis (Stroud et al., 2012; Wollmann et al., 2012; Vaquero-Sedas and Vega-Palas, 2013; Sequeira-Mendes et al., 2014; Shu et al., 2014) but also in other organisms (Mito et al., 2005; Goldberg et al., 2010), these data argue for distinct distribution of H3.1 and H3.3 along the genome, with a particular enrichment of H3.1 in heterochromatic and H3.3 in euchromatic regions, respectively. Interestingly, my study reveals significant levels of H3.3 enrichment in heterochromatin, which could be explained by the fact that, contrary to the other studies, e-H3.3 is expressed in an *htr5* mutant background. These e-H3.1 and e-H3.3 lines represent valuable and powerful tools to monitor canonical histone and H3.3 variant enrichment at particular nuclear domains and dynamics during development.

#### **1.2.4. Study of the role of post-translational modifications of the canonical H3.1**

To gain further insight into the functional importance of specific post-translational modifications of the canonical histone H3.1 in heterochromatin maintenance and organization during development, I created plants expressing



**Figure 45: Plants expressing mutated versions of e-H3.1.**

A. The pUBQ10:HTR9:FLAG-HA construct was subjected to site-directed mutagenesis to modify lysine 9 (K9) and lysine 27 (K27) residues to argenine (R) prior to transformation.

B. The pUBQ10:HTR9:FLAG-HA construct was subjected to site-directed mutagenesis to convert serine 87 to histidine and argenine 90 to leucine prior to transformation to create an hybrid H3.1/H3.3 construct.

mutated versions of e-H3.1 carrying single amino acid substitutions in residues subjected to post-translational modifications. We chose to modify residues K9 and K27 on H3.1 as the di- and monomethylation, respectively, at these sites play an important role in heterochromatin organization in Arabidopsis (Mathieu et al., 2005; Naumann et al., 2005; Jacob et al., 2009). Using the full-length cDNA of the H3.1-encoding gene *HTR9* under control of a *pUBQ10* promoter, I performed site-directed mutagenesis modifying the coding sequence so that the lysine at position 9 (K9) and / or at position 27 (K27) is mutated (**Figure 45A**). In order to maintain the global structure and charge of the amino acid chain, polar and positively charged lysine residues in position 9 and 27 were converted to arginine (R) residues, which display very similar biochemical features. The different constructs (*HTR9* K9R, *HTR9* K27R and *HTR9* double K9R/K27R) were transformed in Arabidopsis plants and the transgenes tested for expression and for homozygous monolocus insertion. Potential consequences of such mutations on chromatin organization and dynamics are currently under characterization. I expect that these tools will improve our understanding of the role of the histone post-translational marks H3K9me and H3K27me, on heterochromatin organization and dynamics.

### **1.2.5. Importance of amino acids at position 87 and 90 for H3 deposition**

In Arabidopsis, H3.1 differs from H3.3 in only four single amino acids at position 31, 41, 87 and 90. Nevertheless, H3.1 and H3.3 are differentially distributed along the genome and are deposited by distinct chromatin assembly pathways. The signals that drive specific genomic deposition or depletion of a particular H3 variant were unknown at the beginning of my study. I wanted to investigate whether the two amino acid differences between H3.1 and H3.3 in the core region (positions 87 and 90) are sufficient to account for the distinct patterns of incorporation of H3.1 and H3.3 (Ingouff et al., 2010). To do so, I used the full-length cDNA of the H3.1-encoding gene *HTR9* on which I performed site-directed mutagenesis targeting residues at position 87 and 90. By converting serine 87 to histidine and arginine 90 to leucine, I created a hybrid H3.1 protein displaying an H3.3 signature at positions 87 and 90 (**Figure 45B**). The impact of such mutations on H3.1 genomic deposition and



dynamics is currently evaluated. During the establishment of these lines, Shi and collaborators described that replacement of H3.3 H87 and L90 residues by H3.1 S87 and A90 was sufficient to disrupt proper H3.3 deposition into rDNA arrays in tobacco, while switching of H3.3 T31/Y41 to H3.1 A31/F41 leads to defects in H3.3 nucleosome disassembly at these sites (Shi *et al.*, 2011). Using the hybrid H3.1-encoding line I created it would be thus interesting to test if an H3.1 protein displaying H3.3 H87 and L90 residues is susceptible to be recognized by the H3.3 assembly machinery and deposited at genomic regions usually enriched in H3.3.

As a conclusion of this chapter, I established and validated during my thesis a large repertoire of H3 variants and H3 chaperone mutants, together with plants expressing either wild type or modified epitope-tagged versions of the canonical H3 and H3 variants. These tools allow efficient tracking of H3 dynamics and chromatin assembly and offer new research perspectives in plant histone biology, and are important tools for current and future projects in the laboratory.





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## ***Chapter II: The histone chaperone complex HIR controls nucleosome occupancy and transcriptional silencing in plants***

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In an attempt to decipher the molecular players involved in the maintenance of chromatin domains such as chromocenters, and genome integrity, we focus on the yet uncharacterized plant HIR chaperone complex. The HIR complex is highly conserved between organisms and is involved in replication-independent chromatin assembly and proper histone handling and dynamics during development in yeast, *Drosophila* and mammals.

To date evidences for an evolutionary conserved HIR complex in plants are still lacking. In *Arabidopsis*, only the AtHIRA protein has been identified and its role in chromatin dynamics and genome activity remains elusive. Based on the tools and mutants characterized in **Chapter I**, our manuscript describes the four orthologs of the HIR complex subunits, termed NUCLEIN1 (NCN1), NUCLEIN2 (NCN2) and CABIN (CBN). Using a molecular approach we define the HIR subunit AtHIRA as critical for histone dynamics in *Arabidopsis*. *athira-1* mutants display limited non-nucleosomal histone pool and decreased nucleosomal occupancy both at euchromatic and heterochromatic loci, resulting in impaired maintenance of transcriptional silencing. Interestingly, while mutants lacking either HIR or CAF-1 chaperone complexes are viable but show reproductive defects, the simultaneous mutation of both complexes leads to severe developmental deficiencies and sterility.

This work describes the conservation of the HIR complex in *Arabidopsis* and its role in histone dynamics. We show that HIR and CAF-1 complexes are independent histone deposition complexes that however conjointly ensure nucleosome occupancy.



In frame of this research paper, I was involved in the generation and validation of *HIRA*, *NCN1*, *NCN2*, *CBN*, *FAS1*, *FAS2* T-DNA mutants. I generated the transgenic line expressing an artificial miRNA directed against AtHIRA. I participated in the crossing of individual HIR complex mutants with each other, with *fas1* and *fas2* mutants and with line L5 carrying the silent GUS transgene, the genotyping of the F2 and subsequent generations and the transcriptional characterization of the progeny. In addition, I established the double *fas1-4 athira-1* mutant and carried out the FISH analysis of centromeric and pericentromeric repeats organization in this mutant.



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## Abstract

Chromatin organization is essential for coordinated gene expression, genome stability, and inheritance of epigenetic information. Main components of chromatin assembly are specific complexes such as CHROMATIN ASSEMBLY FACTOR 1 (CAF-1) and HISTONE REGULATOR (HIR) that deposit histones in a DNA synthesis-dependent or -independent manner, respectively. Here, we characterize the role of the plant orthologs HISTONE REGULATOR A (HIRA), NUCLEIN (NCN) and CABIN (CBN) constituting the HIR complex, using *Arabidopsis* loss-of-function mutants. We show that loss of AtHIRA affects male gametophytic development, reduces non-nucleosomal histone levels and decreases nucleosome occupancy at both actively transcribed genes and heterochromatic regions. Concomitantly, loss of AtHIRA affects silencing maintenance of pericentromeric repeats and certain transposons, but not the rapid induction of gene expression upon an environmental stimulus. Our genetic analysis based on crosses between mutants deficient in subunits of the CAF-1 and HIR complexes shows that only the simultaneous loss of both, CAF-1 and HIR histone H3 chaperone complexes, impacts plant survival, growth and reproductive development severely. Our results suggest functional compensation between the different histone chaperone complexes in plants and plasticity in histone variant interaction and deposition.



## Introduction

Eukaryotic DNA is organized into chromatin. Its basic subunit, the nucleosome, consists of 146 bp of DNA wrapped around a histone octamer that is composed of a (H3-H4)<sub>2</sub> tetramer and two histone H2A-H2B dimers. Chromatin organization profoundly affects accessibility of DNA to the cellular machinery and therefore impacts all cellular processes operating on DNA, such as transcription, replication, repair and recombination. To coordinate these different functions, remodeling of chromatin is required to allow access or exclusion of different factors. Remodeling can encompass movement of nucleosomes along the DNA, but also their disassembly and reassembly, as during the passage of the transcriptional machinery (Petesch and Lis, 2012). These processes are facilitated by factors modulating the stability of the nucleosomes or that of their association with the DNA. Nucleosome stability is affected by covalent modifications of histone proteins and incorporation of different histone variants (Jin and Felsenfeld, 2007). Except histone H4, all histone proteins occur in non-canonical variants that differ in their primary amino acid sequence from the canonical paralogs (Talbert and Henikoff, 2010). These differences range from a few amino acids to large protein domains (Talbert et al., 2012). The canonical histone H3.1 and its variant H3.3, for example, diverge by only four amino acids, but nevertheless are incorporated differently during the cell-cycle and show specific distribution patterns in genomes of mammals and plants (Goldberg et al., 2010; Wollmann et al., 2012; Stroud et al., 2012; Filipescu et al., 2013; Tagami et al., 2004). While nucleosomes with H3.1 are thought to package DNA globally in a DNA synthesis-linked process, the so-called replacement variant H3.3 is preferentially incorporated at enhancers, promoters and gene bodies of actively transcribed genes throughout the cell cycle (Ahmad and Henikoff, 2002; Goldberg et al., 2010; Jin et al., 2009).

The highly basic histone proteins are accompanied from synthesis to chromatin assembly by a network of histone chaperones, thereby preventing uncontrolled interaction with nucleic acids or negatively charged proteins. Histone chaperones were defined as proteins that “associate with histones and stimulate a reaction involving histone transfer without being part of the final product” (De Koning et al., 2007). Consequently, they are involved in all aspects of histone dynamics, such as transport and storage, chromatin assembly, disassembly and parental





histone transfer during DNA replication (Filipescu et al., 2013; Groth et al., 2007; De Koning et al., 2007). In general, histone chaperones can be classified by their preferential binding to either H3-H4 or H2A-H2B subunits. In addition, some chaperones show specificity for particular histone variants and play a crucial role in their chromatin distribution (Tagami et al., 2004; Goldberg et al., 2010; Drané et al., 2010).

While H3 histone variants are assumed to have evolved independently in animals and plants (Ingouff and Berger, 2010), histone chaperones are highly conserved through evolution. The chaperone proteins Anti-Silencing Function 1 (ASF1) bind H3-H4 dimers (Natsume et al., 2007; English et al., 2006) in the cytoplasm and are involved in histone import into the nucleus (Campos et al., 2010). ASF1 then transfers H3-H4 histones to other chaperone complexes involved in nucleosome assembly. In mammals, two distinct pathways control either the deposition of the canonical histone H3.1 or of the variant H3.3. The Chromatin Assembly Factor 1 (CAF-1) consisting of the three subunits p150, p60 and p48 ensures histone deposition in a DNA synthesis-dependent manner during replication and repair (Gaillard et al., 1996; Stillman, 1989). CAF-1 specifically deposits H3.1 (Tagami et al., 2004; Drané et al., 2010) and interacts with ASF1 via its p60 subunit (Tyler and Collins, 2001). Independent of DNA synthesis and throughout the whole cell cycle, histone deposition is promoted by HISTONE REGULATOR A (HIRA), which shows high specificity for the replacement variant H3.3 (Ray-Gallet et al., 2002; Tagami et al., 2004). HIRA depletion results in reduced genome-wide loading of H3.3 (Goldberg et al., 2010; Pchelintsev et al., 2013). In addition, H3.3 is deposited at telomeres and pericentromeric satellites by Death-Associated protein (DAXX) and Alpha-Thalassemia/mental Retardation X-linked syndrome protein (ATRX) (Drané et al., 2010; Goldberg et al., 2010). In mammals, the histone chaperone DEK also contributes to H3.3 deposition (Sawatsubashi et al., 2010). HIRA is part of a multimeric complex termed HIR complex, first identified in *Saccharomyces cerevisiae* where it consists of four subunits (Hir1, Hir2, Hir3 and Hpc2) and functions as repressor of histone genes outside S phase (Osley and Lycan, 1987). Orthologs of Hir1 and Hir2 have then been identified as HIRA in *Drosophila* and mammals. In humans, the complex further comprises Ubinuclein1 (UBN1) and the hypothetical protein FLJ25778 (also termed Ubinuclein2) as the orthologs of yeast Hpc2, as well as calcineurin-binding protein (CABIN1), the



ortholog of Hir3. All HIR subunits co-purify with epitope tagged H3.3 or HIRA (Tagami et al., 2004; Drané et al., 2010). HIRA seems to be central to the complex, as it mediates the binding to UBN1 via its N-terminal WD40 repeats (Balaji et al., 2009) and to CABIN1 via its Hira domain (Yang et al., 2011). HIRA further interacts with ASF1 through its conserved B domain (Tang et al., 2006). Moreover, all HIR complex members have the ability to directly interact with DNA (Ray-Gallet et al., 2011).

The study of histone chaperone complexes as well as their role for histone dynamics during development has been hampered in higher organisms, as these factors are essential for survival: their absence is lethal at early stages of embryo development. Depletion of CAF-1 subunits in human cell cultures leads to S phase arrest (Ye et al., 2003), and mice embryos deficient in the large subunit of the CAF-1 complex fail to develop beyond early embryonic stages (Houlard et al., 2006). Similarly, mutants of the *Drosophila* ortholog p180 die during larval development (Klapholz et al., 2009). In *Arabidopsis*, the CAF-1 complex consists of the subunits FASCIATA1 (FAS1), FASCIATA2 (FAS2) and MULTICOPY SUPPRESSOR OF IRA1 (MSI1) (Kaya et al., 2001). In contrast to vertebrates, *Arabidopsis* mutants deficient in one of the two large subunits FAS1 or FAS2 are viable, but show pleiotropic morphological abnormalities such as fasciated stems, serrated leaves, meristem alterations or increased trichome branching (Kirik et al., 2006; Exner et al., 2006; Kaya et al., 2001). Consistent with the role in chromatin assembly during DNA replication, CAF-1 mutants fail to maintain repressive chromatin states, as illustrated by weak transcriptional reactivation of normally silent endogenous repetitive sequences and the stochastic reactivation of certain transposable elements (Takeda et al., 2004; Schönrock et al., 2006; Ono et al., 2006). Only mutants in the third subunit MSI1 are lethal, probably due to the participation of this subunit in multiple chromatin modifier and remodeling complexes (Hennig et al., 2005).

Similarly to the CAF-1 knockout, depletion of mammalian HIRA is lethal, since HIRA-knockout mice die during embryonic development (Roberts et al., 2002). Furthermore, down-regulation of HIRA in *Xenopus* embryos causes gastrulation defects (Szenker et al., 2012), phenotypes that could be explained by the role HIRA plays in transcription (Formosa et al., 2002; Schwartz and Ahmad, 2005; Ray-Gallet et al., 2011). In contrast, *Drosophila* HIRA is only required for H3.3 deposition in the male pronucleus after fertilization (Loppin et al., 2005), but not for viability (Bonneyfey et al., 2007). Other chaperones, such as ATRX/XNP and DAXX/DLP, are likely to



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compensate absence of HIRA in *Drosophila* (Schneiderman et al., 2012). How much plants depend on HIRA for normal development, and how histones are assembled outside S phase in plants has not been addressed yet. Since an ortholog of the metazoan HIRA protein has previously been identified in *Arabidopsis* (Phelps-Durr et al., 2005; Ingouff et al., 2010), we decided to study the role of the *Arabidopsis* HIR complex in histone and chromatin dynamics in plants.

We show here that the *Arabidopsis* genome encodes four genes orthologous to all HIR subunits that potentially constitute a functional HIR complex. Our molecular analyses identify AtHIRA as an important player for histone dynamics in *Arabidopsis*. As in case of the CAF-1 mutants, plants lacking the AtHIRA subunit are viable but show developmental defects and are impaired in maintenance of transcriptional silencing. Loss of AtHIRA results in a reduced pool of non-nucleosomal histone H3 and affects nucleosome occupancy not only at euchromatic but also at heterochromatic targets. Simultaneous loss of both CAF-1 and HIR complexes causes severe developmental phenotypes. Surviving plants show important defects in plant growth and reproductive development as well as reduced nucleosome occupancy, without being further affected in maintenance of silencing and heterochromatin organization. We conclude that, in plants, the two evolutionary conserved chromatin assembly complexes CAF-1 and HIR are involved in independent pathways of nucleosomal assembly, but compensate at least partially for one another.



## Results

### Arabidopsis expresses orthologs of all HIR subunits

To identify candidates for all Arabidopsis genes orthologous to the yeast and mammalian HIR complex subunits, we searched for related protein sequences that are conserved through evolution. A HIRA ortholog of similar length as the mammalian protein had been described earlier (Phelps-Durr et al., 2005; Ingouff et al., 2010; Amin et al., 2011). Using MUSCLE sequence alignments and Interproscan protein prediction, we compared HIRA protein domains between humans, Drosophila and two plant HIRA proteins. The overall protein structure is conserved in plants and also similar to the animal counterparts (50% similarity between human and Arabidopsis HIRA). AtHIRA contains the N-terminal WD40 repeats involved in protein-protein interaction and the C-terminal Hira domain (**Figure 1A**). A detailed protein sequence alignment of the predicted B domain required for binding of human ASF1 shows divergence in the core B domain, but reveals conservation in plants of all critical amino acids of the experimentally defined minimal B domain (**Supplemental Figure 1A**) (Tang et al., 2006). Phylogenetic analysis confirmed that both plant and animal kingdoms share a conserved HIRA protein (**Supplemental Figure 1B**).

Blast searches with the mammalian UBN1 and UBN2 (AAF31755.1 and XP\_944191) as baits identified two orthologs in Arabidopsis, which we named AtNUCLEIN1 (NCN1, At1g77310) and AtNUCLEIN2 (NCN2, At1g21610). These two proteins are of similar length, show 59.7% protein sequence identity but are shorter than their human counterparts. As other orthologs, NCN1 and NCN2 proteins contain the evolutionarily conserved approximately 50 aa long motif termed Hpc2-related domain (HRD) (Banumathy et al., 2009) (**Figure 1B** and **Supplemental Figure 1C**) as well as the less conserved approximately 30 aa long region termed NHRD (N-terminal to the HRD region) (**Figure 1B** and **Supplemental Figure 1D**). In mammals, this domain is necessary and sufficient for interaction with the HIRA WD40 repeats (Tang et al., 2012). NCN1 and NCN2 proteins share another region of similarity with UBN1 and UBN2 called the Ubinuclein middle domain (**Figure 1B**). The phylogenetic tree of NUCLEIN proteins further shows that also other higher plants contain two or more closely related paralogs (**Supplemental Figure 1E**).





In most organisms, the HIR complex includes a third member type, the calcineurin binding protein (CABIN1), characterized by a range of tetratricopeptide (TPR)-like bi-helical repeats, which can form a scaffold for protein-protein interaction (Balaji et al., 2009). BLAST searches with the human CABIN1 protein identified an Arabidopsis ortholog (At4g32820), that we termed AtCABIN (CBN). CBN displays the characteristic TPR repeats but is shorter than the human ortholog (**Figure 1C**). Phylogenetic analysis confirmed that CABIN is found throughout the plant kingdom, some plant genomes encoding two CABIN paralogs (**Supplemental Figure 1F**). All four putative members of the HIR complex identified here localize to the nucleus according to the Gene Ontology terms in TAIR.

To test whether the respective genes are indeed expressed in Arabidopsis, we performed RT-qPCR on 4 week-old WT plants. We confirmed expression of all four genes (**Figure 1D**). We further validated expression of *AtHIRA*, *NCN1*, *NCN2* and *CBN* by RT-PCR in both dividing and non-dividing tissues (**Supplemental Figure 1G**).

Taken together, these results show that the Arabidopsis genome encodes all essential components of a potentially functional HIR complex, which are expressed in all tested tissues. Conservation of the WD40 repeats, the B and Hira domains suggests that, as in animals, AtHIRA could serve as the scaffold protein for CBN and NCN recruitment and could possibly bind ASF1.

### **Arabidopsis HIR complex mutants are viable**

To gain insight into the biological function of the Arabidopsis HIR complex, we obtained T-DNA insertion mutants for each gene encoding a HIR complex subunit (**Figure 2A-D**). As conflicting results were reported concerning the viability of *AtHIRA* mutants (Phelps-Durr et al., 2005; Ingouff et al., 2010), we acquired four lines with different T-DNA insertions mapping to the *AtHIRA* locus (**Figure 2A, Supplemental Figure 2A**). We determined the exact T-DNA insertion sites by sequencing PCR products obtained with a T-DNA-specific primer and genomic primers surrounding the predicted insertion site (**Figure 2A, Supplemental Figure 2A**). By genotyping, we identified plants homozygous for all mutant alleles except SALK\_143806, which lacked any T-DNA insert in seeds from various sources. The insertion in SALK\_019573 (also available as homozygous line SALK\_019573C) maps to the putative promoter region and contains 2 T-DNA insertions 55 bp apart. The inserts of



GABI\_775H03 map to the 5'UTR. The WiscDsLox362H05 allele (Ingouff et al., 2010) contains 2 T-DNA insertions, in the 5<sup>th</sup> intron and the 6<sup>th</sup> exon, respectively. RT-PCR and qPCR analysis confirmed that only the WiscDsLox362H05 allele (*athira-1* (Ingouff et al., 2010)), affects *AtHIRA* expression (**Figure 2A, Supplemental Figure 2A-B**). We further generated a transgenic line expressing an artificial microRNA (amiRNA) construct (Schwab et al., 2006) targeting *AtHIRA* transcripts (*athira<sup>amiRNA</sup>*) and confirmed reduced *AtHIRA* expression levels (**Supplemental Figure 2C**).

Besides *AtHIRA* mutants, we identified T-DNA insertion alleles for *NCN1*, *NCN2* and *CBN* and confirmed the absence of the corresponding full-length transcripts by RT-PCR (**Figure 2B-D**).

Single mutants of the HIR complex subunits display no obvious phenotypic deviation in leaf or silique growth compared to wild-type (WT) plants when grown under standard conditions (**Figure 2E, Supplemental Figure 2D**). In contrast, *fas1-4* and *fas2-5* mutants which are impaired in one of the two larger subunits of the CAF-1 complex show pleiotropic phenotypes including leaves with serrated margins, alteration of silique shape and size as well as a large number of unfertilized ovules (**Supplemental Figure 2D-G**). Therefore, we looked closer at *athira-1* mutant siliques and observed less viable seeds and more unfertilized ovules than in WT (**Figure 2F-G**), but the difference was smaller than in *fas* mutants (**Supplemental Figure 2F-G**). The same phenotype, although less pronounced, was also observed in the *athira<sup>amiRNA</sup>* line (**Supplemental Figure 2G**). We first confirmed the previously reported (Ingouff et al., 2010) standard Mendelian genetic transmission of the T-DNA mutant allele in the progeny of a self-fertilized *athira-1-1/AtHIRA* plant (21 plants, n=72, p<0.05). Then, to determine whether this phenotype results from a male- or a female-specific defect, we carried out reciprocal crosses between *athira-1* mutants and wild type plants. We observed unfertilized ovules and aborted seeds only in crosses in which the pollen was derived from an *athira-1* mutant plant, suggesting predominant defects in male gametogenesis. We therefore investigated male gametophytic development in more detail. In contrast to *fas1-4* and *fas2-5* anthers (**Supplemental Figure 2H**) that are heart-shaped and contain few or no viable pollen, anthers of HIR complex mutants develop rather normally, however a fraction of pollen grains are also non-viable in *athira-1* as revealed by Alexander staining (**Figure 2H**). These data suggest roles for the CAF-1 and HIR complexes in male gametogenesis.



Endoreplication, which involves one or several rounds of DNA replication without nuclear or cell division, is part of regular plant development and leads to polyploidy in somatic cells. Deficiency in histone chaperones can impact endoreplication levels, e.g. mutants in the CAF-1 complex switch prematurely to the endocycle and show increased endopolyploidy levels (Ramirez-Parra and Gutierrez, 2007), while the simultaneous loss of the two small H3-H4 chaperone proteins ASF1A and ASF1B leads to reduced endocycle numbers (Zhu et al., 2011). To investigate whether absence of a functional HIR complex member impacts endopolyploidy levels, we carried out flow-cytometry analysis of nuclei from 10 day-old shoot tissues. Whereas *fas2-5* mutant plants show a significantly increased fraction of 8C and 16C nuclei as expected (one and two rounds of endoreplication), endopolyploidy profiles of HIR mutants are not significantly different from WT plants (**Figure 2I**). This suggests that absence of a functional HIR complex does not impact endoreplication.

Thus, single mutants in the Arabidopsis HIR complex are viable and do not reveal altered plant growth, with the exception of plants lacking the AtHIRA subunit that show defects in male reproductive development.

### **The *athira-1* mutant shows a reduced non-nucleosomal histone pool and altered nucleosome occupancy**

In analogy to yeast and animal models, Arabidopsis CAF-1 and HIR complexes are assumed to bind non-nucleosomal histones and coordinate their assembly into nucleosomes. We therefore wanted to investigate whether the mutations would influence the pool of histones in chromatin (nucleosomal) and the pool of free (non-nucleosomal) histones. To recover the non-nucleosomal histone fractions we applied a cell lysis and centrifugation procedure and determined the amount of H3 in *fas1-4* and *athira-1* mutants relative to WT plants by quantitative western blots (**Figure 3A-B**). Both mutants display a reduction of non-nucleosomal H3 levels, suggesting that histone flow and amount of histones available for *de novo* deposition are altered in mutants of both chromatin assembly complexes. Then, we investigated whether the nucleosomal H3 levels are globally affected in *fas1-4* and *hira* mutants. To this aim, we obtained total and nucleosomal histones of the same extracts (see Material and Methods). Using this approach no global reduction in H3



nucleosomal content was observed in either *fas1-4* or *hira* mutants (**Supplemental Figure 3A**).

Then, to compare nucleosome occupancy at specific genomic sites in WT, *fas1-4* and *hira* mutants in a quantitative manner, we used H3-ChIP combined with qPCR. We first analyzed nucleosome occupancy at three constitutively active genes (*UBC28*, *UEV1C* and *HXK1*) with different expression levels (**Supplemental Figure 3B**). Active genes are generally enriched in H3.3 (Wollmann et al., 2012; Stroud et al., 2012), suggesting that they would be preferential targets for HIRA-mediated H3 deposition. We chose three different amplicons, in the 5', middle and 3' region of each gene (**Figure 3C**). The middle and 3' regions are enriched in H3.3 relative to input in the ChIP-Seq datasets from (Stroud et al., 2012) while neither H3.1 nor H3.3 are particularly enriched in the 5' region (**Supplemental Figure 3C-E**). When we determined H3 enrichment relatively to input at these six distinctive genomic regions in 3 week-old WT and mutant plants grown *in vitro*, we found that, at actively transcribed genes, nucleosome occupancy was unaffected in *fas1-4* mutants. In *athira-1* mutants, however, *UEV1C* and *HXK1* had mildly reduced H3 levels at the middle and 3' regions (**Figure 3C**), but not at the 5' region, revealing a specific role for AtHIRA in H3 deposition at these genic regions.

Since *fas1* mutants were previously shown to have moderately reduced nucleosome occupancy at selected pericentromeric sequences (Pecinka et al., 2010), we also included three heterochromatic repetitive elements (180 bp repeat, 106B centromeric satellites and an endogenous family of transcriptionally repressed repeats called Transcriptionally Silent Information (TSI, (Steimer et al., 2000)), as well as an intergenic region (Pecinka et al., 2010) in our analysis. These heterochromatic regions are globally enriched in H3.1 (Wollmann et al., 2012; Stroud et al., 2012) (**Supplemental Figure 3F-H**), while neither H3.1 nor H3.3 are particularly enriched at the intergenic region (**Supplemental Figure 3I**). We observed reduced nucleosomal occupancy at 106B and TSI in *fas1-4* mutants (**Figure 3D**) in agreement with previous results (Pecinka et al., 2010). Unexpectedly, we also observed that nucleosome occupancy is reduced in *athira-1* mutants at these two heterochromatic regions and at the intergenic region (**Figure 3D**).

We conclude that loss-of-function of either of the two chromatin-assembly complexes CAF-1 and HIR affects the non-nucleosomal H3 histone pool. Furthermore, loss of AtHIRA impacts nucleosome occupancy at both euchromatic





and heterochromatic regions while loss of CAF-1 mainly affects heterochromatic sequences.

### **Loss of HIRA interferes with maintenance of transcriptional silencing but not with gene induction upon salt stress**

The observed differences in nucleosome occupancy prompted us to investigate the functional consequences of altered histone dynamics in HIR complex mutants. We first analyzed the impact on maintenance of transcriptional silencing in heterochromatin at these three heterochromatic regions (106B, 180 bp and TSI) by ChIP-qPCR. We extracted RNA from 18-day-old soil grown plants and quantified transcript levels by RT-qPCR. As expected, TSI silencing is partially released in *fas1-4*. In agreement with the changes in nucleosomal occupancy, the *athira-1* mutants also show alleviation of TSI silencing (**Figure 4A**), which is not seen in the *cbn*, *ncn1* and *ncn2* mutants. None of the HIR-complex subunit mutants reactivates silencing at 106B, 180 bp or the multicopy p35S::GUS locus in the transgenic line L5 background (Morel et al., 2000) (**Figure 4A** and **Supplemental Figure 4A**). To test whether the silencing release in *athira-1* is restricted to TSI sequences or is more general, we analyzed transcript levels of several targets previously reported to be affected in different silencing mutants. We observed alleviation of silencing of the Ta3 retrotransposon and a Mutator-like DNA transposon (Mule, At2g15810) (**Figure 4B**) in *athira-1* compared to WT plants.

Given the suggested function of HIRA in transcription in other species, we wanted to test whether gene transcription is affected in *athira-1* mutants. We first analyzed transcript levels of *UEV1C* and *HXK1*, which show reduced nucleosome occupancy in *athira-1* mutants, but expression levels in WT and *athira-1* mutants were equal (**Supplemental Figure 4B**). We then tested whether loss of a functional HIR complex impacts the plant's capacity to rapidly activate gene expression upon an environmental stimulus. We exposed plants to salt stress and analyzed the expression of four genes previously shown to be induced under these conditions (Zeller et al., 2009). *PP2C* (At3g16800) and *ERF/AP2* (At1g74930) are moderately expressed under normal growth conditions (51 and 54.5 reads per million (RPM), respectively), while *MYB41* (At4g28110) and *CBF1* (At4g25490) are not expressed under non-inducing conditions (Zeller et al., 2009; Duc et al., 2013). In WT plants, all four genes are induced after 1 h of exposure to high salt medium, undergoing



changes in transcript levels from 2 fold to several hundred times, depending on the considered gene (**Figure 4C**). The *athira-1* mutant plantlets are not impaired in the rapid transcriptional response and up-regulate salt-responsive genes in a manner similar to WT plants (**Figure 4C**).

Taken together, AtHIRA is implicated in maintenance of transcriptional gene silencing at a selection of endogenous repeat elements and transposons, but is dispensable for rapid gene induction upon salt exposure.

### Epistatic relationship between CAF-1 and HIR complexes

To gain further insight into the relative importance of the different members of the HIR complex and to examine the epistatic relationship between CAF-1 and HIR complexes, we crossed *fas1* and *fas2* mutants with each mutant (*athira-1*, *ncn1*, *ncn2* or *cbn*) for the different potential HIR complex subunits. We performed segregation analyses in F2 progenies from several independent F1 plants obtained for each of the eight crosses (**Table 1**). We selected plants displaying the serrated leaf margins of homozygous *fas* plants and then determined their genotype for the corresponding HIR-complex mutation. *FAS1*, *NCN1* and *NCN2* genes are localized on chromosome 1 (~39cM and ~53cM apart from *FAS1*, respectively, **Supplemental Figure 5A**). The number of *fas1-4 ncn1* and *fas1-4 ncn2* double homozygotes matched the ratio expected for this genetic distance (see Material and Methods); the number of *fas1-4 cbn* double mutants reflects independent segregation as predicted (**Table 1**). None of the double homozygous plants derived from these three crosses exhibited any aggravation of the *fas1-4* growth phenotypes and were fertile (**Figure 5A**). In contrast, significantly less *fas1-4 athira-1* double homozygous mutants were obtained (**Table 1**). The distorted segregation was confirmed by genotyping F2 plants without prior selection of the *fas* phenotype (n= 150, **Supplemental Table 1A**). The *fas1-4 athira-1* mutants are dwarf and dark-green (**Figure 5B**), have flowers with misshapen carpels and short stamens with aberrant anthers (**Figure 5C**) and do not produce siliques, in agreement with complete male sterility revealed by Alexander staining (**Figure 5D**). No aggravated loss in pollen viability or increase in unfertilized ovules was observed in their heterozygous sister plants (**Supplemental Figure 5B-C**).

We then analyzed the progeny from crosses between *fas2-5* and HIR complex mutants. We obtained *fas2-5 ncn1*, *fas2-5 ncn2* and *fas2-5 cbn* mutants (**Figure 5E**)



although with reduced frequency (**Table 1**). The *fas2-5 ncn1* and *fas2-5 cbn* double mutants produced siliques, which contained only unfertilized ovules in case of *fas2-5 ncn1* and very little seeds in *fas2-5 cbn* mutants while *fas2-5 ncn2* siliques were similar to WT ones (**Figure 5F**). We therefore looked at anthers from *fas2-5 ncn1* and *fas2-5 cbn* plants, which showed only little viable pollen and in case of the *fas2-5 ncn1* mutants, they were often strongly affected in shape (**Figure 5G**). We could not observe any phenotypic differences between *fas2-5* and *fas2-5 ncn2* plants (**Figure 5E-F**). No *fas2-5 athira-1* double homozygous mutants were observed in the progeny of two independent F1 plants (**Table 1**). Genotyping of additional F2 plants without prior selection of the *fas2* phenotype (**Supplemental Table 1B**) identified two double homozygous mutant plants; their development was however arrested before formation of the first leaves and they died shortly after (**Figure 5E**). Furthermore, *fas2-5 athira-1/HIRA* plants are almost sterile (**Supplemental Figure 5D**) and show little viable pollen (**Supplemental Figure 5E**). We conclude that the simultaneous mutation of CAF-1 and HIR complexes causes strong defects ranging from severe growth and developmental difficulties to lethality.

Taken together, while NCN2 seems to be dispensable for plant survival and reproduction, the simultaneous mutation of FAS2 and the HIR subunits CBN, NCN1 or HIRA causes morphological aberration of increasing severity.

### **CAF-1 and HIR complexes are involved in independent, but complementary pathways of chromatin assembly**

As we anticipated that absence of either FAS1 or FAS2 would render the CAF-1 complex non-functional, we expected similar phenotypes for the two sets of crosses described above. However, all plants carrying the *fas2-5* mutation display more severe defects than those carrying the *fas1-4* mutant allele, suggesting that the latter is not a complete loss-of-function allele. While RT-PCR analysis confirmed the absence of *FAS2* full-length transcripts in *fas2-5* mutants (**Supplemental Figure 6A**), we could detect residual *FAS1* full-length transcripts in *fas1-4* mutants (**Supplemental Figure 6B**, (Ramirez-Parra and Gutierrez, 2007)) but with a 90% decrease compared to the WT level (**Supplemental Figure 6C**). Therefore, *fas1-4 athira-1* plants could still preserve residual CAF-1 activity permitting survival. We also investigated whether other H3 chaperone complexes are differentially expressed in the surviving double mutant plants and analyzed transcript levels of *ASF1A* and



*ASF1B* by RT-qPCR. We observed a change in the balance of *ASF1* expression: in *fas1-4 athira-1* mutants, *ASF1A* expression is down-regulated while *ASF1B* is up-regulated (**Figure 6A**). Hence, a change in the *ASF1A* and *B* protein levels might help adjusting histone flow to the remaining assembly complexes.

The surviving *fas1-4 athira-1* plants offer the unique opportunity to study the molecular consequences of simultaneous mutation of CAF-1 and HIR complexes. To obtain sufficient plant material, we selected double mutants grown on soil by their phenotype from the segregating progeny of *fas1-4/FAS1 athira-1* mother plants (**Supplemental Figure 6D**). Since *fas1-4 athira-1* plants are severely affected in growth and development, we first checked whether heterochromatin organization and silencing are further impaired in the double mutants compared to the single mutants. RT-PCR analysis on 4-week-old soil-grown plants showed that *fas1-4 athira-1* mutants release *TSI*, *Ta3* and *Mule* repression, but not above levels already present in single mutants. In addition, silencing at 106B and 180 bp repeats is not affected (**Figure 6B-C**). Furthermore, Fluorescence *in situ* hybridization revealed that the global organization of 180 bp and *TSI* repetitive elements into chromocenters is not altered in *fas1-4 athira-1* nuclei (**Supplemental Figure 6E**).

We therefore wondered to what extent histone dynamics and nucleosome occupancy are affected in the surviving *fas1-4 athira-1* plants. As expected from our observations of the respective single mutants, non-nucleosomal H3 histone protein amounts are reduced in *fas1-4 athira-1* plants relative to WT (**Figure 6D-E**). When we assessed nucleosome occupancy by H3-ChIP combined with qPCR on 4-week-old soil-grown plants, we found that nucleosome occupancy was severely reduced at heterochromatic repeats and an intergenic region as well as at the three actively transcribed genes tested and this at most analyzed regions (**Figure 6F-G**).

Taken together, we conclude that if CAF-1 and HIR-mediated assembly pathways are simultaneously impaired, plants fail to maintain nucleosome occupancy in both actively transcribed and transcriptionally repressed genomic regions. Moreover, our data indicate that organization and silencing of repetitive sequences can be maintained despite significant reduction in nucleosome occupancy, suggesting that alternative silencing mechanisms compensate impaired nucleosome assembly and consequently altered nucleosomal content in plants. The aggravated reduction in H3 occupancy in *fas1-4 athira-1* double mutants implies that CAF-1 and





HIR complexes are involved in at least partially independent pathways of chromatin assembly that concomitantly contribute to maintenance of nucleosome occupancy.



## Discussion

Proper packaging of DNA into chromatin is essential for genome structure and ensures stability and inheritance of epigenetic information. A particular role in these processes can be assigned to the factors responsible for histone deposition. Indeed, the different chromatin assembly factors as well as other histone chaperones involved in histone transport and storage are highly conserved through evolution.

### **Distinct contribution of the different members of the HIR complex**

In this study, we identified *bona fide* orthologs of the mammalian HIRA, UBINUCLEIN and CABIN1 proteins in the Arabidopsis genome and revealed different levels of importance for the members of the complex. While growth and vegetative development is not affected in single mutants for the different subunits, lack of AtHIRA causes defects in reproductive development. An intriguing hypothesis is that AtHIRA is implicated in histone variant dynamics during male gametogenesis, which necessitates reprogramming of the histone variant repertoire (Ingouff et al., 2007, 2010), to result in the presence of only H3.3 and H3.3-like variants in mature pollen (Ingouff et al., 2010). Regarding the other subunits of the HIR complex, NCN2 is dispensable for plant survival and reproduction in WT or *fas* mutant background, in agreement with the fact that growth or reproduction in the *ncn1 ncn2* double mutant is not impaired beyond that of *ncn1* alone (data not shown). CBN/NCN1 and AtHIRA are less dispensable, in growing order. Given the conservation of the functional domains found in other organisms, HIRA may mediate the interaction with NCN1, CBN and potentially ASF1, and its absence could destabilize the complex. This is the case in yeast where loss of Hir1 or Hir2 reduces Hir3 protein levels (Song et al., 2013) and in mammals, where depletion of HIRA leads to a concomitant decrease in UBN1 and CABIN1 (Ray-Gallet et al., 2011). Our data, however, provide evidence that the Arabidopsis HIR complex is at least still partly functional in the absence of NCN1 or CBN, reflecting mammalian cells where CABIN1 only plays a limited role in H3.3 deposition, followed by UBN1 and finally HIRA, which is crucial for H3.3 incorporation (Ray-Gallet et al., 2011). Furthermore, transcriptional repression of yeast histone genes depends on Hir1 and Hir2, but only partly on Hir3 (Spector et al., 1997; Osley and Lycan, 1987) and the Drosophila HIR complex does not comprise a CABIN ortholog (Amin et al., 2011). An alternative and not exclusive hypothesis is



that AtHIRA also has HIR complex-independent functions. Indeed, genome-wide ChIP-Seq analysis in human cells localized HIRA to several chromosomal positions that are not co-occupied by UBN1 or ASF1 (Pchelintsev et al., 2013). We expect that specific functions of the different Arabidopsis HIR complex subunits might be revealed only under certain growth and environmental conditions, or in particular cell types.

### **Role of HIRA in nucleosome dynamics**

Loss of the AtHIRA subunit results in reduced levels of non-nucleosomal histones, as also observed for CAF-1 mutants. This suggests that absence of histone assembly complexes affects histone flow and might render those histones, which are not readily deposited onto chromatin, prone to degradation. Histone deposition, however, is globally achieved in single mutants for both histone deposition complexes, as the total amount of nucleosomal H3 histones is comparable to that in WT. Analyzing nucleosome occupancy in a locus-specific manner, we found that loss of AtHIRA affects nucleosomal occupancy at certain active genes, and this within the body and 3' ends, regions that were previously shown to be enriched in H3.3 (Stroud et al., 2012). This nucleosome loss at active genes could be explained by failure to restore nucleosomes using H3.3 after passage of the transcriptional machinery. Interestingly, similar to CAF-1, HIRA also impacts H3 occupancy at heterochromatic targets. Despite heterochromatin being not (or very poorly) transcribed and generally considered to have slow histone exchange rates, the presence of H3.3 has been reported in centric and pericentric heterochromatin and telomeres in mouse and human (Drané et al., 2010; Goldberg et al., 2010; Lewis et al., 2010; Wong et al., 2009; Morozov et al., 2012; Dunleavy et al., 2011). It is therefore possible that AtHIRA is implicated in histone deposition at heterochromatic targets, a process that could be particularly important in those cells that undergo neither replication nor endoreplication. Such a requirement for the HIR complex may explain the subtle, but significant transcriptional reactivation of endogenous pericentromeric repeats and transposons observed in *athira-1* mutants and suggest nucleosome occupancy maintenance contributes to transcriptional gene silencing. Interestingly the HIRA orthologs in fission yeast are also involved in transcriptional silencing at pericentromeric heterochromatin (Blackwell and Martin, 2004; Yamane et al., 2011). Further studies, taking the cellular properties of different tissues and developmental



variations into account, should shed further light on cell-specific roles of the HIR complex.

Several lines of evidence link HIRA and deposition of histone replacement variants to transcription control (Ahmad and Henikoff, 2002; Formosa et al., 2002; Sakai et al., 2009; Ray-Gallet et al., 2011; Schneiderman et al., 2012). However, when we analyzed the capacity of HIRA-deficient plants to activate gene transcription in response to salt stress, we found no difference compared to WT, neither for genes with basal expression levels nor for genes silent before induction. Either HIR-mediated histone dynamics or the incorporation of the replacement variant during transcription could be dispensable for proper expression at these sites. The latter is in agreement with observations from both *Tetrahymena* and *Drosophila*, which survive and show correct gene expression in absence of the histone variant H3.3 (Hödl and Basler, 2009; Cui et al., 2006) and the lack of strong phenotypes observed in our *athira-1* mutant plants. It is likely that alternative histone chaperone complexes are also implicated in replacement variant deposition. While no DAXX ortholog has been identified in plants (Zhu et al., 2013), *Arabidopsis* encodes several DEK proteins, and other yet unidentified histone chaperones could play a role in histone variant dynamics.

### **Functional compensation in histone deposition between CAF-1 and HIR complexes?**

The combination of the *fas2-5* mutation with *athira-1* causes post-germination lethality while *fas1-4 athira-1* mutants are viable but sterile. We found remaining full-length transcripts in plants carrying the *fas1-4* allele. The survival of *fas1-4 athira-1* mutants could thus be explained by residual CAF-1 activity, concomitantly with slow growth and potentially a premature switch to endoreplication observed in *fasciata* mutants (Ramirez-Parra and Gutierrez, 2007). In addition, the adaptation of ASF1A/B histone donor function primarily to the CAF-1 complex may help to sustain sufficient nucleosome assembly to permit plant survival.

In *S. cerevisiae*, the loss of silencing at telomeres and mating type loci in *hir* mutants combined with mutants lacking CAF-1 (*cac*) is strongly enhanced over that in *cac* mutants alone (Kaufman et al., 1998; Osley and Lycan, 1987). Maintenance of heterochromatin in the absence of CAF-1 function in yeast therefore requires the HIR complex. However, despite an important reduction in H3 occupancy in the *fas1-4*





*athira-1* double mutant, silencing of an endogenous repeat sequence and certain transposons as well as heterochromatin organization is not further affected compared to the single mutants. This is surprising, as appropriate nucleosome assembly is not only required for DNA packaging but also important for propagating epigenetic information. We suggest that the moderate silencing defects observed in *fas1-4 athira-1* mutants are due to a CAF-1 residual function. We interpret this finding by the presence of alternative mechanisms required for gene silencing and heterochromatin maintenance such as DNA methylation, which is of primordial importance in plants in directing histone modifications and silencing (Rigal and Mathieu, 2011) and which is unaffected in *fas* mutants (Schönrock et al., 2006).

The aggravated nucleosomal loss and the accentuated phenotypic defects in *fas1-4 athira-1* double mutants, together with the viability of the respective single mutants, suggest partial functional compensation between the two histone deposition complexes. Hence, we can speculate that the Arabidopsis HIR complex can at least partially compensate a deficiency in replication-coupled histone assembly, potentially by nucleosomal gap-filling mechanisms. Such a mechanism has been proposed in mammals, where in absence of functional CAF-1, the HIR complex recognizes naked DNA stretches remaining after replication via its DNA-binding properties and fills the gaps through H3 deposition (Ray-Gallet et al., 2011). Whether this mechanism occurs in plants remains to be elucidated. Given the only subtle nucleosomal reduction observed in *athira-1* mutants, it could also be envisaged that such compensation works in both directions, allowing also the CAF-1 complex to perform H3.3 incorporation. Interestingly, in mammals, in DAXX- or HIRA-depleted cells, CAF-1 co-purifies with the replacement variant H3.3 (Drané et al., 2010; Lewis et al., 2010).

In conclusion, several lines of evidence support the notion that the Arabidopsis HIR complex functions as a histone chaperone: loss of AtHIRA reduces the pool of non-nucleosomal histone H3, impacts nucleosome occupancy at both active and repressed chromosomal regions and affects transcriptional silencing. In addition, synthetic lethality is observed when *hir* mutants are combined with complete loss-of-function *fas* alleles. Taken together, our results imply an evolutionary conserved mode of action of these two plant histone H3 assembly complexes. Indeed, the presence of Arabidopsis H3.1 and H3.3 variants at, respectively, transcriptionally repressed or active genomic regions is similar to *Drosophila* and mammals (Mito et



al., 2005; Goldberg et al., 2010; Stroud et al., 2012; Wollmann et al., 2012). This observation may have been unexpected, given that the evolution of functionally divergent H3 variants is thought to have occurred independently between the plant and animal kingdoms. However, the highly conserved function of plant histone chaperone complexes leaves the intriguing possibility that histone chaperones contributed to the functional diversification of the histones they transport and deposit.



## Materials and Methods

### *Plant Material*

Mutant *Arabidopsis* lines *fas1-4* (SAIL-662-D10), *fas2-5* (SALK\_147693), *athira-1* (WiscDsLox362H05), *ncn1* (GABI\_018D02), *ncn2* (GABI\_130H01) and *cbn* (SALK\_099927) as well as lines SALK\_019573, GABI\_775H03 and SALK\_143806 mapping to the *AtHIRA* locus were obtained from the Nottingham Arabidopsis Stock Center (NASC) and/or were gifts from other laboratories. All mutants are in the Columbia background. The *athira-1* (WiscDsLox362H05) mutant contains two T-DNAs inserted in the 5<sup>th</sup> intron and the 6<sup>th</sup> exon, respectively. The SALK\_019573 line comprises two T-DNAs localizing to the promoter, and for GABI\_775H03, the T-DNA is inserted in the 5'UTR. Line SALK\_143806 lacks any T-DNA insertion in the available seed pools. Two T-DNA insertions in tandem were revealed in *ncn1* (GABI\_018D02) mutants in the 5<sup>th</sup> intron, one of them affecting a splice site. One T-DNA insertion was identified in the 10<sup>th</sup> intron of *ncn2* mutants, while in *cbn* mutants two T-DNAs in tandem were detected in the 6<sup>th</sup> intron. The *athira<sup>amiRNA</sup>* line was created by cloning a hairpin construct into pRS300 as described (Schwab et al., 2010). The pRS300 vector was recombined with a destination vector by Gateway technology and WT Columbia plants were transformed with *Agrobacterium* by the floral dip method. Plants were grown on soil in a growth chamber under 16-h light/8-h dark cycles at 22°C. For *in vitro* culture, seeds were sterilized in 70% EtOH with 0.05% SDS followed by a wash in 95% EtOH, dried and sown on germination medium containing 0.8% w/v agar, 1% w/v sucrose and half-strength Murashige & Skoog salts (M0255; Duchefa Biochemie, Netherlands). After 2 days of stratification at 4°C in the dark, plants were grown under 6-h light/8-h dark cycles at 23°C.

### *Documentation of phenotypes*

Images of dissected siliques and flowers were taken using a Leica binocular and the LAS 3.6 software (Leica Microsystems, Switzerland), with a 0.63x and a 2x magnification, respectively.

### *Identification of HIR complex components and phylogenetic analysis*

To identify *Arabidopsis* orthologs of the HIR complex subunits, we performed interspecies Blast searches with the mammalian protein sequences. Conserved



motifs for each member of the HIR complex were aligned using the program Muscle (Edgar, 2004) with default settings. Trees were constructed from amino acid sequences of the conserved motifs. Distance analyses used the program PhyML (MEGA 5 package) (Tamura et al., 2011). Distance bootstrap analyses consisted of 1,000 replicates. The following parameters were used: Analysis (Phylogeny Reconstruction), Statistical Method (Maximum Likelihood), Test of Phylogeny (Bootstrap method), Substitution Type (Amino acid), Model/Method (Poisson model), Rates among Sites (Uniform rates), Gaps/Missing Data Treatment (Complete deletion), Tree Inference Options (Nearest-Neighbor-Interchange, Initial Tree for ML, Default - NJ/BioNJ), Branch Swap Filter (Very Strong).

### *Genotyping*

Homozygous plants for the different mutant lines used in this study were identified by PCR amplification with the primers listed in **Supplemental Table 2**.

### *Calculation of expected double mutant progenies from crosses between *fas1-4* and *ncn1* or *ncn2* mutants*

The *FAS1* and *NCN1* genes have a 39cM distance *i.e.* a 39% recombination frequency. The recombinant gametes of double heterozygote F1 plants (*FAS1*; *NCN1* and *fas1-4*; *ncn1*) are expected with a frequency of 0.39/2. To obtain fertilization by the *fas1-4*; *ncn1* recombinant gametes, the frequency is:  $(0.39/2) \times (0.39/2) = 0.038$  *i.e.* 3.8%. Hence, for the 59 genotyped plants, we expected 2 double mutant plants. The genetic distance between *FAS1* and *NCN2* genes is 53cM; therefore these mutant alleles are expected to segregate as unlinked.

### *Alexander staining*

Viability of mature pollen grains was assayed as described in (Alexander, 1969). Anthers from stained flowers were isolated and photographed using a Zeiss Axioplan microscope and the Axiovision 4.2 software (Carl Zeiss Vision GmbH, Le Pecq, France).





### *Salt treatment*

Plantlets grown for 10 days *in vitro* were transferred into liquid MS medium containing 200 mM NaCl. Samples were shock-frozen in liquid nitrogen before treatment and after 1 h of salt exposure.

### *RNA extraction and RT-PCR*

RNA was extracted using Tri-Reagent (Euromedex) according to the manufacturer's instructions. RNA was treated with RQ1 DNase I (Promega) and purified using phenol-chloroform extraction. Reverse transcription was primed either with oligo(dT)<sub>15</sub> or with random hexamers using M-MLV reverse transcriptase (Promega). RNA quantity and purity were assessed with the Nanodrop (Thermo Scientific). The resulting cDNAs were used in standard PCR (Promega Flexi) or in quantitative PCR with the LightCycler<sup>®</sup> 480 SYBR Green I Master kit on the Roche LightCycler<sup>®</sup> 480. Transcript levels of interest were normalized to *SAND* (Czechowski et al., 2005) using the comparative threshold cycle method.

### *Fluorescence in situ hybridization*

For nuclear spreads, rosette leaves from 4 week-old soil-grown plants were fixed in ethanol-acetic acid (3:1 v/v). FISH was performed essentially as described (Probst et al., 2003). The biotin-labeled 180 bp probe was prepared by PCR with T3 and T7 primers using pBluescript containing two copies of the 180bp repeat as template. Digoxigenin-labeled probes for Transcriptionally Silent Information (TSI) were generated by nick-translation (Roche) using the clones pA2 and pA15 (Steimer et al., 2000). Slides were analyzed with the Zeiss Axio Imager Z.1 microscope. Images were acquired with the Zeiss AxioCam MRm camera system using the Zeiss Axiovision software and processed with ImageJ and Adobe Photoshop.

### *Ploidy Analysis*

Nuclei were prepared using a modified version of the original Galbraith method (Galbraith et al., 1983). Cytometric analysis was carried out using the *Attune*<sup>®</sup> *Acoustic* Focusing cytometer. For statistical analysis, we performed a Shapiro-Wilk test to confirm that the data are normally distributed, followed by a Bartlett's test to analyze if samples were from populations with equal variances, and finally, a Tukey's HSD (Honest Significant Difference) test was applied in conjunction with an ANOVA



(for the pair-wise comparison of means) to find means that were significantly different from each other.

### *ChIP analysis*

Chromatin of 3 week-old *in vitro* grown plantlets or shoots from 4 week-old soil-grown plants was formaldehyde cross-linked and chromatin immunoprecipitation carried out as previously described (Bowler et al., 2004) with minor modifications: Chromatin was sheared using the Diagenode Bioruptor (10 cycles of 30 s ON and 1.5 min OFF). Protein A-coupled magnetic beads (Diagenode) or Protein A-coupled Dynabeads (Invitrogen) were used instead of Sepharose beads, and the sonicated chromatin was pre-cleared in presence of magnetic beads for 3 h, before immuno-precipitation with the anti-H3 antibody (Abcam, ab1791). Chromatin cross-linking was reversed by heating at 95°C for 10 min, followed by incubation for 1 h at 43°C in the presence of proteinase K (Roche Diagnostics). Proteinase K was inactivated at 99°C for 10 min. DNA was quantified using qPCR (Roche) and normalized relative to input.

### *Protein Extraction and Western Analysis*

For extracts containing non-nucleosomal histones, 100 mg of WT and mutant plantlets were frozen in liquid nitrogen and ground to a fine powder. Proteins were extracted in 50 mM Tris HCl pH 8, 150 mM NaCl, 10 mM EDTA, 50 mM NaF, 1% NP40, 0.45% desoxycholate, 1% SDS and proteinase inhibitors (Roche). The supernatant of a first centrifugation step (15,000g; 20 min) was centrifuged again with the same parameters and the protein concentration of the second supernatant was quantified using a Bicinchoninic Acid assay (Sigma) with bovine serum albumin as the reference standard. For extracts containing nucleosomal histones, nuclei were prepared from 2 g plant material according to an adapted ChIP-protocol using HONDA buffer (10 mM MgCl<sub>2</sub>, 0.4 M sucrose, 2.5% Ficoll, 5% Dextran 40, 25 mM Tris-HCl pH=7.4, 5 mM DTT, 0.5 mM PMSF, 1% Protease Inhibitors). Briefly, tissue was ground to powder in liquid nitrogen and re-suspended in HONDA buffer and filtrated; an aliquot was recovered for total extract. After incubation with 0.5% Triton X-100 samples were centrifuged (1,500g; 5 min). Nuclei were washed successively in HONDA buffer with 0.1% Triton X-100 then without Triton X-100 and finally re-suspended in Laemli buffer. SDS-PAGE and Western blots were performed according to standard procedures. Western Blots were probed with the anti-H3



antibody (Abcam, ab1791, 1/5,000). Equal loading of proteins was confirmed with a mouse monoclonal anti-actin antibody (1/1,000; Sigma), Ponceau or Coomassie staining. Primary antibodies were revealed by incubation with a horseradish peroxidase-coupled anti-rabbit secondary antibody (1/25,000) or a horseradish peroxidase-coupled anti-mouse secondary antibody (1/5,000 dilution; Sigma), respectively. Chemiluminescence of the immunoblots were developed using ECL Western blotting detection reagents (GE Healthcare Bio-Sciences, Amersham, www.gelifescience.com). Densitometric analysis of the immunoreactive protein bands obtained in Western blots was performed on non-saturated signals using Multi Gauge software (Fujifilm). Nucleosomal H3 was quantified relative to actin in the corresponding total extracts. Remaining actin protein levels in nucleosomal extracts are very low (**Supplemental Figure 3H**).

#### *ChIP-seq data analysis*

Available H3.1 and H3.3 ChIP-seq read data (GSE34840) (Stroud et al., 2012) were aligned to the sequences of interest using Bowtie (default parameters) (Langmead et al., 2009) allowing up to 5 mismatches for the read length of 50 bp in this dataset. Tablet (Milne et al., 2013) was used to visualize the mapped sequences. Finally, a Perl script was developed to count the number of reads mapping to each position of the sequence of interest. The histogram was generated with R software.

#### *Primers*

All primer sequences are listed in **Table S1**.

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### **Author contributions**

C.D. and A.V.P. designed the research. C.D., M.B., S.L.G., L.S., S.C. and A.V.P performed the experiments and analyzed the data. A.P. contributed analytical methods. C.D., C.T. and A.V.P. wrote the paper.

### **Conflict of Interest**

The authors declare no conflict of interest.





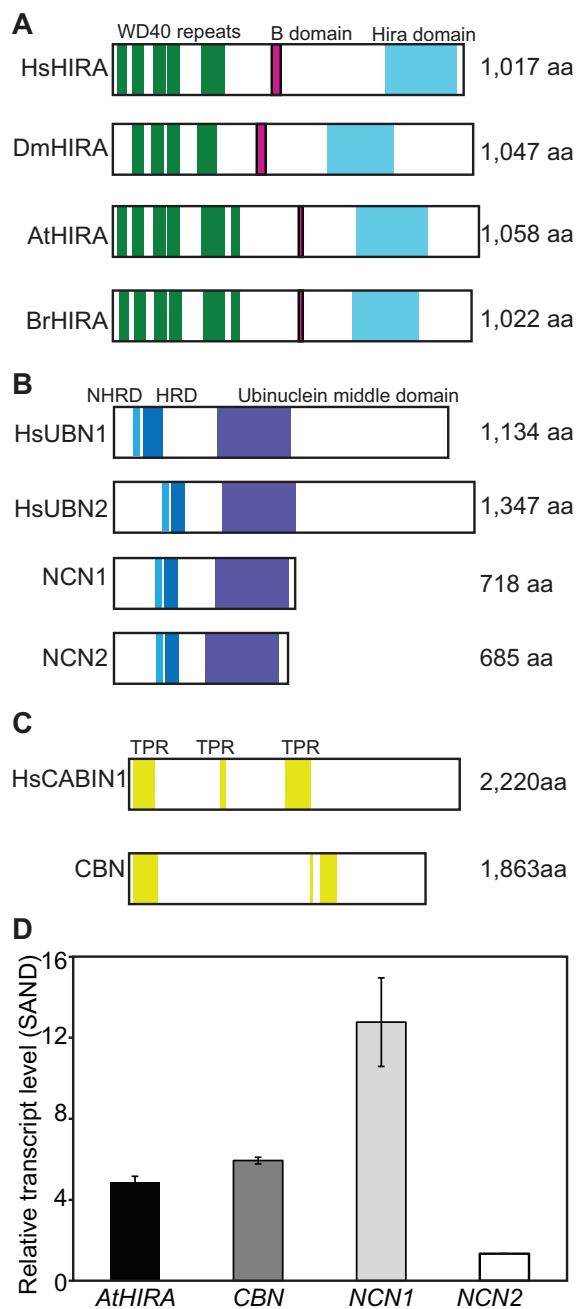


Figure 1: Evolutionary conservation of the HIR complex subunits in plants.

A The mammalian and *Drosophila* HIRA proteins (respectively 1,017aa and 1,047aa) contain WD40 repeats in their N-terminal region and a Hira domain (also named TUP1-like enhancer of split) at their C-terminus. HIRA proteins also comprise a conserved motif called B domain. A similar protein structure is predicted for *Arabidopsis* and *Brassica rapa* HIRA proteins. B The human Ubiquitins (UBN1 and UBN2) and the two *Arabidopsis* orthologs contain the HRD (Hpc2-related domain) and a putative NHRD (N-terminal to the HRD region) domain as well as a conserved region termed Ubiquitin middle domain at their C-terminus. The two plant proteins miss the large C-terminal domains of the animal counterparts. C The mammalian calcineurin-binding protein 1 (CABIN1) and its *Arabidopsis* ortholog contain several tetratricopeptide (TPR)-like bi-helical repeats. D RT-qPCR analysis of *AtHIRA*, *NCN1*, *NCN2* and *CABIN1* transcript levels in 4-week old plants. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.



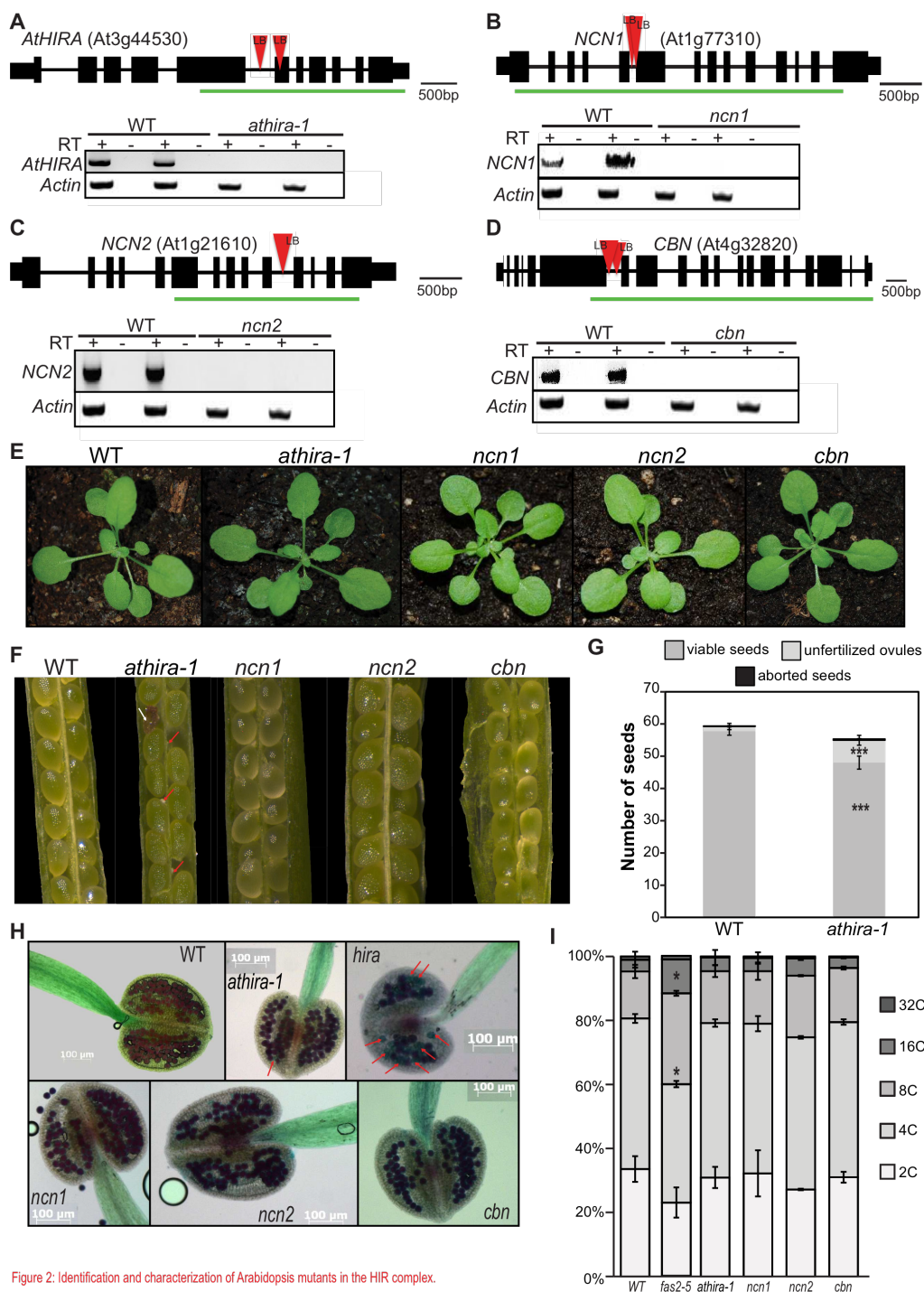


Figure 2: Identification and characterization of Arabidopsis mutants in the HIR complex.

A-D Gene structures and expression of the putative Arabidopsis HIR complex subunits in wild-type (WT) and mutants. Exons are denoted by rectangles, UTRs by adjoining narrower rectangles and introns by lines. T-DNA insertions are displayed as red triangles with the left border (LB) indicated. Absence of full-length transcripts is revealed by RT-PCR on two biological replicates for *athira-1* (A), *ncn1* (B), *ncn2* (C) and *cbn* (D). The amplified region is displayed by a green line. E Representative 25 day-old plantlets of WT, *athira-1*, *ncn1*, *ncn2*, and *cbn* mutants grown on soil. F Representative WT, *athira-1*, *ncn1*, *ncn2*, and *cbn* dissected siliques. Red arrows indicate unfertilized ovules and white arrow aborted seed. G Quantification of seed content in WT and *athira-1* siliques. Histograms show mean of viable seeds, unfertilized ovules and aborted seed content  $\pm$  SEM. Quantifications were obtained from 23 WT and 37 *athira-1* siliques pooled from, respectively, 4 and 6 plants. \*\*\*,  $p < 0.001$ ; Student's t-test. H Pollen viability assessed by Alexander staining. Similar to WT, *cbn*, *ncn1* and *ncn2* mutants show viable pollen (purple-colored cytoplasm). Only *athira-1* mutant anthers contain non-viable pollen (green color) indicated by red arrows. Bar=100  $\mu$ m. I Ploidy level distribution of WT, *fas2-5*, *athira-1*, *ncn1*, *ncn2* and *cbn* nuclei from 10 day-old plants. For ploidy analysis of each genotype, two independent preparations of pooled shoot material from 15 plants were investigated. A Tukey's HSD (Honest Significant Difference) test was used in conjunction with an ANOVA to determine means that were significantly different from each other (denoted by an asterisk).



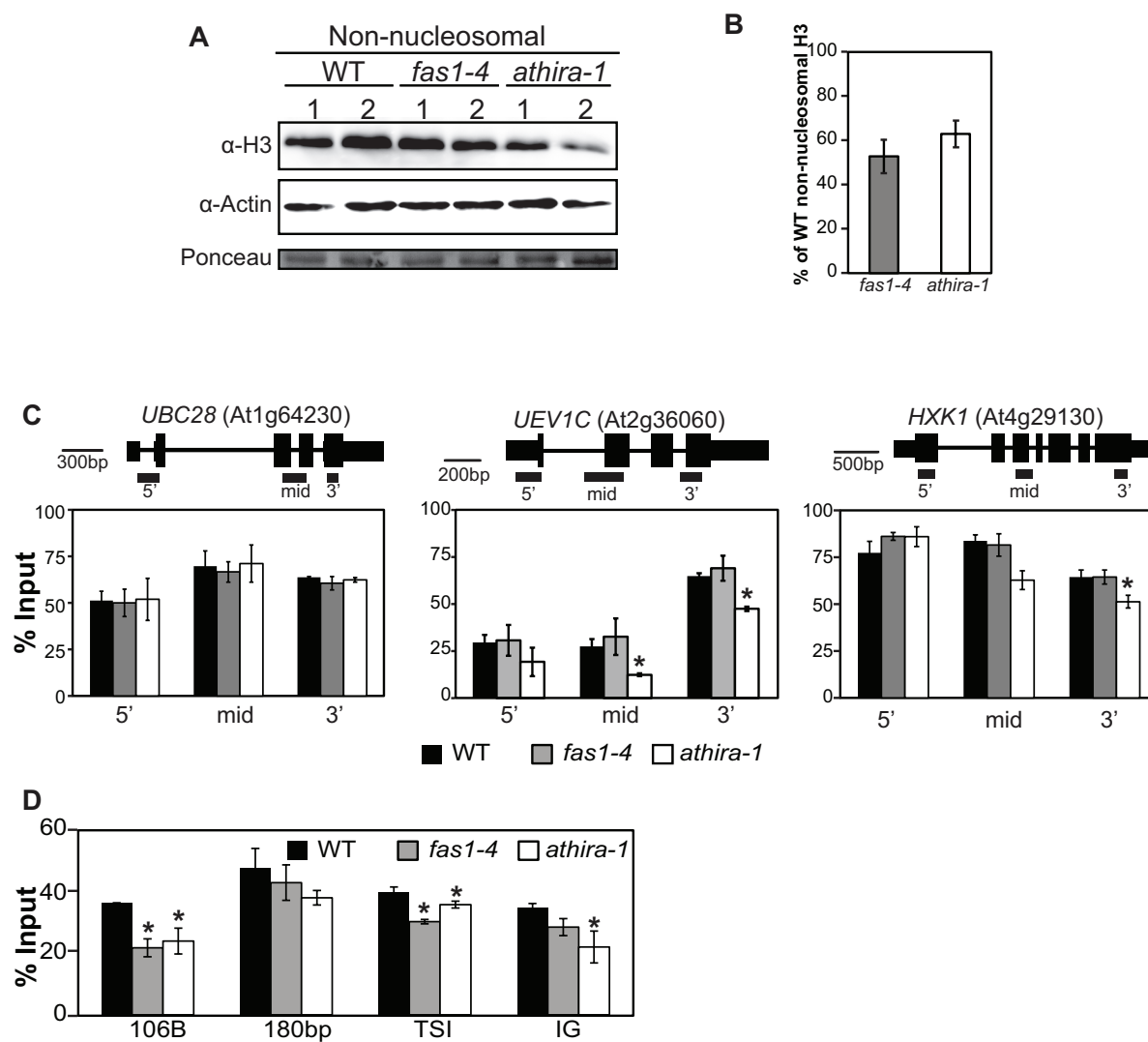


Figure 3: AtHIRA loss affects the non-nucleosomal histone pool and nucleosome occupancy.

A Non-nucleosomal histone H3 protein levels quantified by Western Blot. Twenty micrograms of proteins extracted from two independent biological replicates of WT, *fas1-4* and *athira-1* mutant leaf material were loaded per lane. The upper panel shows the western blot for H3, the central panel the loading control actin and the bottom panel the Ponceau staining.

B Quantification of non-nucleosomal H3 band intensities from 3 independent experiments normalized to actin using Multi Gauge. H3 levels normalized to actin in WT were set to 100%.

C Histone H3 occupancy assessed by H3-ChIP qPCR relative to input in WT, *fas1-4* and *athira-1* mutant plants grown in vitro for 3 weeks at different positions along three active genes (*UBC28*, *UEV1C* and *HXK1*). Histograms show mean percentage  $\pm$  SEM of H3-immunoprecipitation relative to input for three biological replicates. \*,  $p < 0.05$ ; Student's t-test.

D Histone H3 occupancy assessed by qPCR H3-ChIP relative to input in WT, *fas1-4* and *athira-1* mutant plants at heterochromatic repeats and at an intergenic region.



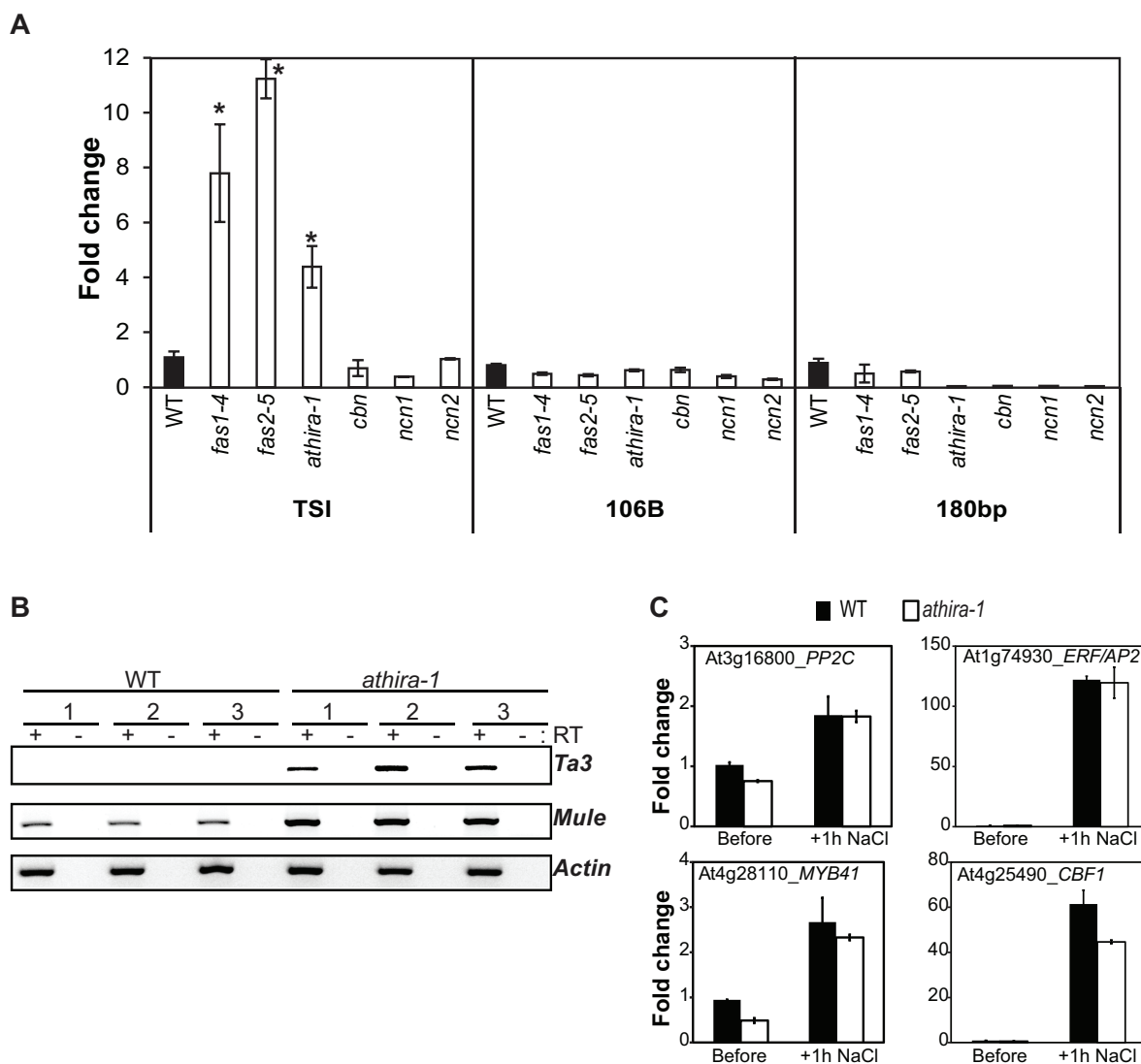


Figure 4: Release of transcriptional gene silencing and induction of gene expression in *athira-1* mutants.

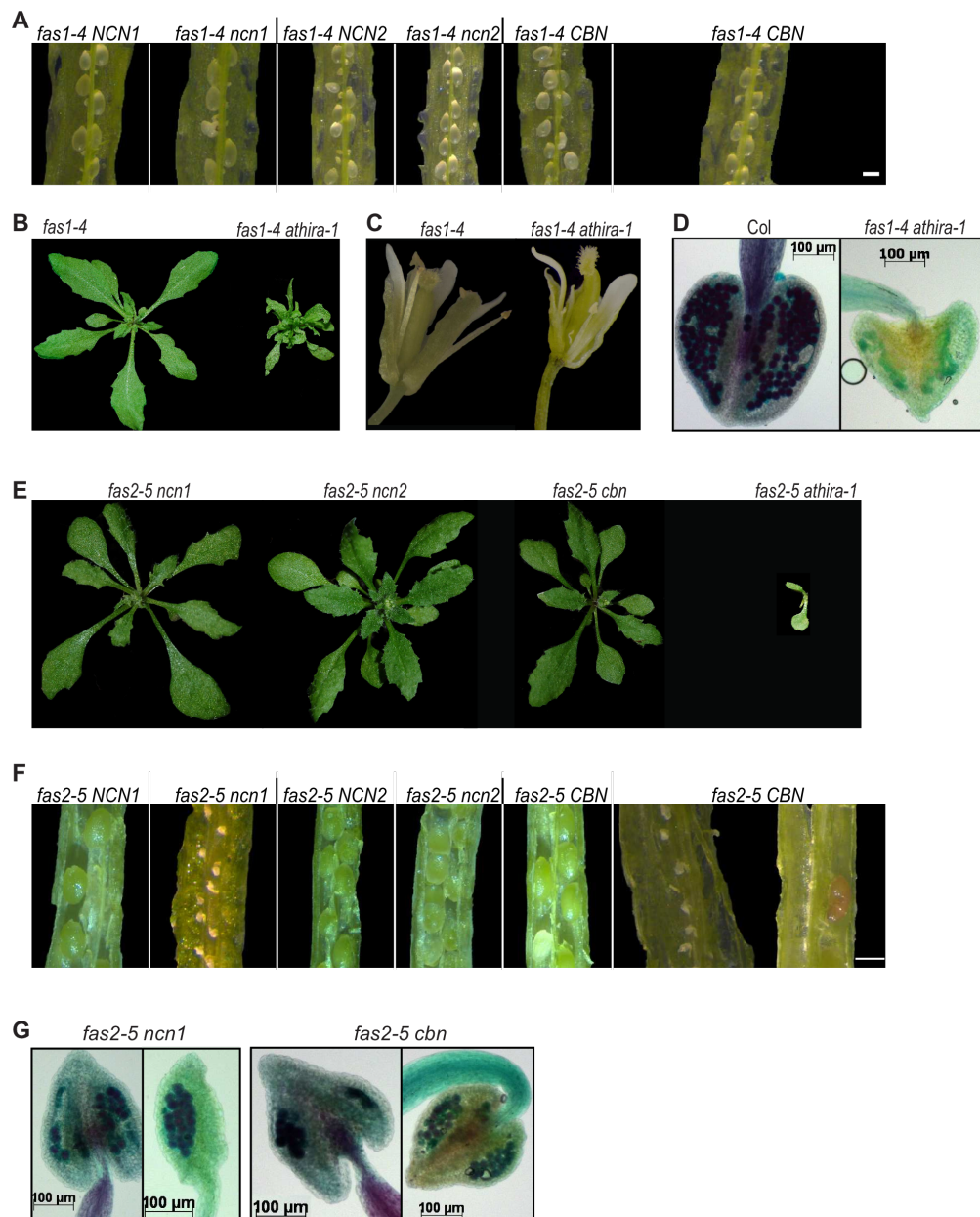
A RT-qPCR analysis of Transcriptional Silent Information (TSI), 106B and 180 bp transcripts in *fasciata* (*fas*) and HIR complex mutants aged of 18d and grown on soil. Histograms show means of transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* expression. \*,  $p < 0.05$ ; Student's t-test.

B RT-PCR analysis of *Ta3* and *Mule* (At2g15810) transcripts of three independent biological replicates from WT and *athira-1* plants. *Actin* was used for normalization.

C RT-qPCR analysis of transcript levels of *PP2C* (At3g16800), *ERF/AP2* (At1g74930), *MYB41* (At4g28110) and *CBF1* (At4g25490) before and after 1h exposure to 200mM NaCl (+1h NaCl) of WT and *athira-1* mutant 10-day-old plantlets. Histograms show means of transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates.







**Figure 5: Genetic interactions between members of the CAF-1 and HIR complexes.**

A Dissected siliques from F2 progeny of crosses between *fas1-4* and *ncn1*, *ncn2* and *cbn* mutants. For each cross a representative siliques from *fas1-4* plants and a double mutant sister plant is shown. Bar, 0.3 mm.

B *fas1-4* and *fas1-4 athira-1* mutant plantlets grown on soil.

C Representative flowers from *fas1-4* and *fas1-4 athira-1* mutants.

D Representative WT and *fas1-4 athira-1* mutant anthers after Alexander staining revealing absence of viable pollen in the double mutant.

E Representative *fas2-5 ncn1*, *fas2-5 ncn2*, *fas2-5 cbn* and *fas2-5 athira-1* mutant plants.

F Dissected siliques from F2 progeny of crosses between *fas2-5* and *ncn1*, *ncn2* and *cbn* mutants. For each cross a representative siliques from *fas2-5* plants and double mutant sister plants is shown. Bar, 0.3 mm

G Pollen viability assessed by Alexander staining of anthers from plants derived from crosses between *fas2-5* and *ncn1* or *cbn*. The respective genotype is indicated. Viable pollen has a purple-colored cytoplasm while non-viable pollen exhibits a green color.



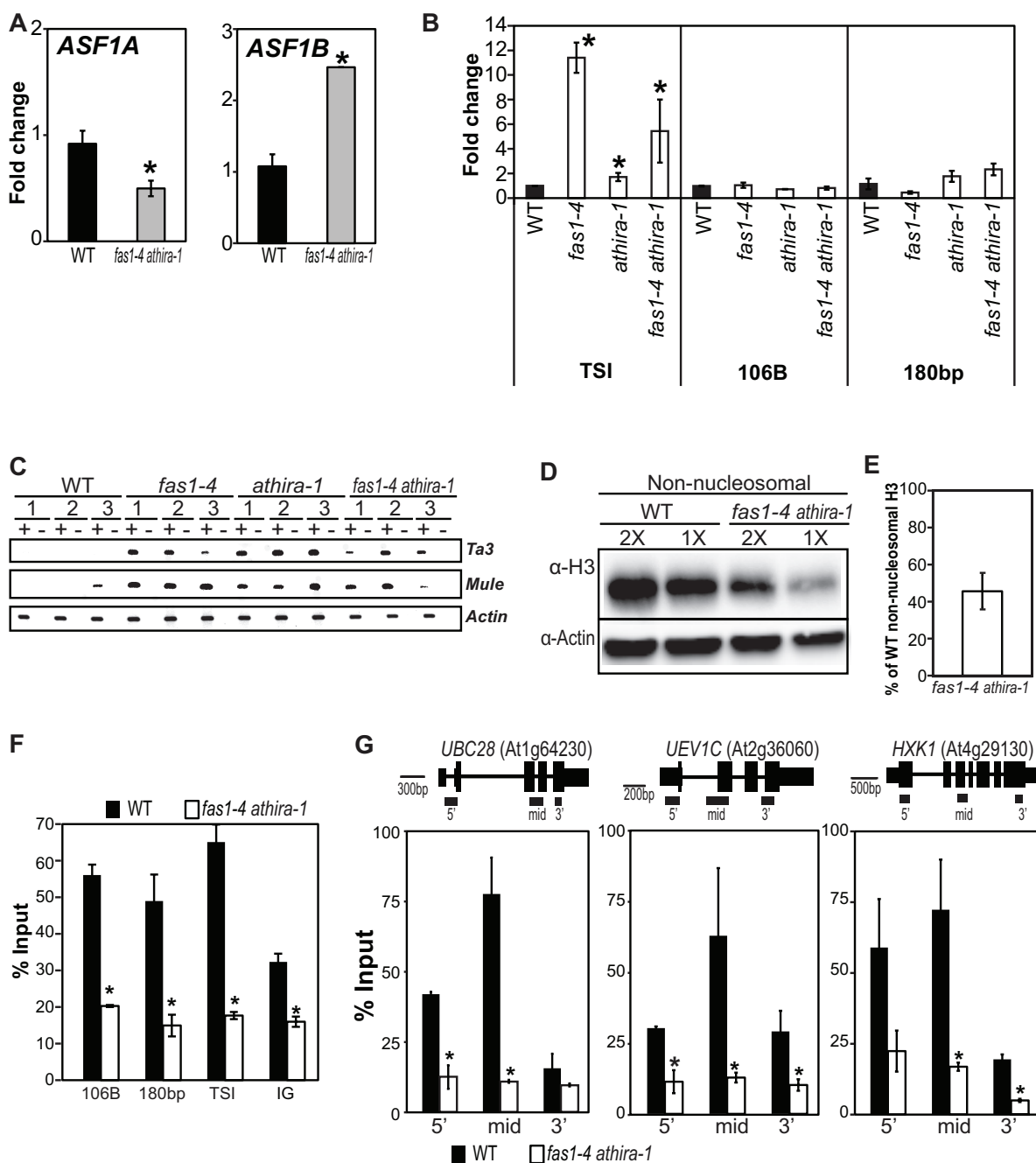


Figure 6: Molecular consequences of simultaneous mutation of CAF and HIR complexes.

A RT-qPCR analysis of *ASF1A* and *ASF1B* expression in WT and *fas1-4 athira-1* 4-week old mutants. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.

B RT-qPCR analysis of TSI, 106B and 180 bp expression in WT, *fas1-4*, *athira-1* and *fas1-4 athira-1* 4-week old plants. Histograms show the mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.

C RT-PCR analysis of *Ta3* and *Mule* (At2g15810) transcript levels. Three independent biological replicates were analyzed. *Actin* was used as control.

D Non-nucleosomal histone H3 protein levels quantified by Western Blot. Twenty and 10  $\mu$ g of non-nucleosomal proteins extracted from WT and *fas1-4 athira-1* mutant leaves were loaded per lane. The upper panel shows the western blot for H3, the middle panel the loading control actin and the bottom panel the Ponceau staining as a second loading control.

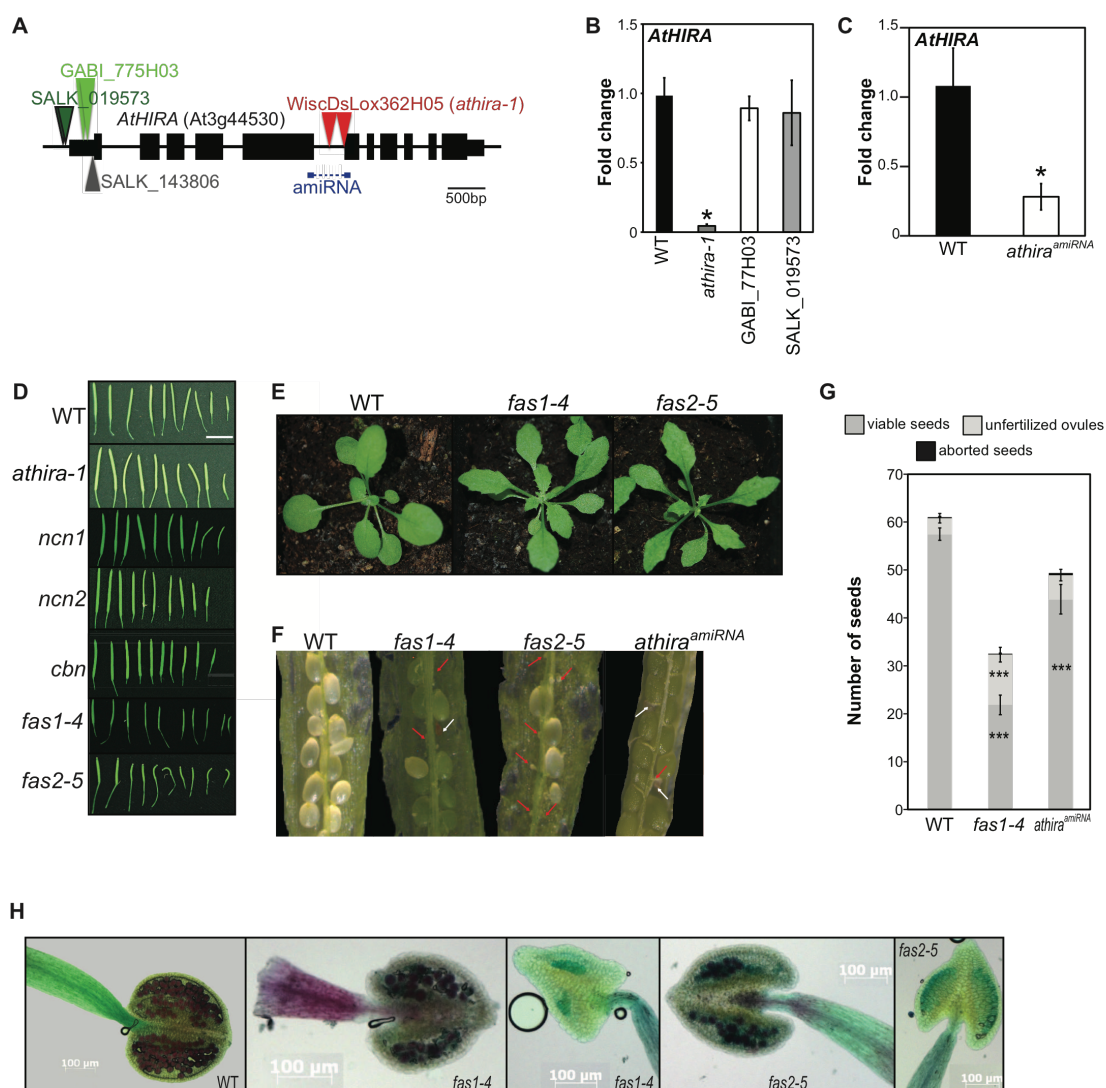
E Quantification of non-nucleosomal H3 protein levels. H3 levels normalized to actin in WT were set to 100%.

F-G Histone H3 occupancy assessed by H3-ChIP qPCR relative to input in WT and *fas1-4 athira-1* mutant plants at heterochromatic and intergenic regions (F) as well as different positions along three active genes (G). Histograms show mean percentage  $\pm$  SEM of H3-immunoprecipitation relative to input for three biological replicates. \*,  $p < 0.05$ ; Student's t-test.









**Supplemental Figure 2: Characterization of Arabidopsis mutants in the HIR and CAF-1 complex.**

**A** Structure of *AtHIRA* gene locus. Exons are denoted by rectangles, UTRs by adjoining narrower rectangles and introns by lines. T-DNA insertions are displayed by colored triangles. The blue boxes show the sequence spanning an intron, which is targeted by the artificial microRNA construct.

**B** RT-qPCR analysis of *AtHIRA* expression in the respective homozygous T-DNA insertion lines. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.

**C** RT-qPCR analysis of *AtHIRA* expression in the *athira*amiRNA line. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.

**D** Representative WT, *athira-1*, *ncn1*, *ncn2*, *cbn*, *fas1-4* and *fas2-5* siliques.

**E** Representative 25 day-old plantlets of WT, *fas1-4* and *fas2-5* mutants grown on soil.

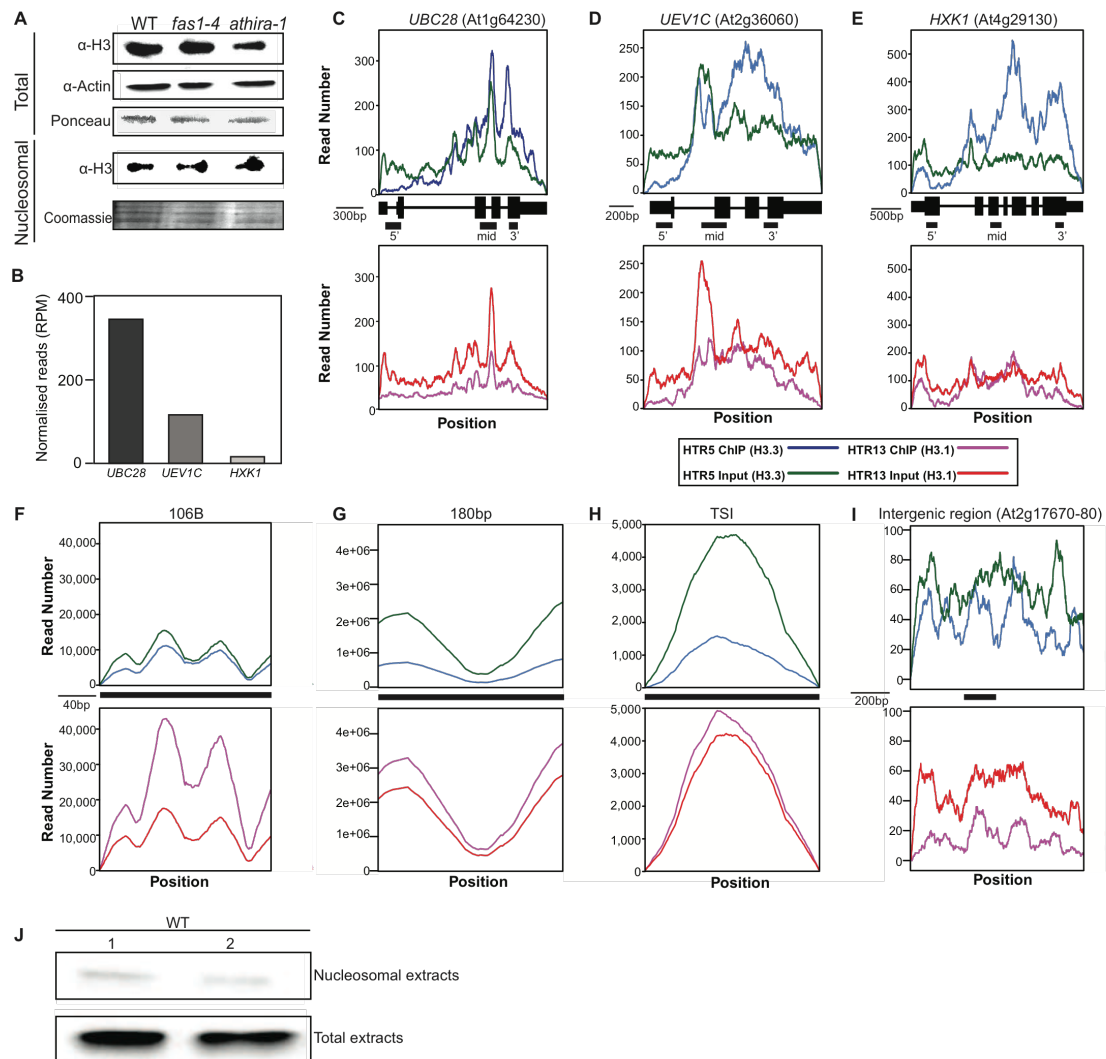
**F** Representative WT, *fas1-4*, *fas2-5* and *athira*<sup>amiRNA</sup> dissected siliques. Red arrows indicate unfertilized ovules, white arrow aborted seeds.

**G** Quantification of seed content in WT, *fas1-4* and *athira*<sup>amiRNA</sup> siliques. Histograms show mean of viable seeds, unfertilized ovules and aborted seed content  $\pm$  SEM. Quantifications were obtained from 16 WT, 19 *fas1-4* and 19 *athira*<sup>amiRNA</sup> siliques pooled from 4 plants. \*\*\*,  $p < 0.001$ ; Student's t-test.

**H** Pollen viability assessed by Alexander staining of WT, *fas1-4* and *fas2-5* anthers. Viable pollen exhibits a purple-colored, while non-viable pollen displays a green color. Both *fas* mutants display two kinds of heart-shaped anthers: some with a mixture of viable and non-viable pollen and in the same flower anthers lacking viable pollen.







**Supplemental Figure 3: Enrichment in H3.1 and H3.3 at targets analyzed in H3-ChIP-Seq assays.**

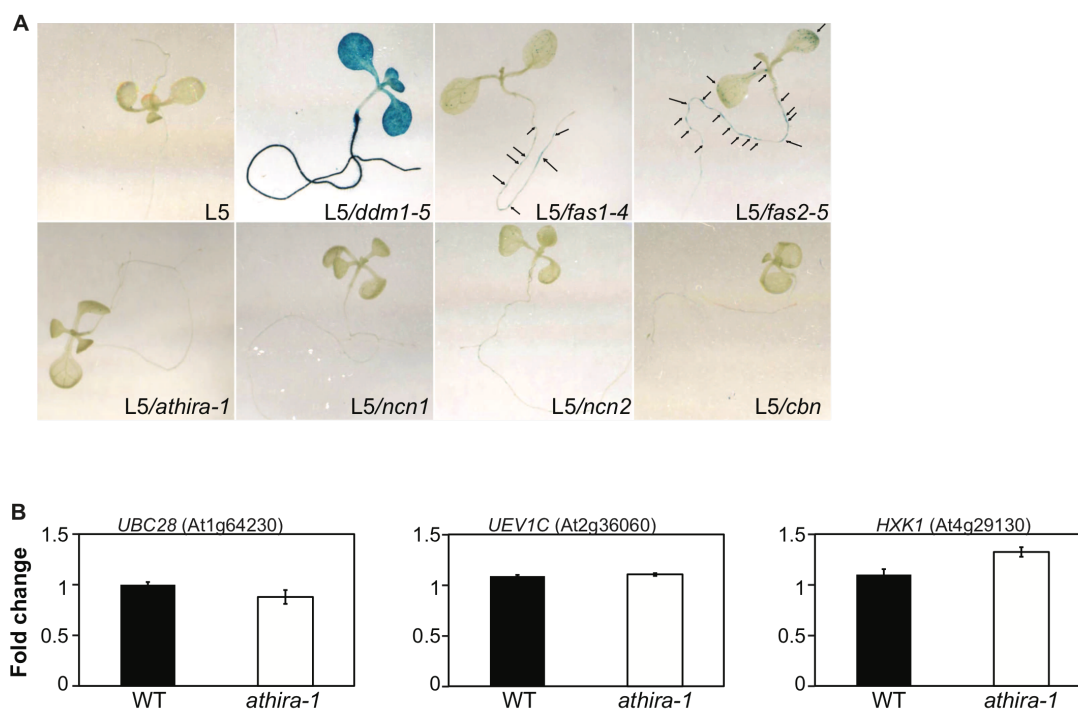
**A** Total and nucleosomal histone H3 protein levels assayed by Western blot. Ten microliters of total and chromatin extracts isolated from leaves of WT, *fas1-4* and *athira-1* mutant plants were loaded per lane. The upper panel shows the western blot for H3, the central panel the loading control actin (for total extracts) and the bottom panel the Ponceau or Coomassie staining.

**B** Expression levels of *UBC28*, *UEV1C* and *HXK1* from Direct RNA Sequencing data of 2 week-old plantlets (Duc et al., 2013).

**C-I** Distributions of H3.1 (violet) and H3.3 (blue) ChIP-seq reads and corresponding input genomic DNA (red and green respectively) in the ChIP-seq dataset from plants expressing tagged H3.1 or tagged H3.3 (Stroud et al., 2012). For the 3 genes *UBC28*, *UEV1C* and *HXK1*, the exons are denoted by rectangles, UTRs by adjoining narrower rectangles and introns by lines. The regions amplified by qPCR in H3-ChIP experiments are displayed by black boxes for all targets.

**H** Total and nucleosomal actin protein levels assayed by Western blot. Ten microliters of total (lower panel) and chromatin (upper panel) extracts isolated from WT plant leaves were loaded per lane. Pictures of bottom and upper panels were taken together and the same exposure time is displayed. Note that actin protein levels are strongly reduced in nucleosomal extracts compared to total extracts.

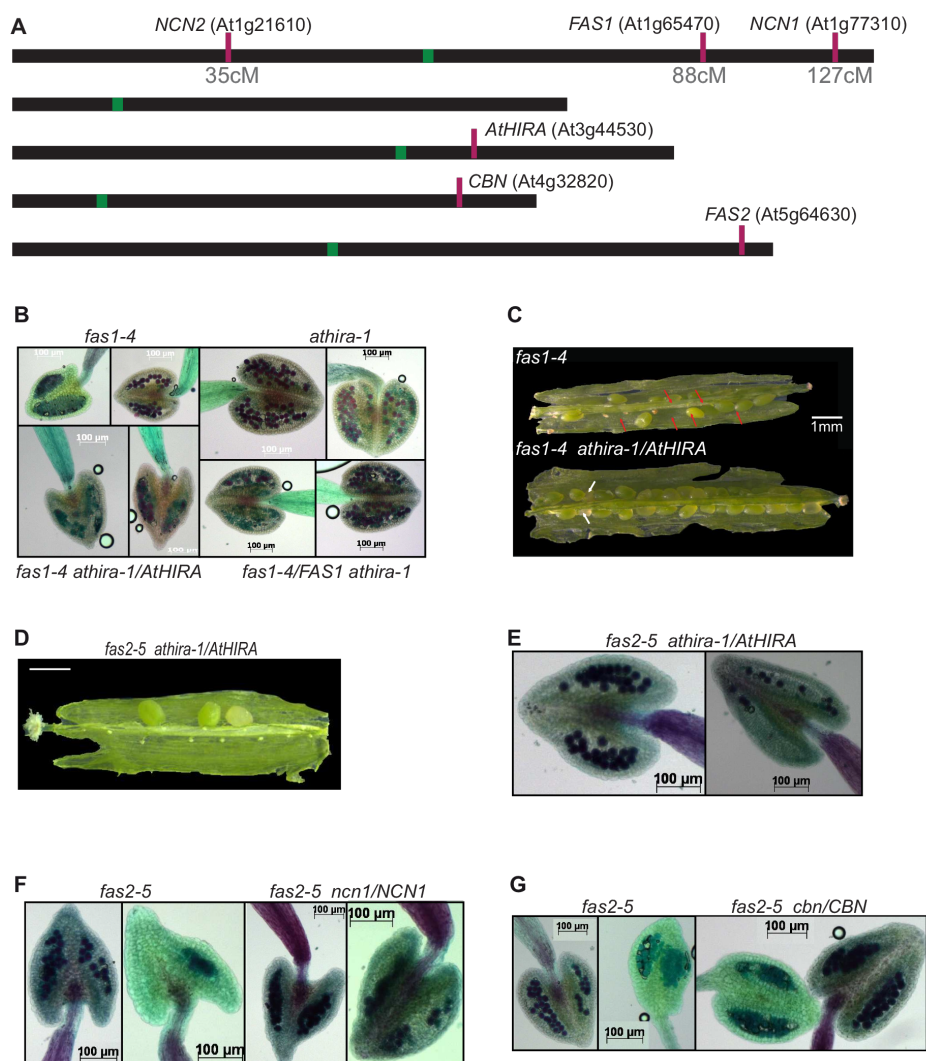




Supplemental Figure 4: Gene expression in *athira-1* mutants.

A GUS staining of 7 day-old F3 seedlings derived from crosses of line L5, carrying a multicopy transgenic locus suppressed by transcriptional gene silencing, and *fas1-4*, *fas2-5*, and HIR complex mutants. The positive control L5/*ddm1-5* (Pecinka et al., 2010) turns completely blue indicating GUS gene expression and release of silencing. Only weak staining was observed in *fas* mutant roots and cotyledons, and none in HIR complex mutants. Arrows indicate blue spots in L5/*fas1-4* and L5/*fas2-5* mutant plants. B RT-qPCR analysis of *UBC28*, *UEV1C* and *HXK1* expression in *athira-1* mutants. Histograms show the mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.





Supplemental Figure 5: Genetic interactions between members of the CAF-1 and HIR complexes.

A Genetic map of the five Arabidopsis chromosomes. Gene locations are marked with vertical purple lines and their location on the genetic map in cM is indicated. Centromere locations are marked with green boxes. Chromosome size is proportional to its sequence length. The map visualization tool was obtained from TAIR ([www.arabidopsis.org/jsp/ChromosomeMap/tool.jsp](http://www.arabidopsis.org/jsp/ChromosomeMap/tool.jsp)).

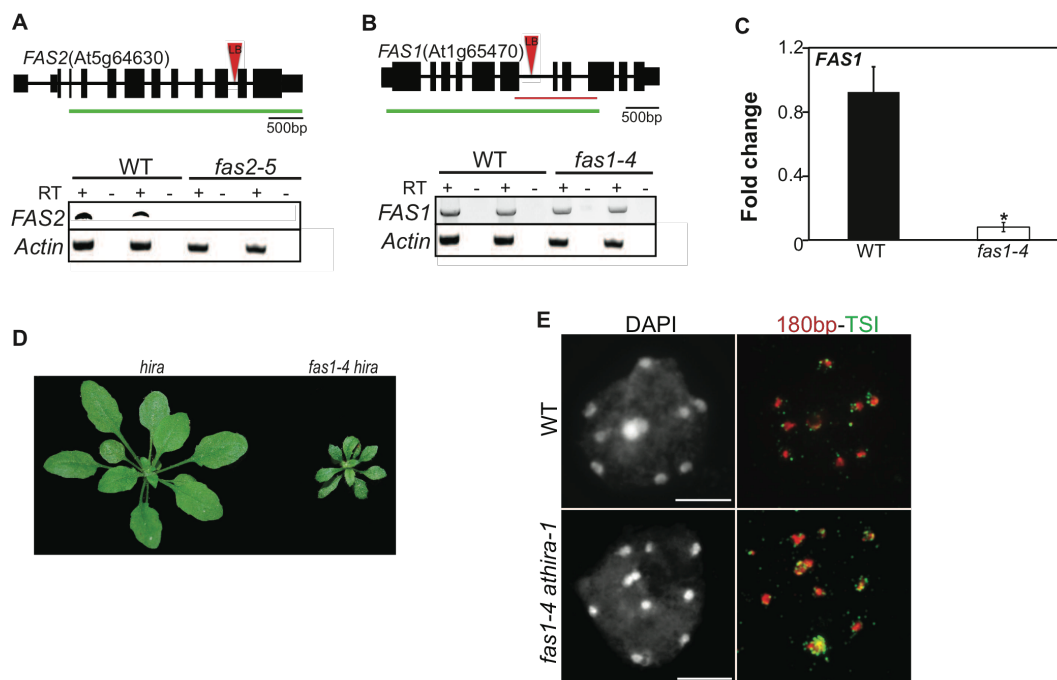
B Representative anthers colored by Alexander staining of plants derived from the cross between *fas1-4* and *athira-1* mutants. The respective genotypes are indicated. Note the variable phenotype of *fas* mutant anthers. In the same flower, anthers with viable and non-viable pollen or immature anthers with only non-viable pollen are observed. In *athira-1* plants heterozygous for *fas1-4* and in *fas1-4* plants heterozygous for *athira-1*, no aggravation of the anther phenotype is observed compared to their sister plants *fas1-4* or *athira-1* mutants.

C Dissected siliques of *fas1-4* plants either WT or heterozygous (*athira-1/AtHIRA*) for the *athira-1* mutant allele. Red arrows indicate unfertilized ovules and white arrows aborted seeds.

D A representative silique from a *fas2-5* homozygous *athira-1* heterozygous (*athira-1/AtHIRA*) plant. Bar, 1 mm.

E-G Representative anthers colored by Alexander staining of plants derived from crosses between *fas2-5* mutants and mutants of the different HIR complex subunits. The respective genotypes are indicated. Note the variable phenotype of *fas* mutant anthers. In the same flower, anthers with viable and non-viable pollen or immature anthers with only non-viable pollen are observed. Anthers display little viable pollen in *fas2-5 athira-1/AtHIRA* plants. In *fas2-5* mutant plants heterozygous for *ncn1* or *cbn*, no aggravation of the anther phenotype is observed compared to their sister plants that do not carry a *ncn1* or *cbn* mutant allele.





Supplemental Figure 6: Molecular characterization of *fas1-4* and *fas2-5* mutant alleles and generation of *fas1-4 athira-1* double mutant material.

A-B Structures of the genes encoding *FAS2* (A) and *FAS1* (B). Exons are denoted by rectangles, UTRs by adjoining narrower rectangles and introns by lines. T-DNA insertions are displayed by red triangles with the left border (LB) indicated. Presence or absence of full-length transcripts is revealed by RT-PCR performed along the whole RNA (lower panel). *Actin* was used as reference gene. The amplified region is displayed by a green line. No *FAS2* transcript was observed in *fas2-5* mutants (A) and reduced full-length *FAS1* mRNA in *fas1-4* mutants (B).

C Quantification by qPCR of the residual expression of the *FAS1* transcripts in *fas1-4* mutants. The region amplified in quantitative PCR is displayed by a red line and comprises the T-DNA insertion site in B. Histograms show mean transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to WT (WT set to 1) after normalization to *SAND* gene expression. \*,  $p < 0.05$ ; Student's t-test.

D Due to the sterility of *fas1-4 athira-1* plants, we derived double mutant plant material from the progeny of F2 plants with the *fas1-4/FAS1 athira-1* genotype. Double mutants plants (right) were selected by visual inspection of their severe growth phenotype compared to plants WT or heterozygous for the *fas1-4* mutant allele (left).

E DNA FISH for 180bp (red) and TSI (green) in leaf nuclei of 4 week-old WT and *fas1-4 athira-1* mutant plants. DAPI staining (grey), left column; merged FISH signals, right column. Bar, 5  $\mu$ m.





## Tables

**Table 1. Epistatic relationship between CAF-1 and HIR complexes.**

Number of F2 plants with the indicated genotype. F2 plants with *fas* phenotype were selected in the progeny of several independent F1 plants and genotyped for the corresponding HIR complex mutation (+/+, WT; +/-, heterozygous; -/-, homozygous for the studied mutation). For mutations in non-genetically linked genes, we expect a segregation ratio of WT to heterozygous to homozygous of 1:2:1. n = total number of plants analyzed. N = number of independent F1 plants used in this study. The genes *FAS1*, *NCN1* and *NCN2* are positioned on the same chromosome.

Genotype		+/+	+/-	-/-	n	N
<i>fas1-4</i>	<i>cbn</i>	17	27	19	63	3
	<i>ncn1</i>	28	28	3	59	3
	<i>ncn2</i>	22	27	9	58	3
	<i>athira-1</i>	33	76	3 *	112	#
<i>fas2-5</i>	<i>cbn</i>	18	68	19 *	105	3
	<i>ncn1</i>	27	44	14	85	4
	<i>ncn2</i>	22	73	19 *	114	3
	<i>athira-1</i>	10	61	0 *	71	4

# Segregation analysis from pool of seeds derived from several independent F1 plants.

\* Frequency of double mutants significantly lower than the theoretically expected 25% ( $X^2$  test).



**Supplemental Table 1. The *fas athira-1* double mutants are underrepresented in segregating F2 populations**

A. Number and percentage of F2 plants with the indicated genotype. 150 F2 plants from one individual F1 plant were genotyped for *fas1-4* and *athira-1* mutations.

Genotype	Number of plants obtained	Observed percentage	Expected percentage
<i>FAS1 AtHIRA</i>	9	6%	6.25%
<i>FAS1 athira-1</i>	12	8%	6.25%
<i>FAS1 athira-1/AtHIRA</i>	25	17%	12.5%
<i>fas1-4 AtHIRA</i>	10	7%	6.25%
<b><i>fas1-4 athira-1</i></b>	<b>0</b>	<b>0%</b>	6.25%
<i>fas1-4 athira-1/AtHIRA</i>	16	11%	12.5%
<i>fas1-4/FAS1 AtHIRA</i>	27	18%	12.5%
<i>fas1-4/FAS1 athira-1</i>	16	11%	12.5%
<i>fas1-4/FAS1athira-1/AtHIRA</i>	35	23%	25%

B. Number and percentage of F2 plants with the indicated genotype. 150 F2 plants from one individual F1 plant were genotyped for *fas2-5* and *athira-1* mutations.

Genotype	Number of plants obtained	Observed percentage	Expected percentage
<i>FAS2 AtHIRA</i>	8	5%	6.25%
<i>FAS2 athira-1</i>	8	5%	6.25%
<i>FAS2 athira-1/AtHIRA</i>	26	17%	12.5%
<i>fas2-5 AtHIRA</i>	11	7%	6.25%
<b><i>fas2-5 athira-1</i></b>	<b>2</b>	<b>1%</b>	6.25%
<i>fas2-5 athira-1/AtHIRA</i>	19	13%	12.5%
<i>fas2-5/FAS2 AtHIRA</i>	20	13%	12.5%
<i>fas2-5/FAS2 athira-1</i>	14	9%	12.5%
<i>fas2-5/FAS2 athira-1/AtHIRA</i>	42	28%	25%



Supplemental Table 2 : List of primers used in the study.

Purpose	Gene	FORWARD (F) and REVERSE (R) PRIMERS (5' to 3')
Genotyping of <i>fas1-4</i> (SALK_682-D10)	A11965470	Fas1_fw_Mi: ATGAGGATCTGATTTCTATACCACTCCGATAC Fas1_rev_Mi: CTTGTTCAGAGGAGCAATGTCGA Fas2_LP: TTGGCGTGTGGCATTAAC
	A15964630	Lb1_3: ATTTTGGCGGATTTCCGAAAC FAS2-PP: GCCCAATAATGATCCCAATG p745_WiscDslx: AACGTCCGCAATGTGTTATTAGTTGTC Hira_Wisc_LP: CTACTAAAATTTGAGCCGGG Hira_Wisc_PP: GAGAGTCACTGTTTGGCTGG
	A18944530	A1977310_GK_LP: TTGGCCAAATTAACATGATGG GK_08409: ATATTGACCATCACTACTGTC A1977310_GK_PP: AGGCATGGCAATGTTAACTTG
Genotyping of <i>non1</i> (GABI_018D02)	A11977310	A1921610_GK_LP: TGTGACCTTGTGTTTCATCC GK_08409: ATATTGACCATCACTACTGTC A1921610_GK_PP: AGCCTGATACCTCATCCGAG
	A14932820	Cabin_SALK099827_LP: TGCCAAATAATGCTTAATGCG Lb1_3: ATTTTGGCGGATTTCCGAAAC Cabin_SALK099827_PP: GCTGTAAAACGGAAGAAGGG Lb1_3: ATTTTGGCGGATTTCCGAAAC
Genotyping of SALK_019573	A18944530	Salk_019573_LP: GTAGGGGATTTGAGCTTCGAC Salk_019573_PP: GCCAGTAGCAAACTTTCTCC
	A18944530	GK_08409: ATATTGACCATCACTACTGTC SALK_143906-LP: TTG CAA GAA GCC TCT CTT TTG SALK_143906-PP: CTC CAA AGT CCA AAG GTA GGG
Absence of <i>FAS1</i> transcript	A11965470	FAS1_5UTR_For: CACTCAGCTCGTGTTCCTGCG FAS1_5UTR_Rev: CCA TCG TTT TCGCA TCTGG FAS2_LP: GCGCTGCTTCTGCTGCTGCTGCTG
Absence of <i>FAS2</i> transcript	A15964630	Atmuclein2-upstream-F: ACCGCTCTTAAGTCCAAAC Atmuclein1_Rev: ACTAAGGAGCGTGTGGTGGC Atmuclein2-upstream-F: GAGTCAAGCTTGGCTCTGCG Atmuclein2_Rev: TAGTGACCCAGGAAGTGGCTG
Absence of <i>NCN1</i> transcript	A11977310	Cabin_exon6_for: CCGAGCTGTACCAGTCTTG ACabin_Rev: AACCGAAGCAACCACTGATG HIRA_qRT_R1: CAAAGATCGAGAGAAGTTCA
Absence of <i>NCN2</i> transcript	A11921610	Act2l (Act2-7_F): GTAAGCTCTCAAGATCAAAAGCC Act2r (Act2-7_R): ACGATTGCAAGAGATTTCAAGG
Absence of <i>CBN</i> transcript	A14932820	Fas1_fw_Mi: ATGGGTATCTGGCCAGCCAAA Fas1_rev_Mi: CCTGTTCAGAGGAGCAATGTCGA FAS2_qpcr_Foreir: TGGAGCATAGTCTGCTGCGAGGAAAGAAAG FAS2_qpcr_Revir: TGGAGCATAGTCTGCTGCGAGGAAAGAAAG
Absence of <i>Ahl/IRA</i> transcript	A18944530	A11977310_nuclein1_qpcr_Revir: TCTTGGCTTTGGATGACC A11921610_nuclein2_qpcr_Forbis: GCAAGGAGAGAGCAAGAACG A11921610_nuclein2_qpcr_Revbis: TAGTGACCCAGGAAGTGGCTG
<i>Actin (ACT1M2)</i>	A18918780	A11977310_cabin_qpcr_For: CGAACTGCTCTTAAGGTTG HIRA_qRT_R2: CTTTAAACAGCCCTAACTGAG
	A11965470	A11966740_RT_For: GTT GGC AAC TAC GCG TTT GT A11966740_RT_Rev: AAA AGG AAA GCG CAA CAT CT
qRT-PCR of <i>FAS1</i>	A11965470	ASF1B_qpcr_Foreir: TGAATCCATTCGATGTCGAG ASF1B_qpcr_Revir: AAGGATCTGGAGGTCAGG
qRT-PCR of <i>FAS2</i>	A15964630	ASF1B_qpcr_Foreir: TGAATCCATTCGATGTCGAG ASF1B_qpcr_Revir: AAGGATCTGGAGGTCAGG
qRT-PCR of <i>NCN1</i>	A11977310	ASF1B_qpcr_Foreir: TGAATCCATTCGATGTCGAG ASF1B_qpcr_Revir: AAGGATCTGGAGGTCAGG
qRT-PCR of <i>NCN2</i>	A11921610	180bp_LP: ACCATCAAAAGGCTTGAAGCA 180bp_LP: CCGTATGATCTTCTTGTATCTCT
qRT-PCR of <i>CBN</i>	A14932820	A11974930_ERE/AP2_qpcr_Forbis: TTACGACACTCCGAGAAAGG A11974930_ERE/AP2_qpcr_Revbis: CCGGGTACTAAACCCGATTC
qRT-PCR of <i>Ahl/IRA</i>	A18944530	A13916800_PP2C_qpcr_F: CCGAAAGATAACCGACAAGG A13916800_PP2C_qpcr_R: TGGCGATGCTTCTTCTCTC
qRT-PCR of <i>ASF1A</i>	A11966740	A14925490_CBF1_qpcr-F: TCGCCAAAGGATATCCAAAAG A14925490_CBF1_qpcr-R: TGGCATCCCAAAACATTTCTC
qRT-PCR of <i>ASF1B</i>	A15938110	
qRT-PCR and ChIP qPCR of 106B	106B	
qRT-PCR and ChIP qPCR of 180bp	180bp	
qRT-PCR of A1974930_ERE/AP2	A11974930	
qRT-PCR of A18916800_PP2C	A18916800	
qRT-PCR of A14925490_CBF1	A14925490	



qRT-PCR of <i>Alq28110..MYB41</i>	<i>Alq28110</i>	<p><i>Alq28110</i> MYB41 qPCR: Revert: GACATTGGGGTTGACATGAG  <i>Alq28110</i> MYB41 qPCR: Forner: TTTACCCATCGAAACCTCGAC  <i>Alq29130</i> CHIP-F: AGGAGCGTCTCTCTCTGCTG  <i>Alq29130</i> CHIP-R: GCTCAAGAAATCCACATCC  <i>Alq29130</i> 5-CHIP-F: GTTGGAGGGACGTGTTGTTG  <i>Alq29130</i> 5-CHIP-R: TGCACTCTCAACGCTATAGC  <i>Alq29130</i> miR-CHIP-F: TGTGGCTACAGAAATGGAG  <i>Alq29130</i> miR-CHIP-R: ggcaaaaggaggaataaac  <i>Alq33606</i> CHIP-F: ATGACGCACTCTCTCTTTC  <i>Alq33606</i> CHIP-R: ttccgctgcccacaaabaa  <i>Alq33606</i> 5-CHIP-F: ACACCTCGATCTGAGCCAAAG  <i>Alq33606</i> 5-CHIP-R: ttcaagcctatctctlltgc  <i>Alq64230</i> CHIP-F: TCATTGTTAAGGGAGCCAAAC  <i>Alq64230</i> CHIP-R: CCAGCTTCTCGCAGTAGACT  <i>Alq64230</i> 5-CHIP-F: ccgagatlgaggaatctgc  <i>Alq64230</i> 5-CHIP-R: GAAAGTTGGAGGATCTCTTGG  <i>Alq64230</i> miR-CHIP-F: GTCCTTATTCAGGGGGTTC  <i>Alq64230</i> miR-CHIP-R: ATGTCAAGCCAAATGGTTC  TSIq-F: CTCTACCCTTTGGATTGTAATCCTT  TSIq-R: GATGGGCAAAAGCCCTCGGTTTTAAATG  IG-2g17670-804F: GGCTACTGTCTAGTTCATATCTAGA  IG-2g17670-804R: TAGGTTGGCATCCGATCCAGAGT  SAF: AACCTCTATGGAGCATTTGATCCACT  Tas middle-F: GCTCTTACGTAGAGACATGGCAATG  Tas middle-R: GCTCTCCGAGAGTCTCTGAGTAGC  MULE-F2: GATCTCTTACACAGTCTTAGCAAGCC  MULE-R2: TCCAGAGGATCTCTCAACTTCTGCGAGT  UBC28qF: ATGGTTACGAGAAAGACACCGCGTGAATA  UBC28qR: gatttagtctaacctgacgcttctctttttatctc  HIRA I miR-s: gbaaacctccaggtttaccactaaacaabaatcaatga  HIRA II miR-a: gbaaacctccaggtttaccactaaacaabaatcaatga  HIRA III miR*s: gbaaacctccaggtttaccactaaacaabaatcaatga  HIRA IV miR*a: gbaaatagtggttaacctggaggttttccacatataatctct</p>
CHIP qPCR of <i>HXK1</i>	<i>Alq29130</i>	
CHIP qPCR of <i>UEV1C</i>	<i>Alq33606</i>	
CHIP qPCR of <i>UBC28</i>	<i>Alq64230</i>	
CHIP qPCR of <i>TSI</i>	TSI	
CHIP qPCR of intergenic region between <i>Alq217670</i> and <i>Alq217680</i>	intergenic region between <i>Alq217670</i> and <i>Alq217680</i>	
qRT-PCR of <i>SAMD</i>	<i>Alq28390</i>	
semi quantitative RT-PCR of <i>Ta3</i>	pericentromeric region of chromosome 1	
semi quantitative RT-PCR of <i>Mule</i>	<i>Alq216810</i>	
semi quantitative RT-PCR of <i>UBC28</i>	<i>Alq64230</i>	
construction of smRNA for <i>AHIRA</i>	<i>Alq44530</i>	





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## **Chapter III: Role of CAF-1 mediated H3.1 deposition in chromocenter formation**

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In **Chapter II**, we described that the HIR chaperone complex is involved in maintenance of chromatin organization by mediating proper histone dynamics. Moreover, we revealed that genetic interactions between the different nucleosomal assembly pathways, and notably between HIR and the replication-dependent CAF-1 chaperone participate in chromatin maintenance and genome integrity.

Here, we were interested in the role of AtHIRA and CAF-1 in the establishment of the cytological and the molecular features of euchromatic and heterochromatic domains. Previous work from the laboratory showed that chromocenters are established progressively during the early days of post-germination development in cotyledons, but precise kinetics and molecular entities mediating this phenomenon remain unknown. This time window offers an original approach to study how chromatin assembly of specific H3 variants and their relative set of post-translational marks participate in the formation of chromocenters.

Using different mutant lines and the e-H3.1 line characterized in **Chapter I**, we show that deposition of H3K27me1 by ATXR5 and ATXR6, and to a lesser extent H3K9me2 dynamics mediated by SUVH4, SUVH5 and SUVH6, affect the kinetics of chromocenter formation. We reveal that CAF-1, contrary to AtHIRA, participates actively in post-germination clustering of heterochromatic repeats in chromocenters by mediating proper histone H3.1 dynamics. Lack of H3.1 assembly at heterochromatic loci in *fas* mutants interferes with chromatin structure, proper setting of histone post-translational marks and finally chromocenter establishment.

This work describes the importance of proper site-specific deposition of histone variants by chaperones in the setting of local epigenetic features at the



nucleosomal level, to ultimately establish spatial isolation of heterochromatic domains from the euchromatic regions in the nuclear volume.

This research paper is the product of the largest part of my PhD thesis. I generated and validated the e-H3.1 lines and verified the subsequent introgression in the *fas1* background. I led the different molecular analyses presented in this chapter, with exception of the e-H3.1 immunolocalization, which was carried out by Lauriane Simon and Western Blot analysis, which was carried out by Sylviane Cotterell. I carried out the data analysis and participated in the writing of the manuscript.





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## Abstract

Chromocenter organization compartmentalizes heterochromatin from the rest of the genome and is thought to contribute to transcriptional repression by locally concentrating silencing factors. The question how chromocenters are established during development and subsequently maintained is relevant for eukaryotic genome organization and integrity. Here, we studied heterochromatin organization in *Arabidopsis* cotyledon nuclei during the first days after germination as paradigm to define factors involved in chromocenter formation. We find that dispersion of centromeric and pericentromeric repeats two days after germination moderately reduces transcriptional silencing at these loci. Repetitive sequence elements, the bulk of chromocenter DNA, are enriched in the repressive post-translational histone modifications H3K9me2 and H3K27me1 as early as two days after germination and the failure to appropriately set these marks affects chromocenter formation. Clustering of repetitive elements in chromocenters correlates with an increase of the canonical histone H3.1 specifically at heterochromatic repeats. The observed H3.1 dynamics at heterochromatin regions require a functional CHROMATIN ASSEMBLY FACTOR-1 (CAF-1) H3 chaperone complex and CAF-1 loss compromises chromocenter formation. Taken together, we suggest that CAF-1 mediated histone deposition and concomitant modification of histone tails is critical for chromocenter formation.



## Introduction

In eukaryotes, the genetic information is tightly organized within chromatin, a nucleoprotein structure that compacts the genome to fit into the small compartment of the cell nucleus and functions as carrier of epigenetic information. Different folding of the array of nucleosomes, the basic subunits of chromatin, results in distinct higher-order chromatin structures such as the condensed heterochromatin domains that contain mainly transposable elements and other repetitive sequences. Proper organization of heterochromatin is critical for genome integrity as it prevents illegitimate recombination and transcription of these genetic elements. In certain yeasts, animals and plants, heterochromatin domains cluster in cytologically distinct chromocenters. Chromocenter organization is thought to contribute to heterochromatin function as it physically separates the repetitive elements from the rest of the genome and may so favor the concentration of silencing factors (1). Indeed, heterochromatic repeats in chromocenters are enriched in specific sets of epigenetic marks that repress transcription, including DNA methylation, specific post-translational modifications (PTMs) of histones such as hypoacetylation and methylation of H3K9me2/3 and H3K27me1 (2–5). They further show high nucleosomal density (6) and low DNase I accessibility (7). In addition, histone variants can change the composition of the nucleosomes which profoundly affects stability and ultimately higher-order organization of the chromatin fiber (8, 9). While the H3 variant H3.3 found at actively transcribed genes creates more unstable nucleosomes contributing to accessible chromatin structures (10–12), the canonical H3.1 variant is found in transcriptionally inactive heterochromatin (12–16).

The number and distribution of chromocenters as well as the chromosomes involved in an individual chromocenter can vary in different cell types and during differentiation (17, 18), suggesting that the nuclear organization of heterochromatin has a role beyond transcriptional control of the underlying repeat sequences. Indeed, sequestration or re-localization of certain euchromatic loci close to heterochromatin domains results in altered gene expression (19–21). In *Arabidopsis*, chromocenters serve as an architectural framework and function as organizing centers for gene-rich euchromatic loops within the chromosome territory (22–24). Interestingly, chromocenter organization is not static. Instead, higher-order chromatin organization can be profoundly affected in response to developmental or environmental cues that



induce important changes in gene expression patterns (25). A transient decondensation of chromocenter structures has been observed upon heat stress or during dedifferentiation (26, 27), but also takes place during developmental phase transitions such the flowering transition or germination (28, 29). Indeed, immediately after germination, centromeric and pericentromeric repeats are dispersed in the nucleus and only small pre-chromocenters are detectable in cotyledon nuclei (29–31). Within a few days, chromocenter organization becomes progressively more pronounced (25, 30, 31). However, the molecular features of centromeric and pericentromeric repeats at the different states of higher-order organization and the mechanisms that drive chromocenter formation are not understood so far.

Here we show that centromeric and pericentromeric repeats change their spatial organization to cluster into chromocenters, in a precise temporal arrangement that coincides with a reinforcement of transcriptional repression of pericentromeric repeats. Early after germination and before conspicuous chromocenter structures are established, repressive histone marks are already enriched at centromeric and pericentromeric repeats. H3K9me2 levels increase during post-germination development and the histone methyltransferases responsible for H3K9 di- or H3K27 monomethylation are required for normal kinetics of repeat clustering. Finally, we show that chromocenter formation correlates with an increase in canonical H3.1 specifically at heterochromatic regions and that H3.1 loading and chromocenter formation require the CAF-1 chaperone. We conclude that chromocenter formation is associated with local enrichments in histone marks and variants and suggest that replication-coupled H3.1 deposition and installation of repressive PTMs play a critical role in this process.



## Results

### Progressive formation of chromocenters during post-germination development is associated with transcriptional repression

To quantify the centromeric and pericentromeric repeat clustering during chromocenter formation and follow its kinetics, we developed an assay based on 6-diamidino-2-phenylindole (DAPI) staining and Fluorescence *in situ* hybridization (FISH). We used two probes that reveal repetitive sequences present on all five *Arabidopsis* chromosomes: the centromeric 180 bp satellite repeats (32) and the pericentromeric derivatives of the *Athila* retrotransposon termed Transcriptionally Silent Information (TSI) (3, 33). We dissected cotyledons from seedlings aged 2 to 5 days after germination (dag), performed FISH and defined two classes of nuclei: either these repeats are clustered within nuclear subdomains termed chromocenters that stain brightly with DAPI, or dispersed into the nucleoplasm (**Figure 1A**). We determined the percentage of nuclei with fully clustered 180 bp and TSI repeats revealing that the majority of cotyledon nuclei at 2 dag exhibit small pre-chromocenters (**Figure 1B**). Indeed, the quantification of clustered nuclei by FISH showed that both repeat types show similar organization kinetics with only about 22% of the nuclei with completely clustered repeats at 2 dag (**Figure 1BC**) compared to ~80% by 5 dag (**Figure 1BC**). Repetitive elements, such as centromeric and pericentromeric repeats, are nearly completely silenced in mature leaf tissue, in which they are known to cluster in chromocenters (3, 33, 34). Therefore, we were interested to know whether chromocenter formation contributes to transcriptional repression of repetitive elements. To this aim, we quantified transcript levels of TSI repeats and the *Ta3* retrotransposon located in the pericentromeric region by RT-qPCR from total RNAs isolated from dissected cotyledons aged of 2 to 5 dag. We reproducibly observed higher levels of TSI and *Ta3* transcripts in cotyledons aged 2 dag, when these genomic regions are dispersed in the large majority of nuclei (**Figure 1D**). Nevertheless, TSI transcript levels remain low in comparison to *mom1-2* mutants that strongly alleviate TSI silencing (33) (**Supplementary Figure 1**). We conclude that heterochromatic repeats progressively cluster into chromocenter structures from 2 to 5 dag and that chromocenter formation correlates with enhanced transcriptional silencing.





### H3K9me2 and H3K27me1 enrichments precede chromocenter formation

We then characterized local chromatin features of these repetitive elements at different states of chromocenter formation and examined if particular PTMs could play a role in the cytologically observed organization process. To obtain sequence-specific and quantitative information, we used a Chromatin Immunoprecipitation (ChIP) approach. We dissected cotyledons from seedlings aged 2 or 5 dag and precipitated chromatin with antibodies directed against H3, H3K4me3, a histone mark associated with transcriptionally active chromatin (35) and two repressive marks H3K9me2 and H3K27me1 enriched at chromocenters in mature leaf tissues (3, 5, 36, 37). Nucleosome occupancy, as reported by the H3 levels, is higher at 180 bp and TSI repeats compared to three euchromatic loci (*HXK1*, *UEV1C* and *ACTIN2*) (**Figure 2A**), in agreement with observations in mature leaf tissues (6). However, no significant changes in nucleosome occupancy were observed between 2 and 5 dag. Therefore, although organization of repeated sequences such as TSI (pericentromeric) and 180 bp (centromeric) into chromocenters may reflect a different state of higher order chromatin organization, nucleosome occupancy does not seem to be modified during this process. We then analyzed levels of three different PTMs at the same heterochromatic and euchromatic targets. H3K4me3 is one of the signatures of transcription start sites (38) and is thus found enriched at the 5' region of the *ACTIN2* gene as early as 2 dag (**Figure 2B**) but is depleted from the heterochromatic targets both at 2 and 5 dag. Contrary to H3K4me3, the repressive marks H3K27me1 and H3K9me2 are 6- or 10-fold, respectively, enriched at heterochromatin compared to the three euchromatic loci (**Figure 2CD**). This enrichment is observed already at 2 dag when chromocenters are not yet fully formed. While H3K27me1 levels remain rather stable during post-germination development at all targets (**Figure 2C**), H3K9me2 levels increase between 2 and 5 dag (**Figure 2D**). Taken together, these data suggest that while nucleosome occupancy and H3K27me1 levels are not altered during chromocenter formation, H3K9me2 becomes enriched at heterochromatic repeats between 2 and 5 dag. We conclude that centromeric and pericentromeric chromatin domains already show the enrichment in repressive histone marks compared to active genes as early as 2 dag, despite the dispersion of the repetitive elements in the euchromatic compartment of the nucleus and therefore preceding the formation of chromocenters.



## Defective setting of H3K27mono and H3K9dimethylation differentially affects kinetics of chromocenter formation

Given that H3K9me2 and H3K27me1 mark centromeric and pericentromeric repeats during early cotyledon development, we examined to what extent the appropriate setting of these repressive marks affects chromocenter formation. The SET domain protein SU(VAR)3-9 HOMOLOG 4/KRYPTONITE (KYP) is the major histone H3K9 dimethyltransferase in Arabidopsis (39), however, SUVH5 and SUVH6 contribute to histone H3K9 dimethylation (40) and all three genes are expressed during this developmental stage (**Supplementary Figure 2A**). H3K27me1 at heterochromatin is set by the histone methyltransferases ARABIDOPSIS TRITHORAX-RELATED PROTEIN 5 (ATXR5) and ATXR6 (37, 41). To evaluate the importance of H3K27me1 and H3K9me2 marks for chromocenter formation, we scored the percentage of nuclei with clustered 180 bp and TSI repeats in *svh4 svh5 svh6* and *atxr5 atxr6* mutant cotyledons aged from 2 to 5 dag. At 2 dag, the percentage of nuclei with complete clustering of centromeric and pericentromeric repeats is significantly lower in *svh4 svh5 svh6* mutants, but reaches levels similar to WT at 3 dag. Clustering then occurs similarly to WT kinetics, and reduced clustering was only observed for the pericentromeric repeats at 5 dag (**Figure 3, Supplementary Figure 2B**). In contrast, in *atxr5 atxr6* cotyledons, the percentage of nuclei with clustered 180 bp and TSI sequences is comparable to WT at 2 dag, but differences appear as early as 3 dag, and only 40% of the nuclei complete chromocenter formation at 4 and 5 dag, compared to 70% and 80%, respectively, in the WT (**Figure 3, Supplementary Figure 2C**).

Taken together, plants largely devoid of H3K9me2 have a higher fraction of nuclei with decondensed chromocenters at 2 dag, suggesting a role for H3K9 dimethylation in preservation of the remaining chromocenter structures during germination. Deficient H3K27 monomethylation in absence of ATXR5 and ATXR6 in contrast severely impairs clustering of 180 bp and TSI repeats into chromocenter structures.

### H3.1 becomes enriched at heterochromatin after germination

The enrichment of H3K9me2 and H3K27me1 at the centromeric and pericentromeric domains is known to correlate with the presence of the canonical histone H3.1 (14–16). We were therefore interested to investigate whether there is a



functional link between H3.1 enrichment and clustering of repetitive sequences into chromocenters. To this aim, we first analyzed transcript levels of two H3.1 encoding genes (*HTR1* and *HTR9*) by RT-qPCR from 2 to 5 dag. We found that both genes are expressed in cotyledons during post-germination development and observed the highest expression at 2 dag, which then progressively decreased until 5 dag (**Figure 4A**). Since no antibodies able to distinguish the Arabidopsis canonical H3.1 from its variant counterparts are available, we generated a transgenic line expressing *HTR9*-encoded H3.1 carrying a short FLAG-HA epitope (e-H3.1) under control of the *HTR9* endogenous promoter. We confirmed incorporation of the tagged variant into chromatin by Western Blot of nucleosomal histones (**Supplementary Figure 3A**), its preferential enrichment at heterochromatic versus euchromatic loci by ChIP in mature leaf tissues (**Supplementary Figure 3B**) and controlled the immunoprecipitation specificity with the FLAG-coupled beads in our experimental setup using cotyledon nuclei (Fig. S3D). We then used the line expressing e-H3.1 proteins to carry out immunofluorescence and ChIP experiments on cotyledons aged 2 or 5 dag and determined the enrichment in H3.1 relative to input at the different developmental stages. The e-H3.1 protein is enriched at pre-chromocenters as early as 2 dag (**Figure 4B**). At 5 dag, when chromocenters are fully formed (**Figure 4B**), the e-H3.1 levels at 180 bp and TSI repeats are about 10-fold higher than at the active genes *HXK1* and *UEV1C* (**Figure 4C**). Interestingly, e-H3.1 enrichment increases at all three heterochromatic sequences tested from 2 to 5 dag, but the level is stable at the two active genes or the intergenic region analyzed. This suggests a role for H3.1 dynamics in chromocenter formation during early development. We confirmed the heterochromatin-specific increase in H3.1 between 2 and 5 dag in an independent line expressing *HTR1*-encoded H3.1 fused to CFP (**Supplementary Figure 3E**).

Taken together, our data show different H3.1 dynamics at heterochromatic and euchromatic loci during post-germination development and progressive H3.1 enrichment at centromeric and pericentromeric repeats during chromocenter formation. The establishment of the chromocenters as specialized chromatin domains might therefore require precise and site-specific deposition of the canonical H3.1.



## Chromatin assembly mediated by CAF-1 is required for chromocenter formation

Given the observed H3.1 enrichment at repeats during chromocenter formation, we reasoned that proper chromatin assembly is critical for this phenomenon. Histones are deposited by specific, dedicated chaperone complexes at particular genomic domains during different time windows of the cell cycle. In mammals, the canonical H3.1 is deposited by the Chromatin Assembly Complex-1 (CAF-1) that operates in a DNA-synthesis dependent manner, while the HIR complex deposits the replacement variant H3.3 (42, 43). While mutants lacking functional CAF-1 and HIR complexes are embryonic lethal in mammals (44, 45), corresponding Arabidopsis mutants are viable (46, 47), allowing to test the implication of the two assembly complexes in chromocenter dynamics. We first determined transcript levels of the genes *FAS1* and *FAS2*, which encode the two large subunits of the CAF-1 complex, and of *HIRA*, which encodes a subunit of the Arabidopsis HIR complex (47–49). All three genes are expressed during early post-germination development in cotyledons. While expression of *FAS2* and *HIRA* is rather stable, *FAS1* transcript levels decrease from 2 to 5 dag (**Figure 5A, Supplementary Figure 4A**) and mirror the expression patterns of *HTR1* and *HTR9*. To determine whether the different histone assembly complexes are involved in heterochromatin dynamics between 2 and 5 dag, we carried out FISH analysis for 180 bp and TSI repeats with nuclei of WT, *fas1-4* and *hira-1* mutant plants. While at 2 dag around 20% of nuclei show entirely clustered repeats in both WT and *fas1-4* mutants, clustering is observed for only 50% of the *fas1-4* mutant nuclei compared to ~80% in WT at 5 dag (**Figure 5B, Supplementary Figure 4B**). We confirmed impaired chromocenter formation in *fas2-5* mutants lacking the middle subunit of the CAF-1 complex (**Supplementary Figure 4BC**). In contrast, the kinetics of chromocenter formation in *hira-1* mutants is similar to WT (**Figure 5B**). Defects in histone deposition mediated by HIRA therefore do not impact chromocenter formation, while the clustering of centromeric and pericentromeric repeats is clearly affected in CAF-1 mutants.

Given that CAF-1 specifically deposits H3.1 in mammals (43), we wanted to know to what extent H3.1 enrichment and dynamics during post-germination development are altered in CAF-1 mutants. To this aim, we crossed the transgenic line expressing e-H3.1 with the *fas1-4* mutant and analyzed H3.1 occupancy dynamics at heterochromatic and euchromatic regions in absence of CAF-1 in a





quantitative manner. Despite reduced transgene expression in the *fas1-4* mutant background, we observed significant nucleosomal e-H3.1 (**Figure 6A**), which can be explained by the remaining CAF-1 activity in the *fas1-4* mutant that is not a complete loss of function allele (Ramirez-Parra and Gutierrez, 2007). The remnants of nucleosomal e-H3.1 in *fas1-4* cotyledons allowed us to investigate the dynamics of H3.1 enrichment during post-germination development in a situation where chromocenter formation is impaired. We found that, in contrast to WT plants in which e-H3.1 occupancy increased by 5 dag compared to 2 dag, this dynamic is lost in *fas1-4* plants, illustrating that CAF-1 contributes to H3.1 dynamics specifically at heterochromatin. Given the generally reported preferential enrichment of H3.1 in repressive marks (52, 53), we also analyzed the dynamics of H3K27me1 and H3K9me2 enrichment in *fas1-4* mutants. Similar to WT (**Figure 2C**), H3K27me1 levels are stable in *fas1-4* cotyledons at both euchromatic and heterochromatic targets during this developmental time window (**Supplementary Figure 5**). Interestingly, in contrast to WT plants, plants with reduced FAS1 levels do not show increased H3K9me2 at heterochromatic repeats at 5 dag (**Figure 6B**). This suggests that loss of CAF-1 also disturbs H3K9me2 dynamics at 180 bp and TSI repeats.

Taken together, these data show that the CAF-1 complex is a primordial player in H3.1 dynamics at heterochromatic sequences. We conclude that defective chromatin assembly in the absence of a functional CAF-1 complex impairs H3.1 deposition at heterochromatic repeats, the proper setting of epigenetic marks and ultimately chromocenter formation.



## Discussion

After nuclear expansion and chromatin decondensation during germination, centromeric and pericentromeric repeats progressively cluster with similar kinetics into chromocenters. We found that, despite dispersion of these repeats at 2 dag, these repetitive elements already display elevated nucleosome occupancy and enrichment in repressive histone modifications compared to euchromatin. While H3K27me1 levels at heterochromatic sequences remain unchanged during the studied developmental time window, H3K9me2 levels increased at heterochromatin regions, which may however result from a more global change of H3K9me2 levels during this developmental stage, since euchromatic sequences are also affected. While loss of H3K9me2 only weakly disturbs heterochromatin organization in leaf tissue (54, 55) and in cotyledons at later stages of seedling growth (this study), we noticed an increased percentage of nuclei with dispersed centromeric and pericentromeric repeats at 2 dag in the absence of the three H3K9 methyltransferases SUVH4, SUVH5 and SUVH6, compared to WT. It might therefore be interesting to explore further the role of H3K9me2 in heterochromatin dynamics during imbibition and germination.

The ratio of canonical histone H3.1 increases specifically at heterochromatic regions between 2 and 5 dag. This process depends on the histone deposition complex CAF-1, and reduced chromocenter structures in *fas* mutant nuclei illustrate a clear role of the CAF-1 complex in formation of higher-order chromatin domains. These observations echo the requirement for CAF-1 in chromocenter formation during early mouse development (44, 56), where lack of CAF-1 results in aberrant organization of pericentromeric domains. The mammalian counterpart of the CAF-1 complex has strong preference for the histone variant H3.1 and operates through interaction with the DNA clamp PCNA in a manner coupled to DNA synthesis (43, 57). While cell proliferation in *Arabidopsis* is only transiently activated after emergence of the cotyledons from the seed coat (58), cells undergo endoreplication during cotyledon growth (50), likely allowing CAF-1-mediated H3.1 incorporation during this time window. To explain the increase of H3.1 specifically at heterochromatin, we can envision that, in plants, H3.1 is deposited by CAF-1 explicitly at heterochromatic repeats, possibly facilitated by specific timing of heterochromatin replication in S-phase. Alternatively, H3.1 is incorporated in a



genome-wide manner during endoreplication, but actively exchanged for H3.3 at the euchromatic loci analyzed here and leading to the observed differences between heterochromatic and euchromatic regions. Whatever the mechanism, both scenarios suggest that before the studied time-window, heterochromatin was depleted of H3.1, which is then progressively deposited from 2 to 5 dag. Available expression data (<http://bar.utoronto.ca/efp/cgi-bin/efpWeb.cgi>) support such a hypothesis, as during seed development transcript levels from four of the five H3.1-encoding genes progressively decrease while those of the three H3.3-encoding genes increase.

Failure to assemble H3.1 at heterochromatin might not be the only explanation for defective chromocenter formation upon loss of CAF-1, as replication-coupled deposition of histone modifications such as the timely H3K27 monomethylation of H3.1 could be affected that takes place in a replication-coupled manner (37, 41). We did not detect differences in H3K27me1 levels in cotyledon nuclei in this study, an observation that might be explained by residual CAF-1 activity in the *fas1-4* mutant or monomethylation by alternative H3K27 methyltransferases. Nevertheless, H3K27me1 is critical for chromocenter formation during this developmental stage, as failure to set proper H3K27me1 levels in heterochromatin in the *atxr5 atxr6* double mutant severely affects the microscopically visible compaction of heterochromatin in chromocenters.

Conspicuous chromocenter structures are found in several organisms. The biological significance of such microscopically visible compaction still remains unclear; however, a role in reinforcing transcriptional repression has been proposed. In line with this working model, we showed here a progressive decrease in repeat-derived transcripts between 2 and 5 dag. While TSI and Ta3 transcript levels are low compared to the levels of non-coding pericentromeric transcripts observed in the mouse embryo, which contribute to chromocenter formation (59), this does not exclude a role for non-coding RNA in chromocenter formation in Arabidopsis, eventually via the RNA-directed DNA Methylation pathway (34, 60). However, we can also conclude from these observations, that transcriptional repression is not the major function of chromocenter formation. Indeed, condensed chromatin domains remain accessible to macromolecules (61) and chromocenters do not prevent accessibility of the transcription factory to DNA (3, 62), suggesting that transcriptional activity is rather determined at the level of local chromatin features. While higher-order chromatin compaction as such does not seem to restrict accessibility to the



underlying DNA, it may still help maintaining other heterochromatin features, such as late replication timing, or contribute to minimizing recombination between sequences by restricting the possibility to interact (63). Another intriguing hypothesis is that heterochromatin reorganization during germination and the subsequent seedling development is essentially driven by the need to reorganize euchromatic chromatin loops to ensure appropriate gene expression during the transition from heterotrophic to autotrophic growth, e.g. by rearranging chromosomal territory or moving expressed loci relative to other nuclear sub-compartments such as the nuclear periphery. Dynamic reorganization of another pericentromeric repetitive array, the 5S rRNA genes, also occurs during this time window (30, 64) and was suggested to contribute to the sequestration and transcriptional repression of mutated 5S genes (30, 65).

While we concentrated here on the aspect of histone H3 variants in chromocenter formation, the contribution of other core histones or the linker histone H1 to characteristics of the nucleosome fiber needs to be considered. Indeed, H1 is thought to promote formation of higher-order chromatin structures at the level of the 30-nm fiber (66) and upon chromatin decondensation associated with reprogramming. Eviction of H1 is one of the first steps associated with heterochromatin decondensation in mammals and plants (67, 68). Furthermore, the plant-specific H2A.W variant that bears similarities to the mammalian macroH2A drives higher order chromatin organization by stimulating fiber-to-fiber interactions at the level of the 10-nm fiber (55) and therefore can be an important candidate besides H3.1 in chromocenter formation during this developmental stage.





## Material and Methods

### *Plant material*

All mutants (*fas1-4* (SAIL-662-D10), *fas2-5* (SALK\_147693), *hira-1* (WiscDsLox362H05), *htr9-1* (SALK\_148171), *atxr5* (SALK\_130607) and *atxr6* (SAIL\_181\_D09), *mom1-2* (SAIL\_610\_G01) and *suvh4 suvh5 suvh6* (SALK\_041474, Gabi\_263C05, SAIL\_1244\_F04)) and transgenic lines are in the Columbia background. Homozygous mutant plants were identified by PCR-based genotyping (**Table S1**). Sterilized seeds were sown on germination medium containing 0.8% w/v agar, 1% w/v sucrose and Murashige & Skoog salts (M0255; Duchefa Biochemie, Netherlands). After 2 days of stratification at 4°C in the dark, seedlings were grown under 16 h light/8 h dark cycles at 23°C. Cotyledons were harvested at 78 h, 102 h, 126 h or 150 h after transfer to the growth chamber, corresponding to time points 2, 3, 4 or 5 days after germination (dag), respectively.

### *Plasmid construction for production of epitope-tagged histones*

To generate the transcriptional fusion of H3.1 with the FLAG-HA tag, we cloned the OCS (octopine synthase) terminator, the FLAG-HA tag and the genomic fragment containing the promoter and the coding region of *HTR9* (stop codon excluded) using Gateway technology. T3 monolocus homozygous lines were selected based on segregation of the resistant character.

### *Antibodies*

Anti-H3 (ab1791, Abcam), anti-H3K9me2 (ab1220, Abcam), anti-H3K4me3 (04-745, Millipore), anti-H3K27me1 (pAB-045-50, Diagenode), anti-GFP and anti-HA (ab9110, Abcam) were used.

### *Protein immunolocalization*

For immunofluorescence assays, dissected cotyledons were fixed in 4% formaldehyde (Sigma-Aldrich) in Tris buffer, finely chopped in Lysis Buffer (15 mM Tris-HCl pH 7.5, 80 mM KCl, 2 mM EDTA, 0.5 mM spermidine, 0.5 mM spermine, 20 mM NaCl, 0.1% Triton X-100) and the homogenate filtered through a 30 µm filter prior to centrifugation. The nuclei pellet was resuspended in sorting buffer (100 mM Tris-HCl pH 7.5, 50 mM KCl, 2 mM MgCl<sub>2</sub>, 0.05% Tween 20 and 5% sucrose) and



deposited onto a microscope slide prior to air-drying. Nuclei preparations were fixed in 2% formaldehyde in PBS, washed with water and air-dried. Slides were incubated with the  $\alpha$ -HA antibody (1:200) overnight and the primary antibody revealed with a secondary anti-rabbit antibody coupled to Alexa-488 (Molecular Probes). Slides were mounted in Vectashield (Vector Laboratories) with DAPI (2  $\mu$ g/mL). For microscopic observation, a fluorescence light microscope DM6000B (Leica) with a Digital CMOS ORCA – Flash4.0 camera (Hamamatsu) was used.

#### *RNA extraction and RT-PCR*

Total RNAs were extracted from dissected cotyledon tissues using Tri-Reagent (Euromedex), treated with RQ1 DNase I (Promega) and purified using phenol-chloroform extraction. Reverse transcription was primed either with oligo(dT)15 or with random hexamers supplemented with reverse primers for TSI and Ta3 using M-MLV reverse transcriptase (Promega). The resulting cDNAs were used in quantitative PCR with the LightCycler<sup>®</sup> 480 SYBR Green I Master kit on the Roche LightCycler<sup>®</sup> 480. Transcript levels of interest were normalized to *AtSAND* (69) using the comparative threshold cycle method.

#### *Fluorescence in situ hybridization*

For Fluorescence in situ hybridization (FISH), *in vitro*-grown cotyledons aged from 2 to 5 dag were fixed in ethanol-acetic acid (3:1 v/v) and FISH was performed essentially as described (3, 70). Slides were analyzed with the Zeiss Axio Imager Z.1 microscope equipped with a Zeiss AxioCam MRm camera system and images processed with ImageJ and Adobe Photoshop. More than 200 nuclei were scored per condition using a double blind experimental setup. Only nuclei in which all 180 bp and TSI repeats are clustered in chromocenters are scored as “clustered”. Differences were compared using the  $\chi^2$  test.

#### *ChIP analysis*

Formaldehyde-fixed tissue was ground to a fine powder with ceramic beads, chromatin prepared as described (70) and immunoprecipitation carried with the LowCell# ChIP kit (Diagenode). The FLAG-HA tagged H3.1-containing chromatin was precipitated using FLAG-coupled magnetic beads (Sigma). Immunoprecipitated DNA was quantified using qPCR (Roche) and normalized relative to input.



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## Supplementary Methods

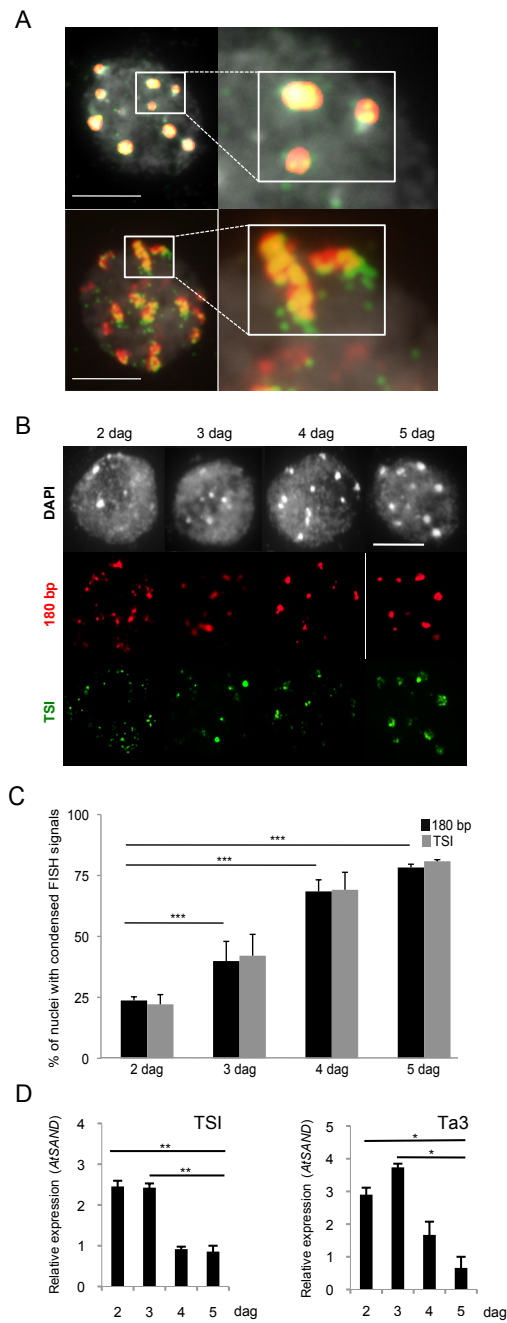
### *Protein extraction and Western analysis*

For extracts containing nucleosomal histones, nuclei were prepared from 2 g plant material (3) using HONDA buffer (20 mM Tris-HCl pH 7.4, 10 mM MgCl<sub>2</sub>, 0.4 M sucrose, 2.5% Ficoll, 5% Dextran 40, 0.5% Triton X-100, 10 mM BSA, 0.5 mM PMSF and protease inhibitors (Complete Mini, Roche)). Nuclei were re-suspended in Laemmli buffer. SDS-PAGE and Western blots were performed according to standard procedures. Western blots were probed with the anti-H3 antibody (1/20,000, Abcam) and the anti-HA antibody (1/4,000, Abcam) to reveal the tagged H3.1. Primary antibodies were revealed by incubation with a horseradish peroxidase-coupled anti-rabbit secondary antibody (1/5,000, Sigma).

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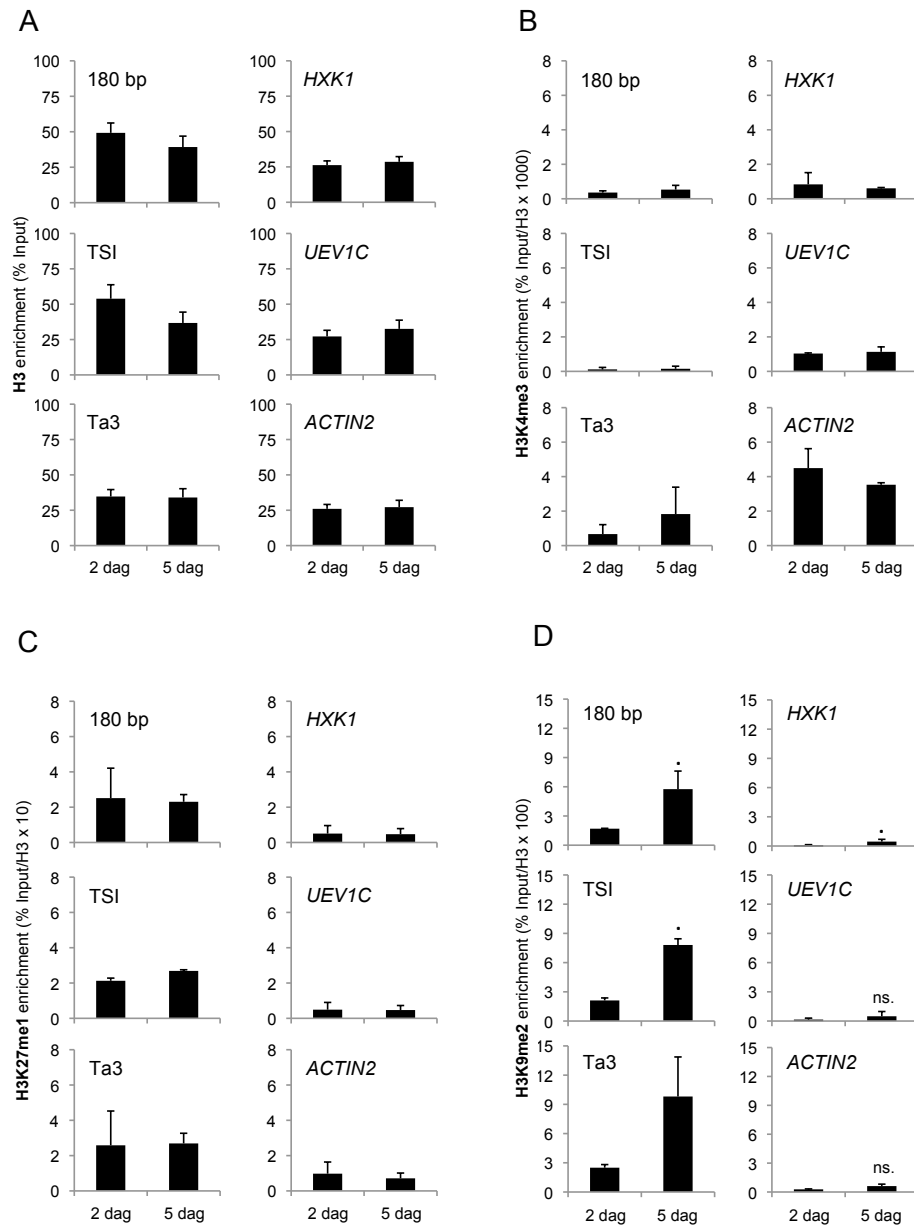




**Figure 1: Progressive organization and enhanced silencing of centromeric and pericentromeric repeats during chromocenters formation.** (A-B) Representative nuclei after DNA FISH with probes for 180 bp (red) and TSI (green) sequences and counterstained with DAPI (grey). Scale bars represent 5  $\mu$ m. (A) Example of a clustered (top) and dispersed (bottom) organization. (B) Nuclei from cotyledons aged 2, 3, 4 or 5 days after germination (dag). (C) Percentage of nuclei with complete clustering of either 180 bp (black) or TSI sequences (grey) in chromocenter structures at 2, 3, 4 or 5 dag  $\pm$  SEM. Over 200 nuclei were scored at each day for each genotype. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.005$ ;  $\chi^2$  test. (D) RT-qPCR analysis of TSI (left) and Ta3 (right) transcripts in WT cotyledons aged 2, 3, 4 or 5 dag. Histograms show mean fold change relative to transcript levels at 5 dag (set to 1) after normalization to *AtSAND* expression  $\pm$  SEM obtained for two independent PCR amplifications of at least two biological replicates. \* $p < 0.05$ ; \*\* $p < 0.01$ ; Student's t-test.

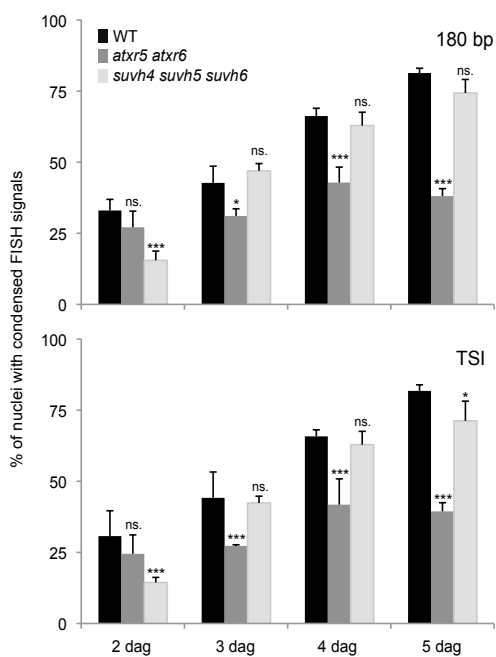






**Figure 2: Centromeric and pericentromeric sequences are enriched in repressive marks prior to chromocenter organization.** Histone H3 occupancy assessed by H3-ChIP qPCR relative to input (A) and enrichment of H3K4me3 (B), H3K27me1 (C) and H3K9me2 (D) normalized to H3-levels in WT cotyledons at 2 or 5 dag as assessed by ChIP-qPCR at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon) and euchromatic loci (*HXK1*, *UEV1C* and *ACTIN2*). Histograms show mean percentage  $\pm$  SEM for two independent PCR amplifications of three biological replicates. ns.  $p > 0.1$ ;  $p < 0.1$ ;  $^*p < 0.05$ ; Student's t-test.

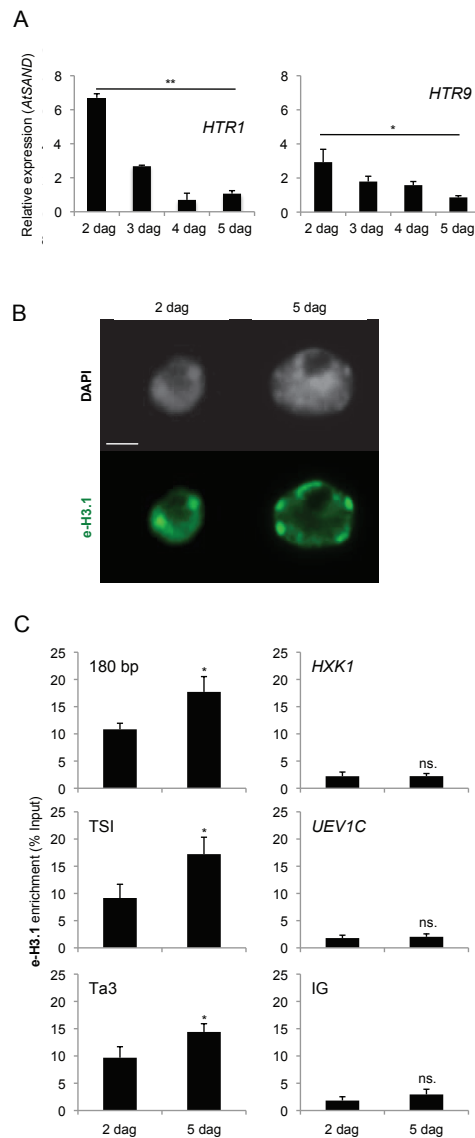




**Figure 3: Loss of the H3K9me2 and H3K27me1 histone methyltransferases affects chromocenter formation.**

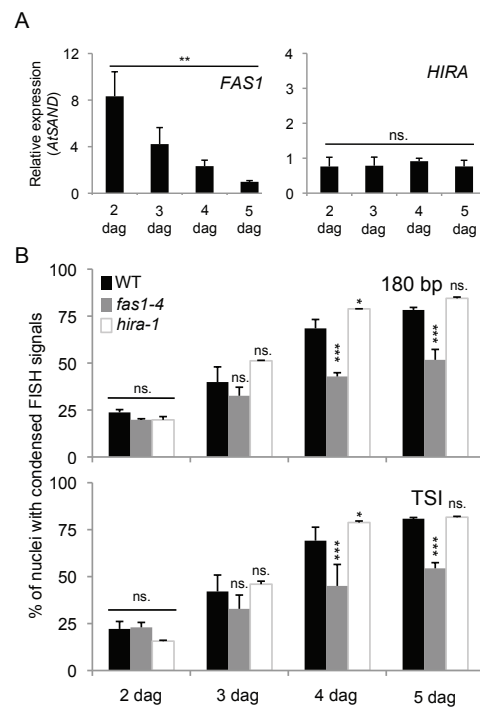
Percentage of nuclei showing clustering of 180 bp (top) and TSI (bottom) repeat sequences into chromocenter structures in WT, *atx5 atx6* and *suvh4 suvh5 suvh6* mutants in cotyledons aged 2, 3, 4 or 5 dag. Over 200 nuclei were scored at each day for each genotype. Error bars correspond to  $\pm$  SEM from two independent biological replicates. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ;  $\chi^2$  test.





**Figure 4: H3.1 levels at heterochromatin increase during post-germination growth.**  
 (A) RT-qPCR analysis of transcript levels of *HTR1* and *HTR9* between 2 and 5 dag. Histograms show means of transcript levels  $\pm$  SEM obtained in two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to transcript levels at 5 dag (set to 1) after normalization to *AtSAND* expression. \* $p < 0.05$ ; \*\* $p < 0.01$ ; Student's t-test. (B) Subnuclear localization of e-H3.1 revealed by immunostaining (green) in nuclei of cotyledons aged 2 or 5 dag. DNA is counterstained with DAPI (grey). Scale bar represents 5  $\mu$ m. (C) e-H3.1 enrichment determined by FLAG-ChIP qPCR relative to input in cotyledons from WT plants aged 2 or 5 dag at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon), two euchromatic loci (*HXK1* and *UEV1C*) and an intergenic region. Histograms show mean percentage  $\pm$  SEM of FLAG-immunoprecipitation relative to input for two independent PCR amplifications of three biological replicates.  $p < 0.1$ ; \* $p < 0.05$ ; Student's t-test.



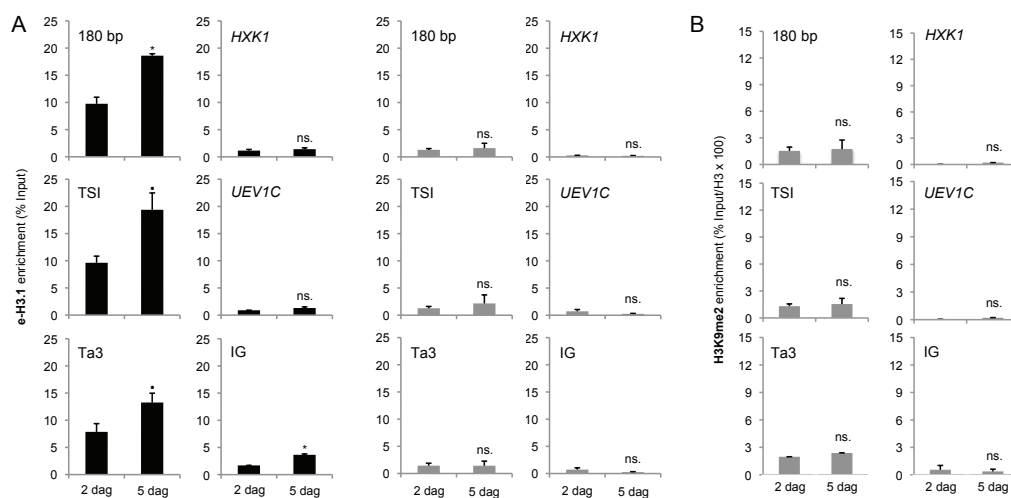


**Figure 5: CAF-1, but not HIRA, is critical for chromocenter formation.**

(A) RT-qPCR analysis of *FAS1* (left) and *HIRA* (right) transcripts in WT cotyledons aged 2, 3, 4 or 5 dag. Histograms show mean fold change relative to transcript levels at 5 dag (set to 1) after normalization to *AtSAND* expression  $\pm$  SEM obtained for two independent PCR amplifications of two biological replicates. \*\* $p < 0.01$ ; Student's t-test. (B) Percentage of nuclei showing clustering of 180 bp (top) and TSI (bottom) repeat sequences into chromocenter structures in *fas1-4* and *hira-1* mutant cotyledon nuclei compared to WT (black) at 2, 3, 4 or 5 dag. Over 200 nuclei were scored at each day for each genotype. Error bars correspond to variation from 2 independent biological replicates. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.005$ ;  $\chi^2$  test.



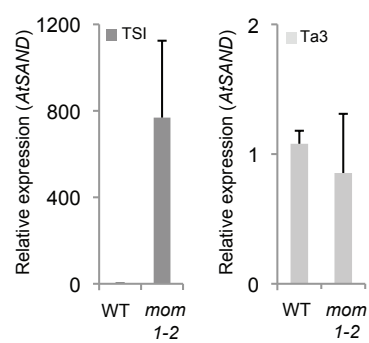




**Figure 6: CAF-1 is required for H3.1 and H3K9me2 dynamics at heterochromatin.**

(A) e-H3.1 enrichment determined by FLAG-ChIP qPCR relative to input in cotyledons of e-H3.1/WT (black bars, left) and e-H3.1/*fas1-4* plants (grey bars, right) at 2 or 5 dag at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon), two euchromatic loci (*HXK1* and *UEV1C*) and an intergenic region. The y-axis shows the fold change of FLAG-immunoprecipitation relative to input for two independent PCR amplifications of two biological replicates.  $p < 0.1$ ; \* $p < 0.05$ ; Student's t-test. (B) Enrichment of H3K9me2 assessed by ChIP qPCR at 180 bp, TSI, the Ta3 retrotransposon, as well as *HXK1*, *UEV1C* and *ACTIN2* at day 2 or 5 dag in e-H3.1/*fas1-4* cotyledons. Histograms show mean percentage  $\pm$  SEM of the respective immunoprecipitation relative to H3 levels for three biological replicates.



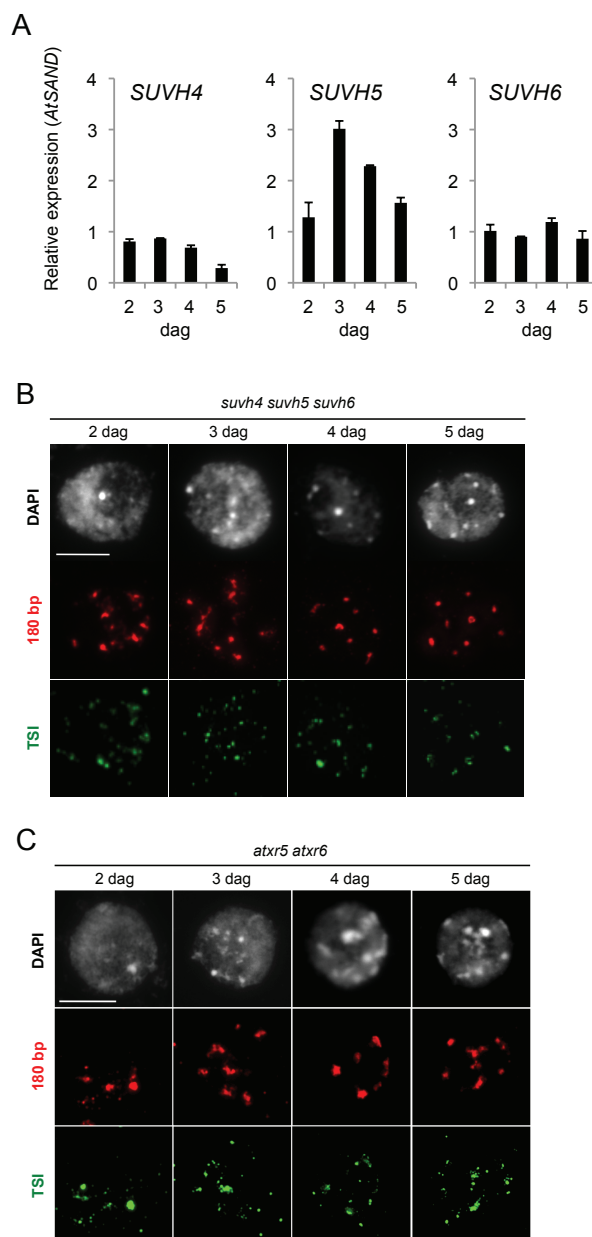


**Figure S1 [with Figure 1]**

**Transcription of TSI and Ta3 in *mom1-2* cotyledons.**

RT-qPCR analysis of TSI (left) and Ta3 (right) transcripts in *mom1-2* cotyledons aged 5 dag. Histograms show mean transcript levels relative to transcript levels at 5 dag in WT plants (set to 1) after normalization to *AtSAND* expression  $\pm$  SEM obtained for two independent PCR amplifications of at least two biological replicates.



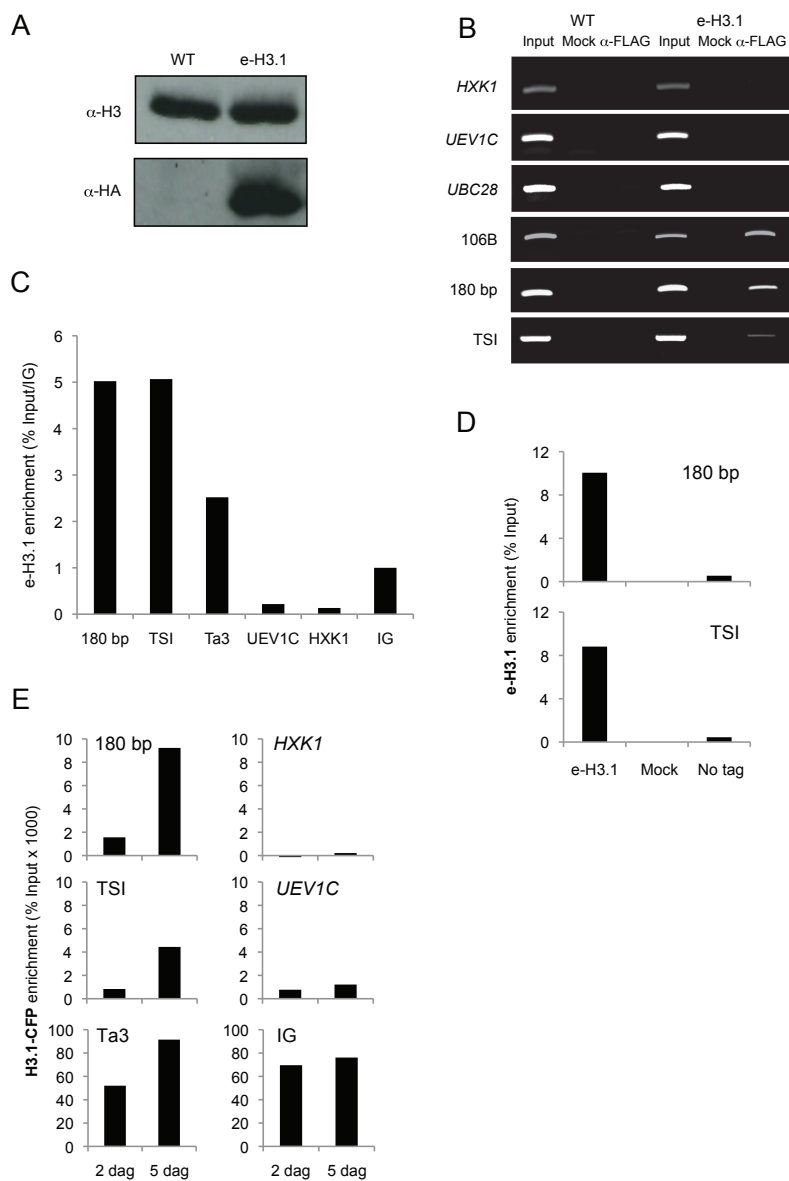


**Figure S2 [with Figure 3]**

**Loss of histone methyltransferases impacts chromocenter formation.**

(A) RT-qPCR analysis of *SUVH4*, *SUVH5* and *SUVH6* transcripts in WT cotyledons aged 2, 3, 4 or 5 dag. Histograms show mean transcript levels relative to 5 dag (set to 1) after normalization to *AtSAND*  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. (B-C) Representative *suvh4 suvh5 suvh6* (B) and *atxr5 atxr6* (C) nuclei, for which 180 bp (red) and TSI (green) were revealed by DNA FISH isolated from cotyledons at 2, 3, 4 or 5 dag. DNA is counterstained with DAPI (grey). Scale bar presents 5  $\mu$ m.



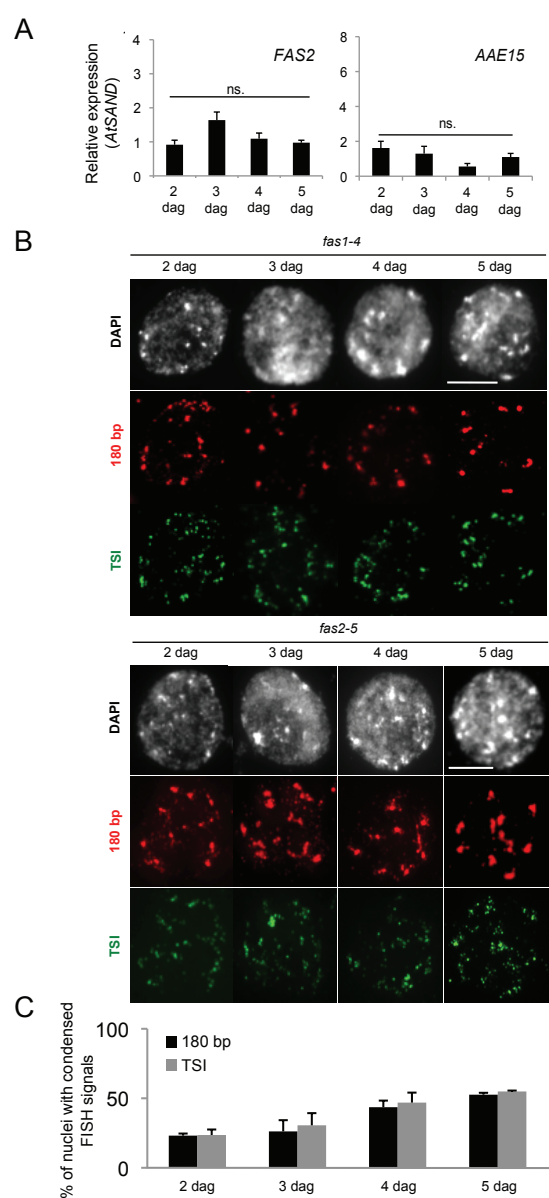


**Figure S3 [with Figure 4]**  
**Characterization of e-H3.1 lines.**

(A) Western blot of nucleosomal histones showing chromatin incorporation of the tagged histone H3.1 variant. (B) Immunoprecipitation of chromatin isolated from 3-week old plants expressing e-H3.1 with anti-FLAG antibodies reveals enrichment of H3.1 at heterochromatin repeats compared to euchromatic loci. Immunoprecipitated and input DNA were amplified by PCR. (C) Ratio of e-H3.1 enrichment determined by FLAG-ChIP qPCR relative to input and normalized to levels at an intergenic region (IG) in 10-day-old seedlings at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon) and genes (*HXK1* and *UEV1C*). Histograms show mean percentage of two independent PCR amplifications of 2 independent e-H3.1 lines. (D) e-H3.1 enrichment determined by FLAG-ChIP qPCR relative to input in cotyledons of e-H3.1 expressing and WT plants lacking the e-H3.1 construct. Chromatin was isolated from 5-day-old cotyledon nuclei. Histograms show mean percentage of FLAG-immunoprecipitation relative to input for a representative experiment. (E) H3.1-CFP enrichment determined by anti-GFP-ChIP qPCR relative to input in cotyledons aged 2 and 5 dag of an H3.1-CFP expressing line at heterochromatic elements (180 bp, TSI and the Ta3 retrotransposon), two euchromatic loci (*HXK1* and *UEV1C*) and an intergenic region. Histograms show mean percentage of two independent PCR amplifications.





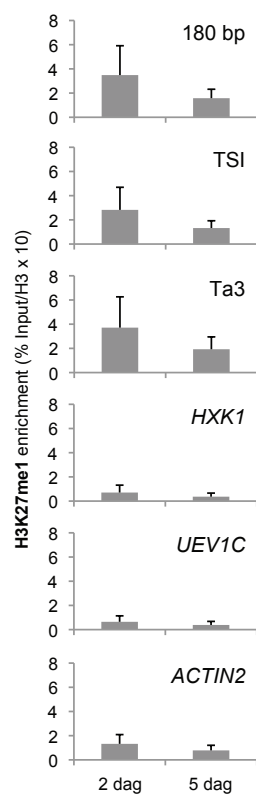


**Figure S4 [with Figure 5]**

**CAF-1 participates in chromocenter formation.**

(A) RT-qPCR analysis of transcript levels of *FAS2* and the control gene *AAE15* (2) that is expressed in a stable manner during post-germination development during 2 to 5 dag in cotyledons. Histograms show means of transcript levels  $\pm$  SEM obtained for two independent PCR amplifications of three biological replicates. The y-axis shows the fold change relative to transcript levels at day 5 (set to 1) after normalization to *AtSAND* expression. Student's t-test. (B) DNA FISH images of representative nuclei from *fas1-4* (top) and *fas2-5* (bottom) cotyledons aged 2, 3, 4 or 5 dag. 180 bp repeats (red) and TSI (green) were revealed by DNA FISH. DNA is counterstained with DAPI. Scale bar represents 5  $\mu$ m. (C) Percentage of nuclei showing clustering of 180 bp and TSI repeat sequences into chromocenter structures in *fas2-5* mutant cotyledon nuclei at 2, 3, 4 or 5 dag  $\pm$  SEM from two independent biological replicates. Over 200 nuclei were scored at each timepoint.





**Figure S5 [with Figure 6]**

**H3K27me1 levels remain stable in *fas1-4* mutants during cotyledon development.**

Enrichment of H3K27me1 assessed by ChIP qPCR at 180 bp, TSI, the Ta3 retrotransposon, as well as *HXK1*, *UEV1C* and *ACTIN2* at day 2 and 5 dag in e-H3.1/*fas1-4* plants. Histograms show mean percentage  $\pm$  SEM of the respective immunoprecipitation relative to H3 levels for two independent PCR amplifications of three biological replicates.



Experiment	Target	Gene ID	Primer	Sequence 5'-3'	
Genotyping	FAS1	At1g65470	Fas1_fw_M1	ATGGTATCTGGCCAGCCAAA	
			Fas1_rev_M1	CCTGTTCCAGCGGATCAATGCCA	
	FAS2	At5g64630	LBR Sail	TAGCATCTGAATTCATAAACAATCTCGATACAC	
			FAS2-LP	TTTGCCCGTGTTCATTTAAC	
	HIRA	At3g44530	FAS2-RP	GCCCAATAATGATCCACAATG	
			LbB1.3	ATTTTGCCGATTCGGAAC	
	HTR9	At5g10400	Hira_Wisc_LP	TACTAAATTTGAGCCGGG	
			Hira_Wisc_RP	GAGAGTCACTGTTTGGCTGG	
	ATXR5	At5g09790	p745_WiscDsLox	AACGTCGCAATGTGTATTAAAGTTGTC	
			HTR9_LP	AATAACAACCACACTGCAGCC	
	ATXR6	At5g24330	HTR9_RT-R	CGAAAAAGAAAAGAGACAGCTT	
			LbB1.3	ATTTTGCCGATTCGGAAC	
	SUVH4	At5g13960	ATXR5_LP	TTTCTCTGTCCGGTGAATG	
			ATXR5_RP	CCTGCAACATCAGTGTGATG	
	SUVH5	At2g35160	LbB1.3	ATTTTGCCGATTCGGAAC	
			ATXR6_LP	TTGAGATGAATCGGAGACCG	
	SUVH6	At2g22740	ATXR6_RP	AAACGACGACGATTTGGAGTG	
			LBR Sail	TAGCATCTGAATTCATAAACAATCTCGATACAC	
	MOM1	At1g08060	KYP_LP	ACTGGTGAACCAGCTGGTATG	
			KYP_RP	TGAGGGGTACCTGTTCAATTG	
RT-qPCR	FAS1	At1g65470	LbB1.3	ATTTTGCCGATTCGGAAC	
			Fas1_fw_M1	ATGGTATCTGGCCAGCCAAA	
	FAS2	At5g64630	Fas1_rev_M1	CCTGTTCCAGCGGATCAATGCCA	
			FAS2 qPCR_Forter	AAACCGGTTGAGTGGTTCG	
	HIRA	At3g44530	FAS2 qPCR_Revter	TGACGATAGTGGAGACCTG	
			HIRA qRT_F2	AACAAGACCAGCAACTAAGA	
	HTR1	At5g65360	HIRA qRT_R2	CTTTAACACCGCTAAGTGAG	
			At5g65360_HTR1_qPCR_Forbis	AGCGATCTCAGCAACCAAC	
	HTR9	At5g10400	At5g65360_HTR1_qPCR_Revbis	GAAATCCACCCGAGGAAAG	
			HTR9_RT-F	TAATCTCTGTGCGATTCATGCT	
	AtSAND	At2g28390	HTR9_RT-R	CGAAAAAGAAAAGAGACAGCTT	
			SAND-F	AACTCTATGACGATTTGATCCAAT	
	AAE15	At1g74960	SAND-R	TGATTCATATCTTTATGCCATC	
			Acyl-ACP Synthetase qRT F1	TGGACATCAGAATGTTTCGTT	
	TSI	TSI	Acyl-ACP Synthetase qRT R1	TTTGATTTAGCCAGCACCA	
			TSIq-F	CTCTACCCTTTGCATTCATGAATCCTT	
	Ta3	At1g37110	TSIq-R	GATGGCAAAGCCCTCGGTTTAAAAATG	
			QPCR-Ta3-F	AAGAGAGCTGGCAGAGCAGTTGA	
	SUVH4	At5g13960	QPCR-Ta3-R	ACGCCCTTACCTTGACCTCCTTT	
			SUVH4 qRT_F2	AAGATGAGAAATGGCCAGAG	
SUVH5	At2g35160	SUVH4 qRT_R2	ATGGGGAAATGTGTGTCAGC		
		SUVH5 qRT_F1	CCCTTGATGTTGAGTCTGT		
SUVH6	At2g22740	SUVH5 qRT_R1	TGCAGCTAAAACATGACAAC		
		SUVH6 qRT_F1	GCAAGTAAGGGTAAATGTTGG		
ChIP-qPCR	ACTIN2	At3g18780	SUVH6 qRT_R1	TTGAAGCGGAGGTATTTGT	
			actin_f	GGTAACATTGTGCTCAGTGGTG	
	HXK1	At4g29130	actin_r	AACGACCTTAATCTCATGCTGC	
			At4g29130_ChIP-F	AGGAGCTCGTCTCTGCTG	
	UEV1C	At2g36060	At4g29130_ChIP-R	GCTCAACAATCCACCATCC	
			At2g36060_ChIP-F	GGTGACTGAAATGTAATTTGC	
	UBC28	At1g64230	At2g36060_ChIP-R	ATGCAGCCATCTCCTTCTC	
			At1g64230-ChIP-F	TCATTTAAACGGACCCAAAC	
	180 bp	180 bp	At1g64230-ChIP-R	CCAGCTTCTCGCAGTAGACTC	
			180(all)-F	ACCATCAAAGCCTTGAGAAGCA	
	TSI	TSI	180(all)-R	CCGATAGGTCTTTGTCTTTGATCTTCT	
			TSIq-F	CTCTACCCTTTGCATTCATGAATCCTT	
	Ta3	At1g37110	TSIq-R	GATGGCAAAGCCCTCGGTTTAAAAATG	
			QPCR-Ta3-F	AAGAGAGCTGGCAGAGCAGTTGA	
	IG	Between At2g17670 and At2g17680	QPCR-Ta3-R	ACGCCCTTACCTTGACCTCCTTT	
			IG-2g17670-80qF	GGCTACTGTCTAGTTCATATCTTAGA	
	Cloning	HTR9	At5g10400	IG-2g17670-80qR	TAGGTTGGCATCCGATCCAGAGT
				NotI_HTR9genomic_for	ATATATGCGCCGCTAGACCTCGCAACGC
	FLAG-HA	FLAG-HA	HTR9_NotI_rev	AAATTTGGCGCCGACGCCCTCTCTCCTGATTCTC	
			TAG_NotI_F	ATATATGCGCCGCTGGAGGAGAC	
OCS Terminator	OCS Terminator	TAG_BamHI-R	ATATAGGATCCCTAGGCGTAGTGGGCACGTC		
		terOCSsall	TAGAGTGCAGCTGCAGGC		
			terOCSsall	GCCGGATCCTGGACAATCAG	

Table S1  
List of oligonucleotides used in this study.



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# ***Discussion and perspectives***



My thesis investigated the role of histone H3 variants and the associated histone chaperone network in heterochromatin dynamics. This work was focusing on two different developmental windows: on the one hand vegetative development is suitable for a detailed study of the maintenance of heterochromatin structure, and on the other hand early post-germination development is a privileged time window to monitor heterochromatin reorganization. Our data argue for an independent but not exclusive role of CAF-1 and HIR-dependent assembly pathways in heterochromatin dynamics. We also revealed new and unexpected characteristics of H3 variants, suggesting that the functional distinction between H3.1 and H3.3 should be nuanced.

## **1. The HIR complex as a major player in maintenance of genome integrity**

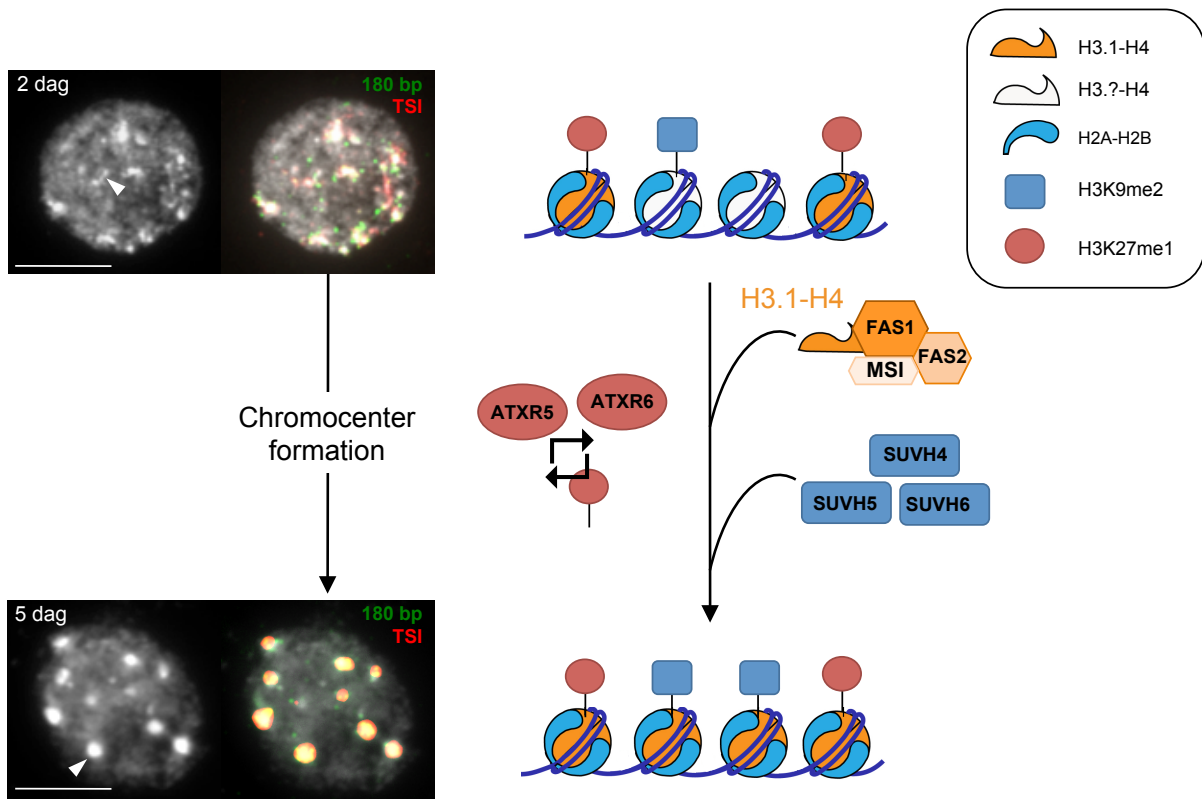
We identified orthologs of the mammalian HIR complex subunits HIRA, UBINUCLEIN and CABIN1 in Arabidopsis and tested the consequences of their loss on genome function and integrity. Reduced levels of the non-nucleosomal histone pool and decrease in H3 occupancy in the core and 3' region of two euchromatic loci as well as in heterochromatin in *athira-1* mutants argue for a conserved chaperone activity of AtHIRA and interaction with the H3.3 variant, confirmed recently by co-immunoprecipitation assays (Nie et al., 2014). Introgression of e-H3.1 and e-H3.3 transgenes in *athira-1* plants followed by biochemical and genomic analysis will give further insights regarding the specificity of the histone / chaperone interaction. Despite normal growth and vegetative development *athira-1* mutants display defects in male reproductive development under our growth conditions. These phenotypes could be explained by a role of the HIR complex in histone H3 variant dynamics e.g. during gametogenesis. These variant dynamics involve reprogramming of the chromatin histone content by replacing H3.1 by H3.3 and H3.3-like variants and thereby defining particular and distinguishable chromatin properties between parental gametes. Regarding the transmission of the *athira-1* mutant allele, standard segregation rates were observed (Ingouff et al., 2010; Nie et al., 2014), suggesting that the HIR complex does not play an essential role neither in histone variant



exchange prior to fertilization nor immediately following fertilization in contrast to the role of *Drosophila* HIRA.

Our analysis revealed unexpected roles of AtHIRA in nucleosomal occupancy of heterochromatin and maintenance of transcriptional silencing in plants. While initially surprising these results are consistent with the deposition of e-H3.3 observed at heterochromatic repeats that we revealed in our transgenic lines. The biological significance of H3.3 deposition in heterochromatic regions is unknown, but H3.3 at these sites is susceptible to favor maintenance of nucleosome occupancy upon slow but significant histone turnover at heterochromatin, especially in non-proliferative cells where replication-dependent CAF-1 chromatin assembly activity does not occur anymore (Drané et al., 2010; Lewis et al., 2010). The replacement of H3.1 by H3.3 could lead to a change in post-translational modifications as H3.3 is not modified by the H3K27 monomethyltransferases ATXR5 and ATXR6 (Jacob et al., 2010). The amount of H3.3 at heterochromatic repeats relative to H3.1 as well as the dependence of H3.3 enrichment on AtHIRA are not known to date. Clearly, given the only subtle phenotypes of the *athira-1* mutant, we can expect other H3.3 deposition complexes to exist in plants such as DEK or ATRX, even if plants lack a DAXX ortholog (Zhu et al., 2012), which could also contribute to H3.3 enrichment in heterochromatin.

However, heterochromatin dynamics during post-germination development do not require AtHIRA or the other members of the HIR complex since formation of cytologically distinguishable chromocenters during 2 to 5 dag in cotyledons appeared unchanged in our analysis. This suggests that CAF-1 but not HIR chromatin assembly activity is necessary to establish chromocenters and that deposition of H3.3 occurs afterwards potentially to maintain chromatin structure. On the contrary, mammalian HIRA and UBN1 together with ASF1A are involved in the formation of specialized heterochromatic structures called Senescence-Associated Heterochromatin Foci (SAHF) involved in cellular senescence (Zhang et al., 2005; Banumathy et al., 2009). UBN1 is thought to target the activity of histone methyltransferases to SAHF-localizing DNA for subsequent H3K9me deposition and transcription repression of proliferation-promoting genes that participate in cell cycle arrest (Narita et al., 2003; Banumathy et al., 2009). Whether such a mechanism exists in plants has never been assessed but it suggests that the transition from proliferative to senescent states necessitates replication-independent chromatin



**Figure 46: Model for the interplay between CAF-1, SUVH, ATXR5 and ATXR6 in chromocenter formation during post-germination development in Arabidopsis.**

Chomocenter formation involves site-specific CAF-1-dependent H3.1 enrichment, SUVH-dependent H3K9me2 enrichment and maintenance of H3K27me1 by ATXR5 and ATXR6 at centromeric and pericentromeric repeats. White arrowheads point to a pre-chromocenter (2 dag) and a mature chromocenter (5 dag). Scale bars: 5  $\mu$ m.

assembly, but also distinct activities of the HIR complex independent from histone chaperoning that may involve interactions with other chromatin modifiers. The exact function of the different subunits of the *Arabidopsis thaliana* HIR complex and their interactions with other proteins remain elusive and their characterization necessitates deeper molecular analysis.

## **2. CAF-1 links chromatin assembly and epigenetic landscapes**

The CAF-1 chaperone complex has been extensively studied from *Drosophila* to mammals for its replication-dependent H3.1 nucleosome assembly activity, its role in maintenance of epigenetic marks upon nucleosome disruption at the replication fork and in DNA repair (Quivy et al., 2004; Tagami et al., 2004; Gérard et al., 2006; Polo et al., 2006). Plants lacking CAF-1 subunits are viable compared to mammals and *Drosophila* despite pleiotropic morphological defects. At the chromatin level, nuclei of *fas1* and *fas2* mutants show reduced heterochromatin content associated with transcriptional reactivation of pericentromeric repeats and reduced nucleosome occupancy (Takeda et al., 2004; Exner et al., 2006; Schönrock et al., 2006; Ono et al., 2006 and our study). Chromocenters are transiently disorganized at germination in WT plants, when only pre-chromocenters remain detectable in cotyledon nuclei (Mathieu et al., 2003; Douet et al., 2008; van Zanten et al., 2011). The mechanism by which chromocenters are restructured between 2 and 5 dag was unknown (Mathieu et al., 2003; Douet et al., 2008; Benoit et al., 2013). Here we identified local CAF-1-dependent H3.1 dynamics at repetitive sequences as a driving force favoring clustering into chromocenters. This process concomitantly requires proper setting of the repressive post-translational modifications H3K9me2 and H3K27me1 (**Figure 46**). Plants lacking histone methyltransferases SUVH4, SUVH5 and SUVH6 display increased dispersion of centromeric and pericentromeric repeats at 2 dag, while impaired H3K27me1 deposition in *atxr5 atxr6* mutants prevents chromocenter formation at later developmental stages. This suggests different roles for H3K9me2 and H3K27me1. While H3K27me1 defects can originate from deficiency in higher-order folding or from failure to control heterochromatin over-replication (Jacob et al.,





2010), H3K9me2 might be required for maintenance of transcriptional silencing of centromeric and pericentromeric repeats, even in absence of cytologically visible chromocenters (Malagnac et al., 2002; Jackson et al., 2004; Naumann et al., 2005; Ebbs and Bender, 2006; Johnson et al., 2007). This is in agreement with observations that H3K9me2 together with DNA methylation restricts DNA accessibility at pericentromeric repeats (Shu et al., 2012).

5S rRNA genes were the first repetitive sequences to be studied in the context of post-germination chromatin remodeling (Mathieu et al., 2003; Douet et al., 2008), and it is thus necessary to compare reorganization dynamics of 5S rDNA loci with 180 bp and TSI dynamics described in our study. 5S rDNA loci, despite their localization within pericentromeric heterochromatin, display distinct organizational dynamics. In cotyledon at 2 dag, 5S rDNA chromatin tightly colocalizes with pre-chromocenters, prior to a transient decompaction at 3 dag followed by a recondensation at 5 dag. These particular dynamics have been proposed as critical for the compartmentalization of the silenced, highly mutated 5S rDNA arrays in chromocenters whereas the transcribed fraction forms loops with euchromatic features that emanate from chromocenters (Mathieu et al., 2003). This has been associated with changes in the 5S rDNA methylation pattern, since rapid and active demethylation mediated by the ROS1 demethylase is required for decompaction at 3 dag, while Pol IV, required for *de novo* CHH methylation by the RdDM pathway, is involved in the subsequent recondensation of 5S rDNA chromatin at 5 dag (Douet et al., 2008). Such chromatin dynamics have not been observed for 180 bp repeats and TSI in my study. One explanation is the different techniques used evaluate dispersion *versus* compaction in our different studies. Another explanation is the difference between 180 bp and TSI and the 5S rDNA in the necessity to be transcribed and the co-existence of both euchromatic and heterochromatic marks along the same 5S rDNA locus. Whether the reorganization of 180 bp and TSI sequences involves changes in DNA methylation that might reinforce histone methylation marks such as H3K9me2 and favor clustering remains to be elucidated. A simple relationship between the degree of clustering and the amount of CG and CHG methylation could however not be established (Tariq et al., 2003; Vaillant et al., 2007).

It is interesting to note that global H3 occupancy remains stable between 2 and 5 dag despite enrichment of H3.1 at the repetitive sequences tested, implying replacement of yet uncharacterized histones present at germination by the replicative



H3.1. One exciting hypothesis involves the progressive enrichment of histone H3.3 in the embryonic cotyledon during seed maturation in order to compensate absence of new chromatin assembly coupled to replication and decreased H3.1 incorporation. During imbibition, the H3.3-rich chromatin of seeds is then globally remodeled (van Zanten et al., 2011) and heterochromatin decondensation might be facilitated by H3.3 enrichment. Initiation of the mitotic cycle is observed shortly after emergence of the cotyledons from the seed coat before switching to the endocycle suggesting that H3.3 enrichment is diluted by H3.1 incorporation during DNA synthesis-coupled histone deposition in heterochromatin. In euchromatin, *de novo* deposited H3.1 could be readily exchanged to H3.3 promoting transcriptional permissive landscapes and resulting in the differences observed between euchromatin and heterochromatin in H3.1 enrichment.

Despite the clear role of CAF-1-dependent chromatin assembly in chromocenter formation that we could show in our study, a significant fraction (around 50%) of *fas* cotyledon nuclei at 5 dag are able to cluster efficiently centromeric and pericentromeric repeats into chromocenters. This raises the question concerning the difference between the two nuclei populations. One explanation could be the difference in polyploidy levels between WT and *fas* mutant cotyledons, which switch early to the endocycle (Ramirez-Parra and Gutierrez, 2007a). Indeed, it has been shown that centromeric and pericentromeric repeats organized differently in chromocenters of high ploidy nuclei (Schubert et al., 2012), which could create a bias in our analysis. In an attempt to limit this bias, large nuclei and nuclei with extranumerous well-defined chromocenters (>10) were voluntarily excluded from our quantification. Cell heterogeneity in the tissue analyzed can also partially explain these results. Indeed despite cautious dissection of the cotyledons from the adjacent meristematic tissue, cotyledons still display a large number of different cell types, associated with potential proper chromatin dynamics. This is exemplified by different chromatin dynamics in guard cells *versus* pavement cells in the cotyledon epidermis (Kato and Lam, 2003, Poulet et al., unpublished results). Finally, certain cells may be able to overcome defects caused by deficient replication coupled chromatin assembly by other mechanisms.

Our study focused exclusively on H3 variants, but the nucleosome consists of three other types of histones that may play a critical role. Indeed H2A.W, a recently



characterized H2A variant in Arabidopsis, contributes to global genome organization and condensation of heterochromatin (Yelagandula et al., 2014). H2A.W shares similarities with the vertebrate-specific macroH2A variant found at the X chromosome and associated with transcriptional silencing (Costanzi and Pehrson, 1998; Buschbeck et al., 2009). In light of genome-wide data showing that euchromatin in Arabidopsis and other organisms is tightly correlated with enrichment in both H3.3 and H2A.Z (Zilberman et al., 2008; Stroud et al., 2012; Wollmann et al., 2012), characterization of heterochromatin by a co-enrichment of both H3.1 and H2A.W is a seducing argument in favor of the histone code in defining functional genomic domains in Arabidopsis. The linker histone H1 appears as well as an intriguing player. In line with its role in the compaction of nucleosomal arrays (Robinson and Rhodes, 2006), massive H1 dynamics have been proposed to initiate transient chromatin relaxation required for somatic-to-reproductive cell fate transition in plants (She et al., 2013). Moreover, H1 interacts with the chromatin remodeler DDM1 and modulates access of DNA methyltransferases to the underlying DNA (Zemach et al., 2013), suggesting tight interplay between H1 activity and setting of other chromatin marks. There are three Arabidopsis H1 proteins, including a stress-specific version, suggesting that Arabidopsis H1 may modulate dynamically chromatin compaction and activity as described in mammals and Drosophila (Fan et al., 2005; Lu et al., 2013).

### ***3. Functional independence and interplay between CAF-1 and HIR***

The two original research manuscripts presented in this thesis together with data available in the literature define CAF-1 and HIR as important chaperones controlling H3 dynamics and genome integrity in Arabidopsis. However, the signals controlling proper H3.1 and H3.3 recognition and assembly by these chaperones remain elusive. We know that differences in amino acids at position 87 and 90 are necessary to drive H3.1 and H3.3 deposition at the correct genomic domain in Arabidopsis (Shi et al., 2012). A basis for such specific localization would rely on the ability of the histone chaperone to recognize the amino acid signature of the histone to ensure



transport and deposition at the site of assembly. In this view, hybrid e-H3.1 displaying the amino acid signature of H3.3 at position 87 and 90 represent an interesting tool for studying the basis of H3 variant recognition by the different H3 chaperones. We show that plants lacking either the HIR or the CAF-1 complex display defects in chromatin organization and dynamics, improper chromatin assembly and alleviation of transcriptional silencing of heterochromatin, together with various degrees of developmental and reproductive defects. However and contrary to observations made in other eukaryotes, disruption of either DNA synthesis-dependent or DNA-synthesis-independent chromatin assembly pathways does not result in lethality, thereby suggesting that the Arabidopsis model is highly plastic regarding deficiency in nucleosome assembly. We thus asked if a functional compensation in histone deposition occurred between CAF-1 and HIR complexes. Plants lacking both *ATHIRA* and *FAS2* show lethality during early development and *athira-1 fas1* display important defects including aberrant growth and sterility. The phenotypes are intensified compared to the single mutants but double mutants are viable probably because of residual *FAS1* transcription. Double *athira-1 fas1* mutants show decrease in nucleosome occupancy compared to single mutants suggesting cooperative but not overlapping roles in histone dynamics and deposition. Surprisingly no aggravated transcriptional silencing release nor disorganized chromocenter structure were observed in the double mutant. This can be explained by the maintenance of other chromatin marks such as DNA methylation, which is of particular importance in Arabidopsis. The weak chromatin defects seen in *athira-1* are suspected to mirror compensation by other H3.3 histone assembly complexes such as DEK and ATRX. It can also be envisaged that CAF-1 deposits H3.3 under certain exceptional conditions. Indeed, in mammalian cells, H3.3 is found chaperoned by CAF-1 upon DAXX disruption in mammalian cells (Drané et al., 2010) and in the early mouse embryo CAF-1 depletion leads to incorporation of H3.3 genome-wide including the DAPI-dense regions potentially corresponding to pericentromeric satellites (Akiyama et al., 2011). Vice versa, HIRA has been shown to fill nucleosome gaps resulting from CAF-1 dysfunction (Ray-Gallet et al., 2011), but to date no evidences suggest that HIRA is able to deposit H3.1 in order to compensate CAF-1 loss. Taken together, the balance of available histone chaperone activity may affect deposition of canonical H3 and its variants and induce changes in its genome localization. Plasticity in histone variant interaction may compensate nucleosome deposition





activity and help maintaining compaction and regulation of DNA even in absence of a particular chaperone complex.

#### **4. Functional diversification of canonical H3.1 and H3.3 variants**

Characterization of Arabidopsis histone H3 function and dynamics through mutant studies and tagged lines was challenging regarding the high number of gene copies. Our analysis revealed differences in gene expression levels between H3.1- and H3.3-encoding genes during development and regarding the proliferative status of the tissue. We generated triple *htr1 htr3 htr9* mutants lacking expression of three out of the five H3.1-encoding genes and *htr4 htr8 htr5<sup>amiRNA</sup>* H3.3 mutants. Preliminary observations revealed no obvious defects in neither vegetative development nor cytological organization of heterochromatin at interphase however these mutants require further molecular characterization, focusing on nucleosomal density, transcriptional silencing and heat stress induced chromatin remodelling. Two hypothesis can thus be proposed on the consequences of the disruption of one or many histone-encoding genes: on the one hand, transcription of the remaining genes (*HTR2* and *HTR13* for H3.1, remaining *HTR5* transcripts for H3.3) could be sufficient to maintain appropriate histone levels, maybe involving compensation at the level of transcription, transcript or protein stability but this remains to be tested. On the other hand, H3.1 and H3.3 assembly pathways might compensate for each other upon impaired histone production or incorporation, as suggested by the viability and the subtle phenotypes defects observed in plants lacking either CAF-1 or AtHIRA. Differences in biochemical properties between histones are nevertheless likely to lead to incomplete compensation, particularly in processes such as fertilization or chromocenters formation that require the specific mobilization of H3.3 and H3.1 respectively. The H3.3 *htr4 htr8 htr5<sup>amiRNA</sup>* mutant is thus a valuable tool to evaluate consequences of H3.3 loss on vegetative and reproductive development of plants as well as gene expression, but also to study potential compensation by H3.1. The weak phenotypes of plants lacking either H3.1 or H3.3 and their appropriate chaperones, together with evidence of functional compensation observed in *Drosophila* (Hödl and



Basler, 2009), suggest that the local chromatin context and their post-translational modification, rather than the biochemical differences between H3.1 and H3.3, impact genome organization and expression.

Characterization of Arabidopsis histone H3 variants has further revealed particular expression patterns of H3.3-like H3.6 and H3.14, encoded by *HTR6* and *HTR14* respectively. Our analysis showed absence of transcription of these genes in all vegetative tissues tested, consistent with previous data suggesting time- and cell-specific H3.3-like expression and deposition (Menges et al., 2003; Okada et al., 2005; Ingouff et al., 2007, 2010). Consistently, H3.14 is detected only in vegetative cell and central cell nuclei and is removed rapidly after fertilization. Interestingly, H3.14 incorporation is found in a time window where replication-dependent H3.1 deposition does not occur, suggesting that H3.14 can substitute H3.1 in some particular situations. Interestingly, we observed a transcriptional up-regulation of *HTR14*, and to a lesser extent *HTR6*, in vegetative tissues of plants lacking either *fas1* or *fas2*. Evidences for subsequent incorporation of H3.6 and H3.14 in chromatin are still lacking, but the mobilization of an unknown H3.3-like assembly pathway in order to compensate deficient DNA synthesis-coupled H3.1 assembly by CAF-1, and to limit defects in nucleosome assembly and chromatin organization can be envisaged. Such a hypothesis can be tested using lines displaying ectopic e-H3.14 expression in either WT or *fas* context, allowing new insights in how H3.3-like dynamics are mediated during development and the identification of its dedicated histone chaperone.

Due to the elevated conservation at the level of their amino acid sequence, H3.1 and H3.3 cannot be discriminated by antibodies thus impeding efficient tracking of their respective dynamics. Epitope-tagged H3.1 and H3.3 established during this thesis represent valuable tools to monitor efficiently H3 variant dynamics and deposition in chromatin. However, we have to be aware that these tools allow only an indirect and potentially biased observation of H3 dynamics. We chose one gene out of a multigenic histone family that shows in general coherent expression pattern, assuming identical regulation between the genes. Even if *HTR1*, *HTR2*, *HTR3*, *HTR9*, *HTR13* on the one hand, and *HTR4*, *HTR5*, *HTR8* on the other hand encode identical H3.1 and H3.3 proteins respectively, each gene may be subjected to different transcriptional, co- and post-transcriptional regulation, thereby contributing differently to the resulting H3 pool. Furthermore we included a region up to 2 kb



upstream of the transcription start site of *HTR9* and *HTR5* in our transgenes encoding e-H3 in order to keep both promoter and regulatory regions of the gene. However we cannot exclude the presence of a truncated promoter region and the disruption of short- and long-range regulations upon random insertion of the transgene within the genome, resulting in altered transcriptional regulation of epitope-tagged H3. The transgenes were transformed in plants lacking the functional endogenous copy of either *HTR9* or *HTR5* in order to maintain H3.1/H3.3 protein ratio within the nucleus. Giving that histone biosynthesis and dynamics are finely tuned, this appears important but has never been considered in studies using epitope-tagged histones. Even if we chose the FLAG-HA tag regarding its small size, its potential impact on proper histone assembly is difficult to evaluate. We tagged our histones at the C-terminus as this is done in most studies (Tagami et al., 2004; Ingouff et al., 2007; Santenard et al., 2010; Shi et al., 2011; Stroud et al., 2012; Wollmann et al., 2012) in order to least interfere with the setting of post-translational modifications of the N-terminal tail. However, the position of the tag can also lead to differences in assembly as has been reported for N- or C-terminal tagged H3.1 in mammalian embryos (Akiyama et al., 2011). In order to validate the molecular effects observed based on e-H3, we have to consider using additional transgenes and transgenic lines arising from independent transformation events, but also lines expressing histone fused to different tags, such as H3-Myc or H3-GFP. Ultimately, the CRISPR/Cas9 technology will allow the *in situ* tagging of endogenous genes maintaining native regulation of the tagged gene and avoiding potential bias originating from the use of transgenes.

Nuclear localization and genomic distribution of e-H3.1 revealed preferential association with heterochromatic domains while e-H3.3 enrichment is found at euchromatic loci, but also in the NOR consistent with previous observations (Shi et al., 2011; Nie et al., 2014). It is known that only a small fraction of 45S rRNA genes are transcribed by forming a loop in the nucleolus and protruding from chromocenters where the remaining inactive 45S rRNA fraction is highly condensed (Pontvianne et al., 2007; Durut et al., 2014). A similar organization has been described for 5S rDNA arrays (Cloix et al., 2002; Mathieu et al., 2003; Layat et al., 2012b). An intriguing hypothesis would be that deposition of H3.3 together with its distinctive post-translational marks in rRNA gene arrays would be a framework for subsequent transcription of the correct rDNA repeats, while mutated and silent rDNA arrays



remain depleted from H3.3 and enriched with canonical H3.1, repressive histone marks and DNA methylation. This needs to be tested by high-resolution techniques such as ChIP for e-H3.1 and e-H3.3 during different developmental stages or in different tissues that mobilize different 5S rDNA genes (Mathieu et al., 2003) or 45S rDNA variants (Pontvianne et al., 2010; Durut et al., 2014). Our data revealed as well a reduced but significant enrichment of H3.3 at heterochromatic 180 bp, TSI and Ta3, implying that H3.3 is not limited to euchromatic domains but also deposited at centromeric and pericentromeric regions consistent with the pattern known in mammals (Drané et al., 2010; Szenker et al., 2011). This is in accordance with the decreased nucleosome occupancy at centromeric and pericentromeric repeats together with the transcriptional silencing release of TSI observed in *athira-1*. The role of H3.3 in heterochromatin remains elusive but might participate in the molecular tagging of particular domains subject to stage- or cell-specific chromatin remodeling. One exciting hypothesis would rely on the deposition of H3.3 on a limited subfamily of centromeric and pericentromeric repeats, allowing the limited production of transcripts (May et al., 2005) and thus feeding the RdDM pathway.

## **5. ASF1, one to rule them all?**

Together with CAF-1 and the HIR complex, the small ASF1 chaperones are involved in histone handling and dynamics in eukaryotes. Mammalian ASF1 proteins have been shown to buffer newly synthesized H3-H4 dimers during S phase and to mediate their transport to the sites of CAF-1 and / or HIR chromatin assembly in the nucleus. At the beginning of my thesis, the role of the Arabidopsis orthologs of ASF1 was not known. I revealed that AtASF1A and AtASF1B were ubiquitously expressed and established and characterized plants lacking AtASF1A and AtASF1B. Plants lacking one paralog show WT phenotype while depletion of both AtASF1A and AtASF1B results in impaired plant growth and causes dramatic vegetative and reproductive defects, exemplified by leaf with dentate margins, short roots and limited fertility. This is associated with defects at the chromatin level: *atasf1ab* double mutants, but not *atasf1a* and *atasf1b* single mutants, display impaired chromocenter establishment by 5 dag in cotyledons similar to plants lacking CAF-1. These observations suggest that AtASF1A and AtASF1B share overlapping roles in





chromatin organization but occurrence of gene duplication is susceptible to involve paralog-specific activities. One important question is how newly synthesized H3.1 and H3.3 are targeted and loaded correctly to the appropriate chaperones in plants. One intriguing hypothesis would be that similar to mammals each AtASF1 paralog is preferentially involved in either DNA synthesis-dependent or independent chromatin assembly. Differential timing of AtASF1A and AtASF1B expression during the cell cycle could drive preferential shuttling of either H3.1 and H3.3 to its dedicated assembly complex, thus promoting CAF-1 chromatin assembly during S phase, and HIR chromatin assembly outside S-phase. Such a separation would be important to sustain correct histone flow when needed during development. Biological evidence for sub-functionalization of the ASF1 paralogs in Arabidopsis is still lacking, but the analysis of e-H3.1 and e-H3.3 dynamics in either single *atasf1a* and *atasf1b* or double *atasf1ab* mutants, together with biochemical studies of the HIR or CAF-1 complexes will give insight into this question.

## 6. Perspectives

As a conclusion, this work contributes to a better global understanding of how eukaryotic genome structure is established and maintained during development. Compartmentalization of heterochromatin away from euchromatin, exemplified by the formation of chromocenters in Arabidopsis and other organisms, is of critical importance in the maintenance of proper genome activity. We show that histone H3 variants and their associated chaperones are involved in such a process. However, clustering of heterochromatin in chromocenters is not a general view regarding genome organization in other eukaryotes. In organisms with large genomes such as wheat, which contains up to 80% of transposable elements, heterochromatin is not concentrated at centromeric and pericentromeric regions as observed for Arabidopsis but dispersed all along chromosomes arms (Choulet et al., 2010). Such genome features necessitate loci-specific mechanisms of silencing without affecting euchromatic genes located nearby and suggest that epigenetic marks have evolved accordingly to fit with the structural challenges that represent complex genomes. Meanwhile, comparison of different eukaryotes revealed conserved features of H3 variant distribution between Arabidopsis, Drosophila and mammals, which was not



expected regarding the independent occurrence and evolution of functionally divergent H3 variants in plants and animals. In striking contrast, high conservation of ASF1, CAF-1 and HIR chaperone complexes suggests that mechanisms of histone assembly are sensibly conserved between species. Regarding the astonishing variety and dynamics of chromatin assembly pathways in eukaryotes, histone chaperones may have played a major role in the diversification of H3 variant structure and activity during evolution.



***Material and  
methods***



**Figure 47: Expansion of cotyledons between 2 and 5 dag.**

Representative WT seedlings of the Columbia ecotype aged 2, 3, 4 and 5 dag. Cotyledons are the first aerial tissue to emerge at germination and they expand during early development. Emergence of the first set of leaves (black arrowhead) at 4 dag is a milestone of correct developmental timing. Scale bar: 1 mm.

### *Plant material*

Wild type and mutant *Arabidopsis* lines used in this study are listed in **Table 3** and were obtained from the Nottingham *Arabidopsis* Stock Center (NASC).

### *Plant growth conditions*

Solid germination medium contains 0.8% w/v agar, 1% w/v sucrose and Murashige & Skoog salts (M0255; Duchefa Biochemie). For *in vitro* culture, seeds were sterilized in 70% ethanol with 0.05% SDS followed by a wash in 95% ethanol, dried and sown on germination medium. Seeds were then stratified for 48h at 4°C in the dark. For *in vitro* culture, plants were grown under long-day conditions (16h light/8h dark cycles, light intensity 150-300  $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  at 23°C. For soil culture, seeds were directly sown on soil and stratified for 48h in the cold room. Plants grown in growth chamber under long-day conditions (16h light/8h dark cycles, light intensity 150-300  $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ , 50% humidity at 23°C). Cotyledons were harvested at 50h, 74h, 98h, 122h and 242h after transfer to the growth chamber, corresponding to time points 2, 3, 4 and 5 days after germination (dag) (**Figure 47**). Hygromycin (25  $\mu\text{g}/\text{mL}$ , Hygrogold™, Invivogen) and BASTA (150  $\mu\text{g}/\text{mL}$ , Bayer) were used for selection of plants containing T-DNA inserts from *Agrobacterium tumefaciens*-mediated transformation.

### *Crossing of Arabidopsis plants*

For most efficient crossings, mother plants with 5-6 inflorescences with large buds and pollen donors that have started to form siliques were selected. Open flowers were removed from the inflorescence and closed flowers with immature pollen were emasculated by removing anthers. The stigmas prepared from the mother plant were covered with pollen grains from an open flower of the pollen donor by tapping the anther. Siliques with mature seeds were harvested after 15-25 days.

### *Primers*

All primers used are listed in **Table 4**.

### *Generation of transgenic lines*

#### *Plasmids and constructs*



## Genotyping

Target	Gene ID	Primer	Sequence 5'-3'
FAS1	At1g65470	Fas1_fw_MI	ATGGTATCTGGCCAGCCAAA
		Fas1_rev_MI	CCTGTTACAGCGGATCAATGTCCA
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
FAS2	At5g64630	FAS2-LP	TTTGCCTGTTGCATTAAAC
		FAS2-RP	GCCCAATAATGATCCACAATG
		Lb1.3	ATTTTGCCGATTTCCGAAC
AtHIRA	At3g44530	Hira_Wisc_LP	CTACTAAAATTTGAGGCCGGG
		Hira_Wisc_RP	GAGAGTCACTGTTTTGGCTGG
		p745_WiscDsLox	AACGTCCGCAATGTGTATTAAGTTGTC
NCN1	At1g77310	At1g77310_GK_LP	TTCCGCAATTAACATGATGG
		At1g77310_GK_RP	AGGCATGCCATTGTTAACTTG
		GK_o8409	ATATTGACCATCATACTCATTGC
NCN2	At1g21610	At1g21610_GK_LP	TGTGGACCTTGATCTTCATCC
		At1g21610_GK_RP	AAGCTGATACCTCATCCCAGG
		GK_o8409	ATATTGACCATCATACTCATTGC
CBN	At4g32820	Cabin_SALK099927_LP	TGCCAATAAATGCTTAATCGC
		Cabin_SALK099927_RP	GCTGTAAAAACGGAGAAGGG
		Lb1.3	ATTTTGCCGATTTCCGAAC
AtASF1A	At1g66740	At1g66740_LP	ATCTTGTGGCAACTGTTGG
		At1g66740_RP	ATCTCTCTTTCTCCTTCCCC
		GK_o8409	ATATTGACCATCATACTCATTGC
AtASF1B	At5g38110	At5g38110 LP	GTGAATCCATTCCAGTTCGAG
		At5g38110 RP	CAAAACCTTGGTAGGAGGCTC
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR1	At5g65360	HTR1_LB	GAGCGATCTCACGAACAAGTC
		HTR1_RB	AACTTGGGCGCTAAAGAAAAC
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR2	At1g09200	HTR2_LP	TAATCCAACAGTCCAACGTCC
		HTR2_RT-R	AGCCTCTGGAAAGGAGTTACGG
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR2	At1g09200	(HTR2)-SAIL_655_C07-LP	AACAGCAAGCTTGACATCACC
		(HTR2)-SAIL_655_C07-RP	GAAGCCACACAGATTCCAGACC
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
HTR3	At3g27360	HTR3_LP	TCAGGTAAATCAACTCTTTTGG
		HTR3_RP	AAAAGCATCCCAACCTCACTC
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR4	At4g40030	At4g40030-F	TGACTGTTCAATTTCTGTTAAATGCG
		At4g40030-R	CTACTTGAACCAAAATCTCGATTCTTG
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR5	At4g40040	HTR5_LP	CCACCCACATTACATAATCC
		HTR5_RP	TCGTGAAACTACTGGAATCGC
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR8	At5g10980	At5g10980-F	GCTTATCGAAAAGTCACATCTTTGGAAG
		At5g10980-R	ATCATCGTAAAAATCTAACTTGAATTAGG
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR9	At5g10400	HTR9_LP	AATAACAACCACACTGCAGCC
		HTR9_RT-R	CGAAAACGAAAAGAGACAGCTT
		Lb1.3	ATTTTGCCGATTTCCGAAC
HTR9	At5g10400	(HTR9)-SAIL_305_C12-LP	TAATTTTAGCGCCAAATCG
		(HTR9)-SAIL_305_C12-RP	ACATCACTCACTTCCCATCG
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
ATXR5	At5g09790	ATXR5_LP	TTTCTCTGTCCGGTGAATG
		ATXR5_RP	CCTGCAACAATCAGTGTGATG
		Lb1.3	ATTTTGCCGATTTCCGAAC
ATXR6	At5g24330	ATXR6_LP	TTGAGATGAATCTGGAGACCG
		ATXR6_RP	AAACGACGAGTATGGAGTG
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
SUVH4	At5g13960	KYP_LP	ACTGGTGAACCAGCTGGTATG
		KYP_RP	TGAGGGTACTGTTCAATTG
		Lb1.3	ATTTTGCCGATTTCCGAAC
SUVH5	At2g35160	suvh5 GABI-LP	CTCTTTTATCCAGGGCAACC
		suvh5 GABI-RP	TCATGGGTTTTGAAGATCTGC
		GK_o8409	ATATTGACCATCATACTCATTGC
SUVH6	At2g22740	suv6-2 LP	TGCATATTTTGGGAGAAGTGC
		suv6-2 RP	GTCGTTCCCGATTCTTCTTTC
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
MOM1	At1g08060	mom1-2_SAIL610_G01_LP	ACAATGCAGGAGCAAACTC
		mom1-2_SAIL610_G01_RP	GGAAGGAGACTTCCACGG
		LBR Sail	TAGCATCTGAATTTATAACCAATCTCGATACAC
GUS	Chr 3	TsGUSF1	TGGATTTTGGCTCGAGATT
		TsGUSR1	CAATCATGGCAGATCGAGAA
		qPCR-GUS-F	TAACTATGCCGGAATCCATCGC
		GUS_R_short	CCCGGCTAACGTATCCAGCCGTA

**Table 4: Primers used in this work (1).**

All plasmids used are listed in **Table 5** and corresponding maps are presented in appendices.

#### *Plasmid construction for production of epitope-tagged histones*

To generate transcriptional fusion of H3.1 and H3.3 with FLAG-HA tags first the octopine synthase gene OCS terminator sequence was amplified by PCR from pBIN-Hyg-TX plasmid (Gatz et al., 1992) using primers containing BamHI and Sall restriction sites and directionally inserted into the pBluescript SK-derived vector pTP1 that contains the *attL1* and *attL2* Gateway recombination sites (kind gift from T. Pélissier) by BamHI/Sall restriction-ligation. A fragment containing the sequence encoding the FLAG-HA epitope was amplified by PCR from the pOZ-FH-C plasmid (Tagami et al., 2004) with primers containing NotI and BamHI restriction sites for directional cloning with the OCS terminator into the pTP1 plasmid by NotI/BamHI restriction-ligation. A genomic fragment containing the promoter and the coding region of *HTR5* and *HTR9* (stop codon excluded) was amplified by PCR using Phusion High-Fidelity DNA Polymerase (Thermo Scientific) with primers containing NotI restriction sites using genomic DNA as template. PCR products containing the promoter and the coding region of *HTR5* or *HTR9* were subsequently cloned in frame with the FLAG-HA tag into pTP1 by NotI restriction-ligation to obtain an entry clone. Directionality of the insert was confirmed either by EcoRV restriction (*HTR5*) or EcoR1/Not1 restriction (*HTR9*) followed by sequencing using the M13 forward primer. After a LR recombination reaction using Gateway technology (Invitrogen) with destination plasmid pTP9, derived from pBIN-Hyg-TX in which the 35S-polylinker-OCS cassette has been replaced by a cassette containing *attR1-attR2* Gateway recombination sites (kind gift from T. Pélissier), an expression clone of either *HTR5* or *HTR9* fused to a FLAG-HA tag was obtained (*pHTR5::HTR5:FLAG-HA* and *pHTR9::HTR9:FLAG-HA*). Following the same method, full-length cDNA excluding the stop codon of *HTR4*, *HTR9* and *HTR14* were amplified from cDNA by PCR using Phusion High-Fidelity DNA Polymerase (Thermo Scientific) and specific primers containing NotI restriction sites from cDNA. After cloning the cDNA amplicon into the pTP1 plasmid by NotI restriction-ligation in frame with the FLAG-HA/OCS to obtain an entry clone, a LR recombination reaction was performed with destination plasmids (either pUB (Grefen et al., 2010) for *HTR4* and *HTR9* or pMDC32 (Curtis and Grossniklaus, 2003) for *HTR14*) to generate the expression clones

## Semi-quantitative RT-PCR

Target	Gene ID	Localization	Primer	Sequence 5'-3'
FAS1	At1g65470	Span T-DNA site	Fas1_fw_M	ATGGTATCTGGCCAGCCAAA
			Fas1_rev_MI	CCTGTTTCAGACGGATCAATGTCCA
FAS2	At5g64630	3'	Fas2 RT F	CGGTCTCAAATAAACCCAGCAA
			FAS2-RP	GCCCAATAATGATCCACAATG
AtHIRA	At3g44530	Span T-DNA site	HIRA rt f	GAGAGTCACTGTTTTGGCTGG
			HIRA rt r	CTACTAAAATTTGAGGCCGGG
		3'	HIRA-RT-For	TCTTGGGATCCTTATGTTCT
			HIRA-RT-Rev	AACCCAAACCCGGTCACTA
NCN1	At1g77310	Span T-DNA site	310_TDNaSpan_F	CCAGCAGCAACCAAAGAAAAGGCG
			310_TDNaSpan_R	TTCGAAGAGGGGCCAGGAGAAGC
		3'	Atnuclein1_For	TGGAAGGAAACATTGGGAAG
			Atnuclein1_Rev	ACTAAGGACGTGGTGGTTGC
NCN2	At1g21610	Span T-DNA site	610_TDNaSpan_F	TGGGAGATTCTGCAACCCGAGA
			610_TDNaSpan_R	CCTCCAATGCCGCATCCATGACA
		3'	Atnuclein2_For	TATCGTGGGATCAACATCG
			Atnuclein2_Rev	TAGTGACCACGGAAGTGCTG
CBN	At4g32820	Span T-DNA site	cab-amontExon8-F	TGGGATCACTTGGTGGCGGA
			cab-avalExon8-R	AGCTCCTTCTCCCCCGCAC
		3'	AtCabin_For	CGGTGACACTCCCTTCTGAT
			AtCabin_Rev	AACCGAAGGAACCACTGATG
AtASF1A	At1g66740	Span T-DNA site	Asf1a_RT-F	TCCTGCTCCTTTTGTAGCC
			Asf1a_RT-R	GCCAACTTAACAGGCCCTA
		3'	At1g66740 RT-For	GTTGGCACTACCGCTTTGT
			At1g66740 RT-Rev	AAAAGCAAAGCGCAACATCT
AtASF1B	At5g38110	Span T-DNA site	Asf1b_RT-F	GACAATCCTGCTCCGTTTGT
			Asf1b_RT-R	CCCAACGTTAACAGACCAA
		3'	At5g38110 RT-For	AACGTTGGGAAGTATCGATTTG
			At5g38110 RT-Rev	GGCTGATCAAGCAACGCTAT
HTR1	At5g65360	Span T-DNA site	HTR1_RT-F	CGTCCTGGAACTGTTGCCCTAAG
			HTR1_RT-R	TTAGCATGAATCGCGCAAAGATTG
HTR2	At1g09200	5'	HTR2_RT-F	CAAGCAGACGGCTAGGAAATCAACC
			HTR2_RT-R	AGCCTCTGGAAGGGAGTTTACGG
HTR3	At3g27360	3'	HTR3_RT-F	AAGGATATTCAACTTGCAGGA
			HTR3_RT-R	ATTTTCATTTGGGCATTTTCATC
HTR4	At4g40030	3'	At4G40030 RT-F	ATT CAG CTC GCT CGT CGT AT
			At4G40030 RT-R	AAA AAG AGT TCG CAA CAC ACA
HTR5	At4g40040	3'	At4g40040 RT-F	AATGCCCAAAGACATTCAGC
			At4g40040 RT-R	CATTGCCAAAGAAGAAAGCA
HTR6	At1g13370	3'	At1g13370 RT-F	TGTGCCATTCATGCAAAGAG
			At1g13370 RT-R	CGCAAAGCAAAAACAATCG
HTR8	At5g10980	Span T-DNA sites	At5g10980-F	GCTTATCGAAAAGTCACATCTTTTGGAAAG
			At5g10980-R	ATCATCGTAAAAATCTAAACTTGAATTAGG
HTR9	At5g10400	3'	HTR9_RT-F	TAATCTCTGTGCGATTTCATGCT
			HTR9_RT-R	CGAAAACGAAAAGAGACAGCTT
HTR14	At1g75600	Span T-DNA sites	At1g75600 RT -F	CCTTGTTCGTGAAATCGCTCA
			At1g75600 RT -R	CACGAATCCTTCTTGCCAAT
AtSAND	At2g28390	-	SAND-F	AACTCTATGCAGCATTTGATCCACT
			SAND-R	TGATTGCATATCTTTATCGCCATC
ACTIN2	At1g74960	-	Act2d (Act2-7 F)	CTAAGCTCTCAAGATCAAAGGC
			Act2d (Act2-7 R)	AACATTGCAAAGAGTTTCAAGG
UBC28	At1g64230	-	UBC28qF	TCCAGAAGGATCCTCCAACCTTCTGCAGT
			UBC28qR	ATGGTTACGAGAAAGACCCGCTGAATA
UEV1C	At2g36060	-	At2G36060_F	GGGCTTCTCCATTGTTGGTC
			At2G36060_R	TGAAGTCGTGAGACACGTTG
ATXR5	At5g09790	-	ATXR5_P2_RT_F	CCATTGGAACCTTGGCTTTGTGTC
			ATXR5_P2_RT_R	AATAGGACCATCTGCTTCAACTGTG
ATXR6	At5g24330	-	ATXR6_P1_RT_F	CATCAGATCCCTAAATCTTCCCTC
			ATXR6_P1_RT_R	TTCACCGAGGTCATCATTTTCTTGCA
180 bp	180 bp	-	180(all)-F	ACCATCAAAGCCTTGAGAAGCA
			180(all)-R	CCGTATGAGTCTTTGTCTTTGTATCTTCT
TSI	TSI	-	TSIq-F	CTCTACCTTTTGCATTCATGAATCCTT
			TSIq-R	GATGGGCAAAGCCCTCGGTTTAAAATG
106B	106B	-	106Bq-F	TCATTATGCTAGGTGGTTGA
			106Bq-R	GACAACAAGTTTCATTAACCA
GUS	Chr 3	-	qPCR GUS-F	TAACTATGCCGGAATCCATC
			qPCR GUS-R	CACCACCTGCCAGTCAACAGACGC

Table 4: Primers used in this work (2).

pUBQ10::*HTR4*:FLAG-HA, pUBQ10::*HTR9*:FLAG-HA and p35S::*HTR14*:FLAG-HA. selection of bacterial transformants was based upon Kanamycin (50 µg/mL) (pTP9) or Ampicillin (50 µg/mL) (pTP1) resistance encoded by the plasmids.

#### *Plasmid construction for production of artificial miRNA*

The artificial microRNA sequence was chosen using the artificial miRNA designer WMD (<http://wmd3.weigelworld.org>) (Schwab et al., 2006; Ossowski et al., 2008): 4 sequence-specific oligonucleotides were used to engineer the artificial miRNA targeting the gene of interest into the endogenous miR319a precursor. The plasmid pRS300, which contains the miR319a precursor in the pBSK plasmid cloned *via* a SmaI site was used as a template to generate the artificial miRNA containing precursor by overlapping PCR using primers containing sequences specific for the gene to be targeted (Ossowski et al., 2008). The amiRNA-containing precursor was then recombined through the BP reaction (Invitrogen) with pDONR221 (Invitrogen). After a LR recombination reaction (Invitrogen) with pMDC32 (Curtis and Grossniklaus, 2003), an expression clone with the amiRNA-containing precursor under control of the 35S promoter was obtained. DH5 $\alpha$  or One Shot® TOP10 Competent *Escherichia coli* were used for cloning. selection of transformants was based upon Kanamycin resistance encoded by the plasmids.

#### *Site-directed mutagenesis*

Entry clones containing full-length *HTR9* in frame with the FLAG-HA tag were used to perform site-directed mutagenesis to convert lysine residues in position 9 and 27 to arginine residues according to the manufacturer's instructions with the QuikChange® Lightning Site-Directed Mutagenesis Kit (Agilent). After sequencing, the mutated plasmids were subsequently recombined with the appropriate destination plasmid to generate expression clones as described before.

#### *Ligation and bacterial transformation*

Ligation of the PCR product to the appropriate vector was done using T4 DNA Ligase (Invitrogen) following the manufacturer's protocol. DH5 $\alpha$  or One Shot® TOP10 Competent *Escherichia coli* (Invitrogen) were transformed with plasmid DNA or ligated plasmid products using the heat shock transformation protocol. After thawing

## qRT-PCR

Target	Gene ID	Primer	Sequence 5'-3'
FAS1	At1g65470	Fas1_fw_M	ATGGTATCTGGCCAGCCAAA
		Fas1_rev_MI	CCTGTTTCAGACGGATCAATGTCCA
FAS2	At5g64630	FAS2_qpcr_Forter	AAACCGTTGTAGTGGTTCG
		FAS2_qpcr_Revter	TGCAGCATAGTGGAGACCTG
AtHIRA	At3g44530	HIRA qRT F2	AACAAGACCAGAACTCAAGA
		HIRA qRT R2	CTTTAACAACGCCAATGAG
NCN1	At1g77310	At1g77310_nuclein1_qpcr_Forter	ATCCTGCAGCAGAAGGAAAG
		At1g77310_nuclein1_qpcr_Revter	TCTTGGCCTTTGGATGTACC
NCN2	At1g21610	At1g21610_nuclein2_qpcr_Forbis	GCAAAGGAGAGACGAAAAGC
		At1g21610_nuclein2_qpcr_Revbis	TAGTGACCACGGAAAGTGCTG
CBN	At4g32820	At1g77310_cabin_qpcr_For	CGAACCTGCTCCTAAGGTTG
		At1g77310_cabin_qpcr_Rev	CCTCTCTGCAGAAATTCACC
AtASF1A	At1g66740	At1g66740 RT-For	GTTGGCAACTACCGTTTTGT
		At1g66740 RT-Rev	AAAAGCAAAGCGCAACTCT
AtASF1B	At5g38110	Asf1b_RT-F	GACAATCCTGCTCCGTTTTGT
		Asf1b_RT-R	CCCAACGTTAACAGGACCAA
HTR1	At5g65360	At5g65360_HTR1_qpcr_Forbis	AGCGATCTCACGAACCAAAC
		At5g65360_HTR1_qpcr_Revbis	GAAATCCACCGGAGGAAAAG
HTR5	At4g40040	At4g40040 RT-F	AATGCCCAAAGACATTCAGC
		At4g40040 RT-R	CATTGCCAAAGAAGAAAGCA
HTR8	At5g10980	At5g10980_HTR8_qpcr_Forbis	AAGAGCTAACACCGCGTGAC
		At5g10980_HTR8_qpcr_Revbis	AGGAAATCAGCACCAACCAC
HTR9	At5g10400	HTR9_RT-F	TAATCTCTGTGCGATTTCATGCT
		HTR9_RT-R	CGAAAACGAAAAGAGACAGCTT
AtSAND	At2g28390	SAND-F	AACTCTATGCAGCATTTGATCCACT
		SAND-R	TGATTGCATATCTTTATCGCCATC
AAE15	At1g74960	Acyl-ACP Synthetase qRT F1	TGGACATCAGAATGTTTCGTT
		Acyl-ACP Synthetase qRT R1	TTTGTATTTAGCCACGACCA
180 bp	180 bp	180(all)-F	ACCATCAAAGCCTTGAGAAGCA
		180(all)-R	CCGTATGAGTCTTTGTCTTTGTATCTTCT
TSI	TSI	TSIq-F	CTCTACCCTTTGCATTCATGAATCCTT
		TSIq-R	GATGGGCAAAGCCCTCGGTTTTAAAATG
106B	106B	106Bq-F	TCATTATGCTAGGTGGTTGA
		106Bq-R	GACAACAAGTTCATTAACCA
GUS	Chr 3	qPCR GUS-F	TTAACTATGCCGAATCCATC
		qPCR GUS-R	CACCACCTGCCAGTCAACAGACGC

## ChIP-qPCR

Target	Gene ID	Primer	Sequence 5'-3'
ACTIN2	At3g18780	actin_f	GGTAACATTGTGCTCAGTGGTGG
		actin_r	AACGACCTTAATCTTCATGCTGC
HXK1	At4g29130	At4g29130_ChIP-F	AGGAGCTCGTCTCTCTGCTG
		At4g29130_ChIP-R	GCTCAAACAATCCACCATCC
UEV1C	At2g36060	At2g36060_ChIP-F	GGTGACTGAAATGTGAATTTGC
		At2g36060_ChIP-R	ATGCAGCCATCTCCTTCTTC
UBC28	At1g64230	At1g64230-ChIP-F	TCATTGTTAACGGACCCAAAC
		At1g64230-ChIP-R	CCAGCTTCTCGCAGTAGACTC
TUB8	At1g74960	TUB8-F	ATAACCGTTTCAAATCTCTCTCTC
		TUB8-R	TGCAAATCGTTCTCTCCTTG
180 bp	180 bp	180(all)-F	ACCATCAAAGCCTTGAGAAGCA
		180(all)-R	CCGTATGAGTCTTTGTCTTTGTATCTTCT
TSI	TSI	TSIq-F	CTCTACCCTTTGCATTCATGAATCCTT
		TSIq-R	GATGGGCAAAGCCCTCGGTTTTAAAATG
106B	106B	106Bq-F	TCATTATGCTAGGTGGTTGA
		106Bq-R	GACAACAAGTTCATTAACCA
Ta3	Pericentric region of chromosome 1	QPCR-Ta3-F	AAGAGAGCTGGCAGAAGCAGTTGA
		QPCR-Ta3-R	ACGCCCTTTACCTTGACCTCCTTT
IG	Between At2g17670 and At2g17680	IG-2g17670-80qF	GGCTACTGTCTAGTTCATATCTTAGA
		IG-2g17670-80qR	TAGGTTGGCATCCGATCCAGAGT

**Table 4: Primers used in this work (3).**

competent cells on ice and incubation with plasmid DNA for 15 min on ice, cells were heat-shocked at 42°C for 90 sec, then transferred to ice for 2 min. 800 µL of LB medium was added to the cells prior to 1 h incubation at 37°C followed by plating on appropriate selection medium. Competent *Agrobacterium tumefaciens* C58C1 were transformed using the E. coli pulser (BioRad) at a voltage of 1,8 kV according to the manufacturer's recommendations.

#### *Agrobacterium tumefaciens-mediated plant transformation*

*Agrobacterium tumefaciens* strain C58C1 cultures were prepared by inoculation of 15 mL LB medium supplemented with Rifampicin (10 µg/mL), Gentamycin (25 µg/mL) and Kanamycin (50 µg/mL) for prior selection of the bacteria containing the plasmids. After 48 h at 28°C, the culture (0.6 OD<sub>600nm</sub>) were inoculated into 500 mL LB media containing Kanamycin (50 µg/mL) and grown to an OD<sub>600nm</sub> of 1.8/2.0. The bacteria were pelleted by centrifugation (20 min, 400 rpm) prior to resuspension in 500 mL infiltration medium (5% sucrose, 100 mM MgCl<sub>2</sub> and 0,05% Silwet L-77). Three-week-old appropriate *Arabidopsis thaliana* plants were transformed according to the germline transformation protocol using the floral dip method (Clough and Bent, 1998): plants with early flowering stage inflorescences were dipped into the bacterial suspension for 30 sec. After infiltration, plants were kept within hermetic plastic bags for 24h in the greenhouse and then grown to produce seeds. To select transformants, T1 progeny were selected either on plates supplemented with Hygromycin (25 µg/mL) or grown on soil and selected by BASTA treatments (150 µg/mL, Bayer). T2 monolocus lines and T3 homozygous monolocus lines were selected based on segregation of the resistant character.

#### *DNA extraction and genotyping of Arabidopsis mutants*

*Arabidopsis thaliana* tissues were harvested, ground with stainless steel beads (Qiagen) in 300 µL Extraction Buffer (200 mM Tris-HCl pH 7.5, 250 mM NaCl, 0.5% SDS, 25 mM EDTA pH 8.0) using a Tissue Lyser (Qiagen) and incubated 15 min at 65°C prior to centrifugation (5 min, 13000 rpm). An equal volume of isopropanol was added to the supernatant and the DNA precipitated by centrifugation (5 min, 13000 rpm). The DNA pellet was washed in 70% ethanol, dried and resuspended in 50 µL water. Two microliters were used in a standard PCR reaction with 25 µL final volume

## Cloning

Target	Gene ID	Type	Primer	Sequence 5'-3'
<i>HTR4</i>	At4g40030	cDNA	NotI_HTR4cDNA_for	ATATATGCGGCCGCCCCCTTACTGCTTTTGTACG
			HTR4_NotI_rev	tttaaaGCGGCCCGCAGCGGTTTACCTCTGATACG
<i>HTR5</i>	At4g40040	Genomic	NotI_HTR5_for	atatatGCGGCCGCCATCCTTGTGCTGCTC
			HTR5_g_Ml_rev	tttaaaGCGGCCCGCAGCACGTTCTCCTCTGATCCTG
<i>HTR9</i>	At5g10400	cDNA	NotI_HTR9_for	ATATATgcgcccgCGATCGCATTCTCACCGAAT
			HTR9_NotI_rev	AAATTTgcgcccgAGCCCTCTCTCCTCTGATTCTC
<i>HTR9</i>	At5g10400	Genomic	NotI_HTR9genomic_for	ATATATGCGGCCGCTAGACGCTGCAACGC
			HTR9_NotI_rev	AAATTTgcgcccgAGCCCTCTCTCCTCTGATTCTC
<i>HTR14</i>	At1g75600	cDNA	HTR14_cDNA_F	TTTAAAgcgcccgCTCTCAAGTCTTGACGAAGCAA
			HTR14_cDNA_R	ATATATGCGGCCGAGCAGCTCTCCACGAATCC
FLAG-HA	FLAG-HA	Plasmid	TAG_NotI_F	ATATATGCGGCCGCTGGAGGAGAC
			TAG_BamHI-R	ATATAGGATCCCTAGGCGTAGTTCGGCCAGGTC
OCS Terminator	OCS Terminator	Plasmid	terOCSsall	TAGATCGACCTGCAGGC
			terOCSsall	GCCGGATCTGGACAATCAG

## Artificial miRNA synthesis

Target	Gene ID	Primer	Sequence 5'-3'
Plasmid	pRS300	Oligo A	CTGCAAGGCGATTAAGTTGGGTAAC
		Oligo B	GCGGATAACAATTCACACAGGAAACAG
<i>AtHIRA</i>	At3g44530	HIRA I miR-s	gaTTAGTGTAACCTGGACGCTTctctctttgtattcc
		HIRA II miR-a	gaAAGCGTCCAGGTTTACACTAAtcaaagagaatcaatga
		HIRA III miR*s	gaAAACGTCCAGGTTAACACTATtcacaggtcgtgatatg
		HIRA IV miR*a	gaATAGTGTTAACCTGGACGTTTctacatatataattcct
<i>AtASF1A</i>	At1g66740	SGA2 I miR-s	gaTAAACCTTAGTTGGACGCTCtctctttgtattcc
		SGA2 II miR-a	gaGAGCGTCCAACCTAAGTTTTTAtcaaagagaatcaatga
		SGA2 III miR*s	gaGAACGTCCAACCTATGGTTTTTtcacaggtcgtgatatg
		SGA2 IV miR*a	gaAAAAACCATAGTTGGACGTTTctacatatataattcct
<i>AtASF1B / AtASF1B</i>	At1g66740 / At5g38110	SGA1+2 I miR-s	gaTTTCGTAAGAAATCGCGAATTTctctctttgtattcc
		SGA1+2 II miR-a	gaAAGTTCGCGATTTCTTACGAAtcaaagagaatcaatga
		SGA1+2 III miR*s	gaAAATTCGCGATTTGTTACGATtcacaggtcgtgatatg
		SGA1+2 IV miR*a	gaATCGTAACAAATCGCGAATTTctacatatataattcct
<i>HTR5</i>	At4g40040	HTR5_1 miR-s	gaTATCTATTTAGAAAACCGCCCTctctctttgtattcc
		HTR5_1 miR-a	gaGGGCGGTTTTCTAAATAGATAtcaaagagaatcaatga
		HTR5_1 miR*s	gaGGACGGTTTTCTATATAGATtcacaggtcgtgatatg
		HTR5_1 miR*a	gaAATCTATATAGAAAACCGTCCtctacatatataattcct
	At4g40040	HTR5_6 miR-s	gaTTCCAGTAGACTTACGCGCTGtctctctttgtattcc
		HTR5_6 miR-a	gaCAGCGCGTAAGTCTACTGGAAtcaaagagaatcaatga
		HTR5_6 miR*s	gaCAACGCGTAAGTCAACTGGATtcacaggtcgtgatatg
		HTR5_6 miR*a	gaATCCAGTTGACTTACGCGTTGtctacatatataattcct

## Mutagenesis

Target	Gene ID	Type	Substitution	Primer	Sequence 5'-3'
<i>HTR9</i>	At5g10400	cDNA	K9 to R9	HTR9K9R_F	ccaagcaaacagctcgtgatccaccggaggaaag
				HTR9K9R_R	cttctcctcgggtgatcagcagctgttctgctgg
			K9 to R27	HTR9K27R_F	ccaagctcggagggatcagcagctccagccac
				HTR9K27R_R	gtggctggagctgatcctcctcagcagcttgg

**Table 4: Primers used in this work (4).**

(**Table 6**). Homozygous *Arabidopsis thaliana* mutant plants used in this study were identified with the primers listed in **Table 4**.

#### *DNA extraction for cloning*

Genomic DNA for cloning was prepared from 100 mg of *Arabidopsis thaliana* tissues frozen in liquid nitrogen and ground to fine powder with stainless steel beads (Qiagen) using a Tissue Lyser (Qiagen). After adding 1.5 mL of Cetyltrimethyl Ammonium Bromide (CTAB) 2X buffer (100 mM Tris HCl pH 8.0, 1.4 M NaCl, 20 mM EDTA, 2% (w/v) cetyltrimethyl ammonium bromide, 2% (w/v) polyvinylpyrrolidone, (PVP), 2% (v/v) 2-mercaptoethanol), samples were homogenized with a vortex. After incubation for 3 h at 65°C, 500 µL from each sample were transferred to new tubes and complemented with 1 volume of phenol-chloroform-isoamyl alcohol (25:24:1) prior to homogenisation on a rotating wheel for 10 min. After centrifugation (10 min, 5000 g), the aqueous phase was transferred to a new tube and the DNA precipitated with 0.7 volume of isopropanol for 1h at -20°C prior to centrifugation (30 min, 6000 g). The DNA pellet was washed once with 70% ethanol, air-dried, then resuspended in 100 µL of 10 µg/mL RNase A in water (Sigma-Aldrich) and incubated 1h at 37°C. DNA concentration was evaluated by spectrophotometry.

#### *RNA isolation*

RNA was extracted using Tri-Reagent (Euromedex) following manufacturer's instructions. In brief, *Arabidopsis thaliana* tissues, frozen in liquid nitrogen, were ground to fine powder with stainless steel beads (Qiagen) using a Tissue Lyser (Qiagen). After adding 1 mL of Tri-Reagent, samples were homogenized with a vortex and incubated for 5 min. Chloroform (200 µL) was added to each sample prior to homogenisation with a vortex for 15 sec. After centrifugation (5 min, 12000 rpm, 4°C), the aqueous phase was transferred to a new tube and the RNA precipitated with 500 µL isopropanol for 10 min at RT prior to centrifugation (10 min, 12000 rpm, 4°C). The RNA pellet was washed once with 70% ethanol, air-dried, and then resuspended in 50 µL Rnase Free H<sub>2</sub>O (Sigma-Aldrich).



Name	Aim	Reference
pBIN-Hyg-TX	Amplification of OCS Terminator	Gatz et al., 1992
pTP1	Subcloning of histone genes	-
pOZ-FH-C	Amplification of FLAG-HA tag	Tagami et al., 2004
pTP9	<i>HTR5</i> - and <i>HTR9</i> -FLAG-HA (genomic) native expression	-
pUB	<i>pUBQ10</i> for <i>HTR5</i> - and <i>HTR9</i> -FLAG-HA (cDNA) ectopic expression	Grefen et al., 2010
pMDC32	<i>p35S</i> for amiRNA and <i>HTR14</i> -FLAG-HA (cDNA) ectopic expression	Curtis and Grossniklaus, 2003
pRS300	Artificial miRNA synthesis	Ossowski et al., 2008
pDONR221	Subcloning of artificial miRNA	Magnani et al., 2006

Name	Type	Aim
<i>pHTR9:HTR9</i> :FLAG-HA	Genomic	Monitoring of native H3.1 dynamics
<i>pHTR5:HTR5</i> :FLAG-HA	Genomic	Monitoring of native H3.3 dynamics
<i>pUBQ10:HTR9</i> :FLAG-HA	cDNA	Monitoring of ectopic H3.1 dynamics
<i>pUBQ10:HTR5</i> :FLAG-HA	cDNA	Monitoring of ectopic H3.1 dynamics
<i>p35S:HTR14</i> :FLAG-HA	cDNA	Monitoring of ectopic H3.14 dynamics
<i>pUBQ10:HTR9_K9R</i> :FLAG-HA	cDNA	Monitoring of H3.1 dynamics with mutated K9
<i>pUBQ10:HTR9_K27R</i> :FLAG-HA	cDNA	Monitoring of H3.1 dynamics with mutated K27
<i>pUBQ10:HTR9_K9RK27R</i> :FLAG-HA	cDNA	Monitoring of H3.1 dynamics with mutated K9 and K27
<i>pUBQ10:HTR9_S87HA90L</i> :FLAG-HA	cDNA	Monitoring of H3.1 dynamics displaying H3.3 signature at residues 87 and 90

**Table 5: Plasmids used for cloning (top) and expression of e-H3 (bottom).**

### *Reverse-Transcription (RT-PCR)*

RNA (50 µg) was treated with 4 µL of RQ1 RNase-Free DNase (Promega) for 30 min at 37°C in 1X RQ1 buffer in a total volume of 60 µL. After addition of 140 µL Rnase Free H<sub>2</sub>O (Sigma-Aldrich), 200 µL of phenol-chloroform-isoamyl alcohol (25:24:1) were added to the DNase-treated RNA and centrifuged (10 min, 13200 rpm, 4°C). In new tubes, the supernatant was added to 200 µL chloroform-isoamyl alcohol (24:1) and centrifuged (5 min, 13200 rpm, 4°C). The RNA containing supernatant was precipitated with 1/10 volume NaAc and 2.5 volumes of ethanol overnight at -20°C. Samples were centrifuged (30 min, 13200 rpm, 4°C) and the pellet washed in 70% ethanol. The RNA pellet was resuspended in 50 µL of Rnase Free H<sub>2</sub>O (Sigma-Aldrich). RNA concentration and purity were checked with a Nanodrop (Thermo Scientific). One microgram RNA was divided into 2 PCR tubes and incubated either with or without 200 U of M-MLV reverse transcriptase (Promega) (respectively for RT+ and RT- reactions) in 1X M-MLV buffer with 400 µM dNTPS and 0,5 µg of either Oligo(dT) or Random Hexamers (Promega) and 25 U of Recombinant RNasin® Ribonuclease Inhibitor in a final volume of 25 µL for reverse transcription during 1 h. For Random Hexamers reverse transcription was performed at 37°C, and at 42°C for Oligo(dT). The resulting cDNAs were used in semi-quantitative PCR (Promega Flexi) (**Table 7**) and analyzed using the Quantity One software (Bio-Rad Laboratories) after acquisition with a Versadoc imager (Bio-Rad Laboratories), or in quantitative PCR with the LightCycler® 480 SYBR Green I Master kit on the Roche LightCycler® 480 (**Table 8**). Transcript levels were normalized to (Czechowski et al., 2005) using the comparative threshold cycle method.

### *Fluorescent In Situ Hybridization (FISH)*

The Fluorescent *In Situ* Hybridization (FISH) method was adapted from (Fransz et al., 2001). Cotyledons from 2-day- to 10-day-old plantlets were dissected and fixed in ice-cold 3:1 ethanol-acetic acid and stored at 4°C until use. After two washes in water and 1X citrate buffer (10 mM C<sub>6</sub>H<sub>8</sub>O<sub>7</sub> and 10 mM Na<sub>3</sub>C<sub>6</sub>H<sub>5</sub>O<sub>7</sub> pH 4.8), tissues were digested by a cellulase and pectolyase enzyme mix (0.3% w/v each) in 1X citrate buffer. The digested tissue was transferred onto a clean microscope slide and the tissue tapped to form a fine milky suspension. After addition of 10 µL of 50% acetic acid, the suspension was spread on a slide and incubated for 1 min on a heating

Genotyping PCR Mix	Volume ( $\mu\text{L}$ )	Final Concentration
Green GoTaq® Reaction Buffer	5	1X
MgCl <sub>2</sub>	2	2mM
dNTPs	0.8	0.2 mM
Forward Primer	1	0,4 $\mu\text{M}$
Reverse Primer	1	0,4 $\mu\text{M}$
GoTaq® DNA Polymerase	0.06	0,012 U/ $\mu\text{L}$
gDNA Template	2	2
H <sub>2</sub> O PCR Grade	13.94 $\mu\text{L}$	Up to 25 $\mu\text{L}$

	Temperature ( $^{\circ}\text{C}$ )	Time	Cycles
Activation	95	5 min	1
PCR	95	1 min	30
	Tm primers	1 min	
	72	1 min	
	72	5 min	1

**Table 6: PCR mix and program used for genotyping.**

block at 45°C. After a second addition of 10 µL of 50% acetic acid and subsequent 1 min spreading of the suspension, the spread nuclei were fixed in 3:1 ethanol-acetic acid. After 5 min of post-fixation in 2% formaldehyde (Sigma-Aldrich) in 1X PBS (11.9 mM phosphate buffer, 137 mM NaCl, 2.7 mM KCl, pH 7.4, Fisher), the slides were air-dried followed by a RNase A treatment (100 µg/mL in 2X SSC (30 mM Na<sub>3</sub>C<sub>6</sub>H<sub>5</sub>O<sub>7</sub> pH 7.0, 300 mM NaCl, Fisher) at 37°C for 1 h. After 3 washes in 2X SSC, the slides were post-fixed in 1% formaldehyde (Sigma-Aldrich) in 1X PBS, washed once again in 2X SSC followed by dehydration using an ethanol series (70%, 90% and 100%, 1 min each). The slides were then air-dried.

Biotin labelled probes complementary to the 180 bp repeats region were generated by PCR from the pSK180bp plasmid (Douet et al., 2008), containing a cloned tandem repeat in pBluescript, using T3 and T7 standard primers and 0.1 mM dATP, 0.1 mM dCTP, 0.1 mM dGTP, 0.065 mM dTTP and 0.035 mM Biotin-dUTP (Roche). TSI probes were produced by PCR from plasmids containing either a 1.5 kb fragment of TSI A2 or A15 (Steimer et al., 2000), using a Nick translation kit (Roche) with Digoxigenin-11-dUTP (Roche). Probes were precipitated with 1/10 volume NaAc and 2.5 volumes of ethanol overnight at -20°C. Samples were centrifuged (30 min, 13200 rpm, 4°C) and the pellet washed in 70% ethanol. The probe pellet was resuspended in 50% deionized formamide (product code 10011430838, Sigma-Aldrich), 2X SSC and 50 mM sodium phosphate pH 7.0.

For hybridization, 1 µL of the 180 bp probe and 2.5 µL of the TSI probe were used per slide in a total volume of 20 µL hybridization mix (50% deionized formamide, 2X SSC, 50 mM sodium phosphate pH 7.0, 10% dextran sulfate (Sigma-Aldrich)). Both probe and nuclear DNA were denatured for 2 min at 80°C prior to overnight incubation in a wet chamber at 37°C. Slides were then washed at 42°C for 5 min in 2X SSC, 5 min in 0,1X SSC, 3 min in 2X SSC and at RT for 5 min in 2X SSC/0.1% Tween 20.

The Biotin labelled probe was detected with Texas Red-conjugated Avidin (5 µg/mL, Vector Laboratories) followed by a biotinylated goat anti-Avidin antibody (5 µg/mL, Vector Laboratories) and once again Texas Red-conjugated Avidin. The Digoxigenin probe was detected with a mouse anti-Digoxigenin antibody (0.2 µg/mL, Roche), followed by a fluorescein isothiocyanate (FITC)-conjugated rabbit anti-mouse antibody (5 µg/mL, Sigma-Aldrich) and finally a goat anti-rabbit antibody coupled to

RT-PCR Mix	Volume ( $\mu\text{L}$ )	Final Concentration
Green GoTaq® Reaction Buffer	5	1X
MgCl <sub>2</sub>	2	2mM
dNTPs	0.8	0.2 mM
Forward Primer	1	0,4 $\mu\text{M}$
Reverse Primer	1	0,4 $\mu\text{M}$
GoTaq® DNA Polymerase	0.06	0,012 U/ $\mu\text{L}$
cDNA Template	2	2
H <sub>2</sub> O PCR Grade	Up to 25 $\mu\text{L}$	Up to 25 $\mu\text{L}$

	Temperature ( $^{\circ}\text{C}$ )	Time (min)	Cycles
Activation	95	5 min	1
PCR	95	30 sec	22-35
	Tm primers	30 sec	
	72	30 sec	
	72	3 min	1

**Table 7: Mix and program used for RT-PCR.**

Alexa 488 (10  $\mu\text{g}/\text{mL}$ , Invitrogen). The antibody incubations were performed for 30 min at 37°C in a wet chamber and followed by 3 washes of 5 min in 4T buffer (4X SSC, 0.05% (v/v) Tween 20) or TNT buffer (100mM Tris-HCL pH 7.5, 150mM NaCl, 0.05% (v/v) Tween 20), with subsequent dehydration using ethanol series (70%, 90% and 100%, 1 min each). The slides were then air-dried and DNA was counterstained with DAPI (2  $\mu\text{g}/\text{mL}$ ) in Vectashield Mounting Medium (Vector Laboratories). More than 200 nuclei were scored in double-blind per condition using an epifluorescence Imager Z1 microscope (Zeiss) with an AxioCam MRm camera (Zeiss) was used. Fluorescence images for each fluorochrome were captured separately through the appropriate excitation and emission filters. The images were pseudocolored, merged and processed with Adobe Photoshop (Adobe Systems).

#### *Chromatin Immunoprecipitation (ChIP)*

Chromatin of *Arabidopsis thaliana* was prepared as previously described (Mathieu et al, 2005). In brief, 1.5 g of leaf tissue from 3-week-old plants grown in soil, or cotyledons from *in vitro* grown plantlets at 2 and 5 dag were vacuum-infiltrated with 1% formaldehyde for 10 min. The reaction was stopped by the addition of glycine to a final concentration of 125 mM followed by vacuum infiltration for 5 additional minutes. The tissues were rinsed in cold water, dried briefly, ground to fine powder in liquid nitrogen with ceramic beads or with pestle and mortar and resuspended in ice-cold Extraction Buffer 1 (0.4 M sucrose, 10 mM Tris-HCl pH 8.0, 10 mM  $\text{MgCl}_2$ , 5 mM  $\beta$ -mercaptoethanol, 0.1 mM PMSF and protease inhibitors (Complete Mini, Roche) prior to filtration and centrifugation (10 min, 4000 rpm, 4°C). The pellet was resuspended in Extraction Buffer 2 (0.25 M sucrose, 10 mM Tris-HCl pH 8.0, 10 mM  $\text{MgCl}_2$ , 1% Triton X-100, 5 mM  $\beta$ -mercaptoethanol, 0.1 mM PMSF and protease inhibitors) and transferred to a fresh 1.5 mL tube. After centrifugation (10 min, 16000 g, 4°C), the nuclear pellet was dissolved in Extraction Buffer 3 and layered on top of an equal volume of Extraction Buffer 3 (1.7 M sucrose, 10 mM Tris-HCl pH 8.0, 2 mM  $\text{MgCl}_2$ , 0.15% Triton X-100, 5 mM  $\beta$ -mercaptoethanol, 0.1 mM PMSF and protease inhibitors) prior to centrifugation (1h, 16000 g, 4°C). At this stage, leaf and cotyledons tissues were treated differently. Regarding the low amount of tissue obtained for cotyledons, ChIP on cotyledons was performed using the LowCell# ChIP kit (Diagenode) following the manufacturer's protocol, while leaf tissues were processed

RT-qPCR Mix	Volume ( $\mu\text{L}$ )	Final Concentration
LightCycler® 480 SYBR Green I Master	5	1X
Forward Primer	0.5	1 $\mu\text{M}$
Reverse Primer	0.5	1 $\mu\text{M}$
cDNA Template	4	-

ChIP-qPCR Mix	Volume ( $\mu\text{L}$ )	Final Concentration
LightCycler® 480 SYBR Green I Master	5	1X
Forward Primer	0.5	1 $\mu\text{M}$
Reverse Primer	0.5	1 $\mu\text{M}$
gDNA Template	2	-
H <sub>2</sub> O PCR Grade	Up to 10 $\mu\text{L}$	-

	Temperature ( $^{\circ}\text{C}$ )	Time	Ramp Rate ( $^{\circ}\text{C}/\text{s}$ )	Cycles
Activation	95	3 min	4.4	1
PCR	95	15 sec	4.4	45
	T <sub>m</sub> primers	15 sec	2.2	
	72	20 sec	4.4	
Melting Curve	72	15 sec	2.2	1
	95	Hold	0.19	

**Table 8: Mix used for RT-qPCR, ChIP-qPCR and qPCR program.**

following the classical ChIP protocol: the nuclear pellet of leaf tissue was resuspended in Nuclei Lysis Buffer (50 mM Tris-HCl pH 8.0, 10 mM EDTA, 1% SDS), incubated for 20 min on ice, sonicated 10 times for 30 sec ON, 1min 30 sec OFF using the Bioruptor (Diagenode) and diluted with ChIP Dilution Buffer (1.1% Triton X-100, 1.2 mM EDTA, 16.7 mM Tris-HCl pH 8.0, 167 mM NaCl) to 0.1% SDS final concentration. After removal of cellular fragments by centrifugation (10 min, 4500 g, 4°C), the sheared chromatin was pre-cleared for 3 h in presence of protein A-coupled Dynabeads (Invitrogen) before immunoprecipitation with anti-H3 antibody (Abcam, ab1791), anti-H3K4me3 (Millipore, 04-745), anti-H3K9me2 (Abcam, ab1220) and anti-H3K27me1 (Diagenode, pAB-045-050) with gentle agitation at 4°C overnight. Anti-FLAG M2 antibody-conjugated magnetic beads (Sigma-Aldrich) were used to immunoprecipitate e-H3/DNA complexes. The immunocomplexes were then collected with protein A-coupled magnetic beads (Diagenode) pre-coated overnight with glycogen, BSA and yeast t-RNA at 0.2 mg/mL each in ChIP Dilution buffer (16.7 mM Tris-HCl pH 8.0, 1.2 mM EDTA, 1.1% Triton X-100, 167 mM NaCl) for 4h at 4°C. The beads with the immunoprecipitated material were then washed 7 times: twice in Wash Buffer 1 (50 mM Tris-HCl pH 7.4, 150 mM NaCl, 1% (v/v) Igepal, 1 mM EDTA, 0.25% deoxycholic acid), twice in Wash Buffer 2 (100 mM Tris-HCl pH 8.0, 500 mM LiCl, 1% (v/v) Igepal, 1% deoxycholic acid), once in Wash Buffer 3 (100 mM Tris-HCl pH 8.0, 500 mM LiCl, 1% (v/v) Igepal, 1% deoxycholic acid, 150 mM NaCl) and twice in TE buffer, for 2 min each. Between each wash the beads were collected with a magnetic rack (Diagenode). Finally the immunoprecipitated chromatin was eluted in 10% Chelex and cross-linking was reversed at 99°C for 10 min. Samples were then treated with proteinase K (33 µg/mL) at 43°C for 1 h before inactivation by incubation at 95°C for 10 min. Immunoprecipitated DNA was quantified by semi-quantitative PCR (Promega Flexi) (**Table 6**) or in quantitative PCR with the LightCycler® 480 SYBR Green I Master kit on the Roche LightCycler® 480 (**Table 8**) using appropriate primers and normalized to the input.

### *Protein immunolocalization*

For protein immunofluorescence detection assay, *Arabidopsis thaliana* tissues were fixed in 4% formaldehyde (Sigma-Aldrich) in Tris buffer (10 mM Tris HCl pH 7.5, 10 mM EDTA, 100 mM NaCl) for 20 min under vacuum. Tissues were finely chopped in 500 µL of LB01 buffer (15 mM Tris-HCl pH 7.5, 80 mM KCl, 2mM EDTA, 0.5 mM





spermidine, 0.5 mM spermine, 20mM NaCl, 0.1% Triton X-100). The homogenate was filtered through a 30 µm filter prior to centrifugation (3 min, 5000 rpm, 4°C) and the nuclei pellet was resuspended in 50 µL of sorting buffer (100 mM Tris-HCl pH 7.5, 50 mM KCL, 2 mM MgCl<sub>2</sub>, 0.05% Tween 20 and 5% sucrose). Twenty microliters of the nuclei suspension were deposited as a thin layer onto a clean microscope slide prior to air-drying. Nuclei preparations were fixed in 2% formaldehyde in PBS for 50 min, washed with water and air-dried. The slides were incubated in 1X PBS, 0.5% Triton X-100 for 15 min and then washed three times in 1X PBS for 5 min. The primary α-HA antibody (ab9110, Abcam - 1:200 dilution in 1X PBS, 3% BSA and 0.05% Tween 20) was layered onto the slide and incubated in a wet chamber at 4°C overnight. Three washes in 1X PBS were done prior to incubation with a goat anti-rabbit antibody coupled to Alexa 488 (Invitrogen, 1:800 dilution in 1X PBS, 3% BSA and 0.05% Tween 20) for 2 h at 37°C. After 3 washes in 1X PBS, the slides were mounted in Vectashield Mounting Medium (Vector Laboratories) with DAPI (2 µg/mL). For microscopic observation, a Light microscope DM6000B (Leica) with a Digital CMOS ORCA – Flash4.0 camera (Hamamatsu) were used.

#### *Histochemical detection of GUS expression*

For histochemical GUS activity assays, *Arabidopsis thaliana* tissues were vacuum infiltrated for 15 min in GUS staining solution (50 mM NaPO<sub>4</sub> buffer pH 7.2, 2 mM Potassium-Ferrocyanide (K<sub>4</sub>FeCN<sub>6</sub>), 2 mM Potassium-Ferricyanide (K<sub>3</sub>FeCN<sub>6</sub>), 0.2% Triton X-100 and 2 mM 5-bromo-4-chloro-3-indolyl-3β-d-glucuronide (X-Gluc)) and incubated overnight at 37°C. Tissues were gradually dehydrated by ethanol series at 80°C. Pictures of seedlings were taken using a Leica MZ FL III Fluorescence Stereomicroscope with a Leica DFC420 camera.

#### *Ploidy analysis*

Nuclei were prepared using a variant of the original method of Galbraith (Galbraith et al., 1983). For ploidy analysis of nuclei, *Arabidopsis thaliana* tissues were chopped in ice-cold Galbraith buffer (45 mM MgCl<sub>2</sub>, 30 mM NaHCO<sub>3</sub>, 20 mM MOPS pH 7.0, 0.1% (w/v) Triton X-100, and 1% BSA). After filtration and 2% formaldehyde complementation, the nuclear suspension was layered in fresh tubes over 100 µL of ice-cold 2 M sucrose and 100 µL of ice-cold Galbraith Sucrose buffer (45 mM MgCl<sub>2</sub>,



30 mM NaHCO<sub>3</sub>, 20 mM MOPS pH 7.0, 0.1% (w/v) Triton X-100, 1 M sucrose and 10% BSA). After centrifugation (4 min, 800g at 4°C with slow brake), the Galbraith Sucrose buffer layer containing nuclei was collected in new tubes and mixed to 1 mL of ice-cold Galbraith buffer together with 12 ng/mL DAPI. Cytometric analysis was then performed using the *Attune® Acoustic Focusing* cytometer and data analysed using the *Attune® Cytometer Software*.

#### *Protein extraction and Western analysis*

For extracts containing non-nucleosomal histones, 100 mg *Arabidopsis thaliana* tissues were frozen in liquid nitrogen and ground to a fine powder. Proteins were extracted in 50 mM Tris-HCl pH 8.0, 150 mM NaCl, 10 mM EDTA, 50 mM NaF, 1% NP40, 0.45% desoxycholate, 1% SDS and proteinase inhibitors (Roche). The supernatant of a first centrifugation step (20 min, 15000 g) was centrifuged again with the same parameters and the protein concentration of the second supernatant was quantified using a Bicinchoninic Acid assay (Sigma-Aldrich) with BSA as the reference standard. For extracts containing nucleosomal histones, nuclei were prepared from 2 g plant material according to an adapted ChIP protocol using HONDA buffer (10 mM MgCl<sub>2</sub>, 0.4 M sucrose, 2.5% Ficoll, 5% Dextran 40, 25 mM Tris-HCl pH 7.4, 5 mM DTT, 0.5 mM PMSF, 1% protease inhibitors). Briefly, tissue was ground to powder in liquid nitrogen and re-suspended in HONDA buffer and filtrated; an aliquot was recovered for total extract. After incubation with 0.5% Triton X-100 samples were centrifuged (5 min, 1500 g). Nuclei were washed successively in HONDA buffer with 0.1% Triton X-100 then without Triton X-100 and finally re-suspended in Laemli buffer. SDS-PAGE and Western blots were performed according to standard procedures. Western blots were probed with an anti-H3 (ab1791, Abcam – from 1:5,000 to 1:20,000) or anti-HA (ab9110, Abcam – 1:4,000). Equal loading of proteins was confirmed with a mouse monoclonal anti-Actin antibody (Sigma-Aldrich – 1:1,000), anti-H4 (ab10158, Abcam – 1:2,000), Ponceau or Coomassie staining. Primary antibodies were revealed by incubation with a horseradish peroxidase-coupled anti-rabbit secondary antibody (Sigma-Aldrich, 1:25,000) or a horseradish peroxidase-coupled anti-mouse secondary antibody (Sigma-Aldrich, 1:5000). Chemiluminescence of the immunoblots were developed using ECL Western blotting detection reagents (GE Healthcare Bio-Sciences). Densitometric analysis of the immunoreactive protein bands obtained in Western



blots was performed on non-saturated signals using Multi Gauge software (Fujifilm). Nucleosomal H3 was quantified relative to actin in the corresponding total extracts. Remaining actin protein levels in nucleosomal extracts are very low.



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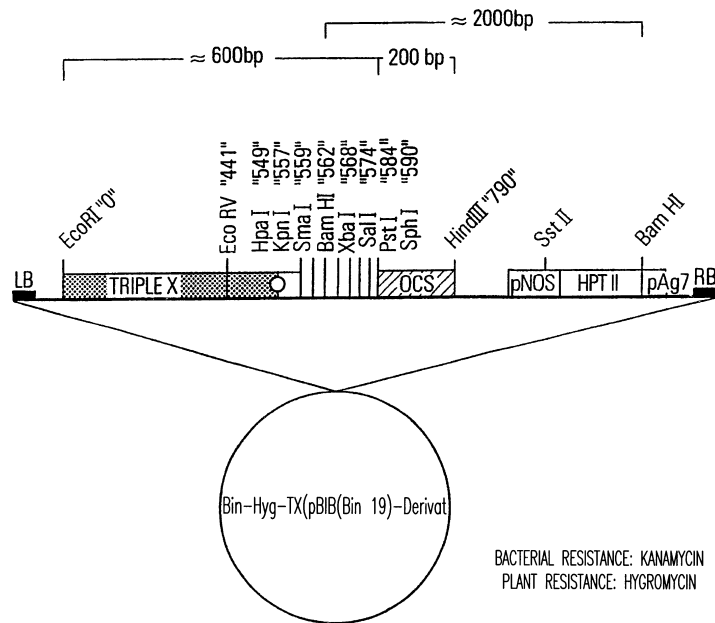


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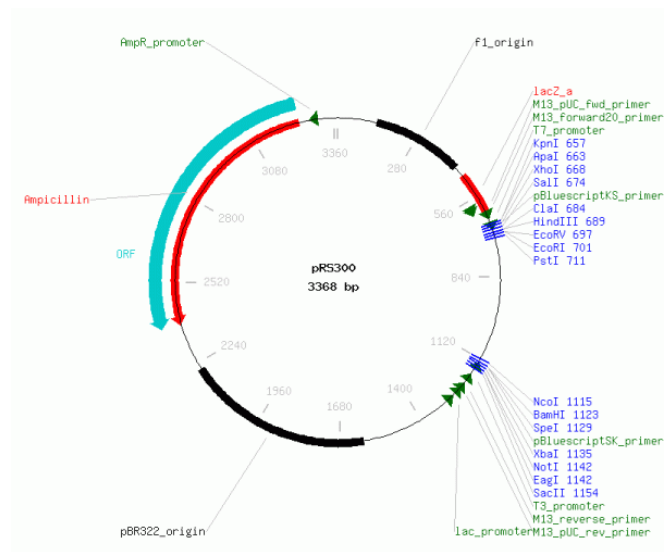


# ***Appendices***



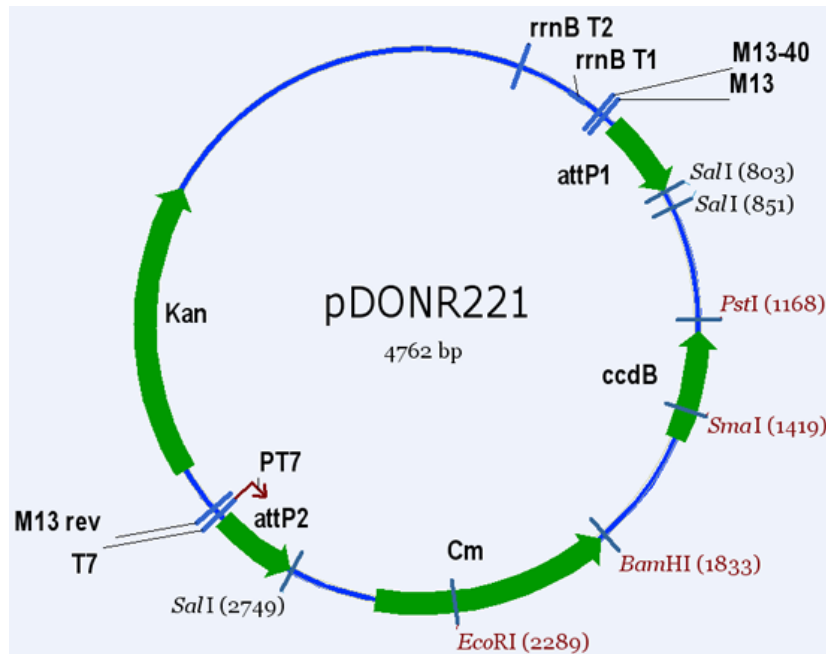


Appendix 1: Restriction map of the pBIN-Hyg-TX plasmid (Gatz et al., 1992).

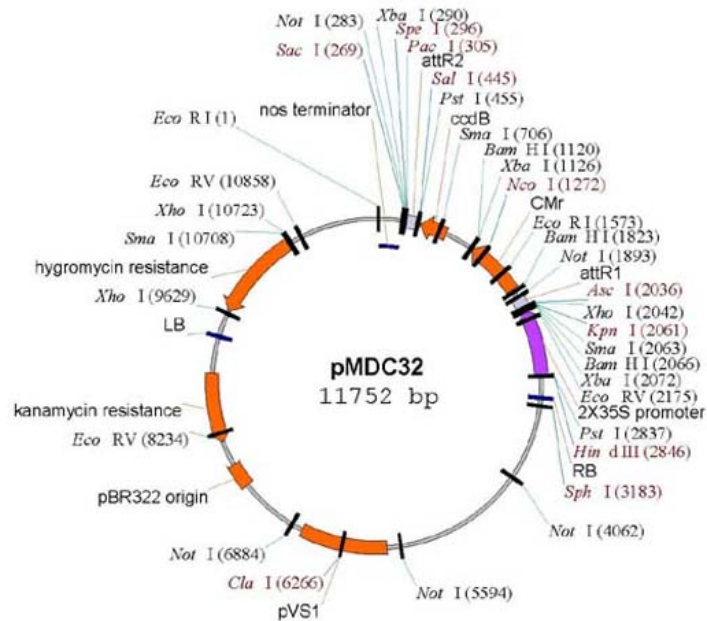


Appendix 2: Restriction map of the pRS300 plasmid (Ossowski et al., 2008).





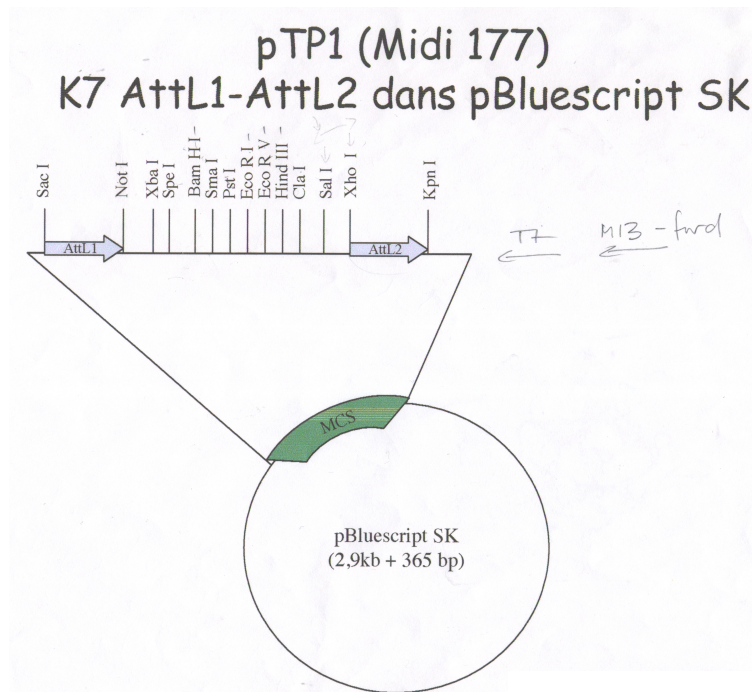
Appendix 3: Restriction map of the pDONR221 plasmid (Magnani et al., 2006).



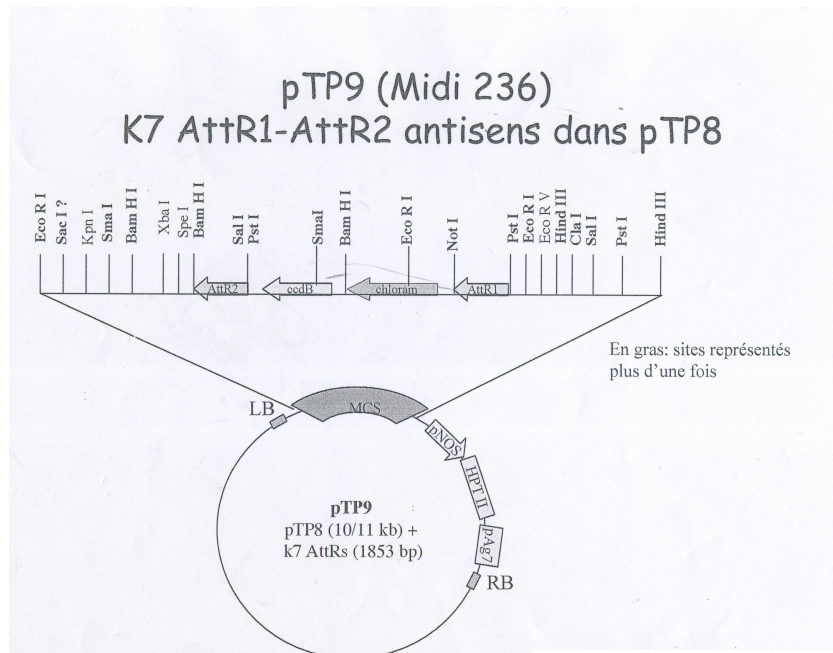
Appendix 4: Restriction map of the pMDC32 plasmid (Curtis and Grossniklaus, 2003).





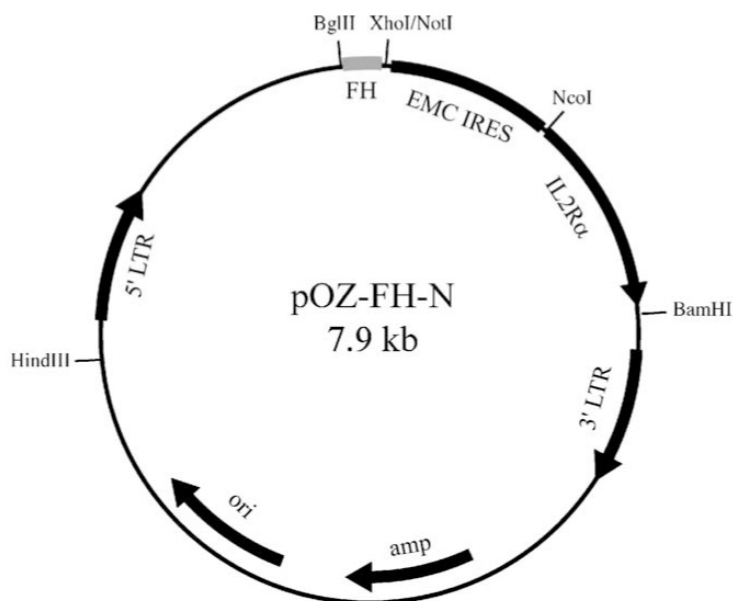


Appendix 5: Restriction map of the multiple cloning site of pTP1 (gift from T. Pélissier).



Appendix 6: Restriction map of the multiple cloning site of pTP9 (gift from T. Pélissier).





pOZ-FH-N

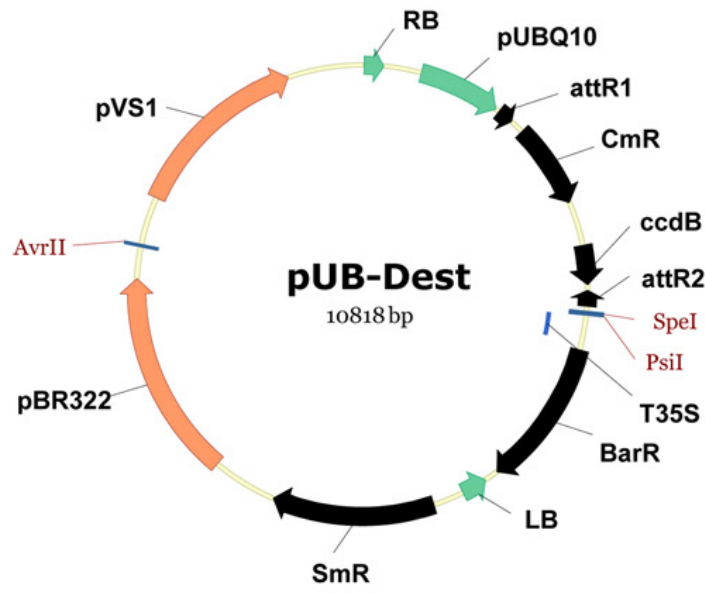
BglII  
 AGATCTTCCGCTCGATGAACCATGGACTACAAGGACGACGATGACAAGCTCGATGGAGGATACCCCTACGACGTGCCCGACTAC  
 M D Y K D D D D K L D G G Y P Y D V P D Y  
 FLAG HA  
XhoI NotI  
 GCCGGAGGACTCGAGAATTCGCGGCCGCT  
A G G L E N S R P L

pOZ-FH-C

BglII XhoI NotI  
 AGATCTTCCGCTCGAGGAATTCGCGGCCGCTGGAGGAGACTACAAGGACGACGATGACAAGTCCGCGCTGGAGGATACCCC  
 D L P L E E F A A A G G D Y K D D D D K S A A G G Y P  
 FLAG  
 TACGACGTGCCCGACTACGCCTAG  
Y D V P D Y A \*  
 HA

Appendix 7: Restriction map of the pOZ-FH-N plasmid (Top).  
 Cloning sites of pOZ-FH-N and pOZ-FH-C (bottom) (Tagami et al., 2004).





Appendix 8: Restriction map of the pUB plasmid (Grefen et al., 2010).





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## Heterochromatin dynamics during developmental transitions in *Arabidopsis* – a focus on ribosomal DNA loci

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### ABSTRACT

The *Arabidopsis* chromosomes contain conspicuous heterochromatin domains comprising the repetitive 45S and 5S ribosomal DNA loci as well as centromeric and pericentromeric repeats that organize into chromocenters during interphase. During developmental phase transitions such as seed maturation, germination, seedling growth and flowering that require large-scale reprogramming of gene expression patterns, the organization of repetitive sequences into chromocenters dynamically changes. Here we illustrate recent studies that shed light on the heterochromatin dynamics in cotyledons, the first aerial tissues preformed in the embryo, and in true leaves. We will summarize available data for the 5S rDNA repeat loci, in particular their chromatin organization and expression dynamics during the first days of post-germination development, and discuss how the plant accommodates 5S rRNA transcription during large-scale chromatin reorganization events.

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### 1. Introduction

To ensure successful reproduction, annual plants need to tightly time their major developmental phase transitions such as germination and flowering with environmental stimuli. The switch from a developmental phase to the next requires changes in the spatial and temporal patterns of gene expression. Many signaling cascades and receptors have been described (reviewed in Amasino, 2010; Huijser and Schmid, 2011), as well as specific sets of genes undergoing selective activation or repression through different phase changes (Holdsworth et al., 2008; Schmid et al., 2003). Transcriptional reprogramming of these genes involves active modification of their chromatin structure (Adrian et al., 2009; Exner and Hennig, 2008; He, 2009; Jarillo et al., 2009; Wollmann and Berger, 2012). Interestingly, studies in the model plant *Arabidopsis thaliana* have revealed certain environmental conditions and developmental transitions not only to locally affect chromatin structure of the genes undergoing activation or repression but to profoundly impact higher-order chromatin organization (Mathieu et al., 2003a; Pecinka et al., 2010;

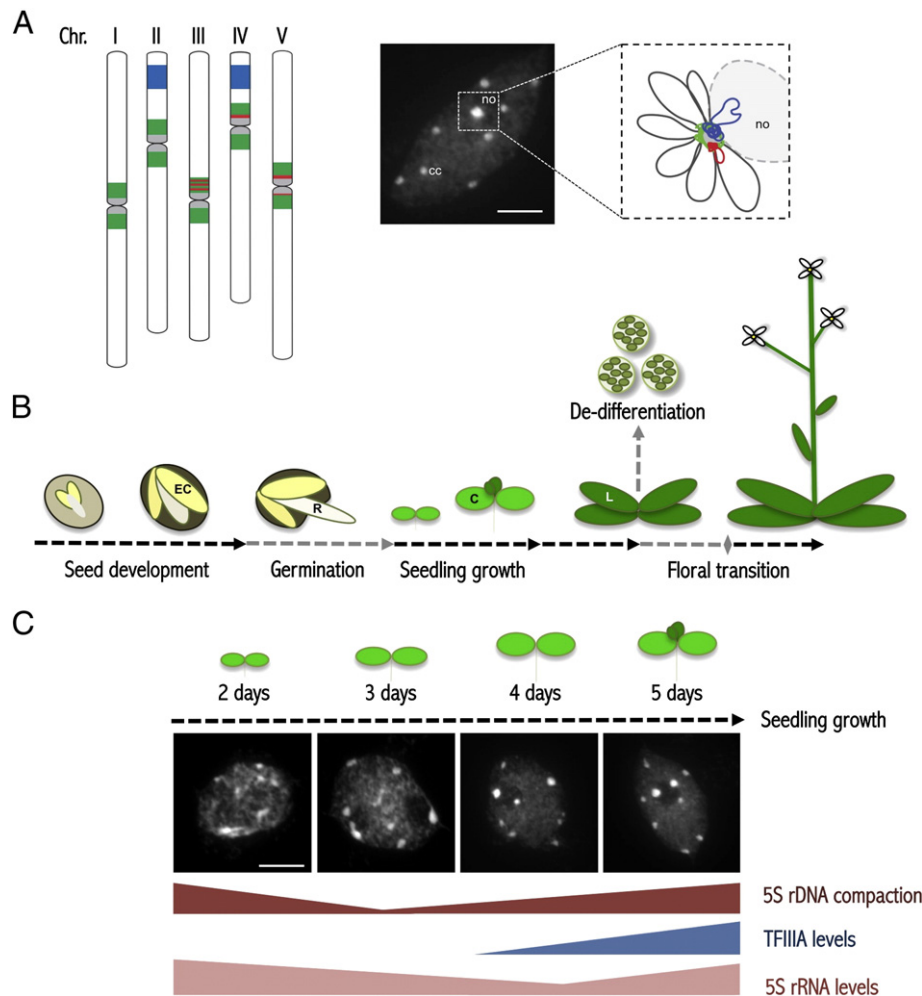
Tessadori et al., 2004, 2007a, 2007b; Tittel-Elmer et al., 2010; van Zanten et al., 2011). In a leaf mesophyll nucleus of *Arabidopsis*, two major chromatin states, initially defined by their distinct compaction levels in interphase (Heitz, 1928), can be distinguished: euchromatin, mainly decondensed, contains the majority of genes, and heterochromatin, highly condensed, is composed of silent repetitive sequences and transposable elements. The *Arabidopsis* genome comprises several repeat families including direct centromeric and interspersed pericentromeric repeats that are transcriptionally repressed. Furthermore, the 5S and 45S rDNA repeat arrays that express the ribosomal RNAs, integral components of the ribosome, are partly heterochromatic (Fig. 1A, left). The different heterochromatic repeat loci cluster together into discrete structures, termed chromocenters (Fransz and de Jong, 2011; Fransz et al., 2002) (Fig. 1A), from which gene-rich euchromatin loops emanate thereby building the distinct chromosome territories (Fransz et al., 2002; Pecinka et al., 2004). Cytologically, chromocenters can be revealed as 6–10 intensely 4',6'-diamidino-2-phenylindole (DAPI)-stained chromatin domains (Fig. 1A, middle) (Dittmer et al., 2007; Fransz et al., 2002), which are highly enriched in DNA methylation and repressive histone modifications such as dimethylation of lysine 9 (K9me2) and monomethylation of lysine 27 (K27me1) of histone 3 (Mathieu et al., 2005; Probst et al., 2003; Soppe et al., 2002). Several studies in the last 10 years have however revealed that this chromatin organization is not static but dynamic and that chromatin undergoes reorganization in certain mutants (Probst et al., 2003; Soppe et al., 2002), specific cell types (e.g. vegetative nucleus of the pollen or the central cell, Slotkin et al., 2009; Ingouff et al., 2010; Pillot et al., 2010) or upon developmental and environmental stimuli in aerial tissues. Indeed, numerous signals, ranging from biotic (e.g. pathogen infections, Pavet et al., 2006) to abiotic (e.g. shade, heat stress, Tessadori

**Abbreviations:** DAPI, 4',6'-diamidino-2-phenylindole; FISH, fluorescence *in situ* hybridization; 5mC, 5 methylcytosine; rDNA/rRNA, ribosomal DNA/RNA; RHF, relative heterochromatin fraction; ROS, repressor of silencing 1; RdDM, RNA-directed DNA methylation; si-RNA, small interfering RNA; TFIIIA, transcription factor IIIA.

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**Fig. 1.** Chromocenter organization and heterochromatin dynamics during developmental phase transitions. **A.** Left: Schematic representation of the 5 *Arabidopsis* chromosomes ( $2n = 10$ ) in the Columbia accession. Chromosome II and IV carry the 45S rDNA loci (blue). The 5S rDNA loci (red) are present on chromosomes III, IV and V, in close proximity to centromeric repeats (180 bp repeats, gray) and inside the pericentromeric domains (green) (AGI, 2000; Fransz et al., 2000; Tabata et al., 2000; Tutois et al., 1999). Middle: Spread of an *Arabidopsis* leaf mesophyll nucleus stained with 4',6'-diamidino-2-phenylindole (DAPI). Note the euchromatin in light gray and the nine brightly stained chromocenters (cc). The nucleolus (no) appears as DAPI-unstained region. Scale bar represents 5  $\mu$ m. Right: Model of an *Arabidopsis* chromocenter of chromosome IV adjacent to the nucleolus (no). The chromocenter of chromosome IV comprises the 45S (blue), the 5S (red), centromeric (light gray) and pericentromeric (green) repeats from which euchromatic loops (dark gray) emanate (modified from Fransz et al., 2002). Parts of the ribosomal DNA repeats which are actively transcribed are represented as 45S rDNA sequences (blue) that loop out from the chromocenter into the nucleolus (Probst et al., 2004) and 5S rDNA (red) loops within the euchromatin compartment (Mathieu et al., 2003a) respectively. **B.** Overview of developmental phase transitions that implicate important chromatin dynamics. Large-scale chromatin dynamics take place in embryonic cotyledons (EC, yellow) during seed development and germination, in cotyledons (C, light green) during seedling growth from 2 to 5 days post-germination and in leaves (L, dark green) upon de-differentiation into protoplasts or during the floral transition. Developmental transitions globally associated with heterochromatin decondensation are marked with gray, those implicating predominantly heterochromatin condensation with black arrows. The radicle (R) is shown in white in the developing and germinating seed. **C.** DAPI-stained nuclear spreads of cotyledon nuclei at 2, 3, 4 and 5 days after germination. Note the presence of small pre-chromocenters at day 2 and the progressive formation of chromocenters that reach a mature organization at days 4 to 5. Bars below indicate 5S rDNA chromatin compaction (red), presence of functional 5S-specific transcription factor III A (TFIIIA, blue) and amounts of 5S rRNA (pink) during this developmental time window.

et al., 2009; Pecinka et al., 2010; van Zanten et al., 2010) environmental stimuli but also cellular de-differentiation (Tessadori et al., 2007a) or developmental transitions such as seed maturation and germination (van Zanten et al., 2011), seedling growth (Douet et al., 2008; Mathieu et al., 2003a) and floral transition (Tessadori et al., 2007b) have been shown to result in large-scale reorganization of chromatin (Fig. 1B). The chromatin dynamics can affect both euchromatic and heterochromatic regions (reviewed in van Zanten et al., 2012b), but most studies have concentrated on the fate of the heterochromatic sequences as they can be easily visualized using fluorescence *in situ* hybridization (FISH) and their organization into chromocenters monitored by chromocenter size and fluorescence intensity (Soppe et al., 2002; van Zanten et al., 2012b). Upon most phase transitions studied, heterochromatin undergoes decondensation, a change that seems to be of transient nature, as the initial organization of chromocenters is restored in most cases (Tessadori et al., 2007a and reviewed in van Zanten et al.,

2012b). However, while certain similarities between the different chromatin reorganization events have been described, their timing can vary from hours to days and each situation appears to reveal its specific characteristics and may implicate different mechanisms depending on developmental context and tissue.

In this review, we will concentrate on the fate of the heterochromatic sequences organized in chromocenters during developmental phase transitions, as those have been investigated in most studies and will allow comparisons to be drawn between the different analyses discussed. We will first illustrate the characteristics of heterochromatin decondensation during floral transition and de-differentiation of leaf cells into protoplasts and then discuss in detail the chromatin dynamics during germination and early post-germination development that take place in the cotyledon. We will particularly emphasize the chromatin dynamics of a specific set of repetitive sequences, the 5S rDNA repeats, during the first days of post-germination growth

and discuss possible links between heterochromatin dynamics and transcription.

## 2. Heterochromatin dynamics during floral transition and de-differentiation

When the plant is exposed to favorable environmental conditions including photoperiod and temperature, which are crucial for the timing of developmental phase transitions, it can initiate flowering and the reprogramming from vegetative to reproductive growth (Huijser and Schmid, 2011; Liu et al., 2009). This transition requires external and endogenous triggers to be sensed by the leaves of the plant and to be transmitted to the apical meristem, the meristematic tissue at the tip of the plant shoot, which will then undertake fate change. During the reproductive phase transition, *Arabidopsis* leaf chromatin undergoes a subtle and slow global reorganization (Fig. 1B). Indeed, decondensation of chromocenters in the nuclei of leaves was noted 4 days before emergence of the floral stem, under both short day and floral-inductive long day photoperiods (Tessadori et al., 2007b). To quantify compaction of repetitive sequences into chromocenters during the floral transition, the relative heterochromatin fraction (RHF), which is defined by the area and fluorescence intensities of the chromocenters relative to the fluorescence intensity of the entire nucleus (Soppe et al., 2002), was assessed. A strong reduction to about half of the values usually observed in leaf tissues was scored, which resulted essentially from the dispersion of 5S rDNA and other pericentromeric repeats, while centromeric 180 bp repeats and 45S rDNA sequences remained highly condensed (Tessadori et al., 2007b). Therefore, the different tandem repeats of the *Arabidopsis* genome are unequally affected by the chromatin dynamics during floral transition. In addition, decondensation was not restricted to heterochromatic sequences, but affected also euchromatic domains as exemplified by the decondensation observed for a gene-rich domain on the long arm of chromosome IV (Tessadori et al., 2007b). After elongation of the floral stem, the chromocenters reform, endorsing the reversibility of the global chromatin decondensation process in this circumstance.

Other studies (Koukalova et al., 2005; Ondrej et al., 2009; Tessadori et al., 2007a; Zhao et al., 2001) reported decondensation of heterochromatin to occur upon de-differentiation of *Arabidopsis*, cucumber and tobacco leaf cells into protoplasts, plant cells devoid of cell walls (Fig. 1B), or upon callus formation. Indeed, during the de-differentiation of *Arabidopsis* mesophyll cells, the relative heterochromatin fraction decreased to less than one third compared to the leaf tissue from which the protoplasts were isolated (Tessadori et al., 2007a). Furthermore, DAPI-staining revealed the prevalence of only a few chromocenters in de-differentiated cells. FISH analysis allowed the authors to determine that those contain the only partially condensed 45S rDNA sequences, while pericentromeric repeats, 5S rDNA repeats and even the centromeric 180 bp repeats underwent complete decondensation. This decondensation occurs rapidly in less than a day but could be partially reversed during further protoplast culturing (Tessadori et al., 2007a). Interestingly, a sequential reformation of chromocenters was observed starting from the 45S rDNA, which form the longest repeat arrays, and 180 bp centromeric sequences to the tandemly repeated 5S rDNA sequences, while the interspersed pericentromeric repeats remained largely dispersed, suggesting specific dynamics for the distinct repeat families in *Arabidopsis* and a potential correlation between length of the repeat array and the temporal order of condensation (Tessadori et al., 2007a).

During floral transition heterochromatin decondensation was suggested not to involve major changes in epigenetic marks (Tessadori et al., 2007b) as repetitive sequences remain highly DNA methylated. Only the nuclear distribution of 5-methylcytosines (5mC), as revealed by an antibody, which recognizes methylated cytosines indifferent of their sequence context (symmetric CG, CHG or asymmetric CHH

methylation), changes to a more dispersed pattern mirroring the decondensation of the highly methylated repeats (Tessadori et al., 2007b).

Similarly, during de-differentiation of *Arabidopsis* leaf cells into protoplasts, the nuclear distribution of 5mC changes, while DNA methylation at repetitive sequences was globally unaltered and no differences in the total amount of repressive histone modifications such as H3K9 methylation were observed (Tessadori et al., 2007a). Other studies however revealed localized subtle changes in DNA methylation of an Athila retroelement (Avivi et al., 2004) or certain promoters (Berdasco et al., 2008) during *Arabidopsis* protoplast generation or in cell suspensions, respectively. Furthermore, global changes in histone H3 post-translational modifications (Williams et al., 2003) and DNA methylation at the 35S rDNA loci (Koukalova et al., 2005) during tobacco protoplast or callus generation were monitored. Interestingly, mutants in the H3K9 methyltransferase KYP show reduced dedifferentiation and re-entry into the cell cycle (Grafi et al., 2007), suggesting that changes in chromatin modifications take place during de-differentiation, those might however be rather localized to certain loci or chromosomal regions.

Developmental phase transitions involve gene expression changes in large sets of genes located in euchromatin (Avivi et al., 2004; Schmid et al., 2003). Whether the expression of heterochromatic sequences is affected during floral transition and de-differentiation concomitant with their reorganization has not been intensively studied and was limited to the Athila retroelement undergoing demethylation (Avivi et al., 2004) and a repetitive transgenic locus (Tessadori et al., 2007a). For both loci no release of transcriptional silencing was observed.

## 3. Heterochromatin dynamics in cotyledons

### 3.1. Cotyledons: a unique plant tissue

While the above discussed chromatin dynamics have been reported to occur in mature leaf tissues, other major reorganization events of heterochromatin structure have been observed in *Arabidopsis* cotyledons (Douet et al., 2008; Mathieu et al., 2003a; van Zanten et al., 2011). Cotyledons are the first aerial tissues present at germination. They are formed during embryogenesis and then expand after germination, become photosynthetic, and are maintained throughout the vegetative life of the plant (Chandler, 2008). Cotyledons and leaves are often considered as homologous organs, due to their apical positioning on the shoot, similar organ expansion programs and mature morphology (Kaplan and Cooke, 1997). But despite the similarity between cotyledon and leaf development, the two tissues have different features in terms of storage and photosynthesis and structural differences at the level of trichomes and stipules, which are only present in leaves. In contrast to the true leaves, cotyledons might not arise from the shoot apical meristem since most cotyledon cells have never expressed *STEMLESS*, which is an efficient marker of meristem identity (Long and Barton, 1998). Some mutants that completely fail to develop cotyledons, but correctly initiate leaf primordia are available (Chandler et al., 2007). Other mutations display phenotypes that either demonstrate partial transformation of leaves into cotyledons or cotyledons into leaves in the case of the *LEAFY COTYLEDON* class of genes. In mutants of this class of genes, cotyledons show features usually found in vegetative leaves, such as trichomes, storage products, desiccation tolerance and more developed vasculature (Lotan et al., 1998; Stone et al., 2001; West et al., 1994). This genetic cleavage of cotyledon and leaf development (Fransz and de Jong, 2002) shows that these two aerial structures are distinct tissues with at least partially independent developmental programs and suggests that they might exhibit discrete ways of gene expression control and different dynamics in chromatin organization.

### 3.2. Heterochromatin dynamics in embryonic cotyledons during seed development

Seed development is initiated at fertilization and comprises a phase of embryogenesis, followed by the maturation of the seed and finally the acquisition of dormancy and desiccation tolerance. Like other phase changes, the phase transition from embryogenesis to dry seed requires adjustment of the gene expression program. Recent data now provide evidence for the occurrence of major modifications in chromatin compaction in embryonic cotyledons during seed maturation (Fig. 1B) (van Zanten et al., 2012a). In *Arabidopsis*, seed development takes approximately 20 days after pollination. Embryo development is completed during the first 8–10 days, and the seeds mature during the remainder of the period (van Zanten et al., 2012a). Van Zanten et al. (2012a) determined chromatin condensation into chromocenters during seed maturation by quantification of the RHF as an indicator of heterochromatin compaction. While nuclei of embryonic cotyledons at 8 days after pollination show RHF values comparable to those observed in leaf tissue (van Zanten et al., 2010), chromatin further condensates during seed maturation, the RHF reaching maximum levels in the dry seed. At the same time a progressive reduction in nuclear size was observed; however, given the different dynamics of changes in nuclear size and chromatin compaction the two processes were suggested not to be interdependent (van Zanten et al., 2012a). FISH experiments analyzing the distribution of centromeric, pericentromeric, and 45S rDNA sequences in cotyledons during seed development confirmed the high condensation of repetitive sequences in chromocenters in dry seeds (van Zanten et al., 2011). While 45S rDNA and 180 bp repeats were always structured into chromocenters, pericentromeric sequences progressively condensed during seed maturation. This condensation is reflected by changes in the nuclear 5mC distribution from early to late seed maturation. Mirroring the localization of pericentromeric sequences, the 5mC signals are moderately dispersed at 8 and 10 days after pollination, but significantly condensed at the DAPI-bright chromocenters in dry seeds.

While most phase transitions are associated with transient chromatin decondensation, the transition from embryo to dry seeds involves increased chromatin compaction and reduced nuclear volume (van Zanten et al., 2011). Seed maturation therefore differs from the phase transitions discussed above, likely because the aspired chromatin state in the mature seed does not need to support high levels of transcription, but in turn needs to contribute to desiccation tolerance. Consistently with the negative correlation between chromatin compaction and expression (Exner and Hennig, 2008; Fransz and de Jong, 2011; Tessadori et al., 2004), transcription in mature seeds is very low. At germination, in turn, the nuclear volume increases and heterochromatin decondenses concomitantly with important changes in the transcriptome (Holdsworth et al., 2008).

### 3.3. Heterochromatin decondensation during germination

Once the plant seed encounters favorable environmental conditions, dormancy is alleviated and the seed will initiate germination. During seed imbibition and the following germination process heterochromatin strongly decondenses as reflected by an important decrease in the RHF in the embryonic cotyledon nuclei (van Zanten et al., 2011). FISH experiments with heterochromatic probes revealed that while the 45S rDNA sequences remained condensed during the transition from dry seed to seedling, the centromeric and pericentromeric sequences dispersed in imbibed seeds. These heterochromatin dynamics were again reflected by changes in the distribution of 5mC signals on nuclear spreads (van Zanten et al., 2011). Accordingly, other studies showed reduced chromocenter size and the presence of small pre-chromocenters in cotyledon nuclei of *Arabidopsis* following germination (Douet et al., 2008; Mathieu et al., 2003a). Consequently, heterochromatin in embryonic cotyledons undergoes decondensation when the dry seed,

in which chromatin is highly condensed and nuclear size reduced, initiates germination (Fig. 1B).

### 3.4. Heterochromatin dynamics during seedling growth

After seed germination, which is associated with heterochromatin decompaction, the cotyledons, formed during embryogenesis, start to expand and the seedling undergoes the transition from heterotrophic to photoautotrophic growth. During seedling growth a chromocenter organization is established that resembles the one in mesophyll leaf tissues (compare Figs. 1A, middle and C). During this time window, the dynamics of one repeat family, the 5S rDNA, has been analyzed in depth, both at the level of chromatin organization and transcription during the first days of seedling development (Douet et al., 2008; Mathieu et al., 2003a). The *Arabidopsis* genome contains at least 1 000 copies of 5S rRNA genes, arranged in tandem arrays and located in the pericentromeric regions of chromosomes III, IV and V (AGI, 2000; Campell et al., 1992; Fransz et al., 2000; Tabata et al., 2000; Tutois et al., 1999) (Fig. 1A, left). Each 0.5 kb 5S rDNA unit comprises the transcribed sequence (120-bp) carrying the internal promoter and an intergenic spacer region. At the DNA level, “major” copies can be distinguished from “minor” copies, which carry one or several base pair substitutions within the transcribed region. However predominantly those genes without mutations contribute to the 5S rRNA pool transcribed by Pol III in leaf tissues (Cloix et al., 2002; Layat et al., 2011). In mesophyll nuclei part of the 5S rDNA array is comprised in chromocenters. Other parts of the array however, which are thought to contain the actively transcribed genes (Mathieu et al., 2003a), are arranged in 5S rDNA loops (Fig. 1A, right). This functional organization of the 5S rDNA arrays is altered during floral transition and de-differentiation (Tessadori et al., 2007a, 2007b).

Between days 2 and 5 post-germination, 5S rDNA repeat arrays undergo large-scale reorganization (Douet et al., 2008). At 2 days post-germination, despite the absence of a mature chromocenter organization and reduced RHF values (van Zanten et al., 2011) (Fig. 1C) and dispersion of 45S rDNA repeats (Pontvianne et al., 2010; van Zanten et al., 2011), 5S rDNA loci are rather condensed and co-localize with the pre-chromocenters. At 3 days, they undergo decondensation and then adopt the mature organization in which part of the 5S rDNA locus forms loop structures (Douet et al., 2008; Mathieu et al., 2003a) (Fig. 1A, right). The dynamics by which the other repeat families acquire a mature organization during seedling development and whether they undergo similar condensation/decondensation cycles has not been addressed to date. It would be interesting to investigate whether the reformation of chromocenters occurs in sequential steps depending on the size of the repeat blocks as suggested for the heterochromatin condensation in protoplasts (Tessadori et al., 2007a). Furthermore, in contrast to centromeric and pericentromeric repeats that will likely be maintained transcriptionally repressed, ribosomal DNA arrays have to adopt a structure that ensures appropriate transcription. It would therefore be interesting to investigate further whether the mature chromatin organization of the rDNA loci acquired in a particular cell type or at a specific developmental stage reflects the respective requirement for ribosomal RNAs.

In contrast to the chromatin decondensation during floral transition that seems not to implicate important changes in DNA methylation (Tessadori et al., 2007b), 5S rDNA repeats gain symmetric (CG, CHG) (Mathieu et al., 2003a) and loose asymmetric (CHH) DNA methylation (Douet et al., 2008) during seedling growth. The loss of asymmetric DNA methylation at 5S rDNA repeats during the first days post-germination development is an active process catalyzed by the DNA demethylase Repressor of Silencing (ROS1) (Douet et al., 2008; Gong et al., 2002). ROS1 is required for 5S rDNA decondensation, suggesting a link between DNA methylation reprogramming and chromatin reorganization in this context.



In addition, the same study (Douet et al., 2008) reported that 5S rDNA chromatin fails to recondense during days 4 and 5 post-germination in mutants of a common subunit of the two RNA polymerases PolIV and PolV. These plant-specific polymerases are involved in RNA-directed DNA methylation (RdDM) at heterochromatic targets including 5S rDNA (Herr et al., 2005; Kanno et al., 2005; Onodera et al., 2005; Pontier et al., 2005; Zhang et al., 2007). The RdDM pathway further controls the quality of 5S rRNA transcripts (Douet et al., 2009), more precisely the repression of minor rRNA gene copies and of an atypical 5S rRNA transcript (5S-210) that comprises the transcribed region and part of the adjacent intergenic region. The 5S-210 transcript is a marker for silencing release within the 5S loci and likely a precursor for small interfering RNA (siRNA) production (Blevins et al., 2009; Douet et al., 2009; Vaillant et al., 2006). Furthermore, in leaves of RdDM mutants, the quantities of 24nt 5S siRNAs are reduced and 5S rDNA appears decondensed (Blevins et al., 2009; Herr et al., 2005; Onodera et al., 2005; Pontier et al., 2005), which implies that 5S rDNA array expression and chromatin compaction require siRNAs and components of the RdDM pathway. Whether the 5S-210 transcript and 5S siRNA levels vary during the first days post-germination development concomitantly with the dynamic rearrangement of 5S rDNA chromatin remains to be addressed.

The important chromatin re-organization observed for the 5S rDNA loci opened the possibility to investigate the link between chromatin dynamics and transcriptional regulation at this developmental stage – information missing for the other repetitive sequences. The transcription of 5S rRNA genes is controlled by the expression and availability of the transcription factor TFIIIA (TFIIIA), a 5S rDNA-specific transcription factor (Layat et al., in press; Mathieu et al., 2003b). To gain insight into the regulation of 5S rRNA transcription in cotyledons, the correlation between levels of 5S rRNA and TFIIIA expression was addressed (Layat et al., 2012). During the first days post-germination development, during which 5S rDNA chromatin is reorganized, TFIIIA expression is modulated both at transcriptional and post-translational levels. TFIIIA transcript levels were found to be low, and the small amounts of proteins produced are proteolytically cleaved resulting in the absence of the functional full-length TFIIIA protein at days 2 and 3 after germination (Fig. 1C) (Layat et al., 2012). This suggests that 5S rRNA genes are not actively transcribed during this developmental stage. Indeed, 5S rRNA quantities decrease during the first days of post-germination growth (Fig. 1C) during which the seedling uses the 5S rRNA previously accumulated throughout embryonic development (Layat et al., 2012; Rajjou et al., 2004). The complete proteolytic cleavage of the TFIIIA protein at a moment when 5S rDNA is largely decompacted (Douet et al., 2008), can be considered as a way to prevent undesirable transcription of highly mutated 5S rRNA genes. Transcripts derived from these genes could be deleterious for the cell and are silenced later on during development through a compact chromatin organization. Concomitant with a mature 5S rDNA chromatin structure comparable to leaf tissues established at day 4 after germination, full-length and transcriptionally functional TFIIIA protein is *de novo* detected and 5S rRNA transcription resumes (Fig. 1C). One can therefore suggest that the reorganization of 5S rDNA arrays allows the acquisition of an organization considered as mature, which supports expression of an appropriate amount and quality of 5S rRNA transcripts. This organization could be distinct from the one during seed development, which permits expression of high amounts of 5S rRNA transcripts including some copies, carrying a single base-pair substitution and which are normally repressed (Mathieu et al., 2003a). The case of 5S rDNA discussed here therefore illustrates a correlation between chromatin reorganization and transcriptional reprogramming.

Transcriptional reprogramming during seedling growth is however not restricted to 5S rRNA gene copies: 45S rDNA loci were also found to be decondensed at day 2 following germination (Pontvianne et al., 2010; van Zanten et al., 2011). Here, the decondensed state of rDNA loci clearly correlates with the presence of certain 45S rRNA variants

that are not expressed in leaf tissue (Earley et al., 2010; Pontvianne et al., 2010) suggesting likewise transcriptional reprogramming of 45S rDNA repeats during seedling growth. Other studies in *Arabidopsis suecica* plants, an allotetraploid hybrid of *A. thaliana* and *Arabidopsis arenosa*, further imply that transcriptional reprogramming of the repetitive 45S rDNA loci might also occur further on during the vegetative growth phase. In *A. suecica*, the 45S rDNA repeats from the *A. thaliana* progenitor are transcriptionally repressed, a phenomenon termed nucleolar dominance (Tucker et al., 2010). Nucleolar dominance was not observed in cotyledons, where the 45S rDNA loci are decondensed, but later on in true leaves where the under-dominant rDNA species is condensed and has lost active histone modifications (Pontes et al., 2007).

#### 4. Heterochromatin dynamics at the crossroad of major developmental switches?

Developmental transitions are associated with important alterations in gene expression patterns. Those take place concomitantly with large-scale reorganization of heterochromatic sequences in different aerial plant tissues (Mathieu et al., 2003a; Pecinka et al., 2010; Tessadori et al., 2004, 2007a, 2007b; Tittel-Elmer et al., 2010; van Zanten et al., 2011), demonstrating that plants display a remarkable dynamic in chromatin organization upon major developmental switches. This phenomenon is not restricted to the plant kingdom, as in mammals reprogramming of gene expression during key developmental transitions, such as the generation of totipotency in the zygote (Martin et al., 2006; Probst et al., 2007) or in primordial germ cells (Hajkova et al., 2008) and fate changes from a differentiated cell to an induced pluripotent stem cell (Mattout et al., 2011) involves major changes in heterochromatin organization.

To explain the reason for such large-scale chromatin rearrangements, it has been hypothesized that chromatin decondensation increases the accessibility to DNA necessary for the activation of genes involved in cell fate switch (Tessadori et al., 2007a; Zhao et al., 2001). Considering the organization of *Arabidopsis* chromosomes in interphase as euchromatic loops that emanate from the heterochromatic chromocenters (Fransz et al., 2002) (Fig. 1A, right), heterochromatin dynamics might be necessary to rearrange the euchromatic domains and to allocate new contact points with the chromocenter. This rearrangement could allow changing the position of genes in the nuclear volume, relative to the border of a chromosome territory, a transcription factory or the nuclear periphery, which in turn will impact positively or negatively on gene expression (Fransz and de Jong, 2011; Tessadori et al., 2004). Whether expression of these heterochromatic sequences is affected by its large-scale decondensation has been addressed for a selection of targets: no transcriptional reactivation of a silent transgenic locus (Tessadori et al., 2007a) or an Athila retroelement (Avivi et al., 2004) was observed during de-differentiation. However normally repressed 45S rRNA gene variants are activated after germination when 45S rDNA loci are decondensed (Earley et al., 2010; Pontvianne et al., 2010). Furthermore, heat stress induces reactivation of silent transposons and repeats (Pecinka et al., 2010; Tittel-Elmer et al., 2010) concomitantly with heterochromatin decondensation. However, re-silencing occurs without recompaction of the analyzed repetitive elements into chromocenters (Pecinka et al., 2010). Taken together, these observations do not support a simple causal relationship between chromatin higher-order structure and gene expression and suggest that chromatin compaction and transcriptional activity can be at least partly uncoupled.

While the changes in nuclear organization of heterochromatic domains have now been well characterized, we still know little about the changes taking place at the level of the chromatin fiber or about the triggers or the mechanisms involved. The tentative to find common principles for the different heterochromatin reorganization processes has been hindered by the distinct experimental setups, the differences in reorganization timings, the different tissues affected

as well as the presence of several cell types within a tissue. It is suggestive that changes in epigenetic marks play a role in these large-scale heterochromatin dynamics. However, heterochromatin decondensation during floral transition seems not to involve changes in DNA methylation. This is comparable to the situation upon dedifferentiation or prolonged heat stress, during which global DNA methylation levels were preserved, despite the decondensation of repetitive elements (Pecinka et al., 2010; Tessadori et al., 2007a; Tittel-Elmer et al., 2010). In contrast, 5S rRNA genes that are dynamically rearranged during seedling growth are subject to DNA methylation changes. While the presence of histone post-translational modifications has been assessed globally in some studies, quantitative approaches to compute enrichments in histone modifications at the repetitive loci during developmental transitions are still missing. Those approaches allowed revealing that prolonged heat stress has only a subtle impact on histone modifications, however transiently reduces nucleosomal density (Pecinka et al., 2010; Tittel-Elmer et al., 2010). Much remains to be explored concerning nucleosome composition, structure, modification and positioning till we understand the mechanisms and molecular players responsible for heterochromatin dynamics during developmental transitions.

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## Abstract

To understand how histones H3 are handled and how histone dynamics impact higher-order chromatin organization such as chromocenter formation in *Arabidopsis*, a comprehensive analysis of the different histone chaperone complexes is required. We identified and characterized the different subunits of the *Arabidopsis* HIR complex. AtHIRA is the central subunit and its loss affects non-nucleosomal histone levels, reduces nucleosomal occupancy not only at euchromatic but also at heterochromatic targets and alleviates transcriptional gene silencing. While the HIR complex-mediated histone deposition is dispensable for higher-order organization of *Arabidopsis* heterochromatin, I show that CAF-1 plays a central role in chromocenter formation. During post-germination development in cotyledons when centromeric and pericentromeric repeats cluster progressively into chromocenter structures, these repetitive elements but not euchromatic loci become enriched in H3.1 in a CAF-1-dependent manner. This enrichment, together with the appropriate setting of repressive histone post-translational marks, contributes to chromocenter formation, identifying chromatin assembly by CAF-1 as driving force in formation and maintenance of genome structure. Finally, while absence of HIR or CAF-1 complexes sustains viability, only the simultaneous loss of both severely impairs nucleosomal occupancy and plant development, suggesting a limited functional compensation between the different histone chaperone complexes and plasticity in histone variant interaction and deposition in plants.

**Keywords:** Heterochromatin dynamics, chromocenter, histone H3, histone chaperone, *Arabidopsis thaliana*

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Afin d'étudier la prise en charge des histones H3 jusqu'à l'ADN et pour comprendre l'influence de leur dynamique dans l'organisation d'ordre supérieur de la chromatine, une analyse des chaperonnes d'histones a été menée. Nous avons identifié et caractérisé les sous-unités du complexe HIR, impliqué dans l'assemblage de la chromatine réplication-indépendante chez *Arabidopsis*. La perte d'AtHIRA, la sous-unité centrale du complexe, affecte le niveau d'histone soluble, l'occupation nucléosomale des régions euchromatiniennes et hétérochromatiniennes ainsi que la mise sous silence transcriptionnel des séquences d'ADN répétées. Alors que le complexe HIR ne participe pas à l'organisation d'ordre supérieur de la chromatine, j'ai montré que CAF-1, impliqué dans l'assemblage de la chromatine au cours de la réplication, joue un rôle central dans la formation des chromocentres. Lors du développement post-germinatif des cotylédons, les séquences d'ADN répétées centromériques et péricentromériques se concentrent dans les chromocentres et s'enrichissent en histone H3.1 de manière CAF-1 dépendante. Cet enrichissement, associé à des modifications post-traductionnelles d'histones associées à un état répressif de la transcription, participe à la formation des chromocentres et met en évidence l'importance de l'assemblage de la chromatine par CAF-1 dans la structure et le maintien du génome. Alors que la perte individuelle de HIR ou de CAF-1 n'affecte pas la viabilité, l'absence des deux complexes altère fortement l'occupation nucléosomale et le développement des plantes. Ceci suggère que la compensation fonctionnelle entre ces complexes de chaperonnes ainsi que la plasticité des voies de dépôt des histones restent limitées.

**Mots-clés:** Dynamique de l'hétérochromatine, chromocentre, histone H3, chaperonne d'histone, *Arabidopsis thaliana*